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1	Comparative studies of interatomic potentials for modeling point defects in wurtzite
2	GaN

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In this paper, a new version of the Stillinger-Weber (SW) potential for wurtzite 11 GaN is presented, by which we systematically explore the structural and thermo-12 dynamical properties of native point defects and their complexes. In parallel, the 13 semi-empirical Modified Embedded-Atom Method (MEAM) potential is selected for 14 comparison. The SW and MEAM potentials are assessed by the reproduction of the 15 fundamental properties of wurtzite GaN and by the ability to describe the inversion 16 domain boundaries and the wurtzite-rocksalt phase transition. Then the structural 17 search of native point defects and their complexes in GaN is implemented using both 18 SW and MEAM potentials with the benchmark of Density Functional Theory (DFT) 19 calculations. Besides vacancies and antisites, four N and five Ga interstitials are 20 confirmed through the refining of DFT calculations, among which two N split in-21 terstitials N<sup>+</sup>-N $\langle 2\overline{110} \rangle$ , N<sup>+</sup>-Ga $\langle 01\overline{10} \rangle$ , and two Ga split interstitials Ga<sup>+</sup>-Ga $\langle 01\overline{10} \rangle$ -g, 22  $Ga^+-N\langle 01\overline{1}0\rangle$  are observed for the first time. The SW potential correctly predicts the 23 octahedral occupation Ga<sub>Oct</sub> to be the most stable Ga interstitial, while the MEAM 24 potential predicts the ground state of N<sup>+</sup>-N $\langle 01\overline{1}0 \rangle$  split interstitial (N<sup>+</sup>-N $\langle 01\overline{1}0 \rangle$ -g) as 25 the most stable N interstitial. But neither of two potentials could generate simultane-26 ously the most stable configurations of N and Ga interstitials. The investigations of 27 point defect complexes reveal that N octahedral Frenkel (Frenkel<sub>Oct</sub>(N)) and paired 28 antisite  $(N_{Ga}Ga_N)$  defects are unstable and convert into the  $V_N \oplus N^+$ -N $\langle 01\overline{1}0 \rangle$ -g con-29 figurations with the different separations between  $V_N$  and  $N^+-N\langle 01\overline{1}0\rangle$ -g point defects 30 based on the DFT calculations. The formation energies calculated by the DFT and 31 SW potential demonstrate that Schottky, Ga octahedral Frenkel (Frenkel<sub>Oct</sub>(Ga)) 32 and  $V_N \oplus N^+-N\langle 01\overline{1}0\rangle$ -g point defect complexes are energetically feasible, and they 33 should not dissociate into two isolated point defects. In contrast, the MEAM poten-34 tial predicts the dissociation to be exothermic for Schottky and  $V_N \oplus N^+-N\langle 01\overline{10}\rangle$ -g. 35 Overall, the structural features concerned with N-N or Ga-Ga bonds relaxed by the 36 SW potential are more consistent with DFT calculations than the MEAM counter-37 part. 38

Keywords: GaN, Point defects, empirical potentials, First-principles calculation,
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#### 41 I. INTRODUCTION

GaN and related alloys attract scientific and industrial interests due to their unique 42 properties<sup>1</sup>. They have direct band gap, high melting point, high thermal conductivity, 43 high breakdown field, high electronic mobility and high saturation electron drift velocity. 44 Belong to the  $P6_3mc$  space group they also inherently possess spontaneous and piezoelectric 45 polarization. In GaN the strong bonding between cation and anion atoms gives it an intrinsic 46 thermal stability and high radiation resistance with the displacement threshold energy up 47 to 19 eV for Ga atoms<sup>2</sup>. These merits make GaN-based alloys suitable for high power, high 48 temperature and high frequency electronic devices to operate in harsh environments such as 49 power supplies, automotive industries, aerospace communications and nuclear reactors<sup>3–6</sup>. 50 However, the performance of GaN-based devices is usually restricted below the theoretical 51 values. They suffer the permanent and/or reversible degradations due to the structural 52 defects, which mainly consist of point defects, threading dislocations and grain boundaries 53  $etc.^{7,8}.$ 54

The point defects such as vacancies, antisites and interstitials could be generated 55 during non-stoichiometric growth, thermal excitation<sup>9</sup>, ion implantation<sup>10</sup> or irradiation 56 damage<sup>11,12</sup>, etc.. These native point defects and their complexes strongly influence the 57 electrical and optical properties of materials. They act as traps and recombination centers 58 for carriers<sup>7,13</sup> thus to deteriorate the efficiency of devices<sup>14</sup>, and they may also impede 59 p-type doping in GaN by inducing compensation<sup>15</sup>. The point defects critically control 60 the diffusion dynamics in ion implantation, thermal annealing and recovery of irradiated 61 damage<sup>16</sup>. On the other hand, they could be purposefully created to improve the perfor-62 mance of GaN-based devices. For instance, Ga interstitials generated in the low-fluence 63 neutron irradiation passivate the vacancy-decorated threading dislocations thus to reduce 64 the reverse leakage current of AlGaN/GaN heterostructures<sup>17,18</sup>. 65

The atomic geometries of point defects in GaN have been identified by combining the experimental measurements<sup>13,14,19,20</sup> and the theoretical calculations with respect to different charge states<sup>15,21-24</sup>. The dominant point defect is 3+ charged N vacancy in *p*-type GaN<sup>15,21-24</sup>, but it might change to 3- charged Ga vacancy in *n*-type GaN<sup>15,22,23</sup>. The atomic structures of N and Ga interstitials have been also resolved<sup>19-22,25-27</sup>. The N<sup>+</sup>-N $\langle 01\overline{10} \rangle$  configuration is recognized as the most stable N interstitial with the defect level at 1.0 eV below

the conduction band edge<sup>19,20</sup>. Ga interstitials are experimentally detected to possess  $C_{3v}$ 72 symmetry<sup>27</sup> locating at either octahedral or tetrahedral sites, but the latter occupation is 73 excluded afterwords since the formation energy is higher by 93 meV according to the hybrid 74 DFT calculation<sup>28</sup>. In addition to the thermodynamically stable configurations, the dynam-75 ical properties of point defects have been investigated as well. The positron annihilation 76 experiment indicates that the migration barrier of N vacancy is  $2.5 \text{ eV}^{29}$  in line with the 77 calculated value of 2.6  $eV^{30}$  (2.7  $eV^{31}$ ) for 3+ charged state, while Ga vacancy migrates with 78 a barrier of 1.5  $eV^{32}$  in agreement with the theoretical value of 1.9  $eV^{21,30}$  for 3- charged 79 state. As for N and Ga interstitials, the minimum migration barrier is predicted to be 1.4 80  $eV^{30}$  (1.7  $eV^{31}$ ) for 3+ charged N interstitials, and it is 0.7  $eV^{31}$  (0.9  $eV^{30}$ ) for 3+ charged 81 Ga octahedral interstitials in good agreement with the experimental value of 0.7 eV for Ga 82 migration in the irradiated  $GaN^{27}$ . 83

Besides point defects, threading dislocations are also present in GaN due to the lattice 84 mismatch and the different thermal expansion between epilayers and substrates. With the 85 presence of dislocations, the long-range elastic field can drive point defects to move direc-86 tionally for the release of their local strain. This causes interstitials and vacancies to migrate 87 toward the tensile and compressive regions of dislocations, respectively. Therefore, the dis-88 locations act as sinks for point defects to form complex defective structures analogous to 89 the dislocation-induced phase segregation in Ga(In, Al)N allovs<sup>33–36</sup>. In turn, point defects 90 influence the structural and electronic properties of threading dislocations. The vacancy-91 decorated a-edge dislocations form the reverse leakage current channels in AlGaN/GaN 92 heterostructures<sup>17,18</sup>. Concerning the presence of external elastic field, the metastable or 93 excited configurations of point defects are important to identify the diffusion pathways with 94 lower migration barrier as intermediate states<sup>19,25</sup>. Thereby the systematical analysis of 95 native point defects in GaN is crucial to clarify the interaction properties of point defects 96 with threading dislocations in order to elucidate the degradation mechanisms of GaN-based 97 devices. 98

