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# Three-Dimensional Ultrasound Matrix Imaging

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## Abstract

**Matrix imaging paves the way towards a next revolution in wave imaging. Based on the response matrix recorded between a set of sensors, it enables an optimized compensation of aberration phenomena and multiple scattering events that usually drastically hinder the focusing process in heterogeneous media. Although it gave rise to spectacular results in optical microscopy or seismic imaging, the success of matrix imaging has been so far relatively limited with ultrasonic waves because wave control is generally only performed with a linear array of transducers. In this paper, we extend ultrasound matrix imaging to a 3D geometry. Switching from a 1D to a 2D probe enables a much sharper estimation of the transmission matrix that links each transducer and each medium voxel. Here, we first present an experimental proof of concept on a tissue-mimicking phantom through ex-vivo tissues and then, show the potential of 3D matrix imaging for transcranial applications.**

## Introduction

The resolution of a wave imaging system can be defined as the ability to discern small details of an object. In conventional imaging, this resolution cannot overcome the diffraction limit of a half wavelength and may be further limited by the maximum collection angle of the imaging device. However, even with a perfect imaging system, the image quality is affected by the inhomogeneities of the propagation medium. Large-scale spatial variations of the wave velocity introduce aberrations as wave passes through the medium of interest. Strong concentration of scatterers also induces multiple scattering events that randomize the directions of wave propagation, leading to a strong degradation of the image resolution and contrast. Such problems are encountered in all domains of wave physics, in particular for the inspection of biological tissues, whether it be by ultrasound imaging [1] or optical microscopy [2], or for the probing of natural resources or deep structure of the Earth's crust with seismic waves [3].

To mitigate those problems, the concept of adaptive focusing has been adapted from astronomy where it was developed decades ago [4, 5]. Ultrasound imaging employs array of transducers that allows to control and record the amplitude and phase of broadband wave-fields. Wave-front distortions can be compensated for by adjusting the time-delays added to each emitted and/or detected signal in order to focus ultrasonic waves at a certain position inside the medium [6–9]. The estimation of those time delays implies an iterative time-consuming focusing process that should be ideally repeated for each point in the field-of-view [10, 11]. Due to time constraint, such a complex adaptive focusing scheme cannot be implemented in real-time with standard ultrasound imaging systems.

Fortunately, this tedious process can now be performed in post-processing [12, 13] thanks to the tremendous progress made in terms of computational power and memory capacity during the last decade. To optimize the focusing process and image formation, a matrix formalism can be fruitful [14–17]. Indeed, once the

reflection matrix  $\mathbf{R}$  of impulse responses between each transducer is known, any physical experiment can be achieved numerically, either in a causal or anti-causal way, for any incident beam and as many times as desired. Thereby a multi-scale analysis of wave distortions can be performed to build an estimator of the transmission matrix  $\mathbf{T}$  between each transducer of the probe and each voxel inside the medium [18]. Once the  $\mathbf{T}$ -matrix is known, a local compensation of aberrations can be performed for each voxel, thereby providing a confocal image of the medium with a close to ideal resolution and an optimized contrast everywhere.

Although it gave rise to striking results in optical microscopy [19–23] or seismic imaging [24, 25], the experimental demonstration of matrix imaging has been, so far, less spectacular with ultrasonic waves [16, 17, 26, 27]. Indeed, the first proof-of-concept experiments employed a linear array of transducers. Yet, aberrations in the human body are 3D-distributed and a 1D control of the wave-field is not sufficient for a fine compensation of wave-distortions. Moreover, 2D imaging limits the density of independent speckle grains which controls the spatial resolution of the  $\mathbf{T}$ -matrix estimator [27].

In this work, we extend the ultrasound matrix imaging (UMI) framework to 3D using a fully populated matrix array of transducers [28–30]. The overall method is first validated by means of a well-controlled experiment combining ex-vivo pork tissues as aberrating layer on top of a tissue-mimicking phantom. 3D UMI is then applied to a head phantom whose skull induces a strong attenuation, aberration and multiple scattering of the ultrasonic wave-field, phenomena that UMI can quantify independently from each other [1, 18]. Inspired by the CLASS method developed in optical microscopy [19, 21], aberrations are here compensated by a novel iterative phase reversal algorithm more efficient for 3D UMI than a singular value decomposition [15–17]. In contrast with previous works, the convergence of this algorithm is ensured by investigating the spatial reciprocity between the  $\mathbf{T}$ -matrices in transmission and reception. Throughout the paper, we will compare

the gain in terms of resolution and contrast provided by 3D UMI with respect to its 2D counterpart. In particular, we will demonstrate how 3D UMI can be a powerful tool for optimizing the focusing process inside the brain and improving the ultrafast Doppler imaging mode [30, 31] or *Ultrasound Localization Microscopy* (ULM) [32–35] that are revolutionizing the field of functional ultrasound.

## Results

### Beamforming the reflection matrix in a focused basis.

3D UMI starts with the acquisition of the reflection matrix (see Methods) by means of a 2D array of transducers ( $32 \times 32$  elements, see Fig. 1a,b). It was performed first on a tissue-mimicking phantom with nylon rods through a pork chop acting as an aberrating layer [Fig. 2a], and then on a head phantom including brain and skull-mimicking tissue, to reproduce transcranial imaging [Fig. 4a]. In the first experiment, the reflection matrix  $\mathbf{R}_{\mathbf{u}\mathbf{u}}(t)$  is recorded in the transducer basis [Fig. 1a<sub>1</sub>,b], *i.e.* by acquiring the impulse responses,  $R(\mathbf{u}_{\text{in}}, \mathbf{u}_{\text{out}}, t)$ , between each transducer of the probe ( $\mathbf{u}$ ). In the head phantom experiment, skull attenuation imposes a plane wave insonification sequence [Fig. 1a<sub>2</sub>] to improve the signal-to-noise ratio. The reflection matrix  $\mathbf{R}_{\boldsymbol{\theta}\mathbf{u}}$  then contains the reflected wave-field  $R(\boldsymbol{\theta}_{\text{in}}, \mathbf{u}_{\text{out}}, t)$  recorded by the transducers  $\mathbf{u}_{\text{out}}$  [Fig. 1b] for each incident plane wave of angle  $\boldsymbol{\theta}_{\text{in}}$ .

Whatever the illumination sequence, the reflectivity of a medium at a given point  $\mathbf{r}$  can be estimated in post-processing by a coherent compound of incident waves delayed to virtually focus on this point, and coherently summing the echoes recorded by the probe coming from that same point [Fig. 1c]. UMI basically consists in decoupling the input ( $\mathbf{r}_{\text{in}}$ ) and output ( $\mathbf{r}_{\text{out}}$ ) focusing points [Fig. 1d]. By applying appropriate time delays to the transmission ( $\mathbf{u}_{\text{in}}/\boldsymbol{\theta}_{\text{in}}$ ) and reception ( $\mathbf{u}_{\text{out}}$ ) channels (see Methods),  $\mathbf{R}_{\mathbf{u}\mathbf{u}}(t)$  and  $\mathbf{R}_{\boldsymbol{\theta}\mathbf{u}}(t)$  can be projected at each depth  $z$  in a focused basis, thereby forming a broadband focused reflection

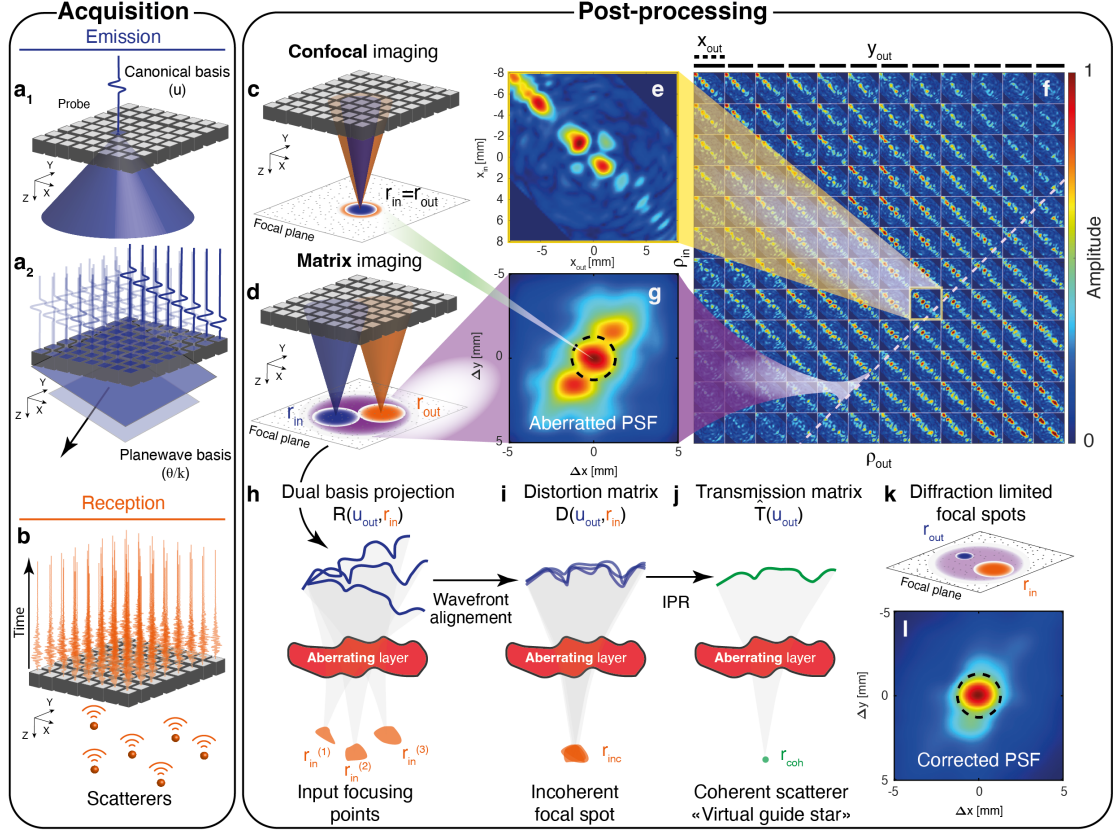


FIG. 1. **3D Ultrasound Matrix Imaging (UMI)**. (a) The  $R$ -matrix can be acquired in the (a<sub>1</sub>) transducer or (a<sub>2</sub>) plane-wave basis in transmit and (b) recording the back-scattered wave-field on each transducer in receive. (c) Confocal imaging consists in a simultaneous focusing of waves at input and output. (d) In UMI, the input ( $r_{in}$ ) and output ( $r_{out}$ ) focusing points are decoupled. (e)  $x$ -cross-section of the (f) focused  $R$ -matrix. (g) UMI enables a quantification of aberrations by extracting a local RPSF (displayed here in amplitude) from each antidiagonal of  $R_{\rho\rho}(z)$ . (h) UMI then consists in a projection of the focused  $R$ -matrix in a correction (here transducer) basis at output. The resulting dual  $R$ -matrix connects each focusing point to its reflected wave-front. (i) UMI then consists in realigning those wave-fronts to isolate their distorted component from their geometrical counterpart, thereby forming the  $D$ -matrix. (j) An iterative phase reversal algorithm provides an estimator of the  $T$ -matrix between the correction basis and the mid-point of input focusing points considered in panel f. (k) The phase conjugate of the  $T$ -matrix provides a focusing law that improves the focusing process at output. (l) RPSF amplitude after the output UMI process. The ultrasound data shown in this figure corresponds to the pork chop experiment at depth  $z = 40$  mm.

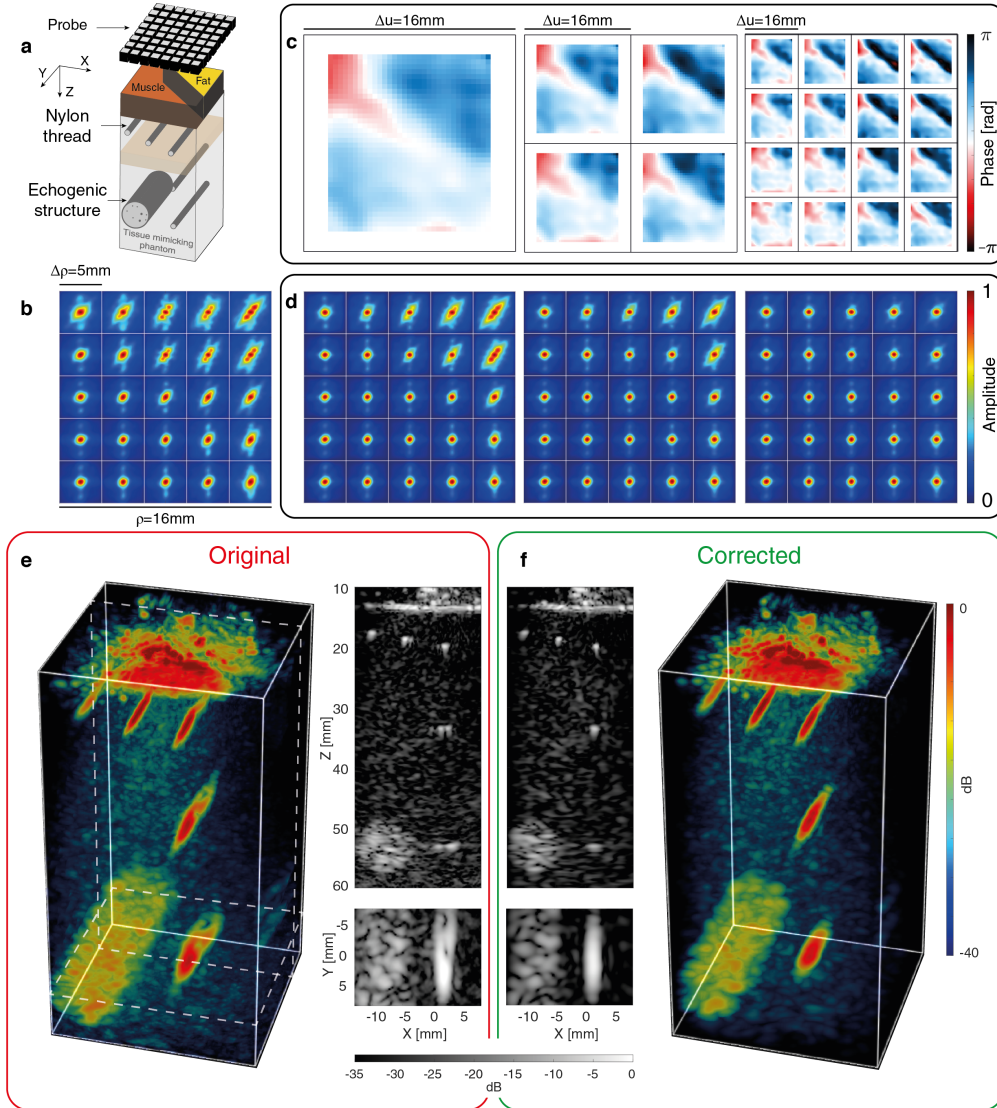


FIG. 2. **Ultrasound matrix imaging of a tissue-mimicking phantom through a pork chop:** (a) Schematic of the experiment. (b) Maps of original RPSFs (in amplitude) at depth  $z = 29$  mm. (c) Aberration phase laws extracted at the different steps of the UMI process. (d) Corresponding RPSFs after aberration compensation at each step. (e,f) 3D confocal and UMI images with one longitudinal and transverse cross-section.

matrix,  $\mathbf{R}_{\rho\rho}(z) \equiv [R(\boldsymbol{\rho}_{\text{in}}, \boldsymbol{\rho}_{\text{out}}, z)]$ .

