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¹ Sound categorization by crocodilians

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14 SUMMARY

Rapidly sorting the information contained in a stream of stimuli is a major challenge for 15 animals. One cognitive mechanism for achieving this goal is categorization, where the 16 receiving individual considers a continuous variation of a stimulus as belonging to discrete 17 categories. Using playback experiments in a naturalistic setup, here we show that crocodiles 18 confronted with an acoustic continuum ranging from a frog call to a crocodile call classify each 19 acoustic variant into one of these two categories, establishing a meaningful boundary where 20 no acoustic boundary exists. With GO/NOGO experiments, we then observe that this 21 22 boundary is defined along the continuum following learning. We further demonstrate that crocodilians rely on the spectral envelope of sounds to categorize stimuli. This study suggests 23 that sound categorization in crocodilians is a pre-wired faculty allowing rapid decision making, 24 and highlights the learning-dependent plasticity involved in defining the boundary between 25 sound categories. 26

27

28 KEYWORDS

29 Auditory perception, categorization, learning, GO/NOGO operant conditioning, animal

30 communication, crocodiles.

31 INTRODUCTION

Detecting and quickly processing information from the massive flow of information emanating 32 from the auditory scene is a major challenge for animals ¹. Although an auditory stimulus can 33 34 be accurately described physically, its perception by animals depends primarily on their auditory abilities and perceptual processes ^{2–5}. Being able to categorize stimuli can help 35 process auditory information and make decisions about how to respond. In this process, 36 animals integrate continuous variation in a sound stimulus as belonging to discrete categories 37 38 carrying different information. This results in a peak in discrimination between stimuli of different categories versus stimuli of the same category ^{6–8}. However, categorizing sounds, 39 i.e., deciding which stimulus corresponds to which object in the sound scene, such as a 40 41 conspecific call rather than a call from another species, can be complicated especially when 42 the two signals are acoustically close. As suggested by the signal detection theory ⁹, the optimal decision in terms of the probability of responding to a conspecific call rather than a 43 heterospecific call depends on the relative probability of each of the two species being present 44 at the location of the sound source, the acoustic cues carried by the sound stimulus, and the 45 cost and benefit of the decision made by the animal. An acoustic feature threshold value - or 46 informational threshold- is identified, determining the point at which animals decide on their 47 categorical classification and behavioral response ^{10,11}. 48

Previous works have shown that frequency parameters are often used to quickly classify 49 50 sounds into categories. The cricket Teleogryllus oceani categorizes pulsed sounds into attractive versus repulsive when they cross a frequency threshold of 16 kHz¹². The great tits 51 Parus major bases its classification of song notes on their fundamental frequency ¹³. The 52 japanese macaque Macaca fuscata relies on the temporal position of a frequency peak to 53 classify the contact calls of its species into two functional categories ¹⁴. Categorical thresholds 54 may also be related to the temporal characteristics of sound stimuli. Thus, the duration of 55 song notes and calls is the criterion used to establish the threshold between two informational 56 57 categories and guide the behavioral response of the swamp sparrow Melospiza georgiana¹⁵ and the mouse *Mus domesticus* ¹⁶ respectively. However, our understanding of sound 58 categorization processes in animals remains limited since, among tetrapods, only a few 59 species have been studied ^{17–19}. In the present paper, we test whether crocodilians are able 60 to form functional categories from continuous sets of stimuli. 61

Crocodilians belong to Archosaurs, a monophyletic group that includes the modern birds as 62 63 well as past dinosaurs and pterosaurs. As such, they share behaviors with other archosaurs 64 such as forms of parental care, since the crocodilian parents (female, male or both depending on the crocodilian species) often protect the nest and young from predators. While they are 65 more distantly related to mammals, crocodilians exhibit many ecological traits that have 66 evolved convergently with this group, especially with mammalian apex predators ²⁰. The 67 unique position of crocodilians in the tree of life thus puts these animals in a privileged 68 position for comparative research. Understanding how crocodilians hear the world is key to 69 decipher the evolutionary history of auditory perception in all vertebrates. 70

Crocodilians use their hearing abilities both to identify potential prey and during their social 71 72 interactions. The auditory communication channel is essential in their early years where calls are used by the young to communicate with each other and with their parent ²¹. Although the 73 sensory organs of crocodilians are quite well known, our knowledge about how they perceive 74 75 and process the sounds they hear remains scarce. Their position as top predators and their auditory abilities suggest that crocodilians are super-efficient and quick to analyze their sound 76 scene, derive relevant information, and make appropriate decisions in terms of behavioral 77 response ²². For example, crocodiles are able to accurately localize a sound source ^{23–25} and 78 79 use this ability to effectively perform spatial unmasking to identify a relevant sound despite background noise ²⁶. In this work, we test the hypothesis that crocodilians are able to partition 80 an acoustic continuum into categories and identify the acoustic features used for this 81 categorization. Being able to form meaningful categories from auditory scenes should allow 82 crocodilians to facilitate rapid decision making, and adjust a behavioral response such as an 83 approach to the sound source accordingly. 84

In a first experiment, we test whether this ability to partition an acoustic continuum into 85 discontinuous categories is innate by observing the response of naive crocodiles to frog and 86 87 crocodile calls as well as to chimeric signals containing a variable proportion of frog and crocodile acoustic features. Second, we test whether the boundary between these categories 88 can be modulated by learning by training caimans to approach a speaker emitting a crocodile 89 call and ignore the frog call (GO/NOGO procedure) and then testing them with a continuum 90 of acoustic chimeras. Finally, we investigate the acoustic basis of this categorization using 91 acoustic chimeras based on only certain orthogonal dimensions of the signal (f_0 , aperiodicity, 92 spectral envelope). Our results establish that crocodilians follow behavioral decision rules 93

based on the establishment of sound categories constructed from the spectral envelope ofsignals.

