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To cite this version:
Paul Magron, Tuomas Virtanen. Spectrogram Inversion for Audio Source Separation via Consistency, Mixing, and Magnitude Constraints. 2023. hal-04015991v2

HAL Id: hal-04015991
https://hal.science/hal-04015991v2
Preprint submitted on 17 Oct 2023

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Spectrogram Inversion for Audio Source Separation via Consistency, Mixing, and Magnitude Constraints

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Abstract—Audio source separation is often achieved by estimating the magnitude spectrogram of each source, and then applying a phase recovery (or spectrogram inversion) algorithm to retrieve time-domain signals. Typically, spectrogram inversion is treated as an optimization problem involving one or several terms in order to promote estimates that comply with a consistency property, a mixing constraint, and/or a target magnitude objective. Nonetheless, it is still unclear which set of constraints and problem formulation is the most appropriate in practice. In this paper, we design a general framework for deriving spectrogram inversion algorithms, which is based on formulating optimization problems by combining these objectives either as soft penalties or hard constraints. We solve these by means of algorithms that perform alternating projections on the subsets corresponding to each objective/constraint. Our framework encompasses existing techniques from the literature as well as novel algorithms. We investigate the potential of these approaches for a speech enhancement task. In particular, one of our novel algorithms outperforms other approaches in a realistic setting where the magnitudes are estimated beforehand using a neural network.

Index Terms—Audio source separation, spectrogram inversion, phase recovery, alternating projections, speech enhancement.

I. INTRODUCTION

Audio source separation consists in extracting the underlying sources that add up to form an observed audio mixture. Typical source separation approaches use a deep neural network (DNN) to estimate a nonnegative mask that is applied to a time-frequency (TF) representation of the audio mixture, such as the short-time Fourier transform (STFT) [1]. Alternatively, complex-valued DNNs jointly process the real and imaginary parts of the STFT [2], and end-to-end networks operate in the time domain directly, where the STFT is replaced with learned filterbanks [3], [4]. Nonetheless, it was recently shown that nonnegative TF masking remains interesting, since it yields competitive results with lighter and more interpretable networks [5], [6].

Such a masking results in assigning the mixture’s STFT phase to each isolated source, which induces residual interference and artifacts in the estimates. Consequently, a significant research effort has been put on phase recovery, also called spectrogram inversion. While recent approaches mostly rely on deep phase models [7] or neural vocoders [8], in this work we focus on optimization-based iterative algorithms [9]. Indeed, these remain powerful since they can be either used as a light post-processing, unfolded within end-to-end systems [10], [11], or combined with the above-mentioned deep phase models.

Iterative spectrogram inversion algorithms are usually derived as solutions to optimization problems involving one or several terms that promote desirable properties in the TF domain. For instance, the multiple input spectrogram inversion (MISI) algorithm [9] minimizes a measure of magnitude spectrogram mismatch under a mixing constraint (the estimates must add up to the mixture). Alternatively, the authors in [12] relax the mixing constraint into a soft penalty that is added to a consistency [13] term. Conversely, [14] considers a hard magnitude constraint and discards the consistency criterion. Nonetheless, it is still unclear which set of constraints and problem formulation is the most appropriate in practice.

In this paper, we design a general framework for deriving spectrogram inversion algorithms. We consider three objectives in the TF domain: mixing, consistency, and magnitude match, and we formulate optimization problems by combining these objectives either as soft penalties or hard constraints. We then derive an auxiliary function for each term, which allows to solve the corresponding optimization problems by means of alternating projection algorithms. While this framework encompasses existing techniques from the literature, it also allows to derive novel algorithms. We experimentally assess the potential of these algorithms for a speech enhancement task on an freely available audio corpus. In particular, one of our novel algorithms outperforms baseline MISI variants [9], [12] in a realistic setting where the magnitudes are estimated beforehand using a DNN.