<sup>99</sup> The defect systems of interest contain a large amount of atoms. In order to balance <sup>100</sup> reliability and efficiency, (semi-)empirical interatomic potentials are extremely suitable for <sup>101</sup> the theoretical simulations. So far, several interatomic potentials have been developed for <sup>102</sup> GaN, such as Stillinger-Weber (SW) potential<sup>37,38</sup>, polymorphic Stillinger-Weber potential<sup>39</sup>, <sup>103</sup> Modified Embedded-Atom Method (MEAM) potential<sup>40</sup>, analytical bond-order potential<sup>41</sup>

etc.. They have been applied to simulate the atomic structures of defects and the dynamical 104 processes of irradiation damage. With the parameterized Ga-Ga and N-N interactions<sup>37</sup>, the 105 SW potential was used to investigate threading dislocations<sup>38,42,43</sup>, partial dislocations<sup>44</sup>, tilt 106 grain boundaries<sup>45,46</sup> and point defect<sup>26</sup> etc.. However, the version of SW potential<sup>38</sup> used 107 in the literature<sup>26</sup> fails to reproduce the most stable configurations of N and Ga interstitials. 108 Considering the successful applications in the studies of defective structures, it is worth 109 optimizing the SW potential continually to improve its performance in the simulation of 110 point defects. As an alternative option, the MEAM potential for GaN developed by Do 111 et al.<sup>40</sup> could additionally describe the elemental states of Ga and N atoms so that it is 112 inherently applicable to simulate the growth process involving the dissolution or association 113 of N<sub>2</sub> molecule and the clustering of Ga atoms. However, the assessment of MEAM potential 114 is still lacking to describe point defects or threading dislocations in wurtzite GaN. On the 115 other hand, the atomic structures of native point defects in GaN have not been completely 116 searched out yet as presented in the next sections. 117

Therefore, the purpose of this work is two folds. (1) To further modify the SW potential 118 for GaN and perform the assessments of it in comparison with the MEAM counterpart. (2) 119 To systematically explore the native point defects and their complexes in GaN using both 120 potentials. Subsequently, the structural and thermodynamical properties of defective struc-121 tures are refined by DFT calculations, which thus serves as the assessment of two potentials 122 in the simulation of systems containing point defects. Accordingly, in Sec. II, the formalism 123 of SW potential is described in brief with the presence of the corresponding parameters for 124 Ga-N, Ga-Ga and N-N interactions in triplet format. The default computational details are 125 introduced here, and the exceptions will be stated whenever they are implemented. In Sec. 126 III, the fundamental properties of wurtzite GaN including the lattice parameters, elastic con-127 stants and phonon dispersion are reproduced by the SW and MEAM potentials followed by 128 their assessments of inversion domain boundaries and multiple crystallographic structures. 129 Sec. IV presents the systematical exploration of the native point defects and their complexes 130 using the two potentials with the benchmark of DFT calculations, the atomic structures and 131 the thermal stability of which are discussed in details. Finally, the summaries are given in 132 Sec. V. 133

#### 134 II. POTENTIAL MODEL AND COMPUTATIONAL DETAILS

#### <sup>135</sup> A. Formalism of Stillinger-Weber potential

In the Stillinger-Weber potential<sup>47</sup> the total energy E of N-atom system is truncated to the two-body  $\phi_2$  and three-body  $\phi_3$  terms restricted to the second nearest neighbors:

$$E(\boldsymbol{r}_1, \boldsymbol{r}_2, \cdots, \boldsymbol{r}_N) = \sum_{\substack{i,j \\ (i < j)}}^N \phi_2(\boldsymbol{r}_i, \boldsymbol{r}_j) + \sum_{\substack{i,j,k \\ (i \neq j; j < k)}}^N \phi_3(\boldsymbol{r}_i, \boldsymbol{r}_j, \boldsymbol{r}_k),$$

136 where

$$\phi_2(\boldsymbol{r}_i, \boldsymbol{r}_j) = A\epsilon \left[ B(\frac{r_{ij}}{\sigma})^{-p} - (\frac{r_{ij}}{\sigma})^{-q} \right] \exp\left(\frac{1}{r_{ij}/\sigma - a}\right),\tag{1}$$

137

$$\phi_3(\boldsymbol{r}_i, \boldsymbol{r}_j, \boldsymbol{r}_k) = \lambda \epsilon (\cos \theta_{jik} + 1/3)^2 \exp\left(\frac{\gamma}{r_{ij}/\sigma - a}\right) \exp\left(\frac{\gamma}{r_{ik}/\sigma - a}\right).$$
(2)

Here  $r_{ij}$  is the distance between i, j atoms.  $\epsilon$  and  $\sigma$  are the energy and distance scales, respectively. a represents the cut-off distance in which the interatomic interactions are counted.  $\theta_{jik}$  is the angle between  $\mathbf{r}_{ji}$  and  $\mathbf{r}_{ik}$  bond vectors subtended at the vertex i atom.  $A, B, \lambda$  and  $\gamma$  are the corresponding bond strength factors.

Besides the regular terms of N-Ga-Ga (Ga-N-N), N-N-N and Ga-Ga-Ga, the N-Ga-N 143 (N-N-Ga) and Ga-Ga-N (Ga-N-Ga) triplets are *explicitly* considered and optimized in the 144 current version of SW potential. In the beginning, the parameters of the four regular triplets 145 take the values presented by Béré *et al.*<sup>38</sup>, the geometric mean of which is assigned to the 146 other four triplets as the initial values. The parameters  $\gamma = 1.2$ , p = 4 and q = 0 are 147 preserved as usual<sup>37,38</sup>. Then the other potential parameters are further modified<sup>48</sup> so that 148 the lattice parameters, elastic constants of wurtzite GaN as well as the atomic geometries 149 and the formation energy of two inversion domain boundaries (Holt<sup>49</sup> and Star types<sup>50</sup>) 150 are reproduced as well as possible. The optimized results will be presented with other 151 assessments in Sec. III. In the optimization procedure, the energy scale  $\epsilon$  is reassigned by 152 the one-fourth of cohesive energy (-9.058 eV) of  $\text{GaN}^{51}$  for N-Ga bonds, the bond energy 153 (1.731 eV) of N-N single bond<sup>52</sup> for N-N bonds, and the half of cohesive energy (-2.810 eV)154 of Ga metals<sup>53</sup> for Ga-Ga bonds, respectively. Finally, the whole set of potential parameters 155 are presented in Table I for GaN. 156

#### <sup>157</sup> B. Computational details

In this paper, all Molecular Statics (MS) and Molecular Dynamics (MD) simulations 158 based on the SW and MEAM potentials are carried out by Large-scale Atomic/Molecular 159 Massively Parallel Simulator (LAMMPS) package<sup>54</sup>. By default, the energy and force toler-160 ance is respectively set to  $10^{-15}$  eV and  $10^{-12}$  eV/Å for the structural relaxation in MS sim-161 ulation. The Density Functional Theory (DFT) calculations are implemented using Vienna 162 Ab initio Simulation Package (VASP) code<sup>55</sup>. The electron-ionic interaction is described by 163 the Projector Augmented Wave (PAW) method<sup>56</sup>, where  $2s^2$ ,  $2p^3$  electrons of nitrogen and 164  $3d^{10}$ ,  $4s^2$  and  $4p^1$  electrons of gallium atoms are taken as the valence states, respectively. 165 The Perdew-Burke-Ernzerhof (PBE) scheme<sup>57</sup> is used for the exchange and correlation func-166 tional. The energy tolerance is  $10^{-6}$  eV in the electronic iteration and the force is minimized 167 to 0.01 eV/Å during the geometry optimization. The conjugate gradient algorithm and the 168 periodic boundary condition are applied in the calculations of empirical potential and DFT 169 method. The geometries of supercells and the atomic positions are relaxed fully. 170

#### 171 III. ASSESSMENT OF EMPIRICAL POTENTIALS

#### 172 A. Lattice parameters

The primitive cell of wurtzite GaN is illustrated in Fig. 1. As seen in Table II, both the SW and MEAM potentials reasonably reproduce the lattice constants a and c of wurtzite GaN. But the ratio of c/a and the internal structural parameter u deviate from the experimental values<sup>58</sup> due to the lack of long range interactions over the third-nearest neighbors in the two empirical potentials, such as electrostatic effect.

#### 178 B. Elastic properties

The elastic parameters are calculated by the SW and MEAM potentials in comparison with the Antenna-Transmission Acoustic-Resonance (ATAR) experimental data<sup>59</sup> as presented in Table II. The elastic constants are directly calculated according to their definition  $C_{ij} = \partial F_i / \partial x_j$ , where  $F_i$  is the force along  $x_i$  axis on the  $x_j$  plane. For the wurtzite phase of GaN, there are five independent elastic constants<sup>9</sup> as  $C_{11} = C_{22}$ ,  $C_{33}$ ,  $C_{12} = C_{21}$ ,  $C_{13} = C_{31} = C_{23} = C_{32}, C_{44} = C_{55}, \text{ and } C_{66} = (C_{11} - C_{12})/2.$  The bulk modulus is calculated as  $B = \frac{C_{33}(C_{11}+C_{12})-2(C_{13})^2}{C_{11}+C_{12}+2C_{33}-4C_{13}}$ . The maximum deviation from the experimental data is 8.8% ( $C_{13}$ ) for the SW potential but 14.0% ( $C_{12}$ ) high for the MEAM counterpart. The elastic constants and bulk modulus calculated by the SW potential are also in good agreement with DFT calculations<sup>40</sup>. Therefore, the SW potential presented in Table I can properly describe the mechanical properties of GaN.

