

The recruitment of indirect waves within primary motor cortex during motor imagery: A directional transcranial magnetic stimulation study

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Cécilia Neige, Valentin Ciechelski, Florent Lebon. The recruitment of indirect waves within primary motor cortex during motor imagery: A directional transcranial magnetic stimulation study. European Journal of Neuroscience, 2022, 56 (12), pp.6187-6200. 10.1111/ejn.15843 . hal-04011465

HAL Id: hal-04011465 https://hal.science/hal-04011465v1

Submitted on 2 Mar 2023 $\,$

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18							
19	Word counts: 5861 words						
20 21 22	Acknowledgments						
23	This research was financially supported by the 'Investissements d'Avenir' French program,						
24	project ISITE-BFC (contract ANR-15-IDEX-0003).						
25	Cécilia Neige: Conceptualization, Methodology, Investigation, Formal analysis,						
26	Visualization, Writing -Original draft preparation.						
27	Valentin Ciechelski: Investigation, Formal analysis.						
28	Florent Lebon: Conceptualization, Data curation, Supervision, Funding acquisition, Writing -						
29	Review & Editing.						
30	The authors want to thank Dr. Anaïs Gouteron for participant's medical inclusion, William						

31 Dupont and Dylan Rannaud Monany for technical assistance during acquisition of data.

32 Abstract

33 Motor imagery (MI) refers to the mental simulation of an action without overt movement. 34 While numerous transcranial magnetic stimulation (TMS) studies provided evidence for a 35 modulation of corticospinal excitability and intracortical inhibition during MI, the neural 36 signature within the primary motor cortex is not clearly established. In the current study, we 37 used directional TMS to probe the modulation of the excitability of early and late indirectwaves (I-waves) generating pathways during MI. Corticospinal responses evoked by TMS 38 39 with posterior-anterior (PA) and anterior-posterior (AP) current flow within the primary 40 motor cortex evoke preferentially early and late I-waves, respectively. Seventeen participants 41 were instructed to stay at rest or to imagine maximal isometric contractions of the right flexor 42 carpi radialis. We demonstrated that the increase of corticospinal excitability during MI is 43 greater with PA than AP orientation. By using paired-pulse stimulations, we confirmed that 44 short-interval intracortical inhibition (SICI) increased during MI in comparison to rest with 45 PA orientation, whereas we found that it decreased with AP orientation. Overall, these results 46 indicate that the pathways recruited by PA and AP orientations that generate early- and late I-47 waves are differentially modulated by MI.

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Key words: Primary motor cortex, adaptive threshold-hunting, motor-evoked potentials, short
 interval intracortical inhibition, corticospinal excitability.

54 Introduction

55 Motor imagery (MI) is a cognitive process that refers to the mental simulation of an action without overt movement (Jeannerod & Decety, 1995). MI is known to activate brain regions 56 57 also involved during motor execution but is accompanied by a voluntary inhibition of the 58 actual movement (Decety, 1996). Using vascular space occupancy method combined with 59 high-resolution (7T) functional magnetic resonance imaging, Persichetti et al. (2020) 60 supported the idea that MI activated only the superficial layers II/III of the primary motor 61 cortex (M1) with cortico-cortical connections from somatosensory and premotor areas (Huber 62 et al., 2017). In contrast, actual finger movements activated both superficial layers and the 63 deeper layers Vb/VI in M1 with descending excitatory corticospinal projections. These results 64 would nicely explain the absence of muscle activity during MI. However, this exclusive activation of superficial layers within M1 during MI is at odds with numerous observations in 65 the current literature. Indeed, past studies using different methodological approaches found 66 neural modulations downstream of the pyramidal cells while imagining (Li et al., 2004; 67 68 Grosprêtre et al., 2015). For example, by using a combination of different techniques during 69 MI, Grosprêtre et al. (2015) provided evidence for a subliminal motor output that traveled 70 along the corticospinal tract and reached the spinal level but did not activate alpha-71 motoneurons. These modulations following motor imagery practice, besides the changes 72 within M1, would also explain the improvement in motor learning (Ruffino et al., 2017).

73 Transcranial magnetic stimulation studies (TMS) provided evidence of the activation of the 74 corticospinal pathway during MI, compared to rest (Yahagi & Kasai, 1999; Grosprêtre et al., 75 2016). This activation is classically marked by an increase in the amplitude of the motor evoked potentials (MEPs) evoked by single-pulse TMS and recorded in the specific muscle 76 77 involved in the imagined movement (Yahagi & Kasai, 1999; Lebon et al., 2012; Grosprêtre et 78 al., 2016; Neige et al., 2020, 2021). According to Di Lazzaro and Ziemann (2013), the axons 79 of the more superficial pyramidal neurons (P2/P3) are conceivably the most excitable neural 80 elements to low-threshold TMS, due to their location close to the stimulating coil. Moreover, 81 these axons also represent the primary source of excitatory descending input to pyramidal 82 tract neurons of layer V (Anderson et al., 2010). Therefore, if TMS activates axons of 83 superficial layer cells preferentially and MI induces an increase in TMS-evoked responses, it 84 is most likely that superficial pyramidal neurons activated during MI directly excite deeper 85 layers, contradicting the findings by Persichetti et al. (2020).