Since the focal plane is bi-dimensional, each matrix  $\mathbf{R}_{\rho\rho}(z)$  has a four-dimension structure:  $R(\boldsymbol{\rho}_{\text{in}}, \boldsymbol{\rho}_{\text{out}}, z) = R([x_{\text{in}}, y_{\text{in}}], [x_{\text{out}}, y_{\text{out}}], z)$ .  $\mathbf{R}_{\rho\rho}(z)$  is thus concatenated

in 2D as a set of block matrices to be represented graphically [Fig. 1f]. In such a representation, every sub-matrix of  $\mathbf{R}$  corresponds to the reflection matrix between lines of virtual transducers located at  $y_{\text{in}}$  and  $y_{\text{out}}$ , whereas every element in the given sub-matrix corresponds to a specific couple  $(x_{\text{in}}, x_{\text{out}})$  [Fig. 1e]. Each coefficient  $R(x_{\text{in}}, y_{\text{in}}, x_{\text{out}}, y_{\text{out}}, z)$  corresponds to the complex amplitude of the echoes coming from the point  $\mathbf{r}_{\text{out}} = (x_{\text{out}}, y_{\text{out}}, z)$  in the focal plane when focusing at point  $\mathbf{r}_{\text{in}} = (x_{\text{in}}, y_{\text{in}}, z)$  (or conversely since  $\mathbf{R}_{\rho\rho}(z)$  is a symmetric matrix due to spatial reciprocity).

As already shown with 2D UMI, the diagonal of  $\mathbf{R}_{\rho\rho}(z)$  directly provides the transverse cross-section of the confocal ultrasound image:

$$I(\boldsymbol{\rho}, z) = |R(\boldsymbol{\rho}_{\text{in}} = \boldsymbol{\rho}_{\text{out}}, z)|^2 \quad (1)$$

The corresponding 3D image is displayed in Fig. 2e for the pork chop experiment. Longitudinal and transverse cross-sections illustrate the effect of the aberrations induced by the pork chop layer by highlighting the distortion exhibited by the image of the deepest nylon rod.

### Probing the focusing quality.

We now show how to quantify aberrations in ultrasound speckle (without any guide star) by investigating the antidiagonals of  $\mathbf{R}_{\rho\rho}(z)$ . In the single scattering regime, the focused  $\mathbf{R}$ -matrix coefficients can be expressed as follows [1]:

$$R(\boldsymbol{\rho}_{\text{out}}, \boldsymbol{\rho}_{\text{in}}, z) = \int d\mathbf{r} H_{\text{out}}(\boldsymbol{\rho} - \boldsymbol{\rho}_{\text{out}}, \mathbf{r}_{\text{out}}) \gamma(\boldsymbol{\rho}, z) H_{\text{in}}(\boldsymbol{\rho} - \boldsymbol{\rho}_{\text{in}}, \mathbf{r}_{\text{in}}) \quad (2)$$

with  $H_{\text{in/out}}$ , the input/output point spread function (PSF); and  $\gamma$  the medium reflectivity. This last equation shows that each pixel of the ultrasound image (diagonal elements of  $\mathbf{R}_{\rho\rho}(z)$ ) results from a convolution between the sample reflectivity and an imaging PSF which is itself a product of the input and output PSFs.



The off-diagonal points in  $\mathbf{R}_{\rho\rho}(z)$  can be exploited for a quantification of the focusing quality at any pixel of the ultrasound image. The intensity profile along each anti-diagonal of  $\mathbf{R}_{\rho\rho}(z)$  provides the reflection point spread function,

$$RPSF(\Delta\rho, \mathbf{r}) = |R(\mathbf{r} - \Delta\rho/2, \mathbf{r} + \Delta\rho/2, z)|^2. \quad (3)$$

In the speckle regime (random reflectivity), this quantity directly probes the local focusing quality as its ensemble average scales as an incoherent convolution between the input and output PSFs [1]:

$$\langle RPSF \rangle(\Delta\rho, \mathbf{r}) \propto |H_{in}|^2 \overset{\Delta\rho}{\otimes} |H_{out}|^2(\Delta\rho, \mathbf{r}). \quad (4)$$

In practice, this ensemble average is performed by a local spatial average (see Methods). Figure 1g displays the mean RPSF associated with the focused  $\mathbf{R}$ -matrix displayed in Fig. 1f (pork chop experiment). It clearly shows a distorted RPSF which spreads well beyond the diffraction limit (black dashed line in Fig. 1g):

$$\delta\rho_0(z) \sim \frac{\lambda_c}{2 \sin \{ \arctan [\Delta u / (2z)] \}} \quad (5)$$

with  $\Delta u$  the lateral extension of the probe. The RSPF also exhibits a strong anisotropy that could not have been grasped by 2D UMI. As we will see in the next section, this kind of aberrations can only be compensated through a 3D control of the wave-field.

### **Adaptive focusing by iterative phase reversal.**

Aberration compensation in the UMI framework is performed using the distortion matrix concept. Introduced for 2D UMI [16, 27], the distortion matrix can be obtained by: (i) projecting the focused  $\mathbf{R}$ -matrix either at input or output in a correction basis (here the transducer basis, see Fig. 1h); (ii) extracting wave distortions exhibited by  $\mathbf{R}$  when compared to a reference matrix that would have

been obtained in an ideal homogeneous medium of wave velocity  $c_0$  [Fig. 1i]. The resulting distortion matrix  $\mathbf{D} = [D(\mathbf{u}, \mathbf{r})]$  contains the aberrations induced when focusing on any point  $\mathbf{r}$ , expressed in the correction basis.

This matrix exhibits long-range correlations that can be understood in light of isoplanicity. If in a first approximation, the pork chop can be considered as a phase screen aberrator, then the input and output PSFs can be considered as spatially invariant:  $H_{\text{in/out}}(\boldsymbol{\rho} - \boldsymbol{\rho}_{\text{in/out}}, \mathbf{r}_{\text{in/out}}) = H(\boldsymbol{\rho} - \boldsymbol{\rho}_{\text{in/out}})$ . UMI consists in exploiting those correlations to determine the transfer function  $T(\mathbf{u})$  of the phase screen. In practice, this is done by considering the correlation matrix  $\mathbf{C} = \mathbf{D} \times \mathbf{D}^\dagger$ . The correlation between distorted wave-fields enables a virtual reflector synthesized from the set of output focal spots [16] [Fig. 1j]. While, in previous works [16, 18], an iterative time-reversal process (or equivalently a singular value decomposition of  $\mathbf{D}$ ) was performed to converge towards the incident wavefront that focuses perfectly through the medium heterogeneities onto this virtual scatterer, here an iterative phase reversal algorithm is employed to build an estimator  $\hat{T}(\mathbf{u})$  of the transfer function (see Methods). Supplementary Figure S2 demonstrates the superiority of this algorithm compared to SVD for 3D UMI.

Iterative phase reversal provides an estimation of aberration transmittance [Fig. 1j] whose phase conjugate is used to compensate for wave distortions (see Methods). The resulting mean RPSF is displayed in Fig. 1l. Although it shows a clear improvement compared with the initial RPSF, high-order aberrations still subsist. Because of its 3D feature, the pork chop cannot be fully reduced to an aberrating phase screen in the transducer basis.

### Spatial reciprocity as a guide star.

The 3D distribution of the speed-of-sound breaks the spatial invariance of input and output PSFs. Figure 2b illustrates this fact by showing a map of local RPSFs (see Methods). The RPSF is more strongly distorted below the fat layer of the pork chop ( $c_f \approx 1480 \pm 10$  m/s [36]) than below the muscle area ( $c_m \approx 1560 \pm 50$  m/s). A full-field compensation of aberrations similar to adaptive focusing does not allow a fine compensation of aberrations [Fig. 2d1]. Access to the transmission matrix  $\mathbf{T} = [T(\mathbf{u}, \mathbf{r})]$  linking each transducer and each medium voxel is required rather than just a simple aberration transmittance  $T(\mathbf{u})$ .

To that aim, a local correlation matrix  $\mathbf{C}(\mathbf{r})$  should be considered around each point  $\mathbf{r}$  over a sliding box  $\mathcal{W}(\mathbf{r})$  (see Methods). The choice of its spatial extension  $\mathbf{w}$  is subject to the following dilemma. On the one hand, the spatial window should be as small as possible to grasp the rapid variations of the PSFs across the field of view. On the other hand, these areas should be large enough to encompass a sufficient number of independent realizations of disorder [18]. The bias made on our  $\mathbf{T}$ -matrix estimator actually scales as (see Supplementary Section S5):

$$\|\delta\hat{T}(\mathbf{u}, \mathbf{r})\|^2 \sim 1/(C^2 \times N_{\mathcal{W}}). \quad (6)$$

$C$  is the so-called coherence factor that is a direct indicator of the focusing quality [8] but that also depends on the multiple scattering rate and noise background [27].  $N_{\mathcal{W}}$  is the number of diffraction-limited resolution cells in each spatial window.

To study experimentally this convergence of our estimator, the evolution of estimated input and output aberration phase laws,  $\hat{T}_{\text{in}}(\mathbf{u}, \mathbf{r}_p)$  and  $\hat{T}_{\text{out}}(\mathbf{u}, \mathbf{r}_p)$ , is investigated at a given point  $\mathbf{r}_p$  for different box size  $\mathbf{w}$ .  $\hat{\mathbf{T}}_{\text{in}}$  is supposed to converge for a sufficiently large box size ( $N_{\mathcal{W}} = 100$ ) and this asymptotic value can be considered as the reference  $\mathbf{T}_{\text{in}}$  in the following. The evolution of the normalized

scalar product,  $N_u^{-1}\mathbf{T}_{\text{in}}^\dagger\hat{\mathbf{T}}_{\text{in}}$ , as a function of  $N_{\mathcal{W}}$  shows the convergence of the IPR process [Fig. 3d], with  $N_u$  the number of transducers. The error made on the aberration phase law,  $\|\delta\hat{\mathbf{T}}\|^2 = 2|1 - N_u^{-1}\mathbf{T}_{\text{in}}^\dagger\hat{\mathbf{T}}_{\text{in}}|$ , can be deduced and the scaling law of Eq. 6 with respect to  $N_{\mathcal{W}}$  is checked [Fig. 3e].

The question that arises now is how we can, in practice, know if the convergence of  $\hat{\mathbf{T}}$  is fulfilled without any *a priori* knowledge on  $\mathbf{T}$ . An answer can be found by comparing the estimated input and output aberration phase laws in Fig. 3. For a sufficiently large box ( $N_{\mathcal{W}} > 50$ ),  $\hat{\mathbf{T}}_{\text{in}}$  and  $\hat{\mathbf{T}}_{\text{out}}$  are almost equal, while, for a small box ( $N_{\mathcal{W}} < 20$ ), a large discrepancy can be found between them. Their normalized scalar product,  $N_u^{-1}\hat{\mathbf{T}}_{\text{in}}^\dagger\hat{\mathbf{T}}_{\text{out}}$ , is thus a relevant observable to assess the convergence of our estimator  $\hat{\mathbf{T}}$ . Its evolution actually closely follows the scalar product between  $\hat{\mathbf{T}}_{\text{in}}$  and  $\mathbf{T}_{\text{in}}$  previously investigated [Fig. 3d], which means that the parameter,  $\varepsilon = 2(1 - N_u^{-1}\hat{\mathbf{T}}_{\text{in}}^\dagger\hat{\mathbf{T}}_{\text{out}})$ , is a reliable estimator of the bias intensity  $\|\delta\hat{\mathbf{T}}\|^2$  [Fig. 3e]. In the following, spatial reciprocity will thus be used as a guide star for assessing the convergence of the UMI process.

This inverse scaling of the bias with  $N_{\mathcal{W}}$  demonstrates the advantage of 3D UMI with respect to 2D since  $N_{\mathcal{W}} \sim \mathbf{w}^d$ , with  $d$  the imaging dimension. For a given precision, 3D UMI provides a better spatial resolution for our  $\mathbf{T}$ -matrix estimator. This superiority is demonstrated by Fig. 3c<sub>3,c4</sub> that shows a much better agreement between  $\mathbf{T}_{\text{in}}$  and  $\mathbf{T}_{\text{out}}$  for a 3D box (Fig. 3a<sub>3</sub>) than for a 2D patch (Fig. 3a<sub>4</sub>) of equal dimension  $\mathbf{w}$ .

### **Multi-scale compensation of wave distortions.**

The scaling of the bias intensity  $\|\delta\hat{\mathbf{T}}\|^2$  with the coherence factor  $C$  has not been discussed yet. This dependence is however crucial since it indicates that a gradual compensation of aberrations shall be favored rather than a direct partition of the field-of-view into small boxes [21] (see Supplementary Fig. S3). An optimal UMI process should proceed as follows: first, compensate for input and output

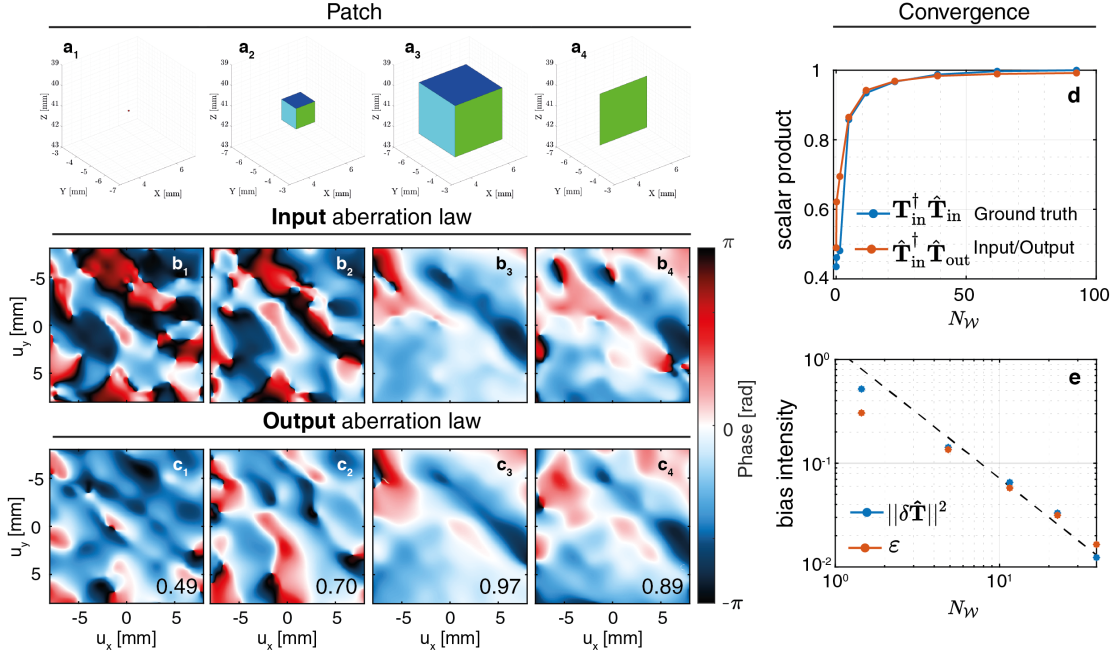


FIG. 3. **Convergence of the UMI process towards the T-matrix.** (a) Spatial window  $\mathcal{W}(\mathbf{r})$  used to compute  $\mathbf{C}(\mathbf{r}_p)$  at point  $\mathbf{r}_p = (5, -5, 41)$  mm. (b,c) Extracted input and output aberration laws, respectively. (d) Normalized scalar products,  $N_u^{-1} \mathbf{T}_{in}^\dagger(\mathbf{r}_p) \hat{\mathbf{T}}_{in}(\mathbf{r}_p)$  (blue curve) and  $N_u^{-1} \hat{\mathbf{T}}_{in}^\dagger(\mathbf{r}_p) \hat{\mathbf{T}}_{out}(\mathbf{r}_p)$  (orange curve), versus the number of resolution cells  $N_W$ . (e) Corresponding bias intensity,  $\|\delta \hat{\mathbf{T}}_{in}\|^2$  (blue curve), compared with its estimator  $\varepsilon$  (orange curve) based on spatial reciprocity, as a function of  $N_W$ . The plot is in log-log scale and the theoretical power law (Eq. 6) is shown for comparison with a dashed black line.

wave distortions at a large scale to increase the coherence factor  $C$ ; then, decrease the spatial window  $\mathcal{W}$  and improve the resolution of the  $\mathbf{T}$ -matrix estimator. The whole process can be iterated, leading to a multi-scale compensation of wave distortions (see Methods). As explained above, the convergence of the process is monitored using spatial reciprocity ( $\varepsilon < 0.2$ ).