#### <sup>190</sup> C. Phonon dispersion

The phonon dispersion characterizes the collective vibration of atoms around their equilibrium lattice positions with respect to the wave vectors. The branches of phonon dispersion reflect the specific features of crystal structure and the interatomic interaction<sup>60</sup>. In order to investigate the thermal properties of GaN and the dynamical properties of lattice defects correspondingly, it is necessary to assess the performance of empirical potentials to reproduce the phonon dispersion of crystals.

<sup>197</sup> The phonon dispersion of GaN is calculated using the FixPhonon module<sup>61,62</sup> in LAMMPS. <sup>198</sup> In this method, the elements of dynamical matrix are constructed directly from the long-<sup>199</sup> time trajectories of atoms in the MD simulations, since the ensemble average of the second <sup>200</sup> moment of atomic displacements at a finite temperature is equal to the lattice Green's <sup>201</sup> function coefficient, which is inversely proportional to the force constant according to the <sup>202</sup> fluctuation-dissipation theorem<sup>63</sup>.

Since GaN is stiff with the high elastic constants as shown in Table II, a large supercell 203 is critically required for the computational convergence of dynamical matrices, the size of 204 which is optimized to be  $14a \times 14a \times 12c^{64}$  along the  $[2\overline{110}]$ ,  $[\overline{1210}]$  and [0001] directions, 205 respectively. Totally 9408 atoms are contained. The MD simulations are implemented in the 206 microcanonical ensemble with the Langevin thermostat<sup>65</sup>, in which the damp parameter is 207 0.2, and the time step is set to 2 fs. The system pressure is adjusted to 1 atmosphere with the 208 temperature of 0.1 K. The atomic displacements are recorded for 20 ns after the time of 1 ns 209 for the thermal equilibration of system. The phonon dispersion and the Phonon Density Of 210 States (PDOS) are subsequently calculated using the auxiliary post-processing code phana<sup>62</sup> 211 and shown in Fig. 2 for the SW and MEAM potentials. The phonon frequencies at some 212 high-symmetry k points in Brillouin zone are listed in Table III in comparison with the 213

experimental data of Inelastic X-Ray Scattering (IXS) at 300 K<sup>66</sup> and of Raman scattering at 6K<sup>67</sup>. The results of density-functional perturbation theory (DFPT) calculations<sup>66</sup> are also listed for comparison.

As shown in Fig. 2, both SW and MEAM potentials yield twelve phonon dispersion 217 branches for the 4-atom primitive cell of wurtzite GaN (Fig. 1). In the acoustic regime, 218 the curve profile, energy spanning and symmetry degeneracies of the longitudinal-acoustic 219 (LA) and transverse-acoustic (TA) branches are consistent with DFPT calculation (Fig. 2 220 in Reference<sup>66</sup>) very well, but agree fairly for the profile of longitudinal-optical (LO) and 221 transverse-optical (TO) branches in the high energy regime. Specially along the  $\Gamma$ -A direc-222 tion, the energy interval between LO and TO phonon calculated by two empirical potentials 223 is much smaller than that of DFPT calculation. Typically, at A point the frequency interval 224 is estimated to be 5.3 THz in DFPT calculation<sup>66</sup>, while it is only about 0.6 THz for the 225 SW and MEAM potentials. The deviation of the optical phonon branches could originate 226 from the lack of long range electrostatic interaction in the used empirical potentials, which 227 is characteristic in polar materials and results in the LO-TO splitting<sup>68</sup>. In details, when 228 the wave vector is along the  $\Gamma$ -A direction, the spontaneous polarization contributes to the 229 interatomic interaction parallel to the c axis more than the components within the c plane. 230 In this regard, the extra polarization effect causes an additional force for the longitudinal 231 mode in comparison to the transverse mode for the atomic vibration within Ga-N pairs, 232 which yields higher frequency for LO phonon than TO phonon. In addition, the phonon 233 frequencies calculated by the SW and MEAM potentials are broadly higher than either the 234 experimental data or DFPT calculations throughout the entire Brillouin zone as indicated 235 in Table III. Taking the results of DFPT calculation<sup>66</sup> as reference, the maximum deviation 236 happens along three TO modes (A1(TO), E1(TO) and E2) at  $\Gamma$  point, which is up to 7.5 237 THz (31 meV) and 8.5 THz (35 meV) for the SW and MEAM potentials, respectively. The 238 values are rather smaller than the energy scale interested in the following investigation of 239 point defects, and the SW and MEAM potentials are thus reasonably acceptable to describe 240 interatomic interactions in GaN. 241

#### <sup>242</sup> D. Inversion domain boundaries

Besides the regular Ga-N bonds, the interactions of Ga-Ga and N-N pairs are considered 243 in the SW and MEAM potentials as well. A typical planar defect of Inversion Domain 244 Boundary (IDB) is selected for the optimization and assessment of the corresponding poten-245 tial parameters. The IDB structures have two atomic configurations. One is the Holt-type 246 denoted as IDB<sup>H49</sup>, where Ga and N atoms are interchanged to occupy the opponent sites 247 in their sublattice to form a boundary along the  $\{01\overline{1}0\}$  plane as shown in Fig. 3 (Left). 248 The generated Ga-Ga and N-N bonds are alternately arranged along the boundary of IDB<sup>H</sup>. 249 The other configuration of IDB is denoted as  $IDB^{*50,69,70}$ , which is formed by translating one 250 side of IDB<sup>H</sup> by c/2 along the [0001] direction to avoid Ga-Ga and N-N bonds. Then 4-fold 251 and 8-fold atomic rings are formed in pair along the boundary as shown in Fig. 3 (Right). 252

In order to preserve the periodicity, two same IDB boundaries are introduced into one 253 supercell, which are arranged reversely with the same interval along the [0110] direction. The 254 size of supercell is taken as  $8\sqrt{3}a \times 1c \times 2a$  along the [0110], [0001] and [2110] directions, 255 respectively. 128 atoms are contained inside. The formation energy  $E_{\rm form}$  of IDB structures 256 is defined as  $E_{\text{form}} = (E_{\text{IDB}} - E_0)/2S$ , where  $E_{\text{IDB}}$  and  $E_0$  are the total energy of the defective 257 and perfect structures, respectively. S is the area of the single interface. The relaxed bond 258 length of N-N and Ga-Ga bonds in IDB<sup>H</sup> and the averaged bond length of N-Ga bonds in 259 4-fold atomic rings of IDB<sup>\*</sup> are presented in Table IV in comparison with DFT calculations<sup>50</sup>. 260

The formation energies of  $IDB^*$  and  $IDB^H$  calculated by the SW and MEAM poten-261 tials indicate that IDB<sup>H</sup> is less stable than IDB<sup>\*</sup> configuration in agreement with DFT 262 calculations<sup>50</sup>. The value of formation energy of IDB<sup>\*</sup> calculated by the MEAM potential 263 is closer to the result of DFT calculation than the SW potential. But the atomic geome-264 tries of two IDB structures relaxed by the SW potential are more consistent with DFT 265 calculations as indicated by the more similar N-N and Ga-Ga bond length in IDB<sup>H</sup> and 266 by the identically rectangular shape of 4-fold atomic ring in IDB<sup>\*</sup> structure (Fig. 1 (b) in 267 Reference<sup>50</sup>), respectively. The MEAM potential generates shorter N-N and Ga-Ga bonds 268 in IDB<sup>H</sup> and a tilted shape of 4-fold atomic ring in IDB<sup>\*</sup> structures. The shorter N-N and 269 Ga-Ga bonds in IDB<sup>H</sup> could be attributed to the shorter equilibrium distance (1.10 Å) and 270 higher cohesive energy (4.88 eV) of N-N dimer in the MEAM potential<sup>71</sup>. In the relaxation 271 of IDB<sup>H</sup> structure, the N-N and Ga-Ga bonds competitively deform to minimize the system 272

energy, which expands N-N but compresses Ga-Ga bonds, and the distortion is dominantly 273 suffered by Ga-Ga bonds. However, the equilibrium distance of N-N and Ga-Ga bonds is 274 respectively 1.47 Å and 2.39 Å in the SW potential, close to the N-Ga bond length of 1.95 Å. 275 Accordingly, the interface of IDB<sup>H</sup> structure is distorted fairly when using the SW potential. 276 The tilted 4-fold atomic rings in IDB<sup>\*</sup> structure could be also ascribed to the parameters of 277 MEAM potential, in which the minimum screening cutoff  $C_{min}$  is 2.0 for N-N pairs and 1.4 278 for Ga-Ga pairs<sup>40</sup>. Beginning with the rectangular 4-fold atomic rings, more atoms (either 279 Ga or N atoms) will be involved into the screening effect when the diagonal N-N interaction 280 is accounted than the case of Ga-Ga interaction, so that the N-N bonds are weakened much 281 more than the diagonal Ga-Ga bonds to cause the shear deformation during the structural 282 relaxation. 283

Therefore, the SW and MEAM potentials are applicable to the defective structures containing N-N and Ga-Ga bonds besides the regular N-Ga ones. The former would be good at the structural description while the latter would be more precise to the energy calculation.

