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1 Color appearance of spatial patterns compared 2 by direct estimation and conjoint measurement

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11 **Abstract:** Perceptual scales of color saturation as obtained by direct estimation (DE) and
12 maximum likelihood conjoint measurement (MLCM) were compared for red checkerboard
13 patterns and uniform red squares. For the direct estimation task, observers were asked to rate
14 the saturation level as a percentage, indicating the chromatic sensation for each pattern and
15 contrast. For the MLCM procedure, observers judged on each trial which of two stimuli that
16 varied in chromatic contrast and/or spatial pattern evoked the most salient color. In separate
17 experiments, patterns varying only in luminance contrast were also tested. The MLCM data
18 confirmed previous results reported with DE indicating that the slope of the checkerboard scale
19 with cone contrast levels is steeper than that for the uniform square. Similar results were
20 obtained with patterns modulated only in luminance. DE methods were relatively more variable
21 within observer, reflecting observer uncertainty, while MLCM scales showed greater relative
22 variability across observers, perhaps reflecting individual differences in the appearance of the
23 stimuli. MLCM provides a reliable scaling method, based only on ordinal judgments between
24 pairs of stimuli and that provides less opportunity for subject-specific biases and strategies to
25 intervene in perceptual judgements.

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27

28 1. Introduction

29

30 Direct estimation (DE) is commonly used in color vision studies to measure hue and saturation
31 perception [1,2]. While the method has been carefully validated [3], it has been criticized as
32 being nonintuitive for naïve observers, subject to cultural or linguistic biases and dependent on
33 an observer's capacity to translate percepts into numbers [4]. A recent study sought to address
34 these criticisms by using a similarity rather than a rating procedure [4].

35 Over the last 20 years, scaling methods have been introduced that are based on simple ordinal
36 comparisons of stimuli, and the perceptual scales are estimated to maximize the likelihood of
37 the observer's judgments over the experimental trials within the framework of a signal detection
38 model of the decision process [5-7]. Ordinal comparisons would be expected to be easier to
39 make than subjective ratings, and these methods offer a more rigorous procedure for obtaining
40 scale values. Nevertheless, there are no guarantees that both methods would generate the same
41 results. Here, we compare DE and Maximum Likelihood Conjoint Measurement (MLCM) in
42 a replication of experiments that investigated the influence of spatial complexity and cone
43 contrast on color appearance. MLCM is based on paired-comparisons and is used to estimate
44 perceptual scales associated with the integration of information along multiple dimensions [8-
45 10].

46 Recently, Shapley, Nunez & Gordon (2019) argued that two separate systems contribute to
47 color appearance [11]. One system is sensitive to low chromatic contrasts and responds best to
48 low spatial frequencies while the other is most active at high chromatic contrasts and has a
49 band-pass frequency characteristic. This hypothesis is based on the neural responses of two
50 color-sensitive cell classes described in primate cortical area V1: single-opponent and double-
51 opponent cells [12-18]. Single-opponent cells respond best to the low spatial frequency content
52 of chromatic patterns (< 0.5 c/deg) and display a fall-off in sensitivity to high frequency
53 chromatic patterns. In contrast, double-opponent cells display a band-pass sensitivity, with
54 greater selectivity for patterns at higher spatial frequencies (2 c/deg) with response fall-off to
55 patterns at both low and high spatial frequencies [12,17,19].

56 Nunez, Shapley & Gordon (2018) used a direct estimation (DE) [20], saturation scaling method
57 to estimate the appearances of equiluminant uniform and high frequency checkerboard stimuli
58 under the assumption that these stimuli would preferentially activate single- or double-
59 opponent cell classes, respectively. The perceived saturation of both stimuli increased as a
60 function of cone contrast, but the checkerboard pattern increased more steeply than the uniform
61 square field for the equivalent size and cone contrasts. Thus, the strength of the estimated color
62 appearance was stronger for stimuli designed to preferentially activate double-opponent rather
63 than single-opponent cell classes. These results were supported by VEP measurements which
64 provided evidence for two different mechanisms underlying the responses to the two patterns
65 [20,21].

66 Here, we replicate the DE procedure of Nunez et al. (2018) for reddish equiluminant stimuli
67 and compare the results with those obtained using MLCM. We also compared the methods
68 using patterns varying only in luminance.
69

70 **2. General Methods**

71

72 *2.1 Observers*

73 Seven observers participated in the experiments, six of whom were naïve to the purpose of the
74 study. Ages ranged from 26 to 46 years. All observers had normal color vision as tested by a
75 Farnsworth Panel D15 and had normal or corrected-to-normal visual acuity. We tested five
76 participants for each task. The same five observers participated in the DE and the MLCM tasks
77 for patterns displayed along a given axis. For the MLCM and the direct estimation tasks with
78 patterns displayed along the L+M axis, three observers from the previous tasks remained, and
79 two additional participants were recruited. All studies were conducted in agreement with the
80 Declaration of Helsinki for the protection of human subjects.
81