Previous studies that used TMS during MI rely on interpreting the MEPs amplitude evoked by 86 87 the posterior-anterior (PA) current direction. However, MEPs amplitude is a complex and 88 global readout that is thought to reflect the summation of several monosynaptic and 89 polysynaptic descending inputs, termed D- (direct) and I-(indirect) waves, as evidenced from 90 spinal epidural recordings (Di Lazzaro et al., 2012). The first descending volley is thought to 91 originate from direct activation (D-wave) of corticospinal tract axons, whereas the latter I-92 waves are thought to derive from indirect, trans-synaptic activation of the corticospinal 93 neurons (Di Lazzaro & Rothwell, 2014; Ziemann, 2020). These I-waves usually appear at ~ 94 1.2–1.5 ms intervals are numbered in order of their appearance, and are referred to as either 95 early (I1) or late (I2, I3, I4) I-waves (Di Lazzaro et al., 2012; Ziemann, 2020). The directional 96 TMS technique is a non-invasively and valuable approach used to activate distinct sets of 97 synaptic inputs to corticospinal neurons responsible for the early and late I-waves pathway. It 98 has been proposed that TMS-induced electric currents flowing from LM (latero-medial), PA 99 and AP (anterior to posterior) directions activate different sets of excitatory synaptic inputs 100 that arrive at the pyramidal tract neurons several milliseconds apart (Di Lazzaro & Rothwell, 101 2014; Di Lazzaro et al., 2017). LM stimulation at high intensity can directly activate the 102 corticospinal axons of pyramidal tract neurons, evoking a short-latency D-wave (Di Lazzaro 103 & Rothwell, 2014). PA stimulation preferentially elicits primarily early I-wave, which is 104 thought to originate from excitatory inputs to the basal dendrites of the corticospinal neurons 105 in layer V of M1 (Di Lazzaro & Ziemann, 2013; Hannah, 2020). AP stimulation preferentially 106 elicits later and more dispersed I-waves which are thought to result from mono- and poly-107 synaptic inputs from layers II/III of M1 (Ziemann, 2020), as well as the activation of 108 horizontal cortico-cortical connections from surrounding brain regions to M1 (Di Lazzaro et 109 al., 2017; Hannah, Cavanagh, et al., 2018). Therefore, comparing MEPs amplitude recorded 110 in hand muscles induced by PA and AP current directions allows us to infer the different I-111 wave contributions evoked by separate subpopulations of interneurons. To our knowledge, 112 whether MI differentially modulates specific circuits with each current direction remains 113 unexplored. As MI is an important field of research in cognitive neuroscience and motor 114 rehabilitation, it is important to decipher the neural circuits underlying imagined movements.

In the current study, we investigated the modulation of early and late I-waves generating pathways specifically activated by PA and AP-directed currents during MI and rest conditions. We used single-pulse and paired-pulse TMS to probe corticospinal excitability and short-interval intracortical inhibition (SICI). Interestingly, SICI affects mainly later I-

- 119 waves that are mainly targeted by AP orientation (Nakamura et al., 1997; Hanajima et al.,
- 120 1998; Cirillo & Byblow, 2016; Wessel *et al.*, 2019), and SICI increases during MI but is only
 121 observed with PA orientation (Neige *et al.*, 2020).

122 If MI preferentially recruits superficial layers within M1 (Persichetti *et al.*, 2020), we would 123 observe a greater increase in corticospinal excitability and SICI with AP than with PA 124 orientation. On the contrary, if MI activates neural circuits downstream of the pyramidal cells 125 (Grosprêtre *et al.*, 2015), we expect that MI would induce a specific modulation of the 126 pathway recruited by PA orientation that preferentially generates early I-wave. This pathway 127 would be critical in modulating both corticospinal excitability and SICI.

128 Material and Methods

129 Participants

Seventeen healthy volunteers were recruited in the current study after providing written informed consent (3 females; age = 24.3 years, range 21-31 years; height = 177 ± 8 cm; weight = 69 ± 10 kg; right-handed as assessed by the Edinburgh Handedness Inventory (Oldfield, 1971)). All volunteers were screened by a medical doctor for contraindications to TMS (Rossi *et al.*, 2009). The protocol was approved by the CPP SOOM III ethics committee (number 2017-A00064-49) and complied with the Declaration of Helsinki.

136 Experimental setup

137 Participants were seated in an isokinetic dynamometer chair (Biodex System 3, Biodex 138 Medical Systems Inc., Shirley, NY, USA). Participants' right hand was firmly strapped in a 139 neutral position to a custom-built accessory adapted for wrist isometric contraction. The 140 rotation axis of the dynamometer was aligned with the styloid process of the ulna. The upper 141 arm was vertical along the trunk (shoulder abduction and elevation angles at 0°), and the 142 forearm was semipronated and flexed at 90°. First, participants familiarized themselves with 143 the voluntary force production feedback procedure during an approximately 5-min warm-up 144 of wrist flexions. Next, they received online visual feedback of the real-time exerted force 145 contraction on a computer screen located 1 m in front of them. Then, participants performed 146 three maximal voluntary isometric contractions lasting 3 seconds with verbal encouragement, 147 separated by at least 30 seconds of rest in between. The maximum of the three trials was 148 defined as the participant's maximal voluntary isometric contractions.

149 Electromyographic recordings

Surface electromyographic (EMG) activity was recorded from the right flexor carpi radialis (FCR) using two silver-chloride (Ag/AgCl) electrodes placed over the muscle belly at 1/3 of the distance from the medial epicondyle of the humerus to the radial styloid process. In addition, a ground electrode was placed over the medial epicondyle to the radial styloid. Signals were amplified (gain of 1000), band-pass filtered (10–1000 Hz), digitized at a sampling rate of 2000 Hz and stored for off-line analysis (Biopac Systems Inc. Goleta, CA, USA).