#### 287 E. Multiple crystallographic structures

In order to evaluate the transferability of the SW and MEAM potentials with respect 288 to different atomic environments, the wurtzite, zinc-blende and rocksalt structures with 289 different coordinate numbers are considered. In the wurtzite and zinc-blende phases, each 290 cation (anion) has 4 neighboring anions (cations) in a tetrahedral coordination, whereas 291 in the rocksalt phase, each cation (anion) binds 6 neighboring anions (cations) to form an 292 octahedral structure. The binding energies as function of volume per formula unit (N-Ga) 293 in the three lattices are plotted in Fig. 4. The wurtzite and zinc-blende phases can not be 294 distinguished by either SW or MEAM potentials since the cutoff radius is restricted to the 295 second nearest neighbors in GaN. Nevertheless, the wurtzite and zinc-blende phases are more 296 stable than rocksalt in agreement with the experiment<sup>72</sup> and DFT calculation<sup>73</sup>. Moreover, 297 the rocksalt phase is stable under high pressure. The equilibrium transition pressure  $P_{\rm T}$  for 298 the wurtzite-rocksalt phase transition is 52.2 GPa in experiment<sup>72</sup> and 44.5 GPa as predicted 299 by DFT calculation<sup>73</sup>.  $P_{\rm T}$  could be estimated by the common tangent construction of two 300 energy curves in Fig. 4. Obviously, *only* the MEAM potential could qualitatively describe 301 the wurtzite-rocksalt phase transition even the calculated value of  $P_{\rm T}$  is fairly high (106) 302

GPa). The SW potential fails to predict the phase transition since the three-body term is rigid to fix the tetrahedral configuration determined by  $sp^3$  hybridization. Indeed, any distortion from the ideal structure increases the system energy, so the energy curves of wurtzite and rocksalt phases can not cross within a reasonable strain range and no sound value could be estimated for  $P_{\rm T}$ .

Therefore, the MEAM potential is more transferable to treat the structural change in regard to the variation of coordinate numbers, but the SW potential is still applicable to describe the atomic structures not far away from the tetrahedral type.

# IV. EXPLORATION OF NATIVE POINT DEFECTS AND THEIR COMPLEXES

#### 313 A. Native point defects

With the SW potential parameterized in Table I, we explore the atomic structures of point defects in wurtzite GaN in comparison with the calculations based on the MEAM potential. The configurations of native point defects include three types, namely vacancy, antisite and interstitial. We first investigate the single point defects and then the point defect complexes made up of two point defects.

The native point defects and their complexes are modeled using a supercell with the size 319 of  $5a \times 3\sqrt{3}a \times 3c$  along the [2110], [0110] and [0001] direction, respectively. 360 atoms are 320 contained for the perfect structure. As illustrated in Fig. 5, the possible atomic structures of 321 native point defects are constructed as follows, and the split interstitials are denoted accord-322 ing to the orientation of dumbbells: (1) Anion (Cation) vacancies,  $V_N$  ( $V_{Ga}$ ), where a N (Ga) 323 atom is removed from GaN bulk. (2) Anion (Cation) antisites,  $N_{Ga}$  (Ga<sub>N</sub>), where the host Ga 324 (N) atom is replaced by a N (Ga) atom. (3) Anion (Cation) octahedral/tetrahedral intersti-325 tials,  $N_{Oct}$  (Ga<sub>Oct</sub>)/ $N_{Tet}$  (Ga<sub>Tet</sub>), where a N (Ga) atom occupies the octahedral/tetrahedral 326 site in the shuffle plane as labeled in Fig. 1 (b). (4)  $\langle 2\overline{110} \rangle$ -oriented split interstitials, 327  $N^+-N\langle 2\overline{110}\rangle$  (Ga<sup>+</sup>-N $\langle 2\overline{110}\rangle$ ) and N<sup>+</sup>-Ga $\langle 2\overline{110}\rangle$  (Ga<sup>+</sup>-Ga $\langle 2\overline{110}\rangle$ ), where one N (Ga) intersti-328 tial (labeled by the symbol of '+' on the right superscript) and a host N or Ga atom share 329 the same lattice position to form a dumbbell with the axis along the  $\langle 2\overline{110} \rangle$  direction in 330 the glide plane as labeled in Fig. 1 (b). Similarly, (5)  $\langle 01\overline{1}0\rangle$ -oriented split interstitials, 331

 $N^+-N\langle 01\overline{1}0\rangle$  (Ga<sup>+</sup>-N $\langle 01\overline{1}0\rangle$ ) and N<sup>+</sup>-Ga $\langle 01\overline{1}0\rangle$  (Ga<sup>+</sup>-Ga $\langle 01\overline{1}0\rangle$ ), where one N (Ga) intersti-332 tial and a host N or Ga atom share the same lattice position to form a dumbbell with the 333 axis along the  $\langle 01\overline{1}0\rangle$  direction in the glide plane. Due to the lack of mirror symmetry about 334 the  $\{0110\}$  plane, the polarized N-Ga dumbbells are not equivalent when they orientate 335 along the  $\langle 0110 \rangle$  and its *opposite* directions, so the other two configurations are constructed 336 by reversing the direction of N-Ga dumbbells, which are denoted as  $Ga^+-N\langle 01\overline{1}0\rangle$ -o and 337  $N^+-Ga(01\overline{10})$ -o, respectively. Totally eighteen different candidates are built as the initial 338 atomic configurations. The defective structures are relaxed by the SW and MEAM poten-339 tials. Some of initial configurations convert into the identical atomic structures after the 340 structural relaxation. The obtained atomic structures are further refined by the relaxation 341 of DFT calculations. The computational details have been presented in Sec. II B. 342

The atomic structures relaxed by the SW, MEAM potentials and DFT calculations are 343 shown in Figs. 6, 7 and 8, respectively. The atomic configurations of  $V_N$ ,  $V_{Ga}$  and  $Ga_N$  are 344 preserved after the structural relaxation of three methods despite the observable deformation 345 of Ga-Ga bonds in  $Ga_N$ , which are expanded by +15.6%, +11.8% and +17.9% in average 346 over the ideal N-Ga bonds in the relaxation of SW, MEAM potentials and DFT calculation, 347 respectively. As for N<sub>Ga</sub> antisite, the atomic configuration is preserved in the relaxation 348 of SW potential (Fig. 6 (c)), while the N atom shifts upward to occupy the tetrahedral 349 site when the MEAM potential is applied as shown in Fig. 7 (c). Close to the result of 350 SW potential, Fig. 8 (c) indicates that the N atom of the DFT-relaxed  $N_{Ga}$  antisite shifts 351 aside slightly to form a N<sup>+</sup>-N $\langle 01\overline{1}0 \rangle$  dumbbell in the glide space instead of the reported 352  $N^+-N(0001)$  split interstitial<sup>26</sup>. The N-N bond length is 1.24 Å in the formed N-N dumbbell. 353 The initial configurations of N interstitials illustrated in Fig. 5 are not all preserved 354 in the structural relaxations of three methods. Based on the calculations of SW po-355 tential, N<sup>+</sup>-N $\langle 01\overline{1}0 \rangle$ -g, N<sup>+</sup>-N $\langle 2\overline{11}0 \rangle$  and N<sup>+</sup>-N $\langle 01\overline{1}0 \rangle$ -e split interstitials are obtained as 356 shown in Figs. 6 (e), (f) and (g), respectively.  $N^+-N\langle 01\overline{1}0\rangle$ -g and  $N^+-N\langle 01\overline{1}0\rangle$ -e denote 357 the ground and excited states of N<sup>+</sup>-N $\langle 01\overline{1}0 \rangle$  split interstitials as proposed in Reference<sup>19</sup>. 358  $N^+-N\langle 01\overline{10}\rangle$ -g split interstitial is relaxed from  $N_{Tet}$  and  $N^+-Ga\langle 2\overline{110}\rangle$  initial configurations, 359 while N<sup>+</sup>-N $\langle 01\overline{1}0 \rangle$ -e is relaxed from N<sup>+</sup>-N $\langle 01\overline{1}0 \rangle$  and N<sup>+</sup>-Ga $\langle 01\overline{1}0 \rangle$ -o ones. In N<sup>+</sup>-N $\langle 01\overline{1}0 \rangle$ -g 360 split interstitial, the N-N dumbbell is almost parallel to the  $\{0334\}$  plane (Refer to the 361 inset of Fig. 8.), whereas the N-N dumbbell turns to be nearly perpendicular to the  $\langle 03\overline{3}4\rangle$ 362 plane and forms a small tetrahedron with two neighboring Ga atoms in N<sup>+</sup>-N $\langle 01\overline{1}0\rangle$ -e split 363

interstitial. The bond length of N-N dumbbell is of 1.35 Å in N<sup>+</sup>-N $\langle 01\overline{10}\rangle$ -g, 1.45 Å in N<sup>+</sup>-N $\langle 2\overline{110}\rangle$  and 1.35 Å in N<sup>+</sup>-N $\langle 01\overline{10}\rangle$ -e split interstitials, respectively, all of which considerably agree with the values relaxed in the following DFT calculations. As shown in Fig. 6 (h), the configuration of N<sub>Oct</sub> interstitial is preserved in the relaxation of SW potential, and N<sup>+</sup>-Ga $\langle 01\overline{10}\rangle$  split interstitial is unstable and convert into N<sub>Oct</sub> as well, which is different from the relaxations of MEAM potential and DFT calculations.

