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# Management of Pathogenic CDH1 Variant Carriers Within the **FREGAT** Network

A Multicentric Retrospective Study

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Objective: To describe the management of pathogenic CDH1 variant carriers (pCDH1vc) within the FREGAT (FRench Eso-GAsTric tumor) network. Primary objective focused on clinical outcomes and pathological findings, Secondary objective was to identify risk factor predicting postoperative morbidity (POM).

Background: Prophylactic total gastrectomy (PTG) remains the recommended option for gastric cancer risk management in pCDH1vc with, however, endoscopic surveillance as an alternative.

Methods: A retrospective observational multicenter study was carried out between 2003 and 2021. Data were reported as median (interquartile range) or as counts (proportion). Usual tests were used for univariate analysis. Risk factors of overall and severe POM (ie, Clavien-Dindo grade 3 or more) were identified with a binary logistic regression.

Results: A total of 99 patients including 14 index cases were reported from 11 centers. Median survival among index cases was 12.0 (7.6-16.4)

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 \*\*\*Department of Pathology, Sorbonne CHU Rennes, Rennes, France; Université, Hôpital Pitié-Salpétrière, AP-HP, Paris, France; ‡‡‡Depart-ment of Pathology, Institut Universitaire du Cancer-Oncopole de Toulouse; Centre Hospitalier Universitaire (CHU), Toulouse, France; §§§Department of Pathology, CHU Saint Louis, AP-HP, Paris, France; [||||Department of Pathology, CHU Clermont Ferrand, Clermont Fer-rand, France; ¶¶¶Department of Pathology, CHU Caen, Caen, France; ###Department of Pathology, CHU Brest, Brest, France; months with most of them having peritoneal carcinomatosis at diagnosis (71.4%). Among the remaining 85 patients, 77 underwent a PTG [median age = 34.6 (23.7-46.2), American Society of Anesthesiologists score 1: 75%] mostly via a minimally invasive approach (51.9%). POM rate was 37.7% including 20.8% of severe POM, with age 40 years and above and low-volume centers as predictors (P = 0.030 and 0.038). After PTG, the cancer rate on specimen was 54.5% (n = 42, all pT1a) of which 59.5% had no cancer detected on preoperative endoscopy (n = 25).

Conclusions: Among pCDH1vc, index cases carry a dismal prognosis. The risk of cancer among patients undergoing PTG remained high and unpredictable and has to be balanced with the morbidity and functional consequence of PTG.

Keywords: CDH1 mutation, gastrectomy, morbidity, prophylactic surgery

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 Gastric cancer (GC) is the fifth most frequently diagnosed cancer worldwide and the third leading cause of cancer
 death.<sup>1</sup> The vast majority of GCs are sporadic, but it has now been established that 1% to 3% of GCs arise as a result of inherited cancer predisposition syndromes.<sup>2</sup> Hereditary Diffuse Gastric Cancer (HDGC) was first described in a New Zealand Maori family in 1998. Most confirmed HDGC cases are caused by inactivating germline mutations in the *CDH1* tumor suppressor gene, encoding the tumor suppressor protein e-cadherin

that has functions in cell-to-cell adhesion and signal 11 transduction.<sup>3</sup> The cumulative risk of HDGC for pathogenic *CDH1* variant carriers (pCDH1vc) by the age of 80 was reported 13 to be as high as 67% to 70% for men and 56% to 83% for women

in families with  $\geq 3$  cases of HDGC or fulfilling the 2010 15 International Gastric Cancer Linkage Consortium (IGCLC) genetic testing criteria.<sup>4,5</sup> However, recent studies with less

stringent selection criteria estimated the GC penetrance to be 37.2% to 42% for males and 24.7% to 33% for females.<sup>6,7</sup> Of note, once HDGC is present, this signet ring cell (SRC) histo-

19 note, once HDGC is present, this signet ring cell (SRC) histological type is associated with a devastating prognosis.<sup>8,9</sup> CDH1
21 mutations also increase the lifetime risk of developing lobular

breast cancer in women ranging from 39% to 55%.<sup>4–7</sup>

According to the updated clinical practice published in 2020, pCDH1vc from families with confirmed HDGC should be advised to consider a prophylactic total gastrectomy (PTG), irrespective of endoscopic findings.<sup>10</sup> When possible, surgery is recommended in early adulthood, generally between the age of 20 and 20 upper old preferable when another is equal to be

20 and 30 years old, preferably when growth is completed to 29 avoid malnutrition consequences.<sup>2,11</sup> Because of the risk of postoperative morbidity (POM) and mortality associated with

31 PTG and the risk of malnutrition along with a decrease in quality of life, some patients, and even physicians, may be
33 reluctant to consider this major procedure. If PTG is declined or

delayed, annual endoscopic surveillance can be proposed but, 35 even when meticulously performed, the effectiveness is uncertain<sup>10,12</sup> Although surveillance in expert centers suggests

that superficial SRC carcinoma lesions can be indolent for several years, the rate of progression is unpredictable.<sup>13</sup> Con sequently, patients must be informed that endoscopic surveil-

lance could delay the identification and treatment of GC. Thus, 41 the timing of PTG before the onset of cancer remains difficult to evaluate and data in recent literature regarding the best strategy

for pCDH1vc are scarce. So far, few large surgical series (>20 patients) of PTG have been published.<sup>11,14–18</sup> Most of them were
 monocentric and did not analyze index patients nor patients

declining surgery.
47 The aim of our study was to describe the management of pCDH1vc within the FREGAT (French Eso-GasTric tumor)

49 network. The primary objective focused on clinical outcomes and pathological findings. A secondary objective was to identify51 risk factors predicting POM.

