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► **To cite this version:**

Huaping Lei, Jun Chen, Pierre Ruterana. Comparative studies of interatomic potentials for modeling point defects in wurtzite GaN. *AIP Advances*, 2023, 13, pp.015015. 10.1063/5.0127110. hal-04001503

**HAL Id: hal-04001503**

**<https://hal.science/hal-04001503>**

Submitted on 22 Feb 2023

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1 **Comparative studies of interatomic potentials for modeling point defects in wurtzite**  
2 **GaN**

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10 (Dated: 21 December 2022)

11 In this paper, a new version of the Stillinger-Weber (SW) potential for wurtzite  
 12 GaN is presented, by which we systematically explore the structural and thermo-  
 13 dynamical properties of native point defects and their complexes. In parallel, the  
 14 semi-empirical Modified Embedded-Atom Method (MEAM) potential is selected for  
 15 comparison. The SW and MEAM potentials are assessed by the reproduction of the  
 16 fundamental properties of wurtzite GaN and by the ability to describe the inversion  
 17 domain boundaries and the wurtzite-rocksalt phase transition. Then the structural  
 18 search of native point defects and their complexes in GaN is implemented using both  
 19 SW and MEAM potentials with the benchmark of Density Functional Theory (DFT)  
 20 calculations. Besides vacancies and antisites, four N and five Ga interstitials are  
 21 confirmed through the refining of DFT calculations, among which two N split in-  
 22 terstitials  $N^+-N\langle 2\bar{1}\bar{1}0 \rangle$ ,  $N^+-Ga\langle 01\bar{1}0 \rangle$ , and two Ga split interstitials  $Ga^+-Ga\langle 01\bar{1}0 \rangle$ -g,  
 23  $Ga^+-N\langle 01\bar{1}0 \rangle$  are observed for the first time. The SW potential correctly predicts the  
 24 octahedral occupation  $Ga_{Oct}$  to be the most stable Ga interstitial, while the MEAM  
 25 potential predicts the ground state of  $N^+-N\langle 01\bar{1}0 \rangle$  split interstitial ( $N^+-N\langle 01\bar{1}0 \rangle$ -g) as  
 26 the most stable N interstitial. But neither of two potentials could generate simultane-  
 27 ously the most stable configurations of N and Ga interstitials. The investigations of  
 28 point defect complexes reveal that N octahedral Frenkel ( $Frenkel_{Oct}(N)$ ) and paired  
 29 antisite ( $N_{Ga}Ga_N$ ) defects are unstable and convert into the  $V_N \oplus N^+-N\langle 01\bar{1}0 \rangle$ -g con-  
 30 figurations with the different separations between  $V_N$  and  $N^+-N\langle 01\bar{1}0 \rangle$ -g point defects  
 31 based on the DFT calculations. The formation energies calculated by the DFT and  
 32 SW potential demonstrate that Schottky, Ga octahedral Frenkel ( $Frenkel_{Oct}(Ga)$ )  
 33 and  $V_N \oplus N^+-N\langle 01\bar{1}0 \rangle$ -g point defect complexes are energetically feasible, and they  
 34 should not dissociate into two isolated point defects. In contrast, the MEAM poten-  
 35 tial predicts the dissociation to be exothermic for Schottky and  $V_N \oplus N^+-N\langle 01\bar{1}0 \rangle$ -g.  
 36 Overall, the structural features concerned with N-N or Ga-Ga bonds relaxed by the  
 37 SW potential are more consistent with DFT calculations than the MEAM counter-  
 38 part.

39 Keywords: GaN, Point defects, empirical potentials, First-principles calculation,  
 40 Molecular statics, Molecular dynamics

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## 41 I. INTRODUCTION

42 GaN and related alloys attract scientific and industrial interests due to their unique  
43 properties<sup>1</sup>. They have direct band gap, high melting point, high thermal conductivity,  
44 high breakdown field, high electronic mobility and high saturation electron drift velocity.  
45 Belong to the  $P6_3mc$  space group they also inherently possess spontaneous and piezoelectric  
46 polarization. In GaN the strong bonding between cation and anion atoms gives it an intrinsic  
47 thermal stability and high radiation resistance with the displacement threshold energy up  
48 to 19 eV for Ga atoms<sup>2</sup>. These merits make GaN-based alloys suitable for high power, high  
49 temperature and high frequency electronic devices to operate in harsh environments such as  
50 power supplies, automotive industries, aerospace communications and nuclear reactors<sup>3-6</sup>.  
51 However, the performance of GaN-based devices is usually restricted below the theoretical  
52 values. They suffer the permanent and/or reversible degradations due to the structural  
53 defects, which mainly consist of point defects, threading dislocations and grain boundaries  
54 *etc.*<sup>7,8</sup>.

55 The point defects such as vacancies, antisites and interstitials could be generated  
56 during non-stoichiometric growth, thermal excitation<sup>9</sup>, ion implantation<sup>10</sup> or irradiation  
57 damage<sup>11,12</sup>, *etc.*. These native point defects and their complexes strongly influence the  
58 electrical and optical properties of materials. They act as traps and recombination centers  
59 for carriers<sup>7,13</sup> thus to deteriorate the efficiency of devices<sup>14</sup>, and they may also impede  
60  $p$ -type doping in GaN by inducing compensation<sup>15</sup>. The point defects critically control  
61 the diffusion dynamics in ion implantation, thermal annealing and recovery of irradiated  
62 damage<sup>16</sup>. On the other hand, they could be purposefully created to improve the perfor-  
63 mance of GaN-based devices. For instance, Ga interstitials generated in the low-fluence  
64 neutron irradiation passivate the vacancy-decorated threading dislocations thus to reduce  
65 the reverse leakage current of AlGaIn/GaN heterostructures<sup>17,18</sup>.

66 The atomic geometries of point defects in GaN have been identified by combining the  
67 experimental measurements<sup>13,14,19,20</sup> and the theoretical calculations with respect to dif-  
68 ferent charge states<sup>15,21-24</sup>. The dominant point defect is 3+ charged N vacancy in  $p$ -type  
69 GaN<sup>15,21-24</sup>, but it might change to 3- charged Ga vacancy in  $n$ -type GaN<sup>15,22,23</sup>. The atomic  
70 structures of N and Ga interstitials have been also resolved<sup>19-22,25-27</sup>. The  $N^+-N\langle 01\bar{1}0 \rangle$  con-  
71 figuration is recognized as the most stable N interstitial with the defect level at 1.0 eV below

72 the conduction band edge<sup>19,20</sup>. Ga interstitials are experimentally detected to possess  $C_{3v}$   
73 symmetry<sup>27</sup> locating at either octahedral or tetrahedral sites, but the latter occupation is  
74 excluded afterwards since the formation energy is higher by 93 meV according to the hybrid  
75 DFT calculation<sup>28</sup>. In addition to the thermodynamically stable configurations, the dynam-  
76 ical properties of point defects have been investigated as well. The positron annihilation  
77 experiment indicates that the migration barrier of N vacancy is 2.5 eV<sup>29</sup> in line with the  
78 calculated value of 2.6 eV<sup>30</sup> (2.7 eV<sup>31</sup>) for 3+ charged state, while Ga vacancy migrates with  
79 a barrier of 1.5 eV<sup>32</sup> in agreement with the theoretical value of 1.9 eV<sup>21,30</sup> for 3- charged  
80 state. As for N and Ga interstitials, the minimum migration barrier is predicted to be 1.4  
81 eV<sup>30</sup> (1.7 eV<sup>31</sup>) for 3+ charged N interstitials, and it is 0.7 eV<sup>31</sup> (0.9 eV<sup>30</sup>) for 3+ charged  
82 Ga octahedral interstitials in good agreement with the experimental value of 0.7 eV for Ga  
83 migration in the irradiated GaN<sup>27</sup>.

84 Besides point defects, threading dislocations are also present in GaN due to the lattice  
85 mismatch and the different thermal expansion between epilayers and substrates. With the  
86 presence of dislocations, the long-range elastic field can drive point defects to move direc-  
87 tionally for the release of their local strain. This causes interstitials and vacancies to migrate  
88 toward the tensile and compressive regions of dislocations, respectively. Therefore, the dis-  
89 locations act as sinks for point defects to form complex defective structures analogous to  
90 the dislocation-induced phase segregation in Ga(In, Al)N alloys<sup>33-36</sup>. In turn, point defects  
91 influence the structural and electronic properties of threading dislocations. The vacancy-  
92 decorated  $a$ -edge dislocations form the reverse leakage current channels in AlGaIn/GaN  
93 heterostructures<sup>17,18</sup>. Concerning the presence of external elastic field, the metastable or  
94 excited configurations of point defects are important to identify the diffusion pathways with  
95 lower migration barrier as intermediate states<sup>19,25</sup>. Thereby the systematical analysis of  
96 native point defects in GaN is crucial to clarify the interaction properties of point defects  
97 with threading dislocations in order to elucidate the degradation mechanisms of GaN-based  
98 devices.

99 The defect systems of interest contain a large amount of atoms. In order to balance  
100 reliability and efficiency, (semi-)empirical interatomic potentials are extremely suitable for  
101 the theoretical simulations. So far, several interatomic potentials have been developed for  
102 GaN, such as Stillinger-Weber (SW) potential<sup>37,38</sup>, polymorphic Stillinger-Weber potential<sup>39</sup>,  
103 Modified Embedded-Atom Method (MEAM) potential<sup>40</sup>, analytical bond-order potential<sup>41</sup>

104 *etc.*. They have been applied to simulate the atomic structures of defects and the dynamical  
105 processes of irradiation damage. With the parameterized Ga-Ga and N-N interactions<sup>37</sup>, the  
106 SW potential was used to investigate threading dislocations<sup>38,42,43</sup>, partial dislocations<sup>44</sup>, tilt  
107 grain boundaries<sup>45,46</sup> and point defect<sup>26</sup> *etc.*. However, the version of SW potential<sup>38</sup> used  
108 in the literature<sup>26</sup> fails to reproduce the most stable configurations of N and Ga interstitials.  
109 Considering the successful applications in the studies of defective structures, it is worth  
110 optimizing the SW potential continually to improve its performance in the simulation of  
111 point defects. As an alternative option, the MEAM potential for GaN developed by Do  
112 *et al.*<sup>40</sup> could additionally describe the elemental states of Ga and N atoms so that it is  
113 inherently applicable to simulate the growth process involving the dissolution or association  
114 of N<sub>2</sub> molecule and the clustering of Ga atoms. However, the assessment of MEAM potential  
115 is still lacking to describe point defects or threading dislocations in wurtzite GaN. On the  
116 other hand, the atomic structures of native point defects in GaN have not been completely  
117 searched out yet as presented in the next sections.

118 Therefore, the purpose of this work is two folds. (1) To further modify the SW potential  
119 for GaN and perform the assessments of it in comparison with the MEAM counterpart. (2)  
120 To systematically explore the native point defects and their complexes in GaN using both  
121 potentials. Subsequently, the structural and thermodynamical properties of defective struc-  
122 tures are refined by DFT calculations, which thus serves as the assessment of two potentials  
123 in the simulation of systems containing point defects. Accordingly, in Sec. II, the formalism  
124 of SW potential is described in brief with the presence of the corresponding parameters for  
125 Ga-N, Ga-Ga and N-N interactions in triplet format. The default computational details are  
126 introduced here, and the exceptions will be stated whenever they are implemented. In Sec.  
127 III, the fundamental properties of wurtzite GaN including the lattice parameters, elastic con-  
128 stants and phonon dispersion are reproduced by the SW and MEAM potentials followed by  
129 their assessments of inversion domain boundaries and multiple crystallographic structures.  
130 Sec. IV presents the systematical exploration of the native point defects and their complexes  
131 using the two potentials with the benchmark of DFT calculations, the atomic structures and  
132 the thermal stability of which are discussed in details. Finally, the summaries are given in  
133 Sec. V.

134 **II. POTENTIAL MODEL AND COMPUTATIONAL DETAILS**

135 **A. Formalism of Stillinger-Weber potential**

In the Stillinger-Weber potential<sup>47</sup> the total energy  $E$  of  $N$ -atom system is truncated to the two-body  $\phi_2$  and three-body  $\phi_3$  terms restricted to the second nearest neighbors:

$$E(\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_N) = \sum_{\substack{i,j \\ (i < j)}}^N \phi_2(\mathbf{r}_i, \mathbf{r}_j) + \sum_{\substack{i,j,k \\ (i \neq j; j < k)}}^N \phi_3(\mathbf{r}_i, \mathbf{r}_j, \mathbf{r}_k),$$

136 where

$$137 \quad \phi_2(\mathbf{r}_i, \mathbf{r}_j) = A\epsilon \left[ B \left( \frac{r_{ij}}{\sigma} \right)^{-p} - \left( \frac{r_{ij}}{\sigma} \right)^{-q} \right] \exp \left( \frac{1}{r_{ij}/\sigma - a} \right), \quad (1)$$

$$138 \quad \phi_3(\mathbf{r}_i, \mathbf{r}_j, \mathbf{r}_k) = \lambda\epsilon (\cos \theta_{jik} + 1/3)^2 \exp \left( \frac{\gamma}{r_{ij}/\sigma - a} \right) \exp \left( \frac{\gamma}{r_{ik}/\sigma - a} \right). \quad (2)$$

139 Here  $r_{ij}$  is the distance between  $i, j$  atoms.  $\epsilon$  and  $\sigma$  are the energy and distance scales, respectively.  $a$  represents the cut-off distance in which the interatomic interactions are counted.  $\theta_{jik}$  is the angle between  $\mathbf{r}_{ji}$  and  $\mathbf{r}_{ik}$  bond vectors subtended at the vertex  $i$  atom.  $A, B, \lambda$  and  $\gamma$  are the corresponding bond strength factors.

143 Besides the regular terms of N-Ga-Ga (Ga-N-N), N-N-N and Ga-Ga-Ga, the N-Ga-N  
144 (N-N-Ga) and Ga-Ga-N (Ga-N-Ga) triplets are *explicitly* considered and optimized in the  
145 current version of SW potential. In the beginning, the parameters of the four regular triplets  
146 take the values presented by Béré *et al.*<sup>38</sup>, the geometric mean of which is assigned to the  
147 other four triplets as the initial values. The parameters  $\gamma = 1.2$ ,  $p = 4$  and  $q = 0$  are  
148 preserved as usual<sup>37,38</sup>. Then the other potential parameters are further modified<sup>48</sup> so that  
149 the lattice parameters, elastic constants of wurtzite GaN as well as the atomic geometries  
150 and the formation energy of two inversion domain boundaries (Holt<sup>49</sup> and Star types<sup>50</sup>)  
151 are reproduced as well as possible. The optimized results will be presented with other  
152 assessments in Sec. III. In the optimization procedure, the energy scale  $\epsilon$  is reassigned by  
153 the one-fourth of cohesive energy (-9.058 eV) of GaN<sup>51</sup> for N-Ga bonds, the bond energy  
154 (1.731 eV) of N-N single bond<sup>52</sup> for N-N bonds, and the half of cohesive energy (-2.810 eV)  
155 of Ga metals<sup>53</sup> for Ga-Ga bonds, respectively. Finally, the whole set of potential parameters  
156 are presented in Table I for GaN.



## 157 B. Computational details

158 In this paper, all Molecular Statics (MS) and Molecular Dynamics (MD) simulations  
159 based on the SW and MEAM potentials are carried out by Large-scale Atomic/Molecular  
160 Massively Parallel Simulator (LAMMPS) package<sup>54</sup>. By default, the energy and force toler-  
161 ance is respectively set to  $10^{-15}$  eV and  $10^{-12}$  eV/Å for the structural relaxation in MS sim-  
162 ulation. The Density Functional Theory (DFT) calculations are implemented using Vienna  
163 Ab initio Simulation Package (VASP) code<sup>55</sup>. The electron-ionic interaction is described by  
164 the Projector Augmented Wave (PAW) method<sup>56</sup>, where  $2s^2$ ,  $2p^3$  electrons of nitrogen and  
165  $3d^{10}$ ,  $4s^2$  and  $4p^1$  electrons of gallium atoms are taken as the valence states, respectively.  
166 The Perdew-Burke-Ernzerhof (PBE) scheme<sup>57</sup> is used for the exchange and correlation func-  
167 tional. The energy tolerance is  $10^{-6}$  eV in the electronic iteration and the force is minimized  
168 to 0.01 eV/Å during the geometry optimization. The conjugate gradient algorithm and the  
169 periodic boundary condition are applied in the calculations of empirical potential and DFT  
170 method. The geometries of supercells and the atomic positions are relaxed fully.

## 171 III. ASSESSMENT OF EMPIRICAL POTENTIALS

### 172 A. Lattice parameters

173 The primitive cell of wurtzite GaN is illustrated in Fig. 1. As seen in Table II, both the  
174 SW and MEAM potentials reasonably reproduce the lattice constants  $a$  and  $c$  of wurtzite  
175 GaN. But the ratio of  $c/a$  and the internal structural parameter  $u$  deviate from the exper-  
176 imental values<sup>58</sup> due to the lack of long range interactions over the third-nearest neighbors  
177 in the two empirical potentials, such as electrostatic effect.