Besides four N interstitials  $(N^+-N\langle 01\overline{1}0\rangle -g, N^+-N\langle 2\overline{11}0\rangle, N^+-N\langle 01\overline{1}0\rangle -e \text{ and } N_{Oct})$  in com-370 mon with the results of SW potential, the MEAM potential additionally predicts N tetra-371 hedral interstitial N<sub>Tet</sub> and N<sup>+</sup>-Ga $\langle 01\overline{1}0 \rangle$  split interstitial, all of which are shown in Figs. 7 372 (e)-(j). After the structural relaxations of MEAM potential, N<sup>+</sup>-N $\langle 01\overline{1}0 \rangle$  and N<sup>+</sup>-Ga $\langle 2\overline{11}0 \rangle$ 373 initial configurations convert into N<sup>+</sup>-N $\langle 01\overline{1}0\rangle$ -g split interstitial, while N<sup>+</sup>-N $\langle 01\overline{1}0\rangle$ -e split 374 interstitial is relaxed from N<sup>+</sup>-Ga $\langle 01\overline{1}0 \rangle$ -o initial configuration. It should be stressed that in 375  $N^+-N(01\overline{10})$ -g split interstitial relaxed by the MEAM potential, the N-N dumbbell is instead 376 almost parallel to the  $\{0\overline{3}38\}$  plane (Refer to the inset of Fig. 8.) as seen in Fig. 7 (e), 377 which is different from the results of SW potential and the latter DFT calculation. In N<sub>Tet</sub> 378 interstitial, the N atom occupied the tetrahedral site binds with the host N and Ga atoms 379 to form a straight Ga-N-N-Ga atomic chain along the [0001] direction as shown in Fig. 7 (j). 380 The bond length of N-N dumbbell is of 1.11 Å in N<sup>+</sup>-N $\langle 01\overline{1}0\rangle$ -g, 2.29 Å in N<sup>+</sup>-N $\langle 2\overline{11}0\rangle$ , 1.13 38 Å in N<sup>+</sup>-N $\langle 01\overline{10}\rangle$ -e split interstitials and 1.10 Å in N<sub>Tet</sub>, respectively. The N-Ga dumbbell 382 in N<sup>+</sup>-Ga $\langle 01\overline{1}0 \rangle$  split interstitial is in tensile state with the bond length of 1.96 Å longer 383 than the ideal value of 1.94 Å for N-Ga bonds in the perfect structure. 384

After the refinement of DFT calculations, N<sup>+</sup>-N $\langle 01\overline{10}\rangle$ -g, N<sup>+</sup>-N $\langle 2\overline{110}\rangle$ , N<sup>+</sup>-N $\langle 01\overline{10}\rangle$ -e and 385  $N^+-Ga\langle 01\overline{10}\rangle$  four N split interstitials are obtained as shown in Figs. 8 (e)-(h). N<sub>Oct</sub> and N<sub>Tet</sub> 386 interstitials are unstable and convert into  $N^+-N\langle 01\overline{1}0\rangle$ -g split interstitial. Nevertheless, the 387 structural stability of N<sub>Oct</sub> is still in doubt even on the same level of the first-principles calcu-388 lations. For instance, it has been produced when the hybrid quantum mechanical/molecular 389 mechanical (QM/MM) approach is applied with either B97-2 or BB1k exchange-correlation 390 functionals<sup>23</sup>. The corresponding discussion with respect to the different computational 391 schemes is beyond the scope of this work thus to be omitted here. Similar to the result 392 of SW potential (Fig. 6 (e)), the N-N dumbbell in the DFT-relaxed N<sup>+</sup>-N $\langle 01\overline{1}0\rangle$ -g split 393 interstitial is nearly parallel to the  $\{03\overline{3}4\}$  plane as shown in Fig. 8 (e), and each N atom 394 of N-N dumbbell binds two neighboring Ga atoms. The bond length of N-N dumbbell is 395

of 1.35 Å in agreement with the reported value<sup>25</sup>. In the DFT-relaxed N<sup>+</sup>-N $\langle 01\overline{1}0\rangle$ -e split 396 interstitial (Fig. 8 (g)), the N-N dumbbell is almost perpendicular to the  $\{03\overline{3}4\}$  plane 397 to form a small tetrahedron with two neighboring Ga atoms as well, the bond length of 398 which is 1.37 Å. Besides two N<sup>+</sup>-N $\langle 01\overline{1}0 \rangle$  split interstitials, N<sup>+</sup>-N $\langle 2\overline{1}10 \rangle$  and N<sup>+</sup>-Ga $\langle 01\overline{1}0 \rangle$ 399 configurations are newly explored in this study. In N<sup>+</sup>-N $\langle 2\overline{110} \rangle$  split interstitial, the N-N 400 dumbbell locates in the glide space and exactly arrows along the  $\langle 2\overline{110} \rangle$  direction with the 401 bond length of 1.40 Å. It should be pointed out that Gao et al.<sup>26</sup> have improperly denoted 402  $N^+-N(01\overline{10})$ -g as  $N^+-N(2\overline{110})$  split interstitial, since the description of this split interstitial 403 in their literature<sup>26</sup>, that each N atom of N-N dumbbell forms two bonds with the surround-404 ing Ga atoms, is consistent with N<sup>+</sup>-N $\langle 01\overline{1}0\rangle$ -g rather than N<sup>+</sup>-N $\langle 2\overline{11}0\rangle$  split interstitial. 405 In N<sup>+</sup>-Ga $\langle 01\overline{1}0 \rangle$  split interstitial, the N-Ga dumbbell tilts by about 20° from the {0001} 406 towards the  $\{03\overline{3}4\}$  plane as shown in Fig. 8 (h). The N-Ga dumbbell is in compressive 407 state with the bond length of 1.80 Å shorter than the ideal N-Ga bonds in the perfect 408 structure (1.96 Å along the c axis and 1.95 Å for the other three bonds in the c plane). 400 Therefore, the structural description of N-N bonds in N split interstitials by the SW poten-410 tial is more consistent with DFT calculations than the MEAM counterpart, which is similar 411 to the investigation of IDB<sup>H</sup> structure in Sec. III D. 412

In the exploration of Ga interstitials,  $Ga_{Oct}$ ,  $Ga_{Tet}$  interstitials and  $Ga^+-Ga\langle 01\overline{10}\rangle$ -e, 413  $Ga^+-Ga(2\overline{110})$  split interstitials are produced by the SW potential as shown in Figs. 6 (i)-(l). 414  $Ga^+-Ga\langle 01\overline{1}0\rangle$ -e split interstitial is relaxed from the initial configurations of  $Ga^+-N\langle 01\overline{1}0\rangle$ 415 and  $Ga^+-Ga\langle 01\overline{1}0\rangle$ . Since the Ga-Ga dumbbell is nearly perpendicular to the  $\{03\overline{3}4\}$  plane 416 and binds with two neighboring N atoms to form a small tetrahedron analogous to the 417 structure of N<sup>+</sup>-N $(01\overline{1}0)$ -e split interstitial, the index '-e' is added in the denotation of 418  $Ga^+-Ga\langle 01\overline{10}\rangle$ -e split interstitial. The bond length of Ga-Ga dumbbell is 2.26 Å. The 419  $Ga^+-N\langle 01\overline{1}0\rangle$ -o and  $Ga^+-N\langle 2\overline{1}10\rangle$  initial configurations are unstable and convert into  $Ga_{Oct}$ 420 after the structural relaxation. Different from the case of  $N_{Tet}$ , the configuration of  $Ga_{Tet}$  is 421 stable, where the Ga interstitial atom pushes the underlying host Ga-N pair downwards to 422 bind with the below Ga atom, then a straight N-Ga-Ga-N-Ga atomic chain is formed along 423 the c axis, which has been denoted as the bridge-bond geometry in the literature<sup>74</sup>. The 424 interatomic distance of the N-Ga-Ga-N-Ga atomic chain is of 2.11 Å for the Ga-Ga pair 425 and 1.80 Å for the host N-Ga pair. In  $Ga^+$ -Ga $\langle 2\overline{110} \rangle$  split interstitial, the Ga-Ga dumbbell 426 binds with two neighboring host Ga atoms in the same glide plane to form a curved Ga-Ga-427

Ga-Ga atomic chain<sup>26</sup>, and the mirror symmetry is preserved about the  $\{2\overline{110}\}$  plane same as N<sup>+</sup>-N $\langle 2\overline{110} \rangle$  split interstitial. The interatomic distance of the metal chain is of 2.40 Å, 2.31 Å and 2.40 Å, respectively.

