

Covalently closed circular DNA: The ultimate therapeutic target for curing HBV infections

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1 2	Covalently closed circular DNA: the ultimate therapeutic target for curing Hepatitis B virus infections
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20	Abstract
39 40	
41	Current antiviral therapies, such as pegylated interferon alpha and nucleos(t)ide
42	analogs effectively improve the patient's guality of life. However, they are limited to control
43	the infection but cannot cure infected hepatocytes. Complete HBV cure is hampered due to
44	the lack of therapies that can directly affect the viral minichromosome, covalently closed
45	circular DNA. Efforts currently in the pipeline for early clinical trials aim to achieve a
46	functional cure, defined by the loss of Hepatitis B surface antigen and undetectable HBV

47 DNA levels in serum. However, achieving a complete HBV cure requires therapies that can

48 directly affect the cccDNA pool, either for its degradation, lethal mutations or functional 49 silencing. In this review we discuss cutting-edge technologies that could lead to non-50 cytolytic direct cccDNA targeting, curing infected hepatocytes.

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- 5354 Key points
- HBV infection leads to the establishment of a pool of viral cccDNA minichromosome
 in the nuclei of infected cells that is responsible for viral persistence.
- Current recommended therapies for HBV efficiently achieve viral suppression
 improving patient's quality of life; however, they are unable to eliminate the viral
 minichromosome and thus, to cure CHB.
- The next achievable goal of current therapeutic research is achieving a functional
 cure leading to suppression of circulating HBsAg, after a finite treatment.
- Therapies aiming at direct cccDNA targeting, either for its degradation, lethal
 mutation in main HBV proteins or transcriptional silencing are actively being
 explored.
 - Full understanding of cccDNA biology remains essential to find potential druggable targets and achieve HBV targeted therapies.
- Promising direct cccDNA targeting approaches in preclinical studies aimed at reducing or silencing the viral minichromosome reservoir need to overcome delivery, safety and feasibility issues.

95 96

97 Introduction

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99 Hepatitis B virus (HBV) can lead to acute and chronic infections accounting for more 100 than 250 million people infected worldwide and over 780,000 deaths yearly. Approved 101 therapies include pegylated interferon- α (PEG-IFN) and Nucleos(t)ide analogs (NAs). PEG-102 IFN leads to sustained HBV suppression, though this was observed only in a limited number 103 of patients, its mechanism of action is still unclear and prolonged therapy is poorly tolerated[1]. NAs control HBV replication improving patient's clinical outcome and are 104 better tolerated than PEG-IFN[2]. However, neither of these treatments achieve a complete 105 106 HBV cure (undetectable serum HBsAg and serum and intracellular HBV DNA, including 107 cccDNA clearance) or a functional cure (undetectable serum HBV DNA and serum HBsAg, 108 with or without seroconversion, accompanied or not by cccDNA silencing)[3] and in most 109 cases, life-long treatments are required. Achieving a functional HBV cure after a finite 110 course of treatment, leading to low rates of HBV reactivation, thus eliminating life-long 111 therapies, is the next goal for HBV therapies.

The major challenge to achieve an HBV cure is the persistence of covalently closed 112 113 circular DNA (cccDNA) viral minichromosome, which is unaffected by current therapies[4][5][6][7]. cccDNA is HBV molecular reservoir and serves as the only 114 115 transcriptional template for all viral RNAs, including pregenomic RNA (pgRNA), and contains four overlapping open reading frames (ORFs): S, C, P and X. These four overlapping ORFs 116 117 encode 7 viral proteins: HBeAg (secreted protein), HBc (viral capsid protein), HBV POL/RT 118 (polymerase reverse transcriptase), PreS1/PreS2/HBsAg (large, medium, and small surface 119 envelope glycoproteins), and HBx (transcriptional activator). The pregenomic RNA (pgRNA) 120 transcript serves as the substrate for reverse transcription into new viral genome, the 121 relaxed-circular DNA (rcDNA)[8]. NAs block pgRNA reverse transcription, preventing 122 formation of new rcDNA-containing virions. However, they do not prevent viral transcription 123 from cccDNA and antigen production, which can be decreased to some extent with 124 interferon- α . Only few copies of cccDNA are sufficient for infection rebound after treatment 125 cessation; thus, life-long treatments are needed to maintain control of the infection[6]. 126 Although HBV DNA integration is not essential for the viral replication cycle, a byproduct 127 generated during cccDNA formation, double stranded linear (dsl)DNA, can be integrated 128 into the host genome[9]. S and part of HBx sequences can be found integrated into the host 129 genome enabling the production of both HBsAg and a truncated, but potentially functional, HBx form[10][11]. 130

Due to the fundamental role of cccDNA in HBV replication cycle, finding therapies that can directly target cccDNA is pivotal to develop a complete or functional cure for chronic hepatitis B (CHB), defined by cccDNA degradation, lethal mutations (e.g. early stop codons in main ORFs that lead to replication defective virus) or irreversible silencing. Based on current knowledge of cccDNA biology, strategies to directly target cccDNA will be discussed in this review.

