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Research Topic in Frontiers in Sustainable Food Systems

‘Transdisciplinary Research for Understanding and Transforming Food Systems’

Methods

Setting-up place-based and transdisciplinary research to foster agrifood system transformation: Insights from the Aliment’Actions project in Western France

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Abstract

Many agrifood systems around the world can be characterized as unsustainable. Research is increasingly required to inform the necessary radical transformations of the ways we produce, process, transport and consume food. This paper presents the research approach and methods of an on-going project carried out at a Long-Term Social Ecological Research site, the Zone Atelier Plaine & Val de Sèvre (western France). The research project presented here, Aliment'Actions, started in 2018 and within the ten years of its implementation seeks to study and trigger transformation to enhance the sustainability and resilience of the regional agrifood system. Its research agenda contains four types of actions: (a) backdrop actions that enhance communication and trust between researchers and local stakeholders; (b) targeted actions that are conducted in specific villages with a wide range of stakeholders to elaborate and implement various transformation levers; (c) assessment actions evaluating the effects of different interventions; and (d) communication and result dissemination actions. Overall, these actions aim to co-produce knowledge, raise awareness regarding challenges in the food system, envision new interactions between stakeholders, collectively generate innovative ideas, and catalyze actions oriented toward agrifood system transformation. The project implementation is adaptive and iterative, from theory to practice. This Methods paper puts this ongoing project into perspective of other place-based research initiatives, and provides insights on how to foster the engagement of non-academic actors in transdisciplinary research supporting agrifood systems transformation.

1 Introduction

Our global agrifood system¹ is responsible for approximately 60% of global terrestrial biodiversity loss, 24-30% of greenhouse gases emissions (depending on whether forest clearance is included), 33% of degraded soils, and the overexploitation of 20% of aquifers (Hajer et al., 2016). Much of these environmental impacts is driven by high-input industrial agriculture, and global supply chains largely are controlled by a small number of multinational agribusiness and food retail companies, generating

¹ Agrifood systems “encompass the entire range of actors and their interlinked value-adding activities involved in the production, aggregation, processing, distribution, consumption and disposal of food products that originate from agriculture, forestry or fisheries, and parts of the broader economic, societal and natural environments in which they are embedded.” (Nguyen et al. 2018:1)

power asymmetries between farmers and industrial actors (IAASTD, 2009, Howard, 2021). Long supply chains also increase the number of intermediaries and create a physical and cognitive distance between producers and consumers (Bricas et al., 2013). The pressure posed by the agrifood sector on natural resources and biodiversity is consequently accentuated by the lack of consumer awareness of how their consumption practices affect ecosystems (Berkes et al., 2006, Godfray et al., 2010).

As the pressure on ecosystems increases, the excessive concentration and internationalization of agrifood systems increases their vulnerability to environmental, meteorological, health or economic shocks, as well as their dependence on fossil fuels (Tendall et al., 2015, Blay-Palmer et al., 2020). These cascading processes ultimately impede agrifood systems resilience² and highlight the fact that ecological and human systems cannot easily be separated. Understanding how the resilience of agrifood systems may be restored (or at least improved), therefore requires us to consider them as social-ecological systems (Sundkvist et al., 2005, Ostrom, 2007, Foran et al., 2014), which offers an integrated perspective of humans-in-nature (Folke et al., 2016). The social side refers to the diverse facets of the human dimensions of these systems including economic, political, technological, and cultural aspects. The ecological side refers to the biosphere, biodiversity and ecosystems. SES thus encompass all living beings, including humans, and their dynamic interactions with the dynamics of the earth system, including the biogeochemical cycles (Cockburn et al., 2018).

Considering agrifood systems as SES means that interactions, not only between humans but also within ecosystems and between social and ecological components, must all be considered and cannot be understood independently (Ericksen, 2008). Social components of agrifood systems include “all related policies, laws and regulations, socio-cultural norms, infrastructures and organizations. [Ecological components include] water, soils, air, climate, and ecosystems and genetics” (Nguyen, 2018):3). This consideration calls for interdisciplinary approaches, in which ecology, agronomy, food sciences and social sciences tackle co-constructed research questions. It further calls for transdisciplinary research³

² Resilience is defined as the way systems “absorb disturbances and reorganize while making changes in ways that retain essentially the same functions, structures, identities, and feedbacks” (Walker et al. 2004, p.2)

³ Lang et al. (2012, p.26) define transdisciplinarity as a “reflexive, integrative, method-driven scientific principle aiming at the solution or transition of societal problems and concurrently of related scientific problems by differentiating and integrating knowledge from various scientific and societal bodies of knowledge”.

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that rely on collaborations among scientists from different disciplines and non-academic stakeholders from business, government, and the civil society (Kates et al., 2001, Hadorn et al., 2006).

At the same time the unsustainable trajectory of our agrifood system requires the implementation of transformative approaches (Olsson et al., 2017, O'Brien, 2018). However, although the idea of SES transformation has recently become more prominent, particularly within the scientific community, there is no clear consensus as to what the concept means in practice, and how SES transformation can be triggered, implemented and evaluated (Nalau and Handmer, 2015, Ziervogel et al., 2016). Importantly, transformation may not always be desirable, with O'Brien (2012) underlining the need for "deliberate transformation" to consciously create alternative futures that explicitly include ethics, values, and sustainability. Deliberate SES transformation implies that the stakeholders acquire "transformative capacity" (Olsson et al., 2010), that is, "the capacity of individuals and organizations to be able to transform both themselves and their society in a deliberate, conscious way" (Ziervogel et al., 2016): 2).

This is especially important insofar as resilience is often understood in a normative manner (Fallot et al., 2019). Yet it is also important to define what the resilience is about and by whom it is needed. When we refer to the resilience of an agrifood system, are we focusing on the system as a whole, or its components (e.g. farms, organizations, sectors)? Thinking about and building resilience of agrifood systems can be considered at different spatial and temporal scales and different levels of organization, whether social or biological. Moreover, there are many possible ways to increase the resilience of an agrifood system and many possible resilience criteria. Every stakeholder of the system should be able to position his/herself with regard to the trajectory the agrifood system (Fallot et al., 2019). Beyond the need to cross-compare the different perspectives and to foster learning from trial and error, it seems important not to consider resilience as a given property of the system, the boundaries and conditions of which would be perfectly known, but rather to consider it as an "object" of collective design and elaboration (Berthet et al., 2022).

The above suggests that enhancing the resilience of agrifood systems requires not only interdisciplinary research, but additionally transdisciplinary and transformative research to generate change and engage diverse stakeholders in the process (Feola, 2015, Nalau and Handmer, 2015, O'Brien, 2018). Until recently, however, most proposals addressing these issues have remained conceptual, with little work on how to move from the theory to the practice of transformation at the scale of SES. As Cockburn et

al. (2018) point out, to achieve this there is a need to move towards place-based empirical experimentation and active learning about the practice of SES transformation.

In this paper, we present such an ongoing initiative, the Aliment'Actions project in France, which specifically aims to empirically study agrifood system transformation towards improved resilience, within a long-term and large-scale research infrastructure. In this Methods paper, we mainly present the background and objectives of the project, the study region and consortium, the research strategy, and ongoing actions. We provide some initial results, and finally critically discuss and position the main features of this project within the wider literature on transformative research that targets SES resilience.