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### METHODS

### Population of the Study

57 The survey was conducted within the FREGAT (FRench Eso-GAsTric tumor) network. The 38 centers were solicited by
59 e-mail to clinicians with 2 reminders. Inclusion criteria was pCDH1vc followed-up between September 2003 and December
61 2021. We defined index cases as patients with HDGC in which

and newly identified. Known pCDH1vc were separated into

PTG, and endoscopic surveillance groups. Screening of patients

was performed following the recommendations of the IGCLC 65 that have been regularly updated since 1999 and mostly concerned patients with familial history of diffuse GC or lobular 67 breast cancer and more recently cleft lip/palate.<sup>2,10,19,20</sup>

### Management of Patients and Data Collection

Data collection included baseline characteristics: sex, age 71 and body mass index at the time of surgery, American Society of Anesthesiologists (ASA) grade score,<sup>21</sup> smoking habits, personal 73 and family history of cancer, including breast cancer and/or GC 75 with histological type. Weight loss and potential accompanying symptoms were also recorded. Data regarding preoperative endoscopy (macroscopic abnormalities, number and character-77 istics of biopsies (positivity, location, targeted vs random), use of 79 image enhancing technics (IET) (chromoendoscopy and more recently virtual chromoendoscopy) were also collected. Intraoperative data collection included surgical approach (open, 81 laparoscopic or robotic and/or converted), extent of lymphadenectomy, type of anastomosis, creation of a jejunal pouch, 83 associated procedure, occurrence of intraoperative complication, 85 and length of procedure.

In-hospital mortality and POM were defined as death or complications during the same hospital admission or before postoperative day 30. Ninety-day mortality was reported. Complications were graded according to Clavien-Dindo classification<sup>22</sup> and classified according to the Gastrectomy Complications Consensus Group.<sup>23</sup> Length of hospital stay and the need for readmission were also recorded as well as oncological data.

In this retrospective multicentric study, there was no standardized histological protocol for the management of the 95 specimen. We retrospectively classified the level of pathological 97 examination into 3 levels in accordance with the recently updated clinical practice (1: minimal examination for patient care, 2: intermediate, 3: total gastric embedding and mapping).<sup>10</sup> 99 The number of histological blocks was recorded. Histopathological analysis included the presence of SRC foci in PTG 101 specimens and the number and positivity rate of lymph nodes 103 retrieved. All cases of adenocarcinoma were classified according to the eighth International Union Against Cancer (UICC)/ American Joint Committee on Cancer (AJCC) classification.<sup>24</sup> 105

To assess the impact of PTG, the patient's weight was measured preoperatively and during 1- and 6-month follow-up visits, and every 6 months until the second postoperative year, then annually for 3 more years. 109

## **Statistical Analysis**

Results are reported as median (interquartile range) or as counts (proportion). In univariate analysis, categorical data were 113 compared by the  $\chi^2$  test or Fisher exact test. For continuous data, the independent-samples t test or nonparametric Mann-115 Whitney test were used. Risk factors of overall and severe 117 postoperative complications (ie, Clavien-Dindo grade 3 or more) among patients operated in a prophylactic setting were identified with a binary logistic regression. The association between the 119 occurrence of cancer and age were evaluated using the Mann-Whitney test when age was considered as a continuous variable 121 and using a  $\chi^2$  test when age was dichotomized using the R-package Evaluate cutpoints.<sup>25</sup> Number of gastrectomies for 123 cancer in the participating centers were evaluated using the 125 PMSI (Programme de Médicalisation des Systèmes d'Information database as previously described.<sup>26</sup> Determination of highvolume versus low-volume centers was based on the mean 127 number of total gastrectomies for cancer performed annually

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 using the R-package Evaluate cutpoints.<sup>25</sup> Survival and followup were calculated according to Kaplan-Meier and Shempers
 methods, respectively. All tests were 2 sided at a 0.05 significance

level. All statistical analyses were otherwise performed using 5 SPSS, version 22.0 (IBM Corp., Armonk, NY).

5 51 55, version 22.0 (IDivi Corp., Almonk, NY).

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## RESULTS

### **Overall Population**

Among the 15 centers that responded to the survey, 4 declared that they had no patients that met the inclusion criteria. Ninety-nine pCDH1vc from were identified in 11 centers that included between 1 and 31 patients each. Among these, 14
were index cases. Eighty-five patients were pCDH1vc from either a confirmed HDGC family (94.8%) or hereditary lobular breast cancer family (5.2%). Type of mutation in 15 families are detailed in the additional Table 1. Among these, 77 underwent

19 PTG (90.5%) and 8 patients declined surgery and were therefore monitored by annual endoscopic surveillance. In the same period
21 4548 gastrectomies for cancer were performed in those centers.