- 137
- 138 <u>1. HBV cccDNA</u>
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- 140 1.1 Biogenesis
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After internalization via the cellular receptor sodium-taurocholate cotransporting polypeptide (NTCP)[12], HBV delivers its 3.2kb rcDNA genome into the nuclei of the host hepatocyte. Since none of the DNA strands in rcDNA is covalently closed, it has to be "repaired" into the fully double stranded (dsDNA), cccDNA.

The mechanism of rcDNA-to-cccDNA conversion is not fully understood, and its 146 147 investigation has been hampered by the absence of robust methods to detect and quantify 148 cccDNA in high-throughput approaches. Formation of cccDNA from rcDNA is a multistep 149 process that begins with the removal of HBV POL leading to protein-free rcDNA (PF-rcDNA, 150 aka deproteinated rcDNA (DP-rcDNA)) formation (Fig. 1). Initial studies suggested an essential role of tyrosyl-DNA phosphodiesterase 2 (TDP2) in this step[13]. However, other 151 152 studies indicate that TDP2 may be dispensable thus, the role of TDP-2 in rcDNA-to-cccDNA 153 formation remains controversial[14][15][16]. Subsequently, the DNA flap and RNA primer are removed leading to the final step of "repairing" the ssDNA gap in the plus strand, 154 155 resulting in cccDNA. Several enzymes from the host repair system, including DNA pol k and 156 α [17][18], DNA ligases 1 and 3 (Lig 1 and 3)[19] and Flap endonuclease 1 (FEN-1)[20], 157 provide all the activities required in this transformation. Noteworthy, five cellular factors 158 involved in the DNA lagging strand synthesis are essential and sufficient for rcDNA-to-159 cccDNA conversion in vitro: proliferating cell nuclear antigen (PCNA), PCNA-loading replication factor (RFC) complex, Pol δ , FEN-1 and Lig1[21]. Interestingly, recent evidence 160 suggests differential repair of the minus and plus-strand of rcDNA requiring different sets of 161 human repair factors[22]. Nonetheless, cccDNA formation in vivo may be more complex and 162 163 require additional host factors and this remains to be studied.

164 NAs do not target the rcDNA-to-cccDNA conversion, thus reducing cccDNA levels using 165 NAs treatment would only be achieved if the precursor of cccDNA, rcDNA, is completely 166 deleted. However, since NAs treatment does not achieve complete suppression of reverse 167 transcriptase activity, resulting in incomplete inhibition of rcDNA synthesis, the pool of 168 cccDNA can still be replenished [23]. Therefore, elucidating the complete list of host factors 169 required in the conversion of rcDNA-to-cccDNA and antivirals that inhibit more profoundly 170 viral DNA synthesis are essential to find druggable viral targets that can effectively prevent cccDNA pool replenishment via nuclear import of rcDNA from the cytoplasm, e.g. 171 intracellular recycling, or via de novo infection of new hepatocytes. 172

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174 **1.2 cccDNA chromatinization and transcriptional regulation**

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176 cccDNA is wrapped around nucleosomes containing core histones3 (H3), H4, H2A and 177 H2B, and associated with viral core protein (HBc), HBx and host transcription factors in the 178 nuclei of infected hepatocytes, forming а highly stable 179 minichromosome[24][25][26][27][28]. The mechanism of chromatin compaction, histone 180 deposition and epigenetic regulation of cccDNA are still ill-defined. Like cellular genes, 181 cccDNA is subjected to the histone code undergoing epigenetic regulation and dynamic 182 histone modifiers, chromatin remodelers and exchanges by transcription 183 factors[29][30][31]. Epigenetic regulation of HBV gene expression involves H3/H4-184 acetylation or methylation (e.g. H3 lysine4 trimethylation) serving as activation marks, 185 facilitating chromatin accessibility and allowing gene transcription. Conversely, histones 186 hypoacetylation and/or methylation (e.g. H3 lysine27 trimethylation) leads to a more 187 compact chromatin, silencing cccDNA transcription[30]. Accordingly, high viremia in CHB 188 patients correlates with hyperacetylation of cccDNA-associated H3/H4, potentially opening 189 cccDNA chromatin allowing the access of transcription factors for efficient HBV190 transcription[31].