Background root mean square (RMS) of the surface EMG was calculated during the 100 msepoch before TMS to ensure the absence of muscle contraction in each condition.

159 Transcranial Magnetic Stimulation

160 Transcranial magnetic stimuli were applied using a 70-mm figure-of-eight coil through a 161 Magstim BiStim² stimulator (The Magstim Co., Whitland, UK) with a monophasic current 162 waveform. The optimal stimulation site on the scalp (hotspot) was defined as the location 163 eliciting the largest MEP amplitude in the FCR muscle with PA-induced currents for a given intensity. This location was marked by a color marker on a tight-fitting cap worn by the 164 165 participant. For other coil orientations, the same hotspot was used since previous experiments 166 have shown that the direction of the induced current does not significantly influence the 167 position of the hotspot (Sakai et al., 1997; Hamada et al., 2013) (see Figure 1).

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Please Insert Fig. 1 here

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171 The resting motor threshold (rMT) was determined for PA and AP directions as the lowest 172 stimulus intensity required to evoke at least 5 MEPs of 50 μ V peak-to-peak amplitude out of 173 10 consecutive trials in the relaxed muscle (Rossini *et al.*, 1994). The active motor threshold 174 (aMT) was determined for PA, LM and AP directions as the lowest stimulus intensity 175 required to evoke at least 5 MEPs of 200 μ V peak-to-peak amplitude out of 10 consecutive 176 trials during 10% of their Maximal Voluntary Contraction (MVC) (Rossini *et al.*, 1994).

177 MEPs latency

178 The onset latency of MEPs obtained between PA-LM and AP-LM was used as an individual

index of early (I1) and late (I2, I3) I-waves recruitment (Hamada *et al.*, 2013; Neige &Beynel, 2020).

181 MEPs onset latency was determined for the FCR while participants maintained approximately 182 10% of their MVC, with online feedback visualizing the generated force recorded by the 183 isokinetic dynamometer (Hamada et al., 2013). This was done to ensure that low stimulus 184 intensities could be used, thereby maximizing the selectively recruiting early or late I-waves 185 with PA or AP currents. Higher stimulus intensity was used for LM to ensure that 186 corticospinal neurons were directly stimulated (D-wave) at this coil orientation (Werhahn et 187 al., 1994). Stimulation intensities were set at 110% of aMT_{PA}, 110% of aMT_{AP} and 150% of 188 aMT_{LM} (or 50% of maximum stimulator output (%MSO) in participants whose 150% aMT_{LM} 189 did not reach 50 %MSO) (Hamada et al., 2013). Fifteen MEPs were recorded for each current 190 direction, with the order of currents pseudo-randomized. The participants were instructed to 191 maintain their contraction, and the interval between stimulations was fixed at 4s. At the end of 192 each condition (i.e., 15 trials) participants were asked to relax their wrists to avoid fatigue. 193 The onset latency of MEPs assessed during muscle contraction was measured from the 194 superimposed raw EMG waves-forms by visual inspection (Hamada et al., 2013; Hannah, 195 Rocchi, et al., 2018).

196 Adaptive threshold-hunting technique

197 To probe the distinct cortical elements recruited within M1 during MI, we used the adaptive 198 threshold-hunting technique, which consists in maintaining a constant MEP amplitude (called 199 the MEP_{target}, see below) by adjusting the TS (Test Stimulus) stimulation intensity. The 200 adaptive threshold-hunting paradigm offers several advantages when compared to the 201 conventional protocol used to assess corticospinal excitability and SICI modulations. First, it 202 allows for overcoming the intrinsic MEPs amplitude variability, thus providing more reliable 203 results with a shorter acquisition time (Samusyte et al., 2018). Then, it minimizes the 204 potential "floor/ceiling effect" when complete inhibition is observed with the conventional 205 SICI paradigm (Cirillo & Byblow, 2016). Finally, the adaptive threshold-hunting technique 206 relies on a weaker TS intensity (see below) than conventional paradigms (usually MEP test 207 1mV or 120-130% rMT), which is thought to recruit more selectively early and later I-waves 208 generating pathways (Di Lazzaro et al., 2001; Cirillo et al., 2020).

In the current study, unconditioned MEP_{test} and SICI modulation obtained during MI and compared to the rest will be assessed by using the adaptive threshold-hunting technique with PA and AP current orientations known to elicit early- preferentially and late I-waves, respectively.

213 MEP_{target}

214 The hunting threshold was defined as the TS intensity (expressed in %MSO) required to elicit 215 a MEP_{target} in the relaxed FCR muscle corresponding to the mean of 15 MEPs elicited at 115% rMT_{PA} in peak-to-peak amplitude. This led to a MEP_{target} of 0.251 \pm 0.141 mV 216 217 amplitude (see Table 1 for individual values). Generally, a non-personalized fixed 0.2 mV 218 MEP_{target} amplitude is selected in studies using the adaptive threshold-hunting technique, 219 corresponding approximately to 109% rMT (Fisher et al., 2002; Awiszus, 2003; Vucic et al., 220 2006; Menon et al., 2015; Cirillo & Byblow, 2016; Cirillo et al., 2018; Samusyte et al., 2018; 221 Van den Bos et al., 2018; Neige et al., 2020). However, in the current study, a subject-222 specific MEP_{target} was chosen since 1) a huge between-subject variability in the intrinsic 223 excitability of the corticospinal pathway exists, 2) a TS delivered at a lower intensity (i.e., 224 below 110% rMT) could fail to evoke late I-waves, and limits SICI magnitude (Garry & 225 Thomson, 2009) and 3) a TS delivered at a higher intensity can also elicit early I-waves when 226 using an AP current direction, therefore limiting the interpretation differences obtained 227 between PA and AP findings.