82 *2.2 Apparatus*

83 The experiments were run in a dark room and were programmed using MATLAB 7.9
84 (MathWorks, <http://mathworks.com>) and the CRS Toolbox. The stimuli were presented on a
85 NEC MultiSync FP2141sb color CRT monitor driven by a Cambridge Research ViSaGe
86 graphic board with a color resolution of 14 bits per gun (Cambridge Research Systems,
87 Rochester, United Kingdom). The monitor had a diagonal screen size of 22 inches, resolution
88 of 1024×768 pixels, and a refresh rate of 120 Hz. The screen was calibrated using a SpectroCal
89 spectroradiometer with the calibration routines of Cambridge Research Systems. A Cedrus
90 RB540 response pad was used to collect observer responses (Cedrus Corporation; San Pedro,
91 CA, USA). Observer position was stabilized by a chinrest so that the screen was viewed
92 binocularly at a distance of 80 cm.
93

94 **2.3 Stimuli**

95 Observers viewed a red-gray checkerboard pattern, or a red square displayed on a gray
 96 background. In a separate session, a white-gray checkerboard pattern and a white square were
 97 also used. The same spatial patterns were used for the DE method and the MLCM procedure
 98 though more contrast levels were tested using MLCM. Stimuli were designed to be similar to
 99 those used by Nunez et al. [20,21,29].

100 The stimulus size measured 10×10 deg. The checkerboard had 32×32 checks, each of
 101 dimensions 0.3141×0.3141 deg. The choice of the checkerboard and square sizes were chosen
 102 according to the values used by Nunez et al. (2018). As they did, all stimuli were vignetted by
 103 a stationary Gaussian window ($\sigma = 2$ deg). Thus, the checkerboard and the square faded
 104 gradually to the background gray color moving away from the stimulus center. The gray
 105 background had fixed chromatic coordinates ($x, y = 0.30, 0.35$; $Y = 29.89$ cd/m²), whereas 6
 106 and 10 different chromatic values were used for the DE and for the MLCM procedures,
 107 respectively. The CIE coordinates are provided in Table I (red stimuli) and II (white stimuli).
 108 Note that for the red stimuli, contrast values differed from those used by Nunez et al. (2018)
 109 but these values overlapped extensively. For the luminance conditions, values ranged from
 110 36.16 cd/m² to 111.60 cd/m².

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Table 1. CIE color coordinates, cone contrast (the difference between the cone excitation of the stimulus and the cone excitation of background divided by the cone excitation of the background) and the contrast measured for each red color presented.

MLCM	CIE coordinates			Cone values			Contrast
	x	y	Y	L	M	S	$\frac{\sqrt{\left(\frac{\Delta L}{L}\right)^2 + \left(\frac{\Delta M}{M}\right)^2 + \left(\frac{\Delta S}{S}\right)^2}}{\sqrt{3}}$
	0.3147	0.3440	30.36	19.9356	10.5941	0.2281	0.0189
	0.3290	0.3391	30.72	20.4461	10.4459	0.2279	0.0356
	0.3420	0.3325	31	20.9114	10.2650	0.2302	0.0531
	0.3421	0.3228	31.04	21.0366	10.1923	0.2445	0.0739
	0.3720	0.3229	32.14	22.3234	9.9991	0.2308	0.0971
	0.3848	0.3178	32.82	23.1016	9.9059	0.2336	0.1213
	0.3992	0.3141	33.86	24.1695	9.8825	0.2354	0.1521
	0.4121	0.3103	34.75	25.1287	9.8176	0.2370	0.1809
	0.4240	0.3087	36.1	26.3870	9.9134	0.2385	0.2164
	0.4369	0.3065	37.9	28.0368	10.0701	0.2424	0.2645
Direct Estimation	x	y	Y	L	M	S	$\frac{\sqrt{\left(\frac{\Delta L}{L}\right)^2 + \left(\frac{\Delta M}{M}\right)^2 + \left(\frac{\Delta S}{S}\right)^2}}{\sqrt{3}}$
	0.3147	0.3440	30.36	19.9356	10.5941	0.2281	0.0189
	0.34	0.33	30.62	20.6199	10.1729	0.2260	0.0473
	0.37	0.32	31.6	21.8173	9.9622	0.2286	0.0838
	0.39	0.32	33.09	23.4097	9.8684	0.2331	0.1302
	0.41	0.31	34.94	25.3081	9.8277	0.2359	0.1854
	0.4369	0.3065	37.9	28.0368	10.0701	0.2424	0.2645

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Table 2. CIE color coordinates, cone contrast (the difference between the cone excitation of the stimulus and the cone excitation of background divided by the cone excitation of the background) and the contrast measured for each luminance level presented.