191 Viral proteins also have a role in cccDNA transcriptional activity. HBc is a structural 192 component that increases cccDNA compaction[25], yet HBc de novo synthesis may not be required for cccDNA transcription and its role in cccDNA stability in vitro remains 193 194 debated[32]. It is possible that HBc remains associated to rcDNA after nuclear uncoating, 195 bypassing the need of *de novo* synthesis. cccDNA-associated HBx is essential for its 196 transcriptional activity: mutants lacking HBx infect cells but its cccDNA is silenced[33]. This 197 silencing correlates with cccDNA-associated H3-hypoacetylation and more compact/less accessible minichromosome. In the absence of HBx, the histone-lysine N-methyltransferase 198 199 SET Domain bifurcated histone-lysine N-methyltransferase 1 (SETDB1) is involved in cccDNA 200 silencing, and this can be overcome by reintroducing HBx[34]. Additionally, cccDNA can be 201 recognized and silenced by the Structural Maintenance of Chromosomes complex 5/6 202 (Smc5/6). HBx counteracts Smc5/6 action on cccDNA by promoting its degradation via its 203 binding partner, DNA damage-binding protein 1 (DDB1): HBx acts as a scaffold allowing the 204 assembly of HBx-DDB1-CRL4 complexes leading to ubiquitylation and degradation of the 205 Smc5/6[35][36][37][38]. It is enigmatic how the first molecules of HBx are produced: it is 206 possible that early in the infection, low cccDNA levels are not detected by Smc5/6, allowing 207 a first transcriptional wave to produce HBx, efficiently downregulating Smc5/6. 208 Alternatively, HBx mRNA molecules found in Dane particles[39] could be functional 209 producing the first HBx molecules.

Besides being regulated by associated histones modifications cccDNA contains two or three CpG islands, depending on the HBV genotype, strategically placed in regulatory regions[40]. While rcDNA is mostly unmethylated in serum and cytosol, nuclear HBV DNA methylation varies and could be associated to transcriptional repression. CpG2, located in HBx promoter, is minimally methylated in active cccDNA transcription and methylation of CpG2 is associated with lower viremia and HBsAg production[41]. Thus, DNA methylation can potentially control cccDNA transcriptional activity.

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218 **1.3 cccDNA intracellular maintenance**

Newly synthesized HBc forms nucleocapsids (NCs) that encapsidate pgRNA and allow RT to form rcDNA. Encapsidated rcDNA can be enveloped and released from the cells forming infectious Dane particles that can infect new cells, or they may recycle back to the nucleus where the rcDNA is transformed into cccDNA. Both phenomena lead to the replenishment of the nuclear cccDNA pool, either at the single cell level or by increasing the number of infected cells. It is accepted that nuclear levels of cccDNA are highly stable, however, single-molecule cccDNA maintenance remains controversial.

226 A static cccDNA maintenance model suggests that as rcDNA nuclear import and 227 rcDNA-to-cccDNA conversion decline, cccDNA levels are maintained by repressing de novo 228 cccDNA formation/degradation (Fig.2)[42][43][44]. Consistent with this hypothesis, NAs 229 indirectly affecting cccDNA levels by inhibiting de novo production of rcDNA, lead to minor 230 effects on cccDNA nuclear pool. Translational studies indicate that intrahepatic cccDNA 231 levels vary across CHB phases, with 1-10 copies/cell in HBeAg-positive patients and 1-2 logs less in HBeAg-negative patients [45][46][47][48]. Observations from CHB patients under NAs 232 233 treatment suggested that complete cccDNA clearance could take decades[5][23][49][47], 234 with most of the patients under long-term therapy (>3 years), still harboring low levels of 235 cccDNA In their liver (1-10 copies/10³ cells) [23][5][4]. This suggests that nuclear import of *de novo* synthesized rcDNA plays a minor role in the cccDNA pool maintenance and that nuclear cccDNA is highly stable[50].

238 Recent evidence defied the static cccDNA maintenance model and showed persistence 239 of residual levels of HBV replication during NAs treatment implying maintenance of the cccDNA pool by renewal. Furthermore, CHB patient's serum with low viremia under NAs 240 241 treatment, are still infectious in chimeric mice, suggesting that a dynamic turnover, i.e. constant cccDNA formation/degradation, could explain cccDNA levels maintenance 242 243 (Fig.2)[43][51][52]. In this dynamic model, constant degradation and *de novo* synthesis of 244 cccDNA maintain stable nuclear copy numbers[53]. Supporting this model, retrospective 245 studies in patient liver biopsies treated with NAs estimated cccDNA turnover rate in the 246 infected liver between 5-11 weeks[52]. The underlying mechanism of cccDNA clearance and 247 maintenance remains unclear: cccDNA destruction could occur dependently[54][55][56] or 248 independently of infected hepatocyte death[57][58][59].

Given the constraints preventing single cell cccDNA quantification *in vivo*, these studies presented data as an average of cccDNA molecules per cell in the infected liver, instead of the actual cccDNA copy number per infected hepatocyte or its temporal distribution, thus hampering the assessment of single nuclei cccDNA stability. Therefore, it is still currently unknown if recycling of rcDNA to form cccDNA is an infrequent event in response to cccDNA loss, or if it is a permanent event counteracting constant cccDNA degradation, *in vivo*.