228 Single-pulse TMS

The adaptive threshold-tracking single-pulse TMS technique was used to assess the unconditioned TS stimulation intensity required to reach the MEP_{target} amplitude (see General procedure) at rest vs. during MI, with PA and AP currents direction. The unconditioned TS intensity (expressed in %MSO) was quantified and compared across all experimental conditions.

234 Short-interval intracortical inhibition (SICI)

The adaptive threshold-hunting technique was then used to investigate SICI modulation at rest vs. during MI, with PA and AP currents direction. A sub-threshold conditioning pulse (CS) was applied before the TS. The conditioned TS stimulation intensity required to reach the MEP_{target} amplitude was quantified. The CS intensity was fixed at 60% rMT_{PA} for SICI_{PA} and 60% rMT_{AP} for SICI_{AP}, based on a previous study showing that higher CS intensities could lead to the unwanted recruitment of excitatory interneurons during MI, biasing the result
interpretation (Neige *et al.*, 2020). The inter-stimulus interval (ISI) between CS and TS was
set at 3 ms to induce the greatest inhibition when using the AP current direction (Kujirai *et al.*, 1993; Cirillo *et al.*, 2018).

To probe the influence of the Task and Orientation on intracortical inhibition, the amount of SICI (expressed in INH%) was quantified for each condition using the following equation (Fisher *et al.*, 2002):

$$INH(\%) = \frac{\text{(conditioned TS Intensity)-(unconditioned TS Intensity)}}{(unconditioned TS Intensity)} X 100$$

The higher values characterize the higher TS Intensity required to overcome the inhibitory influence of the CS and reach the MEP_{target} amplitude (Cirillo *et al.*, 2020).

It has to be noted that only SICI data from 15 participants were used in the subsequent analysis because it was not possible to reach the MEP_{target} amplitude even at high stimulation intensity (>90% MSO) in 2 participants.

252 General procedure

253 Experimental conditions (single- vs. paired-pulse; rest vs. MI; PA vs. AP current direction) 254 were performed in different recording blocks and were randomized and counterbalanced 255 across participants. An available online freeware (TMS Motor Threshold Assessment Tool, 256 MTAT 2.0), based on a maximum-likelihood Parameter Estimation by Sequential Testing (PEST) strategy (Awiszus, 2003) was used with "assessment without a priori information" in 257 258 line with previous studies (Cirillo & Byblow, 2016; Cirillo et al., 2018). The stimulation 259 sequence always began with the TS at 37 %MSO. One experimenter held the coil over M1, 260 while the other indicated whether (or not) the MEP amplitude was \geq MEP_{target}. The predictive 261 algorithm then determined the next TS intensity to be delivered and was stopped after twenty 262 stimulations, which provides sufficient accuracy for the threshold estimate according to 263 previous studies (Awiszus, 2003, 2014; Ah Sen et al., 2017).

For MI trials, participants were instructed to perform explicit and kinesthetic (somatosensory) MI of right wrist maximal isometric contractions with the first-person perspective for a duration of 3 s following an auditory cue (Hanakawa, 2016). Participants were reminded that they had already performed this movement during maximal contractions at the beginning of the experiment. The following instructions (in French) were carefully given to the 269 participants: "When you hear the cue, try to imagine yourself performing the movement, 270 feeling the movement, i.e., the muscle contraction and the tension that you would experience 271 when performing the actual action. Be sure not to contract any muscles during the task and 272 keep your eves open" (Lebon et al., 2019; Neige et al., 2021). The kinesthetic MI strategy is 273 thought to produce the greater muscle-specific and temporally modulated facilitation of the 274 corticospinal pathway compared to the visual MI strategy (Stinear et al., 2006). The TMS 275 pulses were triggered 1250 \pm 250 ms after the onset of the auditory cue during the execution 276 phase of MI trials (Neige et al., 2021) and the inter-trial interval was 8 s. Finally, the RMS 277 preceding the TS for each trial was inspected during the experiment. Trials contaminated by 278 pre-stimulus EMG activity (RMS >10 μ V; 100 ms before stimulation) were rejected online 279 and repeated immediately (Mooney et al., 2018).

280 Statistical analysis

281 Statistical analyses were performed using Statistical Program for the Social Sciences (SPSS) 282 version 24 software (SPSS Inc., Chicago, IL, USA). Data distribution was assessed using the 283 Shapiro-Wilk test. Homogeneity of variances was assessed by Mauchly's test. If the 284 sphericity assumption was violated, a Greenhouse-Geiser correction was applied. Pre-planned 285 posthoc analyses were performed on significant interactions after applying a Bonferroni 286 adjustment for multiple comparisons. Corrected p values for multiple comparisons are 287 reported in the results section. The α level for all analyses was fixed at .05. Partial eta squared (η_p^2) values are reported to express the portion of the total variance attributable to the tested 288 289 factor or interaction. For t-test analyses, effect sizes (Cohen's d) are reported to indicate small 290 (d = 0.2), moderate (d = 0.5) and large (d = 0.8) comparative effects. Values in parentheses in 291 the text represent mean \pm SD.