## <sup>23</sup> Index Patients

Considering the 14 index cases, 9 of them were men. 25 Median age was 41 (31.6-48.4) years. All of them were ASA 1 or 2. The median weight lost observed at the time of diagnosis was 27 8.5%. Overall, 71.4% had peritoneal carcinomatosis. Seven patients had diffuse peritoneal carcinomatosis at the time of 29 diagnosis and exclusively received palliative chemotherapy and/ or best supportive care. Among the 7 patients that underwent 31 resection, 4 had preoperative chemotherapy. Three of them had localized peritoneal carcinomatosis that was resected during 33 gastrectomy [cytoreduction alone (n=1): recurrence at 5 months, died at 8.9 months; cytoreduction+hyperthermic 35 intraperitoneal chemotherapy (n=1): no recurrence after 27.7 months; cytoreduction+immunotherapy with catumax-37 omab within a phase 2 protocol<sup>27</sup> (n = 1): relapsed at 1.8 months and died at 4.9 months]. During the postoperative course, 39 4 patients experienced complications, including 1 anastomotic leak requiring revisional surgery. There was no postoperative 41 mortality. Median length of stay was 14.5 (11.5-30) days.

Family ID	CDH1 Variant*	Predicted Consequence
1	c.1565+2dup	r.spl, p.?
2	c.(?124)_(163+1_164-1)del (del exons 1 and 2)	p.0?
3	c.2386del	p.(Arg796Glyfs*20)
4	c.1901C>T	p.(Ala634Val)
5	c.1595G>A	p.(Trp532*)
6	c.1147C>T	p.(Gln383*)
7	c.1565+1G>A	r.spl, p.?
8	c.1565+1G>C	r.spl, p.?
9	c.603del	p.(Val202Leufs*13)
10	c.(163+1_164-1)_(687+1_688-1) del (del exons 3 to 5)	p.(Val55Alafs*14)
11	c.187C>T	p.(Arg63*)
12	c.531+2T > A	r.spl, p.?
13	c.(1565+1_1566-1)_(1711 +1_1712-1)del (del exon 11)	p.(Tyr523Phefs*16)
14	c.2195G > A	p.(Arg732Gln)
15	c.1565+1G>A	r.spl, p.?

Pathological analysis revealed only 2 limited tumors 65 (pT1aN0M0, n = 1 and pT2N0M0, n = 1), the rest was categorized as pT3 or more and/or pN+ tumors. Median survival 67 among all index cases was 12 (7.6–16.4) months. Only 4 patients are still alive, respectively, 16, 7, 5 years, and 6 months after 69 surgery. When excluding the 2 limited tumors median survival was 9 (7.5–10.5) months. 71

## Patients Undergoing PTG

Characteristics of the 77 patients who underwent PTG are summarized in Table 2. Most patients were female (sex ratio: 75 1.14/1) with a median age at surgery of 34.6 (23.7–46.2) years. Almost half of the 77 patients had a family history of lobular 77 breast cancer (41.6%) and 94.8% had a family history of GC, but only 9 patients (11.7%) had a personal history of cancer. The 79 vast majority of patients were ASA grade 1 (75%).

Preoperative endoscopic surveillance was conducted in all 81 patients. Nine patients who had no macroscopic abnormalities had no random biopsies. The remaining 68 patients had either 83 random biopsies or targeted biopsies or both. Correlation between biopsies type (random vs targeted vs both) and results 85 (positive vs negative) according to the use of IET are detailed in Table 3. Overall, 20 patients (26%) had macroscopic abnor-87 malities on endoscopy. Among the 68 patients who underwent biopsies, 13 patients (19.1%) had preoperative endoscopic 89 biopsies with mucosal SRC foci. Biopsies had a higher risk to be positive in the case of targeted and random biopsies or in the 91 case of targeted biopsies only (respectively, 28.6% vs 66.7% vs 10.4%; P = 0.003). Adding IET did not improve the detection of 93 precancerous lesions because of a lower specificity (61.9% with IET vs 8.2% without IET). When evaluating the results of 95 endoscopic biopsies compared with those in the surgical speci-97 men, sensitivity was 34%, specificity was 100%, positive predictive value was 100%, negative predictive value was 54.5%, 99 and accuracy was 63.2%.

Surgical data are detailed in Table 2. The majority of patients underwent a minimally invasive approach (51.9%), 101 mostly laparoscopically with a risk of conversion in 15.0%. Rate 103 of minimally invasive surgery increased during the study period (2003–2016: 47.1% and 2017–2021: 61.5%, P = 0.229). D1-modified and D2-modified lymphadenectomies were almost 105 equally performed at the time of resection (respectively, 50.6%) and 45.5% overall). A mechanical anastomosis was performed 107 preferentially (52.6%) with the addition of a jejunal pouch in 10 patients (13%). Intraoperative confirmation of esophageal squ- 109 amous mucosa in the proximal margin and duodenal mucosa in the distal margin was rarely performed (n = 16, 21.1%) and 111 n = 10, 13.2%, respectively).