Similar to the case of N interstitials, the MEAM potential predicts more configurations of 431 Ga interstitials than the SW counterpart, which include  $Ga_{Oct}$  interstitial,  $Ga^+-Ga\langle 01\overline{10}\rangle$ -e, 432  $Ga^+-N\langle 01\overline{1}0\rangle$   $Ga^+-N\langle 01\overline{1}0\rangle$ -o,  $Ga^+-Ga\langle 01\overline{1}0\rangle$ -g and  $Ga^+-Ga\langle 2\overline{1}10\rangle$  split interstitials as 433 shown in Figs. 7 (k)-(p). The initial configurations of  $Ga_{Oct}$  interstitial,  $Ga^+-N\langle 01\overline{10}\rangle$ , 434  $Ga^+-N\langle 01\overline{1}0\rangle$ -o and  $Ga^+-Ga\langle 2\overline{11}0\rangle$  split interstitials are preserved, while  $Ga_{Tet}$  interstitial is 435 unstable and convert into  $Ga^+-Ga\langle 01\overline{1}0\rangle$ -e split interstitial (Fig. 7 (l)). The  $Ga^+-Ga\langle 01\overline{1}0\rangle$ 436 initial configuration is relaxed into  $Ga^+$ - $Ga\langle 01\overline{1}0\rangle$ -g split interstitial as shown in Fig. 7 437 (o), where the Ga-Ga dumbbell tilts to be nearly parallel to the  $\{03\overline{3}4\}$  plane analogous 438 to the structure of N<sup>+</sup>-N $\langle 01\overline{10} \rangle$ -g split interstitial, so that the index '-g' is added in this 439 denotation. It should be noticed that one Ga atom of this Ga-Ga dumbbell binds with three 440 but the other Ga atom binds with two neighboring N atoms. The bond length of Ga-Ga 441 dumbbell is of 2.06 Å in Ga<sup>+</sup>-Ga $\langle 01\overline{1}0 \rangle$ -e and 1.99 Å in Ga<sup>+</sup>-Ga $\langle 01\overline{1}0 \rangle$ -g split interstitials, 442 respectively. Besides the preserved candidate, the Ga<sup>+</sup>-N $\langle 2\overline{110} \rangle$  initial configuration con-443 verts into  $Ga^+-N\langle 01\overline{1}0\rangle$  split interstitial as well after the structural relaxation. In the paired 444 configurations of  $Ga^+-N(01\overline{1}0)$  and  $Ga^+-N(01\overline{1}0)$ -o split interstitials, the N-Ga dipole points 445 to the  $\langle 01\overline{1}0 \rangle$  and its reverse directions, respectively. In Ga<sup>+</sup>-N $\langle 01\overline{1}0 \rangle$  split interstitial, the 446 interstitial Ga atom binds with the frontal host Ga atom and pushes it forwards to form 447 a N-Ga-Ga crowdion in the  $\{2\overline{110}\}$  plane, where the Ga-Ga pair is roughly parallel to the 448  $\{0338\}$  plane. In the N-Ga-Ga crowdion, the interatomic distance is of 2.12 Å for the 440 Ga-Ga pair and 1.91 Å for the N-Ga dipole in compression, whereas the N-Ga dumbbell 450 in  $Ga^+-N\langle 01\overline{1}0\rangle$ -o split interstitial has a bond length of 1.95 Å. In line with the result 451 of SW potential, the MEAM potential produces a curved Ga-Ga-Ga-Ga atomic chain in 452  $Ga^+-Ga\langle 2\overline{110}\rangle$  split interstitial, where the interatomic distance between Ga atoms is of 2.43 453 Å, 2.08 Å and 2.43 Å, respectively. 454

The further structural relaxation of DFT calculations confirms five stable configurations of Ga interstitials, that are Ga<sub>Oct</sub>, Ga<sub>Tet</sub> interstitials, and Ga<sup>+</sup>-Ga $\langle 01\overline{10}\rangle$ -g, Ga<sup>+</sup>-N $\langle 01\overline{10}\rangle$ , Ga<sup>+</sup>-Ga $\langle 2\overline{110}\rangle$  split interstitials as shown in Figs. 8 (i)-(m). In Ga<sub>Oct</sub> and Ga<sub>Tet</sub> interstitials,  $C_{3v}$  symmetry is exactly preserved as discussed by Chow *et al.*<sup>27</sup>. Along the straight N-Ga-Ga-N-Ga atomic chain in Ga<sub>Tet</sub> interstitial, the Ga-Ga bond length is 2.21 Å and

the host Ga-N pair is compressed to 1.78 Å. In Ga<sup>+</sup>-Ga $\langle 01\overline{1}0 \rangle$ -g split interstitial (Fig. 8 460 (k)), the Ga-Ga dumbbell keeps nearly parallel to the  $\{03\overline{3}4\}$  plane as well with the bond 461 length of 2.16 Å. In Ga<sup>+</sup>-N $\langle 01\overline{1}0 \rangle$  split interstitial (Fig. 8 (l)), the N-Ga-Ga crowdion is pre-462 served with the interatomic distance of 2.17 Å for the Ga-Ga pair and 1.77 Å for the N-Ga 463 dipole in comparison. Same to the results of SW and MEAM potentials, the DFT-relaxed 464  $Ga^+-Ga\langle 2\overline{110}\rangle$  split interstitial is characterized by the Ga-Ga-Ga-Ga atomic chain as shown 465 in Fig. 8 (m). The interatomic distance is of 2.38 Å, 2.33 Å and 2.38 Å along this metal 466 chain, respectively. 467

Therefore, the atomic structures of native point defects in wurtzite GaN have been ex-468 plored as completely as possible using the SW and MEAM potentials with the benchmark 469 of DFT calculations. In viewpoint of the potential energy landscape, the MEAM potential 470 predicts more local minima than either the SW counterpart or DFT calculations even though 471 the three methods produce a intersection set of local minima for the same atomic config-472 urations of native point defects. For the commonly predicted point defects, the structural 473 features, typically the bond length of N-N and Ga-Ga dumbbells relaxed by the SW poten-474 tial are more consistent with the results of DFT calculations than by the MEAM potential. 475 The distinct mappings of the energy landscapes predicted by the two potentials could be 476 understood intuitively based on their models of interatomic interaction as follows. In re-477 gard to covalent systems, the bonding configuration and the saturation of bonding number 478 are explicitly determined by the three-body term in the SW potential (Eq. 2), while the 479 directional characteristics of covalent bonds and the influence of atomic environments are 480 implicitly described through the screening factor  $S_{ij}$  (Eq. 14 in Reference<sup>40</sup>) in the MEAM 481 potential. The change of atomic structures is local in point defects. The SW potential could 482 sensitively count this local change once the neighboring atoms are displaced, whereas in 483 the calculation of MEAM potential, taking  $Ga^+$ - $Ga\langle 01\overline{10}\rangle$  split interstitial as example, the 484 contribution of screening factor  $S_{ij}$  would vary little when the Ga-Ga dumbbell rotates since 485 the atomic environment around it is almost preserved, so that multiple stable configurations 486 may exist with respect to the different orientations of Ga-Ga dumbbell, and thus the extra 487 local minima appear in the energy landscape of MEAM potential. 488

<sup>489</sup> The thermodynamical stability of neutral point defects are measured by the formation <sup>490</sup> energy  $\Omega$  defined as<sup>26</sup>

$$\Omega = E_{
m D} - n_{
m N} \mu_{
m N} - n_{
m Ga} \mu_{
m Ga},$$

491

(3)

where  $E_{\rm D}$  is the total energy of defective structure containing  $n_{\rm N}$  N atoms and  $n_{\rm Ga}$  Ga atoms. 492  $\mu_{\rm N}$  and  $\mu_{\rm Ga}$  are the chemical potentials of Ga and N atoms, respectively. In the thermody-493 namic equilibrium,  $\mu_N$  and  $\mu_{Ga}$  are subject to the constraint condition of  $\mu_{GaN} = \mu_N + \mu_{Ga}$ 494 where  $\mu_{GaN}$  is the chemical potential of GaN. Since the chemical potentials of elemental Ga 495 bulk and  $N_2$  molecule are not defined in the SW potential, the relative formation energy 496  $\Delta\Omega$  is introduced instead as  $\Delta\Omega = E_{\rm D} - E_{\rm D,0}$  where  $E_{\rm D,0}$  is the total energy of reference 497 structure. In this work, N<sup>+</sup>-N $\langle 01\overline{1}0 \rangle$ -g and Ga<sub>Oct</sub> configurations are taken as the reference 498 structures for N and Ga interstitials, respectively. 499