96

97 **RESULTS**

98 Experiment 1: Naïve crocodilians respond discontinuously to continuous stimuli.

99 We tested juvenile Nile crocodiles Crocodylus niloticus (n = 14) with an acoustic continuum 100 between two signals that, while sharing some acoustic similarities, came from two different animal species and thus had different biological meanings: a Saharan frog call Pelophylax 101 saharicus, a species routinely participating in the spring night sound scene of the zoo where 102 we did the experiments, and a contact call of a young Nile crocodile, which previous studies 103 have shown to be attractive to crocodiles ²⁷. The frog call and the crocodile call are harmonic 104 105 series in the same frequency range (1000-3500 Hz and 250-4000 Hz respectively) and are of 106 comparable duration (175 ms and 200 ms respectively). To create the acoustic continuum (acoustic morphing), we first decomposed the frog and crocodile calls into three orthogonal 107 108 acoustic dimensions: the pitch (f_0), the aperiodicity (ap) and the spectral envelope (env). Each 109 acoustic chimera was then re-synthesized by combining the three dimensions of a frog call and those of a crocodile call in different proportions (see Methods for details). Finally, the 110 111 acoustic continuum consisted of 11 calls, ranging from a 100% frog call to a 100% crocodile call, through 9 chimeric calls where the relative proportion of the three dimensions between 112 the two species varied from 90% frog / 10% crocodile to 10% frog / 90% crocodile, with a 10% 113 114 step between each signal (Figure 1A).

The experiment took place in an experimental basin (6 X 7 meters), with four loudspeakers 115 placed near the water on the edge of the basin at four opposite locations (Figure 1B). From 116 sunset until the end of the experiment, the 4 speakers emitted frog calls, in an unsynchronized 117 118 manner (i.e. every 9, 10, 11 and 13 seconds for speakers n°1 to n°4 respectively), to mimic a 119 frog chorus to which crocodiles are used to. As the experiment took place during fall, no natural frog calls interfered with the artificial chorus. The tested crocodile was then exposed 120 to a succession of experimental tests using each acoustic chimera of the continuum (see Figure 121 1B for a schematic of the experimental setup). 122

As illustrated in Figure 1C, the crocodiles were attracted to the speaker when the stimulus had a very high proportion of crocodile features. Specifically, their response score increased

sharply for the 10% frog / 90% crocodile stimulus whereas it was low for stimuli with a lower
proportion of crocodile features (response score 1.2 point higher for the 90% crocodile signal
compared to the 80% crocodile signal, 95% CI [0.1, 2.3], 98.5% of the posterior distribution is
positive).

129

Experiments 2 and 3: Crocodilians trained to distinguish two sounds establish a sharp boundary along their acoustic continuum.

132 The ability of crocodilians to categorize sound signals forming an acoustic continuum between a "frog call" and a "crocodile call" was further tested in the laboratory via a GO-NOGO 133 experiment (Experiment 2) where young dwarf Cuvier's caiman Paleosuchus palpebrosus (n = 134 5) were trained to respond positively (i.e. by approaching the speaker) to a crocodile call. The 135 stimuli used were identical to those used in Experiment 1 except that we reduced the step 136 between each signal: the continuum consisted of 6 calls, from a 100% frog call to a 100% 137 crocodile call, through 4 chimeric calls where the relative proportion of the three dimensions 138 139 between the two species varied from 80% frog / 20% crocodile to 20% frog / 80% crocodile, 140 with a 20% step between each signal.

As shown in Figure 2A, the caimans learned to systematically move toward the speaker emitting the 100% crocodile signal (GO signal). At the beginning of training, the response rate was around 67.4%, while it was only 38.3% for the 100% frog signal. After 2 weeks of Go/No-Go training, this response rate settled above 75% and the caimans were 76.2% more likely to respond to the GO stimulus than to the NOGO stimulus (95% CI [66.5, 84.1]).

146 The results of Experiment 2 are shown in Figure 2B. Caimans did not respond to the 100% frog / 0% crocodile signal, and their response to the 80% frog / 20% crocodile signal was not 147 significantly higher (0.6% higher, 95% CI [-14.7, 23.4]). In contrast, their response increased 148 149 sharply from the 60% frog / 40% crocodile chimeric signal (69.6% more likely to respond with 150 the 40% crocodile signal compared to the 20% crocodile signal, 95% CI [41.4, 87.8]). This high level of response was maintained for all the following signals on the acoustic continuum, with 151 152 no marked difference from the response to the 60% frog / 40% crocodile signal (probability in obtaining a stronger response: with the 40% frog / 60% crocodile signal = -6.3%, 95% CI [-32.1, 153 17.0]; with the 20% frog / 80% crocodile signal = -0.6, 95% CI [-24.1, 21.8]); with the 0% frog / 154 155 100% crocodile signal = 4.7%, 95% CI [-5.6, 25.4]).

The response of the caimans thus appears clearly dichotomous, with a boundary between two 156 157 signal categories located between the 80% frog / 20% crocodile signal and the 60% frog / 40% 158 crocodile signal (probability of response 69.2% higher for the second category, 95% CI [55.0, 79.5]). This boundary was remarkably stable across individuals: all animals tested reached a 159 maximum response rate of 33% for the 80% frog / 20% crocodile signal, whereas they all 160 161 reached a response rate of at least 75% for the 60% frog / 40% crocodile signal. These results 162 were confirmed when comparing the latency to respond to the stimulus between the frog 163 category (100% and 80% frog stimuli, mean latency of 12.8 ± 12.3 s) and the crocodile category (40% crocodile and above stimuli, mean latency of 5.3 ± 6.4 s): the latency decreased by 8.9 s 164 165 (95% CI [-25.5, -1.3]), while there was no difference of latency for stimuli within the frog category (difference of -2.0 s, 95% CI [-14.2, 23.9] between the 100% and 80% frog stimuli) 166 167 and for stimuli within the crocodile category (difference of -1.6 s between the 40% and 60% crocodile stimuli, 95% CI [-16.1, 12.0]; -2.9 s between the 40% and 80% crocodile stimuli, 95% 168 CI [-17.9, 6.8]; and 0.5 s between the 40% and 100% crocodile stimuli, 95% CI [-14.7, 5.6]). 169

