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Frédéric Dumur. Recent advances on Anthraquinone-based photoinitiators of polymerization. European Polymer Journal, 2023, 191, pp.112039. 10.1016/j.eurpolymj.2023.112039. hal-04060142

HAL Id: hal-04060142

https://hal.science/hal-04060142

Submitted on 6 Apr 2023

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## Recent Advances on Anthraquinone-based Photoinitiators of Polymerization

Frédéric Dumura\*

<sup>a</sup> Aix Marseille Univ, CNRS, ICR, UMR 7273, F-13397 Marseille, France

frederic.dumur@univ-amu.fr

#### **Abstract**

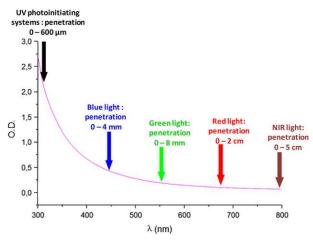
The design of photoinitiating systems activable in the visible range and under low light intensity is an active research field supported by the vast applications of photopolymerization. In the search for dyes that can exhibit panchromatic absorptions, anthraquinone has been identified as a promising scaffold. Over the years, numerous modifications have been carried out on this scaffold by molecular engineering, enabling to design water-soluble, panchromatic or photobleachable dyes. In this review, an overview of the recent advances concerning photopolymerization with anthraquinone-based photoinitiating systems is given.

#### **Keywords**

Photoinitiator; visible light; photopolymerization; LED; anthraquinone; natural compound; water-soluble

#### 1. Introduction

During the past decades, photopolymerization has been the focus of intense research efforts, resulting from the wide of applications using photopolymerization.[1-5] Notably, photopolymerization is commonly used for applications ranging from solvent-free paints, microelectronics, adhesives, dentistry, coatings and varnishes, and 3D and 4D printing to name but a few.[4,6–18] This intense research activity is also supported by the recent safety concerns raised by the use of UV light in industry. Notably, UV light can cause skin cancers and eye damages. Ozone can also be produced by UV light, constituting another drawback of UV photopolymerization. However, nowadays, the main concern that will end UV photopolymerization is the high energy consumption combined with the elevated costs of the UV irradiation setups. Considering that in the coming years, energy sobriety will be the main focus of numerous countries, this historical polymerization technique is now totally disconnected with the present preoccupation of our societies. Shift of the irradiation wavelength from the UV range towards the visible range exhibit several advantages. Notably, a higher light penetration can be achieved in the visible range, rendering visible light photopolymerization more attractive than UV photopolymerization. Indeed, use of UV photopolymerization was restricted to the polymerization of thin films and coatings. By polymerizing under visible light, a light penetration ranging between a few millimetres up to a few centimetres can be obtained, enabling to polymerize thick samples and samples containing fillers (See Figure 1).[19]



**Figure 1.** Light penetration in a polystyrene latex with an average diameter of 112 nm. Reprinted with permission from Ref.[19]

As other advantages, an excellent spatial and temporal control of the polymerization process can be obtained, what cannot be achieved by the classical thermal polymerization in solution. However, if numerous advantages can be cited for visible light photopolymerization, the disadvantages can't be denied. Thus, visible light photoinitiators strongly absorb in the visible range, providing an undesired colour to the final coating. A lack of reactivity can also be mentioned. Indeed, visible light photons are less energetic than UV photons so that a reduction of the monomer conversions is often observed. Additionally, with aim at using energy-saving polymerization conditions, light-emitting diodes (LEDs) are now commonly used for photopolymerization. As the main advantages, these devices are cheap, compact and exhibit long operating lifetimes. Ideally, highly reactive photoinitiating systems could even be activated with daylight or sunlight.[20] However, availability of sunlight photoinitiators is still scarce in the literature. Face to these considerations, the search for dyes capable to bleach is still an active research field, only few visible light photoinitiating systems exhibiting this highly researched property.[21] With aim at developing highly reactive photoinitiating systems, an extensive screening has been developed during the last decades. A wide range of structures have been examined, as exemplified by dihydroanthraquinones,[22] N-heterocyclic carbene boranes,[3] curcumin,[23–26] benzylidene ketones,[27–34] cyclohexanones,[35–38] perovskites,[39,40] iron complexes,[41–48] diketopyrrolopyrroles,[49–51] derivatives,[52–54] naphthoquinones, [55] porphyrins, [56–58] dithienophospholes, [59,60] thiophene derivatives,[61] 2,3-diphenylquinoxaline derivatives,[62,63] benzophenones,[64– 71] carbazoles,[72–87] silyl glyoximides,[88] Bodipy,[89–94] imidazole derivatives,[95] truxene derivatives,[96] cyanines,[97-103] naphthalimides,[104–122] Schiff triphenylamines,[124,125] anthracene,[126] metal organic framework (MOFs),[41,127,128] furan derivatives,[129] benzylidene ketones,[27,28] push-pull dyes,[42,130-144] perylenes,[145–148] quinoxalines,[62,149–161] pyrenes,[162–170] polyoxometalates,[171–173] chromones and flavones,[174–176] pyridinium salts,[177,178] phenothiazines,[179–189] coumarins,[190-203] camphorquinones,[204,205] iodonium salts,[89,104,206-214] iridium complexes,[215-222] thioxanthones,[89,223-237] chalcones,[14,238-253] gold complexes,[254]

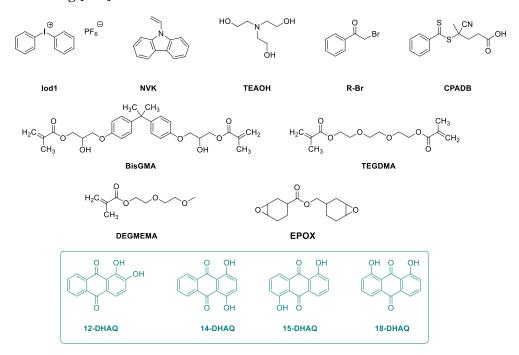
copper complexes,[255–272] helicenes,[273,274] zinc complexes,[275] squaraines, [276–280] pyrrole derivatives,[281,282] conjugated polymers,[283] acridones,[284,285] acridine-1,8diones,[286–288] bisacylphenylphosphine oxide,[289] and phenazines.[290] Among structures that have been investigated for the design of photoinitiators, anthraquinone can be cited as a scaffold that was under-investigated compared to other structures. Besides, anthraquinone was extensively used for the design of various semi-conductors, chromophores and lightemitting materials for organic electronics.[291–294] Anthraquinone was also employed for the design of various laxatives, antimicrobial and anti-inflammatory agents.[295-297] As far as photopolymerization is concerned, the first report mentioning the use of anthraquinone as photoinitiator of polymerization was reported as soon as 1957 by Tordoff and coworkers.[298] In this pioneering work, the polymerization of acrylamide in aqueous solution sensitized by hydrogen peroxide and 9,10-anthraquinone-2,6-disulfonic acid disodium salt was examined. Besides, upon irradiation with a 366 nm light, the reaction proved to be too complex to be controlled. In 1960, Hermans and coworkers examined the grafting of various monomers on the cellulose backbone using 9,10-anthraquinone-2,6-disulfonic acid disodium salt as the photosensitizer.[299] Noticeably, presence of oxygen was determined as enhancing the grafting efficiency on cellulose, resulting from the in-situ formation of hydroperoxyl radicals. A systematic study was proposed in 1968 by Anwaruddin and coworkers in which 9,10anthraquinone-2,6-disulfonic acid disodium salt, 9,10-anthraquinone-2,7-disulfonic acid disodium salt and 9,10-anthraquinone-2-sulfonic acid sodium salt were used as photosensitizers for the aqueous polymerization of acrylamide under inert atmosphere.[300] Interestingly, addition of sodium chloride in water totally suppressed the induction time, which varied between 2 and 3 hours without NaCl. A photoinduced electron transfer between the chlorine anion and the excited anthraquinone derivative was proposed as the initiating step. A similar reduction of the inhibition time could be demonstrated using 9,10anthraquinone-2,7-disulfonic acid disodium salt and ferric chloride during the photoinduced grafting of methyl methacrylate (MMA) on cellulose.[301] However, this approach proved to be ineffective to form hydrogels with poly(N-isopropylacrylamide).[302] By using the watersoluble two-component 9,10-anthraquinone-2-sulfonic acid sodium salt/(4-benzoylbenzyl) trimethylammonium chloride system, grafting of acrylamide and N-isopropylacrylamide on polyethylene was obtained, enabling an efficient surface modification.[303] Thus, the hydrophobic nature of the poly(ethylene) surface could be converted as a hydrophilic one. Photocrosslinking of polyethylene was also investigated by Kachan and coworkers in 1986 and investigation of the photochemical mechanism with 9,10-anthraquinone as the photoinitiator revealed photocrosslinking to occur during photoreduction of the dye.[304] In 1975, Taylor and coworkers reported the photopolymerization of methyl methacrylate (MMA) in THF under aerobic and anaerobic conditions using anthraquinone and 2-tertbutylanthraquinone.[305] Photolysis experiments done in THF as the solvent revealed the mechanism to involve a hydrogen abstraction from THF by the excited anthraquinone, generating THF radicals (THF•). A similar mechanism of hydrogen abstraction was also evidenced with other solvents such as cyclohexane or isopropanol,[306] but also with triethylamine, generating  $\alpha$ -aminoalkyl radicals.[307] Extractability of photoinitiators can drastically limit the potential use of polymers and this issue was examined with the design of anthraquinone-based macrophotoinitiators.[308,309] By introducing an acrylamide group on anthraquinone, the anthraquinone chromophore could serve as a photosensitizer for its own polymerization using lauroyl peroxide. In the presence of MMA, copolymer could be prepared with different 2-substituted amido and acryloxy-anthraquinones.[310] By use of water-soluble co-monomers such as acrylamide, 2-acrylamido-2-methylpropane sulphonic acid and 2trimethylammonium iodide, water-soluble copolymers acryloxyethyl anthraquinone units could be obtained.[311] By the presence of crosslinkable groups on anthraquinones, grafting of anthraquinones onto nylon-6,6-polymer could also be successfully obtained.[312,313] Positions of the lowest singlet excited state  $\pi$ - $\pi$ \* relative to the triplet  $n\pi$ \* state was determined as playing an important role in photopolymerization.[314,315] Thus, without amine, all anthraquinone derivatives bearing electron-withdrawing groups proved to be more reactive than those bearing electron-donating groups. A different situation was found in the presence of amine. In this case, halogenated anthraquinones proved to the more reactive than the others. Anthraquinones were not only used for initiating the polymerization of acrylates and epoxides. The polymerization of styrene was notably examined in 2009 by Sakurai and coworkers with a series of 1-(arylmethyloxy)-9,10-anthraquinones.[316] Here again, a strong influence of the substitution pattern of anthraquinones was evidenced during the polymerization of styrene, and better monomer conversions were obtained for the naphthalene-substituted anthraquinones compared to the phenyl-substituted ones. Hybrid polymerizations of styrene and epoxides was also examined in this work and could be possible due to the concomitant formation of arylmethyloxyl radicals and arylmethyl carbocations. Photodegradation of polymers was also another major concern of polymerists and the photodegradation of poly(styrene) was notably examined.[317-319] Use of anthraquinone as a photosensitizer capable to initiate the crosslinking of alkali-soluble polymers bearing double bonds from both acrylate and maleic acid monoester groups was also examined. By using trimethylolpropane trimethacrylate (TMPTMA) as a diluent monomer, hydrogels containing up to 85% water could be prepared.[320] Finally, investigations of anthraquinones as photosensitizers was not limited to one-photon polymerization and the first examples of twophoton photosensitizers based on anthraquinone were reported in 2007 by Kawata and cowokers.[321] In order to get a large two-photon absorption cross-section, an oligo(phenylene-vinylene)-type structure was used for the design of the two photosensitizers, enabling to get two-photon absorption cross-sections of 1635GM and 995GM at 800 nm. The design of pressure sensitive adhesives using an anthraquinone-based photoinitiator was also recently reported in the literature. Influence of the photoinitiator concentration, the UV dose used for polymerization, and the rate of crosslinking was determined as impacting the mechanical properties of the pressure-sensitive adhesives.[322]