MLCM	CIE coordinates			Cone values			Contrast $\frac{\sqrt{\left(\frac{\Delta L}{L}\right)^2 + \left(\frac{\Delta M}{M}\right)^2 + \left(\frac{\Delta S}{S}\right)^2}}{\sqrt{3}}$
	x	y	Y	L	M	S	
	0.3023	0.3501	36.16	23.4569	12.9026	0.2717	0.2063
	0.3024	0.3502	42.53	27.5904	15.1741	0.3192	0.4184
	0.3025	0.3470	49.27	32.0006	17.5469	0.3766	0.6529
	0.3026	0.3457	56.68	36.8331	20.1689	0.4364	0.9060
	0.3028	0.3444	65.01	42.2725	23.1099	0.5040	1.1911
	0.3027	0.3430	72.74	47.3185	25.8422	0.5687	1.4587
	0.3031	0.3424	82.16	53.4753	29.1614	0.6438	1.7790
	0.3032	0.3414	91.91	59.8476	32.5992	0.7242	2.1146
	0.3034	0.3407	100.2	65.2729	35.5148	0.7923	2.3995
	0.3035	0.3400	111.6	72.7236	39.5340	0.8858	2.7911
Direct Estimation	x	y	Y	L	M	S	$\frac{\sqrt{\left(\frac{\Delta L}{L}\right)^2 + \left(\frac{\Delta M}{M}\right)^2 + \left(\frac{\Delta S}{S}\right)^2}}{\sqrt{3}}$
	0.3023	0.3501	36.16	23.4569	12.9026	0.2717	0.2063
	0.3024	0.3470	47.89	31.1018	17.0580	0.3662	0.6068
	0.3028	0.3546	61.52	40.0003	21.8716	0.4764	1.0727
	0.3031	0.3430	75.74	49.2862	26.8916	0.5915	1.5589
	0.3032	0.3414	92.1	59.9714	32.6666	0.7257	2.1211
	0.3035	0.3400	111.6	72.7236	39.5340	0.8858	2.7911

125

126 Stimuli were specified in the DKL color space [22-24]. This is a three-dimensional cone-
127 opponent space based on the Smith and Pokorny (1975) cone fundamentals [25]. The first axis
128 represents a luminance mechanism based on the sum of L and M cone excitations (L+M). The
129 second axis represents the difference between the L and M cone excitations (L-M). Finally, the
130 third axis defines lights that selectively modulate the S cones as L-M and L+M are constant
131 along this axis. Hue direction is specified by the azimuth. Luminance is scaled between -1 and
132 1, where the value of 1 corresponds to the maximum of the monitor luminance and the value of
133 -1 to the minimum. Chromatic strength ranges from 0 to 1 and refers to the maximum value
134 along the axis that may be displayed on the monitor. Note that a value of 0 corresponds to the
135 origin in DKL color space; increasing the distance from 0 in the equiluminant plane leads to
136 increases in the perceived saturation of the stimulus.

137 The stimuli were defined with the gray background and red colors at azimuth of 0 deg. The
138 saturation levels of the reddish colored stimulus varied from 0.1 to 1 along the L-M axis in
139 DKL color space while the gray background was fixed at 0. In separate sessions, the red-gray
140 checkerboard and the red square were replaced by a white-gray checkerboard and a white
141 square. Here, the azimuth is fixed at 0 deg and the luminance elevations of the white color
142 ranged from 0.1 to 1 along the L+M axis in the DKL color space.

143 The contrasts of the colored stimuli are presented in Table I and II. In both tables, the L, M
144 and S cone contrasts were defined as the difference between the maximum cone excitation of
145 the stimuli and the background and divided by the cone excitation of the background. Then,

146 the stimulus contrast was calculated as $\frac{\sqrt{\left(\frac{\Delta L}{L}\right)^2 + \left(\frac{\Delta M}{M}\right)^2 + \left(\frac{\Delta S}{S}\right)^2}}{\sqrt{3}}$.

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149 **2.4 Procedure**

150 Each observer completed the two experimental sessions on separate days. Observers were
151 adapted to the grey background for 3 min at the beginning of each session. There was a practice
152 session of 5 trials, followed by the experimental session, if the observer felt comfortable with
153 the task; otherwise, additional practice trials were run. No feedback was provided during any
154 part of the experiment.

155 *Direct estimation task*

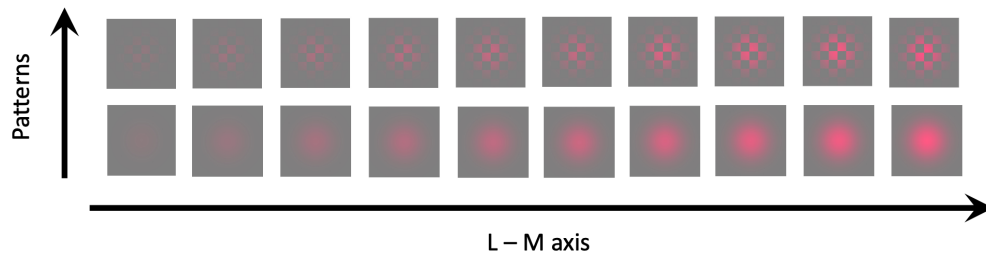
156 Each stimulus was presented four times for each condition, tested in a random order. Each
157 session consisted of 48 trials (2 patterns \times 6 saturation levels \times 4 repetitions). On each trial, a
158 black fixation cross was presented in the center of the screen for 500 ms. At its offset, the
159 stimulus appeared for 500 ms, followed by a blank screen. The participants were asked to rate
160 the saturation level as a percentage indicating the chromatic sensation. Thus, the absence of
161 chromatic sensation is indicated by 0% of saturation, and a maximal sensation corresponded to
162 100% of saturation. The subsequent trial was activated by pressing the appropriate button. A
163 1 s pause was interleaved between each trial.