Pathological analysis is detailed in Table 2. The median 113 number of blocks examined was 71 (26–124). Forty-two specimens (54.5%) exhibited features of SRC foci, all early stage 115 (pT1a). The median number of SRC foci was 6 (2–13). We did not observe any significant correlation between age and the 117 occurrence of SRC foci (P=0.751). The median number of lymph nodes retrieved was 14 (9–21) with none of them being 119 positive. Presence of esophageal mucosa was noted in 86.8% of cases. 121 Intraoperative and postoperative complications are

detailed in Table 4. The 3-month postoperative complications are was 1.29%: one patient died after 1.5 month due to an unrelated cause. POM occurred in 29 patients (37.7%), including 125 16 patients (20.8%) in whom severe POM were observed. Eleven patients underwent revisional surgery. Median length of stay was 127 11 (9–15) days and decreased during the study period

Variables	Patients Undergoing PTG (N = 77) [n (%)]		
Patients characteristics			
Age at surgery	34.6 (23.7-46.2)		
[median (IQR)] (y)	· · · · · ·		
Sex			
Male	36 (46.8)		
Female	41 (53.2)		
Family history of diffuse GC	73 (94.8)		
Family history of lobular	32 (41.6)		
breast cancer			
Personal history of cancer	9 (11.7)		
BMI at time of surgery	24.7 (21.4–27.1)		
(kg/m <sup>2</sup> )	()		
ASA grade			
I	58 (75)		
ÎI	19 (25)		
Smoking history	19 (23)		
Current	11 (14.3)		
Past	5 (6.5)		
Never	61 (79.2)		
Surgical data	01 (79.2)		
Surgical approach			
Open	37 (48.1)		
Laparoscopic	27 (35.1)		
Laparoscopic with	5 (6.5)		
conversion	5 (0.5)		
Robotic	7 (9.0)		
Robotic with conversion	1 (1.3)		
Lymphadenectomy	1 (1.5)		
D0	3 (3.9)		
D0 D1	39 (50.6)		
D1 D2			
	35 (45.5)		
Jejunal pouch	10 (13.0)		
Esophagojejunal			
anastomosis Manual	27 (49)		
	37 (48)		
Mechanical	40 (52)		
Additional procedure Cholecystectomy	21(27,2)		
5 5	21(27.3)		
Feeding Jejunostomy	7 (9.1)		
Duration of surgery [median	240 (185–308)		
(IQR)] (min)			
Pathological analysis	12 (54 5)		
Cancer in specimen	42 (54.5)		
No. harvested lymph nodes	14 (9–21)		
[median (IQR)]	0		
No. positive lymph nodes	0		
[median (IQR)]	66 (06 0)		
Presence of esophageal mucosa	66 (86.8) 1: 45 (58.4)		
Level of pathological examination <sup>10</sup>	1: 45 (58.4)		
examination <sup>10</sup>	2: 19 (24.7)		
	3: 10 (13.0)		
	Unknown: 3		
Weight loss [median (IQR)]			
1 mo	-9.76 (-13.13 to -4.69), n=		
6 mo	-15.18 (-23.78 to -8.92), n=		
12 mo	-14.07 (-23.67 to -7.97), n=		
18 mo	-12.39 (-21.18 to -3.11), n=		
24 mo	-14.94 (-20.51 to -2.5), n=		
36 mo	-13.64 (-17.65 to -6.66), n =		
48 mo	-14.04 (-20.45 to -7.64), n =		
60 mo	-14.03 (-17.53 to -8.20), n =		

TABLE 2. Description of Characteristics, Surgical Data,

Pathological Analysis, and Weight Loss in Patients Who

[2003–2016: 11 (10–14.5) days and 2017–2021: 10 (7.5–15) days, P = 0.091]. Thirteen patients (16.9%) were readmitted within 2 months following surgery. Ten patients presented with an esophagojejunal anastomotic fistula (13%) without significant differences between minimally invasive and open approach (15.2% vs 11.4%, P = 0.737). Two patients were reoperated for postoperative bowel obstruction. 71

Potential risk factors of overall or severe POM are showed in Table 5. Age 40 years and older (33.3% vs 12.8% P = 0.030) 73 and low-volume center (30% vs 10.8%, P = 0.038) were associated with a risk of severe POM. Rates of reoperation (22.5% vs 5.4%, P = 0.032) and esojejunal leakage (15% vs 10.8%, P = 0.739) were also worsen in low-volume centers. 77

The evolution of average weight loss following PTG is 79 represented on Figure 1. The decrease was essentially observed in the first 6 postoperative months. Patient weight then stabilized at around 85% of the preoperative value. Insertion of an 81 enteral feeding tube (n = 7) or adjunction of a jejunal pouch (n = 10) did not seem to have a significant impact on average 83 weight loss. The median follow-up for patients undergoing PTG was 30 (22.6-37.7) months. Except 1 patient who died of 85 other cause, all of them are alive without evidence of disease. Overall 3- and 5-year survival rate were both  $98.6 \pm 1.4\%$ . 87 Median overall survival and recurrence-free survival were 30.2 (11.7-54.7) months. 89

#### **Patients Declining Surgery**

Eight patients eligible for PTG declined surgery. Their median age was 49.3 (19.1–64.0) years with a median follow-up of 48 (12–54) months. One patient missed her annual endoscopy in 2020 because of the COVID-19 pandemic and was diagnosed a Siewert III cT2N+SRC adenocarcinoma at the endoscopy in 2021 without any symptoms after 8 years of surveillance. She is currently receiving a perioperative FLOT regimen. 99

#### DISCUSSION

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In this cohort of 99 pCDH1vc, most candidates for PTG underwent surgery (91.1%). We found a relatively lower rate of cancer (54.5%) on pathological specimen than in previous series. This risk remained high and unpredictable, despite the use of endoscopy even with targeted and random biopsies. The rate of minimally invasive gestrateory increased 107

The rate of minimally invasive gastrectomy increased 107 during the study period (47.1% vs 61.5%) without reaching the 109