In this review, the different anthraquinone derivatives that have been developed since 2010 are presented. A wide range of structures have been proposed in light of the rich history concerning the design of anthraquinone-based photoinitiators. Notably, metal complexes, phthalocyanine, water-soluble dyes or biosourced anthraquinones have been investigated for photopolymerization. In these different works, comparisons have been established to evidence the interest of these structures.

#### 2. Anthraquinone-based photoinitiators of polymerization

#### 2.1. Biosourced anthraquinones.

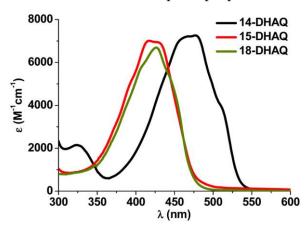
Investigations of natural dyes as photoinitiators of polymerization is an active research field, supported by the fact that these dyes are often abundant and exhibit only a low toxicity.[323] In this field, one of the most popular natural dye in photopolymerization is undoubtedly curcumin which was at the basis of numerous photoinitiating systems.[23-26,324–326] By polymerizing polymethacrylates, biocompatible polymers could be obtained, the resulting polymers being not toxic for fibroblast HS-26 cells.[23] 9,10-Anthraquinone derivatives can also be extracted from numerous plants and four derivatives, namely 1,8dihydroxyanthraquinone (18-DHAQ, chrysazine), 1,2-dihydroxyanthraquinone (12-DHAQ), 1,4-dihydroxyanthraquinone (14-DHAQ, quinizarin) and 1,5-dihydroxyanthraquinone (15-DHAQ) were tested as photoinitiators for the free radical photopolymerization (FRP) of multifunctional monomers, reversible addition-fragmentation chain transfer (RAFT) polymerization of monofunctional monomers and the cationic polymerization of epoxides (See Figure 2).[22] Notably, chrysazine and hypericin can be both extracted from a flowering plant named Hypericum genus. Hypericin is notably used in the photodynamical therapy of cancer[327] and chrysazine as a laxative.[328] On their sides, 12-DHAQ, 14-DHAQ (quinizarin) also exhibit biological activities as anticancer drugs by their remarkable abilities to bind to DNA.[329] Concerning 15-DHAQ, this molecule is used since 1916 as an antipsoriasis drug.[330]



**Figure 2.** Chemical structures of 12-DHAQ, 14-DHAQ, 15-DHAQ, 18-DHAQ, different monomers and additives.

In 2016, Xiao and coworkers investigated the four dyes as blue light sensitive photoinitiators. Indeed, as shown in the Figure 3 and Table 1, UV-visible absorption spectra in acetonitrile revealed 15-DHAQ and 18-DHAQ to absorb at the same positions, in the 350-500

nm range whereas a redshift of the absorption was detected for 14-DHAQ (375-550 nm range). In the case of 12-DHAQ, its absorption spectrum could not be acquired in acetonitrile due to its insolubility. It therefore clearly evidenced the crucial influence of the substitution pattern on the solubilities of dyes but also on their absorption properties.

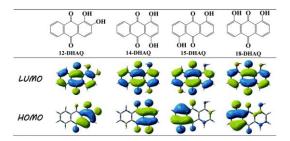


**Figure 3.** UV-visible absorption spectra of three dihydroxyanthraquinones **14-DHAQ**, **15-DHAQ**, **18-DHAQ** recorded in acetonitrile. Reproduced with permission from Ref. [22].

**Table 1.** Light absorption properties of the studied anthraquinone derivatives: absorption maxima  $\lambda_{\text{max}}$ , extinction coefficients at  $\lambda_{\text{max}}$  and at the maximum emission wavelengths of the different LED bulbs

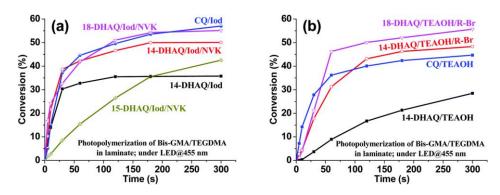
compounds	$\lambda_{\max}(\mathrm{nm})$	Emax (M <sup>-1</sup> .cm <sup>-1</sup> )	ε455 nm (M <sup>-1</sup> .cm <sup>-1</sup> )	€518 nm (M <sup>-1</sup> .cm <sup>-1</sup> )
14-DHAQ	477	7200	7000	3000
15-DHAQ	417	7000	3500	150
18-DHAQ	426	6700	3900	50

Theoretical calculations done on the different dyes revealed the electronic distribution to be totally different between dyes (See Figure 4). Thus, the highest occupied molecular orbital (HOMO) was determined to be located onto the polyphenol moieties whereas the lowest unoccupied molecular orbital (LUMO) was centered onto the quinone moiety. Especially, the two hydroxy groups of 14-DHAQ contribute to the HOMO orbital, supporting its redshifted absorption compared to 15-DHAQ and 18-DHAQ for which a weaker contribution to the HOMO orbital was found.

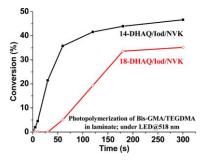


**Figure 4.** Contour plots of the HOMO and LUMO energy levels of dihydroxyanthraquinone using DFT at the UB3LYP/6-31G\* level. Reproduced with permission from Ref. [22].

In order to investigate their photoinitiating abilities during the FRP of a blend of multifunctional methacrylate monomers i.e. bisphenol A-glycidyl (BisGMA)/triethyleneglycol dimethacrylate (TEGDMA), two different three-component systems were examined. First, by using the three-component dye/Iod/NVK (0.5%/2%/3%, (where Iod and NVK respectively stand for diphenyliodonium hexafluorophosphate and N-vinylcarbazole), the highest monomer conversion was obtained with 18-DHAQ, furnishing 56% conversion after 300 s of irradiation at 455 nm with a LED. This value is close to that obtained with the second three-component dye/TEAOH/R-Br (0.5%/2%/3%, wt%) system (where TEAOH and R-Br respectively stand for triethanolamine and phenacyl bromide) for which a conversion of 55% was determined. Following 18-DHAQ in terms of reactivity, 14-DHAQ was the second most efficient photoinitiator of the series (40 and 48% for the dye/Iod/NVK (0.5%/2%/3%, wt%) system and dye/TEAOH/R-Br (0.5%/2%/3%, wt%) and 48% for the dye/Iod/NVK (0.5%/2%/3%, wt%) system and dye/TEAOH/R-Br (0.5%/2%/3%, wt%) system and dye/TE wt%) system respectively) (See Figure 5). Comparison with camphorquinone (CQ) which a benchmark photoinitiator revealed 18-DHAQ to outperform camphorquinone, irrespective of the photoinitiating system used. Interestingly, photopolymerization experiments could be successfully done at 518 nm with 14-DHAQ and 18-DHAQ, despites the weak molar extinction coefficient of 18-DHAQ at 518 nm (See Figure 6).