### 178 B. Elastic properties

179 The elastic parameters are calculated by the SW and MEAM potentials in comparison  
180 with the Antenna-Transmission Acoustic-Resonance (ATAR) experimental data<sup>59</sup> as pre-  
181 sented in Table II. The elastic constants are directly calculated according to their definition  
182  $C_{ij} = \partial \mathbf{F}_i / \partial \mathbf{x}_j$ , where  $\mathbf{F}_i$  is the force along  $\mathbf{x}_i$  axis on the  $\mathbf{x}_j$  plane. For the wurtzite  
183 phase of GaN, there are five independent elastic constants<sup>9</sup> as  $C_{11} = C_{22}$ ,  $C_{33}$ ,  $C_{12} = C_{21}$ ,

184  $C_{13} = C_{31} = C_{23} = C_{32}$ ,  $C_{44} = C_{55}$ , and  $C_{66} = (C_{11} - C_{12})/2$ . The bulk modulus is cal-  
 185 culated as  $B = \frac{C_{33}(C_{11}+C_{12})-2(C_{13})^2}{C_{11}+C_{12}+2C_{33}-4C_{13}}$ . The maximum deviation from the experimental data is  
 186 8.8% ( $C_{13}$ ) for the SW potential but 14.0% ( $C_{12}$ ) high for the MEAM counterpart. The elas-  
 187 tic constants and bulk modulus calculated by the SW potential are also in good agreement  
 188 with DFT calculations<sup>40</sup>. Therefore, the SW potential presented in Table I can properly  
 189 describe the mechanical properties of GaN.

### 190 C. Phonon dispersion

191 The phonon dispersion characterizes the collective vibration of atoms around their equi-  
 192 librium lattice positions with respect to the wave vectors. The branches of phonon dispersion  
 193 reflect the specific features of crystal structure and the interatomic interaction<sup>60</sup>. In order  
 194 to investigate the thermal properties of GaN and the dynamical properties of lattice de-  
 195 fects correspondingly, it is necessary to assess the performance of empirical potentials to  
 196 reproduce the phonon dispersion of crystals.

197 The phonon dispersion of GaN is calculated using the FixPhonon module<sup>61,62</sup> in LAMMPS.  
 198 In this method, the elements of dynamical matrix are constructed directly from the long-  
 199 time trajectories of atoms in the MD simulations, since the ensemble average of the second  
 200 moment of atomic displacements at a finite temperature is equal to the lattice Green's  
 201 function coefficient, which is inversely proportional to the force constant according to the  
 202 fluctuation-dissipation theorem<sup>63</sup>.

203 Since GaN is stiff with the high elastic constants as shown in Table II, a large supercell  
 204 is critically required for the computational convergence of dynamical matrices, the size of  
 205 which is optimized to be  $14a \times 14a \times 12c$ <sup>64</sup> along the  $[2\bar{1}\bar{1}0]$ ,  $[\bar{1}2\bar{1}0]$  and  $[0001]$  directions,  
 206 respectively. Totally 9408 atoms are contained. The MD simulations are implemented in the  
 207 microcanonical ensemble with the Langevin thermostat<sup>65</sup>, in which the damp parameter is  
 208 0.2, and the time step is set to 2 fs. The system pressure is adjusted to 1 atmosphere with the  
 209 temperature of 0.1 K. The atomic displacements are recorded for 20 ns after the time of 1 ns  
 210 for the thermal equilibration of system. The phonon dispersion and the Phonon Density Of  
 211 States (PDOS) are subsequently calculated using the auxiliary post-processing code *phana*<sup>62</sup>  
 212 and shown in Fig. 2 for the SW and MEAM potentials. The phonon frequencies at some  
 213 high-symmetry  $k$  points in Brillouin zone are listed in Table III in comparison with the

214 experimental data of Inelastic X-Ray Scattering (IXS) at 300 K<sup>66</sup> and of Raman scattering  
215 at 6K<sup>67</sup>. The results of density-functional perturbation theory (DFPT) calculations<sup>66</sup> are  
216 also listed for comparison.

217 As shown in Fig. 2, both SW and MEAM potentials yield twelve phonon dispersion  
218 branches for the 4-atom primitive cell of wurtzite GaN (Fig. 1). In the acoustic regime,  
219 the curve profile, energy spanning and symmetry degeneracies of the longitudinal-acoustic  
220 (LA) and transverse-acoustic (TA) branches are consistent with DFPT calculation (Fig. 2  
221 in Reference<sup>66</sup>) very well, but agree fairly for the profile of longitudinal-optical (LO) and  
222 transverse-optical (TO) branches in the high energy regime. Specially along the  $\Gamma$ -A direc-  
223 tion, the energy interval between LO and TO phonon calculated by two empirical potentials  
224 is much smaller than that of DFPT calculation. Typically, at A point the frequency interval  
225 is estimated to be 5.3 THz in DFPT calculation<sup>66</sup>, while it is only about 0.6 THz for the  
226 SW and MEAM potentials. The deviation of the optical phonon branches could originate  
227 from the lack of long range electrostatic interaction in the used empirical potentials, which  
228 is characteristic in polar materials and results in the LO-TO splitting<sup>68</sup>. In details, when  
229 the wave vector is along the  $\Gamma$ -A direction, the spontaneous polarization contributes to the  
230 interatomic interaction parallel to the  $\mathbf{c}$  axis more than the components within the  $\mathbf{c}$  plane.  
231 In this regard, the extra polarization effect causes an additional force for the longitudinal  
232 mode in comparison to the transverse mode for the atomic vibration within Ga-N pairs,  
233 which yields higher frequency for LO phonon than TO phonon. In addition, the phonon  
234 frequencies calculated by the SW and MEAM potentials are broadly higher than either the  
235 experimental data or DFPT calculations throughout the entire Brillouin zone as indicated  
236 in Table III. Taking the results of DFPT calculation<sup>66</sup> as reference, the maximum deviation  
237 happens along three TO modes (A1(TO), E1(TO) and E2) at  $\Gamma$  point, which is up to 7.5  
238 THz (31 meV) and 8.5 THz (35 meV) for the SW and MEAM potentials, respectively. The  
239 values are rather smaller than the energy scale interested in the following investigation of  
240 point defects, and the SW and MEAM potentials are thus reasonably acceptable to describe  
241 interatomic interactions in GaN.

242 **D. Inversion domain boundaries**

243 Besides the regular Ga-N bonds, the interactions of Ga-Ga and N-N pairs are considered  
 244 in the SW and MEAM potentials as well. A typical planar defect of Inversion Domain  
 245 Boundary (IDB) is selected for the optimization and assessment of the corresponding poten-  
 246 tial parameters. The IDB structures have two atomic configurations. One is the Holt-type  
 247 denoted as IDB<sup>H</sup><sup>49</sup>, where Ga and N atoms are interchanged to occupy the opponent sites  
 248 in their sublattice to form a boundary along the  $\{01\bar{1}0\}$  plane as shown in Fig. 3 (Left).  
 249 The generated Ga-Ga and N-N bonds are alternately arranged along the boundary of IDB<sup>H</sup>.  
 250 The other configuration of IDB is denoted as IDB\*<sup>50,69,70</sup>, which is formed by translating one  
 251 side of IDB<sup>H</sup> by  $c/2$  along the  $[0001]$  direction to avoid Ga-Ga and N-N bonds. Then 4-fold  
 252 and 8-fold atomic rings are formed in pair along the boundary as shown in Fig. 3 (Right).

253 In order to preserve the periodicity, two same IDB boundaries are introduced into one  
 254 supercell, which are arranged reversely with the same interval along the  $[01\bar{1}0]$  direction. The  
 255 size of supercell is taken as  $8\sqrt{3}a \times 1c \times 2a$  along the  $[01\bar{1}0]$ ,  $[0001]$  and  $[2\bar{1}\bar{1}0]$  directions,  
 256 respectively. 128 atoms are contained inside. The formation energy  $E_{\text{form}}$  of IDB structures  
 257 is defined as  $E_{\text{form}} = (E_{\text{IDB}} - E_0)/2S$ , where  $E_{\text{IDB}}$  and  $E_0$  are the total energy of the defective  
 258 and perfect structures, respectively.  $S$  is the area of the single interface. The relaxed bond  
 259 length of N-N and Ga-Ga bonds in IDB<sup>H</sup> and the averaged bond length of N-Ga bonds in  
 260 4-fold atomic rings of IDB\* are presented in Table IV in comparison with DFT calculations<sup>50</sup>.

261 The formation energies of IDB\* and IDB<sup>H</sup> calculated by the SW and MEAM poten-  
 262 tials indicate that IDB<sup>H</sup> is less stable than IDB\* configuration in agreement with DFT  
 263 calculations<sup>50</sup>. The value of formation energy of IDB\* calculated by the MEAM potential  
 264 is closer to the result of DFT calculation than the SW potential. But the atomic geome-  
 265 tries of two IDB structures relaxed by the SW potential are more consistent with DFT  
 266 calculations as indicated by the more similar N-N and Ga-Ga bond length in IDB<sup>H</sup> and  
 267 by the identically rectangular shape of 4-fold atomic ring in IDB\* structure (Fig. 1 (b) in  
 268 Reference<sup>50</sup>), respectively. The MEAM potential generates shorter N-N and Ga-Ga bonds  
 269 in IDB<sup>H</sup> and a tilted shape of 4-fold atomic ring in IDB\* structures. The shorter N-N and  
 270 Ga-Ga bonds in IDB<sup>H</sup> could be attributed to the shorter equilibrium distance (1.10 Å) and  
 271 higher cohesive energy (4.88 eV) of N-N dimer in the MEAM potential<sup>71</sup>. In the relaxation  
 272 of IDB<sup>H</sup> structure, the N-N and Ga-Ga bonds competitively deform to minimize the system

273 energy, which expands N-N but compresses Ga-Ga bonds, and the distortion is dominantly  
 274 suffered by Ga-Ga bonds. However, the equilibrium distance of N-N and Ga-Ga bonds is  
 275 respectively 1.47 Å and 2.39 Å in the SW potential, close to the N-Ga bond length of 1.95 Å.  
 276 Accordingly, the interface of IDB<sup>H</sup> structure is distorted fairly when using the SW potential.  
 277 The tilted 4-fold atomic rings in IDB\* structure could be also ascribed to the parameters of  
 278 MEAM potential, in which the minimum screening cutoff  $C_{min}$  is 2.0 for N-N pairs and 1.4  
 279 for Ga-Ga pairs<sup>40</sup>. Beginning with the rectangular 4-fold atomic rings, more atoms (either  
 280 Ga or N atoms) will be involved into the screening effect when the diagonal N-N interaction  
 281 is accounted than the case of Ga-Ga interaction, so that the N-N bonds are weakened much  
 282 more than the diagonal Ga-Ga bonds to cause the shear deformation during the structural  
 283 relaxation.

284 Therefore, the SW and MEAM potentials are applicable to the defective structures con-  
 285 taining N-N and Ga-Ga bonds besides the regular N-Ga ones. The former would be good at  
 286 the structural description while the latter would be more precise to the energy calculation.

## 287 E. Multiple crystallographic structures

288 In order to evaluate the transferability of the SW and MEAM potentials with respect  
 289 to different atomic environments, the wurtzite, zinc-blende and rocksalt structures with  
 290 different coordinate numbers are considered. In the wurtzite and zinc-blende phases, each  
 291 cation (anion) has 4 neighboring anions (cations) in a tetrahedral coordination, whereas  
 292 in the rocksalt phase, each cation (anion) binds 6 neighboring anions (cations) to form an  
 293 octahedral structure. The binding energies as function of volume per formula unit (N-Ga)  
 294 in the three lattices are plotted in Fig. 4. The wurtzite and zinc-blende phases can not be  
 295 distinguished by either SW or MEAM potentials since the cutoff radius is restricted to the  
 296 second nearest neighbors in GaN. Nevertheless, the wurtzite and zinc-blende phases are more  
 297 stable than rocksalt in agreement with the experiment<sup>72</sup> and DFT calculation<sup>73</sup>. Moreover,  
 298 the rocksalt phase is stable under high pressure. The equilibrium transition pressure  $P_T$  for  
 299 the wurtzite-rocksalt phase transition is 52.2 GPa in experiment<sup>72</sup> and 44.5 GPa as predicted  
 300 by DFT calculation<sup>73</sup>.  $P_T$  could be estimated by the common tangent construction of two  
 301 energy curves in Fig. 4. Obviously, *only* the MEAM potential could qualitatively describe  
 302 the wurtzite-rocksalt phase transition even the calculated value of  $P_T$  is fairly high (106

303 GPa). The SW potential fails to predict the phase transition since the three-body term  
 304 is rigid to fix the tetrahedral configuration determined by  $sp^3$  hybridization. Indeed, any  
 305 distortion from the ideal structure increases the system energy, so the energy curves of  
 306 wurtzite and rocksalt phases can not cross within a reasonable strain range and no sound  
 307 value could be estimated for  $P_T$ .

308 Therefore, the MEAM potential is more transferable to treat the structural change in  
 309 regard to the variation of coordinate numbers, but the SW potential is still applicable to  
 310 describe the atomic structures not far away from the tetrahedral type.

## 311 IV. EXPLORATION OF NATIVE POINT DEFECTS AND THEIR 312 COMPLEXES

### 313 A. Native point defects

314 With the SW potential parameterized in Table I, we explore the atomic structures of  
 315 point defects in wurtzite GaN in comparison with the calculations based on the MEAM  
 316 potential. The configurations of native point defects include three types, namely vacancy,  
 317 antisite and interstitial. We first investigate the single point defects and then the point  
 318 defect complexes made up of two point defects.

319 The native point defects and their complexes are modeled using a supercell with the size  
 320 of  $5a \times 3\sqrt{3}a \times 3c$  along the  $[2\bar{1}\bar{1}0]$ ,  $[01\bar{1}0]$  and  $[0001]$  direction, respectively. 360 atoms are  
 321 contained for the perfect structure. As illustrated in Fig. 5, the possible atomic structures of  
 322 native point defects are constructed as follows, and the split interstitials are denoted accord-  
 323 ing to the orientation of dumbbells: (1) Anion (Cation) vacancies,  $V_N$  ( $V_{Ga}$ ), where a N (Ga)  
 324 atom is removed from GaN bulk. (2) Anion (Cation) antisites,  $N_{Ga}$  ( $GaN$ ), where the host Ga  
 325 (N) atom is replaced by a N (Ga) atom. (3) Anion (Cation) octahedral/tetrahedral intersti-  
 326 tials,  $N_{Oct}$  ( $Ga_{Oct}$ )/ $N_{Tet}$  ( $Ga_{Tet}$ ), where a N (Ga) atom occupies the octahedral/tetrahedral  
 327 site in the shuffle plane as labeled in Fig. 1 (b). (4)  $\langle 2\bar{1}\bar{1}0 \rangle$ -oriented split interstitials,  
 328  $N^+-N\langle 2\bar{1}\bar{1}0 \rangle$  ( $Ga^+-N\langle 2\bar{1}\bar{1}0 \rangle$ ) and  $N^+-Ga\langle 2\bar{1}\bar{1}0 \rangle$  ( $Ga^+-Ga\langle 2\bar{1}\bar{1}0 \rangle$ ), where one N (Ga) intersti-  
 329 tial (labeled by the symbol of '+' on the right superscript) and a host N or Ga atom share  
 330 the same lattice position to form a dumbbell with the axis along the  $\langle 2\bar{1}\bar{1}0 \rangle$  direction in  
 331 the glide plane as labeled in Fig. 1 (b). Similarly, (5)  $\langle 01\bar{1}0 \rangle$ -oriented split interstitials,

332  $N^+-N\langle 01\bar{1}0 \rangle$  ( $Ga^+-N\langle 01\bar{1}0 \rangle$ ) and  $N^+-Ga\langle 01\bar{1}0 \rangle$  ( $Ga^+-Ga\langle 01\bar{1}0 \rangle$ ), where one N (Ga) intersti-  
 333 tial and a host N or Ga atom share the same lattice position to form a dumbbell with the  
 334 axis along the  $\langle 01\bar{1}0 \rangle$  direction in the glide plane. Due to the lack of mirror symmetry about  
 335 the  $\{01\bar{1}0\}$  plane, the polarized N-Ga dumbbells are not equivalent when they orientate  
 336 along the  $\langle 01\bar{1}0 \rangle$  and its *opposite* directions, so the other two configurations are constructed  
 337 by reversing the direction of N-Ga dumbbells, which are denoted as  $Ga^+-N\langle 01\bar{1}0 \rangle$ -o and  
 338  $N^+-Ga\langle 01\bar{1}0 \rangle$ -o, respectively. Totally eighteen different candidates are built as the initial  
 339 atomic configurations. The defective structures are relaxed by the SW and MEAM poten-  
 340 tials. Some of initial configurations convert into the identical atomic structures after the  
 341 structural relaxation. The obtained atomic structures are further refined by the relaxation  
 342 of DFT calculations. The computational details have been presented in Sec. II B.

343 The atomic structures relaxed by the SW, MEAM potentials and DFT calculations are  
 344 shown in Figs. 6, 7 and 8, respectively. The atomic configurations of  $V_N$ ,  $V_{Ga}$  and  $Ga_N$  are  
 345 preserved after the structural relaxation of three methods despite the observable deformation  
 346 of Ga-Ga bonds in  $Ga_N$ , which are expanded by +15.6%, +11.8% and +17.9% in average  
 347 over the ideal N-Ga bonds in the relaxation of SW, MEAM potentials and DFT calculation,  
 348 respectively. As for  $N_{Ga}$  antisite, the atomic configuration is preserved in the relaxation  
 349 of SW potential (Fig. 6 (c)), while the N atom shifts upward to occupy the tetrahedral  
 350 site when the MEAM potential is applied as shown in Fig. 7 (c). Close to the result of  
 351 SW potential, Fig. 8 (c) indicates that the N atom of the DFT-relaxed  $N_{Ga}$  antisite shifts  
 352 aside slightly to form a  $N^+-N\langle 01\bar{1}0 \rangle$  dumbbell in the glide space instead of the reported  
 353  $N^+-N\langle 0001 \rangle$  split interstitial<sup>26</sup>. The N-N bond length is 1.24 Å in the formed N-N dumbbell.