170 After completing Experiment 2, the same caimans were tested in another experimental paradigm, also based on the GO-NOGO approach, but in a two-choice testing procedure 171 (alternative choice test). The goal was to test the ability of crocodilians to categorize acoustic 172 signals when confronted with two sound sources alternately emitting a pair of neighboring 173 174 acoustic chimeras within the continuum. 5 pairs of stimuli were formed: 100% frog / 0% crocodile with 80% frog / 20% crocodile, 80% frog / 20% crocodile with 60% frog / 40% 175 crocodile, 60% frog / 40% crocodile with 40% frog / 60% crocodile, 40% frog / 60% crocodile 176 with 20% frog / 80% crocodile, and 20% frog / 80% crocodile with 0% frog / 100% crocodile. 177

The results obtained in Experiment 3 (Figure 2C) strengthen the conclusions drawn from 178 179 Experiment 2. Indeed, when presented with a choice between the 80% frog / 20% crocodile signal and the 60% frog / 40% crocodile signal, the caimans showed a clear preference for the 180 181 second signal: they were 40.5% more likely to choose the 40% crocodile signal (95% CI [18.5, 182 58.7]). Conversely, when confronted with the 100% frog / 0% crocodile and 80% frog / 20% crocodile signal pair, the caimans responded only weakly and equally to both signals 183 (probability of 65.0 to get no response, 95% CI [53.3, 75.2], difference of only 2.3%, 95% CI [-184 12.3, 16.4] to choose one or another stimulus). For all pairs involving signals containing at least 185 40% crocodile acoustic features, the caimans showed no preference, responding strongly to 186 either signal in the pair (pair [40%, 60%]: 87.2% of response, 95% CI [78.5, 93.3], probability 187

of a different response between the two signals = 13.0%, 95% CI [-12. 4, 37.2]; pair [60%, 80%]:
83.0% (95% CI [73.7, 90.2], probability of a different response = 4. 3%, 95% CI [-20.2, 28.1];
pair [80%, 100%]: 80.9%, 95% CI [71.2, 88.6], probability of a different response = -1.4%, 95%
CI [-25.3, 22.2]. The latencies to respond were however not different from one pair of stimuli
to another (minor difference of 2.8 s, 95% CI [-1.4, 8.8] between the between category pair
[20%, 40%] and the frog category pair [0%, 20%]; -1.5 s, 95% CI [-4.4, 0.6] of difference
between the pair [20%, 40%] and the crocodile category pairs).

195

196 Experiment 4: Sound categorization is based on the spectral envelope.

To investigate which signal dimensions (f_0 , ap, and/or env) are used by crocodilians to categorize calls as "frog" or "crocodile", we first tested the caimans with acoustic chimeras bearing on only one or two dimensions. In this first step, each chimera was constructed based on a 100% frog / 0% crocodile call, and one or two dimensions were raised to its value in the 100% crocodile call. In addition to the two control calls 100% frog / 0% crocodile and 0% frog / 100% crocodile, the following 6 acoustic chimeras were tested:

- 203 0% *f*₀, 100% ap, 100% env frog / 100% *f*₀, 0% ap, 0% env crocodile;
- 204 100% *f*₀, 0% ap, 100% env frog / 0% *f*₀, 100% ape, 0% env crocodile;
- 205 100% *f*₀, 100% ap, 0% env frog / 0% *f*₀, 0% ap, 100% env crocodile;
- 206 0% *f*₀, 0% ap, 100% env frog / 100% *f*₀, 100% ap, 0% env crocodile;
- 207 0% *f*₀, 100% ap, 0% env frog / 100% *f*₀, 0% ap, 100% env crocodile;

208 - 100% f_0 , 0% ap, 0% env frog / 100% f_0 , 100% ap, 100% env crocodile.

209 Only the acoustic chimeras containing the spectral envelope of the crocodile call induced a 210 significant behavioral response from the caimans (Table 1, Figure 3A). The presence of the 211 crocodile spectral envelope alone was sufficient to induce a response identical to that 212 obtained with the 0% frog / 100% crocodile signal (negligible difference of response 213 probability of -8.3%, 95% CI [32, 3.9]). We tend to obtain concordant results if we compare 214 the latency times (Table 2).

Comparison of labelling between the control acoustic continuum and the acoustic continuum involving only the spectral envelope shows that caimans establish the boundary between the two categories "frog" and "crocodile" at the same location on both continua (67.7% frog /

32.3% crocodile and 67% frog / 33% crocodile, respectively; Figure 3B). Furthermore, there is
no significant difference in behavioral response between full chimeras and chimeras involving
only the spectral envelope throughout the acoustic continua (median difference of 5.1 %, 95%
CI [-4.6, 15.8], Table 3). Consistent results are obtained if latency times are compared (Table
3).

223

224 **DISCUSSION**

In this study, we demonstrate through playback experiments that young crocodiles 225 confronted with a continuum of acoustic chimeras ranging from a frog call to a crocodile call 226 227 classify each acoustic variant into one or the other of these two categories, thus establishing 228 a clear meaningful boundary where no acoustic boundary yet exists. By conducting GO/NOGO 229 experiments, we suggest that this boundary between two categories can be established along 230 the acoustic continuum by learning. Finally, we show that crocodilians' categorization of acoustic stimuli is based primarily on the spectral envelope rather than the pitch or aperiodic 231 dimension of the sound signals. 232

233 Confronted with chimeric signals consisting of a mixture of "frog" and "crocodile" 234 characteristics, the tested individuals made the choice to approach or not approach the 235 speaker. One would have expected variations in behavioural response to be linearly correlated 236 with the percentage of "crocodile" signal in the chimeras. This was not the case. While our 237 animals had previously heard frog calls, which are naturally present in the zoo ponds, as well 238 as calls from conspecifics, they had never experienced acoustic chimeras. Our results thus 239 suggest that naive crocodilians practice sound categorization innately.