The first set of analyses waq performed to control for potential methodological biases. A Student's two-tailed paired sample *t*-tests were used to compare the rMT and aMT (%MSO) obtained for PA and AP current direction and to analyze the MEPs latency difference between PA-LM and AP-LM.

Then, a repeated-measure ANOVA was performed on the unconditioned TS Intensity (%MSO) with two within-subject factors: $Task_2$ (Rest vs. MI) and Orientation₂ (PA vs. AP). Moreover, to complement this analysis and test specifically how changes observed between rest and MI differ according to the Orientation, MI-rest ratios were calculated and compared (PA vs. AP) by using a two-tailed Student *t*-test for paired samples.

- The same repeated-measure ANOVA was also performed on SICI measurements (INH %) with Task₂ (Rest vs. MI) and Orientation₂ (PA vs. AP) within-subject factors.
- 303 The RMS values were compared across conditions using a repeated-measures analysis of
- 304 variance (ANOVA) with two within-subject factors: Task₂ (Rest vs. MI), and Orientation₂
- 305 (PA vs. AP). This ANOVA was performed separately for the unconditioned TS and the SICI
- 306 measures. We predict no significant difference for these comparisons since an absence of any
- 307 volitional muscle activity is expected for all the experimental conditions.

308 **Results**

309 Motor thresholds

310Overall, both rMT (t(16) = -3.55, p = .003; Cohen's d = -0.862) and aMT (t(16) = -8.147, p311< .001; Cohen's d = -1.976) were significantly lower for PA compared to AP orientation312(Table 1) as observed in previous studies using the adaptive-hunting threshold technique313(Cirillo & Byblow, 2016; Cirillo *et al.*, 2018).314315315Please Insert Table 1 here

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317 MEP latency

The analysis of MEPs latency difference revealed that PA-LM latency was significantly shorter compared with AP-LM latency (0.90 \pm 0.7 ms *vs.* 2.56 \pm 1.1 ms; *t*(16) = -10.042, p < .001; Cohen's *d* = -2.436). This result was consistent across participants and suggested that the early wave recruited with PA orientation (mean latency = 17.06 \pm 1.2 ms) and late Iwaves recruited with AP orientation (mean latency = 18.72 \pm 1.4 ms) could be differentially recruited within individuals.

324 Unconditioned TS Intensity

Figure 3A illustrates the unconditioned TS Intensity obtained at rest and during MI for PA and AP current directions. We found a significant main effect of Orientation ($F_{(1,16)} = 39.338$, p < .001; $n_p^2 = .711$) indicating that the unconditioned TS Intensity required to reach the MEP_{target} was significantly higher for the AP orientation than the PA orientation. A main effect of Task was also observed ($F_{(1,16)} = 11.004$, p = .004; $n_p^2 = .409$) but more importantly

- the Orientation by Task interaction was significant ($F_{(1,16)} = 5.130$, p = .038; $n_p^2 = .243$). Post hoc analyses revealed that for both PA and AP orientations, the unconditioned TS Intensity required to reach the MEP_{target} was significantly lower during MI than at rest (p = .005 for PA and p = .025 for AP) indicating that MI increased corticospinal excitability. Importantly, when comparing the rest vs. MI ratios according to the Orientation, the reduction of the unconditioned TS Intensity for MI when compared to rest was significantly more important for PA than AP direction (t(16) = -2.601, p = .019; Cohen's d = -0.631) (see Figure 3B).
- Taken together, these results suggest that the classical corticospinal excitability increaseduring MI is mainly driven by early I-waves recruitment.

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343 Conditioned TS Intensity (SICI)

344 Figure 4 illustrates the percentage of inhibition (SICI) obtained at rest and during MI for the 345 PA and AP current direction. We did not find any main effects of Orientation ($F_{(1,14)} = 1.107$, p = .311) or Task (F_(1,14) < 1, p = .895), but the Orientation by Task interaction was significant 346 $(F_{(1,14)} = 11.995, p = 0.004, n_p^2 = .461)$. Post hoc comparisons showed that at rest, the amount 347 348 of SICI was higher for AP orientation than the PA orientation (p = .031) whereas it was not 349 significant when comparing orientations during MI (p = .106). Moreover, SICI was greater 350 during MI compared to rest with the PA orientation (p = .028), whereas SICI was lower 351 during MI compared to rest with the AP orientation (p = .033).

- 352
- 353 Please Insert Fig. 3 here
- 354
- 355 **RMS**

The analysis of RMS of EMG background for the unconditioned TS showed no significant difference between PA and AP orientation ($F_{(1,16)} < 1$, p = .548) and more importantly between rest and MI ($F_{(1,16)} < 1$, p = .542). Nor was the Orientation by task interaction ($F_{(1,16)}$ = 3.594, p = .076). Similarly, the analysis of the RMS values for the conditioned TS yielded no significant main effect of Orientation ($F_{(1,14)} < 1$, p = .997), Task ($F_{(1,14)} = 1.77$, p = .204) or Orientation by Task Interaction ($F_{(1,13)} < 1$, p = .563).

363 Together, these results indicate that any changes in corticospinal excitability cannot be 364 attributed to differences in the EMG levels prior to the TMS pulse.