256 cccDNA does not follow a semiconservative replication and is not tethered to 257 chromosomes, thus its fate after cell division remains controversial. Studies in HBV-infected 258 liver-humanized mice, in the context of increased hepatocyte proliferation and efficient 259 inhibition of virus reinfection, showed a reduction of the cccDNA pool, suggesting cccDNA 260 loss upon cell division[60]. cccDNA labeling by fluorescence imaging in situ hybridization in 261 the presence of NAs suggested that cccDNA is asymmetrically distributed to daughter cells, 262 instead of being lost or duplicated during cell division[61]. Thus, in the presence of NAs, loss 263 of cccDNA relies on the rate of infected hepatocyte death and further dilution by cell division. Accordingly, recent in vitro data in HepG2-NTCP cells suggested that cccDNA 264 turnover is linked to infected hepatocytes turnover[32]. 265

Elimination of cccDNA by agents targeting its biogenesis may thus be possible if the reduction in cccDNA levels is faster than its generation and if new cccDNA formation is effectively blocked. Thus, understanding the turnover time and fate of cccDNA pool in infected hepatocytes is key in antiviral strategies design.

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271 **1.4 Modeling cccDNA kinetics in patients**

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273 When a therapy intended to cure HBV is given to an individual, there is interest in determining the rate at which the cccDNA pool decreases, thereby providing some notion of 274 275 when eradication can be achieved. This can be studied through modeling cccDNA levels as 276 an exponential function of decay, from which we are able to estimate the half-life of cccDNA 277 levels, i.e. the time taken to halve the cccDNA level at its initial value. Ideally, serial liver 278 biopsies from individuals (or experimental animals) taken at several time points during 279 treatment would be used to measure (1) cccDNA quantity through PCR-based assays and (2) 280 the total number of cells per sample. cccDNA copies/cells would be modeled as a function 281 over time, which has been done previously done in woodchucks[44] and ducks[62]. If serial 282 samples are unavailable, the copies/cells could be measured cross-sectionally at different time points and the same models applied. This was done in a previous study of HIV-HBV coinfected individuals to estimate the half-life of cccDNA during treatment with tenofovir disoproxil fumarate (TDF)[23].

286 However, these models assume a constant exponential cccDNA decline rate, which might not be the most appropriate function if levels stabilize at a certain point during 287 288 treatment. These models are also unable to accommodate a number of other factors that 289 might govern changes in cccDNA levels. For instance, impaired innate immunity[48], 290 genome recycling during replication, secondary infections of neighboring hepatocytes[53] 291 and clearance of infected hepatocytes[32] relate to the effectiveness of clearing cccDNA 292 during therapy. There have been attempts to more thoroughly understand cccDNA half-life 293 while simultaneously modeling the rates of these other "compartments", namely cytotoxic 294 T-lymphocytes (CTL) and non-CTL immune responses[63], integration of HBV DNA[64], and 295 cell-to-cell transmission of viral particles[65]. These models usually involve sets of ordinary 296 differential equations[66], but have rarely extended to modeling cccDNA levels during 297 therapy. These models could be used to establish potential phases of cccDNA decline given 298 the different compartments leading to cccDNA persistence, and the combinations of direct 299 and indirect therapies that could lead to faster cccDNA declines. Still, they are limited by the 300 large quantities of inputs, missing inputs and the large numbers of assumptions required.

301 Liver biopsy samples have become highly unpopular over the past decade, with non-302 invasive liver fibrosis becoming the norm, thus the ability to conduct a study with these ideal conditions is almost impossible. Alternative markers whose changes bear strong 303 304 correlation with cccDNA changes could be used to shed light on cccDNA half-lives without 305 the need for liver samples. Hepatitis B core-related antigen (HBcrAg) and circulating HBV 306 RNAs are candidates to emulate these ideal conditions[67]. Interestingly, the proportion of 307 LAM-resistant variants during LAM-treatment, according to HBV RNAs, was used as a close 308 proxy for cccDNA pools turnover rate, and hence cccDNA half-life in HBeAg-positive 309 patients. The observed half-lives in that study ranged between 6.9-21.7 weeks, which is 310 much shorter than expected[52]. These estimations came from individuals who likely had 311 high transaminase levels, which might bring way to more rapid changes in cccDNA levels. 312 Whether such a proxy could be used to estimate cccDNA levels during HBeAg-negative 313 infection, especially when HBV-DNA levels are undetectable and transaminase levels are 314 low, is uncertain. Despite improvements in these proxies, their potential for measurement 315 error remains problematic.

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317 2. cccDNA targeting

319 **2.1 Targeting cccDNA formation**

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321 cccDNA biosynthesis represents an attractive target to reduce the cccDNA pool in the 322 nuclei of infected hepatocytes. However, understanding the complete list of host factors 323 required in the rcDNA-to-cccDNA conversion is essential. One limitation for these studies is 324 the functional redundancy among DNA repair components: residual levels of the targeted 325 proteins, or their paralog(s), may be sufficient to execute their function. Notwithstanding, 326 functional redundancy exists because these factors are essential in cell homeostasis, so their 327 complete knock-down is unachievable and therefore they do not represent a potential 328 therapeutic target in vivo. Hence, approaches that can specifically target the viral 329 components or specific viral/host factors interaction, without affecting essential host protein's function, are needed. Small molecules blocking rcDNA deproteination have been
described, however their antiviral target and mechanism of action remains to be
determined[68][69].