**Figure 5.** Monomer conversions obtained during the FRP of Bis-GMA/TEGDMA blend (70%/30%, w/w) in laminate in the presence of (a) dye/Iod/NVK (0.5%/2%/3%, wt%) and CQ/Iod (0.5%/2%, wt%) as the reference. (b) Dye/TEAOH/R-Br (0.5%/2%/3%, wt%) and CQ/TEAOH (0.5%/2%, wt%) as the reference, irradiation at 455 nm. Reproduced with permission from ref. [22]



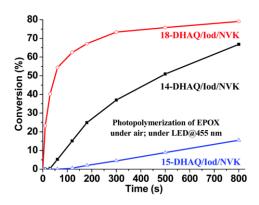
**Figure 6.** Monomer conversions obtained during the FRP of Bis-GMA/TEGDMA blend (70%/30%, w/w) in laminate in the presence of dye/Iod/NVK (0.5%/2%/3%, wt%), irradiation at 518 nm. Reproduced with permission from ref. [22]

To support the remarkable photoinitiating abilities of 14-DHAQ and 18-DHAQ, photochemical mechanism was investigated by mean of complementary techniques including photolysis experiments, electron spin resonance (ESR) experiments and cyclic voltammetry. The following mechanism was proposed by the authors. Thus, upon photoexcitation of the dye, a photoinduced electron transfer between the excited dye and the iodonium salt can occur (see r2 and r3, Scheme 1), producing initiating aryl radicals (Ph\*) by decomposition of the unstable iodine radical. Reactivity of the aryl radicals can be improved upon introduction of NVK, generating the highly reactive Ph-NVK\* (see r4). Parallel to this, cations can also be formed by equation (r5), enabling to initiate the cationic polymerization of epoxides. In the case of the second photoinitiating system, a different mechanism takes place, based on a photoinduced electron transfer followed by a hydrogen abstraction process, enabling to generate DHAQ-H• and TEAOH•(-H) radicals (See equation r6). By reaction with phenacyl bromide, initiating radicals R\* can be formed (See equation r7). Noticeably, no direct correlation between the molar extinction coefficient and the monomer conversion could be evidenced, 15-DHAQ and 18-DHAQ exhibiting similar absorption properties, but also the lowest and the highest monomer conversions. Therefore, it was concluded that other parameters such as the solubility in resins, the rate constant of electron transfer were governing the polymerization efficiency. Occurrence of a back electron transfer between 15-DHAQ and TEAOH or Iod was suggested as a plausible explanation supporting the lower polymerization efficiency of this dye.

DHAQ 
$$\rightarrow$$
 1DHAQ (hv) and 1DHAQ  $\rightarrow$  3DHAQ (r1)  
1,3DHAQ + Ph<sub>2</sub>I+  $\rightarrow$  DHAQ•+ Ph<sub>2</sub>I• (r2)  
Ph<sub>2</sub>I•  $\rightarrow$  Ph• + Ph-I (r3)  
Ph• + NVK  $\rightarrow$  Ph-NVK• (r4)  
Ph-NVK• + Ph<sub>2</sub>I+  $\rightarrow$  Ph-NVK+ + Ph• + Ph-I (r5)  
1,3DHAQ + TEAOH  $\rightarrow$  DHAQ•- + TEAOH•+  $\rightarrow$  DHAQ-H• + TEAOH•(-H) (r6)  
DHAQ•- + R-Br  $\rightarrow$  DHAQ + (R-Br)•-  $\rightarrow$  DHAQ + R• + Br- (r7)

**Scheme 1.** Chemical mechanism involved with the first (eq. r1-r5) and the second (eq. r6-r7) three-component photoinitiating systems.

Interestingly, promising results were obtained during photoRAFT experiments with a monofunctional monomer. By using di(ethylene glycol) methyl ether methacrylate (DEGMEMA) as the monomer and 4-cyanopentanoic acid dithiobenzoate (CPADB) as the RAFT agent, polymers of controlled size could be obtained ( $M_n = 7500 \text{ g/mol}$ , D = 1.14) after four hours of irradiation at 455 nm using the three-component 18-DHAQ/Iod/CPADB (0.2%/0.8%/1% w/w/w) system. In the absence of the RAFT agent, a lower control of the polymerization process was obtained and a higher polydispersity was determined (Mn = 31100 g/mol, D = 1.75). Finally, the possibility of initiating the cationic polymerization of epoxides examined during the (3,4-epoxycyclohexane)methyl was CP of epoxycyclohexylcarboxylate (EPOX). Here again, the trend of reactivity previously established was confirmed, with 18-DHAQ outperforming the other dyes (See Figure 7).



**Figure 7.** Monomer conversions obtained during the CP of EPOX using different three-component photoinitiating systems. Reproduced with permission from ref. [22]

In 2020, Versace and coworkers revisited quinizarin (i.e. 18-DHAQ) in the context of crosslinkable photoinitiators.[331] Two polymerizable groups were introduced, namely an epoxide and an allyl group (See Figure 8). In 2022, the methacrylated version Q1Ac and Q2Ac were proposed by the same authors.[332] Parallel to quinizarin, Versace and coworkers also developed the photopolymerizable version of purpurin (1,2,4-trihydroxyanthraquinone, 124-THAQ) and introduced one or three allyl groups (PmA and PA respectively).[333] It has to be noticed that the introduction of polymerizable units on anthraquinones has previously been reported in the literature by Ritter and coworkers in 2013.[334] In that work, by copolymerizing blue, green and red-absorbing dyes, copolymers with broad absorption could be obtained.

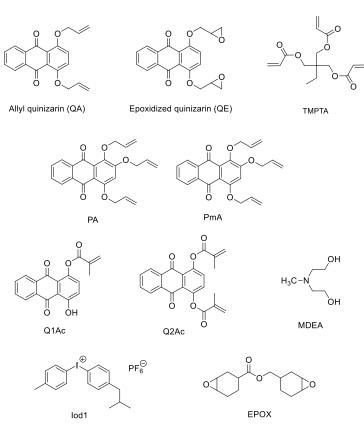
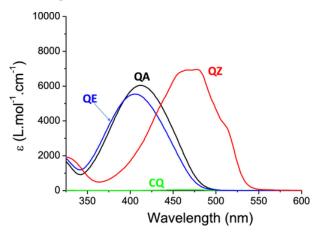


Figure 8. Chemical structures of crosslinkable quinizarin derivatives QA and QE.

Noticeably, upon alkylation of the phenol groups, a significant blueshift of the absorption maxima was found for ZA and ZE in acetonitrile ( $\lambda_{max}$  = 415 and 407 nm respectively) compared to the parent structure quinizarin (QZ) ( $\lambda_{max}$  = 477 nm) (See Figure 9). Molar extinction coefficients of 6000 and 5530 M<sup>-1</sup>.cm<sup>-1</sup> were also determined for the two dyes, greatly higher than that of camphorquinone (66 M<sup>-1</sup>.cm<sup>-1</sup> at 466 nm). Based on their absorptions, polymerization experiments could be carried out at 405, 455 and 470 nm.



**Figure 9.** UV-visible absorption spectra of QA, QE and quinizarin (QZ) in acetonitrile. Reproduced with permission of Ref. [331]

During the CP of EPOX, the higher photoinitiating ability of the quinizarin derivatives (ZA and ZE) compared to the parent structure was demonstrated. Thus, if no monomer conversion could be detected with QZ and camphorquinone with the two-component (where dye/Iod1 system stands for 4-(2-methylpropyl)phenyliodonium Iod1 hexafluorophosphate), irrespective of the irradiation conditions, a conversion of 76% could be evidenced with ZA after 800 s of irradiation with a Xe lamp, higher than that obtained with ZE (57%). For all the other irradiation conditions, ZE greatly outperformed ZA in terms of monomer conversion (See Table 2). The same trend of reactivity was demonstrated during the FRP of TMPTA. If ZA could outperform ZE upon irradiation with a Xe lamp, a different situation was found with LEDs of narrower emission. Noticeably, good monomer conversions could be obtained with two different two-component systems based on MDEA or Iod1. However, a higher polymerization efficiency was determined with Iod1, evidencing the higher reactivity of the oxidative pathway. Here again, all photoinitiating systems based on QZ were less efficient than those based on ZA and ZE, attributable to the presence of phenolic groups on QZ acting as termination agents quenching the chain growth but also to the ability of phenols to act as inhibitors of polymerization.[335,336]

**Table 2.** EPOX and TMPTA conversions obtained with the two-component dye/Iod1 (0.5%/2.0%, wt%) or the two-component dye/MDEA (0.5%/2%, wt%) systems upon irradiation at different wavelengths for 800 s.

EPOX conversion under air							
	Xenon lamp	LED@405 nm	LED@455 nm	LED@470 nm			

CQ/Iod1	n.p.	n.p.	n.p.	n.p.
QZ/Iod1	n.p.	n.p. n.p.		n.p.
QA/Iod1	76%	56%	43%	52%
QE/Iod1	57%	72%	58%	50%
	TMPT	A conversion in la	minate	
	Xenon lamp	LED@405 nm	LED@455 nm	LED@470 nm
CQ/MDEA	39	-	40	44
QZ/MDEA	30	37	28	12
QA/MDEA	55	69	54	44
QE/MDEA	57	57	55	50
CQ/Iod1	45	-	50	32
QZ/Iod1	n.p.	15	n.p.	n.p.
QA/Iod1	70	77	65	50
QE/Iod1	75	80	66	36

n.p. no polymerization.