365 **Discussion**

366 In the current study, we demonstrated for the first time that MI activates different subsets of 367 neurons within M1 by means of directional TMS and the adaptive threshold-hunting 368 technique. The increase of corticospinal excitability during MI may originate from an increase 369 in the excitability of the pathway known to generate early I-waves rather than the pathway 370 that preferentially generates late I-waves, as evidenced by a greater increase observed with 371 PA orientation when compared to AP orientation. By using paired-pulse stimulation, the 372 results confirmed that the amount of SICI measured at rest is higher for AP orientation than 373 the PA orientation (Neige et al., 2020). Interestingly, the SICI increase observed during MI 374 (vs. at rest) was also restricted to PA orientation. On the contrary, when using AP orientation 375 SICI was lower during MI compared to rest. Taken together, it suggests that pathways 376 recruited by PA and AP orientations generating early- and late I-waves respectively are 377 differentially modulated by MI. This result also confirms the hypothesis that MI activates 378 neural circuits downstream of the pyramidal cells and produces a subliminal motor output that 379 reaches the spinal level (Grosprêtre et al., 2015) rather than induces a specific superficial 380 activation restricted to the superficial layers within M1 that could explain the absence of 381 muscle activity during motor imagery (Persichetti et al., 2020). The excitability of the 382 pathway activated by PA orientation that generates early I-wave may be critical in modulating 383 both corticospinal excitability and intracortical inhibition.

384 Corticospinal excitability increase observed during MI is greater for PA than AP

385 orientation

386 It is well known that MEPs amplitude increases during MI compared to rest reflect an 387 increase in neuron responsiveness to TMS (Grosprêtre *et al.*, 2016). However, MI is a 388 complex state that also involves mechanisms that actively suppress the transmission of the 389 motor command into the efferent pathway, supporting the action of inhibitory pathways 390 during MI (Jeannerod & Decety, 1995). Actually, it is still unclear which inhibitory 391 mechanisms counteract the corticospinal excitability increase in order to prevent the392 production of an overt movement.

Based on previous reports demonstrated that single-pulse TMS with a PA orientation preferentially recruits early I-waves (I1), whereas AP orientation preferentially recruits later Iwaves (I3) (Zoghi *et al.*, 2003; Di Lazzaro *et al.*, 2017), we used directional TMS to activate different sets of excitatory synaptic inputs within M1.

- First, our results demonstrate that our experimental setting (coil orientation) was correct, with
 a significant latency difference between PA-LM and AP-LM, supporting differential
 recruitment of cortical neurons in M1 relative to the current orientation (Werhahn *et al.*, 1994;
 Hamada *et al.*, 2013; Di Lazzaro & Rothwell, 2014). If it has already been shown in a forearm
 extensor muscle (McCambridge *et al.*, 2015), our study is the first to demonstrate latency
 difference in the FCR muscle.
- 403 To further investigate the involvement of different subsets of cortical neurons during MI 404 compared to the resting state, we used the adaptive threshold-hunting technique and compared 405 the unconditioned TS intensity (%MSO) required to reach the MEP_{target} at rest and during MI 406 with PA and AP orientation. We found that the unconditioned TS Intensity required to reach 407 the MEP_{target} was significantly lower during MI than at rest for both the PA and the AP 408 orientations. However, when comparing the ratios MI/rest according to the orientation, the 409 reduction of the unconditioned TS Intensity for MI was significantly more important for PA 410 than AP direction. Taken together, these results indicate that the early I-wave generating 411 pathway within M1 possibly mediated the MEPs amplitude increases observed during MI. 412 The exact underpinning neurophysiology of I-waves generation remains largely 413 misunderstood (Ziemann, 2020). However, it has been suggested that the early I-wave evoked 414 by TMS with PA orientation is the result of the activation of monosynaptic cortico-cortical 415 connections projecting onto the large corticospinal neurons of layer V (Di Lazzaro & 416 Ziemann, 2013; Di Lazzaro et al., 2017; Hannah, 2020). This result seems coherent with 417 previous literature assuming that the early I-wave is produced by a different anatomical 418 substrate and mechanism than the late I-waves (Ziemann, 2020). Crucially, specific early I-419 wave recruitment evoked by TMS with PA orientation is enhanced when corticospinal excitability increases (Di Lazzaro et al., 1998a, 2017), which was the case during MI. For 420 421 example, voluntary muscle contraction increased both corticospinal excitability and the relative contribution of the early I-wave (Di Lazzaro et al., 1998a, 2017). 422
- 423 The findings of the current study also extend and consolidate our knowledge regarding the

424 distinct I-wave circuits recruitment during behavioral states that share analogous control 425 mechanisms and neural circuits with overt movements but without any muscle activity (i.e., 426 covert actions) (Hannah, 2020). Indeed, recent studies exploited the directional TMS 427 technique to probe the different subsets of cortical neurons recruited during motor preparation 428 (Hannah, Cavanagh, et al., 2018) and action observation (Hannah, Rocchi, et al., 2018). The 429 results showed that during motor preparation, the decrease of the corticospinal excitability in 430 the selected and non-selected muscles was accompanied by selective suppression of the subset of excitatory inputs to corticospinal neurons responsible for late I-waves. In contrast, the 431 432 subsets of neurons responsible for early-I wave generation remain unaffected (Derosiere, 433 2018; Hannah, Cavanagh, et al., 2018). However, when using the directional TMS technique 434 during action observation, other authors failed to observe selective recruitment of the early or 435 late I-waves pathway, probably due to large intersubject variability in corticospinal 436 modulations (Hannah, Rocchi, et al., 2018). Overall, the recent use of the directional TMS 437 technique applied during motor preparation, action observation and MI allows further insight 438 into the distinct circuitry recruited with TMS that contributes to the corticospinal excitability 439 modulation.