354 The initial configurations of N interstitials illustrated in Fig. 5 are not all preserved  
 355 in the structural relaxations of three methods. Based on the calculations of SW po-  
 356 tential,  $N^+-N\langle 01\bar{1}0 \rangle$ -g,  $N^+-N\langle 2\bar{1}\bar{1}0 \rangle$  and  $N^+-N\langle 01\bar{1}0 \rangle$ -e split interstitials are obtained as  
 357 shown in Figs. 6 (e), (f) and (g), respectively.  $N^+-N\langle 01\bar{1}0 \rangle$ -g and  $N^+-N\langle 01\bar{1}0 \rangle$ -e denote  
 358 the ground and excited states of  $N^+-N\langle 01\bar{1}0 \rangle$  split interstitials as proposed in Reference<sup>19</sup>.  
 359  $N^+-N\langle 01\bar{1}0 \rangle$ -g split interstitial is relaxed from  $N_{Tet}$  and  $N^+-Ga\langle 2\bar{1}\bar{1}0 \rangle$  initial configurations,  
 360 while  $N^+-N\langle 01\bar{1}0 \rangle$ -e is relaxed from  $N^+-N\langle 01\bar{1}0 \rangle$  and  $N^+-Ga\langle 01\bar{1}0 \rangle$ -o ones. In  $N^+-N\langle 01\bar{1}0 \rangle$ -g  
 361 split interstitial, the N-N dumbbell is almost parallel to the  $\{03\bar{3}4\}$  plane (Refer to the  
 362 inset of Fig. 8.), whereas the N-N dumbbell turns to be nearly perpendicular to the  $\langle 03\bar{3}4 \rangle$   
 363 plane and forms a small tetrahedron with two neighboring Ga atoms in  $N^+-N\langle 01\bar{1}0 \rangle$ -e split

364 interstitial. The bond length of N-N dumbbell is of 1.35 Å in  $N^+-N\langle 01\bar{1}0\rangle$ -g, 1.45 Å in  
 365  $N^+-N\langle 2\bar{1}\bar{1}0\rangle$  and 1.35 Å in  $N^+-N\langle 01\bar{1}0\rangle$ -e split interstitials, respectively, all of which consid-  
 366 erably agree with the values relaxed in the following DFT calculations. As shown in Fig. 6  
 367 (h), the configuration of  $N_{\text{Oct}}$  interstitial is preserved in the relaxation of SW potential, and  
 368  $N^+-\text{Ga}\langle 01\bar{1}0\rangle$  split interstitial is unstable and convert into  $N_{\text{Oct}}$  as well, which is different  
 369 from the relaxations of MEAM potential and DFT calculations.

370 Besides four N interstitials ( $N^+-N\langle 01\bar{1}0\rangle$ -g,  $N^+-N\langle 2\bar{1}\bar{1}0\rangle$ ,  $N^+-N\langle 01\bar{1}0\rangle$ -e and  $N_{\text{Oct}}$ ) in com-  
 371 mon with the results of SW potential, the MEAM potential additionally predicts N tetra-  
 372 hedral interstitial  $N_{\text{Tet}}$  and  $N^+-\text{Ga}\langle 01\bar{1}0\rangle$  split interstitial, all of which are shown in Figs. 7  
 373 (e)-(j). After the structural relaxations of MEAM potential,  $N^+-N\langle 01\bar{1}0\rangle$  and  $N^+-\text{Ga}\langle 2\bar{1}\bar{1}0\rangle$   
 374 initial configurations convert into  $N^+-N\langle 01\bar{1}0\rangle$ -g split interstitial, while  $N^+-N\langle 01\bar{1}0\rangle$ -e split  
 375 interstitial is relaxed from  $N^+-\text{Ga}\langle 01\bar{1}0\rangle$ -o initial configuration. It should be stressed that in  
 376  $N^+-N\langle 01\bar{1}0\rangle$ -g split interstitial relaxed by the MEAM potential, the N-N dumbbell is instead  
 377 almost parallel to the  $\{0\bar{3}38\}$  plane (Refer to the inset of Fig. 8.) as seen in Fig. 7 (e),  
 378 which is different from the results of SW potential and the latter DFT calculation. In  $N_{\text{Tet}}$   
 379 interstitial, the N atom occupied the tetrahedral site binds with the host N and Ga atoms  
 380 to form a straight Ga-N-N-Ga atomic chain along the  $[0001]$  direction as shown in Fig. 7 (j).  
 381 The bond length of N-N dumbbell is of 1.11 Å in  $N^+-N\langle 01\bar{1}0\rangle$ -g, 2.29 Å in  $N^+-N\langle 2\bar{1}\bar{1}0\rangle$ , 1.13  
 382 Å in  $N^+-N\langle 01\bar{1}0\rangle$ -e split interstitials and 1.10 Å in  $N_{\text{Tet}}$ , respectively. The N-Ga dumbbell  
 383 in  $N^+-\text{Ga}\langle 01\bar{1}0\rangle$  split interstitial is in tensile state with the bond length of 1.96 Å longer  
 384 than the ideal value of 1.94 Å for N-Ga bonds in the perfect structure.

385 After the refinement of DFT calculations,  $N^+-N\langle 01\bar{1}0\rangle$ -g,  $N^+-N\langle 2\bar{1}\bar{1}0\rangle$ ,  $N^+-N\langle 01\bar{1}0\rangle$ -e and  
 386  $N^+-\text{Ga}\langle 01\bar{1}0\rangle$  four N split interstitials are obtained as shown in Figs. 8 (e)-(h).  $N_{\text{Oct}}$  and  $N_{\text{Tet}}$   
 387 interstitials are unstable and convert into  $N^+-N\langle 01\bar{1}0\rangle$ -g split interstitial. Nevertheless, the  
 388 structural stability of  $N_{\text{Oct}}$  is still in doubt even on the same level of the first-principles calcu-  
 389 lations. For instance, it has been produced when the hybrid quantum mechanical/molecular  
 390 mechanical (QM/MM) approach is applied with either B97-2 or BB1k exchange-correlation  
 391 functionals<sup>23</sup>. The corresponding discussion with respect to the different computational  
 392 schemes is beyond the scope of this work thus to be omitted here. Similar to the result  
 393 of SW potential (Fig. 6 (e)), the N-N dumbbell in the DFT-relaxed  $N^+-N\langle 01\bar{1}0\rangle$ -g split  
 394 interstitial is nearly parallel to the  $\{0\bar{3}\bar{3}4\}$  plane as shown in Fig. 8 (e), and each N atom  
 395 of N-N dumbbell binds two neighboring Ga atoms. The bond length of N-N dumbbell is



396 of 1.35 Å in agreement with the reported value<sup>25</sup>. In the DFT-relaxed  $N^+-N\langle 01\bar{1}0\rangle$ -e split  
 397 interstitial (Fig. 8 (g)), the N-N dumbbell is almost perpendicular to the  $\{03\bar{3}4\}$  plane  
 398 to form a small tetrahedron with two neighboring Ga atoms as well, the bond length of  
 399 which is 1.37 Å. Besides two  $N^+-N\langle 01\bar{1}0\rangle$  split interstitials,  $N^+-N\langle 2\bar{1}\bar{1}0\rangle$  and  $N^+-Ga\langle 01\bar{1}0\rangle$   
 400 configurations are newly explored in this study. In  $N^+-N\langle 2\bar{1}\bar{1}0\rangle$  split interstitial, the N-N  
 401 dumbbell locates in the glide space and exactly arrows along the  $\langle 2\bar{1}\bar{1}0\rangle$  direction with the  
 402 bond length of 1.40 Å. It should be pointed out that Gao *et al.*<sup>26</sup> have improperly denoted  
 403  $N^+-N\langle 01\bar{1}0\rangle$ -g as  $N^+-N\langle 2\bar{1}\bar{1}0\rangle$  split interstitial, since the description of this split interstitial  
 404 in their literature<sup>26</sup>, that each N atom of N-N dumbbell forms two bonds with the surround-  
 405 ing Ga atoms, is consistent with  $N^+-N\langle 01\bar{1}0\rangle$ -g rather than  $N^+-N\langle 2\bar{1}\bar{1}0\rangle$  split interstitial.  
 406 In  $N^+-Ga\langle 01\bar{1}0\rangle$  split interstitial, the N-Ga dumbbell tilts by about 20° from the  $\{0001\}$   
 407 towards the  $\{03\bar{3}4\}$  plane as shown in Fig. 8 (h). The N-Ga dumbbell is in compressive  
 408 state with the bond length of 1.80 Å shorter than the ideal N-Ga bonds in the perfect  
 409 structure (1.96 Å along the  $c$  axis and 1.95 Å for the other three bonds in the  $c$  plane).  
 410 Therefore, the structural description of N-N bonds in N split interstitials by the SW poten-  
 411 tial is more consistent with DFT calculations than the MEAM counterpart, which is similar  
 412 to the investigation of IDB<sup>H</sup> structure in Sec. III D.

413 In the exploration of Ga interstitials,  $Ga_{Oct}$ ,  $Ga_{Tet}$  interstitials and  $Ga^+-Ga\langle 01\bar{1}0\rangle$ -e,  
 414  $Ga^+-Ga\langle 2\bar{1}\bar{1}0\rangle$  split interstitials are produced by the SW potential as shown in Figs. 6 (i)-(l).  
 415  $Ga^+-Ga\langle 01\bar{1}0\rangle$ -e split interstitial is relaxed from the initial configurations of  $Ga^+-N\langle 01\bar{1}0\rangle$   
 416 and  $Ga^+-Ga\langle 01\bar{1}0\rangle$ . Since the Ga-Ga dumbbell is nearly perpendicular to the  $\{03\bar{3}4\}$  plane  
 417 and binds with two neighboring N atoms to form a small tetrahedron analogous to the  
 418 structure of  $N^+-N\langle 01\bar{1}0\rangle$ -e split interstitial, the index ‘-e’ is added in the denotation of  
 419  $Ga^+-Ga\langle 01\bar{1}0\rangle$ -e split interstitial. The bond length of Ga-Ga dumbbell is 2.26 Å. The  
 420  $Ga^+-N\langle 01\bar{1}0\rangle$ -o and  $Ga^+-N\langle 2\bar{1}\bar{1}0\rangle$  initial configurations are unstable and convert into  $Ga_{Oct}$   
 421 after the structural relaxation. Different from the case of  $N_{Tet}$ , the configuration of  $Ga_{Tet}$  is  
 422 stable, where the Ga interstitial atom pushes the underlying host Ga-N pair downwards to  
 423 bind with the below Ga atom, then a straight N-Ga-Ga-N-Ga atomic chain is formed along  
 424 the  $c$  axis, which has been denoted as the bridge-bond geometry in the literature<sup>74</sup>. The  
 425 interatomic distance of the N-Ga-Ga-N-Ga atomic chain is of 2.11 Å for the Ga-Ga pair  
 426 and 1.80 Å for the host N-Ga pair. In  $Ga^+-Ga\langle 2\bar{1}\bar{1}0\rangle$  split interstitial, the Ga-Ga dumbbell  
 427 binds with two neighboring host Ga atoms in the same glide plane to form a curved Ga-Ga-

428 Ga-Ga atomic chain<sup>26</sup>, and the mirror symmetry is preserved about the  $\{2\bar{1}\bar{1}0\}$  plane same  
 429 as  $N^+-N\langle 2\bar{1}\bar{1}0 \rangle$  split interstitial. The interatomic distance of the metal chain is of 2.40 Å,  
 430 2.31 Å and 2.40 Å, respectively.

431 Similar to the case of N interstitials, the MEAM potential predicts more configurations of  
 432 Ga interstitials than the SW counterpart, which include  $Ga_{Oct}$  interstitial,  $Ga^+-Ga\langle 01\bar{1}0 \rangle$ -e,  
 433  $Ga^+-N\langle 01\bar{1}0 \rangle$   $Ga^+-N\langle 01\bar{1}0 \rangle$ -o,  $Ga^+-Ga\langle 01\bar{1}0 \rangle$ -g and  $Ga^+-Ga\langle 2\bar{1}\bar{1}0 \rangle$  split interstitials as  
 434 shown in Figs. 7 (k)-(p). The initial configurations of  $Ga_{Oct}$  interstitial,  $Ga^+-N\langle 01\bar{1}0 \rangle$ ,  
 435  $Ga^+-N\langle 01\bar{1}0 \rangle$ -o and  $Ga^+-Ga\langle 2\bar{1}\bar{1}0 \rangle$  split interstitials are preserved, while  $Ga_{Tet}$  interstitial is  
 436 unstable and convert into  $Ga^+-Ga\langle 01\bar{1}0 \rangle$ -e split interstitial (Fig. 7 (l)). The  $Ga^+-Ga\langle 01\bar{1}0 \rangle$   
 437 initial configuration is relaxed into  $Ga^+-Ga\langle 01\bar{1}0 \rangle$ -g split interstitial as shown in Fig. 7  
 438 (o), where the Ga-Ga dumbbell tilts to be nearly parallel to the  $\{03\bar{3}4\}$  plane analogous  
 439 to the structure of  $N^+-N\langle 01\bar{1}0 \rangle$ -g split interstitial, so that the index ‘-g’ is added in this  
 440 denotation. It should be noticed that one Ga atom of this Ga-Ga dumbbell binds with three  
 441 but the other Ga atom binds with two neighboring N atoms. The bond length of Ga-Ga  
 442 dumbbell is of 2.06 Å in  $Ga^+-Ga\langle 01\bar{1}0 \rangle$ -e and 1.99 Å in  $Ga^+-Ga\langle 01\bar{1}0 \rangle$ -g split interstitials,  
 443 respectively. Besides the preserved candidate, the  $Ga^+-N\langle 2\bar{1}\bar{1}0 \rangle$  initial configuration con-  
 444 verts into  $Ga^+-N\langle 01\bar{1}0 \rangle$  split interstitial as well after the structural relaxation. In the paired  
 445 configurations of  $Ga^+-N\langle 01\bar{1}0 \rangle$  and  $Ga^+-N\langle 01\bar{1}0 \rangle$ -o split interstitials, the N-Ga dipole points  
 446 to the  $\langle 01\bar{1}0 \rangle$  and its reverse directions, respectively. In  $Ga^+-N\langle 01\bar{1}0 \rangle$  split interstitial, the  
 447 interstitial Ga atom binds with the frontal host Ga atom and pushes it forwards to form  
 448 a N-Ga-Ga crowdion in the  $\{2\bar{1}\bar{1}0\}$  plane, where the Ga-Ga pair is roughly parallel to the  
 449  $\{0\bar{3}38\}$  plane. In the N-Ga-Ga crowdion, the interatomic distance is of 2.12 Å for the  
 450 Ga-Ga pair and 1.91 Å for the N-Ga dipole in compression, whereas the N-Ga dumbbell  
 451 in  $Ga^+-N\langle 01\bar{1}0 \rangle$ -o split interstitial has a bond length of 1.95 Å. In line with the result  
 452 of SW potential, the MEAM potential produces a curved Ga-Ga-Ga-Ga atomic chain in  
 453  $Ga^+-Ga\langle 2\bar{1}\bar{1}0 \rangle$  split interstitial, where the interatomic distance between Ga atoms is of 2.43  
 454 Å, 2.08 Å and 2.43 Å, respectively.

455 The further structural relaxation of DFT calculations confirms five stable configurations  
 456 of Ga interstitials, that are  $Ga_{Oct}$ ,  $Ga_{Tet}$  interstitials, and  $Ga^+-Ga\langle 01\bar{1}0 \rangle$ -g,  $Ga^+-N\langle 01\bar{1}0 \rangle$ ,  
 457  $Ga^+-Ga\langle 2\bar{1}\bar{1}0 \rangle$  split interstitials as shown in Figs. 8 (i)-(m). In  $Ga_{Oct}$  and  $Ga_{Tet}$  intersti-  
 458 tials,  $C_{3v}$  symmetry is exactly preserved as discussed by Chow *et al.*<sup>27</sup>. Along the straight  
 459 N-Ga-Ga-N-Ga atomic chain in  $Ga_{Tet}$  interstitial, the Ga-Ga bond length is 2.21 Å and

460 the host Ga-N pair is compressed to 1.78 Å. In Ga<sup>+</sup>-Ga⟨01 $\bar{1}$ 0⟩-g split interstitial (Fig. 8  
461 (k)), the Ga-Ga dumbbell keeps nearly parallel to the {03 $\bar{3}$ 4} plane as well with the bond  
462 length of 2.16 Å. In Ga<sup>+</sup>-N⟨01 $\bar{1}$ 0⟩ split interstitial (Fig. 8 (l)), the N-Ga-Ga crowdion is pre-  
463 served with the interatomic distance of 2.17 Å for the Ga-Ga pair and 1.77 Å for the N-Ga  
464 dipole in comparison. Same to the results of SW and MEAM potentials, the DFT-relaxed  
465 Ga<sup>+</sup>-Ga⟨2 $\bar{1}$ 10⟩ split interstitial is characterized by the Ga-Ga-Ga-Ga atomic chain as shown  
466 in Fig. 8 (m). The interatomic distance is of 2.38 Å, 2.33 Å and 2.38 Å along this metal  
467 chain, respectively.