240 It is likely that crocodilians can form categories under other circumstances. Because crocodilians have developed a vocal repertoire, it is possible that this ability to categorize is 241 also useful during acoustic communication between individuals. For example, young 242 243 crocodilians emit cries with "graded" acoustic characteristics by playing on intensity, energy 244 distribution in the frequency spectrum and frequency modulation. A study conducted with 245 the black caiman *Melanosuchus niger* showed that the repertoire of young caimans extends along an acoustic continuum from contact calls allowing the cohesion of the group of young 246 to distress calls inducing maternal protection ²⁸. It is possible -although not yet demonstrated-247 that young caiman and their mothers establish two distinct categories along the contact-248

distress acoustic continuum, which would facilitate decision making. However, it remains to be established where the boundary between contact and distress lies. This boundary is likely to vary according to the context. If the level of risk experienced by the young (in terms of predation pressure for example) is high, it is likely that the boundary between the contact and distress categories may be at a different level than if the level of risk is lower. This hypothesis remains to be tested.

255 The labelling experiment (Experiment 2) may suggest a role of learning in defining the boundary between two sound categories. It may seem surprising that crocodilians trained to 256 257 respond to a 0% frog-100% crocodile signal have a low categorization threshold (when the 258 signal is "33% crocodile"). This result contrasts with that obtained in the first experiment, where the crocodiles received no prior training and were never rewarded. Although it should 259 be kept in mind that Experiments 1 and 2 are hardly comparable (different crocodilian species, 260 261 different experimental conditions), one might still have expected trained individuals to have 262 a high response threshold, since only the "100% crocodile" signal led to a reward. In our laboratory conditioning protocol, animals were not confronted with any aversive reinforcer, 263 meaning that there is no risky choice ⁹. This may have led individuals to never take the risk of 264 missing a potentially rewarded signal, and thus be more likely to respond to signals with only 265 a small proportion of crocodile features. In animals, context-dependent decisions are indeed 266 267 strongly related to estimates of the probabilities of making a good choice ^{29,30}.

The alternative choice experiment (Experiment 3) confirms the results obtained in the labelling experiment (Experiment 2). Tested individuals expressed a particularly distinct choice when offered the 80% frog / 20% crocodile and 60% frog / 40% crocodile signals. However, for all other pairs of signals, they chose randomly between the two acoustic chimera.

272 What are the mechanisms underlying this sound categorization process? In particular, can it 273 be considered as categorical perception? Categorical perception is defined as the process by 274 which stimuli are considered similar or different depending on whether or not they fall into the same perceptual category ^{7,19}. Strictly speaking, two features characterize a categorical 275 276 perception: 1) the labelling of stimuli in one or the other of the categories considered, which corresponds to what we observed in our experiments with crocodilians; 2) a "category 277 boundary effect" in which two stimuli belonging to the same category are differentiated less 278 rapidly than two stimuli located on either side of the category boundary. We could not 279 rigorously test this second condition with our crocodiles. Testing the category boundary effect 280

would require numerous GO/NOGO tests (aiming to discriminate the two stimuli in each pair 281 282 of stimuli) that are not reasonably feasible with these animals due to the small number of 283 individuals available in the lab combined with a long learning time (unlike what is possible with humans, birds or monkeys) ^{13,14,31-37}. In our protocol, it was also difficult to use a 284 habituation/dishabituation approach ^{15,12,38} because crocodilians become satiated with food 285 286 rewards rather quickly and quickly lose motivation to respond. Despite these experimental 287 limitations, our observations strongly suggest the presence of a categorical perception of 288 sound stimuli in the crocodilians tested. Indeed, while caimans were conditioned to respond 289 to the 0% frog - 100% crocodile stimulus in the choice experiment (Experiment 3), they only 290 showed a preference for the signal containing the most crocodile trait only when the pair "80% frog / 20% crocodile and 60% frog / 40% crocodile" was emitted. For the other combinations 291 292 (40/60, 60/80, and 80/100 crocodile traits), test subjects showed no preference for either of the two signals in each pair (Figure 2C). In other words, the crocodilians tested reacted as if 293 294 they were truly unable to perceptually differentiate between these two stimuli.

Our final experiment questions the acoustic basis for the categorization performed by 295 crocodilians. It turns out that crocodilians rely on the spectral envelope of sound signals to 296 categorize stimuli while they seem to ignore the pitch and aperiodic component of the signals. 297 Using the spectral envelope to identify a complex sound made up of a series of harmonics is a 298 widespread and reliable strategy ³⁷. In human perception, the spectral envelope of the voice 299 (i.e the voice timbre) is related to the vocal tract length of the speaker which is related to the 300 speaker height, weight, age and gender ³⁹. As such, this feature is often used to encode 301 speaker identity ⁴⁰. The spectral envelope, corresponding to the timbre of the emitter, is 302 303 indeed an invariant acoustic parameter, while the f_0 contour and the aperiodicity might vary with the context ⁴¹. The spectral envelope would be a more stable and reliable cue to 304 305 categorize crocodile calls, all types of calls combined.

In conclusion, our study shows that crocodilians exhibit an ability to categorize sound stimuli. This ability certainly contributes to an awareness of auditory scenes in their everyday life. It complements other processes such as their ability to locate precisely the position of a sound source ^{23,42,24,25} and their ability to identify a meaningful sound despite background noise by spatial unmasking ²⁶. Given their lifestyle, crocodilians are confronted with complex sound environments, and must be able to make quick decisions. Being able to quickly categorize sounds according to their functional relevance is certainly extremely helpful.

313 LIMITATIONS OF STUDY

One limitation of this study is that we had to work with two different species of crocodilians.

This makes it difficult to compare results between experiment 1 (performed with Nile crocodiles) and the other experiments (performed with Cuvier's caimans).