440 SICI increase during MI is restricted to PA orientation

The adaptive threshold-hunting paired-pulse TMS technique was also used in the current
study to examine modulations of SICI during MI and at rest and how they are influenced by
TMS coil orientation.

444 SICI involves a subthreshold CS, which is thought to activate low-threshold inhibitory 445 interneurons that employ gamma-aminobutyric acid type A receptor (GABA_A). The effect of 446 the activation of these GABAergic inhibitory interneurons is the reduction of the excitatory 447 inputs activated by the TS (Kujirai et al., 1993; Di Lazzaro et al., 1998b, 2017). Importantly, 448 it has been described previously that SICI affects predominantly later I-waves (I3), mainly 449 targeted by AP orientations (Nakamura et al., 1997; Hanajima et al., 1998; Di Lazzaro et al., 450 2012; Higashihara et al., 2020). Moreover, it is known that the use of the adaptive threshold-451 tracking technique with an AP-induced current with a 3-ms ISI provides a more robust and 452 sensitive measure of SICI than with a PA-induced current (Cirillo & Byblow, 2016). 453 Therefore, a greater level of SICI assessed at rest using AP- compared with PA-orientation 454 demonstrated in the present study corroborates and replicates earlier findings (Cirillo & Byblow, 2016; Cirillo et al., 2018, 2020). This result also indicates further evidence that SICI 455

456 is mediated by the recruitment of inhibitory interneurons generating late I-waves.

- By comparing the extent of SICI modulation obtained with a PA-induced current, we found that there was significantly more inhibition during MI when compared to rest. This finding also corroborates a previous study showing that when tested with low CS intensities, as in the current study (< 70 %rMT), SICI is greater during MI than at rest (Neige *et al.*, 2020). This increase in SICI could reflect the crucial role played by cortical interneurons within M1 in the fine-tuning neural processes required during MI. This may prevent the production of an overt movement when the mental representation of that movement is activated.
- 464 Conversely, by comparing the extent of SICI modulation obtained with an AP-induced 465 current, we found a SICI decrease during MI compared to rest. Moreover, contrary to what 466 was found during the resting state, the level of SICI assessed during MI using AP- compared 467 with PA orientation was not significantly greater. These results, combined with the 468 unconditioned TS intensity findings, indicate that the specific early- and late I-waves evoked 469 by PA and AP orientation are differentially modulated by MI.

470 MI influences a specific distributed circuit that can differentially contribute to early and 471 late I-waves

472 Neuroimaging studies provided evidence that MI activates a premotor-parietal network, 473 including cortical and subcortical brain regions such as the dorsolateral prefrontal cortex, 474 supplementary motor area, premotor cortex, posterior parietal regions, putamen and 475 cerebellum (Hardwick et al. 2018). Crucially, M1 is known to integrate inputs from some of 476 these structures, and the latter are differentially recruited according to the current orientation. 477 For example, late I-waves evoked by AP orientation could activate axons of neurons of the 478 premotor cortex projecting to the corticospinal cells (Groppa et al., 2012; Volz et al., 2015; 479 Aberra et al., 2020; Siebner, 2020; Desmons et al., 2021). Recently, Oldrati et al. (2021) 480 reported that following offline 1Hz inhibitory repetitive TMS over the dorsal premotor cortex 481 (PMd), corticospinal excitability assessed during kinesthetic MI was not significantly higher 482 than rest condition (Oldrati et al., 2021). These findings suggest facilitatory connectivity from 483 PMd to M1 during MI. Although this remains speculative, the facilitatory input from PMd to 484 M1 during MI has decreased the SICI level within M1. Moreover, the opposite higher level of 485 SICI during MI (vs. rest) observed with PA current reflect the activation of inhibitory inputs 486 received from the somatosensory cortex and the supplementary motor area, both areas known 487 to functionally inhibit M1 when imagining (Kasess et al., 2008; Oldrati et al., 2021). Finally,

- 488 it is also possible that the cerebellum, which facilitates M1 excitability during MI (Tanaka et
- 489 *al.*, 2018; Rannaud Monany *et al.*, 2022), also contributes to the result of the current study
- 490 since the influence of the cerebellum on M1 might occur via interactions with specific I-
- 491 waves generating circuits (Spampinato *et al.*, 2020).

492 Limitations and perspectives

493 Several limitations need to be taken into consideration when interpreting the results of this 494 study. First, SICI modulations tested with the adaptive-threshold hunting technique also 495 depend on CS intensity (particularly during MI) (Vucic et al., 2009; Ibáñez et al., 2020; Neige 496 et al., 2020) and interstimulus intervals (Fisher et al., 2002). These two parameters were not 497 manipulated in the current study, and the careful consideration of stimulation parameters 498 selected for SICI assessment deserves further investigations. Moreover, the activation of 499 distinct subsets of neurons within M1 according to the PA or AP orientation is known to be 500 sensitive to specific stimulation parameters such as pulse duration, pulse shape, and phase-501 amplitude (D'Ostilio et al., 2016; Hannah & Rothwell, 2017; Hannah et al., 2020; 502 Spampinato, 2020).

Future studies should use a controllable pulse parameter TMS device with 1) monophasic pulses and 2) short duration pulses (i.e., $30 \ \mu s$) for AP current and long duration pulses (i.e. $120 \ \mu s$) for PA current to determine whether the different activation of subsets of neurons in AP and PA current change during MI.