The rest of this paper is structured as follows. Section II introduces the proposed framework, and algorithms are derived in Section III. Section IV presents the experimental results. Finally, Section V concludes the paper.

II. PROPOSED FRAMEWORK

A. Problem setting

Let us consider a monaural instantaneous mixture model:
s_{j,f,t}$. $F$ and $T$ respectively denote the number of frequency channels and time frames of the STFT. We assume that the sources’ STFT magnitudes $\mathbf{V}_j$ have been estimated beforehand, e.g., via a DNN. Then, spectrogram inversion consists in estimating the complex-valued sources $\mathbf{S} = \{\mathbf{S}_1, \ldots, \mathbf{S}_{J}\}$ in order to further invert these for retrieving time-domain signals. To perform this task, we search for source estimates that comply with the following properties:

- **Mixing:** the estimates should sum up to the mixture according to (1), so that there is no creation or destruction of energy overall. Such estimates are said to be **conservative**.
- **Consistency:** the estimates should be consistent [13], that is, the corresponding complex-valued matrices should be the STFT of time-domain signals.
- **Magnitude match:** the magnitudes of the estimates should remain close to the target magnitudes $\mathbf{V}_j$ that have been estimated beforehand.

We propose to define a loss function corresponding to each objective in an optimization framework, and to combine these either as soft penalties or hard constraints. To solve the corresponding optimization problems, we resort to the auxiliary function method, which has shown powerful for spectrogram inversion [13]–[15]. In a nutshell, if we consider minimization of a function $\phi$ with parameters $\theta$, this approach consists in constructing and minimizing an **auxiliary function** $\phi^+$ with additional auxiliary parameters $\tilde{\theta}$ such that $\forall \theta, \phi(\theta) = \min_{\tilde{\theta}} \phi^+(\theta, \tilde{\theta})$. Then, it can easily be shown that $\phi$ is non-increasing under the following update scheme:

$$
\tilde{\theta} \leftarrow \arg \min_{\tilde{\theta}} \phi^+(\theta, \tilde{\theta}) \quad \text{and} \quad \theta \leftarrow \arg \min_{\theta} \phi^+(\theta, \tilde{\theta}).
$$

For a clarity purpose, this paper focuses on formulating the optimization problems and providing the algorithms’ updates; a supporting document details the mathematical derivations.\(^1\)

### B. Mixing error

We consider the following mixing error:

$$
h(S) = ||X - \sum_j \mathbf{S}_j||^2,
$$

where $||.||$ is the Frobenius norm. Let us consider auxiliary parameters $\mathbf{Y} = \{Y_1, \ldots, Y_J\}$ such that $\sum_j Y_j = \mathbf{X}$, and positive weights $\mathbf{A}_j = \{\lambda_{j,f,t}\}_{f,t}$ such that $\sum_j \lambda_{j,f,t} = 1$. We define $h^+$ as follows:

$$
h^+(S, Y) = \sum_{j,f,t} \frac{||y_{j,f,t} - s_{j,f,t}||^2}{\lambda_{j,f,t}}.
$$

Then, using the Jensen inequality, one can show that [14]:

$$
h(S) = \min_{Y} h^+(S, Y) \quad \text{s. t.} \quad \sum_j Y_j = X,
$$

which shows that $h^+$ is an auxiliary function for $h$. Besides, the auxiliary parameters’ update is given by [14]:

$$
Y_j = S_j + A_j \odot (X - \sum_k S_k),
$$

where $\odot$ denotes the element-wise matrix multiplication.