The result of 3D UMI is displayed in Fig. 2. It shows the evolution of the  $\mathbf{T}$ -matrix at each step [Fig. 2c] and the corresponding local RPSFs [Fig. 2d]. The comparison with the initial and full-field maps of RPSF highlights the benefit of a local compensation via the  $\mathbf{T}$ -matrix, with a diffraction-limited resolution reached

everywhere. The local aberration phase laws exhibited by  $\mathbf{T}$  perfectly match with the distribution of muscle and fat in the pork chop. The comparison of the final 3D image [Figs. 2f] and its cross-sections with their initial counterparts [Figs. 2e] show the success of the UMI process, in particular for the deepest nylon rod which has retrieved its straight shape. The local RPSF on the top right of Fig.2 shows a contrast improvement by 4.2 dB and resolution enhancement by a factor 2 (see Methods and Supplementary Fig. S4).

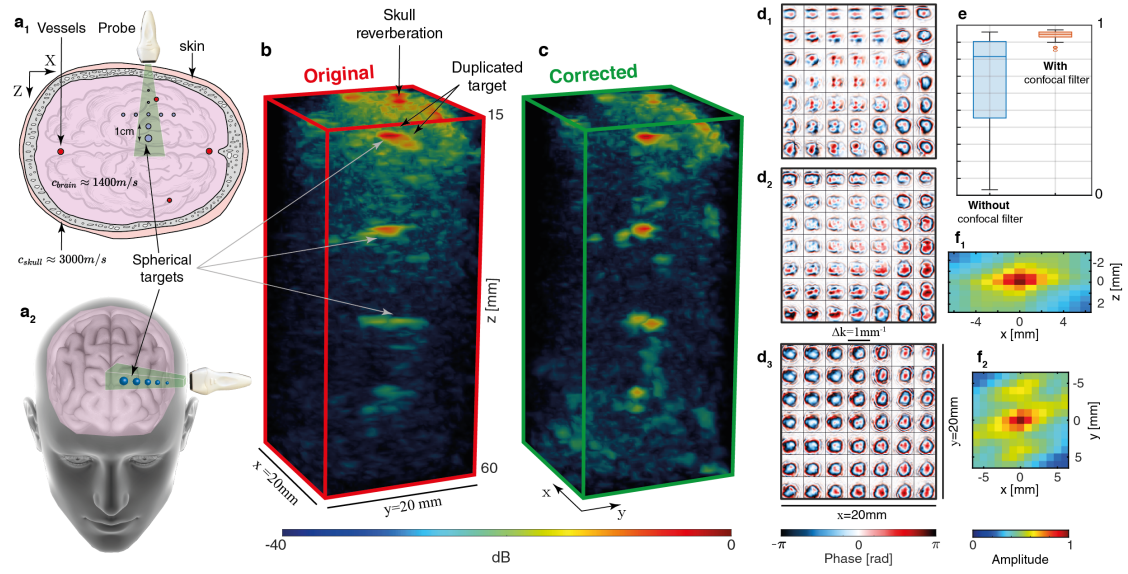


FIG. 4. **Ultrasound Matrix Imaging (UMI) of the head phantom.** (a) Top and oblique views of the experimental configuration. (b,c) Original and UMI images, respectively. (d) Aberration laws at 3 different depths :  $z = 20$  mm ( $\mathbf{d}_1$ ),  $z = 32$  mm ( $\mathbf{d}_2$ ),  $z = 60$  mm ( $\mathbf{d}_3$ ). (e) Reciprocity with or without the use of a confocal filter. (f). Correlation function of the  $\hat{\mathbf{T}}$ -matrix in the  $(xz)$  and  $(xy)$  plane, respectively. We attribute the sidelobes along the  $y$ -axis ( $f_2$ ) to the inactive rows separating each block of 256 elements of the matrix array.

### Overcoming multiple scattering for trans-cranial imaging

The same UMI process is now applied to the ultrasound data collected on the head phantom [Fig. 4a]. The parameters of the multi-scale analysis are provided in the Methods section (see also Supplementary Fig. S5). The first difference with

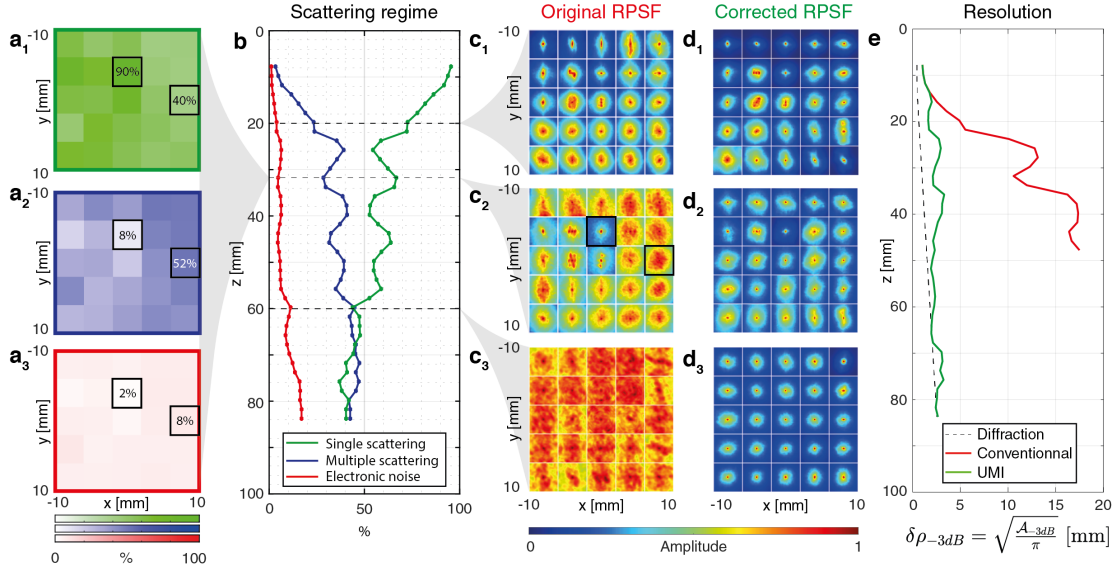


FIG. 5. **Aberrations and multiple scattering quantification:** (a) Single scattering (green), multiple scattering (blue) and noise (red) rate at  $z = 32$  mm (b) Single scattering, multiple scattering, and noise rates as a function of depth. (c,d) Maps of local RPSFs (in amplitude) before and after correction, respectively, at three different depths  $z = 20, 32$  and  $60$  mm. Black boxes in panel (a) and ( $c_2$ ) corresponds to the same area. (e) Resolution  $\delta\rho_{-3dB}$  as a function of depth. Initial resolution (red line) and its value after UMI (green line) are compared with the ideal (diffraction-limited) resolution (Eq. 5).

the pork chop experiment lies in our choice of correction basis. Given the multi-layer configuration in this experiment, the  $\mathbf{D}$ -matrix is investigated in the plane wave basis [16].

The second difference lies in the fact that the spatial reciprocity property is far to be checked by the  $\mathbf{T}$ -matrix estimator [Fig. 4e]. The incoherent background exhibited by the original PSFs [Fig. 5c] drastically affects the coherence factor  $C$  [27], which, in return, gives rise to a strong bias on the  $\mathbf{T}$ -matrix estimator (Eq. 6). The incoherent background is due to multiple scattering events in the skull and electronic noise, whose relative weight can be estimated by investigating the spatial reciprocity symmetry of the  $\mathbf{R}$ -matrix (see Methods). Fig. 5b shows the depth evolution of the single and multiple scattering contributions as well as

electronic noise. While single scattering dominates at shallow depths ( $z < 20$  mm), multiple scattering quickly reaches 35% and continues to increase until it even overtakes single scattering at depths greater than  $z = 70$  mm. Beyond the depth evolution, 3D imaging even allows the study of multiple scattering in the transverse plane, as shown in Figure 5a. Two areas are examined, marked with black boxes, corresponding to the RPSFs shown in Fig. 5c<sub>2</sub> ( $z = 32$  mm). In the center, the RPSF exhibits a low background due to the presence of a spherical target, resulting in a single scattering rate of 90%. The second box on the right, however, is characterized by a much higher background, leading to a multiple-to-single scattering ratio slightly larger than one. This high level of multiple scattering highlights the difficult task of trans-cranial imaging with ultrasonic waves.

In order to overcome these detrimental effects, an adaptive confocal filter can be applied to the focused  $\mathbf{R}$ -matrix [18].

$$R'(\boldsymbol{\rho}_{\text{in}}, \boldsymbol{\rho}_{\text{out}}, z) = R(\boldsymbol{\rho}_{\text{in}}, \boldsymbol{\rho}_{\text{out}}, z) \exp\left(-\frac{|\boldsymbol{\rho}_{\text{out}} - \boldsymbol{\rho}_{\text{in}}|^2}{2l_c(z)^2}\right) \quad (7)$$

This filter has a Gaussian shape, with a width  $l_c(z)$  that scales as  $3\delta\rho_0(z)$  [18]. The application of a confocal filter drastically improves the correlation between input and output aberration phase laws (see Fig. 4e and Supplementary Fig. S6), proof that a satisfying convergence towards the  $\mathbf{T}$ -matrix is obtained.

Figure 4d shows the  $\mathbf{T}$ -matrix obtained at different depths in the brain phantom. Its spatial correlation function displayed in Fig.4f provides an estimation of the isoplanatic patch size: 5 mm in the transverse direction and 2 mm in depth. This rapid variation of the aberration phase law across the field of view confirms *a posteriori* the necessity of a local compensation of aberrations induced by the skull. It also confirms the importance of 3D UMI with a fully sampled 2D array, as previous work recommended that the array pitch should be no more than 50% of the aberrator correlation length to properly sample the corresponding adapted



focusing law [37].

The phase conjugate of the  $\mathbf{T}$ -matrix at input and output enables a fine compensation of aberrations. A set of corrected RPSFs are shown in Fig. 5d. The comparison with their initial values demonstrates the success of 3D UMI: a diffraction-limited resolution is obtained almost everywhere [Fig. 5e)], whether it be in ultrasound speckle or in the neighborhood of bright targets, at shallow or high depths, which proves the versatility of UMI.

The performance of 3D UMI is also striking when comparing the three-dimensional image of the head phantom before and after UMI. [Figs. 4b and c, respectively]. The different targets were initially strongly distorted by the skull, and are now nicely resolved with UMI. In particular, the first target, located at  $z = 19$  mm and originally duplicated, has recovered its true shape. In addition, two targets laterally spaced by 10 mm are observed at 42 mm depth, as expected [Fig. 4a]. The image of the target observed at 54 mm depth is also drastically improved in terms of contrast and resolution but is not found at the expected transverse position. One potential explanation is the size of this target (2 mm diameter) larger than the resolution cell. The guide star is thus far from being point-like, which can induce an uncertainty on the absolute transverse position for the target in the corrected image.

Finally, an isolated target can be leveraged to highlight the gain in contrast provided by 3D UMI with respect to its 2D counterpart. To that aim, a linear 1D array is emulated from the same raw data by collimating the incident beam in the  $y$ -direction [Fig. 6]. The ultrasound image is displayed before and after UMI in Figs. 6b<sub>1</sub> and c<sub>1</sub>, respectively. The radial average of the corresponding focal spots is displayed in Figs. 6d. Even if 2D UMI enables a diffraction limited resolution, the contrast gain  $G$  is quite moderate ( $G_{2D} \sim 8\text{dB}$ ) since it scales as the number  $N$  of coherence grains exhibited by the 1D aberration phase law [Figs. 6a<sub>1</sub>]:  $N_{2D} \sim 6.2$ . On the contrary, 3D UMI provides a strong enhancement

of the target echo (see the comparison between Figs. 6b<sub>2</sub>,c<sub>2</sub> and d):  $G_{3D} \sim 18$  dB. The 2D aberration phase law actually provides a much larger number of spatial degrees of freedom than its 1D counterpart:  $N_{3D} \sim 63$ . The gain in contrast is accompanied by a drastic increase of the transverse resolution ( $> 8\times$  for  $z > 40$  mm in Fig. 5e). Figure 6 demonstrates the necessity of a 2D ultrasonic probe for trans-cranial imaging. Indeed, the complexity of wave propagation in the skull can only be harnessed with a 3D control of the incident and reflected wave fields.

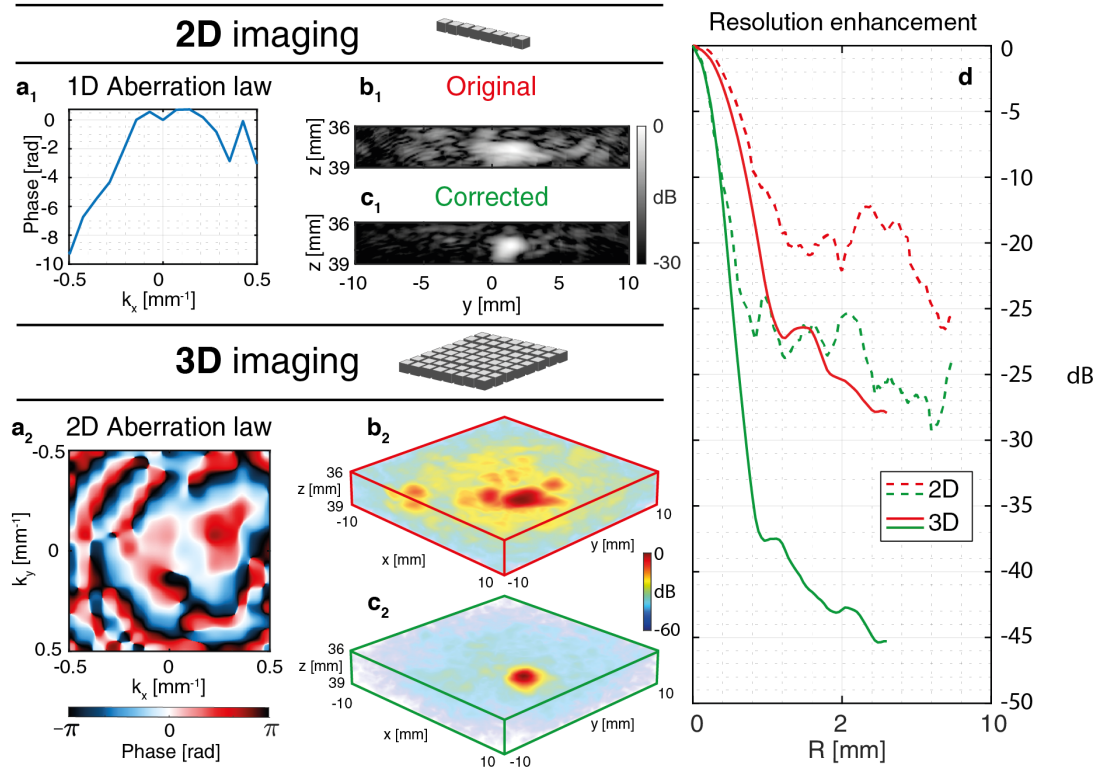


FIG. 6. **2D versus 3D matrix imaging of a head phantom:** (a) Aberration law extracted with 2D (a<sub>1</sub>) or 3D (a<sub>2</sub>) UMI for a target located at  $z = 38$  mm. (b,c) Original and corrected images of the same target with 2D and 3D UMI, respectively. (d) Imaging PSF before (red) and after (green) 2D (dotted line) and 3D (solid line) UMI.