2 Methodology and research approach

2.1 Background and objectives of the Aliment' Actions project

Aliment'Actions has been underway since late 2018 and is planned to run for 10 years. It was launched as part of a long-term place-based research program conducted in an agricultural region in western France by the CEBC Resilience research team⁴ (Bretagnolle et al., 2018b, Berthet et al., 2022). Several decades of environmental policy implementation and collaborative research with farmers in this area have demonstrated that nature-based solutions (IUCN, 2012, Faivre et al., 2017) such as increasing bee abundance to increase rapeseed yield, are an effective and economically realistic alternative to agrochemical use in the broader region (Catarino et al., 2019b, Catarino et al., 2019a). However, at this particular site, as in many other parts of the world, biodiversity decline continued to accelerate (Bongaarts, 2019) while a conventional agricultural model, mainly relying on crop or animal genetic improvement, high use of chemical inputs and mechanization, remains overwhelmingly predominant. Scientists concluded that public policies and scientific knowledge production were not sufficient to implement an agroecological transition at the agrifood system scale (Kleijn et al., 2019, Berthet et al., 2022), and that other levers had to be explored. The research focus thus shifted from analyzing agroecosystem functioning towards achieving the better understanding of the conditions of SES transformation, to enhance system sustainability and resilience. Understanding the causes and process of transformation at the scale of a SES has become an important research front (Pereira et al., 2020b, Barnes et al., 2017). The research team adopted a transformative research approach and mindset

⁴ CEBC refers to the Centre d'Etudes Biologiques de Chizé (Centre for Biological Studies of Chizé)

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(Schneidewind et al., 2016), following a twofold aim: “to contribute to societal transformation by experimenting with potential solutions” and “to produce scientific evidence about the social robustness of solutions, as well as about their scalability and transferability”(Schäpke et al., 2018b): 86-87).

Aliment’Actions is actually part of a larger long-term intervention-based research project, named Transform’Actions, which encompasses four research axes: (a) agroecology, mainly based on on-farm experimentation and surveys on biodiversity and farmers’ practices (Gaba and Bretagnolle (2020); (b) food, mainly covered by Aliment’Actions and (c) ecohealth, which focused on the chain of the “pressure-exposure-impact” of pesticides on both humans and other species in this rural landscape (Mougin et al., 2018). Therefore, in this broader long-term project food issues are addressed in conjunction with agriculture and health issues.

Transform’Actions, and essentially Aliment’Actions, adopts a SES approach, thoroughly analyzing jointly (a) ecological processes and biodiversity in the local agroecosystem, (b) interactions between farming management actions and ecological processes, (c) interactions between agricultural production and food consumption practices, and finally (d) effects of agricultural and food practices on the local ecosystem and human health (see Fig. 1). The research program Transform’Actions articulates three main research questions:

- What are the triggers of SES transformation (at an individual, collective and system scale)?
- What are the relevant indicators and protocols to monitor SES transformation?
- What are the upscaling processes from individual change to global change, through changes in social groups and at the SES scale?

Within Transform’Actions, Aliment’Actions specifically applies the questions related to SES transformation, to food, Particular topics are: (a) What are the triggers that can lead consumers to change their food consumption practices? (b) What are the relevant indicators and protocols to monitor the transformation of individual and collective food consumption practices, and ultimately the agrifood system transformation? (c) How can individual and collective transformation of food practices lead to an agrifood system transformation towards greater resilience?

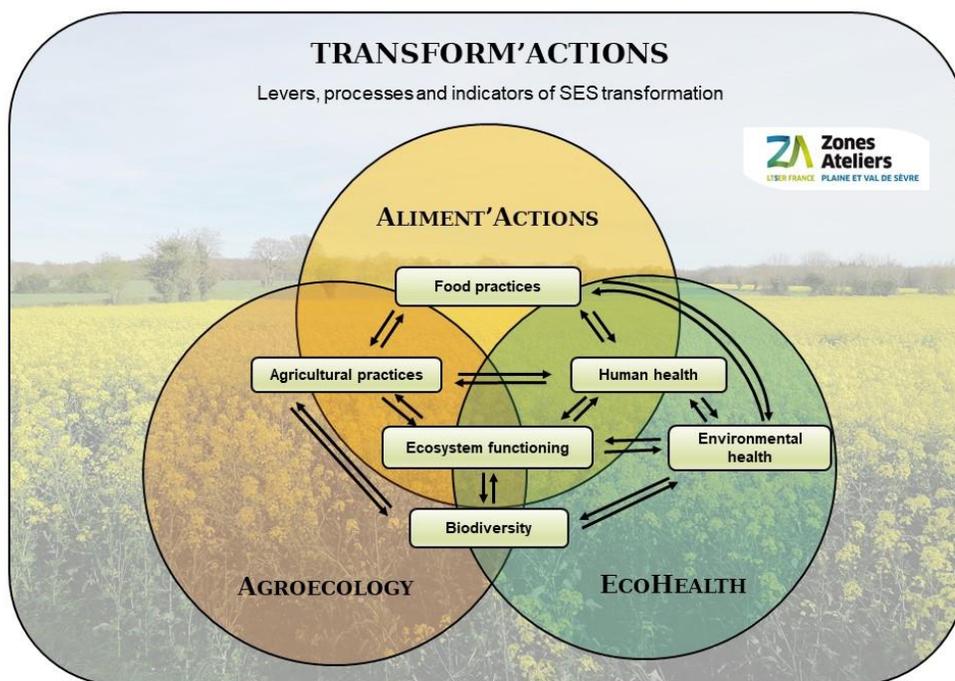


Figure 1: Position of the Aliment'Actions project within Transform'Actions

Addressing these questions requires studying either an ongoing agrifood system transformation process toward enhanced resilience, or one that is complete. In France, where agriculture is mainly intensive, specialized in crop or cattle production, and export-oriented, quite few initiatives have sought to enhance agrifood system resilience, and even fewer have achieved an effective transformation. Our research team therefore opted for an intervention-based research approach⁵ (Hatchuel, 2000) designed to initiate, support and monitor agrifood system transformation. As part of the transformative science movement (Schneidewind et al., 2016), the Aliment'Actions project thus aims to catalyze and analyze the transformation of food practices within a region, by supporting the relocation of the agrifood system and promoting more environment-friendly farming. Here, the term "catalyze" means that the project team stimulates, facilitates or accelerates initiatives led by local actors, but does not replace them in the design or implementation of these initiatives. The local actors may be operating at individual or collective level, e.g. local non-profits or municipalities.

To achieve this, we propose a research project at the regional scale, focused on three levers of transformation of agricultural practices identified by the project consortium: (a) re-connecting

⁵ Intervention-based research is a transdisciplinary approach consisting in producing knowledge on collective action by contributing to its transformation. The researcher is thus an actor and stakeholder of collective action.

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consumers to producers, (b) re-thinking how individual food consumption directly affects food production, (c) and relocating the agrifood system. Regarding the latter, the objective is not to aim for a food self-sustaining region, but rather to tilt the balance towards food relocation versus export. Aliment'Actions ultimately aims to make the transformation of food practices a lever for the transformation of agricultural practices based on the principles of agroecology (Wezel et al., 2011), as a means of enhancing agrifood system resilience. The idea behind this strategy is that using the lever linked to food demand can be more effective in transforming the food production system than trying to change each component of the system, e.g. the agricultural component (Bajželj et al., 2014). The project therefore mainly targets consumers and farmers that are currently present in the region, without neglecting other agrifood system actors (Lamine, 2015).

2.2 Core characteristics of the Aliment'Actions project

After three years of project implementation, we identify five main features of Aliment'Actions that we will outline in more detail below (see Figure 2). Aliment'Actions (a) is a place-based research project, anchored in a long-term and large-scale research infrastructure, (b) adopts a SES perspective, (c) is carried out by a transdisciplinary project consortium (where not only several scientific disciplines are represented, but non-scientific actors are also involved in various ways), (d) is carried out following an adaptive, iterative and reflexive process, (e) has a research design based on experimentation and monitoring.