164 *Maximum Likelihood Conjoint Measurement task*

165 In the MLCM experiments, 10 contrast levels and 2 stimulus patterns were used, generating a
166 2×10 grid of 20 stimuli. Figure 1 shows an example of the stimulus grid from which stimulus
167 pairs were selected for patterns displayed along the L-M axis. On each trial, two different
168 stimuli were chosen randomly from the 2×10 grid (see Figure 1); there are a total of $(20 \times 19) / 2$
169 = 190 non-identical pairs. A session consisted of the random presentation of all test pairs. It
170 was repeated two times, yielding 380 trials for each observer.

171 Initially, a black fixation cross was presented in the center of the screen for 500 ms. When it
172 disappeared, two stimuli were presented during 500 ms, followed by a blank screen. Observers
173 were instructed to judge which pattern appeared more reddish or brighter, depending on the
174 stimulus set. Responses were recorded as right or left button presses and initiated a 1 s pause
175 before the initiation of the next trial. Overall, the MLCM sessions, including a rest between
176 repetitions, lasted around 25 minutes while the DE task required about 15-20 minutes.

177



178

179 Fig. 1. Examples of stimulus set used for the Maximum Likelihood Conjoint Measurement
180 experiment. Each row corresponds to a stimulus pattern, a checkerboard on the top and a
181 square on the bottom. Each column represents different saturation levels displayed along the
182 L-M axis.

183 **2.5 Statistical Analyses**

184

185 All statistical analyses were performed in the R statistical environment [26]. Data were plotted
186 with functions from the **lattice** package [27]. Random effects analyses were performed using
187 the *lmer* function in the **lme4** package [28].

188

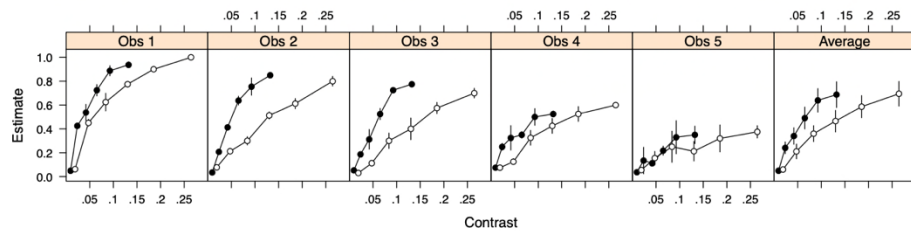
189 **3. Results**

190 *3.1 Saturation levels displayed along the L-M axis*

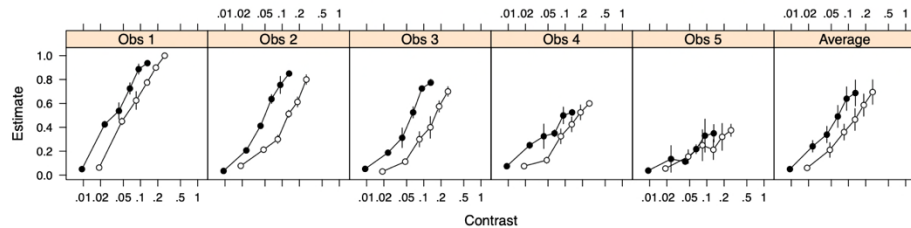
191 Figure 2 shows each observer's mean saturation estimates for the DE task as a function of cone
192 contrast for the square (white circles) and the checkerboard (black circles) stimuli. The column
193 labels identify the observers; average functions are presented in the rightmost panels. The
194 abscissa cone contrast levels of the uniform square were scaled to take into account that the
195 space-averaged cone contrast levels of the red-gray checkerboard are one-half those of the
196 uniform square of the same cone contrast levels [10]. The observers were ordered with respect
197 to their estimations at the maximum contrast, and then their identifications were assigned so
198 that Observer 1 gave the highest ratings and Observer 5 the lowest. The top row shows the
199 results displayed with a linear abscissa while the bottom row displays the same data on a
200 logarithmic abscissa. For both the chromatic checkerboard and uniform square, estimates
201 increased monotonically with the cone contrast. The estimates for the chromatic checkerboard
202 increased more steeply than those for the uniform square over the same cone contrast levels.
203 On the log abscissa, the difference in steepness appears as a lateral shift of a roughly invariant
204 shaped function. Informally, after the measurements were completed, observers reported the
205 checkerboard to appear more saturated than the square at equal cone contrast, when presented
206 side-by-side. These results replicate those found by Nunez et al. [20].