<b>TABLE 3.</b> Correlation Between Type of Biopsies, Use of IET	111
During Endoscopy, and Result of Biopsies	111

	n (*		113	
	Negative Biopsies	Positive Biopsies	Total	115
Random biopsies				115
Without IET	30 (90.9)	3 (9.1)	33	117
With IET	13 (86.7)	2 (13.3)	15	117
Both	43 (89.6)	5 (10.4)	48	
Random and target		· · · ·		119
Without IET	4 (66.7)	2 (33.3)	6	
With IET	6 (75)	2 (25)	8	121
Both	10 (71.4)	4 (28.6)	14	
Targeted biopsies only				123
Without IET	0	3 (100)	3	120
With IET	2 (66.7)	1 (33.3)	3	125
Both	2 (33.3)	4 (66.7)	6	123
Total	55 (80.9)	13 (19.1)	68	127

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	No. Adverse Events	% of Adverse Events* (N = 77)	No. Adverse Event With Clavien-Dindo Score $\leq 2$	No. Adverse Event With Clavien-Dindo Score > 2
Intraoperative Unintended intraoperative damage to major vessels	1	1.3	NA	NA
and/or organs requiring reconstruction or resection				
Intraoperative bleeding requiring urgent treatment Unexpected medical conditions interrupting or changing the planned procedure				
Postoperative general complications Stroke causing patient's permanent deficit				
Need for CPR Myocardial infarction				
Cardiac dysrhythmia requiring invasive treatment Acute myocardial failure with acute pulmonary edema				
Pulmonary embolism Respiratory failure requiring reintubation	2	2.6	2	
Need for tracheostomy Pleural effusion requiring drainage				
Pneumothorax requiring treatment Need for prolonged intubation (>24 h after the surgical procedure)	1	1.3		1
Acute liver dysfunction (the Child–Pugh score >8 for longer than 48 h)				
Acute renal insufficiency/renal failure requiring CVVH/ dialysis				
Infections (gastrointestinal, respiratory, urinary, or other) with both symptoms and germ isolation Postoperative surgical complications	9	11.7	9	
Postoperative bleeding requiring invasive treatment	3	3.9		3
Postoperative bowel obstruction	3	3.9		3
Postoperative bowel perforation or necrosis				
Duodenal leak	1	1.3	2	1
Anastomotic leak Postoperative pancreatic fistula	10	13.0	3	7
Postoperative pancreatitis diagnosed both clinically and radiologically	1	1.3	1	
Other postoperative abnormal fluid from drainage and/ or abdominal collections without gastrointestinal	4	5.2	1	3
leak(s) preventing drainage removal or requiring treatment				
Delayed gastric emptying (by 10th postoperative day) Other major complications requiring reintervention or other invasive procedures				

statistical significance. However, rates of minimally invasive 49 surgery (51.9%) and median length of stay [11 (9–15)] compared favorably to French National Data recently published [12.88% 51

and 15 (11-22), respectively].<sup>26</sup> The overall and severe POM rate in our series were 37.7% and 20.8%, respectively, and the dis-53 tribution of complications were similar to those in previous series.<sup>11,14,17</sup> We classified complications according to the 55 recently published Gastrectomy Complications Consensus Group<sup>23</sup> and found a slightly higher risk of overall complication 57 (37.7% vs 29.8%).<sup>28</sup> However, total gastrectomy is a recurring 59 well-known risk factor for surgical complications. A 13% leakage rate of the esophagojejunal anastomosis was similar to what we observed in the FREGAT database concerning patients 61

undergoing total gastrectomy for cancer. In our cohort, the 2 risk factors for severe POM were age 40 years and above and 63

low-volume center (P = 0.030 and 0.039). Technical aspects such

as the minimally invasive approach or the type of anastomosis 113 did not have an impact on the POM risk.

The long-term nutritional outcome is another major issue 115 in this context. Overall median weight loss following total gastrectomy was 15%, which is similar to previous series of PTG.<sup>11,18,29</sup> After total gastrectomy for cancer, Davis et  $al^{29}$ 117 reported a 15% weight loss at an early stage and a 17% weight 119 loss at an advanced stage, with a maximum weight change expected within 12 months after surgery.<sup>24</sup> Adjunction of a 121 jejunal pouch and/or insertion of a feeding jejunostomy tube concerned few patients (13% and 9.1%, respectively) and cannot 123 lead to robust conclusions on their potential value to attenuate weight loss after PTG. Results regarding the interest of a feeding 125 tube on nutritional outcomes after total gastrectomy remain conflicting with a potential risk of increased POM<sup>30,31</sup> and 127 cannot, therefore, be advocated. In a recent metanalysis, Syn

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#### 1 65 TABLE 5. Univariate Analyses Testing Potential Risk Factors of Overall and Severe Postoperative Complications Among Patients Who Underwent PTG