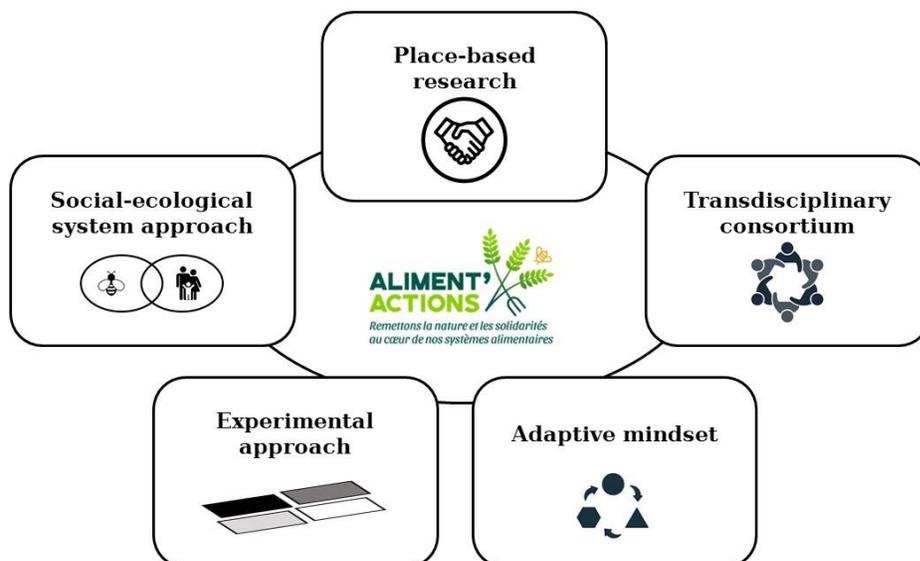


Figure 2: The main features of Aliment'Actions project

2.2.1 Place-based research

First and foremost, Aliment'Actions is anchored in a well-defined region, which is also a long-term and large-scale research infrastructure. In particular, it is linked to the Long-Term Social-Ecological Research (LTSER) “Zone Atelier Plaine & Val de Sèvre” (ZAPVS), located south of the city of Niort (Nouvelle-Aquitaine Region, western France). This research infrastructure is a large rural region (approximately 435 km²) encompassing about 400 farms and covering 40 villages (c. 24 municipalities) totaling 34,000 inhabitants (Fig. 3). The broader area is representative of agricultural intensification and specialization in France, and is characterized by extensive pesticide use and landscape simplification due to the removal of hedges, the enlargement of crop fields, the simplification of crop rotations, and the decline of mixed farming in favor of cereal farming. The agrifood system of this region has become more and more globalized, as agriculture relies on imported resources (e.g. fertilizers, pesticides, seeds), while about 60% of its agricultural output is exported internationally. Furthermore, the area is remarkably rich in biodiversity, while for geological reasons it is particularly sensitive in terms of water resources (Berthet et al., 2012). As a result agricultural intensification poses considerable environmental problems in this region with regard to water quality and biodiversity.

Since 1994, the ZAPVS acts as an observatory of the agroecosystem, where long-term surveys are undertaken to obtain an understanding of SES dynamics due to agricultural modernization. Regular (yearly) surveys, are conducted by the research team, and include the monitoring of land cover, status of biodiversity, implementation of farming practices (Bretagnolle et al., 2018b, Bretagnolle et al., 2018a) and, more recently, tracking of food consumption (Berthet et al., 2020). Each year, the local farmers who participate to experiments or allow scientists to monitor biodiversity and ecological functions in their fields, are surveyed on their farming practices during the cropping season of interest. Information on soil management practices (type, date), use of pesticides and fertilizers (type, date), sowing (date, cultivar) and harvest (date, yield) are collected. Such information is then used to relate biodiversity, ecological functions and their relation to yield (see Perrot et al. 2022 for an example). The survey on food consumption is presented in Section 2.4.2. Research programs have been carried out for more than ten years with farmers, cooperatives, NGOs, municipal councils and schools (Berthet et al., 2016, Gaba and Bretagnolle, 2020, Houte et al., 2020).

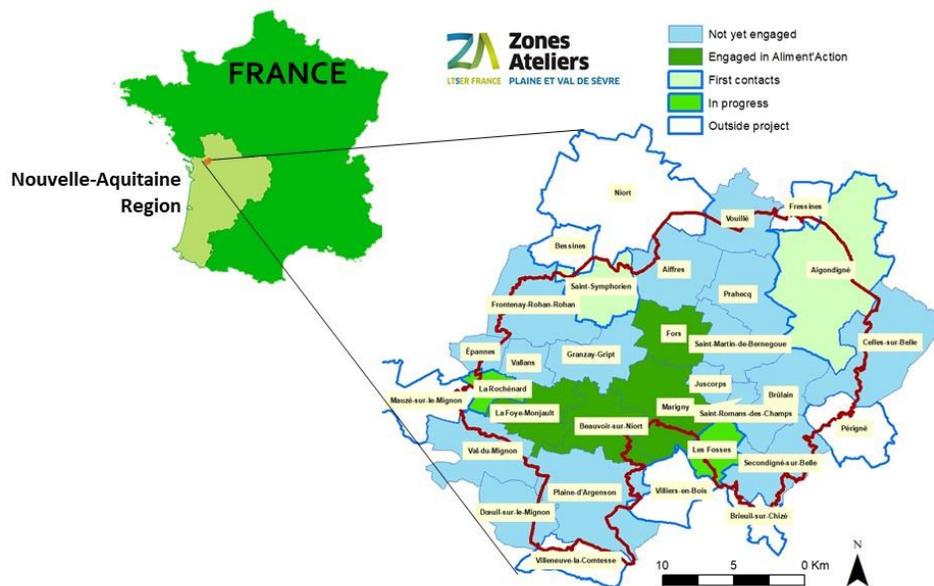


Figure 3: Study area of the Aliment’ Actions project. The figure highlights all municipalities that intersect with the Zone Atelier Plaine & Val de Sèvre (ZAPVS) Municipalities involved in the project (as of 2021) are indicated in green, while those not yet involved as of 2021 (but that will be within the 10-year project duration) are indicated in light blue. Municipalities usually include several villages, which means that the 24 municipalities actually encompass 40 villages in total.

2.2.2 Social-ecological systems perspective

Aliment’ Actions, as part of the broad and long-term project Transform’ Actions (Section 2.1), considers the local agrifood system as a SES, whose various dimensions are addressed through the nexus of food-agriculture-environment-health. This research focuses on a clearly delimited region, in which ecological, agricultural, food consumption and (soon) health parameters, and their interactions, are monitored in the long-run. Further, this research project specifically studies transformation toward ecosystem-based management and governance (Olsson et al., 2008), involving stakeholders in the development and dissemination of nature-based solutions (Faivre et al., 2017, Berthet et al., 2022).

2.2.3 Transdisciplinary consortium

The research conducted within the Aliment’ Actions project is transdisciplinary, in the sense that not only a wide range of scientific disciplines is represented (e.g. life sciences, management sciences,

social sciences), but also that non-academic actors concerned by these issues are involved in different ways within the research process. The project consortium is composed of academic and non-academic actors with diverse and complementary expertise such as researchers in ecology, agronomy, agroecology and social sciences, as well as a social enterprise. In addition, various partners contribute to the project on an ad hoc basis according to their competences and the needs of the project, particularly agricultural development associations and municipal councils. These actors contribute to developing the research questions and providing data. Their initiatives influence the course of the research project and they are invited to provide feedback on preliminary results during public presentations. Key interactions between researchers and local stakeholders are further detailed below (see Sections 2.3 and 2.4).