Another point is that we did not have the possibility to rigorously test the "category boundary effect". While our study clearly demonstrates that crocodilians respond in a categorized manner to an acoustic continuum, we cannot prove that the mechanism of this categorization is strictly a "categorical perception" as defined in the literature. As mentioned above, testing "categorical perception" would have required the implementation of numerous GO/NOGO tests, which is impractical given the complexity of working with these animals.

323

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330

331 AUTHORS CONTRIBUTIONS

JT: conceptualization, data acquisition, analysis, writing; MK: data acquisition, analysis; EG:
 synthesis of chimeric sound signals; NB: data acquisition, manipulation and well-being of the
 animals; AP: well-being of the animals; LP: conceptualization, data acquisition; NG:
 conceptualization, data acquisition, writing; NM: conceptualization, data acquisition, writing.

336

337 DECLARATION OF INTERESTS

338 The authors declare no competing interests.

339

341 MAIN FIGURES

Figure 1. Categorization tests with naive crocodilians reveal that they switch their behavioral response across a specific acoustic boundary along a signal continuum (Experiment 1).

(A) Acoustic continuum of frog/crocodile chimeric signals, ranging from a 'frog' signal (100% frog / 0%
croc) to a 'crocodile' signal (0% frog / 100% croc). The 'frog' signal was used to create a frog chorus.
Only 5 of the 10 signals tested are shown here (the entire continuum spanned from a 100% frog / 0%
crocodile signal to a 0% frog / 100% crocodile signal, with 10% increments; see Methods for details of
the acoustic morphing procedure).

(B) Experimental set-up. Throughout each experiment (average duration = 2.5 hours), all four speakers
were emitting 100% frog calls (1 call every 9 to 13 seconds, different rhythm for each speaker,
reproducing a frog chorus). During each test, one of the speakers switched to one of the chimeric
signals (duration of a test = 4 minutes; tests separated by at least 10 minutes; different test speaker
from one test to another; see Methods for details). The crocodile's response to the chimeric signal was
assessed for 10 minutes after the start of each test. Each individual (N = 14 Nile crocodiles) was tested
successively with all chimeric signals, presented in random order during the experiment.

356 (C) Behavioral response of crocodiles to chimeric signals. Solid circles show the fitted probabilities of 357 getting a response (the crocodile approached the test speaker) expressed as the median of posterior 358 distribution with 95% CI. Violin plots show the distribution of the fitted values for each signal. The 359 abrupt increase in approaches to the test speaker from the 10% frog / 90% croc signal (marked by the 360 black arrow) suggests sound categorization. This result was obtained with naïve, untrained crocodiles, 361 which had therefore never heard the test signals before the experiment.

362

Figure 2. Crocodilians trained to respond to a meaningful signal highlight their ability to form a sensory boundary along an acoustic continuum (Experiments 2 and 3).

(A) Training procedure: Young caimans (N = 5) were trained using food reward to approach the speaker
 only when it emits a 100% croc signal (GO-NOGO procedure). As the graph on the right shows, training
 reinforces the natural propensity of animals to respond to that signal.

(B) Experiment 2: Categorization tests along the acoustic continuum suggest a sensory boundary
between the 20% and 40% croc signals (black arrow). Solid circles show the fitted probabilities of
getting a response (the crocodile approached the test speaker) expressed as the median of posterior
distribution with 95% CI. Violin plots show the distribution of the fitted values for each signal.

372 (C) Experiment 3: Fitted probability of choosing between two chimeric signals in an alternative-choice
373 task (upper graph), and difference between the probabilities measured for each pair of signals (below).
374 Choice between neighboring chimeric signals is effective only between the 20 and 40% croc signals
375 (black arrow).

376

Figure 3. The categorization of frog/croc chimeric signals by crocodilians is based on the spectral envelope of the sound stimulus, excluding other acoustic dimensions (Experiment 4).

(A) Results of playback experiments with animals trained to respond to crocodile call. Solid circles show
the fitted probabilities of getting a response (the crocodile approached the test speaker) expressed as
the median of posterior distribution with 95% CI. Violin plots show the distribution of the fitted values

382 for each signal. One-dimensional and two-dimensional chimeric stimuli were created from a 100% frog

call by transforming into 100% croc three acoustic dimensions separately or in pairs: pitch (f_0) , aperiodic dimension (ap), and spectral envelope (env), and their combinations f_0 + ap, f_0 + env, and env + ap. Only stimuli with 100% crocodile spectral envelope elicited a behavioral response. The subjects did not respond to stimuli with only 100% f_0 croc or 100% ap croc.

(B) When the spectral envelope is the only dimension to change in the acoustic continuum (in pink),
the sensory boundary remains close to that identified for the original continuum where all three
dimensions of the signal change together (boundaries between the 32 and 33% croc signals: blue and
pink arrows).

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MAIN TABLES

| Stimuli | Fitted response probability (%) | Median [95% CI] difference with the NOGO stimulus (%) | Median [95% CI] difference with the GO stimulus (%) |
|--|---------------------------------------|---|---|
| 100% frog / 0% crocodile | 15.8 | | -77.8 [-85.1, -68.7] |
| 0% frog / 100% crocodile | 93.9 | 77.8 [68.7, 85.1] | |
| 100% <i>f</i> ⁰ crocodile | 5.5 | -9.7 [-20.5, 8.1] | -87.8 [-94.8, -69.8] |
| 100% env crocodile | 85.2 | 68.8 [45.6, 82.8] | -8.6 [-32, 3.9] |
| 100% ap crocodile | 5.5 | -9.6 [-20.5, 8.0] | -87.8 [-94.7, -70.0] |
| 100% <i>f</i> ₀ + env crocodile | 85.4 | 69.0 [45.4, 83.1] | -8.3 [-31.5, 4.0] |
| 100% <i>f</i> ₀ + ap crocodile | 5.5 | -9.6 [-20.4, 8.5] | -87.8 [-94.7, -69.5] |
| 100% env + ap crocodile | 78.7 | 62.4 [37.3, 79.1] | -15.0 [-40.3, 0.7] |