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335 2.2 Targeting established cccDNA

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337 2.2.1 Direct cccDNA targeting.

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Achieving complete or functional HBV cure requires direct cccDNA targeting, thus 339 340 strategies leading to its degradation, lethal mutations or irreversible silencing in a non-341 cytolytic manner are greatly needed. Recent efforts identified a novel small molecule that 342 reduced cccDNA levels in HBV-infected primary human hepatocytes (PHHs) and in mouse 343 liver after hydrodynamic injection with an HBV minicircle[70]. This data is encouraging, 344 though its safety profile and mechanism of action still need to be elucidated to determine if 345 it directly targets cccDNA, or if cccDNA decline is an indirect effect due to perturbation of 346 cellular processes.

347 Gene-editing approaches can disrupt the viral genome in a permanent way (Fig.3): Zinc-Finger nucleases (ZFNs)[71] and transcriptional activator-like effector nucleases 348 349 (TALENs)[72] target and cleave HBV DNA sequences. Target DNA analysis showed insertion-350 deletions (indels) in the target sequences, consistent with imprecise rejoining by the non-351 homologous end joining (NHEJ) repair system and a drop in the levels of viral parameters. 352 Precision Bioscience's meganuclease-HBV program (HBV-ARCUS) targets the viral POL 353 leading to durable antigen loss in Hep3B cells containing integrated copies of HBV genome 354 and in HBV-infected PHHs (P-1057[73]). In vivo studies in an AAV-episomal mouse model 355 showed robust editing in liver tissues. Being smaller than ZFNs and TALENs, meganucleases 356 present an advantage towards their delivery. However, comprehensive screenings of 357 efficient target sequences using meganucleases is difficult given their labor-intensive 358 production, and thus only few selected target sequences were evaluated potentially missing 359 more efficient target sites.

Since the discovery of CRISPR-Cas9 applications in the human genome, gene-editing 360 361 programs have been advancing into clinics[74][75][76]. CRISPR-Cas9 can be redirected to 362 DNA sequences by redesigning the guide RNAs (gRNAs) complementary to the desired 363 target. Cas9 protein generates double stranded breaks (DSBs) that are often imperfectly 364 repaired by the NHEJ, disrupting the target sequence. Several publications presented proof-365 of-concept that CRISPR-Cas9 can target HBV DNA[77]. However, different infection models 366 lead to different outcomes, from HBV genome degradation to repair. Accessibility to cccDNA 367 and outcomes can differ from plasmid transfection to integrated HBV genome or de novo synthesized cccDNA presenting differences in chromatin status[27]. CpG methylation can 368 369 lead to recruitment of other factors, particularly in CpG islands, that could hinder Cas9 370 binding[78]. Nucleosomes strongly impair Cas9 binding to target sequences in cell-free 371 assays. However, in vivo, nucleosomes are dynamic and sequences can regain accessibility 372 due to nucleosome remodeling and breathing, where nucleosomal DNA is in equilibrium 373 between wrapped and unwrapped states, allowing protein access to binding sites hidden by 374 nucleosomes[79].

Despite the interest in designer nuclease approaches, several considerations should be addressed before their clinical application in CHB. Our group recently showed that

CRISPR-Cas9 can target cccDNA in HBV-infected hepatocytes leading to cccDNA degradation 377 378 and repair[80]. However, HBV DNA variants were generated after dual gRNA targeting 379 highlighting the importance of understanding the fate of cccDNA after gene editing. CRISPR-380 Cas9 treatment targeting HBV in chronically infected liver-humanized mice undergoing NA treatment, showed HBV DNA editing in 5/8 treated mice: though there was a trend towards 381 382 cccDNA levels reduction, there was no change in viremia after treatment, indicating that a 383 large cccDNA fraction remained unaffected, leading to viral rebound and dampening any 384 therapeutic effect[81]. Several factors will be instrumental for the clinical application of gene-editing approaches for HBV therapy. Maximizing gene-editing efficiency, which is 385 connected to high Cas9 expression levels in target cells, is essential. However, pre-existing 386 387 immunity to Cas9 could be a major impediment and understanding Cas proteins 388 immunogenicity in humans is essential: data on this topic is mixed, showing that as low as 389 10%-2.5%[82] up to 78%-58% [83] of tested individual have pre-existing anti-SaCas9 390 antibodies (S.aureus) or anti-SpCas9 antibodies (S.pyogenes) respectively. Pre-existent 391 immunity led to elimination of genome-edited cells in mice, however the outcome in 392 humans is difficult to predict[84]. Off-targets are also a concern: the extent of these events 393 is still unclear and better approaches to assess off-targets are needed. Another critical step 394 is delivery: achieving long-lasting effects in the viral genome with low off-target rates will 395 require efficient, and preferentially transient, delivery systems to target all infected 396 hepatocytes[85]. The use of in vitro transcribed mRNAs to express the gene editors, as 397 opposed to viral vectors, provides several advantages: viral vectors present a risk of 398 recombination with host DNA and precise dose regulation from DNA expression cassettes 399 poses additional concerns.