## Discussion

In this experimental proof-of-concept, we demonstrated the capacity of 3D UMI to correct strong aberrations such as those encountered in trans-cranial imaging. Mathematically equivalent to the CLASS algorithm [19, 21] when applied to the whole field-of-view, the proposed iterative phase reversal algorithm is much more efficient for a local compensation of aberrations (see Supplementary Section S3). Moreover, by applying an adapted confocal filter and probing the reciprocity between the input and output transmission matrices, an adaptive aberration phase law was retrieved for each voxel of the field of view. A diffraction-limited 3D image was obtained, even in a challenging case with a high level of multiple scattering.

Although these results are striking, they were obtained *in vitro*, and some challenges remain for *in vivo* brain imaging. Until now, UMI has only been applied to a static medium, while biological tissues are usually moving, especially in the case of vascular imaging, where blood flow makes the reflectivity vary quickly over time. A lot of 3D imaging modes are indeed designed to image blood flow such as transcranial Doppler imaging [38] or ULM [35, 39]. These methods are strongly sensitive to aberrations [34, 40] and their coupling with matrix imaging would be rewarding to increase the signal-to-noise ratio and improve the image resolution, not only in the vicinity of bright reflectors [41] but also in ultrasound speckle.

Indeed, the number of illuminations required for UMI scales as the number of resolution cells covered by the RPSF (see Supplementary Fig. S7). As the aberration level through the skull is important, the uncorrected RPSFs are much larger than the diffraction limit. This means that the illumination basis should be fully sampled, limiting 3D UMI to a compounded framerate of only a few hertz, which is much too slow for ultrafast imaging [42]. Soft tissues however usually exhibit much slower movement, and provide signals several dB higher than blood. Ultrasound imaging of tissues is generally discarded for the brain because of the strong level of aberrations and reverberations. Interestingly, UMI can open a

new route towards quantitative brain imaging since a matrix framework can also enable the mapping of physical parameters such as the speed-of-sound [1, 43–45], attenuation and scattering coefficients [46, 47], or fiber anisotropy [48, 49]. Those various observables can be extremely enlightening for the characterization of cerebral tissues.

Alternatively, a solution to directly implement 3D UMI *in vivo* for ultrafast imaging, would be to design an imaging sequence in which the fully sampled  $\mathbf{R}$ -matrix is acquired prior to the ultrafast acquisition itself, where the illumination basis can be drastically downsampled. The  $\mathbf{T}$ -matrix obtained from  $\mathbf{R}$  could then be used to correct the ultrafast images in post processing.

Interestingly, if an ultrafast 3D UMI acquisition is possible (in cases with less aberrations, or at shallow depths), the quickly decorrelating speckle observed in blood flow can be an opportunity since it provides a large number of speckle realizations in a given voxel. A high resolution  $\mathbf{T}$ -matrix could thus be, in principle, extracted without spatial averaging and relying on any isoplanatic assumption [50, 51].

Beyond aberrations, another issue for transcranial imaging comes from the multiple reflections induced by the skull. Reverberations have been omitted in this work but drastically pollute the image at shallow depths ( $z < 20$  mm). To cope with this issue, a polychromatic approach to matrix imaging is required. Indeed, the aberration compensation scheme proposed in this paper is equivalent to a simple application of time delays on each transmit and receive channel. On the contrary, reverberation compensation requires the tailoring of a complex spatio-temporal adaptive (or even inverse) filter. To that aim, 3D UMI provides an adequate framework to exploit, at best, all the spatio-temporal degrees of freedom provided by a high-dimension array of broadband transducers.

To conclude, 3D UMI is general and can be applied for any insonification sequence (plane wave or virtual source illumination) or array configuration (random

or periodic, sparse or dense). Matrix imaging can be also extended to any field of wave physics for which a multi-element technology is available: optical imaging [19–21], seismic imaging [24, 25] and also radar [52]. All the conclusions raised in that paper can be extended to each of these fields. The matrix formalism is thus a powerful tool for the big data revolution coming in wave imaging.

## Methods

**Description of the pork chop experiment.** The first sample under investigation is a tissue-mimicking phantom (speed of sound:  $c_0 = 1540$  m/s) composed of random distribution of unresolved scatterers which generate ultrasonic speckle characteristic of human tissue [Fig. 2a]. The system also contains nylon filaments placed at regular intervals, with a point-like cross-section, and, at a depth of 40 mm, a 10 mm-diameter hyperechoic cylinder, containing a higher density of unresolved scatterers. A 12-mm thick pork chop is placed on top of the phantom. It is immersed in water to ensure its acoustical contact with the probe and the phantom. Since the pork chop contains a part of muscle tissues ( $c_m \sim 1560$  m/s) and a part of fat tissue ( $c_f \sim 1480$  m/s), it acts as an aberrating layer. This experiment mimics the situation of abdominal *in vivo* imaging, in which layers of fat and muscle tissues generate strong aberration and scattering at shallow depths.

The acquisition of the reflection matrix is performed using a 2D matrix array of transducers whose characteristics are provided in Tab. I. The reflection matrix

|                               |   |
|-------------------------------|---|
| <b>Number of transducers</b>  | $32 \times 32 = 1024$ (with 6 dead elements)  |
| <b>Geometry</b> (y-axis)      | 3 inactive rows between each block of 256 elements  |
| <b>Pitch</b>                  | $\delta u = 0.5$ mm ( $\approx \lambda$ at $c = 1540$ m/s)  |
| <b>Aperture</b>               | $\Delta \mathbf{u} = \begin{pmatrix} \Delta u_x \\ \Delta u_y \end{pmatrix} = \begin{pmatrix} 16 \text{ mm} \\ 17.5 \text{ mm} \end{pmatrix}$ |
| <b>Central frequency</b>      | $f_c = 3$ MHz   |
| <b>Bandwidth</b> (at $-6$ dB) | $80\% \rightarrow \Delta f = [1.8 - 4.2]$ MHz   |
| <b>Transducer directivity</b> | $\theta_{max} = 28^\circ$ at $c = 1400$ m/s   |

TABLE I. **Matrix array datasheet**

is acquired by recording the impulse response between each transducer of the probe using IQ modulation with a sampling frequency  $f_s = 6$  MHz. To that aim, each transducer  $\mathbf{u}_{in}$  emits successively a sinusoidal burst of three half periods at the central frequency  $f_c$ . For each excitation  $\mathbf{u}_{in}$ , the back-scattered wave-field is recorded by all probe elements  $\mathbf{u}_{out}$  over a time length  $\Delta t = 139$   $\mu$ s. This set of impulse responses is stored in the canonical reflection matrix  $\mathbf{R}_{uu}(t) =$

$[R(\mathbf{u}_{\text{in}}, \mathbf{u}_{\text{out}}, t)]$ .

**Description of the head phantom experiment.** In this second experiment,

|                 | <b>Speed-of-sound</b><br>[m/s] | <b>Density</b><br>[g/cm <sup>3</sup> ] | <b>Attenuation</b><br>@2.25 MHz [dB/cm] |
|-----------------|--------------------------------|--|---|
| Cortical bone   | 3000 ± 30                      | 2.31                                   | 6.4 ± 0.3                               |
| Trabecular bone | 2800 ± 50                      | 2.03                                   | 21 ± 2                                  |
| Brain tissue    | 1400 ± 10                      | 0.99                                   | 1.0 ± 0.2                               |
| Skin tissue     | 1400 ± 10                      | 1.01                                   | 1.7 ± 0.2                               |

TABLE II. **Head phantom characteristics**

the same probe [Tab. I] is placed slightly above the temporal window of a mimicking head phantom whose characteristics are described in Tab. II. To investigate the performance of UMI in terms of resolution and contrast, the manufacturer (True Phantom Solutions) was asked to place small spherical targets made of bone-mimicking material inside the brain. They are arranged crosswise, evenly spaced in the 3 directions with a distance of 1 cm between two consecutive targets, and their diameter increases with depth: 0.2, 0.5, 1, 2, 3mm [Fig. 4a]. Skull thickness is of  $\sim 6$  mm on average at the position where the probe is placed and the first spherical target is located at  $z \approx 20$  mm depth, while the center of the cross is at  $z \approx 40$  mm depth. The transverse size of the head is  $\sim 14$ cm.

To improve the signal-to-noise ratio, the  $\mathbf{R}$ -matrix is here acquired using a set of plane waves [53]. For each plane wave of angles of incidence  $\boldsymbol{\theta}_{\text{in}} = (\theta_x, \theta_y)$ , the time-dependent reflected wave field  $R(\boldsymbol{\theta}_{\text{in}}, \mathbf{u}_{\text{out}}, t)$  is recorded by each transducer  $\mathbf{u}_{\text{out}}$ . This set of wave-fields forms a reflection matrix acquired in the plane wave basis,  $\mathbf{R}_{\boldsymbol{\theta}\mathbf{u}} = [R(\boldsymbol{\theta}_{\text{in}}, \mathbf{u}_{\text{out}}, t)]$ . Since the transducer and plane wave bases are related by a simple Fourier transform at the central frequency, the array pitch  $\delta u$  and probe size  $\Delta u$  dictate the angular pitch  $\delta\theta$  and maximum angle  $\theta_{\text{max}}$  necessary to acquire a full reflection matrix in the plane wave basis such that:  $\theta_{\text{max}} = \arcsin[\lambda_c/(2\delta u)] \approx 28^\circ$ ;  $\delta\theta = \arcsin[\lambda_c/(2\Delta u_y)] \approx 0.8^\circ$ , with  $\lambda_c = c_0/f_c$  the

central wavelength and  $c_0 = 1400$  m/s the speed-of-sound in the brain phantom. A set of 1225 plane waves are thus generated by applying appropriate time delays  $\tau(\boldsymbol{\theta}_{\text{in}}, \mathbf{u}_{\text{in}})$  to each transducer  $\mathbf{u}_{\text{in}} = (u_x, u_y)$  of the probe:

$$\tau(\boldsymbol{\theta}_{\text{in}}, \mathbf{u}_{\text{in}}) = [u_x \sin \theta_x + u_y \sin \theta_y] / c_0. \quad (8)$$

**Focused beamforming of the reflection matrix.** The focused  $\mathbf{R}$ -matrix,  $\mathbf{R}_{\rho\rho}(z) = [R(\boldsymbol{\rho}_{\text{in}}, \boldsymbol{\rho}_{\text{out}}, z)]$ , is built in the time domain via a conventional delay-and-sum beamforming scheme that consists in applying appropriate time-delays in order to focus at different points at input  $\mathbf{r}_{\text{in}} = (x_{\text{in}}, y_{\text{in}}, z)$  and output  $\mathbf{r}_{\text{out}} = (x_{\text{out}}, y_{\text{out}}, z)$ :

$$R(\boldsymbol{\rho}_{\text{in}}, \boldsymbol{\rho}_{\text{out}}, z) = \sum_{\mathbf{i}_{\text{in}}} \sum_{\mathbf{u}_{\text{out}}} A_{\text{in}}(\mathbf{i}_{\text{in}}, \mathbf{r}_{\text{in}}) A_{\text{out}}(\mathbf{u}_{\text{out}}, \mathbf{r}_{\text{out}}) \quad (9)$$

$$\times R(\mathbf{i}_{\text{in}}, \mathbf{u}_{\text{out}}, t(\mathbf{i}_{\text{in}}, \mathbf{r}_{\text{in}}) + t(\mathbf{u}_{\text{out}}, \mathbf{r}_{\text{out}})) \quad (10)$$

where  $\mathbf{i} = \mathbf{u}$  or  $\boldsymbol{\theta}$  accounts for the illumination basis.  $A_{\text{in}}$  and  $A_{\text{out}}$  are apodization factors that limit the extent of the synthetic aperture at emission and reception. This synthetic aperture is dictated by the transducers directivity  $\theta_{\text{max}} \sim 28^\circ$  [54]. In the transducer basis, the time delay,  $t(\mathbf{u}, \mathbf{r})$ , writes:

$$t(\mathbf{u}, \mathbf{r}) = \frac{|\mathbf{u} - \mathbf{r}|}{c_0} = \frac{\sqrt{(x - u_x)^2 + (y - u_y)^2 + z^2}}{c_0}. \quad (11)$$

In the plane wave basis,  $t(\boldsymbol{\theta}, \mathbf{r})$  is given by

$$t(\boldsymbol{\theta}, \mathbf{r}) = \left[ x \sin \theta_x + y \sin \theta_y + z \sqrt{1 - \sin^2 \theta_x - \sin^2 \theta_y} \right] / c_0. \quad (12)$$

**Local average of the reflection point spread function.** To probe the local



RPSF, the field-of-view is divided into regions  $\mathcal{W}(\mathbf{r})$  that are defined by their central midpoint  $\mathbf{r}_p$  and their lateral extension  $w_\rho$  and thickness  $w_z$ . A local average of the back-scattered intensity can then be performed in each region:

$$\langle RPSF \rangle(\Delta \boldsymbol{\rho}, \mathbf{r}_p) = \langle |R(\boldsymbol{\rho} - \Delta \boldsymbol{\rho}/2, \boldsymbol{\rho} + \Delta \boldsymbol{\rho}/2, z)|^2 \mathcal{W}(\mathbf{r} - \mathbf{r}_p) \rangle_{\mathbf{r}} \quad (13)$$

where  $\mathcal{W}(\mathbf{r} - \mathbf{r}_p) = 1$  for  $|\boldsymbol{\rho} - \boldsymbol{\rho}_p| < w_\rho/2$  and  $|z - z_p| < w_z/2$ , and zero otherwise. The dimensions of  $\mathcal{W}(\mathbf{r})$  used for Fig. 2b,d are:  $w_\rho = 3.2$  mm and  $w_z = 3$  mm. The dimensions of  $\mathcal{W}(\mathbf{r})$  to obtain Figs. 5c,d are:  $w_\rho = 4$  mm and  $w_z = 5.5$  mm.

**Distortion Matrix in 3D UMI.** The first step consists in projecting the focused  $\mathbf{R}$ -matrix  $\mathbf{R}_{\rho\rho}(z)$  [Fig. 1d] onto a dual basis  $\mathbf{o}$  at output [Fig. 1h]:

$$\mathbf{R}_{\rho\mathbf{o}} = \mathbf{R}_{\rho\rho}(z) \times \mathbf{T}_0(z) \quad (14)$$

where  $\times$  stands for a matrix product and  $\mathbf{T}_0$  the transmission matrix predicted by the propagation model.