	n (%)			n (%)		
	Overall Complication	No Overall Complication	 P	Severe Complication	No Severe Complication	— P
$Age \ge 40$	15 (51.7)	15 (31.3)	0.074	10 (62.5)	20 (32.8)	0.030
Sex: male vs female	15 (51.7)	16 (33.3)	0.111	7 (43.8)	24 (39.3)	0.749
Obesity $(BMI > 30)$ : yes vs no	3 (13)	9 (20.9)	0.519	1 (7.7)	11 (19)	0.444
Personal history of cancer: yes vs no	3 (10.3)	6 (12.5)	0.725	3 (18.8)	6 (9.8)	0.383
Smoker: yes vs no	4 (13.8)	12 (25)	0.240	2 (12.5)	14 (23)	0.499
ASA score 2 vs 1	7 (24.1)	12 (25)	0.932	3 (18.8)	16 (26.2)	0.747
Minimally invasive approach in ITT : yes vs no	16 (55.2)	24 (50)	0.660	8 (50)	32 (52.5)	0.861
Lymphadenectomy: D2-modified vs other	12 (41.4)	23 (47.9)	0.577	8 (50)	27 (44.3)	0.682
Pouch: yes vs no	3 (10.3)	7 (14.6)	0.734	1 (6.3)	9 (14.8)	0.678
Type of anastomosis: mechanical vs manual	16 (55.2)	24 (50)	0.450	10 (62.5)	30 (50)	0.374
Cholecystectomy: yes vs no	7 (24.1)	14 (29.2)	0.631	2 (12.5)	19 (31.1)	0.209
Jejunostomy: yes vs no	4 (13.8)	3 (6.3)	0.265	2 (12.5)	5 (8.2)	0.631
Cancer on specimen: yes vs no	16 (55.2)	26 (55.3)	0.990	8 (50)	34 (55.7)	0.682
Volume (> 25 total gastrectomies annually for cancer: yes vs no)	11 (37.9)	26 (54.2)	0.167	4 (10.8)	33 (54.1)	0.038

et al<sup>32</sup> showed that long-term functional and nutritional 25 outcomes after total gastrectomy were improved by adding a jejunal pouch, without compromising perioperative morbidity. 27 Its adjunction after PTG remains at the discretion of the surgeon.<sup>10</sup> It has already been emphasized that in patients who 29

had PTG, strict multidisciplinary follow-up including nutritional 89 evaluation should be applied, similarly to follow-up after bari-atric surgery.<sup>10,33</sup> Long-term survival data in the PTG pop-91 ulation was favorable with no surgery or disease-related death. Despite a relative long follow-up in patients declining surgery, 93

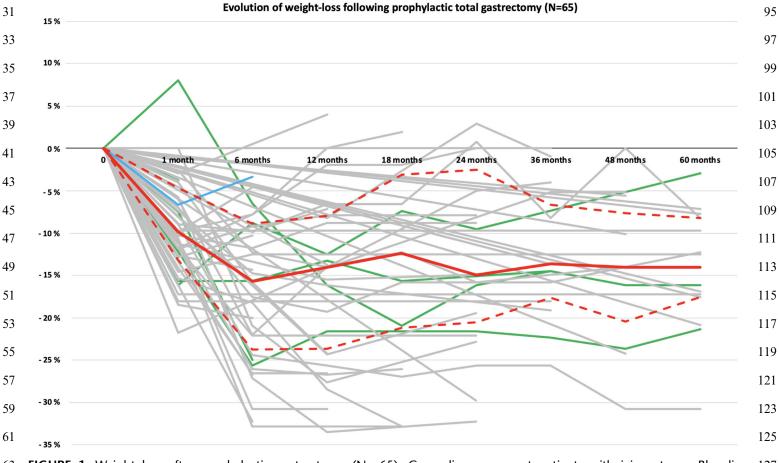


FIGURE 1. Weight loss after prophylactic gastrectomy (N=65). Green lines represent patients with jejunostomy. Blue line 127 63 represents patient with jejunal pouch.

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1 no definitive conclusion can be done concerning the oncological safety of this approach due to the low number of patients.

3 Despite these short-term and long-term concerns,

pCDH1vc from families with confirmed HDGC remain advised 5 to consider PTG, irrespective of endoscopic findings<sup>10</sup> In the

- present cohort, only 19.1% of patients who had random or targeted biopsies had positive biopsies, whereas 54.5% exhibited SRC foci on PTG specimen. This discrepancy between endo-
- scopic findings and pathological results on the PTG specimen is well known.<sup>14,18,34</sup> These findings emphasize how much endoscopic surveillance is likely to miss preclinical lesions and tend to 11
- favor PTG. In a previous study focusing on endoscopic sur-13 veillance among pCDH1vc, Fujita et al<sup>12</sup> estimated that 1768
- biopsies per patient would be necessary to obtain a 90% detec-15 tion rate. Current recommendations state that, in patients
- declining or wishing to postpone surgery, a specific endoscopic 17 surveillance protocol should be proposed in an expert center.<sup>1</sup>
- Rates of SRC foci on specimens are usually as high as 75% to 96%.<sup>11,14,18,34,35</sup> In the largest series of PTG that focused on 19
- pathological features in 174 patients, Rocha et al<sup>29</sup> found no 21 significant correlation between age and sex and findings of SRC

foci. We hypothesize that our lower rate of SRC foci may be due 23 to the multicentric nature of our series within a context of real-

life practice conditions including variable pathological expertise 25 as well as a problem of resources which is well discussed in the recent recommendations describing 3 different levels of patho-

logical examinations.<sup>10</sup> Systematic use of a specific protocol for 27 the assessment of the totality of gastric mucosa showed to sig-29

nificantly increase the rate of SRC foci on PTG specimen (62.3% vs 95.4%, P < 0.001).<sup>34</sup> The hypothesis of variants of uncertain

31 significance to explain this low rate of SRC foci is unlikely since patients in the present study fulfilled all of the criteria of the IGCLC.<sup>2,10,19,20</sup> 33