400 Nuclease approaches share a disadvantage when envisioning their use to target HBV 401 genome: they all lead to DSBs. Given the high sequence similarity between cccDNA, rcDNA 402 and integrated HBV DNA, using designer-nuclease approaches will generate DSBs in the host 403 genome potentially risking genomic instability, chromosomal recombination and 404 pathological consequences[86]. Conveniently, gene-editing tools are already showing a clear 405 evolution as exemplified by base and prime editing. Both of these approaches lead to DNA 406 rewriting without cleavage, allowing control of the sequence outcome and should reduce 407 the risk of host genome rearrangements[87][88]. Yan et al presented first proof-of-concept 408 that Cytosine Base Editors (CBEs) targeting S gene sequence lead to a reduction of HBsAg 409 and extracellular HBV DNA in HepG2.2.15 and HBV-infected HepG2-NTCP-C4 cells[89]. These 410 studies are encouraging as these approaches can potentially lead to safely target cccDNA 411 and integrated HBV DNA (Fig3.B). However, further studies evaluating the direct effect of 412 base editors on cccDNA and its fate after editing are essential[90].

Assuming the concerns regarding the use of gene/base editors to treat CHB will be resolved, and given the extensive number of people chronically infected with HBV, careful evaluation on the product development will be required to ensure unrestricted access to the treatment. In this regard, production of *in vitro* transcribed mRNA may provide an economical advantage, however development of mass-production technology at low cost will be fundamental.

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420 **2.2.2** Targeting cellular factors that can directly affect cccDNA

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422 APOBEC3s are cellular defense mechanism that recognize and deaminate foreign DNA, 423 leading to C-to-T/G-to-A hypermutations[91]. Human APOBEC3s are activated by cytokines 424 such as IFNs, produced upon detection of foreign DNA. APOBEC 3A and 3B (A3A and A3B) 425 overexpression lead to deamination and destruction of HBV DNA (Fig3)[92], and were 426 suggested to generate non-cytotoxic cccDNA deamination[93]. Accordingly, results in HBV-427 infected HepaRG cells and PHHs suggested that IFN and lymphotoxin beta receptor ($LT\beta R$) 428 led to non-cytolytic cccDNA clearance due to APOBEC upregulation[57][93]. A3A/B 429 upregulation by HBV-specific CTL-associated cytokines lead to cccDNA minus strand editing, 430 resulting in mismatch mutations and cccDNA degradation[94]. CTLs can be engineered to 431 target exclusively HBV-infected hepatocytes or hepatocytes producing antigens from HBV-DNA integrations[95]. Given that a substantial number of hepatocytes could be infected 432 during CHB, CTLs could trigger uncontrolled liver damage[96]. Engineered HBV-specific CTLs 433 434 lacking cytolytic activity suppressed HBV replication via activation of the intracellular 435 LTBR/APOBEC3 pathway[97]. However, apoptosis and subsequent cell proliferation were 436 observed upon treatment, enabling the possibility that the effect on cccDNA could be in part 437 due to cell division leading to cccDNA dilution. Furthermore, APOBEC cytosine-deaminase 438 activity preferentially targets single stranded (ssDNA), thus other replicative intermediates, 439 rather than cccDNA, would be a more suitable substrate. The deamination pattern of A3A in 440 HBV replicative intermediates suggested that rcDNA could be the preferential APOBEC substrate[94]. Supporting this hypothesis, genomic data from patients showed that 441 442 deamination occurred preferentially in the single-stranded region of the HBV genome[98]. 443 Studies in *in vitro* infected hepatocytes showed that IFN-α treatment did not lead to cccDNA deamination by APOBECs, and instead suggested that G-A hypermutations in virions 444 445 occurred independently of IFN- α [99]. Thus, while HBV suppression was clearly 446 demonstrated, direct cccDNA targeting by APOBEC remains controversial.

447 Strategies to regulate gene expression, such as CRISPR activation (CRISPRa), lead to 448 specific APOBEC overexpression providing a different tool to evaluate its effect in cccDNA 449 (Fig3.B-C). Briefly, the promoter of the gene of interest is targeted by a gRNA, locally 450 recruiting a dead Cas9 (dCas9) protein fused to an activation domain[100]. CRISPRa 451 specifically activated A3A/3B expression, leading to deamination of foreign episomal DNA, 452 dismissing foreign integrated DNA. However, evidence of A3A/B activation by CRISPRa direct 453 effects on cccDNA is still lacking. A main drawback for CRISPRa is that overexpression of 454 intracellular deaminases can lead to mutagenesis of the host genome and cancer 455 development[101] and this should be carefully evaluated.