The relative formation energies  $\Delta\Omega$  calculated by the SW and MEAM potentials along 500 with the DFT results are presented in Table V for the N and Ga interstitials shown in 501 Figs. 6, 7 and 8. In the results of DFT calculations,  $N^+-N\langle 01\overline{10}\rangle$ -g split interstitial is the 502 most stable configuration thus to be taken as the ground state among N interstitials in line 503 with the study of Bardeleben *et al*<sup>19</sup>. The new N<sup>+</sup>-N $\langle 2\overline{110} \rangle$  split interstitial is the second 504 most stable configuration with 0.407 eV higher in energy, while the formation energy of 505  $N^+-N\langle 01\overline{1}0\rangle$ -e split interstitial is higher by 0.447 eV than its ground state  $N^+-N\langle 01\overline{1}0\rangle$ -g in 506 agreement with the reported value of  $0.35 \text{ eV}^{19}$ . The extra metastable configurations would 507 imply new diffusion pathways for N interstitials in the process of migration and rearrange-508 ment. Different from DFT calculations, the SW potential predicts  $N_{Oct}$  interstitial to be 509 the most stable configuration. Among the other three split interstitials, N<sup>+</sup>-N $\langle 01\overline{10}\rangle$ -g has 510 the lower formation energy than either N<sup>+</sup>-N $\langle 2\overline{110} \rangle$  or N<sup>+</sup>-N $\langle 01\overline{10} \rangle$ -e configuration, which is 511 consistent with the results of DFT calculations. Superior to the SW counterpart, the MEAM 512 potential predicts  $N^+-N\langle 01\overline{10}\rangle$ -g split interstitial as the most stable configuration in line with 513 the DFT calculations. But the relative formation energies of other N interstitials, specially 514  $N^+-N\langle 2\overline{110}\rangle$  and  $N^+-N\langle 01\overline{10}\rangle$ -e configurations are rather high in comparison with the results 515 of DFT calculations, so that they will be hardly visited during the dynamic simulation of N 516 interstitials. Regarding Ga interstitials, the DFT calculations indicate that Ga<sub>Oct</sub> interstitial 517 is the most stable configuration. And except  $Ga^+-Ga\langle 2\overline{110}\rangle$  split interstitial, the other Ga 518 interstitials  $Ga_{Tet}$ ,  $Ga^+-Ga\langle 01\overline{10}\rangle$ -g and  $Ga^+-N\langle 01\overline{10}\rangle$  have the relative formation energies 519 less than 0.4 eV in comparison with Ga<sub>Oct</sub>. The SW potential correctly predicts Ga<sub>Oct</sub> to be 520 the most stable Ga interstitial in agreement with the DFT calculations, so that this version 521 of SW potential presented in Table I is superior to that one used in the previous work<sup>26</sup>. On 522 the other hand, rather different from the SW potential and DFT calculations, the MEAM 523

<sup>524</sup> potential predicts  $Ga^+$ - $Ga\langle 01\overline{10}\rangle$ -e split interstitial to be the most stable configuration. But <sup>525</sup> the formation energies of other Ga interstitials such as  $Ga^+$ - $Ga\langle 01\overline{10}\rangle$ -g and  $Ga^+$ - $N\langle 01\overline{10}\rangle$ <sup>526</sup> and  $Ga^+$ - $Ga\langle 2\overline{110}\rangle$  are reasonably consistent with the values of DFT calculations. To con-<sup>527</sup> clude, neither SW nor MEAM potentials could individually perform very well to describe <sup>528</sup> the interstitial point defects in GaN. However, they are complementary with respect to the <sup>529</sup> structural and thermodynamical properties of those point defects, and it is worthy to use <sup>530</sup> two potentials as a cross reference for the dynamical simulations of defective GaN.

#### <sup>531</sup> B. Point defect complexes

In addition to the native point defects, their point defect complexes are further explored 532 with respect to the interaction between two native point defects. Four point defect complexes 533 are selected as follows. (1) Schottky, which is created by removing one N-Ga pair from GaN 534 bulk. (2) Paired antisite  $N_{Ga}Ga_N$ , where the N and Ga atoms in one N-Ga pair occupy 535 the opposite sites of each other. (3) Anion (Cation) octahedral Frenkel defect  $Frenkel_{Oct}(N)$ 536 (Frenkel<sub>Oct</sub>(Ga)), where one N (Ga) atom is moved from its equilibrium site to the nearest 537 octahedral space to form a N (Ga) vacancy plus a N (Ga) octahedral interstitial. Similarly, 538 (4) N (Ga) tetrahedral Frenkel defect  $\text{Frenkel}_{\text{Tet}}(N)$  (Frenkel<sub>Tet</sub>(Ga)), which could be initially 539 constructed by moving one host N (Ga) atom to the nearest tetrahedral site. But the 540 configurations are unstable and recover back to the perfect structure after the structural 541 relaxation using either the SW potential or DFT calculation, so that they are not considered 542 further here. 543

The relaxed atomic structures of point defect complexes are shown in Fig. 9 with respect 544 to three methods. In details, Figs. 9 (a1)-(a3) manifest no structural collapse in the Schottky 545 defect. There is just a slight expansion around Ga vacancy and a little shrinkage around N 546 vacancy in the atomic structures relaxed by the DFT calculation and MEAM potential, and 547 hardly any deformation in the calculation of SW potential. However, the DFT calculations 548 reveal that both of the initial configurations of  $N_{Ga}Ga_N$  and  $Frenkel_{Oct}(N)$  defects are unsta-549 ble, and they similarly convert into the point defect complexes made up of N vacancy and 550  $N^+-N(0110)$ -g split interstitial, where the distance between the two native point defects is 551 approximately of 1.4*a* (Fig. 9 (b1)) and 2.4*a* (Fig. 9 (c1)) (*a*: lattice constant), respectively. 552 The symbol of  $\oplus$  is adopted as the linkage in point defect complexes. Thus the relaxed 553

structures of  $N_{Ga}Ga_N$  and  $Frenkel_{Oct}(N)$  defects are denoted as  $(V_N \oplus N^+ - N\langle 01\overline{1}0 \rangle - g)_{1.4a}$ 554 and  $(V_N \oplus N^+ - N\langle 01\overline{1}0 \rangle - g)_{2.4a}$ , respectively. In contrast, the initial configuration of  $N_{Ga}Ga_N$ 555 is preserved in the structural relaxation of SW and MEAM potentials as shown in Figs. 9 556 (b2) and (b3), respectively. Furthermore, the DFT-relaxed structure (Fig. 9 (b1)) is taken 557 as the initial configuration to be relaxed by the SW and MEAM potentials for  $N_{Ga}Ga_N$ 558 defect. In the calculation of SW potential, the interstitial configuration of N-N dumbbell 559 in  $(V_N \oplus N^+ - N\langle 01\overline{1}0\rangle - g)_{1.4a}$  is replaced by a nearly horizontal N-Ga dumbbell as shown in 560 Fig. 9 (b2'), which could be equivalently formed by rotating a vertical N-Ga pair by about 561  $90^{\circ}$  in the perfect structure similar to the formation of Stones-Wales defect in graphite<sup>75</sup>. 562 Meanwhile, the initial configuration of  $(V_N \oplus N^+ - N\langle 01\overline{1}0\rangle - g)_{1.4a}$  is preserved for the MEAM 563 potential as shown in Fig. 9 (b3'). The interatomic distance of N-N pair is of 1.42 Å, 1.58 564 Å and 1.12 Å in the atomic structures relaxed by the DFT calculation, SW and MEAM 565 potentials, respectively. The initial configuration of Frenkel<sub>Oct</sub>(N) is preserved in the struc-566 tural relaxation of SW and MEAM potentials as well, but just only the interstitial N atom 567 stays at an off-center site in the octahedral space restricted by six neighboring Ga atoms as 568 shown in Figs. 9 (c2) and (c3), respectively. Similar to the case of  $N_{Ga}Ga_N$ , taking the DFT-569 relaxed structure (Fig. 9 (c1)) as the initial configuration,  $(V_N \oplus N^+ - N \langle 01\overline{1}0 \rangle - g)_{2.4a}$  point 570 defect complexes are preserved in the structural relaxation of SW and MEAM potentials as 571 shown in Figs. 9 (c2') and (c3'), respectively. The geometric features of N-N dumbbells are 572 restored as those in the isolated N<sup>+</sup>-N $\langle 01\overline{1}0\rangle$ -g split interstitials shown in Fig. 8 (e) for DFT 573 calculation, in Fig. 6 (e) for SW and in Fig. 7 (e) for MEAM potentials, respectively. The 574 bond length of N-N dumbbell is correspondingly of 1.44 Å, 1.35 Å and 1.11 Å in the relaxed 575 structures of DFT calculation, SW and MEAM potentials. Different from Frenkel<sub>Oct</sub>(N), 576 the initial configuration of  $\text{Frenkel}_{\text{Oct}}(\text{Ga})$  is preserved for three methods as shown in Figs. 577 9 (d1)-(d3). The interstitial Ga atom occupies the body center of octahedral space closely 578 adjacent the Ga vacancy, and the distance between two point defects is approximately of 579  $2\sqrt{3}/3a$  (a: lattice constant). 580