### C. Inconsistency

To promote consistent estimates, we consider the inconsistency measure defined in [13]:

$$
i(S) = \sum_j ||\mathbf{S}_j - G(\mathbf{S}_j)||^2,
$$

where $G$ is the STFT operator. It is proven [13] that $G(\mathbf{S}_j)$ is the closest consistent matrix to $\mathbf{S}_j$ in a least-square sense, that is:

$$
||\mathbf{S}_j - G(\mathbf{S}_j)||^2 = \min_{Z_j} ||\mathbf{S}_j - Z_j||^2 \quad \text{s. t.} \quad Z_j \in \mathcal{I},
$$

where $\mathcal{I}$ is the image set of the STFT operator. Therefore, $i^+(S, Z) = \sum_j ||\mathbf{S}_j - Z_j||^2$ is an auxiliary function for $i$, and the auxiliary parameters’ update is given by:

$$
Z_j = G(\mathbf{S}_j).
$$

### D. Magnitude mismatch

Finally, we consider the following loss for characterizing the magnitude mismatch:

$$
m(S) = \sum_j ||\mathbf{S}_j - \mathbf{V}_j||^2.
$$

We introduce a set of auxiliary parameters $U_j$ such that $|U_j| = V_j$. Then, drawing on [15], we have:

$$
||\mathbf{S}_j - \mathbf{V}_j||^2 = \min_{U_j} ||\mathbf{S}_j - U_j||^2 \quad \text{s. t.} \quad |U_j| = \mathbf{V}_j,
$$

and the minimum is reached for:

$$
U_j = \frac{\mathbf{S}_j}{|\mathbf{S}_j|} \odot \mathbf{V}_j.
$$

This proves that $m^+(S, U) = \sum_j ||\mathbf{S}_j - U_j||^2$ is an auxiliary function for $m$.

### III. Algorithms derivation

#### A. Mixing and consistency as objectives

First, let us ignore the magnitude constraint, and consider the following problem:

$$
\min_S h(S) + \sigma i(S),
$$

where $\sigma \geq 0$ is a weight adjusting the relative importance of the consistency constraint (for notation purposes, $\sigma = +\infty$ corresponds to an inconsistency objective only). Using our proposed framework, the problem rewrites:

$$
\min_{S,Y,Z} h^+(S, Y) + \sigma^+ i^+(S, Z) \quad \text{s. t.} \quad \sum_j Y_j = X \quad \text{and} \quad Z_j \in \mathcal{I}.
$$

The updates for $Y$ and $Z$ have been derived previously (see Section II-B and II-C), thus we only need to obtain the update for $S$. To do so, we set the partial derivative of the objective function in (13) with respect to $S_j$ at 0 and solve, which yields:

$$
S_j = Y_j + \sigma \Lambda_j \odot Z_j
$$

where division is meant element-wise. Therefore, alternating updates (6), (8), and (14) yields an iterative procedure that solves (12). We call it **Mix+Incons**, and we remark that:

\(^2\)Note that we recently investigated alternative magnitude discrepancy measures [16], but we focus on the Frobenius norm in this study.
• This procedure is similar to the first version of the consistent Wiener filtering [13], which forces the estimates to be close to Wiener filter estimates instead of \( Y_j \). Nonetheless, both sets of estimates are conservative.
• Choosing \( \sigma = 0 \) or \( \sigma = +\infty \) leads to \( S_j = Y_j \) and \( S_j = Z_j \), respectively. These non-iterative estimators are termed “mixture-consistent projection” and “STFT-consistent projection” in [17]. Thus, the general update given by (14) allows for a smooth trade-off between these.

B. Mixing and consistency with a hard magnitude constraint

We now incorporate an additional hard magnitude constraint into (12) by means of the method of Lagrange multipliers. This results in finding a critical point for:

\[
\begin{align*}
    h^+(S, Y) + \sigma i^+(S, Z) + \sum_{j,f,t} \delta_{j,f,t} |s_{j,f,t}|^2 - v_{j,f,t},
\end{align*}
\]

where \( \{\delta_{j,f,t}\}_{j,f,t} \) are the Lagrange multipliers. We set the partial derivative of (15) with respect to \( S_j \) at 0 and solve, which yields:

\[
S_j = \frac{Y_j + \sigma A_j \odot Z_j}{|Y_j + \sigma A_j \odot Z_j|^2} \odot V_j.
\]