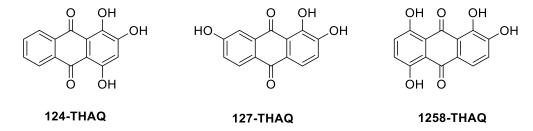
Finally, the concomitant polymerization of TMPTA and EPOX was examined, enabling to access to interpenetrated polymer networks (IPNs). Due to oxygen inhibition, higher TMPTA conversions were obtained in laminate than under air.[337] Conversely, due to the higher polymerization rate of TMPTA, a decrease of the EPOX conversion was determined in laminate compared to that determined under air (See Table 3).

**Table 3.** Monomer conversions obtained during the photopolymerization of an EPOX/TMPTA (50/50 w/w) blend upon irradiation at 455 nm for 800 s.

QA/Iod (0.5/2.0%, w/w)			QE/Iod (0.5)	/2.0%, w/w)
under air	72	48	49	50
in laminate	52	76	39	87

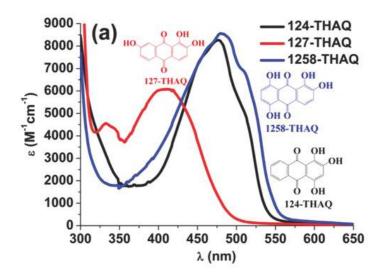
The most interesting result of this study concerned the antibacterial properties provided to IPNs by the presence of quinizarin derivatives. Indeed, the design of antibacterial materials is an active research field.[338] Due to the presence of the dyes within the polymer films, singlet oxygen could be produced at the surface of the irradiated films, enabling to provide antibacterial properties to the polymer films. Indeed, singlet oxygen formed by photosensitization with quinizarin can oxidize the olefinic double bonds of bacteria, leading to their deaths.[339,340]

Inspired by the photoinitiating abilities of natural anthraquinones, a series of three poly(hydroxy)anthraquinones was investigated by Xiao and coworkers, differing by the number of hydroxy groups but also by the substituent positions (See Figure 10).[341]



**Figure 10.** Chemical structures of different poly(hydroxy)anthraquinones.

In this series, 1,2,4-trihydroxyanthraquinone (124-THAQ, purpurin) is also a natural product that can be extracted from the roots of a madder plant (Rubia cordifolia), a plant which is of the same family from which quinizarin (1,4-dihydroxyanthraquinone) is extracted (Rubia tinctorum). For comparison, other non-natural poly(hydroxy)anthraquinone were investigated, namely 1,2,7-trihydroxyanthraquinone (127-THAQ), anthrapurpurin) and 1,2,5,8-tetrahydroxyanthraquinone (1258-THAQ, quinalizarin). In this series of dyes, all anthraquinones bearing hydroxy groups in *para*-position exhibited the most redshifted absorptions. Thus, 124-THAQ and 1258-THAQ exhibited absorption maxima at 477 and 480 nm contrarily to 411 nm for 127-THAQ that does not comprise two hydroxy groups in *para*-position to each other (See Figure 11). Based on their absorptions, polymerization experiments could be carried out at 518 nm (i.e. with green light) with all dyes.



**Figure 11.** UV-visible absorption spectra of different poly(hydroxy)anthraquinones in acetonitrile. Reproduced with permission of Ref.[341]

Fluorescence quenching experiments done with Iod revealed the photobleaching to be very fast for 124-THAQ and 127-THAQ whereas almost no quenching was detected with 1258-THAQ, indicative of an inefficient electron transfer. A lower reactivity during FRP experiments can be thus anticipated for 1258-THAQ. During steady state photolysis experiments done in acetonitrile, if a decrease of the light absorption was detected for the two-component 124-THAQ and 1258-THAQ/Iod systems, no modification of the absorption properties was detected for the two-component system based on 127-THAQ, demonstrating

the non-reactivity of this system. Based on the fluorescence and steady state photolysis experiments, the following order of reactivity could be established: 124-THAQ, then 1258-THAQ and 127-THAQ. This trend of reactivity determined in solution was confirmed during the FRP experiments. Thus, during the FRP of a BisGMA/TEGDMA blend, monomer conversions of 41% could be determined with the two-component 124-THAQ/Iod (0.5%/2%, w/w) system, consistent with the aforementioned higher photochemical reactivity of 124-THAQ compared to the other dyes (36% with 1258-THAQ and no monomer conversion with 127-THAQ).

#### 2.2. Amino-substituted anthraquinones.

Natural anthraquinones are mainly substituted with hydroxy groups and their photoinitiating abilities have been clearly demonstrated in the different aforementioned works. Inspired by these results, a series of amino-substituted anthraquinones was designed and examined as photoinitiators of polymerization. A series of three amino-substituted anthraquinones (DB1, DB3 and OBN) was reported in 2013 by Lalevée and coworkers (See Figure 12).[342] In this series of commercially dyes, various applications have been reported. Notably, aside from photopolymerization disperse blue 1 (DB1) and disperse blue 3 (DB3) are primarily used in hair colour formulations whereas oil blue N (OBN) is used for staining fatty substances in animal tissues. As the main interest of introducing amino groups on anthraquinones, absorptions at long wavelengths can be obtained. As shown in the Figure 13, DB1, DB2 and OBN exhibited absorption maxima located at 635 nm, therefore significantly red-shifted compared to that of the hydroxy-substituted quinizarin (477 nm). Benefiting from this redshifted absorption, polymerization experiments could be performed at 536 nm with a laser diode and at 630 nm with a LED.

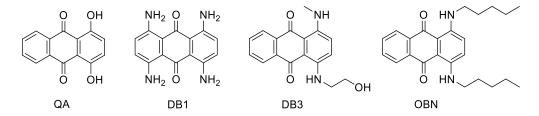
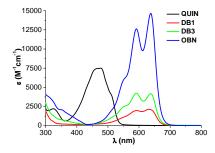
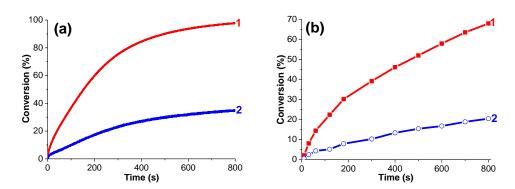


Figure 12. Chemical structures of different amino-substituted anthraquinones.



**Figure 13.** UV-visible absorption spectra of different amino-substituted anthraquinones recorded in acetonitrile. Reproduced with permission of Ref.[342]

Thiol-ene photopolymerization experiments done with trimethylolpropane *tris*(3-mercaptopropionate) (trithiol)/DVE (57%/43% w/w) blend revealed the two-component OBN/Iod (0.5%/2%, w/w) system to be remarkably performant upon irradiation with a laser diode (98% DVE conversion) or with a LED (68% conversion). However, a low trithiol conversion could also be determined (35 and 20% conversions), evidencing that DVE was also polymerizing with mean of a cationic process in competition with the thiol-ene polymerization (See Figure 14).



**Figure 14.** Polymerization profiles obtained during the thiol-ene polymerization of a Trithiol/DVE-3 (57%/43%, w/w) blend in laminate using the two-component OBN/Iod (0.5%/2%, w/w) system upon irradiation with (a) Laser diode at 635 nm (b) LED at 630 nm. Red curve: DVE-3 conversion, blue curve: trithiol conversion. Reproduced with permission of Ref.[342]

The two competing mechanisms are depicted in the Scheme 2, in equations r8-r15.

$$AQD \rightarrow {}^{1}AQD \text{ (hv)} \text{ and } {}^{1}AQD \rightarrow {}^{3}AQD$$
 (r8)

$$^{1/3}AQD + Ph_2I^+ \rightarrow AQD^{\bullet +} + Ph_2I^{\bullet}$$
 (r9)

$$AQD^{\bullet+} + Ph_2I^{\bullet} \rightarrow AQD^{\bullet+} + Ph^{\bullet} + Ph-I$$
 (r10)

$$Ph^{\bullet} + RS-H \rightarrow Ph-H + RS^{\bullet}$$
 (r11)

$$RS^{\bullet} + R'-CH=CH_2 \rightarrow R'-CH^{\bullet}-CH_2SR$$
 (r12)

$$R'$$
- $CH^{\bullet}$ - $CH_2SR + RSH \rightarrow R'$ - $CH_2$ - $CH_2SR + RS^{\bullet}$  (r13)

$$Ph^{\bullet} + VE \rightarrow Ph-VE^{\bullet}$$
 (r14)

$$Ph-VE^{\bullet} + Ph_{2}I^{+} \rightarrow Ph-VE^{+} + Ph^{\bullet} + Ph-I$$
 (r15)

**Scheme 2.** Photochemical mechanisms involved in the thiol-ene polymerization and the cationic polymerization of DVE-3.

Cationic polymerization of EPOX with the different two-component dyes/Iod (0.5%/2% w/w) system revealed QA, DB1 and DB3 to be ineffective. Upon introduction of *N*-vinylcarbazole (NVK) to the photoinitiating system (3 wt%), only low EPOX conversions were obtained after 800 s of irradiation with a halogen lamp. Thus, conversions of 28, 38 and 59% were respectively obtained with the three-component OBN/Iod/NVK (0.5%/2%/3% w/w/w)

systems. The same trend of reactivity was confirmed during the FRP of TMPTA, OBN outperforming DB1 and DB3. For comparison, no polymerization could be detected with QA due to the insufficient absorption at these wavelengths, irrespective of the photoinitiating systems (See Table 4). To support the higher reactivity of the photoinitiating systems in the presence of NVK, the formation of Ph-NVK• radicals exhibiting a higher reactivity than the Ph• radicals was proposed (See Scheme 3).

$$Ph^{\bullet} + NVK \rightarrow Ph-NVK^{\bullet}$$
 (r16)

$$Ph-NVK^{\bullet} + Ph_{2}I^{+} \rightarrow Ph-NVK^{+} + Ph^{\bullet} + Ph-I$$
 (r17)

**Scheme 3.** Mechanism of radical and cation generation occurring upon addition of NVK to the formulation.

**Table 4.** EPOX or TMPTA final conversions obtained upon exposure to the halogen lamp and the laser diode at 635 nm in the presence of anthraquinone derivative based PISs.