456

457 2.3 cccDNA Epigenetic silencing

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459 cccDNA transcription is regulated by the host cell epigenetic machinery. IFN-a 460 treatment leads to epigenetic changes in cccDNA-associated histones and recruitment of 461 transcriptional repressors, which could at least partially explain the reduction in cccDNA 462 transcriptional activity. Members of the tripartite motif (TRIM) family expressed upon IFN 463 response, in particular TRIM22, inhibit HBV transcription and replication in a non-cytolytic 464 manner[102]. Pro-inflammatory cytokines showed a direct effect on cccDNA transcriptional activity, without affecting cccDNA levels: IL-6 treatment reduced cccDNA-associated 465 histones acetylation affecting cccDNA transcriptional activity [103], and both IL-1 β and IL-6 466 467 reduced HBV RNA levels more efficiently than IFN- α in vitro[104]. IL-4 and TGF-ß1 affect 468 HBV transcription and replication, however direct evidence on their effect on cccDNA is lacking[105][106]. Understanding the mechanisms and factors involved in cccDNA 469 epigenetic regulation is essential for the development of therapeutic strategies: though 470

471 cccDNA levels would remain intact, complete transcription shutdown should stall HBV472 production leading to a functional cure[107].

473 cccDNA-associated histones can be directly targeted to silence cccDNA transcription. The HDAC SIRT2 inhibitor, AGK2, suppressed cccDNA transcription[108], however integrated 474 475 HBV DNA transcription was enhanced under the same conditions suggesting different 476 epigenetic regulation of integrated versus episomal HBV DNA. KDM5 histone demethylase 477 inhibitor led to a global increase in chromatin histone methylation, inhibiting viral RNAs and 478 antigen production in PHHs[109]. PRMT5 methyltransferase was suggested to preferentially 479 regulate cccDNA versus host chromatin, potentially by interacting directly with HBc[110]. 480 Treatment with the histone acetyltransferase p300/CBP inhibitor, C646, reduced HBV 481 transcription[27]. Though epigenetic modifiers led to an interesting decrease in viral 482 parameters, they could also silence tumor suppressor genes or other essential genes, 483 contributing to hepatocarcinogenesis[111], and thus the critical balance of epigenetic 484 modifiers for cell homeostasis should be carefully evaluated.

485 HBx role in cccDNA transcriptional activity makes it an interesting therapeutic 486 target[37]. siRNAs targeting HBx mRNA transiently reduced HBx levels, leading to Smc5/6 487 complex reappeareance[112]. Moreover, a recent study showed that silencing all viral 488 transcripts using a combination of siRNAs and peg-IFN α substancially decreased HBx protein 489 leading to Smc6 rebound in vivo[113]. Designer nucleases, introducing mutations in HBx 490 protein, could provide a good strategy to achieve this goal. However, targeting integrated 491 HBx DNA variants, which retain its ability to degrade Smc5/6, may be essential to achieve 492 irreversible cccDNA silencing and thus non-DSBs leading approaches should be 493 considered[112]. Epigenetic editors are also being explored to silence HBx expression: 494 methylation of the HBx promoter using the catalytic domain of DNMT3a fused to ZF led to 495 reduction of HBV transcription[114]. Small molecules targeting the HBx-DDB1 interaction 496 have been tested as potential antivirals for HBV. Data from HBV-infected PHHs treated with 497 the FDA-approved small molecule nitazoxanide (NTZ) suggested a modest effect on Smc5 498 rebound and viral parameters and in vitro data suggests that NTZ targets the interphase of 499 HBx-DDB1[115]. Pevonedistat (MLN4924) is a small-molecule inhibitor of NEDD8-activating 500 enzyme E1 (NAE): NEDD8 is essential for cullin activation, blocking NEDD8 activation, which impairs the HBx-DDB1-ROC1 (CRL4) E3-ligase complex essential for HBx-dependent Smc5/6 501 502 degradation, thus blocking cccDNA transcriptional activity[116]. However, MLN4924 could 503 directly affect HBx, contributing to reduced HBV replication. Evidently, epigenetic regulation 504 could be exploited to control HBV infection (Fig.3C) and drug repositioning of FDA-approved 505 compounds is an interesting strategy to find novel antivirals. However, understanding their 506 mechanism leading to reduction of viral parameters in the context of CHB remains essential.

507

508 <u>3. Perspectives</u>

509

510 Treatments that could non-cytopathically eradicate cccDNA lack specificity, adequate 511 and effective delivery approaches and a complete overview of off-target effects, foreclosing 512 their clinical development. Approaches aiming to achieve a functional cure, defined as 513 serum viral DNA clearance and loss of Hepatitis B Surface antigen (HBsAg) with or without 514 anti-HBs sero-conversion, are under development[117]. These therapies aim to evaluate 515 therapeutic combinations to more effectively inhibit HBV replication and viral antigen 516 production, and reinvigorate exhausted immune responses. These combinatorial strategies should result in decreased rcDNA supply and elimination of infected cells, thereby tippingthe balance toward cccDNA loss.