The project therefore brings together actors with complementary approaches, such as citizen engagement, rural development, and transdisciplinary research. In terms of the actual transdisciplinary research process we broadly follow the approach of Lang et al. (2012) that conceptualize it as a sequence of three phases, namely: (a) collaborative problem framing and collaborative research team development (Phase A), (b) co-production of solution-oriented and transferable knowledge through collaborative research (Phase B), and (c) (re-)integration and application of the produced knowledge in both scientific and societal practice (Phase C). Aliment'Actions encompasses all these phases, but rather than sequentially, they take place simultaneously and in parallel.

2.2.4 Adaptive research process and consortium

The research process and project partnership are adaptive in that they change along with the project's life. The project team meets on a weekly basis to discuss the ongoing actions, as well as the evolving context. In addition, project seminars are held several times a year to discuss the project strategy. This strong interaction between the project leaders allows for the timely exchange of information, fluidity of interactions between researchers and local actors, and reactivity. Collectively these enhance flexibility in the research design, data collection, and action implementation.

This reflects more than just a transdisciplinary research approach, as the scientists adopt a post-normal posture which is appropriate in cases where "facts are uncertain, values are under debate, stakes are high and decisions are urgent" (Funtowicz and Ravetz, (1993):10). The project thus takes into account the uncertain nature of the agrifood system trajectory in response to climate change, biodiversity degradation and loss, and the complex socio-economic disruptions it faces. The researchers, together with non-academic actors, regularly discuss the research objectives, implementation approach and

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outcomes. Based on these discussions, the researchers adapt the research strategy, either by modifying the experimental design (see Gaba and Bretagnolle 2020) or the boundaries of the system under study (e.g. to include relevant stakeholders or add other taxa) (see Bretagnolle et al. 2018, Berthet et al. 2022). In addition, the ZAPVS is a platform where various research projects and actions can be implemented and articulated. Agrifood systems by default include multiple actors, such as farmers, consumers, decision makers, food processing firms and retailers, which are heterogeneous in the sense that they have different values, expectations, functions, power or constraints (Moragues-Faus et al., 2017). Hence, there is no single optimal method to involve this large diversity of actors in a collective design process (Blay-Palmer, 2016). In this context the non-academic facilitators contribute significantly to weaving relationships between the regional stakeholders by: (a) constantly creating links (i.e. between the researchers themselves, between the researchers and the regional stakeholders, and between regional stakeholders); (b) by ensuring that the “territorial rhythm” is effective (i.e. one of the four types of targeted actions discussed below are implemented at least once a quarter in each selected village).

2.2.5 Research design based on experimentation and monitoring

Another major aspect of the Aliment’Actions project is its experimental nature. Arguably the need to develop and mobilize a diversity of experimental tools and approaches is essential to build trust, frame the issues at stake, develop and discuss imaginaries or values, foster social learning, and facilitate interactions, discussions and exploration of ideas. The methods and protocols applied are detailed in Section 2.3.

2.3 Types of actions implemented within Aliment’Actions

The project consortium developed an iterative research agenda distinguishing four types of actions. The first are “backdrop actions” that are led at the regional scale and aim to increase mutual knowledge, trust and collaboration between researchers and local stakeholders throughout the project. The second are “targeted actions”, which in contrast to “backdrop actions”, built upon a spatial design and are conducted in targeted villages with the aim of generating or stimulating changes in the practices and behaviors of inhabitants (thus following an experimental design model). The third are “assessment actions” that track the effects of backdrop and targeted actions through monitoring and surveys. The fourth are “communication actions” that encompass the dissemination of results and exchanges with

the public, stakeholders and scientists, which ultimately may shape or reshape backdrop, targeted, and assessment actions (see Figure 2 for a general description).

Backdrop actions are carried out all over the ZAPVS, systematically, opportunistically or randomly, depending on the situation. They contribute to collecting information on (and improving the understanding of) the interests and needs of the region's residents, fostering their trust and commitment, and creating and maintaining relationships between residents and the research team. Such actions are essential to ensure that the project is accepted, endorsed and promoted. They are also aimed at creating a fertile ground so that the "seeds" sown later by the consortium or other actors (e.g. elected officials, associations, citizens) can germinate and develop in concrete actions. These "seeds" are both the targeted actions described below and the local stakeholders' initiatives. Backdrop actions often entail the participation of the project members in local events, as well as informal and formal meetings with residents, farmers, local authorities, or other types of stakeholders.

Targeted actions are implemented at the village level. They are designed to test individual or collective transformation levers (the "triggers" of research question 1; see above) in order to accelerate the transformation of the agrifood system. More specifically, these actions first contribute to raising awareness about food issues, then to increasing local stakeholders' capacity, and finally to catalyzing stakeholders' initiatives⁶ in order to involve them in a democratic way in the transformation of the regional agrifood system. We adapt the targeted actions to the societal context, given that this context can change quickly, which significantly modifies the perception, behaviors and mobilization of the actors, as witnessed for example during the COVID-19 pandemic. The villages in which targeted actions are implemented are selected following a spatial design. By spatial design here we refer to the fact that action protocols differ between "targeted" villages (in green in Fig. 1) and "control" villages, where no targeted actions are implemented (in blue in Fig. 1). The type and number of targeted actions varies according to the characteristics of the village and the triggers to be tested, and are described in more detail in Section 2.4. Actions are thus differentiated in space, allowing to identify contrasts between villages that are monitored and analyzed. The spatial design that informs the decisions over the implemented targeted actions is essential to enable comparisons between villages, even if it is not always feasible to achieve an "all things being equal" condition. As Bergmann et al. (2021): 545) point

⁶ Stakeholders' initiatives may be conducted at the individual, collective or municipal scale. Examples of initiatives at the individual level include changing one's diet such as to reduce meat consumption. Initiatives at the collective level include setting up an educational garden in a school. Initiatives at the municipal scale include the provision of municipal land to a vegetable farmer, who then sells its products to the inhabitants.

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out, “*a critical task relates to the context-specific nature of experiments with only limited, participatory control of many factors, which challenges the generation of comparative and transferable insights*”. Several types of targeted actions have been implemented up to now, which are presented in Section 2.4. Some of these actions have already been initiated, which allows us to report some preliminary results (Sections 3.1-3.3).

Assessment actions seek to assess the effects of backdrop and targeted actions. Assessment is crucial to understand the project’s impacts and answer the three research questions outlined in Section 2.1. Assessment actions are facilitated by long-term place-based research, and inform on the SES transformation trajectory. In order to understand how the various actions affect the trajectory of the local agrifood system, and to ensure that successful solutions can be transferable in other agrifood systems and/or transdisciplinary projects, we perform an overall assessment of the conditions of action implementation, reception and impacts. To this end, we document the processes operating along the way (e.g. project meetings/events, evolution of partnerships), and analyze the key aspects of success or failure, and difficulties and questions. The assessment follows a diversified project monitoring approach , which includes (a) qualitative surveys of the reactions and viewpoints of the actors and social groups of the ZAPVS concerned by the project, (b) a video library that contains the recording of all weekly videoconferences about the evolution of the project since March 2020, (c) "story-telling" videos developed by the project’s facilitators, (d) reports of the events organized within and outside the project by facilitators or observers from the research team, and (e) survey questionnaires distributed before and after the workshops. The assessment also includes quantitative monitoring about participation in the surveys and citizen engagements, as well as the dynamics of the digital map of short supply chains outlined below (e.g. number of outlets on the map, website traffic). The consortium also plans to co-construct relevant indicators with local stakeholders to monitor individual and collective transformation trajectories, relating for instance to food practices, representations or knowledge, among others.