468 Therefore, the atomic structures of native point defects in wurtzite GaN have been ex-  
469 plored as completely as possible using the SW and MEAM potentials with the benchmark  
470 of DFT calculations. In viewpoint of the potential energy landscape, the MEAM potential  
471 predicts more local minima than either the SW counterpart or DFT calculations even though  
472 the three methods produce a intersection set of local minima for the same atomic config-  
473 urations of native point defects. For the commonly predicted point defects, the structural  
474 features, typically the bond length of N-N and Ga-Ga dumbbells relaxed by the SW poten-  
475 tial are more consistent with the results of DFT calculations than by the MEAM potential.  
476 The distinct mappings of the energy landscapes predicted by the two potentials could be  
477 understood intuitively based on their models of interatomic interaction as follows. In re-  
478 gard to covalent systems, the bonding configuration and the saturation of bonding number  
479 are explicitly determined by the three-body term in the SW potential (Eq. 2), while the  
480 directional characteristics of covalent bonds and the influence of atomic environments are  
481 implicitly described through the screening factor  $S_{ij}$  (Eq. 14 in Reference<sup>40</sup>) in the MEAM  
482 potential. The change of atomic structures is local in point defects. The SW potential could  
483 sensitively count this local change once the neighboring atoms are displaced, whereas in  
484 the calculation of MEAM potential, taking Ga<sup>+</sup>-Ga⟨01 $\bar{1}$ 0⟩ split interstitial as example, the  
485 contribution of screening factor  $S_{ij}$  would vary little when the Ga-Ga dumbbell rotates since  
486 the atomic environment around it is almost preserved, so that multiple stable configurations  
487 may exist with respect to the different orientations of Ga-Ga dumbbell, and thus the extra  
488 local minima appear in the energy landscape of MEAM potential.

489 The thermodynamical stability of neutral point defects are measured by the formation  
490 energy  $\Omega$  defined as<sup>26</sup>

$$491 \quad \Omega = E_D - n_N \mu_N - n_{Ga} \mu_{Ga}, \quad (3)$$

492 where  $E_D$  is the total energy of defective structure containing  $n_N$  N atoms and  $n_{Ga}$  Ga atoms.  
 493  $\mu_N$  and  $\mu_{Ga}$  are the chemical potentials of Ga and N atoms, respectively. In the thermody-  
 494 namic equilibrium,  $\mu_N$  and  $\mu_{Ga}$  are subject to the constraint condition of  $\mu_{GaN} = \mu_N + \mu_{Ga}$   
 495 where  $\mu_{GaN}$  is the chemical potential of GaN. Since the chemical potentials of elemental Ga  
 496 bulk and  $N_2$  molecule are not defined in the SW potential, the relative formation energy  
 497  $\Delta\Omega$  is introduced instead as  $\Delta\Omega = E_D - E_{D,0}$  where  $E_{D,0}$  is the total energy of reference  
 498 structure. In this work,  $N^+ - N\langle 01\bar{1}0 \rangle - g$  and  $Ga_{Oct}$  configurations are taken as the reference  
 499 structures for N and Ga interstitials, respectively.

500 The relative formation energies  $\Delta\Omega$  calculated by the SW and MEAM potentials along  
 501 with the DFT results are presented in Table V for the N and Ga interstitials shown in  
 502 Figs. 6, 7 and 8. In the results of DFT calculations,  $N^+ - N\langle 01\bar{1}0 \rangle - g$  split interstitial is the  
 503 most stable configuration thus to be taken as the ground state among N interstitials in line  
 504 with the study of Bardeleben *et al*<sup>19</sup>. The new  $N^+ - N\langle 2\bar{1}\bar{1}0 \rangle$  split interstitial is the second  
 505 most stable configuration with 0.407 eV higher in energy, while the formation energy of  
 506  $N^+ - N\langle 01\bar{1}0 \rangle - e$  split interstitial is higher by 0.447 eV than its ground state  $N^+ - N\langle 01\bar{1}0 \rangle - g$  in  
 507 agreement with the reported value of 0.35 eV<sup>19</sup>. The extra metastable configurations would  
 508 imply new diffusion pathways for N interstitials in the process of migration and rearrange-  
 509 ment. Different from DFT calculations, the SW potential predicts  $N_{Oct}$  interstitial to be  
 510 the most stable configuration. Among the other three split interstitials,  $N^+ - N\langle 01\bar{1}0 \rangle - g$  has  
 511 the lower formation energy than either  $N^+ - N\langle 2\bar{1}\bar{1}0 \rangle$  or  $N^+ - N\langle 01\bar{1}0 \rangle - e$  configuration, which is  
 512 consistent with the results of DFT calculations. Superior to the SW counterpart, the MEAM  
 513 potential predicts  $N^+ - N\langle 01\bar{1}0 \rangle - g$  split interstitial as the most stable configuration in line with  
 514 the DFT calculations. But the relative formation energies of other N interstitials, specially  
 515  $N^+ - N\langle 2\bar{1}\bar{1}0 \rangle$  and  $N^+ - N\langle 01\bar{1}0 \rangle - e$  configurations are rather high in comparison with the results  
 516 of DFT calculations, so that they will be hardly visited during the dynamic simulation of N  
 517 interstitials. Regarding Ga interstitials, the DFT calculations indicate that  $Ga_{Oct}$  interstitial  
 518 is the most stable configuration. And except  $Ga^+ - Ga\langle 2\bar{1}\bar{1}0 \rangle$  split interstitial, the other Ga  
 519 interstitials  $Ga_{Tet}$ ,  $Ga^+ - Ga\langle 01\bar{1}0 \rangle - g$  and  $Ga^+ - N\langle 01\bar{1}0 \rangle$  have the relative formation energies  
 520 less than 0.4 eV in comparison with  $Ga_{Oct}$ . The SW potential correctly predicts  $Ga_{Oct}$  to be  
 521 the most stable Ga interstitial in agreement with the DFT calculations, so that this version  
 522 of SW potential presented in Table I is superior to that one used in the previous work<sup>26</sup>. On  
 523 the other hand, rather different from the SW potential and DFT calculations, the MEAM

524 potential predicts  $\text{Ga}^+-\text{Ga}\langle 01\bar{1}0 \rangle$ -e split interstitial to be the most stable configuration. But  
 525 the formation energies of other Ga interstitials such as  $\text{Ga}^+-\text{Ga}\langle 01\bar{1}0 \rangle$ -g and  $\text{Ga}^+-\text{N}\langle 01\bar{1}0 \rangle$   
 526 and  $\text{Ga}^+-\text{Ga}\langle 2\bar{1}\bar{1}0 \rangle$  are reasonably consistent with the values of DFT calculations. To con-  
 527 clude, neither SW nor MEAM potentials could individually perform very well to describe  
 528 the interstitial point defects in GaN. However, they are complementary with respect to the  
 529 structural and thermodynamical properties of those point defects, and it is worthy to use  
 530 two potentials as a cross reference for the dynamical simulations of defective GaN.

## 531 B. Point defect complexes

532 In addition to the native point defects, their point defect complexes are further explored  
 533 with respect to the interaction between two native point defects. Four point defect complexes  
 534 are selected as follows. (1) Schottky, which is created by removing one N-Ga pair from GaN  
 535 bulk. (2) Paired antisite  $\text{N}_{\text{Ga}}\text{Ga}_{\text{N}}$ , where the N and Ga atoms in one N-Ga pair occupy  
 536 the opposite sites of each other. (3) Anion (Cation) octahedral Frenkel defect  $\text{Frenkel}_{\text{Oct}}(\text{N})$   
 537 ( $\text{Frenkel}_{\text{Oct}}(\text{Ga})$ ), where one N (Ga) atom is moved from its equilibrium site to the nearest  
 538 octahedral space to form a N (Ga) vacancy plus a N (Ga) octahedral interstitial. Similarly,  
 539 (4) N (Ga) tetrahedral Frenkel defect  $\text{Frenkel}_{\text{Tet}}(\text{N})$  ( $\text{Frenkel}_{\text{Tet}}(\text{Ga})$ ), which could be initially  
 540 constructed by moving one host N (Ga) atom to the nearest tetrahedral site. But the  
 541 configurations are unstable and recover back to the perfect structure after the structural  
 542 relaxation using either the SW potential or DFT calculation, so that they are not considered  
 543 further here.

544 The relaxed atomic structures of point defect complexes are shown in Fig. 9 with respect  
 545 to three methods. In details, Figs. 9 (a1)-(a3) manifest no structural collapse in the Schottky  
 546 defect. There is just a slight expansion around Ga vacancy and a little shrinkage around N  
 547 vacancy in the atomic structures relaxed by the DFT calculation and MEAM potential, and  
 548 hardly any deformation in the calculation of SW potential. However, the DFT calculations  
 549 reveal that both of the initial configurations of  $\text{N}_{\text{Ga}}\text{Ga}_{\text{N}}$  and  $\text{Frenkel}_{\text{Oct}}(\text{N})$  defects are unsta-  
 550 ble, and they similarly convert into the point defect complexes made up of N vacancy and  
 551  $\text{N}^+-\text{N}\langle 01\bar{1}0 \rangle$ -g split interstitial, where the distance between the two native point defects is  
 552 approximately of  $1.4a$  (Fig. 9 (b1)) and  $2.4a$  (Fig. 9 (c1)) ( $a$ : lattice constant), respectively.  
 553 The symbol of ‘ $\oplus$ ’ is adopted as the linkage in point defect complexes. Thus the relaxed

554 structures of  $N_{Ga}Ga_N$  and  $Frenkel_{Oct}(N)$  defects are denoted as  $(V_N \oplus N^+-N\langle 01\bar{1}0 \rangle-g)_{1.4a}$   
 555 and  $(V_N \oplus N^+-N\langle 01\bar{1}0 \rangle-g)_{2.4a}$ , respectively. In contrast, the initial configuration of  $N_{Ga}Ga_N$   
 556 is preserved in the structural relaxation of SW and MEAM potentials as shown in Figs. 9  
 557 (b2) and (b3), respectively. Furthermore, the DFT-relaxed structure (Fig. 9 (b1)) is taken  
 558 as the initial configuration to be relaxed by the SW and MEAM potentials for  $N_{Ga}Ga_N$   
 559 defect. In the calculation of SW potential, the interstitial configuration of N-N dumbbell  
 560 in  $(V_N \oplus N^+-N\langle 01\bar{1}0 \rangle-g)_{1.4a}$  is replaced by a nearly horizontal N-Ga dumbbell as shown in  
 561 Fig. 9 (b2'), which could be equivalently formed by rotating a vertical N-Ga pair by about  
 562  $90^\circ$  in the perfect structure similar to the formation of Stones-Wales defect in graphite<sup>75</sup>.  
 563 Meanwhile, the initial configuration of  $(V_N \oplus N^+-N\langle 01\bar{1}0 \rangle-g)_{1.4a}$  is preserved for the MEAM  
 564 potential as shown in Fig. 9 (b3'). The interatomic distance of N-N pair is of 1.42 Å, 1.58  
 565 Å and 1.12 Å in the atomic structures relaxed by the DFT calculation, SW and MEAM  
 566 potentials, respectively. The initial configuration of  $Frenkel_{Oct}(N)$  is preserved in the struc-  
 567 tural relaxation of SW and MEAM potentials as well, but just only the interstitial N atom  
 568 stays at an off-center site in the octahedral space restricted by six neighboring Ga atoms as  
 569 shown in Figs. 9 (c2) and (c3), respectively. Similar to the case of  $N_{Ga}Ga_N$ , taking the DFT-  
 570 relaxed structure (Fig. 9 (c1)) as the initial configuration,  $(V_N \oplus N^+-N\langle 01\bar{1}0 \rangle-g)_{2.4a}$  point  
 571 defect complexes are preserved in the structural relaxation of SW and MEAM potentials as  
 572 shown in Figs. 9 (c2') and (c3'), respectively. The geometric features of N-N dumbbells are  
 573 restored as those in the isolated  $N^+-N\langle 01\bar{1}0 \rangle-g$  split interstitials shown in Fig. 8 (e) for DFT  
 574 calculation, in Fig. 6 (e) for SW and in Fig. 7 (e) for MEAM potentials, respectively. The  
 575 bond length of N-N dumbbell is correspondingly of 1.44 Å, 1.35 Å and 1.11 Å in the relaxed  
 576 structures of DFT calculation, SW and MEAM potentials. Different from  $Frenkel_{Oct}(N)$ ,  
 577 the initial configuration of  $Frenkel_{Oct}(Ga)$  is preserved for three methods as shown in Figs.  
 578 9 (d1)-(d3). The interstitial Ga atom occupies the body center of octahedral space closely  
 579 adjacent the Ga vacancy, and the distance between two point defects is approximately of  
 580  $2\sqrt{3}/3a$  ( $a$ : lattice constant).

581 Since  $n_N = n_{Ga}$  in the investigated point defect complexes, Eq. 3 could be simplified as

$$582 \quad \Omega = E_D - E_0, \quad (4)$$

583 where  $E_0$  is the total energy of perfect structure with the same atomic number to the  
 584 defective system for each elemental species. The corresponding formation energies of the

585 point defect complexes shown in Fig. 9 are listed in Table VI, which are arranged ac-  
586 cording to the values of DFT calculations in ascending order. If only considering the  
587  $V_N \oplus N^+-N\langle 01\bar{1}0 \rangle$ -g configurations instead of either  $\text{Frenkel}_{\text{Oct}}(\text{N})$  or  $N_{\text{Ga}}\text{Ga}_N$ , the three  
588 methods roughly agree for the tendency of thermodynamical stability of defective structures,  
589 that Schottky is the most stable point defect complex, followed by  $(V_N \oplus N^+-N\langle 01\bar{1}0 \rangle$ -g) $_{2.4a}$   
590  $((V_N \oplus N^+-N\langle 01\bar{1}0 \rangle$ -g) $_{1.4a})$ , and  $\text{Frenkel}_{\text{Oct}}(\text{Ga})$  has the highest formation energy. More-  
591 over, except  $(V_N \oplus N^+-N\langle 01\bar{1}0 \rangle$ -g) $_{2.4a}$  the formation energies of those defects calculated by  
592 the SW potential are quantitatively more consistent with the DFT results than the MEAM  
593 counterpart.

594 On the other hand, the interaction between two native point defects in the point defect  
595 complex is further investigated by considering the system, where two native point defects  
596 locate with an infinite separation. And the corresponding formation energy could be simply  
597 calculated by summing those of two isolated point defects. In order to be compatible with  
598 the configurations of those point defect complexes discussed above, four paired point defects  
599 are modeled as follows  $V_N + V_{\text{Ga}}$ ,  $V_N + N^+-N\langle 01\bar{1}0 \rangle$ -g,  $N_{\text{Ga}} + \text{Ga}_N$  and  $V_{\text{Ga}} + \text{Ga}_{\text{Oct}}$ , where  
600 the symbol of ‘+’ is adopted in place of ‘ $\oplus$ ’ to signify the infinite separation between two  
601 point defects. Similar to the point defect complexes, the formation energies of them are  
602 calculated with Eq. 4 due to the equal atomic number of Ga and N species. The results  
603 are presented in Table VI for the three methods. The DFT calculations reveal that the  
604 formation energy reduces when the distance between two point defects decreases. For in-  
605 stance, the formation energy reduces by 3.544 eV in the formation of Schottky defect from  
606  $V_N + V_{\text{Ga}}$ , and by 4.879 eV in the formation of  $\text{Frenkel}_{\text{Oct}}(\text{Ga})$  from  $V_{\text{Ga}} + \text{Ga}_{\text{Oct}}$ . Begin-  
607 ning with the paired point defect of  $V_N + N^+-N\langle 01\bar{1}0 \rangle$ -g (or  $N_{\text{Ga}} + \text{Ga}_N$ ), the formation  
608 energy reduces by 0.716 eV (or 6.572 eV) when two isolated point defects move close to  
609 form  $(V_N \oplus N^+-N\langle 01\bar{1}0 \rangle$ -g) $_{2.4a}$ . Thus the point defect complexes, at least those listed in  
610 Table VI, are energetically feasible rather than dissociate into two isolated point defects.  
611 The energy tendency predicted by the DFT calculations is reasonably reproduced by the  
612 SW potential, that the formation energy reduces by 2.176 eV in the formation of Schottky  
613 from  $V_N + V_{\text{Ga}}$ , by 0.016 eV or 3.363 eV in the formation of  $(V_N \oplus N^+-N\langle 01\bar{1}0 \rangle$ -g) $_{2.4a}$  from  
614  $V_N + N^+-N\langle 01\bar{1}0 \rangle$ -g or  $N_{\text{Ga}} + \text{Ga}_N$ , and by 0.025 eV in the formation of  $\text{Frenkel}_{\text{Oct}}(\text{Ga})$  from  
615  $V_{\text{Ga}} + \text{Ga}_{\text{Oct}}$ , respectively. But the MEAM potential wrongly predicts the dissociation of  
616 Schottky and  $(V_N \oplus N^+-N\langle 01\bar{1}0 \rangle$ -g) $_{2.4a}$   $((V_N \oplus N^+-N\langle 01\bar{1}0 \rangle$ -g) $_{1.4a})$  point defect complexes

617 to be exothermic by the energy reduction of 0.034 eV for the former and of 0.024 eV (0.072  
618 eV) for the latter, respectively. Therefore, the point defect complexes including Schottky,  
619  $(V_N \oplus N^+ - N\langle 01\bar{1}0 \rangle - g)_{2.4a}$  ( $(V_N \oplus N^+ - N\langle 01\bar{1}0 \rangle - g)_{1.4a}$ ) and  $\text{Frenkel}_{\text{Oct}}(\text{Ga})$  are thermodynamically  
620 ically stable rather than dissociating into two isolated point defects as predicted by the DFT  
621 calculations and SW potential with respect to the interaction between them.