Recently published studies suggest that HDGC risk varies between families, and therefore, family history should be con-35 sidered when estimating an individual carrier's risk.<sup>6,7</sup> SRC carcinomas have, however, been reported in PTG specimens from carriers with no family history of HDGC.<sup>36</sup> SRC carci-37 39 noma is likely to have a submucosal spreading pattern, which can translate into a diffuse gastric thickening aspect without any 41 visible mass. Patients delaying or refusing pTG may therefore be diagnosed at an advanced stage with a high risk of peritoneal 43 carcinomatosis, as showed in index patients. Five-year overall

- survival in such conditions is reported to be under 20%.<sup>8</sup> Chen 45 et al<sup>37</sup> clearly demonstrated that overall survival was poor and significantly altered in patients with symptomatic tumors when 47 compared with asymptomatic patients undergoing PTG.
- Our study has several limitations. Due to its retrospective 49 nature, we could not evaluate impact of PTG on Quality of life. In a large prospective cohort study, physical and mental func-
- tioning was reduced in the first month after surgery but recov-51 ered to baseline by 12 months and 3 to 9 months, respectively.<sup>15</sup>
- 53 Residual symptoms including diarrhea, fatigue, discomfort when eating, and reflux remained present in more than half of patients.
- Another prospective study showed that at 2 years post-55 operatively, psychological health and body image was preserved
- 57 in all subjects. Patients who underwent PTG expressed little to no regrets and all individuals reported low decisional conflict.38 59 Since inclusions mostly occurred in surgical centers, we may
- have missed some patients who declined surgery and con-61 sequently underestimated this population. In addition, we cannot
- pretend that our series was exhaustive since only 15 centers of the 63 FREGAT network responded. It remains, however, one of the largest and rarest multicentric series yet published. Identification

of patients who will develop an invasive tumors would be crucial 65 to Taylor's indications of PTG but the present data cannot 67 answer this specific question.

In conclusion, among pCDH1vc, index cases with 69 symptomatic tumors carry a dismal prognosis. The risk of cancer among asymptomatic patients undergoing PTG was high and unpredictable and has to be balanced with the POM and functional consequence of PTG. There is still much work to be done 73 to define genetic, endoscopic, surgical, pathological, and oncological centers throughout the country that can manage this 75 challenging condition.

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### DISCUSSANT

### Giovanni De Manzoni (Verona, Italy)

This paper deals with an interesting topic. Its main strength is the effort that has been put in reporting CDH1-related gastric cancers on a national level. That is the fundamental 71 step to start effective programs of early diagnosis of tumors associated with inheritance in relatives carrying the same 73 mutation, as well as the application of strategies to reduce the risk of gastric and breast cancer development.

75 However, the main issue is the pathological handling of surgical specimen in this retrospective multicenter cohort: the 77 authors added the levels of pathological examination in the manuscript that largely depended on the availability of resour-79 ces. Considering this classification, most of the specimens have been analyzed to an insufficient level. This leads to an under-81 estimation of the number of identified neoplastic foci or even to their non-identification. As such, the data in this study cannot be 83 used to analyze the clinical, anamnestic, genetic (i.e., type of mutation) and pathological factors predicting neoplastic evolu-85 tion in the carriers of germline mutations of CDH1; indeed, any analysis of this kind could lead to incorrect results. Could you 87 please comment of this?

The indications for CDH1 pathogenetic mutations screening follow the IGCLC Guidelines, updated in the years 89 since 1999. A stratification based on the change of indications 91 (Caldas, J Med Genet 1999, Fitzgerald J Med Genet 2010; Van der Post 2015 ref;<sup>2</sup> Blair 2020 ref<sup>10</sup>) would have been useful to 93 understand the impact that this change has had on the identification of subjects at risk. Do you think that this variation had a 95 clinical impact? Do you think there was a difference in the identification of carriers between family and individual criteria?

97 Other weaknesses in handling these cases are the following:

First, regarding endoscopic management, the study 99 reflects a lack of expertise. It is not specified whether a specific bioptic protocol (such as the Cambridge Protocol) was used and 101 in which percentage. This emerges both in the group of patients receiving endoscopy soon after the diagnosis of CDH1 germline 103 mutation, and in those who declined surgery and should, therefore, undergo annual endoscopic surveillance.

105 Second, we know that CDH1 germline mutations predispose to the onset of other cancers (lobular breast cancer, colon 107 cancer). In the study, it is not indicated whether the germline mutation carriers undergo a complete breast examination, 109 including a contrast-enhanced MR, and a colonoscopy, in cases with colon cancer among relatives. Are these exams routinely 111 performed during Surveillance, too? In order to identify the centers of reference for this condition, it may be useful to indi-113 cate this aspect as well.

Third, regarding type and grade of complications, the 115 authors added a Table underlining the percentage of complications in PTG according to International Consensus on Gas-117 trectomy for Cancer (Baiocchi Gastric Cancer 2019; Baiocchi Ann Surg 2020) and the grade following Clavien-Dindo classi-119 fication. From these data, 13% of patients who underwent PTG had an anastomotic leak, and 70% of them had a CD > 3a. 121 Looking to the FREGAT database, it seems there are no differences with other patients who underwent gastrectomies for 123 cancer. No significant differences were observed between Minimally Invasive and Open Approaches. What is the percentage of 125 esophagojejunal leaks in prophylactic gastrectomies the same compared with clinical series, likely including bulky tumors 127

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1 located at upper third of the stomach? In my opinion, a stratification by center could be useful to better understand this high percentage of complications. 3

Precisely, on the basis of this evidence of inappropriate-5 ness, the main message of the present study is that a specific path

must be designed for CDH1 gastric cancer cases correlated with the identification of centers of reference that takes into account

the genetic, endoscopic, pathological and surgical expertise. 0 Ultimately, can the authors plan a path based on the sometimesunsatisfactory results of this study?