We call this procedure \textit{Mix+Incons\_hardMag}. Note that:
• This procedure is equivalent to the modified MlSi algorithm from [12], which however treats the weights \( A \) as unknown parameters and updates them at each iteration.
• If \( \sigma = +\infty \), the procedure boils down to applying the well-known Griffin-Lim update [18] to each source independently without mixing constraint.
• If \( \sigma = 0 \), the procedure reduces to our previous “PU-lter” [14], whichdiscards the consistency constraint.

C. Consistency objective with a hard mixing constraint

Now, let us consider an inconsistency-only objective function, where mixing is treated as a hard constraint. Note that in this setup, we do not consider an additional hard magnitude constraint since this yields an ill-posed problem.\(^3\) As above, we treat this problem with the method of Lagrange multipliers, which eventually yields:

\[
S_j = Z_j + \frac{1}{J} (X - \sum_k Z_k),
\]

where the update for \( Z \) is given by (8). We call this method \textit{Incons\_hardMix}, and we remark that:
• This approach is non-iterative, since the set of consistent matrices is a vector space and \( Z_j \) is consistent by construction.
• It is equivalent to the successive application of the STFT-and mixture-consistent projections used in [17].
• The update (17) is similar to (6) with fixed weights \( A_j = 1/J \), which is expected when using mixing as a hard constraint [9].

\(^3\)Indeed, one can verify on a simple example \((J = 2, v_1 = v_2 = 1, \text{and } x = 4)\) that there is no solution that satisfies both constraints in general.

D. Magnitude objective with a hard mixing constraint

Finally, we consider the magnitude mismatch as the main objective under a hard mixing constraint. Since incorporating an additional hard consistency constraint would eventually yield MISI [15], we focus here on a soft consistency penalty:

\[
\min_S m(S) + \sigma i(S) \quad \text{s. t.} \quad \sum_j S_j = X.
\]

Still using the method of Lagrange multipliers, we obtain:

\[
\begin{align*}
    W_j &= \frac{1}{1 + \sigma} (U_j + \sigma Z_j), \\
    S_j &= W_j + \frac{1}{J} \left( X - \sum_k W_k \right),
\end{align*}
\]

where \( Z_j \) and \( U_j \) are given by (8) and (11). We call this procedure \textit{Mag+Incons\_hardMix}.

Remark: Let us note that if we discard the consistency constraint \((\sigma = 0)\) and initialize the estimates using an amplitude mask (see Section IV-A), then the estimator becomes:

\[
S_j = \left( V_j + \frac{1}{J} (|X| - \sum_k V_k) \right) \frac{X}{|X|},
\]

which is non-iterative and assigns the mixture’s phase to each source, therefore it does not improve phase recovery.

E. Summary of the algorithms

We summarize in Table I the updates performed by these various algorithms using the following magnitude, consistency, and mixing projectors:

\[
\begin{align*}
    \mathcal{P}_{\text{mag}}(S) &= \left\{ \frac{S_j}{|S_j|} \odot V_j \right\}_j, \\
    \mathcal{P}_{\text{cons}}(S) &= \left\{ G(S_j) \right\}_j, \\
    \mathcal{P}_{\text{mix}}(S) &= \left\{ S_j + A_j \odot (X - \sum_k S_k) \right\}_j.
\end{align*}
\]

IV. EXPERIMENTS

In this section, we assess the potential of our algorithms for a speech enhancement task, a particular case of source separation with \( J = 2 \) sources (speech and noise). Note that this framework remains applicable to other source separation scenarios such as speech [1] or music [19] separation. We provide our code and sound examples online.\(^4\)