## 622 V. SUMMARY

623 In summary, the Stillinger-Weber potential is modified for GaN with the explicit consid-  
624 eration of N-Ga-N (N-N-Ga) and Ga-Ga-N (Ga-N-Ga) triplets besides the regular N-Ga-Ga  
625 (Ga-N-N), N-N-N and Ga-Ga-Ga terms. The fundamental properties of wurtzite GaN in-  
626 cluding the lattice parameters, elastic constants and lattice dynamics are reproduced by the  
627 SW and MEAM potentials. Due to the lack of long-range (e.g. electrostatic) interaction,  
628 the LO-TO splitting in the phonon dispersion could be hardly described by both potentials.  
629 The investigation of inversion domain boundaries indicates that the SW potential is reli-  
630 able to describe the defective structures involving N-N and Ga-Ga bonds, while the MEAM  
631 potential is more transferable than the SW counterpart in the structural transformation,  
632 such as the wurtzite-rocksalt phase transition where the atomic environments change ap-  
633 parently. The combination of SW and MEAM potentials with DFT calculation is efficient  
634 in the structural search of native point defects in GaN. Two N and two Ga split interstitials  
635 are first obtained in this work that are  $N^+ - N\langle 2\bar{1}\bar{1}0 \rangle$ ,  $N^+ - \text{Ga}\langle 01\bar{1}0 \rangle$  and  $\text{Ga}^+ - \text{Ga}\langle 01\bar{1}0 \rangle - g$ ,  
636  $\text{Ga}^+ - N\langle 01\bar{1}0 \rangle$  configurations after the refinement of DFT calculations from the candidates  
637 relaxed by the SW and MEAM potentials, beside reproducing those already reported N  
638 (Ga) vacancies and antisites,  $N^+ - N\langle 01\bar{1}0 \rangle - g$  and  $N^+ - N\langle 01\bar{1}0 \rangle - e$  N split interstitials, as well  
639 as  $\text{Ga}_{\text{Oct}}$ ,  $\text{Ga}_{\text{Tet}}$  and  $\text{Ga}^+ - \text{Ga}\langle 2\bar{1}\bar{1}0 \rangle$  configurations of Ga interstitials. However, neither of  
640 SW and MEAM potentials produces simultaneously the most stable N and Ga interstitials.  
641 The present SW potential correctly predicts the octahedral occupation  $\text{Ga}_{\text{Oct}}$  as the most  
642 stable configuration of Ga interstitial superior to the previous version<sup>26,38</sup>, while the MEAM  
643 potential exactly produces  $N^+ - N\langle 01\bar{1}0 \rangle - g$  to be the most stable N split interstitial. On  
644 a whole, the SW potential establishes less local minima than the MEAM counterpart in  
645 the potential energy landscape with respect to the configurations of interstitials, whereas  
646 the structural features of point defects concerning N-N or Ga-Ga bonds relaxed by the



647 SW potential are more consistent with the results of DFT calculations than those by the  
648 MEAM potential. In regard to the point defect complexes, the Schottky and Frenkel<sub>Oct</sub>(Ga)  
649 configurations are preserved, but Frenkel<sub>Oct</sub>(N) and N<sub>Ga</sub>Ga<sub>N</sub> are unstable and convert into  
650  $(V_N \oplus N^+-N\langle 01\bar{1}0 \rangle-g)_{2.4a}$  and  $(V_N \oplus N^+-N\langle 01\bar{1}0 \rangle-g)_{1.4a}$  point defect complexes in the DFT  
651 calculations, respectively. In general, three methods consistently demonstrate that Schot-  
652 tky is the most stable followed by  $V_N \oplus N^+-N\langle 01\bar{1}0 \rangle-g$  (either  $(V_N \oplus N^+-N\langle 01\bar{1}0 \rangle-g)_{2.4a}$  or  
653  $(V_N \oplus N^+-N\langle 01\bar{1}0 \rangle-g)_{1.4a}$ ) in the studied point defect complexes, and Frenkel<sub>Oct</sub>(Ga) has the  
654 highest formation energy. Furthermore, the formation energies calculated by DFT and SW  
655 potential indicate that all of the point defect complexes are energetically favorable rather  
656 than dissociating into two isolated point defects. But the MEAM potential wrongly pre-  
657 dicts that the dissociation of Schottky and  $V_N \oplus N^+-N\langle 01\bar{1}0 \rangle-g$  point defect complexes is  
658 exothermic. This work suggests that the present version of SW potential is applicable to  
659 simulate the dynamical properties of defective GaN on a large scale with a caution spe-  
660 cial to N interstitials. The mutual complementation with the MEAM potential would be a  
661 practical way for getting more accurate results. Finally, the newly explored N and Ga split  
662 interstitials could be useful in the study of diffusion pathways of point defects in GaN as  
663 possible intermediate states, and the identification of point defects in Al(In)N alloys.

## 664 ACKNOWLEDGMENTS

665 The author (Huaping LEI) acknowledges the supports of the China Scholarship Coun-  
666 cil [Grant No. 201904910166]. This project has received the funding from the European  
667 Union’s Horizon 2020 research and innovation programme under the Marie Skłodowska-Curie  
668 grant agreement No. 101034329 and the financial support from the WINNINGNormandy  
669 programme of the Normandy Region [Contract No. 013C256P]. All authors also acknowl-  
670 edge the support of Région Normandie Project ”RIN 2018 PLACENANO” [Convention No.  
671 18E01651]. All of calculations were implemented in CRIANN (Centre Régional Informatique  
672 et d’Applications Numériques de Normandie) under the projects No. 2016009 and 2021006.

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750 the calculated properties approach the selected references, otherwise the next parameter is  
751 set to be optimized similarly. This process is repeated until no better results are obtained.

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- 793 <sup>76</sup>K. Momma and F. Izumi, *Journal of Applied Crystallography* **44**, 1272 (2011).

794 TABLE I. Parameters of Stillinger-Weber potential for GaN in the format of  $i$ - $j$ - $k$  triplet, where  
795  $i$  is the central atom. The units of  $\epsilon$  and  $\sigma$  are eV and Å, respectively. The parameters  $\gamma = 1.2$ ,  
796  $p = 4$  and  $q = 0$  are preserved for all triplets.

Parameters	$\epsilon$	$\sigma$	$a$	$\lambda$	$A$	$B$
N-Ga-Ga, Ga-N-N	2.2645	1.704	1.8	33.0	7.41825	0.69375
N-N-N	1.7368	1.400	1.8	13.0	4.41825	0.39375
Ga-Ga-Ga	1.4050	2.060	1.6	10.0	8.41825	1.09375
N-Ga-N, N-N-Ga	1.9832	1.400	1.8	13.0	5.72501	0.52265
Ga-Ga-N, Ga-N-Ga	1.7837	2.060	1.6	10.0	7.90245	0.87108

797

798 TABLE II. Lattice parameters  $a$  (Å),  $c$  (Å) and internal structural parameter  $u$ , as well as elastic  
799 constants  $C_{ij}$  (GPa) and bulk modulus  $B$  (GPa) of wurtzite GaN calculated by the SW and MEAM  
800 potentials in comparison with the experimental data.

GaN	Expt.	SW	MEAM
Lattice parameters			
$a$	3.189 <sup>a</sup>	3.190	3.168
$c$	5.186 <sup>a</sup>	5.210	5.173
$c/a$	1.626 <sup>a</sup>	1.633	1.633
$u$	0.377 <sup>a</sup>	0.375	0.375
Elastic parameters			
$C_{11}$	359.7 <sup>b</sup>	364.7	350.6
$C_{33}$	391.8 <sup>b</sup>	380.6	397.3
$C_{44}$	99.6 <sup>b</sup>	107.3	93.0
$C_{12}$	129.9 <sup>b</sup>	129.8	148.1
$C_{13}$	104.6 <sup>b</sup>	113.9	101.4
$C_{66}$	114.9 <sup>b</sup>	117.4	101.3
$B$	198.8 <sup>b</sup>	202.8	200.0

<sup>a</sup> High-resolution x-ray diffraction<sup>58</sup>.

<sup>b</sup> ATAR experiment<sup>59</sup>.

801

802 TABLE III. Phonon frequencies (0.1 K) at some high-symmetry  $k$  points of wurtzite GaN calculated  
803 by the SW and MEAM potentials in comparison with IXS (300 K)<sup>66</sup> and Raman scattering (6K)  
804 experiments<sup>67</sup> and DFPT calculations<sup>66</sup>. All frequencies are given in THz.

$k$ points	Mode	IXS <sup>66</sup>	Raman <sup>67</sup>	DFPT <sup>66</sup>	SW	MEAM
$\Gamma$	E1(LO)		22.3	22.6	25.8	26.4
$\Gamma$	A1(LO)	21.9	22.1	22.5	25.7	26.2
$\Gamma$	B1	20.7		21.6	25.5	26.0
$\Gamma$	E2		17.1	17.4	24.9	25.9
$\Gamma$	E1(TO)		16.8	17.1	24.4	25.3
$\Gamma$	A1(TO)		16.0	16.3	23.6	24.8
$\Gamma$	B1(low)	9.9		10.2	10.5	10.3
$\Gamma$	E2(low)		4.3	4.3	5.4	4.8
A	LO	21.3		22.0	25.2	26.1
A	LA	6.9		7.2	7.1	7.1
M	A1(TO)	17.3		18.0	22.9	21.4
M	E2	7.1		7.2	8.7	7.5
M	E2(low)	5.8		6.0	7.5	6.7
M	TA <sub>z</sub>	5.5		5.6	6.4	5.6
M	TA <sub>x</sub>	4.1		4.2	5.5	4.8
K	TO	18.4		18.8	22.0	20.3
K	TA	6.4		6.5	7.6	6.6

805



806 TABLE IV. Physical properties of IDB structures calculated by the SW and MEAM potentials in  
 807 comparison with DFT calculations<sup>50</sup>. (Note: values listed are the formation energy  $E_{\text{form}}$  (meV/Å),  
 808 bond length (Å) of N-N ( $R_{\text{NN}}$ ) and Ga-Ga ( $R_{\text{GaGa}}$ ) bonds in IDB<sup>H</sup>, as well as the averaged bond  
 809 length (Å) of N-Ga bonds ( $R_{4\text{-fold}}$ ) in 4-fold atomic rings of IDB\*.)

Properties DFT <sup>50</sup> SW MEAM			
IDB <sup>H</sup>			
$E_{\text{form}}$	167	164	161
$R_{\text{NN}}$	1.51	1.57	1.19
$R_{\text{GaGa}}$	2.28	2.33	2.05
IDB*			
$E_{\text{form}}$	25	60	19
$R_{4\text{-fold}}$	1.94	2.00	1.95

810

811 TABLE V. Relative formation energies  $\Delta\Omega$  (eV) of N and Ga interstitials calculated by the SW  
812 and MEAM potentials along with the DFT results.  $N^+-N\langle 01\bar{1}0\rangle$ -g and  $Ga_{Oct}$  configurations are  
813 taken as the reference structures for N and Ga interstitials, respectively.

Configurations	SW	MEAM	DFT
N interstitials			
$N^+-N\langle 01\bar{1}0\rangle$ -g	0	0	0
$N^+-N\langle 2\bar{1}\bar{1}0\rangle$	0.310	3.363	0.407
$N^+-N\langle 01\bar{1}0\rangle$ -e	0.117	0.864	0.447
$N^+-Ga\langle 01\bar{1}0\rangle$		6.109	3.083
$N_{Oct}$	-0.913	4.091	
$N_{Tet}$		2.750	
Ga interstitials			
$Ga_{Oct}$	0	0	0
$Ga_{Tet}$	2.402		0.237
$Ga^+-Ga\langle 01\bar{1}0\rangle$ -g		0.423	0.281
$Ga^+-Ga\langle 01\bar{1}0\rangle$ -e	0.105	-0.513	
$Ga^+-N\langle 01\bar{1}0\rangle$		0.363	0.391
$Ga^+-N\langle 01\bar{1}0\rangle$ -o		0.373	
$Ga^+-Ga\langle 2\bar{1}\bar{1}0\rangle$	0.484	0.899	1.407

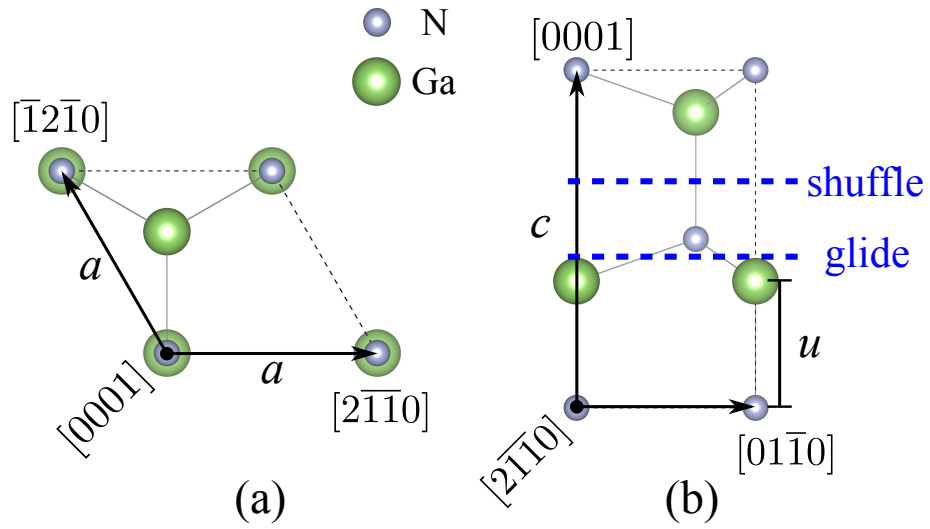
814

815 TABLE VI. Formation energies  $\Omega$  (eV) (Eq. 4) of point defect complexes and paired point defects  
816 calculated by DFT as well as the SW and MEAM potentials, respectively.

Configurations	DFT	SW	MEAM
Point defect complexes			
Schottky	6.669	6.530	4.148
Frenkel <sub>Oct</sub> (N)		8.680 <sup>a</sup>	9.443 <sup>a</sup>
(V <sub>N</sub> ⊕ N <sup>+</sup> -N⟨01 $\bar{1}$ 0⟩-g) <sub>2.4a</sub>	7.328	9.848 <sup>b</sup>	5.519 <sup>b</sup>
N <sub>Ga</sub> Ga <sub>N</sub>		8.605 <sup>a</sup>	13.076 <sup>a</sup>
(V <sub>N</sub> ⊕ N <sup>+</sup> -N⟨01 $\bar{1}$ 0⟩-g) <sub>1.4a</sub>	7.497	7.207 <sup>b</sup>	5.567 <sup>b</sup>
Frenkel <sub>Oct</sub> (Ga)	10.071	10.456	6.072
Paired point defects			
V <sub>N</sub> + V <sub>Ga</sub>	10.213	8.706	4.114
V <sub>N</sub> + N <sup>+</sup> -N⟨01 $\bar{1}$ 0⟩-g	8.044	9.864	5.495
N <sub>Ga</sub> + Ga <sub>N</sub>	13.900	13.211	13.152
V <sub>Ga</sub> + Ga <sub>Oct</sub>	14.950	10.481	6.136

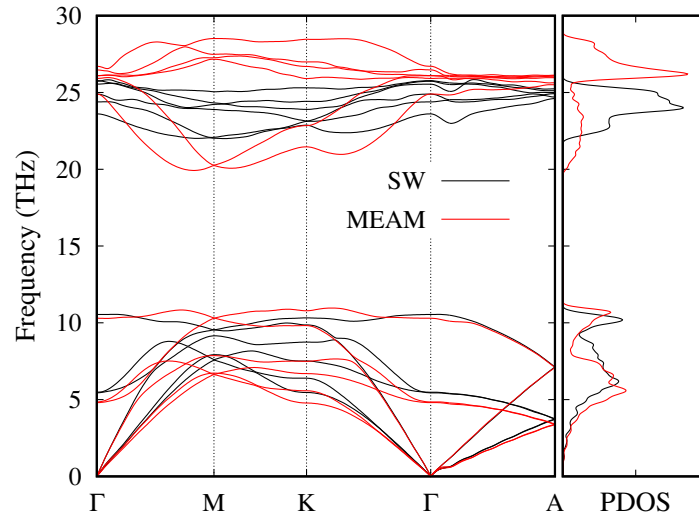
<sup>a</sup> Preserved atomic structures.

<sup>b</sup> DFT-relaxed structure as initial configuration.



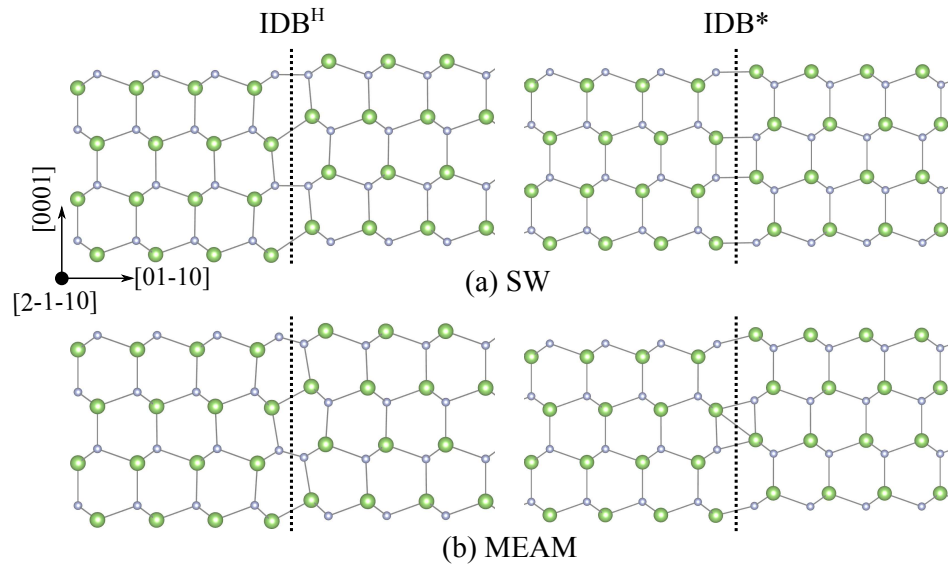
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819 FIG. 1. (Color online) Sketch of the primitive cell of wurtzite GaN. (a) [0001] projection. (b)  
 820  $[2\bar{1}\bar{1}0]$  projection. Small gray and large green balls represent N and Ga atoms, respectively.  $a$ ,  
 821  $c$  and  $u$  are the lattice constants and internal structural parameter, respectively. The glide and  
 822 shuffle spaces along the [0001] plane are labeled out. VESTA<sup>76</sup> is used for visualization.