PISs	Halog	en lamp	Laser dic	Laser diode at 635 nm	
F138	EPOX <sup>a</sup>	$TMPTA^b$	EPOX <sup>a</sup>	$TMPTA^b$	
Iod	0		0	-	
QA/Iod (0.5%/2%)	0		-	-	
QA/Iod/NVK (0.5%/2%/3%)	0		-	-	
DB1/Iod (0.5%/2%)	0		-	-	
DB1/Iod/NVK (0.5%/2%/3%)	28%		-	-	
DB3/Iod (0.5%/2%)	0		-	-	
DB3/Iod/NVK (0.5%/2%/3%)	38%		-	-	
OBN/Iod (0.5%/2%)	53%		12%	10%	
OBN/Iod/NVK (0.5%/2%/3%)	59%		24%	13%	
OBN/MDEA (0.5%/2%)	-		27%	22%	
OBN/MDEA/R-Br (0.5%/2%/3%)	-		28%	25%	
OBN (2%)	-		22%	6%	
OBN/R-Br (2%/3%)	-		25%	8%	

<sup>&</sup>lt;sup>a</sup> photopolymerization under air for 800 s; <sup>b</sup> photopolymerization in laminate for 400 s.

If the polymerization efficiency is the focus of numerous studies, an interesting work done by Versace and coworkers on diaminoanthraquinone also focused on an application for the final polymers. In the present case, the design of antifouling and biocide coatings were examined.[343] In this work, 2,6-diaminoanthraquinone (AQD) was examined as a photoinitiator of polymerization in combination with Iod and benzyl alcohol for the cationic polymerization of epoxides (See Figure 15).

Figure 15. Chemical structure of AQD.

By electron spin resonance spin-trapping (ESR ST) experiments, a mechanism of hydrogen abstraction between AQD and benzyl alcohol (DH) could be evidenced, occurring directly from the excited dye or by mean of the phenyl radicals (See Scheme 4, equations r18-r24). As a result of this, HPF<sub>6</sub> and D<sup>+</sup> cations could be generated, improving the polymerization efficiency.

$$\begin{array}{lll} AQD \to {}^{1}AQD \ (h\nu) \ and \ {}^{1}AQD \to {}^{3}AQD & (r18) \\ {}^{1,3} \ AQD + Ph_{2}I^{+} + PF_{6^{-}} \to AQD \ ^{\bullet+} + Ph_{2}I^{\bullet} + PF_{6^{-}} & (r19) \\ Ph_{2}I^{\bullet} \to Ph^{\bullet} + Ph_{-}I & (r20) \\ AQD \ ^{\bullet+} + PF_{6^{-}} + DH \to AQD + PF_{6^{-}} + DH^{\bullet+} & (r21) \\ DH^{\bullet+} + PF_{6^{-}} \to H^{+} + PF_{6^{-}} + DH_{(-H)}^{\bullet} & (r22) \\ AQD - H^{\bullet} + Ph_{2}I^{+} + PF_{6^{-}} \to AQD + H^{+} + PF_{6^{-}} + Ph_{2}I^{\bullet} & (r23) \\ D^{\bullet} + Ph_{2}I^{+} + PF_{6^{-}} \to D^{+} + Ph_{2}I^{\bullet} + PF_{6^{-}} & (r24) \\ \end{array}$$

**Scheme 4.** Mechanism involved in the cationic polymerization of epoxides.

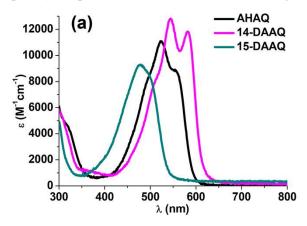
Due to the in-situ liberation of protic acid, 3-glycidyloxypropyltrimethoxysilane (GPTMS) could be hydrolyzed, enabling the formation of Si-O-Si bond between silane groups and thus the formation of a silica network concomitant to the formation of the polymer. By the presence of the GPTMS coating, anti-adhesion properties but also a biocide activity could be demonstrated for the epoxy-based polymers against Gram-negative bacteria (E. coli) and Gram-positive bacteria (S. aureus). Conversely, control experiments done for epoxy-based polymers not containing GPTMS coating did not exhibit any antibacterial or anti-adhesion properties.

On his side, Xiao and coworkers investigated a series of diamino-substituted anthraquinones (AHAQ, 14-DAAQ and 15-DAAQ) differing from AQD by the substitution pattern (See Figure 16).[344]

**Figure 16.** Chemical structures of AHAQ, 14-DAAQ and 15-DAAQ.

Here again, a strong influence of the substitution pattern could be evidenced, the absorption maxima varying from 479 nm for 15-DAAQ to 544 nm for 14-DAAQ and 522 nm

for AHAQ. As previously observed, the most redshifted absorption was found for 14-DAAQ bearing two amino groups in *para*-position from each other (See Figure 17).

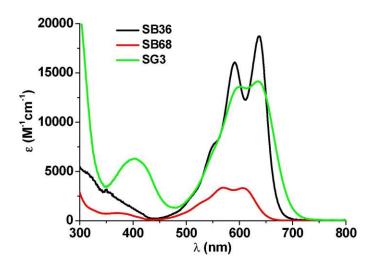


**Figure 17.** UV-visible absorption spectra of AHAQ, 14-DAAQ and 15-DAAQ in acetonitrile. Reproduced with permission of Ref. [344]

Photopolymerization experiments done at 455, 518, 594 and 636 nm evidenced the perfect adequation between absorption and reactivity. Thus, if a higher polymerization ability was found for 15-DAAQ at 455 and 518 nm, 14-DAAQ outperformed 15-DAAQ at 594 and 636 nm due to a better adequation of its absorption spectrum with the emission of the light sources.

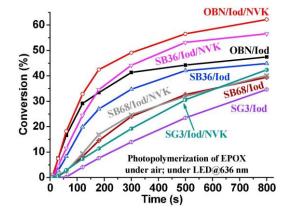
Functionalization of the amino groups of anthraquinone by different structures was also investigated as a tool enabling to improve the solubility of dyes in resins, finely tune the absorption properties of anthraquinones but also to improve the free radical efficiency. Indeed, if OBN proved to be an efficient photoinitiator to promote the CP of EPOX in combination with Iod and NVK, the ability to initiate the FRP of TMPTA remained low. In 2019, Xiao and coworkers examined a series of diaminoanthraquinones bearing different groups as versatile multicolor photoinitiators activable under blue, green and red LED irradiations (See Figure 18), and capable to promote FRP, CP and even thiol-ene polymerizations.[345,346] As previously observed for DB1, DB3 and OBN, an absorption centered in the 500-700 nm range could be determined for SB36, SB68 and SG3 (See Figure 19). Absorption maxima at 638, 606 and 635 nm could be measured for SB36, SB68 and SG3, close to that of OBN (638 nm). It was thus determined that the functionalization of the amino groups of anthraquinone did not significantly affect the position of the absorption maxima.

Figure 18. Chemical structures of SB36, SB68 and SG3.



**Figure 19**. UV-visible absorption spectra of SB36, SB68 and SG3 in acetonitrile. Reproduced with permission of Ref.[345]

Noticeably, cationic polymerization of EPOX revealed the newly designed anthraquinone derivatives to give lower monomer conversions than OBN in three-component dye/Iod/NVK (0.5%/2%/3%, w/w/w) systems, except SB36 that could compete with OBN (56% conversion vs. 62% conversion with OBN (See Figure 20). To support this result, the higher reactivity of OBN•+ compared to SB36•+ was proposed. Noticeably, an improvement of the EPOX conversion could be obtained with OBN upon irradiation with a LED at 636 nm (62% conversion) compared to a laser diode at 635 nm (54% conversion) and this unexpected result was assigned to a better overlap of the absorption spectrum of OBN with the emission of LED compared to the laser diode. Investigation of SB36 during the FRP of TMPTA with the three-component SB36/Iod/NVK (0.5%/2%/3% w/w/w) systems revealed the TMPTA conversion to be lower than that obtained with OBN (18% conversions after 300 s of irradiation at 636 nm vs. 28% for OBN). Besides, by modifying the additives and by using the three-component dye/TEAOH/R-Cl system (where R-Cl stands for 2-(4-methoxystyryl)-4,6-bis(trichloromethyl)-1,3,5-triazine), the conversion could be increased up to 42% in the same irradiation conditions.



**Figure 20.** Polymerization profiles obtained during the CP of EPOX using different three-component AAQs/Iod(/NVK) (0.5%/2%/3%, w/w/w) systems upon irradiation with a LED at 636 nm. Reproduced with permission of Ref.[345].

Considering the high reactivity of SB36, thiol-ene polymerization with this dye was also studied with a wide range of monomers.[346] Finally, a series of mono-amino substituted anthraquinones (AAQ, MAAQ, and BAAQ) was studied by the same authors (See Figure 21).[347,348] As observed for the disubstituted ones, the CP of EPOX or the FRP of TMPTA could be efficiently promoted upon irradiation at shorter wavelengths compared to that used for the disubstituted ones (455 nm vs 635 nm), due to blue-shifted absorptions standing in the 350-600 nm range.