507 To gain further insight into the different subsets of cortical neurons and interneuronal circuits 508 recruited during MI, it would be worthwhile to exploit recent techniques also developed to 509 probe the separate subsets of inputs within M1. For example, Kurz et al. (2019) developed a 510 novel non-invasive method that combines single-pulse TMS with peripheral nerve 511 stimulations of the median nerve generating an H-reflex. This technique makes it possible to 512 estimate excitability changes of different micro-circuits of M1, which reflect layer-specific 513 activity (Dukkipati & Trevarrow, 2019; Kurz et al., 2019). Since layer-specific cortical 514 circuits activity has been recently evidenced during MI (Persichetti et al., 2020) and 515 corticospinal neurons responsible for the early and late I-waves pathways are thought to 516 originate from layer-specific cortical circuits, the technique of Kurz et al. could be a 517 promising tool to delineate further the different subsets of neurons in M1 activated during MI. 518 Finally, the exact contribution of the early and late I-waves can be captured by delivering 519 paired-pulse TMS at precise intervals approximating the different I-wave latency (Tokimura *et al.*, 1996; Hanajima *et al.*, 2002). This technique has been recently applied during grasping
observation to isolate the contribution to early and late excitatory inputs to M1 (Cretu *et al.*,
2020) and could be tested during MI.

523 In conclusion, this study is the first to present evidence that the increase of corticospinal 524 excitability and intracortical inhibition during MI may originate from a specific modulation of 525 the excitability of the pathway activated by PA orientation that generates early I-wave (rather 526 than later I-waves generated by AP orientation). This finding is reflected by a greater 527 corticospinal excitability increase observed during MI (compared to rest) with PA than AP 528 orientation. Moreover, the SICI increase during MI was only restricted to PA orientation. We 529 found decreased SICI when using AP orientation, which is more sensitive to later I-waves 530 generating pathways. Taken together, the results confirm that MI modulates the excitability of 531 the pathway that generates early I-wave preferentially.

532 Competing interests

533 The authors declare no competing interests.

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Table

Subject	rMT _{PA}	rMT _{AP}	aMT _{PA}	aMT _{AP}	aMT _{LM}	MEP _{target}
1	40	46	26	35	30	0.213
2	32	41	28	35	29	0.384
3	50	35	28	39	35	0.109
4	46	55	39	48	40	0.095
5	39	51	37	44	44	0.079
6	32	32	25	28	29	0.337
7	45	49	39	41	44	0.291
8	45	56	35	50	35	0.647
9	43	55	33	47	34	0.255
10	30	35	26	30	31	0.234
11	50	52	43	46	43	0.170
12	36	40	27	34	30	0.162
13	35	48	29	42	33	0.258
14	38	42	31	36	34	0.368
15	43	49	34	42	37	0.263
16	40	45	36	41	39	0.313
17	41	49	32	42	35	0.119
Mean	40.3	45.9	32.2	40	35	0.252
SD	6.2	7.6	5.5	6.4	5.2	0.14

Table 1: Individual rMT and aMT expressed in %MSO (Rossini et al., 1994) according

to PA and AP orientations. The individual MEP_{target} amplitude (mV) has been calculated

762 from the mean of 15 MEPs elicited at 115% rMT_{PA} .

Figures



- **Figure 1:** Illustration of the coil orientations and their direction of currents induced in
- the brain (large arrows) by single- and paired-pulse TMS.



769

770 Figure 2: A) Mean ± SE for the unconditioned TS Intensity (%MSO) obtained with the 771 hunting-threshold technique at rest and during motor imagery for the two current 772 orientations. Lower values of %MSO indicate lower TS intensities to reach the MEP_{target} 773 amplitude. **B**) Ratio for the unconditioned TS Intensity obtained during motor imagery 774 and expressed as a percentage of rest condition for the two current orientations. 775 Negative values indicate lower TS intensities during Imagery compared to rest, and 776 therefore, an increase in corticospinal excitability which is greater with PA orientation 777 than with AP orientation.

778 Data points represent individual participants. PA: posterior-anterior; AP: anterior-

779 posterior. *p<.05; **p<.01.



781

- 782 **Figure 3:** Mean ± SE for the SICI (% INH) obtained with the hunting-threshold
- technique at rest and during motor imagery for the two current orientations.
- 784 Data points represent individual participants. PA: posterior-anterior; AP: anterior-
- 785 posterior. *p < .05

787 Data Accessibility

- All datasets will be freely available on the Open Science Framework repository upon
- 789 publication at <u>https://osf.io/ks92r/</u>

790

792 List of abbreviations

- 793 AP Anterior-Posterior
- aMT –active Motor Threshold
- 795 CS –Conditioned Stimulus
- 796 EMG Electromyographic
- 797 FCR –Flexor Carpi Radialis
- 798 GABA_A –Gamma-aminobutyric acid type A receptor
- 799 INH Inhibition
- 800 M1 Primary Motor Cortex
- 801 MEPs Motor Evoked Potentials
- 802 MI Motor imagery
- 803 MSO Maximum Stimulator Output
- 804 MVC Maximal Voluntary Contraction
- 805 PA Posterior-Anterior
- 806 PMd –dorsal Premotor cortex
- 807 RMS –Root Mean Square
- 808 rMT –resting Motor Threshold
- 809 SICI Short-Interval Intracortical Inhibition
- 810 TS –Test Stimulus
- 811 TMS Transcranial magnetic stimulation