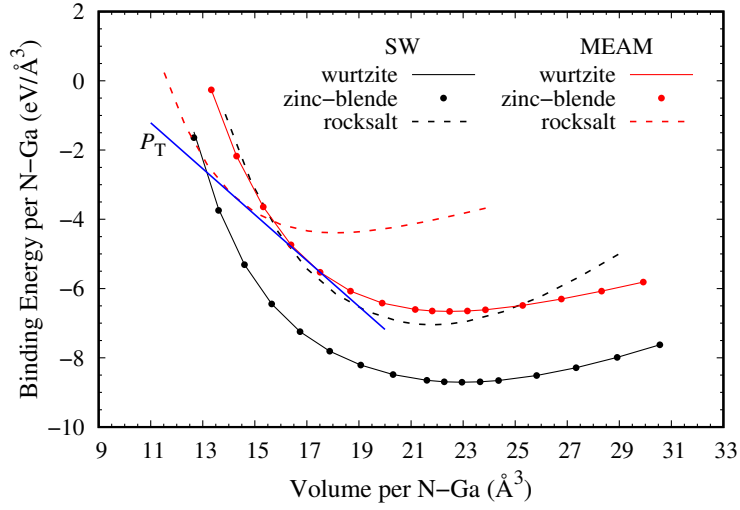
823

824 FIG. 2. (Color online) Phonon dispersion relationship of wurtzite GaN along the high symmetry  
 825 lines of Brillouin zone at 0.1 K calculated by the SW and MEAM potentials, respectively.



826

827 FIG. 3. (Color online) Atomic structures of IDB<sup>H</sup> (Left) and IDB\* (Right) in wurtzite GaN  
 828 calculated by (a) SW and (b) MEAM potentials, respectively. The boundaries are indicated by  
 829 the dashed lines. The atomic representations are the same as in Fig. 1.



830

831 FIG. 4. (Color online) Volume dependence of binding energy in wurtzite, zinc-blende and rock-  
 832 salt lattices per formula unit (Ga-N) calculated by the SW (black) and MEAM (red) potentials,  
 833 respectively.  $P_T$  is the equilibrium transition pressure of wurtzite-rocksalt phase transition, which  
 834 is estimated to be 106 GPa by the MEAM potential.

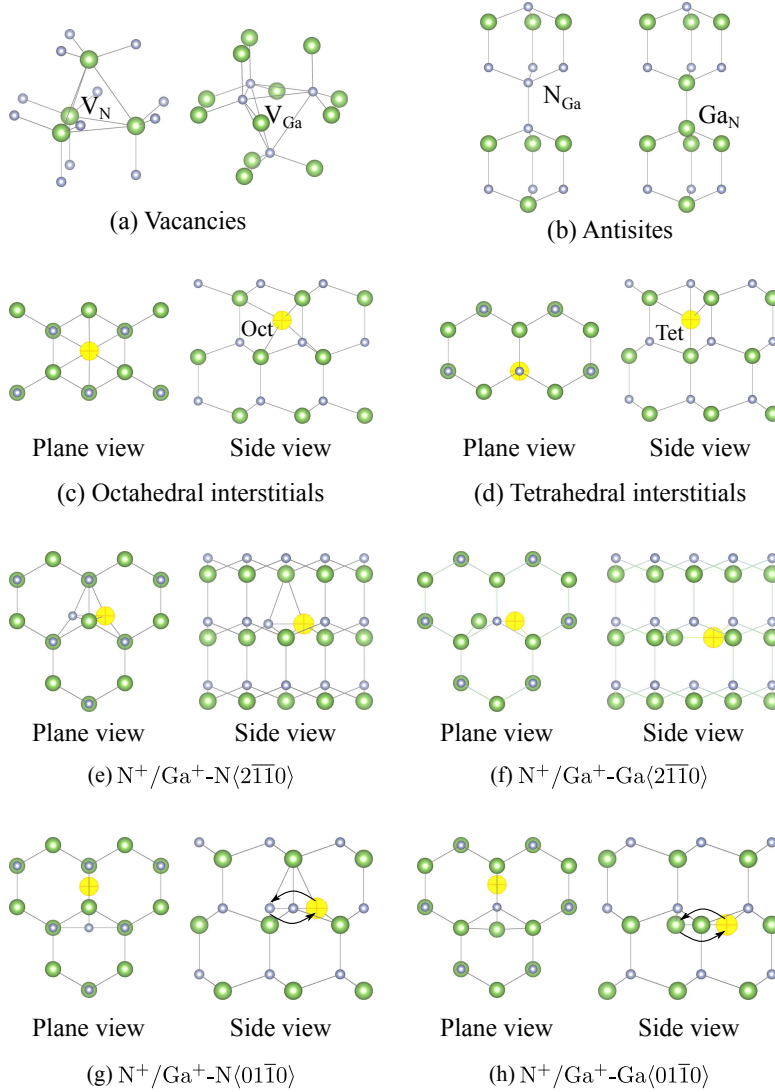


FIG. 5. (Color online) Illustrations of initial atomic configurations of native point defects in wurtzite GaN. (a) Vacancies,  $V_N$  and  $V_{Ga}$ . (b) Antisites,  $N_{Ga}$  and  $Ga_N$ . (c) Octahedral interstitials,  $N_{Oct}$  and  $Ga_{Oct}$ . (d) Tetrahedral interstitials,  $N_{Tet}$  and  $Ga_{Tet}$ . (e)  $\langle 2\bar{1}\bar{1}0 \rangle$ -oriented split interstitials formed with a host N atom,  $N^+-N\langle 2\bar{1}\bar{1}0 \rangle$  and  $Ga^+-N\langle 2\bar{1}\bar{1}0 \rangle$ . (f)  $\langle 2\bar{1}\bar{1}0 \rangle$ -oriented split interstitials formed with a host Ga atom,  $N^+-Ga\langle 2\bar{1}\bar{1}0 \rangle$  and  $Ga^+-Ga\langle 2\bar{1}\bar{1}0 \rangle$ . (g)  $\langle 01\bar{1}0 \rangle$ -oriented split interstitials formed with a host N atom,  $N^+-N\langle 01\bar{1}0 \rangle$  and  $Ga^+-N\langle 01\bar{1}0 \rangle$ . (h)  $\langle 01\bar{1}0 \rangle$ -oriented split interstitials formed with a host Ga atom,  $Ga^+-Ga\langle 01\bar{1}0 \rangle$  and  $N^+-Ga\langle 01\bar{1}0 \rangle$ . The representations of atomic structures are the same as in Fig. 1. Large yellow balls in (c)-(h) label out the occupation sites for N/Ga atoms to form the  $N^+/Ga^+$  interstitials. Arrows in (g) and (h) represent the exchange of N and Ga sites to form the opposite  $\langle 01\bar{1}0 \rangle$ -oriented N-Ga dumbbells in the construction of  $Ga^+-N\langle 01\bar{1}0 \rangle$ -o and  $N^+-Ga\langle 01\bar{1}0 \rangle$ -o split interstitials, respectively.



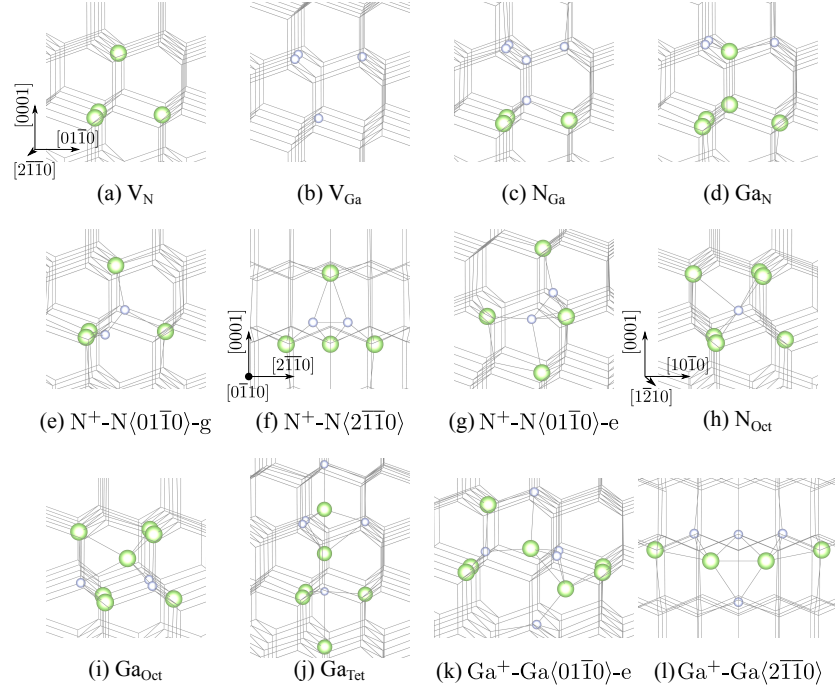


FIG. 6. (Color online) Perspective view of atomic structures of native point defects in wurtzite GaN relaxed by the SW potential. (a)  $V_N$ . (b)  $V_{Ga}$ . (c)  $N_{Ga}$ . (d)  $Ga_N$ . (e)  $N^+-N\langle 01\bar{1}0 \rangle$ -g. (f)  $N^+-N\langle 2\bar{1}\bar{1}0 \rangle$ . (g)  $N^+-N\langle 01\bar{1}0 \rangle$ -e. (h)  $N_{Oct}$ . (i)  $Ga_{Oct}$ . (j)  $Ga_{Tet}$ . (k)  $Ga^+-Ga\langle 01\bar{1}0 \rangle$ -e. (l)  $Ga^+-Ga\langle 2\bar{1}\bar{1}0 \rangle$ . Small gray and large green balls represent the neighboring N and Ga atoms around the point defects, respectively. Other atoms and bonds in bulk GaN are simplified by the wire frames. The coordinate system illustrated in (a) is used by default, except the cases in (f) and (l) where the coordinate system is illustrated in (f), and the cases in (h) and (i) whose coordinate system is illustrated in (h).

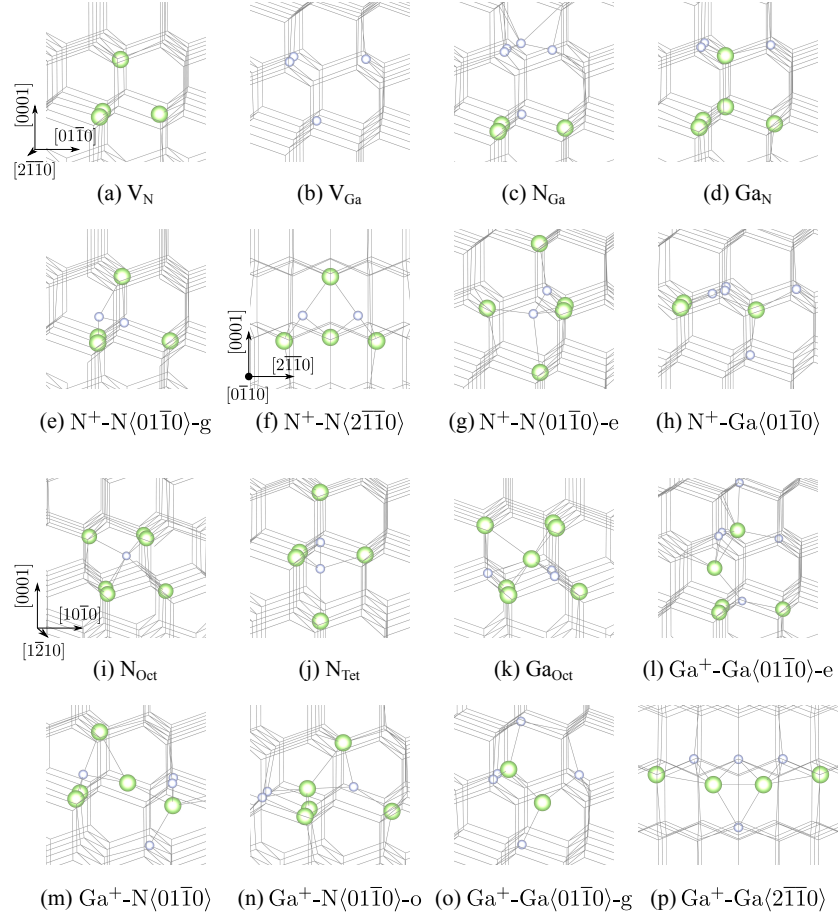


FIG. 7. (Color online) Perspective view of atomic structures of native point defects in wurtzite GaN relaxed by the MEAM potential. (a)  $V_N$ . (b)  $V_{Ga}$ . (c)  $N_{Ga}$ . (d)  $Ga_N$ . (e)  $N^+-N\langle 01\bar{1}0 \rangle\text{-g}$ . (f)  $N^+-N\langle 2\bar{1}\bar{1}0 \rangle$ . (g)  $N^+-N\langle 01\bar{1}0 \rangle\text{-e}$ . (h)  $N^+-Ga\langle 01\bar{1}0 \rangle$ . (i)  $N_{Oct}$ . (j)  $N_{Tet}$ . (k)  $Ga_{Oct}$ . (l)  $Ga^+-Ga\langle 01\bar{1}0 \rangle\text{-e}$ . (m)  $Ga^+-N\langle 01\bar{1}0 \rangle$ . (n)  $Ga^+-N\langle 01\bar{1}0 \rangle\text{-o}$ . (o)  $Ga^+-Ga\langle 01\bar{1}0 \rangle\text{-g}$ . (p)  $Ga^+-Ga\langle 2\bar{1}\bar{1}0 \rangle$ . The representations of atomic structures are the same as in Fig. 6. The coordinate system illustrated in (a) is used by default, except the cases in (f) and (p) where the coordinate system is illustrated in (f), and the cases in (i) and (k) where the coordinate system is illustrated in (i).

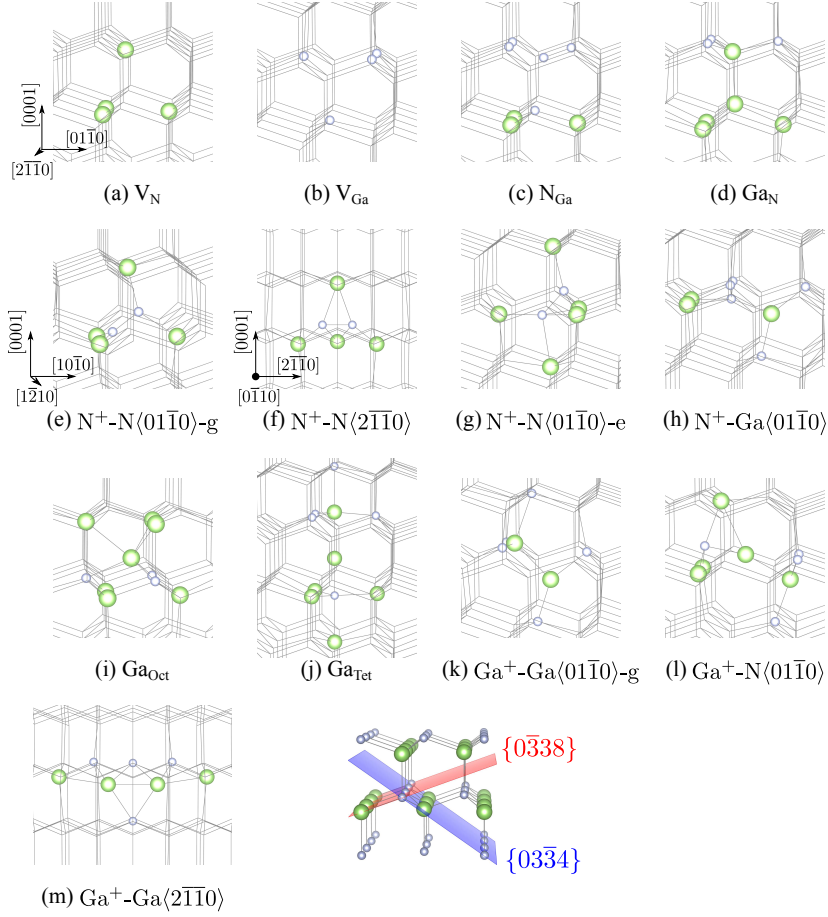


FIG. 8. (Color online) Perspective view of atomic structures of native point defects in wurtzite GaN relaxed by DFT calculations. (a)  $V_N$ . (b)  $V_{Ga}$ . (c)  $N_{Ga}$ . (d)  $Ga_N$ . (e)  $N^+-N\langle 01\bar{1}0 \rangle$ -g. (f)  $N^+-N\langle 2\bar{1}\bar{1}0 \rangle$ . (g)  $N^+-N\langle 01\bar{1}0 \rangle$ -e. (h)  $N^+-Ga\langle 01\bar{1}0 \rangle$ . (i)  $Ga_{Oct}$ . (j)  $Ga_{Tet}$ . (k)  $Ga^+-Ga\langle 01\bar{1}0 \rangle$ -g. (l)  $Ga^+-N\langle 01\bar{1}0 \rangle$ . (m)  $Ga^+-Ga\langle 2\bar{1}\bar{1}0 \rangle$ . The representations of atomic structures are the same as in Fig. 6. The coordinate system illustrated in (a) is used by default, except the cases in (e) and (i) where the coordinate system is illustrated in (e), and the cases in (f) and (m) where the coordinate system is illustrated in (f). Inset indicates the  $\{0\bar{3}\bar{3}8\}$  plane (in red) where N-Ga pairs arrange in parallel, and the  $\{03\bar{3}4\}$  plane (in blue) where N-Ga pairs arrange in zigzag pattern.