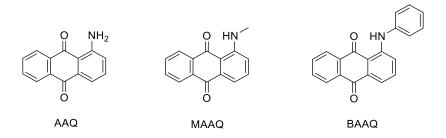


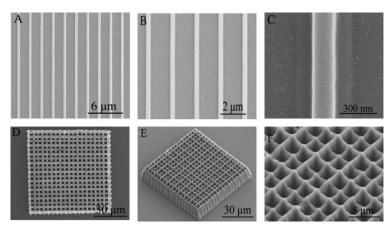
Figure 21. Chemical structures of AAQ, MAAQ and BAAQ.

### 2.3. Water-soluble anthraquinones.

The design of water-soluble photoinitiators constitutes one of the main challenges of the coming decades.[349] Indeed, with aim at developing polymerization processes more respectful from the environment, polymerization in water offers the possibility to polymerize in safer conditions. In order to provide water solubility, different approaches can be used. The first one consists in the chemical modification of water insoluble dyes. However, the main drawback of this approach is the number of synthetic steps that are required in order to access to the desired structures. With aim at developing water soluble dyes without extensive synthetic steps, an efficient strategy consisted in forming charge transfer complexes with a water-soluble molecule and in this field, the electron-donating triethanolamine has been extensively studied.[247,349] Encapsulation of organic dyes in cavities such as cyclodextrins or cucurbiturils constitutes another efficient strategy enabling to minimize the synthetic steps.[111,118] This strategy is notably based on a host-guest interaction between a hydrophobic cavity and a water-insoluble dye. In 2014, Duan and coworkers proposed a water soluble two-photon polymerization (TPP) initiator, namely 2,7-bis(2-(4pentaneoxyphenyl)vinyl)anthraquinone (N) with a C<sub>2v</sub> symmetry[350] whose structure was strongly inspired by his previous works done in 2007 on the same scaffold.[321] As the main difference, two pentoxy chains were introduced on N to improve the solubility in organic solvent but also improve its hydrophobicity (See Figure 22). In the present case, 2hydroxypropyl-β-cyclodextrins (2-Hp-β-CDs) was used as the host for N, producing the water-soluble supramolecular structure WI. Upon encapsulation of N in 2-Hp- $\beta$ -CDs, a blueshift of the absorption was detected, shifting from 328 nm in chloroform to 290 nm in water. A long tail extending up to 600 nm for WI was found, making this dye an interesting photoinitiator under visible light. Examination of their optical properties in chloroform for N and water for WI revealed the two-absorption cross-section  $\delta_{TPA}$  values to be of 600 GM at 820 nm and 300 GM at 770 nm respectively. Especially, for WI, a  $\delta_{TPA}$  value of 200 GM at 780 nm could be determined in water, greatly higher than that of commercial photoinitiators.[351]

**Figure 22**. Chemical structure of N and DBMP.

Two-photon polymerization experiments done on poly(ethylene glycol) diacrylate (PEGDA) in water using WI as the initiator and 2-benzyl-2-(dimethylamino)-4′-morpholinobutyrophenone (DBMP) as the photosensitizer revealed the threshold energy to be of 8.6 mW at the scanning rate of 10  $\mu$ m/s. This value increased to 10.2 mW at the scanning rate of 50  $\mu$ m/s. As shown in the Figure 23, smooth and continuous lines could be obtained while using 0.03 wt% of WI.

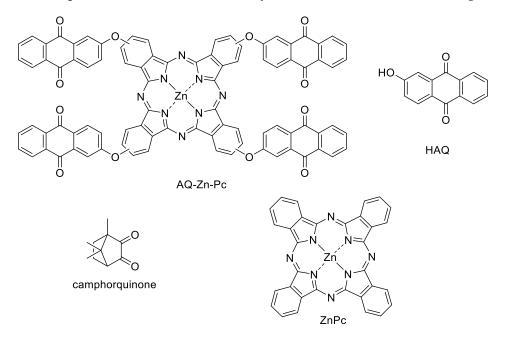


**Figure 23.** SEM images of TPP microfabrications using WI as the initiator. Reproduced with permission of Ref. [350]

#### 2.4. Anthraquinone-based phthalocyanines

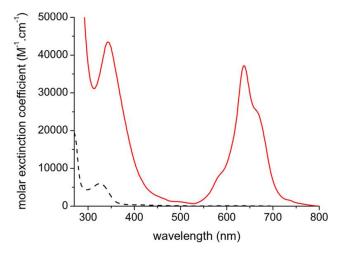
The design of panchromatic photoinitiators is an active research field supported by the fact that such photoinitiators are easier to excite with light than dyes exhibiting a narrower absorption.[49,89,120,229,264,277,285] Indeed, these dyes can be excited at different wavelengths while maintaining an excellent monomer conversion. Considering that anthraquinone exhibits an absorption centered in the 300-450 nm range, absorption spectra of anthraquinone could be extended by its covalent linkage to phthalocyanine.[352] Choice of phthalocyanine was also motivated by the ability of phthalocyanine to reduce oxygen inhibition during FRP experiments upon irradiation in the B band of phthalocyanines with a UV light.[353] Despites the wide range of phthalocyanines reported in the literature, use of phthalocyanines as photoinitiators remains scarce and attributable to the low solubility of

these structures.[354,355] In 2020, the functionalization of a zinc phthalocyanine (Zn-Pc) by peripheral anthraquinone units was examined by Versace and coworkers (See Figure 24).[352]



**Figure 24.** Chemical structures of AQ-Zn-Pc, ZnPc, HAQ and camphorquinone.

By attaching four anthraquinone units onto the phthalocyanine core, AQ-Zn-Pc could exhibit a molar extinction coefficient of 44 000 M<sup>-1</sup>.cm<sup>-1</sup> at 342 nm and 38 000 M<sup>-1</sup>.cm<sup>-1</sup> at 650 nm respectively in DMSO (See Figure 25), greatly higher than that of anthraquinone (6 000 M<sup>-1</sup>.cm<sup>-1</sup> at 325 nm). Considering the broad absorption of AQ-Zn-Pc, photopolymerization experiments could be carried out at 385, 405, 455 and 470 nm with LEDs.



**Figure 25.** UV-visible absorption spectra of AQ-Zn-Pc in DMSO (red line) and anthraquinone (black line). Reproduced with permission of Ref.[352]

Among the different two-component systems used for the FRP of TMPTA, almost similar monomer conversions were obtained with the two-component AQ-Zn-PC/TT system (where TT stands for trimethylolpropane *tris*(3-mercaptopropionate)), the well-established AQ-Zn-PC/Iod2 system (where Iod2 stands for *bis*(4-methylphenyl)iodonium hexafluorophosphate) and the two-component AQ-Zn-PC/MDEA system (See Tables 5, 6 and

7). Especially, the highest monomer conversions were obtained at 385 and 405 nm, due to the high molar extinction coefficient of AQ-Zn-PC in the 300-400 nm range. Interest of the anthraquinone-phthalocyanine combination was demonstrated by comparing the photoinitiating abilities of AQ, HAQ and ZnPc. Thus, if the anthraquinone-phthalocyanine combination was capable to initiate a polymerization in the 385-530 nm range, this ability was considerably reduced for AQ, HAQ and ZnPC, the dyes considered separately being only able to initiate a polymerization between 385 and 405 nm.

**Table 5.** TMPTA conversions obtained in laminate using different two-component dye/TT (0.25%/5% w/w) photoinitiating systems based on AQ-Zn-Pc, AQ, CQ, 2-hydroxyanthraquinone (HAQ) and ZnPc upon irradiation with different LEDs and a Xenon lamp for 800 s.

	385 nm	405 nm	455 nm	470 nm	530 nm	Xe lamp
AQ-Zn-Pc/TT	40%	45%	21%	15%	18%	20%
AQ/TT	50%	33%	np	np	np	27%
CQ/TT		40%	39%			40%
HAQ/TT	50%	60%	33%	10%	np	40%
ZnPc/TT	22%	35%	np	np	np	np

np: no polymerization

**Table 6.** TMPTA conversions obtained in laminate using different two-component dye/MDEA (0.25%/5% w/w) photoinitiating systems based on AQ-Zn-Pc, AQ, CQ, 2-hydroxyanthraquinone (HAQ) and ZnPc upon irradiation with different LEDs and a Xenon lamp for 800 s.

	385 nm	405 nm	455 nm	470 nm	530 nm	Xe lamp
AQ-Zn-Pc/MDEA	50%	50%	25%	20%	20%	50%
AQ/ MDEA	50%	40%	np	np	np	42%
CQ/ MDEA		55%	50%			40%
HAQ/ MDEA	45%	55%	25%	np	np	30%
ZnPc/ MDEA	7%	21%	np	np	np	np

np: no polymerization

**Table 7.** TMPTA conversions obtained in laminate using different two-component dye/Iod2 (0.25%/5% w/w) photoinitiating systems based on AQ-Zn-Pc, AQ, CQ, 2-hydroxyanthraquinone (HAQ) and ZnPc upon irradiation with different LEDs and a Xenon lamp for 800 s.