In the transducer basis ( $\mathbf{o} = \mathbf{k}$ ), its coefficients correspond to the  $z$ -derivative of the Green's function [18]:

$$T_0(\boldsymbol{\rho}, \mathbf{u}) = \frac{ze^{ik_c|\mathbf{u}-\boldsymbol{\rho}|}}{4\pi|\mathbf{u}-\boldsymbol{\rho}|^2} \quad (15)$$

where  $k_c$  is the wavenumber at the central frequency. In the Fourier basis ( $\mathbf{o} = \mathbf{k}$ ),  $\mathbf{T}_0$  simply corresponds to the Fourier transform operator [16]:

$$T_0(\boldsymbol{\rho}, \mathbf{k}) = \exp(j\mathbf{k}\boldsymbol{\rho}) = \exp(j[k_x x + k_y y]). \quad (16)$$

At each depth  $z$ , the reflected wave-fronts contained in  $\mathbf{R}_{\rho\mathbf{o}}$  are then decomposed into the sum of a geometric component  $\mathbf{T}_0$ , that would be ideally obtained

in absence of aberrations, and a distorted component that corresponds to the gap between the measured wave-fronts and their ideal counterparts [Fig. 1i] [16, 18]:

$$\mathbf{D}_{\rho\mathbf{o}}(z) = \mathbf{T}_0^*(z) \circ \mathbf{R}_{\rho\mathbf{o}}(z) \quad (17)$$

where the symbol  $\circ$  stands for a Hadamard product.  $\mathbf{D}_{\mathbf{r}\mathbf{o}} = [\mathbf{D}_{\rho\mathbf{o}}(z)] = [D(\rho_{\text{in}}, \mathbf{o}_{\text{out}}, z)]$  is the so-called distortion matrix. Note that the same operations can be performed by exchanging input and output to obtain the input distortion matrix  $\mathbf{D}_{\mathbf{r}i}$ .

**Local correlation analysis of the D–matrix.** The next step is to exploit local correlations in  $\mathbf{D}_{\mathbf{r}\mathbf{o}}$  to extract the  $\mathbf{T}$ -matrix. To that aim, a set of correlation matrices  $\mathbf{C}_{\mathbf{o}\mathbf{o}}(\mathbf{r}_p)$  shall be considered between distorted wave-fronts in the vicinity of each point  $\mathbf{r}_p$  in the field-of-view:

$$C(\mathbf{o}, \mathbf{o}', \mathbf{r}_p) = \langle D(\mathbf{r}_{\text{in}}, \mathbf{o}_{\text{out}}) D^*(\mathbf{r}_{\text{in}}, \mathbf{o}'_{\text{out}}) \mathcal{W}(\mathbf{r}_{\text{in}} - \mathbf{r}_p) \rangle_{\mathbf{r}_{\text{in}}} \quad (18)$$

An equivalent operation can be performed in input in order to extract a local correlation matrix  $\mathbf{C}_{ii}(\mathbf{r}_p)$  from the input distortion matrix  $\mathbf{D}_{\mathbf{r}i}$ .

**Iterative phase reversal algorithm.** The iterative phase reversal algorithm is a computational process that provides an estimator of the transmission matrix,  $\mathbf{T}_{\text{out}} = \mathbf{T}_0 \times \mathbf{H}_{\text{out}}$ , that links each point  $\mathbf{o}$  in the dual basis and each voxel  $\mathbf{r}_p$  of the medium to be imaged [Fig. 1j]. Mathematically, the algorithm is based on the following recursive relation:

$$\hat{\mathbf{T}}_{\text{out}}^{(n)}(\mathbf{r}_p) = \exp \left[ i \arg \left\{ \mathbf{C}_{\mathbf{o}\mathbf{o}}(\mathbf{r}_p) \times \hat{\mathbf{T}}_{\text{out}}^{(n-1)}(\mathbf{r}_p) \right\} \right] \quad (19)$$

where  $\hat{\mathbf{T}}_{\text{out}}^{(n)}$  is the estimator of  $\mathbf{T}_{\text{out}}$  at the  $n^{\text{th}}$  iteration of the phase reversal process.  $\hat{\mathbf{T}}_{\text{out}}^{(0)}$  is an arbitrary wave-front that initiates the iterative phase reversal process (typically a flat phase law) and  $\hat{\mathbf{T}}_{\text{out}} = \lim_{n \rightarrow \infty} \hat{\mathbf{T}}_{\text{out}}^{(n)}$  is the result of this iterative

phase reversal process.

This iterative phase reversal algorithm, repeated for each point  $\mathbf{r}_p$ , yields an estimator  $\hat{\mathbf{T}}_{\text{out}}$  of the  $\mathbf{T}$ -matrix. Its digital phase conjugation enables a local compensation of aberrations [Fig. 1k]. The focused  $\mathbf{R}$ -matrix can be updated as follows:

$$\mathbf{R}_{\rho\rho}(z) = \left[ \mathbf{D}_{\rho\sigma}(z) \circ \hat{\mathbf{T}}_{\text{out}}^\dagger(z) \right] \times \mathbf{T}_0^\dagger(z) \quad (20)$$

where the symbol  $\dagger$  stands for transpose conjugate and  $\circ$  for the Hadamard product. The same process is then applied to the input correlation matrix  $\mathbf{C}_{ii}$  for the estimation of the input transmission matrix  $\mathbf{T}_{\text{in}} = \mathbf{T}_0 \times \mathbf{H}_{\text{in}}$ .

**Multi-scale analysis of wave distortions.** To ensure the convergence of the IPR algorithm, several iterations of the aberration correction process are performed while reducing the size of the patches  $\mathcal{W}$  with an overlap of 50% between them. Three correction steps are performed in the pork chop experiment, whereas six are performed in the head phantom experiment [as described in Table III]. At each step, the correction is performed both at input and output and reciprocity between input and output aberration laws is checked. The correction process is stopped if the normalized scalar product  $N_u^{-1} \hat{\mathbf{T}}_{\text{in}}^\dagger \hat{\mathbf{T}}_{\text{out}}$  does not reach 0.9.

|                              | Pork chop |       |       | Head phantom |       |       |       |       |       |
|------------------------------|-----------|-------|-------|--------------|-------|-------|-------|-------|-------|
| Correction step              | 1°        | 2°    | 3°    | 1°           | 2°    | 3°    | 4°    | 5°    | 6°    |
| Number of transverse patches | 1 × 1     | 2 × 2 | 4 × 4 | 1 × 1        | 2 × 2 | 3 × 3 | 4 × 4 | 5 × 5 | 6 × 6 |
| $w_\rho = (w_x, w_y)$ [mm]   | 16        | 12    | 8     | 20           | 15    | 13.3  | 10    | 8     | 6.6   |
| $w_z$ [mm]                   | 3         | 3     | 3     | 5.5          | 5.5   | 5.5   | 5.5   | 5.5   | 5.5   |

TABLE III. Parameters of UMI in both experiments

**Synthesise a 1D linear array.** To estimate the benefits of 3D imaging compared to 2D UMI, a simulation of a 1D array is performed on experimental ultrasound data acquired with our 2D matrix array. To that aim, cylindrical time delays are

applied at input and output:

$$t'(\theta^{(s)}, s, z) = \frac{s \sin \theta^{(s)} + z \cos \theta^{(s)}}{c_0} \quad (21)$$

$$t'(u^{(s)}, s, z) = \frac{\sqrt{(s - u^{(s)})^2 + z^2}}{c_0}. \quad (22)$$

with  $s = x$  or  $y$ , depending on our focus plane choice.

The focused  $\mathbf{R}$ -matrix is still built in the time domain but using this time the following delay-and-sum beamforming:

$$R^{(2D)}(y_{\text{in}}, y_{\text{out}}, z) = \sum_{\theta_{\text{in}}} \sum_{\mathbf{u}_{\text{out}}} R \left( \theta_{\text{in}}, \mathbf{u}_{\text{out}}, t'(\theta_{\text{in}}^{(y)}, y_{\text{in}}, z) + t'(u_{\text{out}}^{(y)}, y_{\text{out}}, z) \right. \\ \left. + t'(\theta_{\text{in}}^{(x)}, x_f, z_f) + t'(u_{\text{out}}^{(x)}, x_f, z_f) - 2z_f/c_0 \right). \quad (23)$$

The images displayed in Fig. 6b<sub>1</sub>,c<sub>1</sub> are obtained by synthesizing input and output beams collimated in the  $(y, z)$ -plane by focusing on a line located at  $(x_f = 0 \text{ mm}, z_f = 37.25 \text{ mm})$ , thereby mimicking the beamforming process by a conventional linear array of transducers.

**Estimation of contrast and resolution.** Contrast and resolution are evaluated by means of the RPSF. Equivalent to the full width at half maximum commonly used in 2D UMI, the transverse resolution  $\delta\rho$  is assessed in 3D based on the area  $\mathcal{A}_{-3dB}$  at half maximum of the RPSF amplitude:

$$\delta\rho_{-3dB} = \sqrt{\mathcal{A}_{-3dB}/\pi} \quad (24)$$

The contrast,  $\mathcal{C}$ , is computed locally by decomposing the RPSF at  $\Delta\boldsymbol{\rho} = \mathbf{0}$  as the sum of three components [27]:

$$RPSF(\mathbf{r}_p, \Delta\boldsymbol{\rho} = \mathbf{0}) = I_S(\mathbf{r}_p) + I_M(\mathbf{r}_p) + I_N(\mathbf{r}_p). \quad (25)$$

$I_S$  is the single scattering component that corresponds to a confocal peak.  $I_M$  is a multiple scattering contribution that gives rise to a diffuse halo;  $I_N$  corresponds to electronic noise which results in a flat plateau. A local contrast can then be deduced from the ratio between  $I_S$  and the incoherent background  $I_B = I_M + I_N$ ,

$$\mathcal{C}(\mathbf{r}_p) = \frac{I_S(\mathbf{r}_p)}{I_B(\mathbf{r}_p)} \quad (26)$$

**Single and multiple scattering rates.** The single scattering, multiple scattering and noise rates can be directly computed from the decomposition of the RPSF (Eq. 25):

$$\alpha_X(\mathbf{r}_p) = I_X(\mathbf{r}_p)/RPSF(\mathbf{r}_p, \Delta\boldsymbol{\rho} = \mathbf{0}) \quad (27)$$

with  $X = S, M$  or  $N$ . However, at large depths, multiple scattering and noise are difficult to discriminate since they both give rise to a flat plateau in the RPSF. In that case, the spatial reciprocity symmetry can be invoked to differentiate their contribution. The multiple scattering component actually gives rise to a symmetric  $\mathbf{R}$ -matrix while electronic noise is associated with a fully random matrix. The relative part of the two components can thus be estimated by computing the degree of symmetry  $\beta$  in the  $\mathbf{R}$ -matrix. To that aim, the  $\mathbf{R}$ -matrix is first projected onto its symmetric subspace at each depth :

$$\mathbf{R}_{\rho\rho}^{(\text{sym})}(z) = \frac{\mathbf{R}_{\rho\rho}(z) + \mathbf{R}_{\rho\rho}^T(z)}{2} \quad (28)$$

where the superscript  $T$  stands for matrix transpose. A local degree of symmetry  $\beta$  is then computed as follows:

$$\beta(\mathbf{r}_p) = \frac{\langle |\mathbf{R}_{\rho\rho}^{(\text{sym})}(z)|^2 \mathcal{W}(\mathbf{r} - \mathbf{r}_p) \mathcal{D}(\Delta\boldsymbol{\rho}) \rangle}{\langle |\mathbf{R}_{\rho\rho}(z)|^2 \mathcal{W}(\mathbf{r} - \mathbf{r}_p) \mathcal{D}(\Delta\boldsymbol{\rho}) \rangle} \quad (29)$$

where  $\mathcal{D}(\Delta\boldsymbol{\rho})$  is a de-scanned window function that eliminates the confocal peak

such that the computation of  $\beta$  is only made by considering the incoherent background. Typically, we chose  $\mathcal{D}(\Delta\boldsymbol{\rho}) = 1$  for  $\Delta\boldsymbol{\rho} > 6\delta\rho_0(z)$ , and zero otherwise. The multiple scattering rate  $\alpha_M$  and noise ratio  $\alpha_N$  can then be deduced:

$$\alpha_M(\mathbf{r}_p) = \frac{\beta(\mathbf{r}_p)I_B(\mathbf{r}_p)}{RPSF(\mathbf{r}_p = \mathbf{0})} \quad (30)$$

$$\alpha_N(\mathbf{r}_p) = \frac{(1 - \beta(\mathbf{r}_p))I_B(\mathbf{r}_p)}{RPSF(\mathbf{r}_p, \Delta\boldsymbol{\rho} = \mathbf{0})} \quad (31)$$

$$(32)$$

In the head phantom experiment [Fig. 5b], these rates are estimated at each depth by averaging over a window of size  $\mathbf{w} = (w_x, w_y, w_z) = (20, 20, 5.5)mm$ .

**Computational insights.** While the UMI process is close to real-time for 2D imaging (*i.e.* for linear, curve or phased array probes), 3D UMI (using a fully populated matrix array of transducers) is still far from it (see Tab. IV) as it involves the processing of much more ultrasound data. Even if computing a confocal 3D image only requires a few minutes, building the focused  $\mathbf{R}$ -matrix from the raw data takes a few hours (on GPU with CUDA language) while one step of aberration correction only lasts for a few minutes. All the post-processing was realized with Matlab (R2021a) on a working station with 2 processors @2.20GHz, 128Go of RAM, and a GPU with 48 Go of dedicated memory.

|   |  | <b>2D</b> imaging           |             | <b>3D</b> imaging               |             |
|---|--|-----------------------------|-------------|---------------------------------|-------------|
| Number of channels [Input $\times$ Output]        |  | $32 \times 32 \approx 10^3$ |             | $1024 \times 1024 \approx 10^6$ |             |
| Field-of-view $(\Delta x, \Delta y, \Delta z)$    |  | (20, 0, 80) mm              |             | (20, 20, 80) mm                 |             |
|   |  | <b>Data</b>                 | <b>Time</b> | <b>Data</b>                     | <b>Time</b> |
| <b>R</b> -matrix acquisition $\mathbf{R}_{uu}(t)$ |  | 6 Mo                        | 8 ms        | 6 Go                            | 260 ms      |
| Confocal image $I(\mathbf{r})$                    |  | 53 ko                       | 5.1 ms      | 2.2 Mo                          | 1.3 min     |
| <b>Matrix Imaging</b>                             | Focused <b>R</b> -matrix: $\mathbf{R}_{\rho\rho}(z)$ | 2.2 Mo                      | 15 ms       | 3.6 Go                          | 2.3 h       |
|   | Estimation of $\hat{\mathbf{T}}$ & correction        |                             | 0.15 s      |                                 | 4.5 min     |

TABLE IV. **Computational insights:** Here we compare the typical amount of data and computational time at each post-processing step of UMI. The comparison between 2D and 3D imaging is made using a single line of transducers *versus* all the transducers of our matrix array. In both cases, the pixel/voxel resolution is fixed at 0.5 mm, which corresponds approximately to one wavelength. The maximum distance between the input and output focusing points is set to 10 mm. The estimation of  $\hat{\mathbf{T}}$  is here investigated without a multi-scale analysis on a single iteration at the input and at the output.

**Data availability.** Ultrasound data used in this manuscript have been deposited at Zenodo (<https://zenodo.org/record/7729404>).

**Code availability.** Codes used to post-process the ultrasound data within this paper are available from the corresponding author upon reasonable request.

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**Author Contributions.** A.A. and M.F. initiated the project. A.A. supervised the project. F.B. and A.L.B. coded the ultrasound acquisition sequences. F.B. and J.R. performed the experiments. F.B., A.L.B. and W.L. developed the post-processing tools. F.B., J.R. and A.A. analyzed the experimental results. A.A. performed the theoretical study. F.B. prepared the figures. F.B., J.R. and A.A. prepared the manuscript. F.B., J.R., W.L., M.F., and A.A. discussed the results and contributed to finalizing the manuscript.