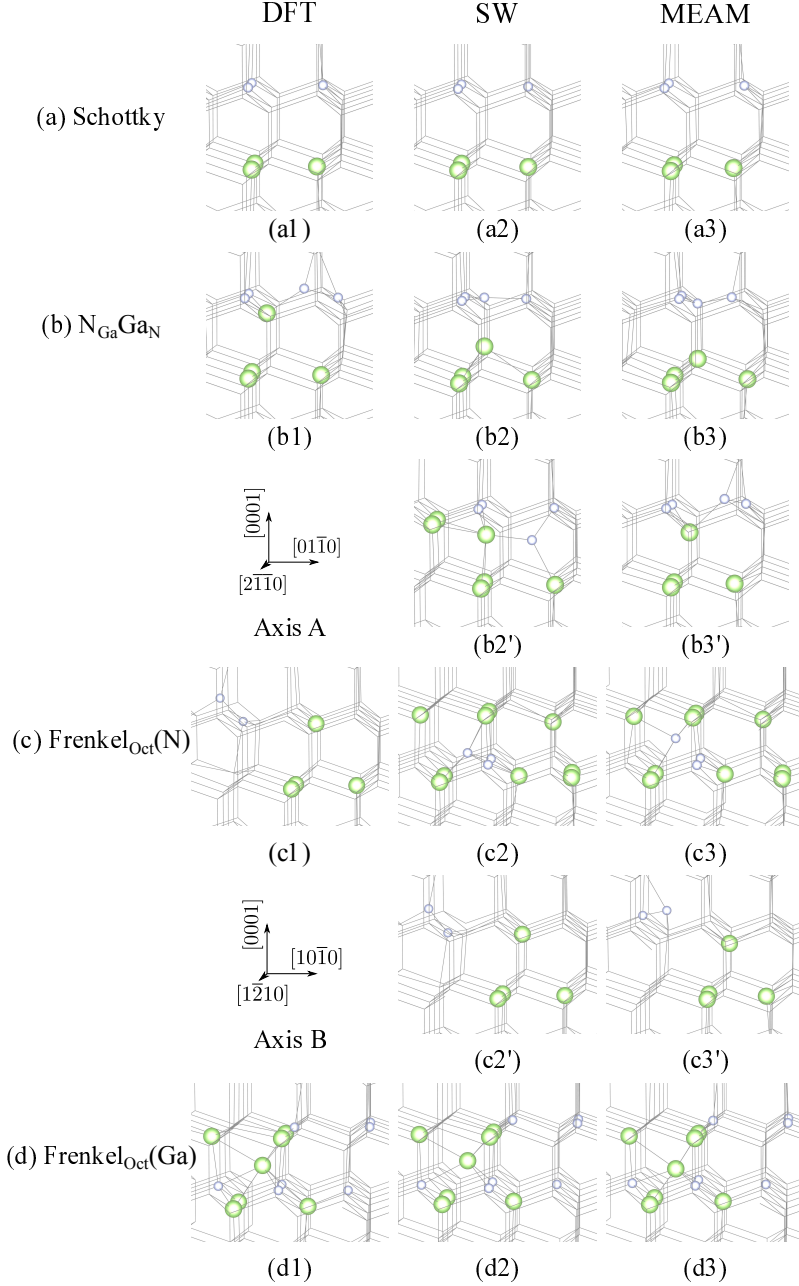
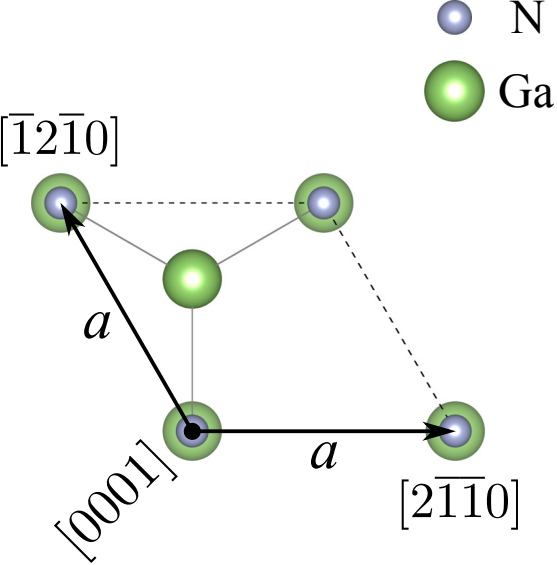
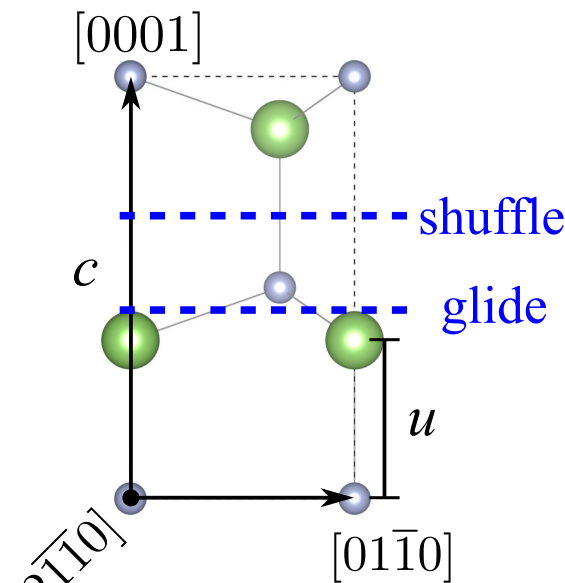


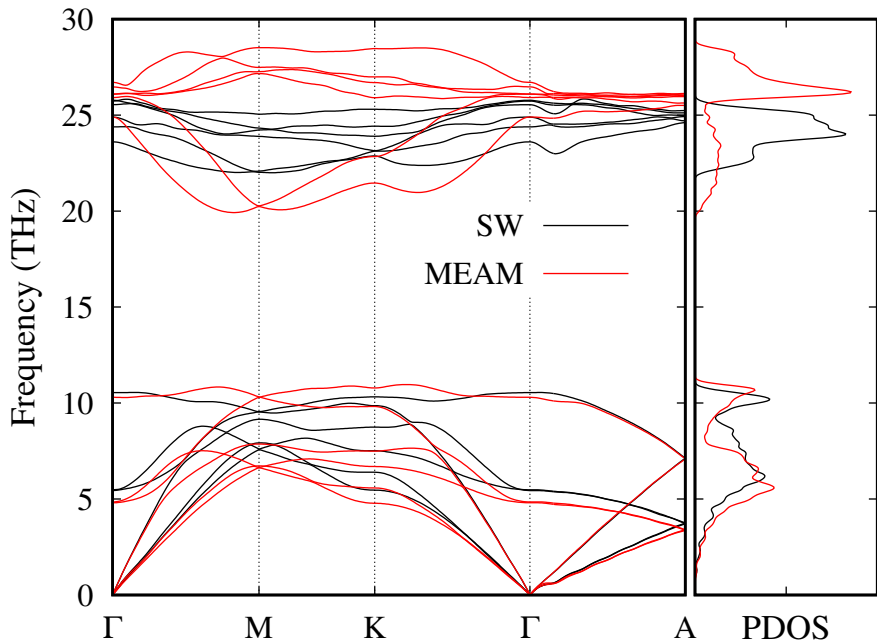
FIG. 9. (Color online) Perspective view of atomic structures of point defect complexes in wurtzite GaN relaxed by DFT (Left), SW (Middle) and MEAM (Right) potentials, respectively. (a) Schottky. (b)  $N_{Ga}Ga_N$ , where (b2') and (b3') are the relaxed structures by taking the DFT result in (b1) as the initial configuration. (c)  $Frenkel_{Oct}(N)$ , where (c2') and (c3') are the relaxed structures by taking the DFT result in (c1) as the initial configuration. And (d)  $Frenkel_{Oct}(Ga)$ . The representations of atomic structures are the same as in Fig. 6. The coordinate system denoted by 'Axis A' is used by default, except the cases in (c2), (c3), (d1), (d2) and (d3), which are coordinated by the axes denoted as 'Axis B'.

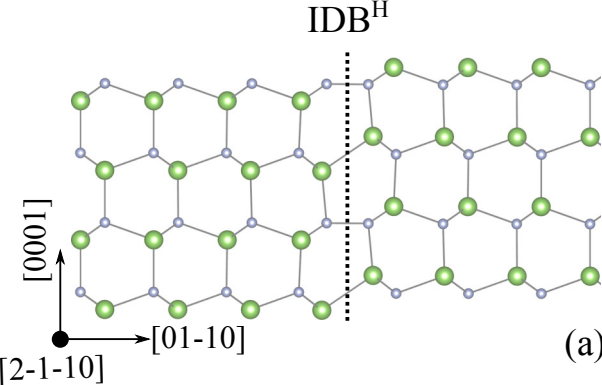


(a)

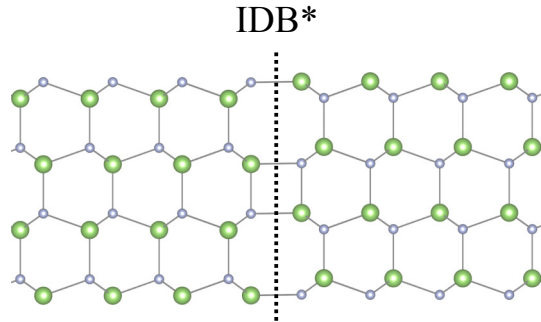


(b)