207 The data displayed individual variability in the range of estimated saturations and in the relative
208 separation of the two curves. For example, the saturation estimated for the maximum contrast
209 for Obs. 5 was 40% of that of Obs. 1. In addition, the two curves for Obs. 5 tend to overlap for
210 low contrasts, whereas this does not occur for the other observers. Obs. 5 also tended to show
211 more variable estimates.
212

(a)



(b)



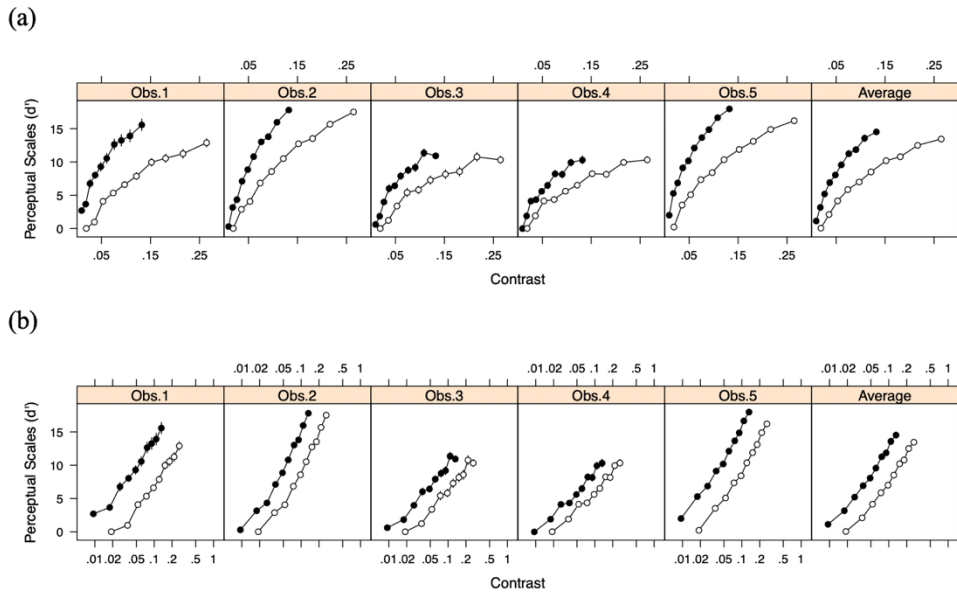
213

214 Fig. 2. Results obtained with a direct estimation procedure. Mean estimated scale presented as
215 a function of cone contrast for the square (white circle) and the checkerboard (black circle)
216 patterns displayed along the L-M axis. Data are presented with (a) a linear scale and (b) a
217 logarithmic scale. Error bars show +/- 1 SEM for estimates across the four repetitions.

218

219 For the MLCM procedure, the data were analyzed as a decision process within the framework
 220 of a signal detection model and fitted by maximum likelihood [5,6]. Perceptual contributions
 221 of each dimension were estimated using the software package MLCM [29] in the open-source
 222 software R [26].

223 Figure 3 shows the average estimated scales for each pattern obtained from fitting the additive
 224 model to the data of each of the 5 observers and the average functions. As in the previous
 225 figure, the abscissa values indicate the cone contrast values, with linearly spaced abscissa in
 226 Fig 3a and a logarithmically spaced abscissa in Fig. 3b. Filled circles represent the estimated
 227 checkerboard scale while open circles indicate the uniform square scale. The data follow the
 228 same qualitative pattern as in Figure 2, using the DE method. The contribution of the
 229 checkerboard pattern increases more steeply with cone contrast than the square pattern. On a
 230 logarithmic abscissa, curves of similar shape are simply translated along the abscissa. As the
 231 observers are ordered as in Fig. 2, the extent to which the two methods agree with respect to
 232 the response range can be evaluated. The response ranges of the estimated scales tend to follow
 233 those obtained using the DE method except for Obs. 5, who rather than showing the weakest
 234 responses as with DE, shows one of the strongest responses with MLCM.
 235



236

237 Fig. 3. Estimated scale obtained with MLCM procedure. Average estimates for the square
 238 (white circle) and the checkerboard (black circle) patterns displayed along the L-M axis as a
 239 function of cone contrast for five observers. Data are presented with (a) a linear scale and (b)
 240 a logarithmic scale. Error bars show +/- 1 SEM for estimates across the two sessions.