Communication and result dissemination actions are very diverse. A wiki-type website features a collaborative map of local short food supply chains, serves as a platform to gather the different project outputs (e.g. texts, reports, photos, videos) and aims to create a dynamic space where local actors can express themselves and interact with one another. The reflexive follow-up and collaborative dissemination of the project (involving non-academic actors) are envisaged to promote the uptake of the project and the themes it addresses. The research team organizes in the different villages activities

that disseminate to the local residents the publicly-released reports, which are then followed by discussions. Scientific publications and papers delivered at conferences that present the project (and its results) are beginning to be produced, as of the writing of this paper. Finally, a communication strategy targeting various media (e.g. press, radio, internet) is also being developed.

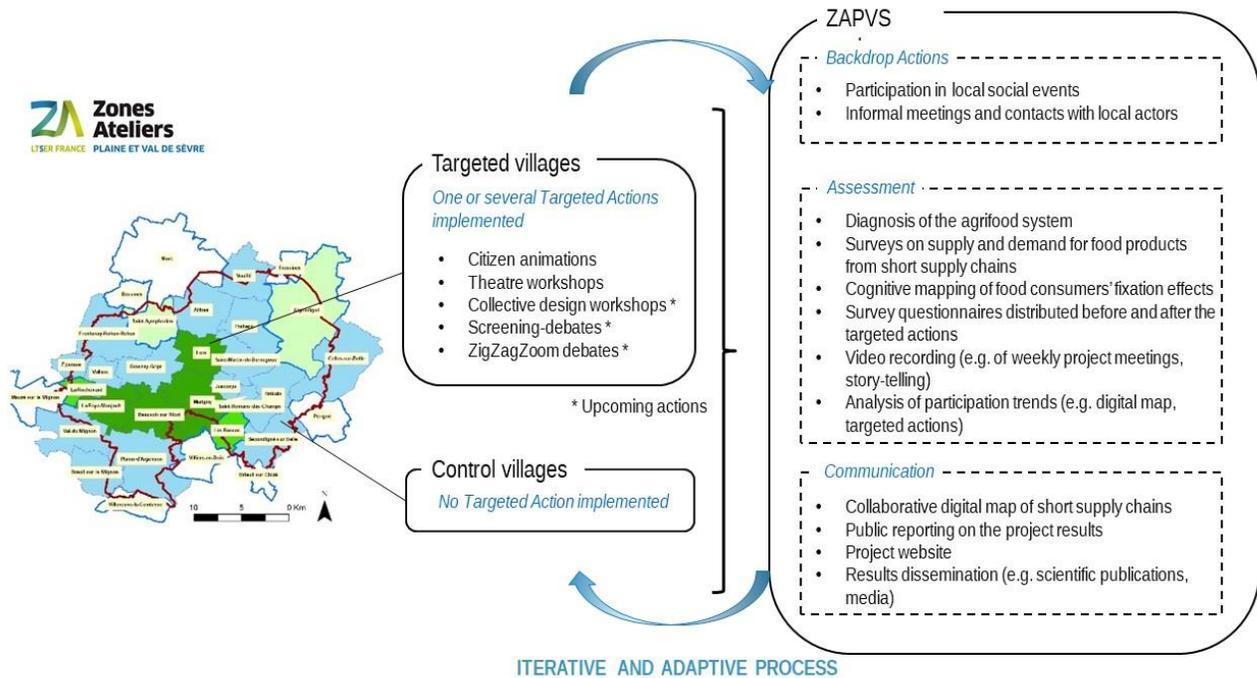


Figure 4: The different actions implemented in the Aliment' Actions project.

2.4 Details of targeted actions and monitoring activities

As outlined above the Aliment' Actions project has been implemented since 2018. Its first phase, which we qualify as the project launch and calibration, has ended, and a new one has started in January 2022. For the sake of clarity, in this Methods paper we only present the main targeted actions and assessment actions that are either implemented or upcoming as of the writing of this paper.

2.4.1 Ongoing and upcoming targeted actions

The main ongoing and upcoming targeted actions include (a) citizen engagements, (b) theatre workshops, (c) ZigZagZoom debate sessions, (d) collective design workshops, and (e) conference debates. Below we briefly outline their key aspects.

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The citizen engagements are set up by two mediation professionals. They are designed to encourage citizens to engage with food issues, and to motivate all the participants (e.g. consumers, elected officials, producers) to actively get involved and cooperate with each other (e.g. shared gardens, short cereal-meal-bakery chain). So far, six municipalities have been involved, with on average two new ones added each year (e.g. two were planned for 2022) (see Fig. 1). In each village, the citizen engagements start with a standardized sequence consisting of a Samoan Circle and then an Open Forum, to which we add customized formats (e.g. Six thinking hats (De Bono, 2017), specific engagements for a school public, etc.) based on the first observations, reactions, expectations and initiatives. These engagements started in November 2018, and continued in 2020 and 2021, despite the COVID-19 pandemic. Since the launch of the project, and as of the writing of this paper, 56 such targeted actions took place in six municipalities, reaching over 1000 individuals. This constitutes what we name the “territorial beat”, that is in reference to heartbeat: a succession of events that builds trust and supports changes in mindsets. These engagements involve citizens in the identification of what may be a desirable trajectory for their agrifood system. There have also been some emerging actions led by citizens or by municipalities, including for instance new food markets established in three villages.

The theatre workshops collectively create original plays on specific controversial or socially-relevant issues. For the participants, the aim is to relate complex and sometimes divisive issues, and to develop imagination to change representations (Fournout and Bouchet, 2019). This methodology of collective creation was first implemented within the project in October 2020 with the theme: "Farmers and inhabitants, citizens of the same region: what relationships?" Its purpose is to enable farmers and other citizens to transpose the relationships between them in a play of which they will be the authors, stage directors and actors. This allows them to put into perspective their preconceived ideas, questions and desires for the future. They identify new avenues for individual or collective action in a way that, far from being only an intellectual or linguistic endeavor, it will be as in "real life", namely embodied, emotional, existential and creative. A survey questionnaire that was distributed before and after the theatrical performance, gave participants the opportunity to describe the effects of the diversion through the imagination, particularly in terms of the recommendations for action that may emerge. The results of the survey clearly show that the participants perceived the theatrical collective experiment as an opportunity to “let go” of mental postures and stereotypes. A theatre troupe spirit emerged, with a wish to perform the plays again in other villages. As one of the participants suggested: “we meet by the market and play something fun, then we have a big Citizens Assembly to put into debate the street

show”. Although this has not been possible during the COVID-19 pandemic, new theatre workshops are planned given that the public health situation allows it again. The target is to hold one or two such events per year given the difficulty to recruit participants, who are not theater professionals and may not immediately feel comfortable to engage in such activities. Previous experiments that followed the same methodology (Fournout and Bouchet, 2019) showed that although it is not easy to convince the participants to start the process, once there is momentum and proper help from professional mediators, the participants feel secure and more, eager to contribute and have a good time together. The prospect of performing the play in front of a real audience acts then as a strong incentive.