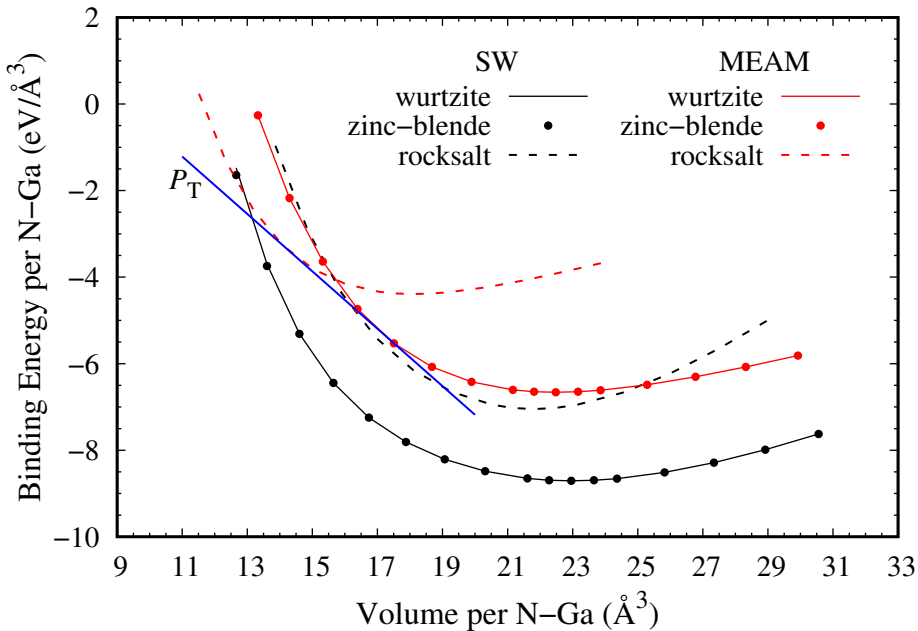




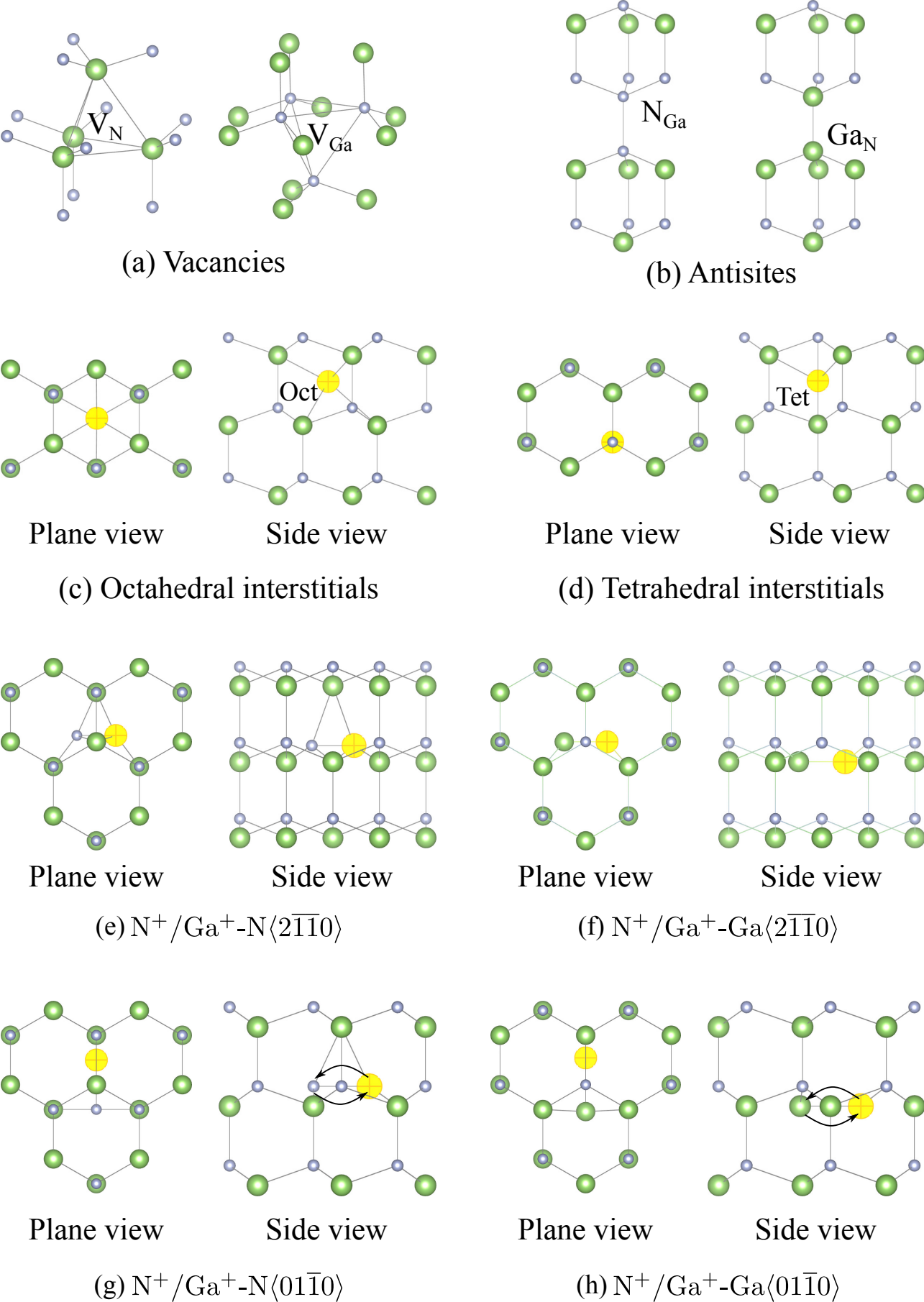
(a) SW

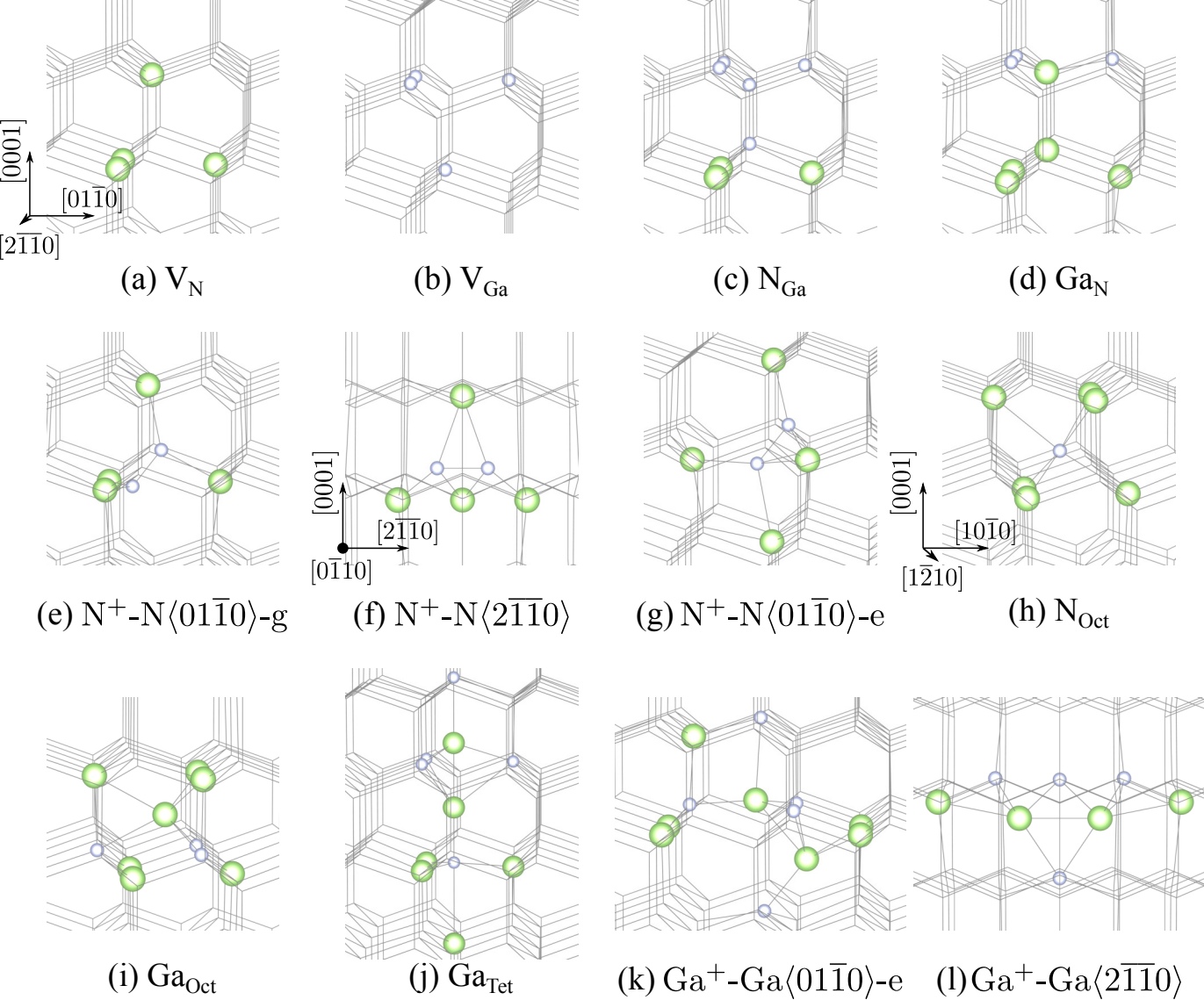


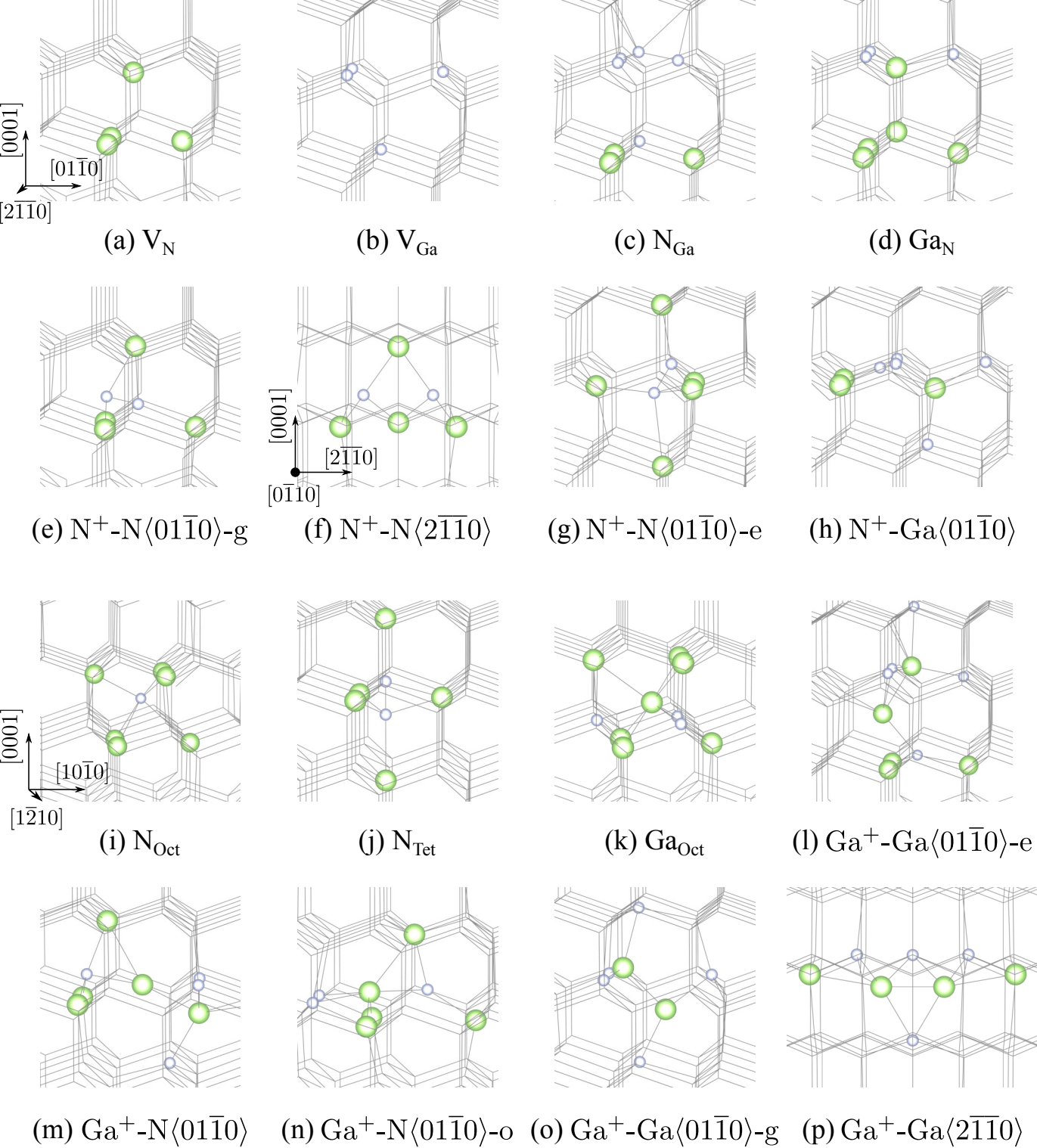
(b) MEAM

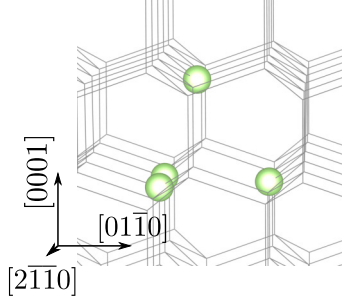
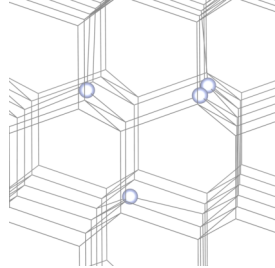
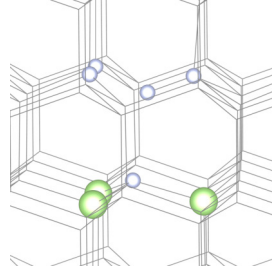
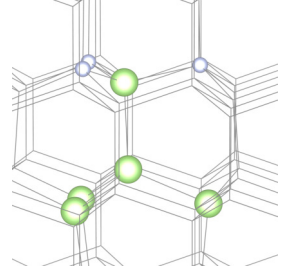
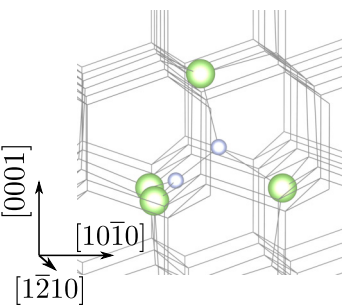
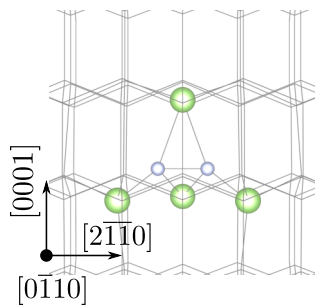
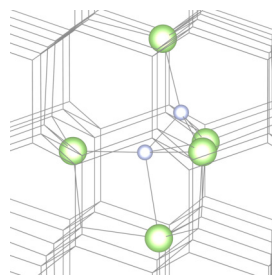
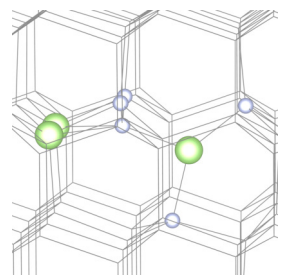
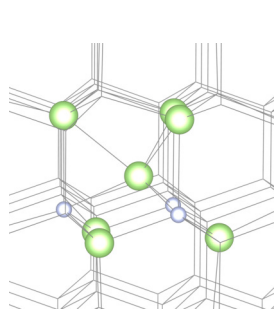
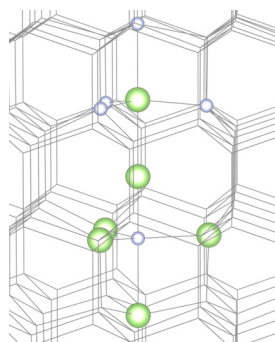
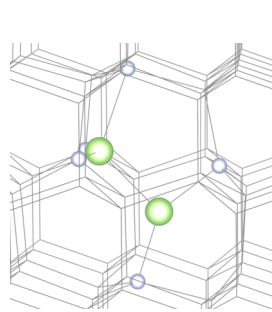
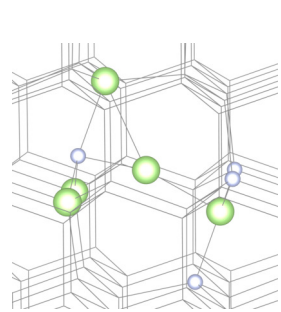
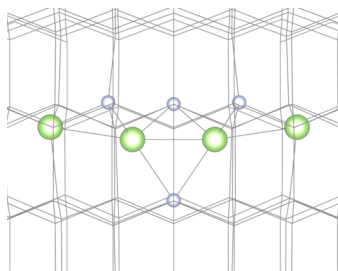
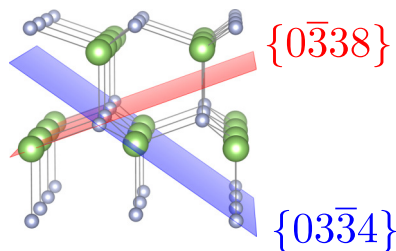










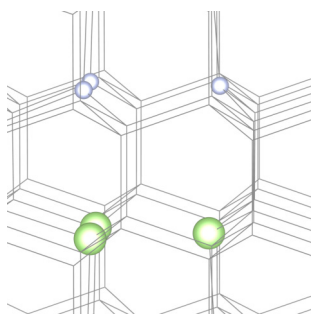
(a)  $V_N$ (b)  $V_{Ga}$ (c)  $N_{Ga}$ (d)  $Ga_N$ (e)  $N^+-N\langle 01\bar{1}0 \rangle-g$ (f)  $N^+-N\langle 2\bar{1}10 \rangle$ (g)  $N^+-N\langle 01\bar{1}0 \rangle-e$ (h)  $N^+-Ga\langle 01\bar{1}0 \rangle$ (i)  $Ga_{Oct}$ (j)  $Ga_{Tet}$ (k)  $Ga^+-Ga\langle 01\bar{1}0 \rangle-g$ (l)  $Ga^+-N\langle 01\bar{1}0 \rangle$ (m)  $Ga^+-Ga\langle 2\bar{1}10 \rangle$ 

DFT

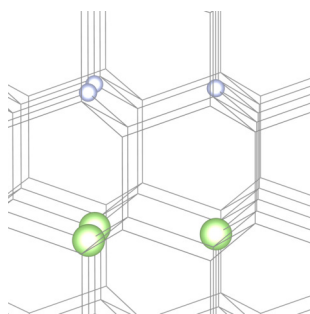
SW

MEAM

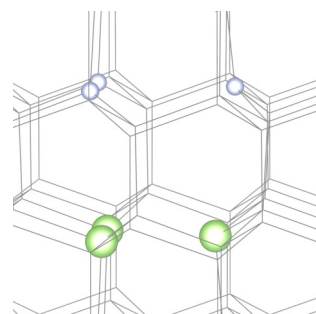
(a) Schottky



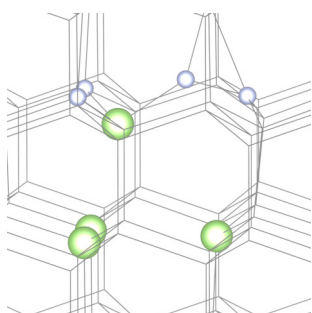
(a1)



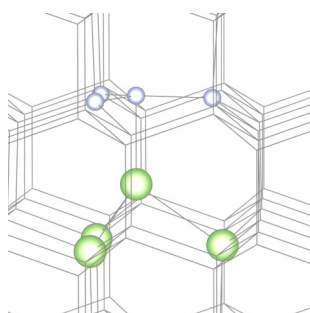
(a2)



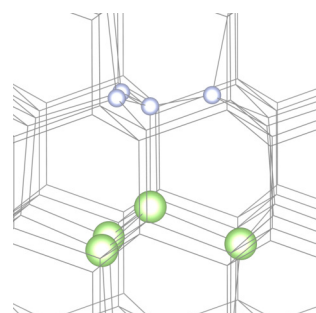
(a3)

(b)  $N_{\text{Ga}}\text{Ga}_N$ 

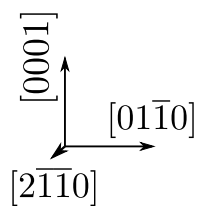
(b1)



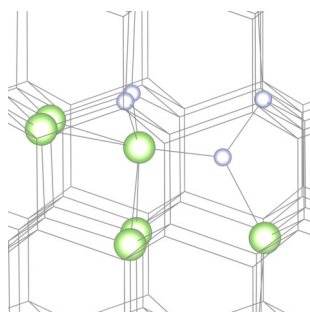
(b2)



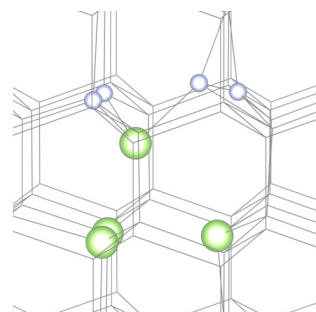
(b3)



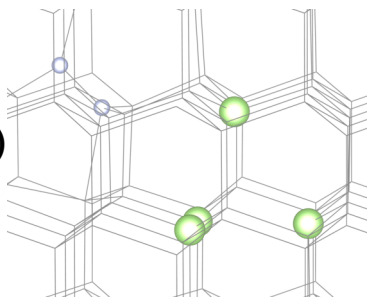
Axis A



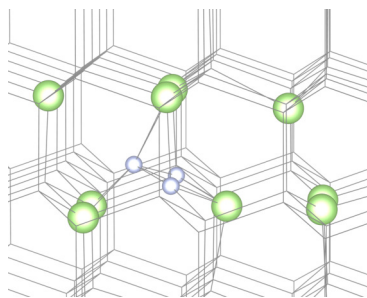
(b2')



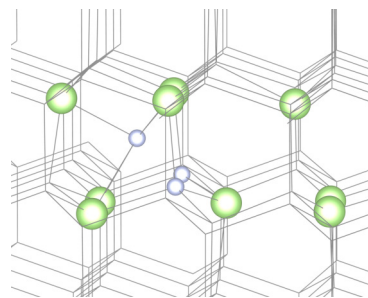
(b3')

(c)  $\text{Frenkel}_{\text{Oct}}(\text{N})$ 

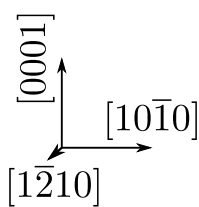
(c1)



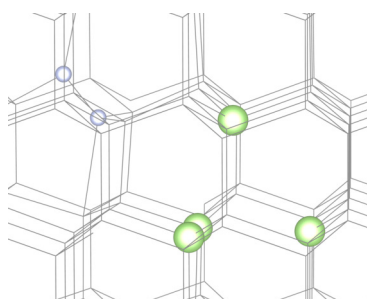
(c2)



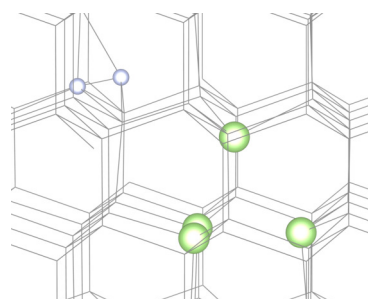
(c3)



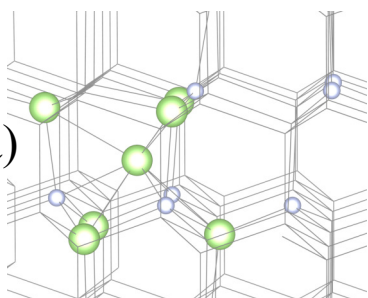
Axis B



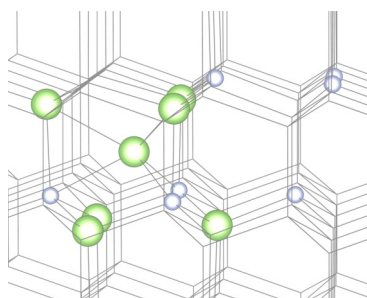
(c2')



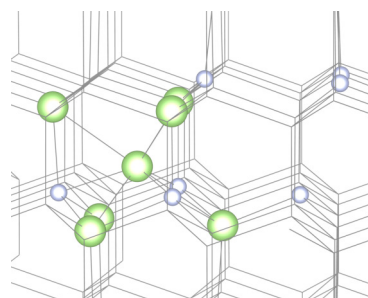
(c3')

(d)  $\text{Frenkel}_{\text{Oct}}(\text{Ga})$ 

(d1)



(d2)



(d3)