**Competing interests.** A.A., M.F., and W.L. are inventors on a patent related to this work held by CNRS (no. US11346819B2, published May 2022). All authors declare that they have no other competing interests.



# Supplementary Information

This document provides further information on: (i) the UMI workflow; (ii) the comparison between iterative time reversal and phase reversal; (iii) on the bias of the  $\mathbf{T}$ -matrix estimator; (iv) the comparison between a multi-scale and local analysis of wave distortions; (v) the impact of the confocal filter; (vi) the effect of an incompleteness of the illumination basis.

## S1. WORKFLOW

Fig. S1 shows a workflow that sums up the different steps of the UMI procedure performed in the accompanying paper.

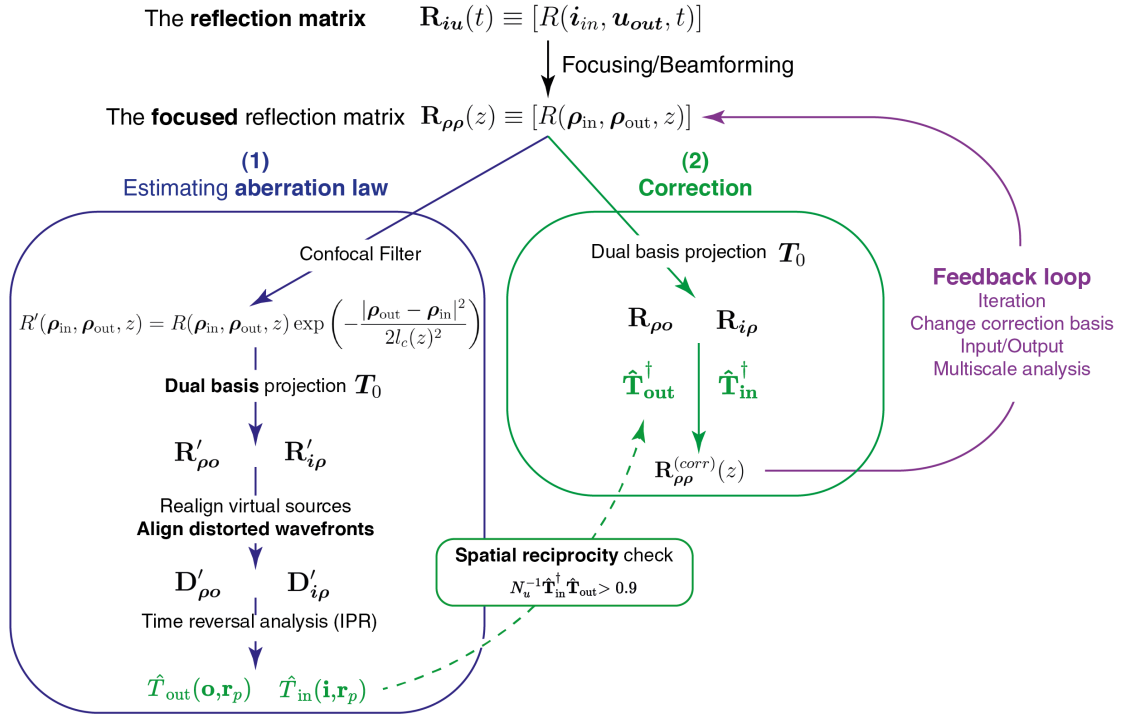


FIG. S1. Flowchart of the UMI process.

## S2. CORRELATION MATRIX OF WAVE DISTORTIONS

In the accompanying paper, an iterative phase reversal (IPR) process and a multi-scale analysis of  $\mathbf{D}$  have been implemented to retrieve the  $\mathbf{T}$ -matrix. In the following, we provide a theoretical framework to justify this process, outline its limits and conditions of success. For sake of lighter notation, the dependence over  $\mathbf{r}_p$  will be omitted in the following.

At each step of the aberration correction process, a local correlation matrix of  $\mathbf{D}$  is computed. The UMI process assumes the convergence of the correlation matrix  $\mathbf{C}$  towards its ensemble average  $\langle \mathbf{C} \rangle$ , the so-called covariance matrix [16, 18]. In fact, this convergence is never fully realized and  $\mathbf{C}$  should be decomposed as the sum of this covariance matrix  $\langle \mathbf{C} \rangle$  and a perturbation term  $\delta \mathbf{C}$ :

$$\mathbf{C} = \langle \mathbf{C} \rangle + \delta \mathbf{C}. \quad (\text{S1})$$

The intensity of the perturbation term scales as the inverse of the number  $N_{\mathcal{W}} = (w_p^2 w_z) / (\delta \rho_0^2 \delta z_0)$  of resolution cells in each sub-region [15, 16, 18]:

$$\langle |\delta C(\mathbf{o}, \mathbf{o}', \mathbf{r}_p)|^2 \rangle = \frac{\langle |C(\mathbf{o}, \mathbf{o}', \mathbf{r}_p)|^2 \rangle}{N_{\mathcal{W}}} \quad (\text{S2})$$

This perturbation term can thus be reduced by increasing the size of the spatial window  $\mathcal{W}$ , but at the cost of a resolution loss. In the following, we express theoretically the bias induced by this perturbation term on the estimation of  $\mathbf{T}$ -matrices. In particular, we will show how it scales with  $N_{\mathcal{W}}$  in each spatial window  $\mathcal{W}$  and the focusing quality. To that aim, we will consider the output correlation matrix  $\mathbf{C}_{oo}$  but a similar demonstration can be performed at input.

### S3. COVARIANCE MATRIX: SYNTHESIS OF A VIRTUAL GUIDE STAR

Under assumptions of local isoplanicity in each spatial window and random reflectivity, the covariance matrix can be expressed as follows [16]:

$$\langle \mathbf{C}_{\mathbf{o}\mathbf{o}} \rangle = \mathbf{T}_{\text{out}} \times \mathbf{C}_H \times \mathbf{T}_{\text{out}}^\dagger, \quad (\text{S3})$$

or in terms of matrix coefficients,

$$\langle \mathbf{C}(\mathbf{o}, \mathbf{o}') \rangle = T_{\text{out}}(\mathbf{o}) T_{\text{out}}^*(\mathbf{o}') \underbrace{\int d\rho |H_{\text{in}}(\rho)|^2 e^{-i2\pi \frac{(\mathbf{o}-\mathbf{o}') \cdot \rho}{\lambda z_p}}}_{=C_H(\mathbf{o}, \mathbf{o}')}. \quad (\text{S4})$$

$\mathbf{C}_H$  is a reference correlation matrix associated with a virtual reflector whose scattering distribution corresponds to the input focal spot intensity  $|H_{\text{in}}(\rho)|^2$ . This scatterer plays the role of virtual guide star in the UMI process (Fig. 1j of the accompanying paper).

### S4. COMPARISON BETWEEN ITERATIVE TIME REVERSAL AND PHASE REVERSAL

In previous works on 2D UMI [16, 18], the  $\mathbf{T}$ -matrix was estimated by performing a singular value decomposition of  $\mathbf{D}_{\mathbf{r}\mathbf{o}}$ :

$$\mathbf{D}_{\mathbf{r}\mathbf{o}} = \mathbf{V}_{\text{in}}^\dagger \times \Sigma \times \mathbf{U}_{\text{out}}, \quad (\text{S5})$$

or, equivalently, the eigenvalue decomposition of  $\mathbf{C}_{\mathbf{o}\mathbf{o}}$ :

$$\mathbf{C}_{\mathbf{o}\mathbf{o}} = \mathbf{U}_{\text{out}}^\dagger \times \Sigma^2 \times \mathbf{U}_{\text{out}}. \quad (\text{S6})$$

$\Sigma$  is a diagonal matrix containing the singular values  $\sigma_i$  in descending order:  $\sigma_1 > \sigma_2 > \dots > \sigma_N$ .  $\mathbf{U}_{\text{out}}$  and  $\mathbf{V}_{\text{in}}$  are unitary matrices that contain the orthonormal set of output and input eigenvectors,  $\mathbf{U}_{\text{out}}^{(i)} = [U_{\text{out}}^{(i)}(\mathbf{o})]$  and  $\mathbf{V}_{\text{in}}^{(i)} = [V_{\text{in}}^{(i)}(\mathbf{r})]$ .

The reason of this eigenvalue decomposition can be intuitively understood by considering the asymptotic case of a point-like input focusing beam. In this ideal case, Eq.S6 becomes  $C(\mathbf{o}, \mathbf{o}') = T_{\text{out}}(\mathbf{o})T_{\text{out}}^*(\mathbf{o}')$ .  $\mathbf{D}_{\mathbf{r}\mathbf{o}}$  is then of rank 1 – the first output singular vector  $\mathbf{U}_{\text{out}}^{(1)}$  yields the aberration transmittance  $\mathbf{T}_{\text{out}}$ .

However, in reality, the input PSF  $H_{\text{in}}$  is of course far from being point-like. The spectrum of  $\mathbf{D}_{\mathbf{r}\mathbf{o}}$  displays a continuum of singular values [Fig. S2d]. The effective rank of  $\mathbf{C}_{\mathbf{o}\mathbf{o}}$  is shown to scale as the number of resolution cells covered by the input PSF  $H_{\text{in}}$  [18]:

$$M_\delta \sim (\delta\rho_{\text{in}}/\delta\rho_0)^2. \quad (\text{S7})$$

with  $\delta\rho_{\text{in}}$  the spatial extension of the input PSF. The amplitude of the corresponding eigenvectors  $\mathbf{U}_{\text{out}}^{(i)}$  depends on the exact shape of the virtual guide star, that is to say on aberrations induced by the incident wave-front.

Figures S2e and f show the modulus of two first eigenvectors,  $\mathbf{U}_{\text{out}}^{(1)}$  and  $\mathbf{U}_{\text{out}}^{(2)}$ . They clearly show a complementary feature. While  $\mathbf{U}_{\text{out}}^{(1)}$  is associated with the fat layer,  $\mathbf{U}_{\text{out}}^{(2)}$  maps onto the muscle part of the pork chop [Fig. S2h]. This result can be understood by the discontinuity of the speed-of-sound between the muscle and fat parts of the pork chop that breaks the spatial invariance and isoplanicity. As a consequence, the SVD process tends to converge onto eigenstates associated with the most isoplanatic components of  $\mathbf{D}_{\mathbf{r}\mathbf{o}}$ .

This property is not satisfactory in the present case since each eigenvector only covers a part of the probe aperture. In other words, the phases of  $\mathbf{U}^{(1)}$  [Fig. S2i] and  $\mathbf{U}^{(2)}$  [Fig. S2j] are only satisfying estimators of  $\mathbf{T}$  over some parts of the probe. Therefore, they cannot independently lead to an aberration compensation over the full numerical aperture.

To circumvent that problem, one can take advantage of the analogy with iter-

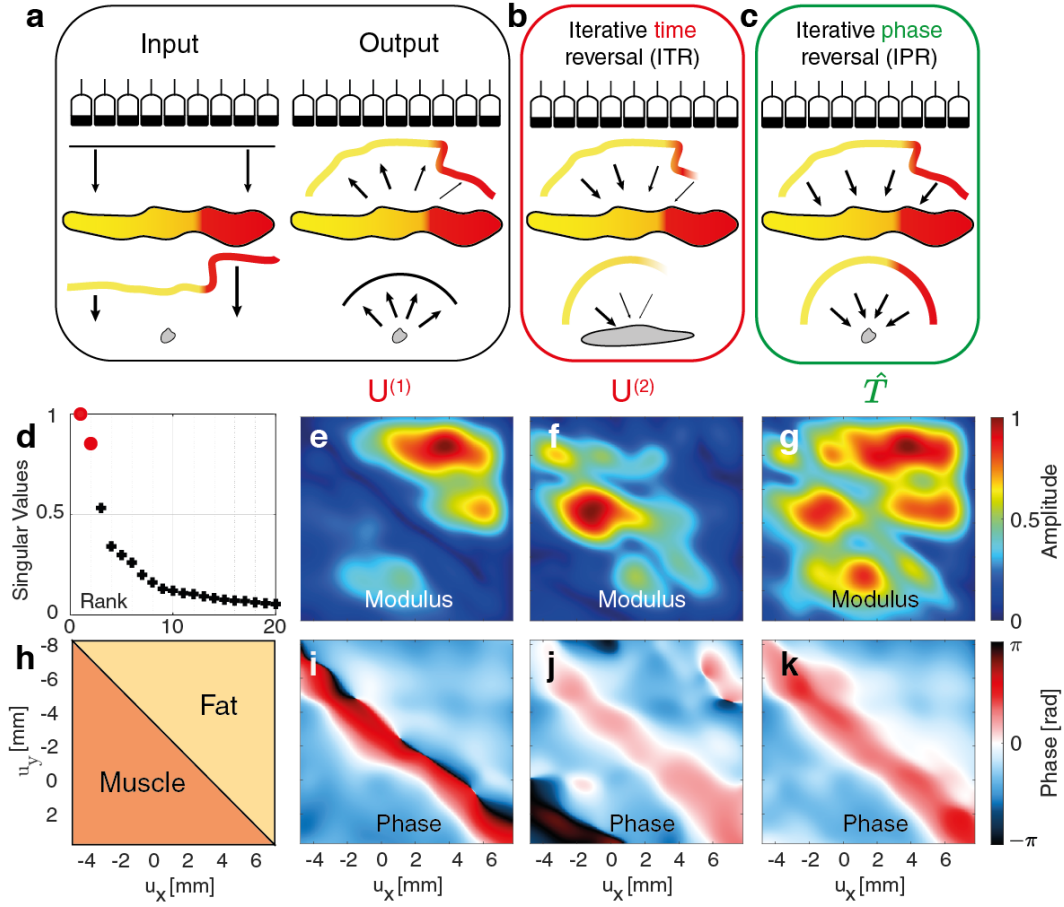


FIG. S2. **Iterative Time Reversal vs. Iterative Phase Reversal.** (a) The first step of ITR and IPR corresponds to the following fictitious experiment: Insonifying the medium by an arbitrary wave-front (here a plane wave) using an array of transducers and recording the reflected wave-front with the same probe. (b) The ITR process consists in time-reversing this wave-front in post-processing and sending it back into the medium, recording again the reflected wave-front, and so on. (c) The IPR process is similar but normalizes the amplitude of the time-reversed wavefront at every iteration. (d) Singular value distribution of  $\mathbf{D}_{r_o}$  for a box  $\mathcal{W}$  of dimension  $\mathbf{w} = (w_x, w_y, w_z) = (2, -5, 2)$  mm centered around point  $\mathbf{r}_p = (3, -5.5, 23)$  mm. (e,f) Modulus of the two first eigenvectors  $\mathbf{U}_{\text{out}}^{(i)}$ . (g) Modulus of the vector  $\mathbf{C}_{oo} \times \hat{\mathbf{T}}_{\text{out}}$ . (h) Delimitation of muscle and fat over the probe surface. (i,j,k) Phase of  $\mathbf{U}_{\text{out}}^{(1)}$ ,  $\mathbf{U}_{\text{out}}^{(2)}$  and  $\hat{\mathbf{T}}_{\text{out}}$ .

ative time reversal (ITR). The eigenvector  $\mathbf{U}_{\text{out}}^{(1)}$  can actually be seen as the result

of the following fictitious experiment that consists in illuminating the virtual scatterer by an arbitrary wave-front and recording the reflected wave-field [Fig. S2a]. This wave-field is time-reversed and back-emitted towards the virtual scatterer [Fig. S2b]. This process can then be iterated many times and each step can be mathematically written as:

$$\sigma \mathbf{W}^{(n+1)} = \mathbf{C}_{oo} \times \mathbf{W}^{(n)} \quad (\text{S8})$$

with  $\mathbf{W}^{(n)}$ , the wave-front at iteration  $n$  of the ITR process and  $\sigma$ , the scatterer reflectivity. ITR is shown to converge towards a time-reversal invariant that is nothing other than the first eigenvector,  $\mathbf{U}_{\text{out}}^{(1)} = \lim_{n \rightarrow +\infty} \mathbf{W}^{(n)}$ .