519 NAs and capsid assembly modulators (CAMs) combinations are being explored to 520 achieve complete viral suppression: ongoing phase 2 results show continued decline in serum HBV DNA and pgRNA levels[118]. siRNAs and antisense oligonucleotides (ASOs) are 521 522 also being explored in combination with NAs: siRNAs JNJ-3989[119], AB-729[120] or ASOs 523 (GSK-836)[121] showed positive target engagement with decreasing HBsAg levels, which 524 was sustained after treatment withdrawal in some patients. Results from longer term dual 525 and triple therapy trials including a CAM[122] are eagerly awaited. Combination of the entry 526 inhibitor bulevirtide with tenofovir and PEG-IFN α to treat chronic hepatitis B-Delta showed 527 strong synergy and reduced HBsAg levels in a substantial number of patients[123], 528 suggesting functional cure of HBV might be achievable. Similar results were obtained by 529 triple combination of NAs, peg-IFNa and nucleic acid polymers[124], however studies in 530 larger cohorts are essential to evaluate its safety and efficacy. Increasing evidence suggests 531 that decreasing HBsAg levels is not enough to restore anti-HBV immune responses, 532 therefore combinations of NAs and innate immunity boosters[125], NAs and therapeutic 533 vaccines[126] and NAs in combination with checkpoint inhibitors and therapeutic 534 vaccines[127] are currently under evaluation. In a scenario where persistence of 535 transcriptionally active cccDNA remains the mainstay, sustained restoration of immune control would be needed. It may rely on complex combinations of viral replication and 536 537 antigen expression inhibitors together with immune invigoration and stimulation by 538 therapeutic vaccines[128].

539 Exciting approaches to directly target cccDNA include gene-editing[77][80], epigenetic 540 suppression of cccDNA transcription[129] and stimulation of hepatocyte innate immunity 541 signaling leading to lethal mutations in cccDNA via APOBEC nucleotide deamination[130]. 542 Envisioning the use of engineer nucleases as a therapy for HBV requires an in-depth study of 543 their off-targets, effects of the DSBs when targeting integrated HBV DNA, efficient and 544 preferentially specific delivery to infected hepatocytes and broad spectrum targeting of 545 different viral strains. Editing and inactivation of the HBV genome through innate immune pathways stimulation, that could be accomplished through oral administration of small 546 547 molecules, is promising but achieving a robust and safe anti-HBV immune activation 548 requires further investigation. Epigenetic modulators could obviate the challenges of 549 eliminating cccDNA, but lack of virus over host chromatin specificity still represents a major 550 drawback for the use of these drugs. Furthermore, if cccDNA silencing is ambitioned via HBx 551 targeting, both cccDNA and integrated HBx should be disrupted to prevent HBx expression 552 and lead to sustained Smc5/6 reappearance.

553 Basic studies of cccDNA biology to better understand its formation, maintenance and 554 kinetics, its interplay with host chromatin, and careful experimental evaluation of novel 555 targeted therapies are mandatory prior to proceeding to clinical evaluations. They will also 556 have to show an optimal safety profile and efficiency to consider replacing or combining 557 with current or novel approaches in clinical development. In particular, possible 558 combination approaches with NAs, alleviating the load of replicative intermediates, could 559 lead to more efficient cccDNA targeting. Thus, evidence on the synergistic effects and 560 potential undesirable effects will have to be carefully evaluated.

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1010 FIGURES LEGENDS

Figure 1. cccDNA biogenesis requires removal of the viral polymerase (POL) generating protein-free rcDNA (PF-rcDNA, aka DP-rcDNA). The DNA flap and RNA primer should be eliminated and the single stranded DNA gap in the plus strand repaired to obtain the cccDNA molecule. A non-exhaustive list of the enzymes from the host-repair system potentially involved in cccDNA biogenesis are indicated in each step.

Figure 2. cccDNA kinetics and stability. A dynamic model, summarized in the left panel assumes constant cccDNA degradation and formation. Both Intracellular recycling of rcDNA to the nuclei of infected cells to form novel cccDNA and *de novo* infection could contribute to the maintenance of the cccDNA pool while the mechanisms ruling its degradation are not currently known. On the right panel a static model of cccDNA maintenance is schemed in which nuclear cccDNA is stable. Thus, rcDNA recycling and de novo infections are not essential to maintain the cccDNA pool. In both models, cell division can lead to cccDNA dilution thus reducing its levels in the infected liver.

1027 Figure 3. Novel cccDNA targeting approaches and their expected mechanism of action.

- 1028A-Irreversible cccDNA silencing or degradation would lead to a sustained reduction of1029pgRNA and viral antigen production.
- B- Permanent cccDNA alterations inducing lethal mutations leading to suppression of viral
 antigen production. In this scenario cccDNA levels will not be directly affected, unless
 high liver inflammation leads to increased cell division "diluting" cccDNA.
- 1033 C- Reversible cccDNA silencing leading to low viral antigen production intervals that could 1034 allow T-cell restauration. Once the HBV specific CTL are reestablished it is conceivable 1035 that they could control the infection, although it is possible that a CTL boost may be 1036 necessary.





1067 Figure 3