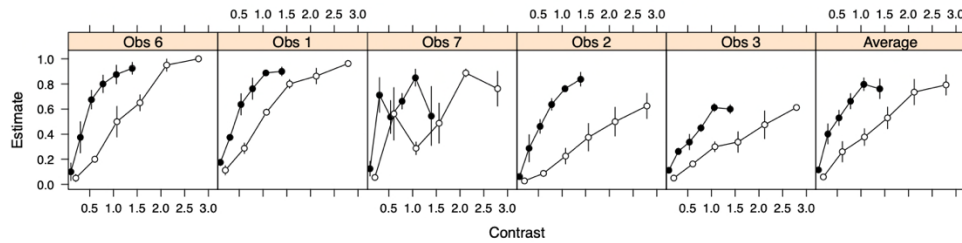
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242 3.2 Luminance levels displayed along the L+M axis

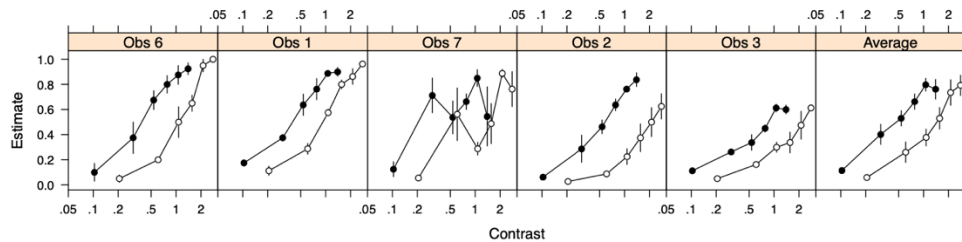
243 Results of the DE task for five observers are displayed in Figure 4 along with the average curves
 244 and are presented as a function of cone contrast for the checkerboard (black circles) and for the
 245 square (white circles). Results for both patterns show a qualitatively similar pattern as that
 246 along the L-M axis with estimates increasing monotonically with contrast, except for Obs. 7.
 247 The estimated contrast of the checkerboard was higher than that of the uniform square and on

248 the logarithmic abscissa, the curves appear to differ only by a lateral translation. There are
 249 individual differences in the range of estimates that the observers used. Obs. 7 generated less
 250 consistent estimates as indicated by the larger error bars and the estimates varied non-
 251 monotonically with contrast. Again, the ordering in the figures is with respect to maximum
 252 estimates along the scales in order to facilitate comparison with the MLCM measures.
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(a)



(b)

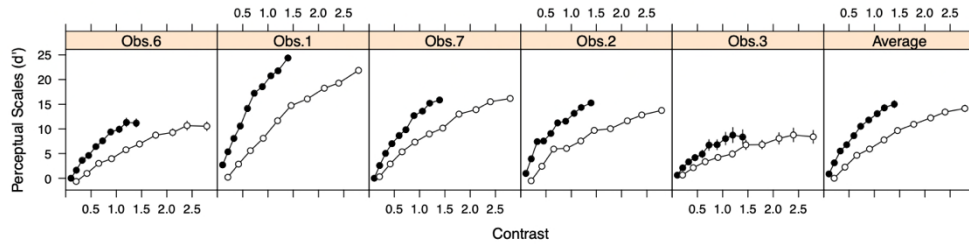


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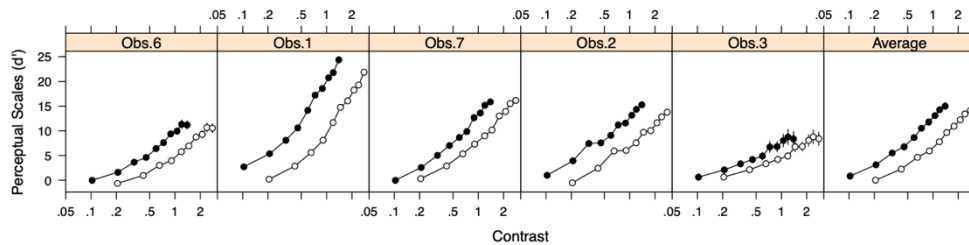
255 Fig. 4. Estimated scale obtained with direct estimation procedure. Mean estimated scale presented as a function of
 256 cone contrast for the square (white circle) and the checkerboard (black circle) patterns displayed along the L+M axis
 257 across all observers. Data are presented with a (a) a linear scale and (b) a logarithmic scale. Error bars show +/- 1
 258 SEM for estimates across the four repetitions.

259 Scales estimated from the MLCM procedure are displayed in Figure 5, using the same format
 260 as the previous figures. Results show the average estimated scales under the additive model
 261 for each observer. Filled circles indicate the estimated checkerboard scales and open symbols
 262 the square scales. The values on the abscissa indicate the 10 cone contrast values for each
 263 dimension as displayed along the L+M axis in the DKL color space. The MLCM results agree
 264 qualitatively with those from the DE method in the relative forms and spacing of the two curves.
 265 The range of responses for DE and MLCM agree across methods except for Obs. 6, whose
 266 MLCM responses are relatively smaller compared to those for DE. Also, the response curves
 267 of Obs. 7 are more orderly for MLCM than for DE.