To optimize the estimation of aberrations over the full probe aperture, our idea is to modify the ITR process by still re-emitting a phase-reversed wave-field but with a constant amplitude on each probe element [Fig. S2c]. In practice, this operation is performed using the following IPR algorithm:

$$\hat{\mathbf{T}}_{\text{out}}^{(n+1)} = \exp \left[ i \arg \left\{ \mathbf{C}_{oo} \times \hat{\mathbf{T}}_{\text{out}}^{(n)} \right\} \right] \quad (\text{S9})$$

where  $\hat{\mathbf{T}}_{\text{out}}^{(n)}$  is the estimator of  $\mathbf{T}_{\text{out}}$  at the  $n^{\text{th}}$  iteration of IPR.  $\hat{\mathbf{T}}_{\text{out}}^{(0)}$  is an arbitrary wave-front that initiates IPR (typically a plane wave).  $\hat{\mathbf{T}}_{\text{out}} = \lim_{n \rightarrow \infty} \hat{\mathbf{T}}_{\text{out}}^{(n)}$  is the result of this IPR process. Unlike ITR, IPR equally addresses each angular component of the imaging process to reach a diffraction-limited resolution. Fig. S2g illustrates this fact by showing the modulus of  $\mathbf{C}_{oo} \times \hat{\mathbf{T}}_{\text{out}}$ . Compared with  $\mathbf{U}_{\text{out}}^{(1)}$  [Fig. S2e] and  $\mathbf{U}_{\text{out}}^{(2)}$  [Fig. S2f], it clearly shows that the phase-reversed invariant  $\hat{\mathbf{T}}_{\text{out}}$  simultaneously addresses each angular component of the aberrated wave-field.  $\hat{\mathbf{T}}_{\text{out}}$  is thus a much better estimator of the  $\mathbf{T}$ -matrix [Fig. S2k] than the aberration phase laws extracted by the SVD process [Fig. S2i and j].

When applied to the whole field-of-view, the IPR algorithm is mathematically equivalent to the CLASS algorithm developed in optical microscopy [19]. However,

the IPR algorithm is much more efficient for a local compensation of aberrations. For IPR, the angular resolution  $\delta\theta$  of the aberration phase law is only limited by the angular pitch of plane wave illumination basis or the pitch  $p$  of the transducer array in the canonical basis:  $\delta\theta_I \sim \lambda/p$ . With CLASS, the resolution  $\delta\theta_C$  of the aberration law is governed by the size of the spatial window  $\mathcal{W}$  on which the focused reflection matrix is truncated:  $\delta\theta_C \sim z/w_\rho$ . It can be particularly detrimental when high-order aberrations and small isoplanatic patches are targeted.

## S5. BIAS ON THE $\mathbf{T}$ -MATRIX ESTIMATION

In practice, however, the  $\mathbf{T}$ -matrix estimator is still impacted by the blurring of the synthesized guide star and the presence of diffusive background and/or noise. Therefore the whole process shall be iterated at input and output in order to gradually refine the guide star and reduce the bias on our  $\mathbf{T}$ -matrix estimator. Moreover, the spatial window  $\mathcal{W}$  over which the  $\mathbf{C}$ -matrix is computed shall be gradually decreased in order to address the high-order aberration components, the latter one being associated with smaller isoplanatic patches.

To understand the parameters controlling the bias  $\delta\hat{\mathbf{T}}_{\text{out}}$  between  $\hat{\mathbf{T}}_{\text{out}}$  and  $\mathbf{T}_{\text{out}}$ , one can express  $\hat{\mathbf{T}}_{\text{out}}$  as follows:

$$\hat{\mathbf{T}}_{\text{out}} = \exp\left(j\arg\left\{\mathbf{C}_{oo} \times \hat{\mathbf{T}}_{\text{out}}\right\}\right) = \frac{\mathbf{C}_{oo} \times \hat{\mathbf{T}}_{\text{out}}}{\|\mathbf{C}_{oo} \times \hat{\mathbf{T}}_{\text{out}}\|} \quad (\text{S10})$$

By injecting Eq. S1 into the last expression,  $\hat{\mathbf{T}}_{\text{out}}$  can be expressed, at first order, as the sum of its expected value  $\mathbf{T}_{\text{out}}$  and a perturbation term  $\delta\hat{\mathbf{T}}_{\text{out}}$ :

$$\hat{\mathbf{T}}_{\text{out}} = \underbrace{\frac{\langle\mathbf{C}_{oo}\rangle \times \mathbf{T}_{\text{out}}}{\|\langle\mathbf{C}_{oo}\rangle \times \mathbf{T}_{\text{out}}\|}}_{=\mathbf{T}_{\text{out}}} + \underbrace{\frac{\delta\mathbf{C}_{oo} \times \mathbf{T}_{\text{out}}}{\|\langle\mathbf{C}_{oo}\rangle \times \mathbf{T}_{\text{out}}\|}}_{\simeq\delta\hat{\mathbf{T}}_{\text{out}}}. \quad (\text{S11})$$

The bias intensity can be expressed as follows:

$$\|\delta\hat{\mathbf{T}}_{\text{out}}\|^2 = \frac{\mathbf{T}_{\text{out}}^\dagger \times \delta\mathbf{C}_{\text{oo}}^\dagger \times \delta\mathbf{C}_{\text{oo}} \times \mathbf{T}_{\text{out}}}{\mathbf{T}_{\text{out}}^\dagger \times \langle \mathbf{C}_{\text{oo}} \rangle^\dagger \times \langle \mathbf{C}_{\text{oo}} \rangle \times \mathbf{T}_{\text{out}}} \quad (\text{S12})$$

Using Eq. S2, the numerator of the last equation can be expressed as follows:

$$\mathbf{T}_{\text{out}}^\dagger \times \delta\mathbf{C}_{\text{oo}}^\dagger \times \delta\mathbf{C}_{\text{oo}} \times \mathbf{T}_{\text{out}} = N_u^2 \langle |\delta C(\mathbf{o}, \mathbf{o}')|^2 \rangle = N_u^2 |C(\mathbf{o}, \mathbf{o})|^2 / N_{\mathcal{W}}. \quad (\text{S13})$$

with  $N_u$  the number of transducers.

The denominator of Eq. S12 can be expressed as follows:

$$\mathbf{T}_{\text{out}}^\dagger \times \langle \mathbf{C}_{\text{oo}} \rangle^\dagger \times \langle \mathbf{C}_{\text{oo}} \rangle \times \mathbf{T}_{\text{out}} = M^2 \left| \sum_{\mathbf{o}} T_{\text{in}} \overset{\circ}{\otimes} T_{\text{in}}(\mathbf{o}) \right|^2 \quad (\text{S14})$$

The bias intensity is thus given by:

$$\|\delta\hat{\mathbf{T}}_{\text{out}}(\mathbf{o})\|^2 = \frac{\left| T_{\text{in}} \overset{\circ}{\otimes} T_{\text{in}}(\mathbf{0}) \right|^2}{N_{\mathcal{W}} \left| \sum_{\mathbf{o}} T_{\text{in}} \overset{\circ}{\otimes} T_{\text{in}}(\mathbf{o}) \right|^2} \quad (\text{S15})$$

In the last expression, we recognize the ratio between the coherent intensity (energy deposited exactly at focus) and the mean incoherent input intensity. This quantity is known as the coherence factor in ultrasound imaging [8, 15]:

$$C_{\text{in}} = \frac{\sum_{\mathbf{o}} T_{\text{in}} \overset{\circ}{\otimes} T_{\text{in}}(\mathbf{o})}{T_{\text{in}} \overset{\circ}{\otimes} T_{\text{in}}(\mathbf{0})} = \frac{|H_{\text{in}}(\boldsymbol{\rho} = \mathbf{0})|^2}{\Delta\rho_{\text{max}}^{-2} \int d\boldsymbol{\rho} |H_{\text{in}}(\boldsymbol{\rho})|^2} \quad (\text{S16})$$

In the speckle regime and for a 2D probe, the coherence factor  $C$  ranges from 0, for strong aberrations and/or multiple scattering background, to 4/9 in the ideal



case [55]. The bias intensity can thus be rewritten as:

$$\|\delta\hat{\mathbf{T}}_{\text{out}}\|^2 = \frac{1}{C_{\text{in}}^2 N_{\mathcal{W}}} \quad (\text{S17})$$

This last expression justifies the multi-scale analysis proposed in the accompanying paper. A gradual increase of the focusing quality, quantified by  $C$ , is required to address smaller spatial windows that scale as  $N_{\mathcal{W}}$ . Following this scheme, the bias made of our  $\mathbf{T}$ -matrix estimator can be minimized.

## S6. MULTI-SCALE ANALYSIS OF WAVE DISTORTIONS

Figure S3 demonstrates the benefit of a multi-scale analysis of wave distortions with a gradual decrease of spatial windows  $\mathcal{W}$  at each step of the UMI process [Fig. S3a]. To that aim, this aberration correction scheme is compared with a direct estimation of the  $\mathbf{T}$ -matrix over the smallest patches  $\mathcal{W}$  [Fig. S3d]. The estimated transmission matrices  $\hat{\mathbf{T}}$  differ in both cases (see comparison between Figs. S3b and e) especially in the fat layer. The RPSFs obtained after phase conjugation of  $\hat{\mathbf{T}}$  demonstrate the benefit of the multi-scale analysis [Fig. S3c] compared with a direct local investigation of wave distortions [Fig. S3f]. The fat area is actually the most aberrated in the field-of-view (see initial RPSFs displayed by Fig. 1b of the accompanying paper). The initial coherence factor  $C$  is thus much smaller in this area, which induces a strong bias on  $\mathbf{T}$  when wave distortions are investigated over a reduced isoplanatic patch. On the contrary, a multi-scale analysis enables a gradual enhancement of this coherence factor in this area and finally leads to an unbiased estimation of  $\mathbf{T}$ .

Figure S4 shows the performance of UMI by comparing the RPSFs before and after aberration compensation. In the most aberrated area (top right of the field-of-view), the resolution is improved by almost a factor two while the contrast is increased by 4.2 dB.

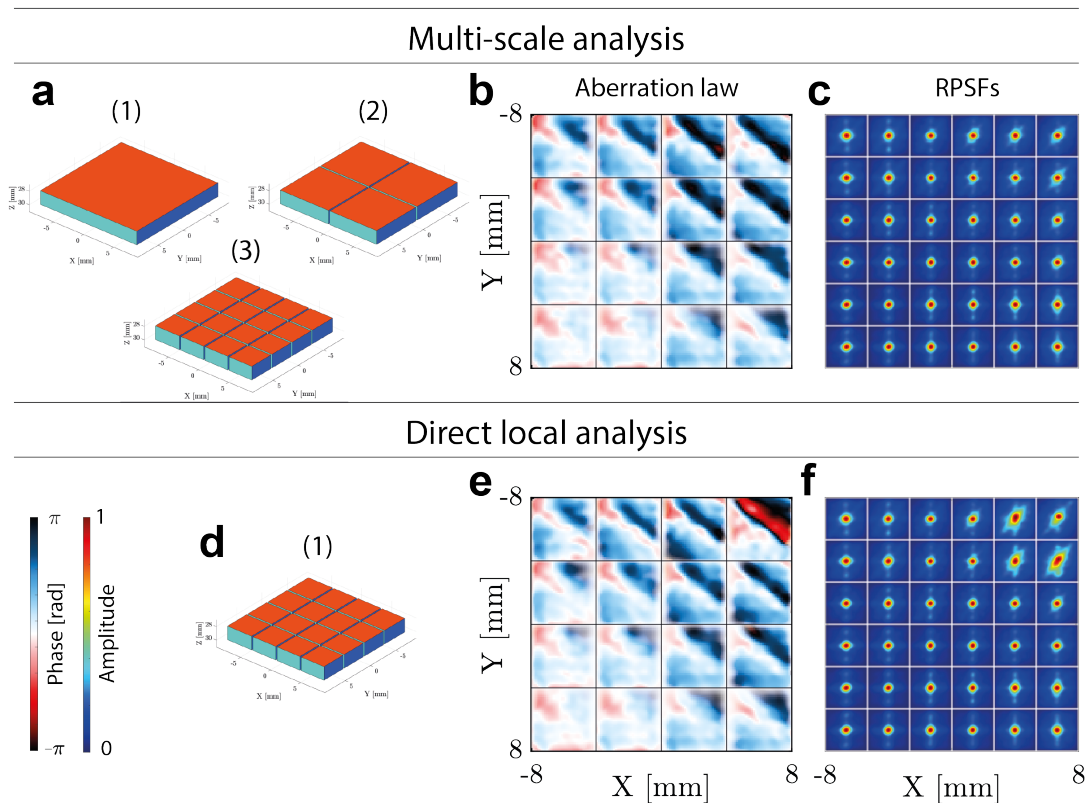


FIG. S3. **Multi-scale *versus* direct local analysis of wave distortions** (pork chop experiment,  $z = 29$  mm). **(a)** Representation of the spatial windows used at each step of UMI (see Tab. III of the accompanying paper). **(b)** Aberration phase laws ( $\hat{\mathbf{T}}$ ) extracted by a multi-scale analysis. **(c)** RPSFs after multi-scale aberration compensation. **(d)** Representation of the spatial windows used for a direct local compensation of wave distortions. **(e)** Aberration phase laws ( $\hat{\mathbf{T}}$ ) extracted by a local analysis of **D**. **(f)** RPSFs after local aberration compensation.

Figure S5 shows the evolution of the RPSF during the UMI process applied to the head phantom experiment. A gradual enhancement of the focusing process is observed at each step of UMI which enables an estimation of the  $\mathbf{T}$ -matrix at a higher resolution.

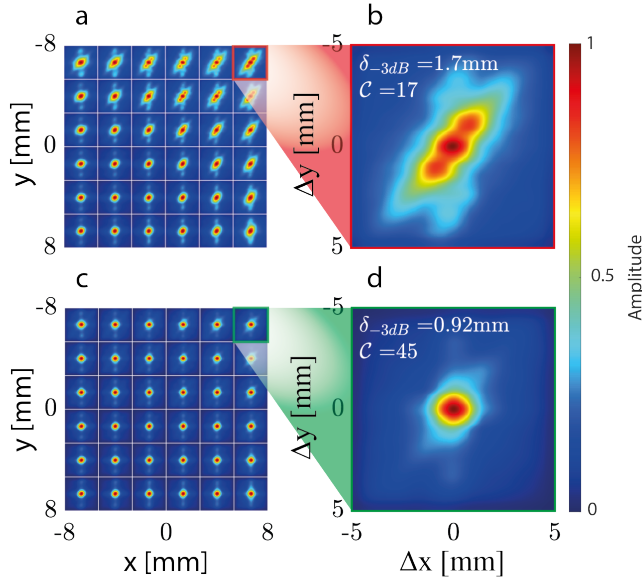


FIG. S4. **Contrast & resolution enhancement in the pork chop experiment.** (a) Maps of local RPSF ( $z = 29$  mm). (b) Local RPSF on the top right of the field-of-view. (c) Map of RPSF after the UMI process. (d) Corrected RPSF on the top right of the field-of-view. The resolution is evaluated at  $-3\text{dB}$  (see Methods in the accompanying paper). The contrast  $\mathcal{C}$  is the ratio between confocal peak and the multiple scattering/noise background (see also Methods).