Table 1. Effect of the stimulus type on the caiman behavioral reaction (Experiment 4). Medians of posterior distribution (%) and 95% CI.

| Stimuli | Fitted latency (sec) | Median [95% CI] difference with the NOGO stimlulus (sec) | Median [95% CI] difference with the GO stimlulus (sec) |
|--|----------------------------|--|--|
| 100% frog / 0% crocodile | 17.0 | | 10.3 [4.5, 21.4] |
| 0% frog / 100% crocodile | 6.7 | -10.3 [-21.4, -4.5] | |
| 100% <i>f</i> ⁰ crocodile | 20.7 | 3.0 [-11.1, 35.8] | 13.3 [1.5, 49.4] |
| 100% env crocodile | 6.5 | -10.2 [-21.9, -2.6] | -0.1 [-4.3, 7.0] |
| 100% ap crocodile | 14.0 | -2.8 [-14.6, 14.0] | 7.2 [-0.4, 27.1] |
| 100% <i>f</i> ₀ + env crocodile | 6.8 | -9.9 [-21.8, -2.1] | 0.1 [-4.1, 7.4] |
| 100% <i>f</i> ₀ + ap crocodile | 7.9 | -8.7 [-20.5, 0.7] | 1.2 [-3.5, 11.5] |
| 100% env + ap crocodile | 12.5 | -4.4 [-15.5, 8.7] | 5.8 [-0.5, 21] |

399

Table 2. Effect of the stimulus type on the caiman latency time to react (Experiment 4). Medians of 400

401 posterior distribution and 95% CI. ound JIII OI KE

| | Fitted response probability (%) | | Fitted latency (sec) | |
|---------------|---------------------------------|---------------------|----------------------|---------------------|
| Stimuli | Full morphing | Partial morphing | Full morphing | Partial morphing |
| 15% crocodile | 9.5 [2.0, 28.3] | 6.3 [1.3, 21] | 12.3 [6.0, 26.5] | 17.5 [8.4, 37.1] |
| 20% crocodile | 3.9 [0.2, 24.4] | 2.6 [0.1, 17.5] | 11.9 [5.9, 24.7] | 16.9 [8.5, 34.5] |
| 25% crocodile | 7.1 [0.2, 46.6] | 4.7 [0.1, 37.0] | 9.9 [4.9, 19.9] | 14.1 [6.8, 29.4] |
| 30% crocodile | 19.8 [2.4, 57.5] | 13.6 [1.6, 47.2] | 10.9 [5.3, 22.5] | 15.5 [7.6, 31.5] |
| 35% crocodile | 60.6 [25.1, 86.4] | 49.9 [17.9, 80.3] | 10.6 [5.3, 21.5] | 15.0 [7.6, 30.7] |
| 40% crocodile | 80.6 [41.7, 95.6] | 73.0 [31.6, 93.1] | 8.0 [4.0, 16.1] | 11.4 [5.8, 22.8] |

Table 3. Effect of stimulus type on the caiman behavioral reaction and latency time (Experiment 4). ei

Medians of posterior distribution (%) and 95% CI.

408 **STAR METHODS**

409 **RESOURCE AVAILABILITY**

410 Lead contact

- 411 Further information and requests for resources should be directed to and will be fulfilled by
- the lead contact, Julie Thévenet (julie.thevenet.phd@gmail.com).

413 Materials availability

414 This study did not generate new unique reagents.

415 Data and code availability

- Dataset have been deposited at Zenodo and are publicly available as of the date of
 publication⁴³. The DOI is listed in the key resources table.
- All original statistical code has been deposited at Zenodo and is publicly available as
 of the date of publication⁴³. The DOI is listed in the key resources table.
- Any additional information required to reanalyze the data reported in this paper is
 available from the lead contact upon request.

422

423 EXPERIMENTAL MODEL AND SUBJECT DETAILS

424 Experiment 1: Labelling experiment with untrained naïve crocodilians

This experiment was performed at *Crocoparc* zoo (Agadir, Morocco). The animals (n = 14 Nile crocodiles *Crocodylus niloticus*, 1-2 month old, sex unknown) were housed together in an outdoor enclosure, not visible to the public. They had never been included in any experiment before. All studies were conducted under the ethical approval of the ENES laboratory (n° D 429 42-218-0901) and complied with Moroccan and French laws.

430

431 Experiment 2: Labelling experiments with trained crocodilians

The experiment was conducted at the ENES laboratory. We worked with young dwarf Cuvier's caiman *Paleosuchus palpebrosus*, born in captivity at the "Zoo de Paris" (N = 5, including 2 females and 3 males; age: 2.5 years; size: 59 ± 2 cm). These animals had never been included in an experimental protocol prior to the experiment. Individuals were housed all together in a

- large enclosure (16 m²). The room was maintained at 28 ± 1 °C, with a light on from 9:00 am
 to 9:00 pm.
- 438

439 **Experiment 3: Alternative-choice test with trained crocodilians**

- 440 We performed this experiment at the ENES laboratory, with the same dwarf Cuvier's
- 441 caimans *Paleosuchus palpebrosus* as in experiment 2 (n = 5 individuals).
- 442

443 Experiment 4: Identification of acoustic cues supporting category labelling

- 444 This experiment was conducted at the ENES laboratory, and involved the same dwarf
- 445 Cuvier's caimans (n = 5 individuals), after they had performed experiments 2 and 3.
- 446

447 METHOD DETAILS

448 Experiment 1: Labelling experiment with untrained naïve crocodilians

To limit pseudo-replication, we used 4 frog calls (recorded by Léo Papet in the zoo) and 4 juvenile crocodile calls (recorded from free-ranging young crocodiles during a field expedition in the Okavango Delta by N. Mathevon and T. Aubin). Each of the 4 acoustic *continua* used during the experiment was made from one of these frog calls and one of these crocodile calls.