(a)



(b)



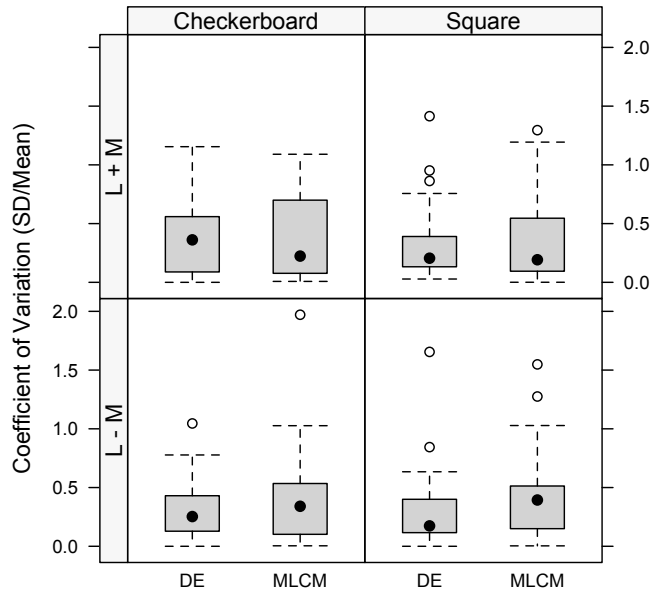
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Fig. 5. Estimated scale obtained with MLCM procedure. Additive model average estimates for the square (white circle) and the checkerboard (black circle) luminance patterns as a function of cone contrast for five observers. Data are presented with (a) a linear scale and (b) a logarithmic scale. Error bars show +/- 1 SEM for estimates across the two sessions.

274

275 3.3 Comparison between Direct Estimation and MLCM methods.

276 Since the DE and MLCM methods yield response curves that are on different scales, we
277 compared variability using the coefficient of variation (CV), defined as the standard deviation
278 divided by the mean and that provides a measure of relative variability. Figure 6 compares the
279 CV across method for each pattern and color axis, using box-and-whisker plots. The medians
280 (black points) are similar across methods and the interquartile ranges (grey boxes) overlap,
281 suggesting that there is little difference in variability between the two methods. This is
282 confirmed by fitting the data with a linear model with contrasts chosen to compare the means
283 within each panel of Figure 6 (L+M/Check: $t(278) = 0.422$, $p = 0.673$; L+M/Square: $t(278) =$
284 0.985 , $p = 0.325$; L-M/Check: $t(278) = 0.779$; $p = 0.437$; L-M/Square: $t(278) = 1.213$, $p =$
285 0.226). Because all of the explanatory variables are factors, this is technically an analysis of
286 variance, but we only concentrate on the pre-planned contrasts of interest here, which are
287 distributed as t-statistics. None of the contrasts were significant so that corrections for multiple
288 tests would not affect the (non-)significance of the results. These plots, however, collapse the
289 data over subject and contrast level.
290

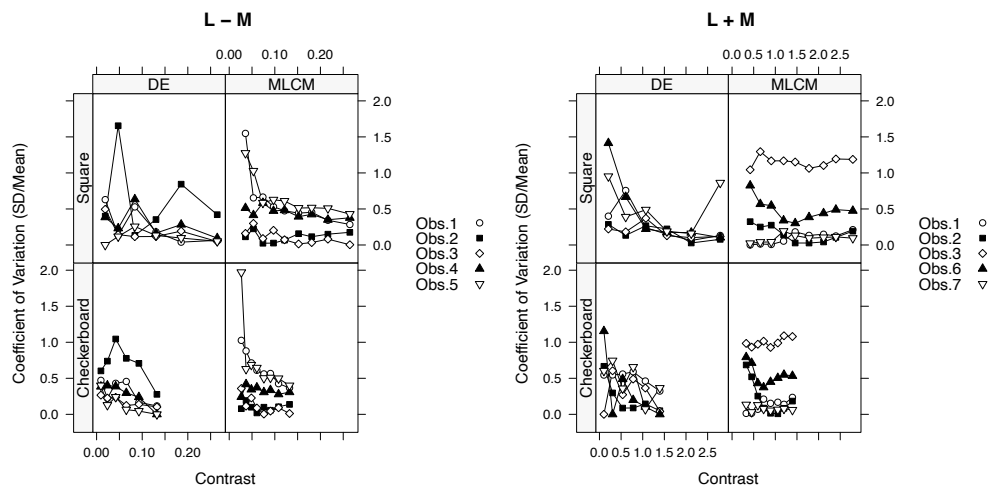


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Fig. 6: Comparison of DE and MLCM estimated with the coefficient of variation (CV) for the checkerboard (left side) and the square (right side) displayed along the L+M (top row) and L-M axes (bottom row) as a function of cone contrast. Filled symbols represent the medians, and the grey boxes indicate the interquartile ranges (IQR). The whiskers are defined to extend to the most extreme data points within 1.5 times the IQR from the box. Any data points outside the whiskers are plotted as unfilled symbols.