ZigZagZoom debate sessions will be organized in the near future between farmers, consumers, scientists, local politicians and other regional stakeholders. ZigZagZoom is a dialogic protocol inspired by the approach promoted by the Braver Angels⁷ Organization in the United States to improve debates between Democrats and the Republicans. A ZigZagZoom debate session can be held either on-line or with in-person attendance. It lasts 90 minutes, and starts with a “yes/no” shared question. An equal representation of “yes/no” answers to this shared question is agreed among the participants before the session. A dialogue facilitator leads the exchanges and the points of view alternate, with questions from attendants taken between each intervention. The theoretical underpinning of these debate sessions is rooted in the work of Habermas (1991). The protocol of the ZigZagZoom debate sessions for Aliment’Actions project was created and tested throughout 2021 and the beginning of 2022 by two consortium members that have implemented 17 such sessions in contexts other than the ZAPVS. Within the Aliment’Actions project, this engagement will be conducted to tackle collectively divisive questions such as “Should we stop using pesticides in the fields next to our village?”

Collective design workshops will be implemented in a semi-experimental way in several villages across the ZAPVS to determine the extent to which implementing a design method can increase consumers’ openness to change. These workshops have two objectives: (a) to accompany the regional actors in the elaboration of their projects that aim to enhance agrifood system resilience; and (b) to produce data that enable a better understanding of the impediments and determinants of the food transition, in a comparative way through workshops. We will apply the Knowledge-Concepts-Proposals (KCP) method (Hatchuel et al., 2009), which has been proven to enhance design capacities (Hooge et al., 2017). However, the implementation of KCP in a context where such groups do not

⁷ Refer to: <https://braverangels.org/>

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necessarily already exist is original and challenging. Questionnaires will be circulated before and after each design workshop to assess the impacts of the workshops on participants' ability to overcome their entrenched beliefs and increase their agency (e.g. transform their ideas into projects, increase their self-confidence).

Conferences-debates are planned in different municipalities as of the writing of this paper to alert the local residents and actors about the shocking consequences of the Anthropocene, and discuss about possible initiatives they could potentially implement to increase the resilience of the agrifood system. These talks will be based either on short films generated through the biodiversity- and agroecology-related research conducted within the ZAPVS, or on scientific studies of the state of the world facing the Anthropocene and its diverse environmental challenges. These scientific talks are intended for the general public, and focus on key concepts such as global change, the Anthropocene, tipping points, or transformative change. Before and after the talks, short inquiries as well as interviews with the participants will be organized, to ascertain whether the talks (and in particular which key points), have elicited positive emotions (defined as triggers that support collective action towards a more sustainable or desired future). There will also be efforts to offer such talks for teenagers and children.

Table 1: Summary of the targeted actions

Targeted actions	Citizen engagements	Theatre workshops	ZigZagZoom debate sessions	Collective design workshops	Conferences-debates
Aim	Encourage citizens and local authorities to engage with the food issue and cooperate	Create original plays on a specific societal issue Use the imaginary to change representations and enhance dialogue.	Use a dialogic protocol to enhance debates on controversial issues. Identify points of convergence on which to build actions	Apply a collective design method to help participants develop innovative projects to enhance agrifood system resilience	Alert local residents and actors to the crises posed by the Anthropocene. Talk about potential initiatives and solutions they could implement
Number planned	2-4 citizen engagements per year in each targeted village	2 plays per year	2 debate sessions per year in each targeted village	10 workshops in total	5 conference-debates in total

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Number implemented	39	2	0	0	0
Scale	Village	Set of 3 villages	Village	School or village	Village
Number of engaged individuals per session	5-50	10-15	15-30	15-30	30-50

2.4.2 Monitoring actions

First, to enhance the resilience of a SES, a fortiori an agrifood system, there is a need to have a deep knowledge about its components, functioning and dynamics. Towards this end a diagnosis of the local agrifood system was carried out in 2021 (Berthet and Deroche Leydier 2022), drawing on 32 interviews with local stakeholders. These included representatives of local authorities, farmer cooperatives, groceries, consumer associations, food supply chains, mass catering and restaurants. Furthermore, we conducted surveys with farmers and consumers in the frame of the Aliment' Actions project, as well as participant observation and document analysis. This socio-technical diagnosis combined three complementary analytical frameworks socio-technological transitions (Geels & Schot, 2007), social networks (Scott, 1988), and SES (Berkes and Folke 1998). Some results are included in Section 3.1.

A qualitative and quantitative survey about the supply of food products from short supply chains was launched in early 2019. This survey sought to identify the producers selling food products through short supply chains in the ZAPVS, their production, their development prospects, and their possible difficulties. This assessment also targeted intermediate actors. At the end of 2022, we have identified more than 100 producers who market at least part of their food products through direct sales, and are either situated in the ZAPVS or market their products in the region. This is equivalent to nearly 15% of the farmers in the region (not all producers who sell directly are necessarily farmers). Based on this survey, meetings and word of mouth the project consortium enriched, made interactive, and finally put online a map of short supply chain outlets, which had originally been developed in 2017 (refer to: <https://aliment-actions.fr/?CarteProducteur>). This digital map is collaborative insofar as each resident

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in the region, whether a producer or not, can inform it. Moreover, the survey is carried out iteratively, with a series of telephone interviews conducted during and after the COVID-19 lockdown in the spring of 2020. This involved about twenty actors of short supply chains.

Second, an evaluation of the demand for short supply chain food products is underway. The intention is to conduct interviews with a large proportion (30-50%) of households in the 24 municipalities. In May 2022, four of these municipalities have been surveyed (Les Fosses, Marigny, Fors, La Foye-Monjault). In total 603 individual interviews were conducted accounting for 30.3-56.7% of the inhabitants in these four municipalities. The objective here is to quantify the current food habits and uses in the region, as well as expectations around short supply chains, including obstacles and possible levers of action to overcome them. The findings of these surveys are disseminated during public presentations (in person or remotely, depending on the constraints posed by the COVID-19 pandemic) to encourage reflection and action by respondents, and to motivate them to increase the consumption of local food products.

Third, the literature on creativity and design highlights the fact that a major obstacle to idea generation is the fixation effect, i.e. “the fact that some knowledge about existing or obvious solutions is spontaneously activated and constrains the generation of new solutions” (Agogu e et al., 2014):1). To identify consumers’ fixation effect, we draw on previous research that combined cognitive psychology with design sciences, firstly to determine fixation effects for specific individuals on specific subjects, and secondly to test levers to overcome them (Agogu e et al., 2014). For this we assess knowledge and map ideas about how to increase sustainable food practices, for a large number (target: 1000) of consumers in the ZAPVS (from children to adults). We will use this fixation effect mapping in combination with the collective design workshops to test two types of inputs that may increase creativity and transformation in consumer projects: (a) targeted ecological knowledge (beyond common knowledge); and (b) innovative examples of cooperation between farmers and consumers (outside pre-identified fixation effects).

Table 2: Summary of the diagnosis and monitoring actions

	Food system diagnosis	Local food offer and supply	Fixation effects
Aim	Produce knowledge about the agrifood system components, functioning and dynamics	Confront food and demand trends in the region	Determine fixation effects for children, teenagers and adults on how to improve food consumption
Number of interviews	32	c.700 (in Sept. 2022)	325 children (9-16 years old)
Number of targeted people	> 30 diverse actors within the agrifood system	>20-30% of households in each village	1000 children, teenagers and adults
Theoretical framework	Social networks Multi-level perspective	NA	Cognitive psychology Innovative design theory
Method	Semi-structured interviews	Structured interviews	Creativity tasks and questionnaires

3 Results and Discussion

3.1 Identifying actors' strategies and needs for cooperation in the agrifood system

Our system diagnosis (Section 2.4.2) revealed various stakeholder strategies with regard to the agrifood system dynamics in the study region such as enhancing food relocation, developing organic farming, or maintaining current trends (BAU scenario). It also helped understand the nature of the relationships between the stakeholders, as well as the strength of these relationships. This system diagnosis highlighted, in particular, various strategies of innovation niche building such as the development of consumer associations to promote peasant farming or online marketplace for local and organic food products. Furthermore, it pointed to the hybridization between some of these niches and the dominant regime, this hybridization being the outcome of both policy pressures and consumer demand. Some examples are the provisioning of school catering through both short and long supply chains, or farmer cooperatives that target both local and international markets. Such types of coexistence and

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confrontation of food system models have been observed and analyzed in other regions over the world (Gasselin et al., 2021), highlighting that various actors of food systems, be they farmers, cooperatives or retailers, hybridize long and short supply chains for various reasons, notably to spread the risks.