453 Signal decomposition in three orthogonal dimensions (f_0 , aperiodicity, spectral envelope) was performed with the open source Matlab toolbox STRAIGHT 44,45 . The f_0 contour represents the 454 pitch variations as a function of time. The spectral envelope shape and scale are related to the 455 shape and size of the vocal tract, or in general, of the resonating cavity used for 456 vocal production. The aperiodicity matrix indicates where in time and frequency the extracted 457 f_0 applies, i.e. it is used to represent breathiness in the vocalization. Due to some limitation in 458 the parameters' variation, STRAIGHT failed to extract the f_0 contour of the frog calls. To do so, 459 we used an auto-correlation to estimate the modulation frequency of the temporal envelope 460 461 in successive temporal windows. This homemade code is provided as an open source resource. 462 The acoustic chimera and original calls were then re-synthesized using STRAIGHT by 463 combining the three orthogonal dimensions of a frog call and those of a crocodile call in different proportions. The aperiodicity and the spectral envelope dimensions from frog and 464 crocodile calls were linearly combined as provided by STRAIGHT, while their f_0 value were first 465

466 log-transformed before being linearly combined, and then transformed back to a linear Hertz467 scale.

To avoid habituation, each individual was tested in only one experimental session (one night), with a single set of acoustic chimeras. Three hours before the start of the experiment, the crocodile was released into the experimental basin. The experiments, conducted in the fall, started one hour after sunset as it is during the night that the frogs sing the most during spring and the crocodiles are the most active. All sound signals used in the experiment were emitted at an intensity of 67 dBA at 1 m from the speaker (FoxPro Fusion© with Visaton SL 87 ND internal speaker; see Figure S1B and Figure S1C for technical specifications).

475 At the time of a test, a loudspeaker was randomly selected among the four, eliminating the one or ones from which the crocodile was closest. For 2 minutes, this test speaker continued 476 477 to emit frog calls, but with a rhythm of 1 every 12 seconds. The choice of a fixed rate of emission, the same for all tests of all crocodiles, eliminated the risk of obtaining different 478 behavioral responses following different rates of emission. At the end of the first 2 minutes, 479 the test speaker started to emit for the next 2 minutes one of the acoustic chimeras (chosen 480 at random between the 90% frog / 10% crocodile and the 0% frog / 100% crocodile) at the 481 same rate of one call every 12 seconds. At the end of the 2 minutes, the test speaker resumed 482 emitting frog calls at its original rate. The next test was initiated at least 10 minutes later, from 483 484 a different speaker (stimulus chosen at random). The behavior of the crocodile was observed 485 and evaluated blindly and independently by 4 observers for 10 minutes from the start of the test. The entire experiment was filmed by an infrared camera (ABUS TVCC34010). 486

The intensity of the crocodiles' behavioral response to sound stimuli was scored from 0 to 5 according to the following scale: 0 = no response; 1 = set in motion without swimming; 2 = swimming without preferred direction; 3 = swimming in the direction of the speaker but not exceeding 1/3 of the distance to the speaker; 4 = swimming in the direction of the speaker without approaching the speaker within 50 centimeters; 5 = swimming in the direction of the speaker and approaching within 50 centimeters.

493

494 Experiment 2: Labelling experiments with trained crocodilians

The experiment was conducted in a square-shaped pool (1.75 m wide), placed in a soundproof cabin, with a water depth of 12 cm allowing the animals to swim freely (water temperature:

29°C). Four speakers (Audiopro Bravo Allroom Sat, see Figure S1E and Figure S1F for technical 497 498 specifications) were placed just above the water surface at the four corners of the pool (Figure 499 2A). Sound playback was controlled by the experimenter from outside the booth using a computer delivering the signals to an amplifier (Yamaha AX-397) connected to the speakers. 500 A remote-controlled reward system was associated with each speaker, which allowed for 501 502 multiple delivery of food rewards during a single test session. All tests were performed in low light conditions to limit the stress of the animals and increase their motivation to respond 503 504 (these animals are more active at night). The whole experiment was filmed (ABUS TVCC34010 camera), which allowed real time and delayed observations. 505

506 Training took place in weekly sessions via operant conditioning using a food reward. The 507 subject was first placed in the pool and did not receive any stimulation for an acclimatization period of at least 3 min (we always waited until the animal had stopped exploring the pool). 508 509 Then, the GO stimulus (sequence of crocodile calls) and the NOGO stimulus (sequence of frog 510 calls) were presented three to seven times each in a random order (10 calls per sequence at a rate of one call every 2.5 ± 0.4 sec, total sequence duration = 35 sec; 10 ± 3 sequences in total 511 per training session). The animal was systematically rewarded if it came within 35 cm of the 512 active speaker when a sequence of crocodile calls (GO stimulus) was presented. This 35 cm 513 distance corresponded to the location where the reward (food) was delivered. There was a 514 515 minimum delay of 1 min 30 seconds between two trials. The acoustic stimuli were emitted at a sound level of 60 dB SPL at 1m from the speaker. 516

Once trained, i.e., when the subject responded correctly in more than 75% of the tests, the 517 subject moved on to weekly test sessions, during which the animal was confronted with three 518 to five sequences of calls, randomly selected from the acoustic continuum. No rewards were 519 520 given during the tests when the crocodile response was measured, regardless of the signal and the animal's response. However, in order to maintain motivation over the test sessions, 521 522 the animal's response was regularly reinforced by repeating the go/no-go protocol, i.e., by 523 associating a positive response to the GO signal with a food reward. These reinforcements were randomly distributed during the sessions. During each test session, the caiman heard 12 524 ± 3 sequences of calls, including 40% test stimuli (without reward), 40% GO stimuli, 20% NOGO 525 stimuli (total duration of a test session = between 20 and 40 minutes). Testing sessions were 526 repeated until each caiman had been tested three times with each of the test stimuli of the 527

528 continuum (i.e. total of 12 ± 4 test stimuli per caiman throughout the experiments, with at 529 least 3 repetitions for each of the 4 test stimuli).