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Figure 7 shows the CVs for each individual plotted as a function of contrast for both methods for both patterns and for both axes in color space. Within observer, the CV appears to be more stable for the MLCM than for the DE method. The MLCM values do not appear to depend systematically on contrast, stimulus or color axis except for a few observers along the L - M axis, who show a jump at the lowest contrast. High variability for small means (e.g., at low contrasts) will inflate the CV. For some observers, the DE values fluctuate unsystematically with contrast. There appears to be greater variability of the CV across observers for MLCM than DE, however. This is supported by a random effects analysis for each method. The within observer variance for DE accounts for 90% of the variance while only 71% for MLCM. The across observer variance for MLCM is 4.7 times greater than that for DE.



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Fig. 7. The CVs for each observer plotted as a function of cone contrast for each procedure and pattern. Panels on the left side display the results for the L-M axis, and panels on the right side indicate the results for the L+M axis. Symbols assigned to each observer are indicated in the legends.

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4. Discussion

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DE methods have been widely used to measure color appearance as they are relatively fast and simple to implement [30]. However, the task has been criticized as not necessarily measuring color appearance alone but also reflecting the observer's perception of numbers, especially for naïve observers. The qualitative similarity in the shapes of the functions obtained for the two methods provides some validation of the DE approach for color scaling. In most cases, individual differences in ordinal strength of the estimated appearance correlate between methods, but some glaring exceptions occur. It is possible that the variability of some of the observers for the DE results reflects uncertainties in the task rather than aspects of color appearance *per se*. Such uncertainties might be reduced by presenting observers at the beginning of a DE session with examples of the highest and lowest contrasts and indicating that these should receive the most extreme ratings. This procedure, however, would force the functions for different patterns to attain the same maximum value, even if the actual appearances are not equal. The MLCM procedure is based on a more intuitive response that requires the observer only make an ordinal judgment, i.e., to choose which of a pair of stimuli appears more reddish or brighter. The appearance scale is then derived from a model of the observer's decision process using a maximum likelihood criterion [6].

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Here, we repeated the DE task 4 times at 6 contrast levels which required 48 judgments. Repeating the MLCM task twice using 10 contrasts required 3.5 times as many trials. Nevertheless, the MLCM sessions on average only lasted about 5 minutes longer than those using DE. Thus, given that the DE sessions lasted about 20 minutes, it might be expected to require at least an hour to acquire as many trials from DE as from the MLCM task.

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In general, the two tasks yielded similar results. As previously reported using DE for stimuli modulated along the L-M axis [20], the contribution of the checkerboard pattern increases more steeply with cone contrast than the uniform pattern. We report, here, the same behavior for stimuli modulated along the L+M axis. We found comparable results along both color axes using MLCM, thereby recommending it as an alternative procedure that is more intuitive for naïve observers.

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345 When the results are plotted as a function of log contrast, the scales for the two patterns appear
346 invariant in shape except for a lateral translation along the abscissa. This behavior is consistent
347 with a single mechanism that is less sensitive to uniform fields than checkerboard stimuli.
348 Evidence for multiple mechanisms is supported by results from VEP studies [11,20,21,31] that
349 show, for example, different contrast dependencies of the response latency across patterns. The
350 similar behavior along the L+M axis would be expected given the low-frequency fall-off of the
351 luminance contrast sensitivity function and the evidence for multiple spatially tuned channels
352 in the visual system [32]. These results are also supported by recent evidence indicating
353 separate mechanisms for luminance coding of surface and patterns in cortical area V1 [33,34].

354 There was a trend across most observers for scale strengths to agree across methods, i.e.,
355 observers with high ratings at maximum contrast using DE also displayed strong perceptual
356 responses at maximum contrast as estimated by MLCM. There were some notable exceptions,
357 however, in which low response on DE was matched with high response on MLCM (Obs 5
358 along the L-M axis, Figs 2 and 3) and vice versa (Obs 6 along the L+M axis, Figs 4 and 5). We
359 suspect that such differences reflect individual biases in how these observers assign numbers
360 to perceptual events.

361 There were also differences in within-subject variation between methods. On the one hand, DE
362 methods were relatively more variable within observer, perhaps reflecting observer uncertainty
363 in assigning ratings. On the other hand, MLCM scales showed greater relative variability across
364 observers. Given the lower within subject variability of MLCM, we believe these across
365 observer differences are indicative of actual individual differences in the appearance of the
366 stimuli, i.e., individual differences in how they are perceived. Perhaps, additional practice
367 sessions prior to data collection would further minimize variability as well as differences
368 between methods.

369 In conclusion, modeling of decisions based on simple ordinal judgments of pairs of stimuli
370 largely reproduces the results from direct estimation of perceptual attributes of individual
371 stimuli. Occasional differences between the two methods may reflect level of observer practice
372 or biases in the assignment of numbers to perceptual magnitudes.
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379 **Data availability.** Data underlying the results presented in this paper are not publicly available at this time but may
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