In addition, despite highlighting the active involvement of some innovation intermediaries, our agrifood system diagnosis also showed the lack of connectivity between some niches. For instance, our social network analysis highlighted two “cliques⁸”, one in Niort (~ 60 000 inhabitants) and one in Melle (~ 6 000 inhabitants), these cities being 30 km apart. Both “cliques” have distinct compositions and functioning and have little interactions between them. The first “clique” (Niort) gathers mainly institutional actors linked with formal arrangements and is quite centralized. The second “clique” (Melle) gathers more diverse types of actors (e.g. local authority, local food retailer, associations, farmers) who interact both formally and informally, relying on trust relationships and shared values. Each municipality builds its own “territorial food project” in a quite redundant way. In addition, as most retailers or canteens build their own provisioning networks, our study showed the lack of visibility of the adequacy between local food offer and demand in the region. Overall, our findings highlight the interest of a transversal organization that would better coordinate short supply chains at a regional scale.

3.2 Assessing the local demand for food products from short supply chains

In the four municipalities where surveys were conducted up to the writing of this paper (see Section 2.4.2), approximately 57-72% of the respondents in each municipality stated that they regularly buy local food products (excluding food self-provision), i.e. they bought at least one item in the last month. This is consistent with a national survey⁹ indicating that 64% of French consumers bought products from short supply chains at least once a month in 2020. Most purchases are done directly at farms or on open-air markets (Figure 5).

The main motivations for purchasing food items through short supply chains include the quality and traceability of the products, and the better remuneration for the farmers. This is consistent with other

⁸ In the social sciences, a clique is a group of individuals who interact with one another and share similar interests (Lazega 1998).

⁹ This study was conducted from 15 to 22 April 2021 on the Kantar Profiles panel with a representative sample of the French population (1,000 individuals).

studies that mention the quality and traceability of food products as major motivations for purchasing from short food supply chains, amidst concerns over health and the environmental impact of food (Chiffoleau and Dourian, 2020). Similarly, the fair compensation of farmers has emerged as a topic of concern among many food consumers in the EU, as the low prices often received at farm gate are considered unfair in view of price escalation by intermediaries (Chiffoleau and Dourian, 2020, Lappo et al., 2015). This high interest for purchasing food from short supply chains is also reflected in that about 80% of respondents that did not report buying food locally claimed they were prepared to do so within the next months.

However, the surveys also identified some obstacles for engaging in such purchasing behavior, including the additional economic cost, lack of time, mobility problems, and lack of information on the sales outlets. Similar constraints have been identified in other studies (Maréchal et al., 2019).

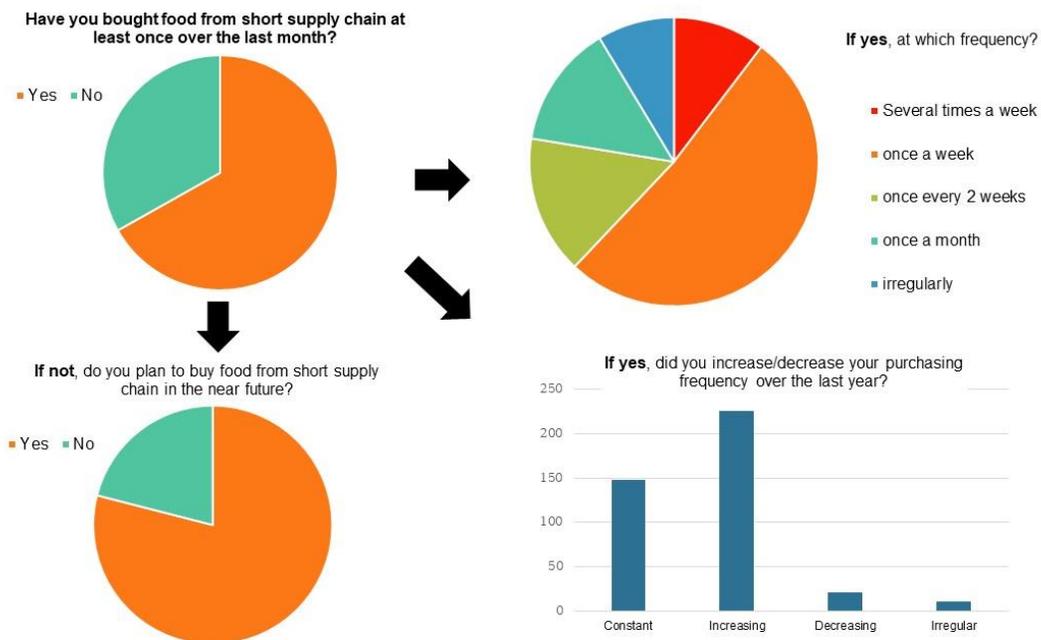


Figure 5: Preliminary results of consumer surveys (n=603).

3.3 Upscaling the place-based approach

The decision to anchor the Aliment’ Actions project in a delimited and well-defined region draws upon the assertion that transformations towards sustainability are generally triggered at the local scale. As

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Balvanera et al. (2017):2 pointed out, “*place-based research (...) is uniquely positioned to explore the interplay between the local and the global scales, by recognizing the distinctiveness of local entities, while addressing the impacts of global dynamics on them*”. Place-based approaches are also advocated by Sonnino and Milbourne (2022) who underline their potential to address the complex entanglements of relations within food systems, the stakeholders’ diverging views, as well as the coevolution between different human and non-human interacting elements. Balvanera et al. (2017) highlight the strengths, challenges and opportunities of place-based research, with the main challenges being transferability (as they are context dependent and may rely on place-based knowledge) and upscaling (taking account interactions between various governance levels). Balvanera et al. (2017) also highlight the need for new theoretical frameworks that would advance our understanding of how to assess multi-scale dynamics.

Agriculture in the ZAPVS is typical of Western and Northern Europe, where agriculture has been intensifying and specializing in crop farms, which are mostly family-operated. As this type of agricultural landscape and agrifood system may not necessarily reflect those encountered in many other parts of the world, possibly the results of Aliment’ Actions are not directly transferable outside Europe. However, we argue that our experimental approach could be transferrable in other contexts, as long as there is a commitment for long-term research and collection/analysis of a large volume of data to inform the food system transformation trajectory.

3.4 Engaging various stakeholders for an experimental approach at the regional scale

Sustainability challenges are complex and even wicked, which often makes linear and technocratic approaches insufficient (Sonnino and Milbourne, 2022). In such contexts there is a need for an experimental turn in research (Overdeest et al., 2010). An important challenge when carrying out experimental research at the regional scale is not only to ensure scientific rigor, but also the democratic involvement of stakeholders (Sonnino and Milbourne, 2022), as well as the transferability of results/approaches in other regions (Balvanera et al., 2017). As discussed throughout this paper, the processes of experimentation, evaluation, learning and innovation carried out by Aliment’ Actions with the participation of actors outside academia, are intended, precisely, to facilitate societal transformation (Loorbach et al., 2017).