The response of the animals to the acoustic stimuli was measured blindly from the videos using Kinovea software, v0.8.24 Beta ⁴⁹, during the 45 seconds following the emission of the first call of the tested sequence. For each trial, the response was scored as "0" (no approach) or "1" (reach the food reward system within 35 centimeters of the speaker). We also measured the latency between the first call in the sequence and the onset of the behavioral response (first movement), if any.

536

537 Experiment 3: Alternative-choice test with trained crocodilians

The two alternative stimuli were presented antiphonally during 35 seconds through two 538 speakers located at equal distance from the animal. The overall rhythm of emission was the 539 same as in the second experiment, which means that only 5 calls were emitted per speaker 540 541 instead of 10 calls. A test session always began with a training pair combining a 100% frog / 0% crocodile signal (NOGO) with a 0% frog / 100% crocodile signal (GO). Discrimination tests 542 only began if the animal moved to the speaker emitting the GO signal twice in a row. If the 543 animal made the wrong choice, it was trained again with the GO stimulus. Then, the animals 544 were tested with all the five pairs of stimuli beginning once by one stimuli of the pair and then 545 the other, in a random order (i.e. 10 pairs of test stimuli were presented by session, total 546 duration of a test session = between 20 and 40 minutes). In total, each caiman was tested with 547 548 87 ± 31 pairs of stimuli throughout the experiment.

549

550 Experiment 4: Identification of acoustic cues supporting category labelling

As in Experiment 2, the stimuli were delivered in a sequence of 10 successive calls (one signal every 2.5 \pm 0.4; stimuli presented in a random order). The assessment of caiman behavioral responses and the statistical approach were identical to those used in Experiment 2. In total, the caimans were tested with 18 \pm 3 test stimuli throughout the experiments.

555 Since the results of this first step showed that the spectral envelope was a decisive acoustic

556 criterion for categorization, we tested whether the boundary between the two categories

557 "frog" and "crocodile" was identical between a control continuum where the 3 acoustic

dimensions varied and a continuum where only the spectral envelope varied. Based on the

results of experiments 2 and 3 which indicated that the acoustic boundary should be for a

signal containing around 30% crocodile features, we created a series of 6 acoustic chimeras

where the 3 dimensions of the signal varied together, from a 85% frog / 15% crocodile signal

to a 60% frog / 40% crocodile signal, with a 5% step. We also created another series of 6

acoustic chimeras, where only the spectral envelope was involved, from a 100% f_0 , 100% ap,

564 85% env frog / 0% f_0 , 0% ap, 15% env crocodile signal to a 100% f_0 , 100% ap, 60% env frog /

565 0% f_0 , 0% ap, 40% env crocodile signal.

566

567 QUANTIFICATION AND STATISTICAL ANALYSIS

568 Experiment 1: Labelling experiment with untrained naïve crocodilians

Statistical analyses were performed in R (v3.6.2) using Bayesian mixed models fitted with the 569 brms R package ⁴⁶. The advantages of using the Bayesian approach are multiple, including its 570 571 high flexibility, quantification of uncertainty in estimates, and intuitive interpretation of confidence intervals ^{47,48}. Response scores were modeled using a gaussian function. The model 572 included subject-specific random intercepts. 5000 iterations were run over four MCMC chains 573 574 with the first 500 iterations of each chain used to adjust the algorithm. Because the behavior 575 of the tested animals was difficult to predict, flat priors were kept for this model. Results were 576 summarized as medians of the posterior distributions and 95% credible intervals (CIs). Credible intervals for estimates that do not include zero indicate a credible effect given the 577 578 observed data and model structure ⁴⁸. Similarly, when contrasting two conditions, CIs 579 excluding the null value can be inferred to indicate a credible difference between the 580 conditions.

581

582 Experiment 2: Labelling experiments with trained crocodilians

583 Response scores were modeled using a logistic function (Bernoulli family) and reaction times using a shifted-lognormal distribution. All models included subject-specific random intercepts. 584 585 5000 iterations were run over four MCMC chains, with the 500 first iterations of each chains used to tune the algorithm. To improve convergence when modeling response scores, we 586 587 specified mildly informative priors for all factor levels (nature of stimulus, normal distribution of (0, 3), except for the GO stimulus (set with an informative normal distribution of (2, 2)) and 588 the NOGO stimulus (normal distribution (-2, 2)). Results were summarized as medians of 589 590 posterior distributions and 95% credible intervals (CIs).

592 Experiment 3: Alternative-choice test with trained crocodilians

593 For each trial, the caiman was considered to have made its choice between the two speakers 594 when it approached one of them within 45 seconds of the call. The response was scored 595 blindly. Choices were modeled using a multinomial function (categorical family), with random 596 intercepts per animal.

597

598 Experiment 4: Identification of acoustic cues supporting category labelling

The experimental and behavioral response analysis procedures were identical to those in Experiment 2. In total, the caimans were tested with 54 \pm 2 test stimuli throughout the experiments.

609 KEY RESOURCES TABLE

| REAGENT or RESOURCE | SOURCE | IDENTIFIER | | | |
|---|---|---|--|--|--|
| Deposited data | | | | | |
| Dataset, acoustic signals and statistical codes | This article | https://doi.org/10.52 81/zenodo.7699715 | | | |
| Experimental models: Organisms/strains | | | | | |
| Crocodylus niloticus | Crocoparc Agadir (Agadir, Morocco) | Taxonomy ID: 8493 | | | |
| Paleosuchus palpebrosus | Parc zoologique de Paris (Paris, France) | Taxonomy ID: 8497 | | | |
| Software and algorithms | | | | | |
| R | R Project | RRID:SCR_001905 https://www.r- project.org/ | | | |
| Matlab 2020b | MathWorks | RRID: SCR_001622 https://fr.mathworks. com/products/matlab .html | | | |
| Kinovea | Kinovea | https://www.kinovea. org/ | | | |

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HIGHLIGHTS

- Crocodilians can categorize sounds along an acoustic continuum.
- This categorization is solely based on the spectral envelope of sound signals.
- The boundary between two sound categories may be influenced by learning.

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