A. Protocol

\textit{Data}: As acoustic material, we build mixtures of clean speech and noise. We randomly select 100 utterances from the VoiceBank set [20] to create the clean speech, and we select three real-world environments noise signals (living room, bus, and public square) from the DEMAND dataset [21]. For each clean speech signal, we randomly select a noise excerpt cropped at the same length than that of the speech signal. We then mix the two signals at various input signal-to-noise

\(^4\) https://github.com/magroup/spectrogram-inversion
TABLE I: Alternating projection algorithms for spectrogram inversion, where particular cases from the literature are indicated in italics. \(\Lambda_j\) denotes mixing weights that can be hand-tuned. Note that all algorithms exhibit a similar computational cost, which is dominated by the calculation of the STFT / iSTFT, thus consistency-free approaches are slightly faster.

<table>
<thead>
<tr>
<th>Algorithm</th>
<th>Reference</th>
<th>Consistency weight</th>
<th>Mixing weights</th>
<th>Iterative</th>
<th>Update formula</th>
</tr>
</thead>
<tbody>
<tr>
<td>MISO</td>
<td>[9]</td>
<td>no</td>
<td>1/J</td>
<td>yes</td>
<td>(\mathcal{P}<em>{\text{mix}}(\mathcal{P}</em>{\text{mag}}(\mathcal{P}_{\text{cons}}(S))))</td>
</tr>
<tr>
<td>Mix+Incons</td>
<td>(\sigma)</td>
<td>({\Lambda_j}_j)</td>
<td>yes</td>
<td>(1 + \sigma \Lambda) (\odot \mathcal{P}<em>{\text{mix}}(S) + \sigma \mathcal{P}</em>{\text{cons}}(S))</td>
<td></td>
</tr>
<tr>
<td>Mixture-consistent projection</td>
<td>[17]</td>
<td>(\sigma = 0)</td>
<td>no</td>
<td>no</td>
<td>(\mathcal{P}_{\text{mix}}(S))</td>
</tr>
<tr>
<td>STFT-consistent projection</td>
<td>[17]</td>
<td>(\sigma = +\infty)</td>
<td>no</td>
<td>no</td>
<td>(\mathcal{P}_{\text{cons}}(S))</td>
</tr>
<tr>
<td>Mix+Incons_hardMag</td>
<td>(\sigma)</td>
<td>({\Lambda_j}_j)</td>
<td>yes</td>
<td>(\mathcal{P}<em>{\text{mag}}(\mathcal{P}</em>{\text{mix}}(S) + \sigma \Lambda \odot \mathcal{P}_{\text{cons}}(S)))</td>
<td></td>
</tr>
<tr>
<td>Modified MISO</td>
<td>[12]</td>
<td>(\sigma)</td>
<td>optimized</td>
<td>yes</td>
<td>(\mathcal{P}<em>{\text{mag}}(\mathcal{P}</em>{\text{mix}}(S) + \sigma \Lambda \odot \mathcal{P}_{\text{cons}}(S)))</td>
</tr>
<tr>
<td>PU-Iter</td>
<td>[14]</td>
<td>(\sigma = 0)</td>
<td>({\Lambda_j}_j)</td>
<td>yes</td>
<td>(\mathcal{P}<em>{\text{mag}}(\mathcal{P}</em>{\text{mix}}(S)))</td>
</tr>
<tr>
<td>Incons_hardMix</td>
<td>[17]</td>
<td>no</td>
<td>1/J or ({\Lambda_j}_j) or learned</td>
<td>no</td>
<td>(\mathcal{P}<em>{\text{mix}}(\mathcal{P}</em>{\text{cons}}(S)))</td>
</tr>
<tr>
<td>Mag+Incons_hardMix</td>
<td>(\sigma)</td>
<td>1/J</td>
<td>yes</td>
<td>(1/(1 + \sigma) (\mathcal{P}<em>{\text{mag}}(\mathcal{P}</em>{\text{mix}}(S) + \sigma \mathcal{P}_{\text{cons}}(S))))</td>
<td></td>
</tr>
</tbody>
</table>

TABLE II: Test results (SDR in dB).