However, conducting transdisciplinary research raises a series of challenges regarding both the effective involvement of non-academic actors and the emergence of conflicts due to differing values, conflicting interests, dissimilar claims of legitimacy and diverse knowledge claims (Siebenhüner, 2018). The Aliment'Actions project developed several strategies to cope with these challenges such as: (a) build and maintain trust with local stakeholders, particularly through backdrop actions and the frequent interventions of the project team facilitators in the region; (b) conduct in parallel diverse activities with various stakeholders, to enhance participation and account for multiple perspectives (here although diverse types of consumers were the mainly targets, the project team involved producers, local authorities and various other economic actors in the project); (c) put forward approaches that foster mutual consideration and dialog.

Indeed, in contexts characterized by uncertainty, it is legitimate that science should experience controversy, where arguments in search of proof and facts confront questions from the society (Callon et al., 2001). While such differences of viewpoints prove useful for scientists in search of a consensus, it is often magnified as irreconcilable positions in the public arena. As someone in the audience of a theatre workshop production puts it: "I wanted to thank you for taking the risk to get together and expose something else than conflict, as it is staged every day by the predominant media" (quoted by Fournout and Bouchet, 2019, p.93). Furthermore, within the Aliment'Actions project, the various engagement tools (e.g. theatre workshops, ZigZagZoom debates) seek to give a chance to procedural ethics of discussion and to foster collective imagination for tackling the ecological challenges posed by current food production and consumption practices. This would require more than knowledge and facts, and calls for creative, imaginative, and experiential ways of thinking, communicating, generating change, and creating new narratives (Galafassi et al., 2018).

Nevertheless, involving diverse actors in a transdisciplinary research project is challenging, with the COVID-19 pandemic generating added unprecedented difficulties. The Aliment'Actions project team is thus continually adapting, enriching and renewing these strategies, in order to facilitate stakeholder engagement to catalyze and foster agrifood transformation in the study region.

3.5 Rethinking the role and positionality of researchers in transformative science

Up to now, the Aliment'Actions project has been pioneering not only in terms of its wide geographical and temporal scope, but also of the role and positionality of the involved researchers. The approach is

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not overhanging, but aims at catalyzing and analyzing local initiatives, in close interaction with citizens and actors from the associative and entrepreneurial worlds. Engaging with stakeholders in this process, encompassing the diversity of relevant actors, and creating shared understanding about the problems, can arguably change the way researchers learn about SES. The focus is thus shifting from a rather “positivist” and reductionist approach to a more “constructivist” and holistic approach (Hazard et al., 2020), and from a knowledge-transfer perspective to a post-normal science perspective (Ainscough et al., 2018). This reflects the fact that research addressing wicked problems face a double epistemic uncertainty (Hazard et al., 2020: 5): *“The first is the result of the imperfection with which science tackles societal problems: a single original and clear research question cannot adequately represent the fuzziness of an indeterminate situation. The second uncertainty arises from the unpredictability of the effects of scientific knowledge when introduced in a complex situation.”*

Planning the project for at least ten years (2018 onwards) enables the implementation of what Ansell and Bartenberger (2016) describe as “generative experimentation”, as distinguished from “controlled experimentation” and “Darwinian experimentation”. Similar to controlled experimentation, generative experimentation focuses on a single experiment, but rather than seeking to determine causal chains, it aims at stimulate the generation and analysis of information about the actual experiment by the participants themselves, with the overall aim of achieving collective learning (ibid). Here, the boundary between observers and participants is abolished, which opens the way to achieve a richer collaboration. Generative experimentation is similar to Darwinian experimentation in that it takes place in real-life conditions, but while the latter focuses on 'populations of experiments' the former focuses on a single experiment (ibid). This single experiment is essentially seen as an evaluation in progress where iterative improvements occur in order to find solutions to the problems that arise until a (always transitory) solution is found.

3.6 Developing a research infrastructure for transformative governance

As part of its effort to transform the regional agrifood system, the Aliment’Actions project seeks to foster new alliances and solidarity within the agrifood system in the study region (i.e. between producers, processors, distributors, consumers and municipal councils). These alliances participate in the (re)construction of a peaceful dialogue between the actors of this rural region, and aim to co-construct individual, collective and region-wide solutions to enhance agrifood system resilience. As

discussed throughout this paper Aliment'Actions deploys various actions to achieve this (e.g. workshops, citizen engagement, surveys) that collectively contribute to the development of adaptive governance of the agrifood systems. Along the way, the impact of the proposed innovations to enhance the resilience of the agrifood system (in terms of value chain, governance, and food production and consumption practices), is analyzed, and the plan is to disseminate the results through various channels.

In this sense the ZAPVS could become a part of new research landscape that has a methodological focus on real-world experiments to understand sustainability problems and develop possible solutions through science–society collaboration (Bergmann et al., 2021). A broad array of research approaches is fit this long-term and transformation oriented research landscape, including urban and sustainable living labs (Liedtke et al., 2015), transformative spaces (Pereira et al., 2020a), and real-world labs (Schäpke et al., 2018a). Arguably, the ZAPVS echoes the five characteristics of real world labs described by Schäpke et al. (2018a) : 86): “(1) [aim] to contribute to societal transformation, (2) [use] experiments as core research method and (3) transdisciplinarity as core research mode, (4) [have] a long-term orientation and seek scalability, and transferability of the results while (5) building on learning and reflexivity.”

Yet, Aliment'Actions has some additional original features. First, it has a longer span as some monitoring actions in the region started more than 25 years ago and have continued without any interruption. Second, the close collaboration between academic and non-academic actors throughout the duration of this project is seldom encountered within most other transdisciplinary projects, where interactions between scientists and local stakeholders are limited to information or consultation (Bergmann et al., 2021). Finally, the spatial design of the targeted actions, which allows for experimentation and comparison is, to our knowledge, unique. We argue that all these features distinguish Aliment'Actions from other research efforts that seek to transform agrifood systems, and are critical to experiment with an innovative research project governance, but also SES transformative governance.

4 Conclusion

This Methods article presents the research agenda and geographical setting of the Aliment'Actions research project that was initiated in 2018 in the LTSER zone Atelier Plaine & Val de Sèvre (Western

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France). This project has been planned to run for at least ten years at the regional scale. It aims to achieve a better understanding of the factors and conditions that can catalyze agrifood system transformation to increase its sustainability and resilience. Throughout the research processes, the researchers both study and are involved in an ongoing transformation process. The project entails various actions seeking to understand transformation processes in the agrifood system, facilitate the engagement of stakeholders, monitor the different interventions and communicate the main findings. The Aliment'Actions project has five main overarching features, namely it adopts a SES perspective, it is place-based, transdisciplinary, adaptive and iterative, and its research design is based on experimentation and monitoring. As the project is ongoing as of the writing of this paper, our aim here has been to share its approach, methodology, preliminary results, as well as how the research team addressed some of the research challenges. As many of the features of this pioneering project were developed along the way, we believe that its overall approach may be useful for other transdisciplinary projects aiming at transforming agrifood systems.

5 Conflict of Interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

6 Author Contributions

E.B., S.G., C.B. and V.B. conceived the ideas and designed methodology; E.B., S.G., C.B., M.G., O.F. and V.B. implemented project-related actions and collected the data; E.B., S.G., C.B., M.G., O.F., N.B. and V.B. analyzed the data; E.B., S.G. and V.B. led the writing of the manuscript. All authors wrote parts of the article, contributed critically to the drafts and gave final approval for publication.

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