## S7. CONFOCAL FILTER

Figure S6 shows the effect of the confocal filter on the  $\mathbf{T}$ -matrix estimation. The output aberration phase laws contained in  $\hat{\mathbf{T}}_{\text{out}}$  look much more noisy in absence of an adaptive confocal filter (see the comparison between Figs. S6a and b). As shown by the scalar product between input and output aberration phase laws [Fig. S6c], this “noise” comes from the imperfect convergence of  $\hat{\mathbf{T}}$  towards  $\mathbf{T}$ . Without any confocal filter, multiple scattering drastically reduces the coherence factor and induces a strong bias on estimation of  $\mathbf{T}$  (see Supplementary Section S5). On the contrary, the adaptive confocal filter enables an enhancement of this coherence factor  $\mathcal{C}$  to ensure a satisfactory estimation of  $\mathbf{T}$ . The high degree of correlation between  $\hat{\mathbf{T}}_{\text{in}}$  and  $\hat{\mathbf{T}}_{\text{out}}$  proves this last assertion [Fig. S6d]. The effect of

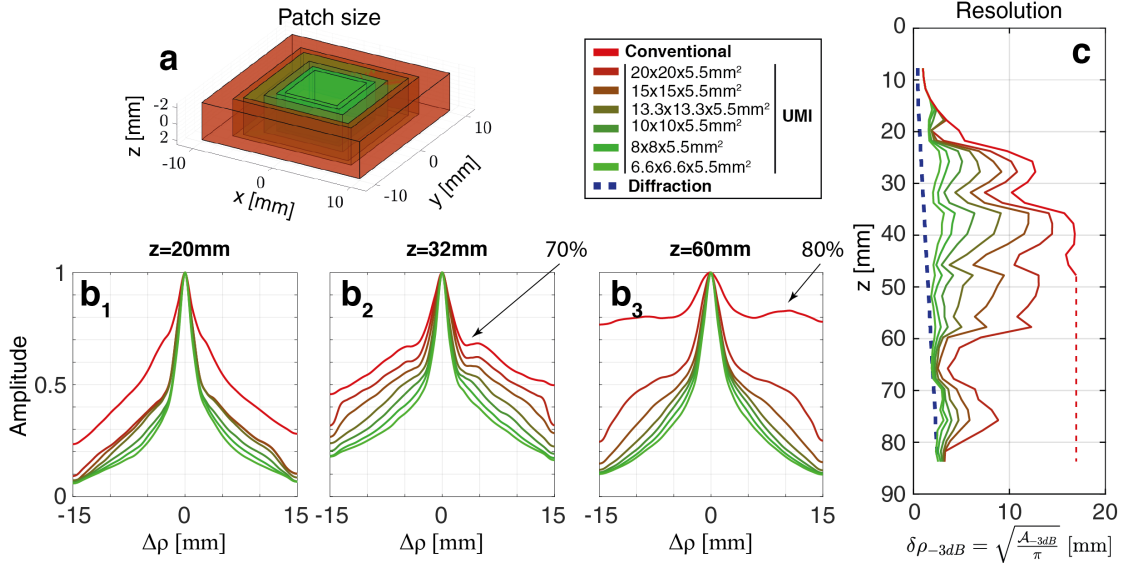


FIG. S5. **Multi-scale compensation of wave distortions in the head phantom.** (a) Successive patches used to perform a multi-scale analysis of wave distortions. (b) Radial profile of the RPSF amplitude at each step for three different depths ( $z = 20, z = 32$  and  $z = 60\text{mm}$ ). (c) Resolution as a function of depth at each step of correction (from red to green). At large depth (red dashed line), initial resolution can not be extracted as the incoherent background is larger than  $1/2$  as shown in panel (b<sub>3</sub>).

the confocal filter is also particularly obvious when looking at the RPSF obtained at the end of the UMI process. While a strong incoherent background subsists on the lateral parts of the field-of-view when no confocal filter is applied [Fig. S6e], an homogeneous focusing quality is obtained with the confocal filter [Fig. S6f].

## S8. ILLUMINATION BASIS

Figure S7 shows the impact of the illumination sequence on UMI. If the input illumination basis is complete [Fig. S7a], the RSPF exhibits the expected diffraction-limited resolution [Fig. S7f]. The side lobes along the y-axis are due to the probe geometry made of four blocks of transducers separated by a distance of 0.5 mm (three inactive rows of transducers along the y-axis).

When the number of illuminating plane waves is reduced [Figs. S7b-e], spa-

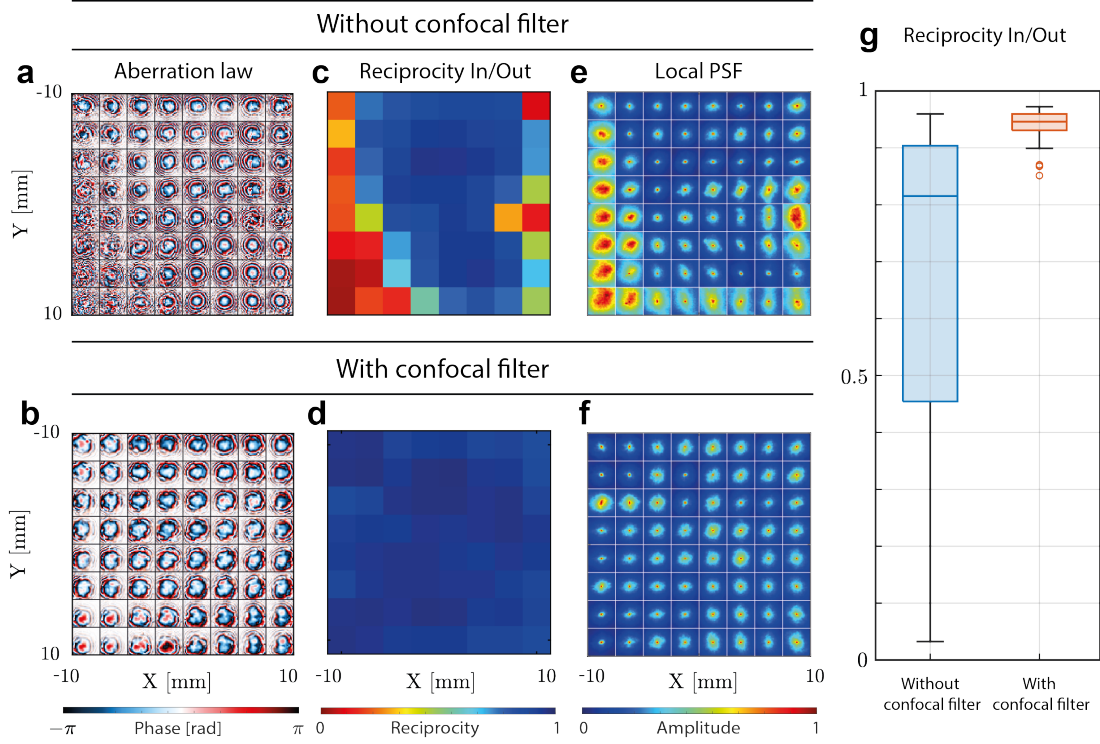


FIG. S6. **Confocal filter in transcranial imaging.** (a,b) Output aberration phase laws ( $\hat{\mathbf{T}}_{\text{out}}$ ) extracted without and with a confocal filter. (c,d) Normalised scalar products  $N_u^{-1} \hat{\mathbf{T}}_{\text{in}}^\dagger \hat{\mathbf{T}}_{\text{out}}$  without and with a confocal filter, respectively. (e,f) RPSFs obtained with UMI without and with a confocal filter. (g) Box plot corresponding to the panels (c,d). Experimental data shown in this figure correspond to the head phantom experiment described in the accompanying paper ( $z = 50\text{mm}$ ).

tial aliasing occurs on corresponding RPSFs [Figs. S7g-j]. The maximal extension  $\Delta\rho_{\text{max}}$  of the RPSF has to be fixed to avoid the spatial aliasing induced by the incompleteness of the plane wave illumination basis;  $\Delta\rho_{\text{max}}$  is inversely proportional to the angular step  $\delta\theta$  of the plane wave illumination basis:

$$\Delta\rho_{\text{max}} \sim \lambda_c / (2\delta\theta) \quad (\text{S18})$$

with  $\lambda_c$  the central wavelength and  $\delta\theta$  the angular pitch used for the illumination sequence. Thus, to avoid spatial aliasing, the coefficients  $R(\boldsymbol{\rho}_{\text{in}}, \boldsymbol{\rho}_{\text{out}}, z)$  associated

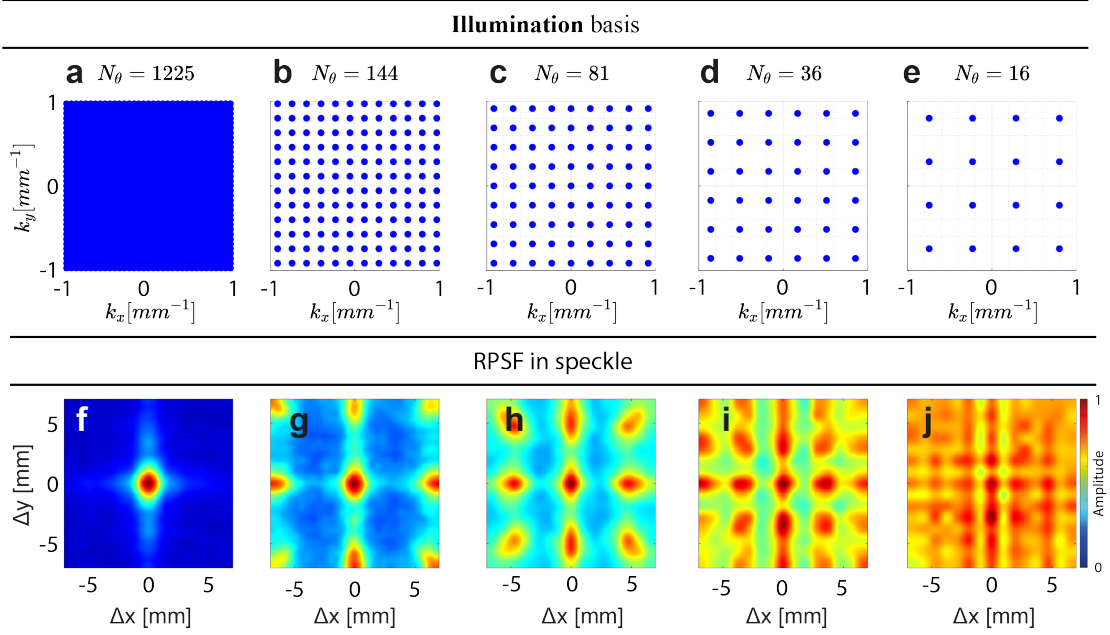


FIG. S7. **Illumination sequence.** (a-e) Representation of different plane wave illumination sequence in the  $\mathbf{k}$ -space. (f-j) Aliasing effect exhibited by the RPSFs due to incompleteness of illumination sequence displayed in panels a-e, respectively. These RPSFs have been measured in a speckle area of a tissue-mimicking phantom.

with a transverse distance  $|\boldsymbol{\rho}_{\text{out}} - \boldsymbol{\rho}_{\text{in}}|$  larger than the superior bound  $\Delta\rho_{\text{max}}$  should be filtered via a confocal filter.

Equation S18 implies the necessity of recording a high-dimension  $\mathbf{R}$ -matrix for transcranial imaging as aberrations are particularly important in that configuration (see Fig. 5 of the accompanying paper). The number of independent incident waves should scale as the number of resolution cells over which the RPSF spreads.

| Matrix Imaging                 |  | General                                       |   |
|--------------------------------|--|---|---|
| Symbol                         | Meaning  | Symbol  | Meaning                                     |
| $\mathbf{R}$                   | Reflection matrix  | $I$   | Confocal image                              |
| $RPSF$                         | Reflection Point Spread Function                               | $H$   | Point spread function                       |
| $\mathbf{T}$                   | Transmission matrix  | $\mathbf{r} = (x, y, z)$                      | Focal point                                 |
| $\mathbf{D}$                   | Distortion matrix  | $\boldsymbol{\rho} = (x, y)$                  | Transverse coordinate                       |
| $\mathbf{C}$                   | Correlation matrix   | $\lambda_c$                                   | Wavelength at the central frequency         |
| $\delta\mathbf{C}$             | Perturbation term of $\mathbf{C}$                              | $f_s$   | Sampling frequency                          |
| $\hat{\mathbf{T}}$             | Estimator of $\mathbf{T}$                                      | $c_0$   | Speed-of-sound hypothesis                   |
| $\ \delta\hat{\mathbf{T}}\ ^2$ | bias on $\hat{\mathbf{T}}$                                     | $\mathbf{u}$                                  | Transducer position                         |
| $\varepsilon$                  | Estimator of the bias intensity $\ \delta\hat{\mathbf{T}}\ ^2$ | $\delta\rho_0$                                | Transverse ideal resolution                 |
| $\mathbf{i}$                   | Input basis coordinate   | $t$   | Time  |
| $\mathbf{o}$                   | Output basis coordinate  | $\gamma$                                      | Medium reflectivity                         |
| $\sigma$                       | Virtual reflector reflectivity                                 | $\boldsymbol{\theta}$                         | Plane wave basis                            |
| $l_c$                          | Confocal filter size   | $\mathbf{k}$                                  | Fourier basis                               |
| ITR                            | Iterative Time Reversal  | $f_c$   | Central frequency                           |
| IPR                            | Iterative Phase Reversal                                       | $\beta$                                       | Background on RPSF intensity                |
| $r_p$                          | Midpoint of spatial window $\mathcal{W}$                       | $\theta_{max}$                                | Directivity of transducers                  |
| $\Delta\rho$                   | Distance input/output  | $\delta\theta$                                | Plane wave sampling                         |
| <b>Mathematical operators</b>  |  | $\delta u$                                    | Transducer pitch                            |
| $\times$                       | Matrix product   | $\Delta\mathbf{u} = (\Delta u_x, \Delta u_y)$ | Probe dimension                             |
| $\circ$                        | Hadamard product   | $C$   | Coherence factor                            |
| $\circledast$                  | Convolution product  | $f_c$   | Central frequency                           |
| $\dagger$                      | Transpose conjugate of a matrix                                | $\mathcal{A}_{-3dB}$                          | Area above 1/2 on RPSF amplitude            |
| $\hat{\phantom{x}}$            | Estimator of a physical quantity                               | $\delta\rho_{-3dB}$                           | RPSF resolution                             |
| $\langle \rangle$              | Ensemble average   | $\mathcal{C}$                                 | RPSF contrast                               |
| SVD                            | Singular Value Decomposition                                   | $\alpha_S$                                    | Single scattering rate                      |
| $T$                            | Matrix transpose   | $\alpha_M$                                    | Multiple scattering rate                    |
| $\mathbf{U}^{(n)}$             | $n^{th}$ singular vector of a matrix                           | $\alpha_N$                                    | Electronic noise rate                       |
|                                |  | $\mathcal{W}$                                 | Spatial average window function             |
|                                |  | $N_{\mathcal{W}}$                             | Number of resolution cells in $\mathcal{W}$ |
|                                |  | $\mathbf{w} = (w_x, w_y, w_z)$                | Dimension of $\mathcal{W}$                  |
|                                |  | $\tau$  | Time-delay                                  |
|                                |  | $A$   | Apodization term of synthetic aperture      |
|                                |  | $N_u$   | Number of transducers                       |

TABLE S5. List of symbols

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