<table>
<thead>
<tr>
<th></th>
<th>(\text{ISNR} = 10)</th>
<th>(\text{ISNR} = 0)</th>
<th>(\text{ISNR} = -10)</th>
</tr>
</thead>
<tbody>
<tr>
<td>AM</td>
<td>18.7</td>
<td>13.5</td>
<td>7.7</td>
</tr>
<tr>
<td>MISO</td>
<td>19.6</td>
<td>14.1</td>
<td>7.7</td>
</tr>
<tr>
<td>Mix+Incons</td>
<td>19.3</td>
<td>13.7</td>
<td>8.1</td>
</tr>
<tr>
<td>Mix+Incons_hardMag</td>
<td>18.7</td>
<td>13.8</td>
<td>7.9</td>
</tr>
<tr>
<td>Incons_hardMix</td>
<td>19.6</td>
<td>13.9</td>
<td>7.5</td>
</tr>
<tr>
<td>Mag+Incons_hardMix</td>
<td>19.6</td>
<td>14.1</td>
<td>7.7</td>
</tr>
</tbody>
</table>

\(\sigma = 0\) [14]. This demonstrates the interest of our framework, which allows for deriving general algorithms that outperform their special cases found in the literature.

Besides, Fig. 1d reveals that the algorithms exhibit a different behavior over iterations. Indeed, while for most methods, the SDR steadily increases over iterations, MISO reaches its peak performance after very few iterations, and then its performance drops severely. This was notably observed in [12]; nonetheless, the modified MISO algorithm introduced in this paper (which is similar to Mix+Incons\_hardMag) was compared to MISO after 200 iterations, which is somewhat unfair to MISO. Here, we select the optimal weight and number of iterations for each algorithm in order to run them on the test set in a more fair setup.

From the test results presented in Table II, we remark that MISO achieves the best performance at high or moderate iSNR, i.e., when the spectrograms are rather accurately estimated. We also observe that Mag+Incons\_hardMix can be an interesting alternative to MISO: indeed, both algorithms perform similarly in terms of SDR, but the latter is easier to tune, as is evident from its steady behavior over iterations and stability with respect to \(\sigma\) (see Fig. 1). The Incons\_hardMix algorithm, which was used for end-to-end source separation in [17], reveals interesting at high iSNR since it is non-iterative and yields similar results to MISO. However, it performs the worst at low iSNR, where a baseline AM is preferable. On the other hand, while Mix+Incons\_hardMag improves over MISO at low iSNR, it becomes less interesting when spectrograms are more accurately estimated (iSNR = 0 or 10 dB), which differs from the results of [12]. This difference
might be explained by the usage of a different magnitude estimation technique which is of paramount importance in phase recovery [14] (spectral subtraction in [12] vs. a DNN here); and finally, we note that the proposed Mix+Incons allows to mitigate this SDR drop at high iSNR, while it further improves the performance at low iSNR by 0.2 dB over the previously best performing approach. It should be noted that this technique does not exploit the magnitude projector, and only relies on the estimated magnitudes $V_j$ via its initialization. Therefore, the algorithm allows for deviation from these magnitude values, which might explain its good performance at low iSNR, since magnitude are estimated with a lower accuracy in this case.

V. CONCLUSION

In this paper, we introduced a general framework for deriving alternating projection algorithms for spectrogram inversion using consistency, mixing, and magnitude constraints. This framework encompasses existing techniques from the literature, but also yields novel algorithms, among which some appear as promising alternatives to baseline spectrogram inversion approaches. Future work will be devoted to adapt these algorithms to operate online [15] in order to combine them with model-based phase priors [7], [14]. We will also unfold them into deep networks for end-to-end separation [10], where supervised learning can be leveraged to optimize the consistency and mixing weights.

REFERENCES