	385 nm	405 nm	455 nm	470 nm	530 nm	Xe lamp
AQ-Zn-Pc/ Iod2	42%	44%	20%	20%	20%	45%
AQ/ Iod2	55%	59%	np	np	np	34%
CQ/ Iod2		40%	50%			38%
HAQ/ Iod2	60%	60%	np	np	np	np
ZnPc/ Iod2	np	25%	np	np	np	np

np: no polymerization

Comparison with reference systems based on camphorquinone revealed camphorquinone to outperform AQ-Zn-Pc at 455 nm due to the lack of absorption of AQ-Zn-Pc at this wavelength. Conversely, at 405 nm, similar monomer conversions were obtained with AQ-Zn-Pc and CQ. Besides, interest for AQ-Zn-Pc as photoinitiators still exists, polymerization experiments being possible at five different wavelengths. By combining the photolysis, fluorescence quenching and EPR experiments, the photochemical mechanism involved in the three different conditions could be established and are detailed in the Scheme 5.

$$AQ-Zn-Pc \rightarrow AQ-Zn-Pc$$
 (hv) and  $AQ-Zn-Pc \rightarrow AQ-Zn-Pc$  (r8)

$$^{1,3}$$
AQ-Zn-Pc + Ph<sub>2</sub>I<sup>+</sup>  $\rightarrow$  AQ-Zn-Pc•+ Ph<sub>2</sub>I• (r9)

$$Ph_2I^{\bullet} \rightarrow Ph^{\bullet} + Ph-I$$
 (r10)

$$^{1,3}$$
AQ-Zn-Pc + MDEA  $\rightarrow$  AQ-Zn-Pc $^{\bullet-}$  + MDEA $^{\bullet+}$   $\rightarrow$  AQ-Zn-Pc-H $^{\bullet}$  + MDEA $^{\bullet}$ (-H) (r11)

$$^{1/3}$$
AQ-Zn-Pc + R-SH  $\rightarrow$  AQ-Zn-Pc $^{\bullet}$ <sub>(+H)</sub> + RS $^{\bullet}$  (r12)

**Scheme 5.** Chemical mechanism involved with the three two-component systems comprising AQ-Zn-Pc as the photoinitiator.

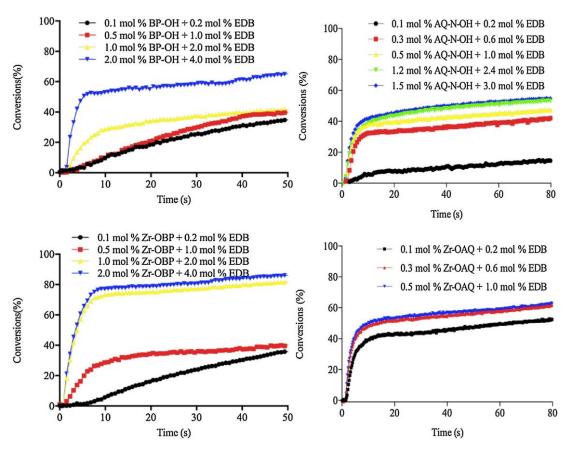
#### 2.5. Anthraquinone-based metal complexes

Oxygen inhibition and extractability of photoinitiators are two major drawbacks numerous photoinitiating systems are facing. An interesting study was notably recently devoted to investigate the migratability of a series of 14 benchmark photoinitiators in food.[356] With aim at addressing these two drawbacks, a series of zirconium-based complexes was proposed by Allonas and coworkers, bearing benzophenone or anthraquinone-based ligands (See Figure 26).[357]

**Figure 26.** Chemical structures of zirconium-based complexes.

In terms of absorption properties, formation of the metal complexes contributed to improve the molar extinction coefficients, compared to the ligands considered alone. In the case of Zr-OAQ, a fourfold enhancement of the molar extinction coefficient can be evidenced, compared to the ligand AQ-N-OH (32400 M<sup>-1</sup>.cm<sup>-1</sup> vs. 7400 M<sup>-1</sup>.cm<sup>-1</sup> for AQ-N-OH). Noticeably, a similar absorption maximum was found between the metal complex and the free ligand (388 nm). A different situation was found for Zr-OBP for which a blue shift by ca. 35 nm was found

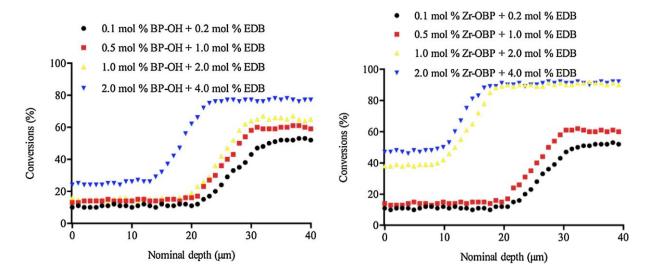
between the ligand and the corresponding metal complex (325 nm vs 360 nm for BP-OH). Photopolymerization tests done on a bisphenol A diacrylate/1-6-hexanediol diacrylate (HDDA) blend (80/20) using the two-component dye/EDB systems revealed the two ligands to furnish low monomer conversions at low photoinitiator content. This issue could be addressed by increasing the concentration up to 2 mol%, enabling to get a final conversion around 60% with BP-OH and only 50% with AQ-N-OH, due to its low solubility in monomers. By using the corresponding complexes as photosensitizers, a significant increase of the monomer conversion could be detected, attributable to the simultaneous presence of four benzophenone and anthraquinone units capable to react with EDB (See Figure 27). By determining the depth conversion profile of the different samples, a significant reduction of the inhibited layer was determined for all samples polymerized with Zr-OAQ. The higher polymerization rates of the inhibited layer were assigned in the case of metal complexes used as photoinitiators to the ability of zirconium complexes to convert peroxyl radicals as initiating radicals. This ability was notably previously demonstrated with a type I photoinitiator, namely diphenyl(2,4,6-trimethylbenzoyl)-phosphine oxide (TPO).[358] By ligand exchange with peroxyl radicals, new initiating radicals could be produced according to the mechanism depicted in the Scheme 6.[358]



**Figure 27.** polymerization profiles obtained with different two-component dye/EDB systems upon irradiation by a UV light. Reproduced with permission of Ref. [357]

**Scheme 6.** mechanism enabling to overcome oxygen inhibition with zirconium complexes.

As shown in the Figure 28, an increase of the monomer conversion by going far from the surface could be evidenced.



**Figure 28.** Monomer conversion determined as a function of the distance from the surface of the samples exposed to air. Reproduced with permission of Ref. [357]

#### 2.6. Anthraquinone derivatives as monocomponent photoinitiating systems

As stated above, all anthraquinone derivatives have been investigated in combination with different additives, anthraquinones considered alone being unable to initiate any polymerization processes. This point was addressed in 2021 with the development of Q3 and Q4 that could act as monocomponent photoinitiators (See Figure 29).[359] Their photoinitiating abilities were notably examined with the previously reported allyl quinizarin QA and Q3 bearing allyl groups. Interestingly, Q4 exhibited an absorption maximum at 368

nm ( $\varepsilon$  = 5893 M<sup>-1</sup>.cm<sup>-1</sup>), with a tail extending up to 450 nm. Compared to QA and Q3, a blue shift of the absorption of Q4 was found ( $\lambda_{max}$  (QA) = 413 nm,  $\lambda_{max}$  (Q3) = 487 nm), evidencing the crucial importance of unsubstituted phenol groups to get an absorption centered in the visible range (See Figure 30). Photolysis experiments done in acetonitrile and under air revealed the photolysis process to be very fast at 365 nm but slow at 405 nm (See Figure 31), indicating the low photochemical activity of this dye at 405 nm. A different situation was found for the two-component Q4/Iod combination for which an efficient photobleaching of the solutions could be demonstrated both at 365 and 405 nm.

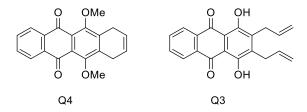
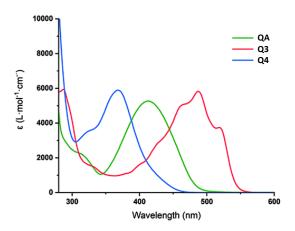
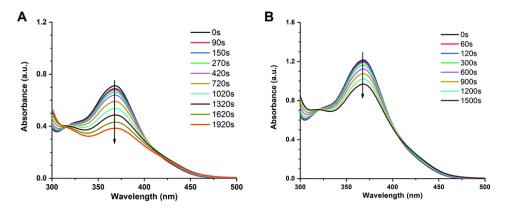


Figure 29. Chemical structure of Q4.



**Figure 30.** UV-visible absorption spectra of QA, Q3 and Q4 in acetonitrile. Reproduced with permission of Ref. [359]

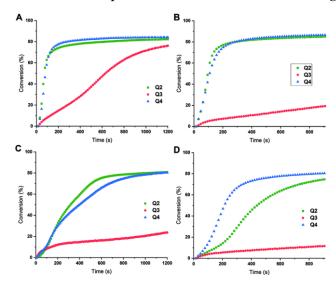


**Figure 31.** Steady state photolysis experiments done at 365 nm (A) and 405 nm (B) for Q4 in acetonitrile under air. Reproduced with permission of Ref. [359]

To support the efficient photobleaching detected in solution but also its ability to act as monocomponent system, the following mechanism was proposed, based on theoretical calculations (See Scheme 7).

Scheme 7. Mechanism of photoinitiation proposed with Q4.