#### 11 **Response From Guillaume Piessen (Lille, France)**

Thank you for your interesting questions. First, I fully 13 agree that there is an underestimation of the patient who had SRC foci in the present study, and this is most probably related

15 to the insufficient level of expertise in the pathological examination. When you perform a Level 3 pathological examination,

17 95% of the patients have SRC foci. Of note, nearly all patients in those cases have noninvasive tumors. 19

The real problem is to identify which patients will present an invasive cancer and when they will present it. When patients 21 refuse surgery, most of them do so because they fear complications and for their quality of life. These two points are major, but 23

unfortunately, I agree with you that I am unable to answer them with the data that we analyzed in the present manuscript. 25

Second, regarding the time period of diagnosis, it was difficult to find the exact date of the offered diagnosis of mutation in 27 the different families. I think that the initial international criteria

were very robust, so I would guess that it had a low impact, but 29 the difference between the varying periods could not be analyzed.

Third, regarding endoscopic management, it is the similar 31 problem that we observed for pathological examination. The median number of biopsies realized during endoscopy was 10, 33

far from the 18-20 systematic biopsies currently recommended in addition to targeted biopsies (Blair Lancet Oncol 2020). The 35 centralization of endoscopy is, consequently, also essential.

Fourth, due to space limitations, we did not specify the 37 modalities of surveillance for other cancers. The risk of breast cancer is high in pCDH1vc (42%), justifying a yearly clinical 39 examination and MRI from the age of 30. Colonoscopy was only proposed in cases that had relatives with colon cancer. This

41 surveillance is usually organized via geneticists who systematically see pCDH1vc. 43

Fifth, regarding the high rate of leakage, it's true that when compared to the FREGAT database, in which all patients 45 have an active cancer, we observed a similar leakage rate of 13%.

We were also a bit disappointed with this. However, we looked 47 at the number of patients who were operated in the different centers, and using a cut-off of 25 total gastrectomies for cancer 49

per year in the 11 centers, we performed a stratification. We found a decrease in severe postoperative complication, reoper-51 ation and fistula rates. I think it's an argument to operate these

patients in highly experienced centers. 53

Finally, in answer to your last question, I fully agree that we still have some hard work to do in France, in order to 55 centralize these patients. I think that we will communicate the results of this study at the two national congresses, and we will 57

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also improve information among general practitioners, who first see these patients, in order to better organize things.

### Ronan P. O'Connell (Dublin, Ireland)

65 Just to follow-up on the morbidity, which is excessive for a prophylactic operation, can you speculate why? For example, if 67 you were doing a sleeve gastrectomy for obesity, you would not accept leak rates of this amount. Is the addition of a lympha-69 denectomy D2 or D3 contributing to your complications, and is it necessary, in a prophylactic operation, where none of your 71 patients had lymph node positive disease?

### **Response From Guillaume Piessen (Lille, France)**

One of the challenges of this operation is that you have to 75 cut the esophagus 2-3 cm above the esophago-gastric junction to be sure that you don't have any residual gastric mucosa. This 77 probably partly explains this problem of leakage rate. The lymphadenectomy is probably not the explanation. Only 52% 79 had a D2-modified lymphadenectomy, which is, I agree, probably not useful. A D1 lymphadenectomy would be sufficient in 81 this context.

#### **Christiane Bruns (Cologne, Germany)**

Thank you for presenting such a great theory. I have the following question: regarding the prophylactic gastrectomy 85 patient group, how many of them already had a history of breast cancer or any other previous surgery, due to diseases/malig-87 nancies based on this mutation? Would this be the reason why some patients refused surgery? 89

### **Response From Guillaume Piessen (Lille, France)**

91 Out of 77 patients, I think only 1 patient had a prophylactic mastectomy. As such, I don't think this explains why some 93 patients refused surgery.

### Suzanne Gisbertz (Amsterdam, The Netherlands)

Thank you for your interesting presentation. First, was a frozen section of both the proximal and distal resection margin 97 performed in all patients to assure resection of all gastric tissue, as is advised in the guidelines? The duodenal margin will 99 be especially difficult to follow-up. Second, do we have data on the long-term follow-up. Were there any recurrences or cases of 101 gastric cancer in the esophageal or duodenal resection plane?

### **Response From Guillaume Piessen (Lille, France)**

With regards to your first question, in the manuscript, we 105 show the rate of frozen section, which was not so high. It is true that the recommendations state that you must check that you 107 removed all the gastric mucosa, and I know that there is a paper from your country showing that, even if we cut 2-3 cm above the 109 esophago-gastric junction and you perform a frozen section, 34% of patients still have some gastric mucosa. However, I think that 111 this should be done macroscopically, at least when opening the surgical specimen during resection, and probably adding frozen 113 section is a good security for young patients, because if they must be reoperated for 2-3 cm, it is a shame. 115

Second, we have long-term data, and no recurrences were observed. Of note, in 90% of cases, the pathological report 117 mentioned the presence of an esophageal cuff on the specimen.

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