Notably, upon photoexcitation of Q4, an intermolecular hydrogen abstraction process in the excited state can occur, producing two initiating radicals [Q4+H]• and [Q4-H]•. Theoretical calculations also revealed [Q4-H]• radicals to be less reactive than [Q4+H]• radicals due to a more localized single electron and a higher steric hindrance around the odd electron. Comparison of the photoinitiating abilities of QA, Q3 and Q4 during the FRP of TPGDA and HDDA used as monocomponent systems revealed QA and Q4 to exhibit similar reactivities in TPGDA and HDDA both at 365 and 405 nm. A lower reactivity of QA compared to Q4 could however be evidenced at 405 nm in HDDA, even if a similar monomer conversion could be obtained after 800 s of irradiation. Due to a low absorption of Q3, a lower monomer conversion was obtained in both monomers irrespective of the irradiation wavelength (See Figure 32).



**Figure 32.** TPGDA (A, B) and HDDA (C, D) conversions determined upon excitation at 365 nm (A, C) and 405 nm (B, D) and by using the different dyes as monocomponent systems (1 wt%). Reproduced with permission of Ref. [359]

Interestingly, during the FRP of TMPTA, similar monomer conversions could be obtained for the different dyes used alone (1 wt%) or in combination with Iod (3 wt%)., evidencing the dominant role of hydrogen abstraction mechanism in the polymerization process. Considering that the thiol-ene reaction is also promoted by free radical species, the polymerization of a trithiol/TMPTA (50%/50% w/w) blend was also examined, enabling to get TMPTA conversions higher than 84% and trithiol conversions higher than 44% (See Table 8).

**Table 8.** Monomer conversions obtained during the thiol-ene polymerization of a TMPTA/trithiol (50%/50% v/v) blend) upon irradiation at 365 and 405 nm using 1 wt% of dyes.

	I	LED at 365 nm	า	I	LED at 405 nn	n
	QA Q3 Q4			QA	Q3	Q4
TMPTA	93	90	86	86	84	92
Trithiol	59	54	46	44	47	51

Finally, the possibility to initiate the cationic polymerization of EPOX with the two-component dye/Iod (1%/3% w/w) system was also examined as well as the concomitant polymerization of a TMPTA/EPOX blend with these systems at 365 and 405 nm. In these different experiments, the lowest monomer conversions were obtained with Q3, in accordance with the lower molar extinction coefficients of Q3 at these two irradiation wavelengths.

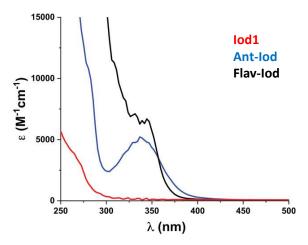
#### 2.6. Iodonium salt based on anthraquinone

Still with aim at developing mono-component systems, in 2021, Xiao and coworkers prepared an iodonium salt bearing an anthraquinone as the chromophore (Ant-Iod) (See Figure 33). For comparison, another iodonium salt was also prepared with a natural dye, namely a flavone (Flav-Iod).[360]

**Figure 33.** Chemical structure of Ant-Iod and Flav-Iod.

By introducing a flavone or an anthraquinone moiety as the chromophores, an absorption extending until 425 nm could be detected in acetonitrile whereas no absorption after 300 nm could be detected for the parent Iod1 (See Figure 34). Absorption maxima located at 336 nm for Ant-Iod and 344 nm for Flav-Iod were respectively determined. The red-shifted absorption of Flav-Iod compared to Ant-Iod is directly related to its more extended  $\pi$ -conjugation. Considering that Iod1 was used as a reference iodonium salt, polymerization

experiments were carried out by irradiating in the 250-650 nm range but also in the 320-480 nm range.



**Figure 34.** UV-visible absorption spectra of Iod1, Ant-Iod and Flav-Iod. Reproduced with permission of Ref. [360]

As shown in the Figure 35 during the FRP of TMPTA, Ant-Iod and Flav-Iod could act as mono-component systema and outperform Iod1 due to higher molar extinction coefficients in the visible range and thus a better adequation with the light source (250-650 nm range). This trend was confirmed by irradiating in the 320-480 nm range. In this spectral range, Flav-Iod clearly outperformed Ant-Iod and Iod1. TMPTA conversions of 46% (250-650 nm range) and 41% (320-480 nm range) were obtained with Flav-Iod.

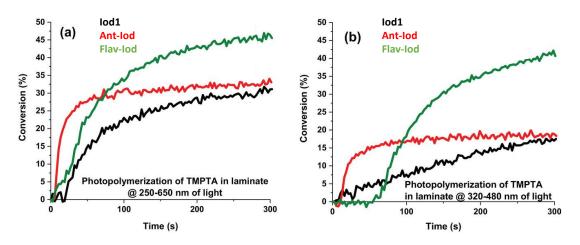
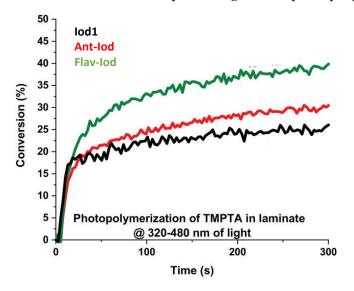


Figure 35. TMPTA conversions obtained with the different iodonium salts ( $C = 29.6 \mu mol/g$ ) used as mono-component photoinitiating systems in different irradiation conditions. Reproduced with permission of Ref. [360]

The monomer conversions could be improved upon addition of EDB, assigned to the formation of charge transfer complex (CTC) with EDB, improving the light absorption properties.[129,207,361–363] Using the two-component dye/EDB system, TMPTA conversions

of 25, 30 and 40 % could be obtained while reducing the iodonium concentration to only 8.4  $\mu$ mol/g (and [EDB] = 103.5  $\mu$ mol/g) (See Figure 36). Considering the Iod1/EDB combination is considered as a reference system, the two newly developed iodonium salts therefore exhibited higher monomer conversions than Iod1 due to improved light absorption properties.



**Figure 36.** TMPTA conversions obtained with the iodonium salt/EDB combinations. Reproduced with permission of Ref. [360]

## 2.7. Anthraquinones of extended aromaticities

One of the key-element to get high molar extinction coefficients in the visible range consists in extending the aromaticity of the dyes. This point was examined by Sokołowska in 2012 and two benzo[2,3-b]phenazine derived anthraquinones were proposed, namely dyes IIIa and IIIc (See Figure 37). To investigate their photoinitiating abilities, different electron donors and acceptors were used, as shown in Figure 37. By extending the conjugation in IIIa and IIIc, absorption maxima located at 288 and 370 nm were respectively found in 1-methyl-2-pyrrolidinone. Noticeably, by introducing the electron-donating methoxy group, a red-shift of the absorption by ca 82 nm was detected for IIIc compared to IIIa, resulting from an intramolecular charge transfer. Among electron donors and acceptors tested during the FRP of TMPTA, the best monomer conversions were obtained with A2 and D2. Concerning the polymerization rates, this is with A1 and D1 that the polymerizations were the fastest at the beginning of the polymerization. Parallel to the FRP of TMPTA, the cationic polymerization of cyclohexane oxide was also examined with IIIa and IIIc. With the two dyes, high monomer conversions could be obtained using A3 and A4 as the additives i.e. the iodonium salts.

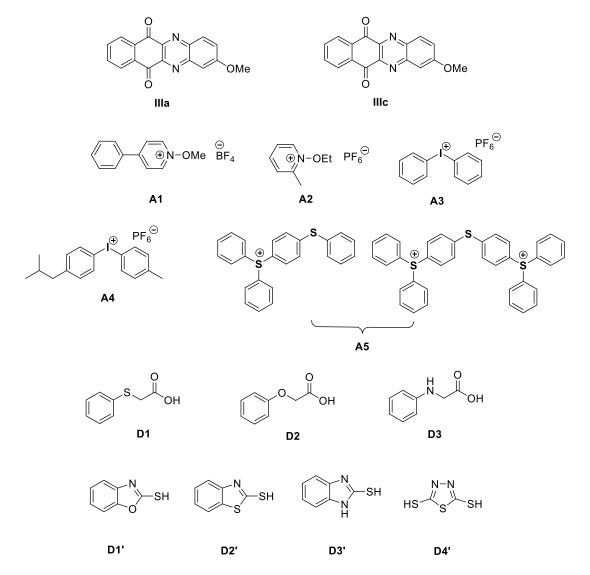


Figure 37. Chemical structures of IIIa and IIIc, different electron donors and acceptors.

#### Conclusion

To conclude, anthraquinones have been introduced as the chromophore in a wide range of photoinitiators so that polymerization experiments could be carried out from the UV range up to 700 nm. By connecting anthraquinones to phthalocyanine, panchromatic photoinitiators could even be obtained. At present, the design of water-soluble anthraquinones is still lacking and only one supramolecular architecture based on cyclodextrin has been reported to date. Besides, polymerization in water offers a unique opportunity to polymerize in conditions more respectful from the environment. To date, no Type I photoinitiators have been designed with anthraquinone. Besides, a significant simplification of the composition of the resin could be obtained with these structures. Indeed, only multicomponent systems have been reported at a few exceptions. Considering the remarkable light absorption properties of anthraquinones, and in light of the numerous structures that have been developed, the future challenge of photoinitiators (water-solubility, migratability, simplification of the photocurable resins with the design of monocomponent

systems) will be certainly addressed in the coming years. Another major focus of future works will also consist in designing photobleachable photoinitiators. Indeed, if the possibility of designing highly efficient photoinitiating systems with anthraquinone has been demonstrated, none of them have been reported to be capable to bleach at present. Future works will also consist in developing anthraquinones for photocontrolled/living radical polymerization. At present, this aspect of photopolymerization has not been investigated yet.

#### Acknowledgments

Aix Marseille University and the Centre National de la Recherche Scientifique (CNRS) are acknowledged for financial supports.

#### **Conflicts of Interest**

The authors declare no conflict of interest.

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