Since  $n_{\rm N} = n_{\rm Ga}$  in the investigated point defect complexes, Eq. 3 could be simplified as

582

$$\Omega = E_D - E_0, \tag{4}$$

where  $E_0$  is the total energy of perfect structure with the same atomic number to the defective system for each elemental species. The corresponding formation energies of the

point defect complexes shown in Fig. 9 are listed in Table VI, which are arranged ac-585 cording to the values of DFT calculations in ascending order. If only considering the 586  $V_N \oplus N^+ - N \langle 01\overline{10} \rangle$ -g configurations instead of either Frenkel<sub>Oct</sub>(N) or N<sub>Ga</sub>Ga<sub>N</sub>, the three 587 methods roughly agree for the tendency of thermodynamical stability of defective structures, 588 that Schottky is the most stable point defect complex, followed by  $(V_N \oplus N^+-N\langle 01\overline{1}0\rangle-g)_{2.4a}$ 589  $((V_N \oplus N^+ - N\langle 01\overline{1}0\rangle - g)_{1.4a})$ , and Frenkel<sub>Oct</sub>(Ga) has the highest formation energy. More-590 over, except  $(V_N \oplus N^+ - N \langle 01\overline{1}0 \rangle - g)_{2.4a}$  the formation energies of those defects calculated by 591 the SW potential are quantitatively more consistent with the DFT results than the MEAM 592 counterpart. 593

On the other hand, the interaction between two native point defects in the point defect 594 complex is further investigated by considering the system, where two native point defects 595 locate with an infinite separation. And the corresponding formation energy could be simply 596 calculated by summing those of two isolated point defects. In order to be compatible with 597 the configurations of those point defect complexes discussed above, four paired point defects 598 are modeled as follows  $V_N + V_{Ga}$ ,  $V_N + N^+ - N\langle 01\overline{10} \rangle$ -g,  $N_{Ga} + Ga_N$  and  $V_{Ga} + Ga_{Oct}$ , where 599 the symbol of '+' is adopted in place of ' $\oplus$ ' to signify the infinite separation between two 600 point defects. Similar to the point defect complexes, the formation energies of them are 601 calculated with Eq. 4 due to the equal atomic number of Ga and N species. The results 602 are presented in Table VI for the three methods. The DFT calculations reveal that the 603 formation energy reduces when the distance between two point defects decreases. For in-604 stance, the formation energy reduces by 3.544 eV in the formation of Schottky defect from 605  $V_{\rm N} + V_{\rm Ga}$ , and by 4.879 eV in the formation of Frenkel<sub>Oct</sub>(Ga) from  $V_{\rm Ga} + {\rm Ga}_{\rm Oct}$ . Begin-606 ning with the paired point defect of  $V_N + N^+ - N\langle 01\overline{1}0 \rangle$ -g (or  $N_{Ga} + Ga_N$ ), the formation 607 energy reduces by 0.716 eV (or 6.572 eV) when two isolated point defects move close to 608 form  $(V_N \oplus N^+ - N \langle 01\overline{10} \rangle - g)_{2.4a}$ . Thus the point defect complexes, at least those listed in 609 Table VI, are energetically feasible rather than dissociate into two isolated point defects. 610 The energy tendency predicted by the DFT calculations is reasonably reproduced by the 611 SW potential, that the formation energy reduces by 2.176 eV in the formation of Schottky 612 from  $V_N + V_{Ga}$ , by 0.016 eV or 3.363 eV in the formation of  $(V_N \oplus N^+ - N\langle 01\overline{1}0 \rangle - g)_{2.4a}$  from 613  $V_N + N^+ - N\langle 01\overline{10} \rangle$ -g or  $N_{Ga} + Ga_N$ , and by 0.025 eV in the formation of Frenkel<sub>Oct</sub>(Ga) from 614  $V_{Ga} + Ga_{Oct}$ , respectively. But the MEAM potential wrongly predicts the dissociation of 615 Schottky and  $(V_N \oplus N^+ - N\langle 01\overline{1}0 \rangle - g)_{2.4a}$   $((V_N \oplus N^+ - N\langle 01\overline{1}0 \rangle - g)_{1.4a})$  point defect complexes 616

to be exothermic by the energy reduction of 0.034 eV for the former and of 0.024 eV (0.072 eV) for the latter, respectively. Therefore, the point defect complexes including Schottky,  $(V_N \oplus N^+ - N\langle 01\overline{10}\rangle - g)_{2.4a}$  ( $(V_N \oplus N^+ - N\langle 01\overline{10}\rangle - g)_{1.4a}$ ) and Frenkel<sub>Oct</sub>(Ga) are thermodynamically stable rather than dissociating into two isolated point defects as predicted by the DFT calculations and SW potential with respect to the interaction between them.

#### 622 V. SUMMARY

In summary, the Stillinger-Weber potential is modified for GaN with the explicit consid-623 eration of N-Ga-N (N-N-Ga) and Ga-Ga-N (Ga-N-Ga) triplets besides the regular N-Ga-Ga 624 (Ga-N-N), N-N-N and Ga-Ga-Ga terms. The fundamental properties of wurtzite GaN in-625 cluding the lattice parameters, elastic constants and lattice dynamics are reproduced by the 626 SW and MEAM potentials. Due to the lack of long-range (e.g. electrostatic) interaction, 627 the LO-TO splitting in the phonon dispersion could be hardly described by both potentials. 628 The investigation of inversion domain boundaries indicates that the SW potential is reli-629 able to describe the defective structures involving N-N and Ga-Ga bonds, while the MEAM 630 potential is more transferable than the SW counterpart in the structural transformation, 631 such as the wurtzite-rocksalt phase transition where the atomic environments change ap-632 parently. The combination of SW and MEAM potentials with DFT calculation is efficient 633 in the structural search of native point defects in GaN. Two N and two Ga split interstitials 634 are first obtained in this work that are N<sup>+</sup>-N $\langle 2\overline{110} \rangle$ , N<sup>+</sup>-Ga $\langle 01\overline{10} \rangle$  and Ga<sup>+</sup>-Ga $\langle 01\overline{10} \rangle$ -g, 635  $Ga^+-N(01\overline{10})$  configurations after the refinement of DFT calculations from the candidates 636 relaxed by the SW and MEAM potentials, beside reproducing those already reported N 637 (Ga) vacancies and antisites,  $N^+-N\langle 01\overline{1}0\rangle$ -g and  $N^+-N\langle 01\overline{1}0\rangle$ -e N split interstitials, as well 638 as  $Ga_{Oct}$ ,  $Ga_{Tet}$  and  $Ga^+-Ga\langle 2\overline{110}\rangle$  configurations of Ga interstitials. However, neither of 639 SW and MEAM potentials produces simultaneously the most stable N and Ga interstitials. 640 The present SW potential correctly predicts the octahedral occupation Ga<sub>Oct</sub> as the most 641 stable configuration of Ga interstitial superior to the previous version<sup>26,38</sup>, while the MEAM 642 potential exactly produces  $N^+-N(01\overline{10})$ -g to be the most stable N split interstitial. On 643 a whole, the SW potential establishes less local minima than the MEAM counterpart in 644 the potential energy landscape with respect to the configurations of interstitials, whereas 645 the structural features of point defects concerning N-N or Ga-Ga bonds relaxed by the 646

SW potential are more consistent with the results of DFT calculations than those by the 647 MEAM potential. In regard to the point defect complexes, the Schottky and Frenkel<sub>Oct</sub>(Ga) 648 configurations are preserved, but  $\text{Frenkel}_{Oct}(N)$  and  $N_{Ga}Ga_N$  are unstable and convert into 649  $(V_N \oplus N^+-N\langle 01\overline{1}0\rangle-g)_{2.4a}$  and  $(V_N \oplus N^+-N\langle 01\overline{1}0\rangle-g)_{1.4a}$  point defect complexes in the DFT 650 calculations, respectively. In general, three methods consistently demonstrate that Schot-651 tky is the most stable followed by  $V_N \oplus N^+ - N\langle 01\overline{1}0 \rangle$ -g (either  $(V_N \oplus N^+ - N\langle 01\overline{1}0 \rangle - g)_{2.4a}$  or 652  $(V_N \oplus N^+-N\langle 01\overline{1}0\rangle-g)_{1.4a})$  in the studied point defect complexes, and Frenkel<sub>Oct</sub>(Ga) has the 653 highest formation energy. Furthermore, the formation energies calculated by DFT and SW 654 potential indicate that all of the point defect complexes are energetically favorable rather 655 than dissociating into two isolated point defects. But the MEAM potential wrongly pre-656 dicts that the dissociation of Schottky and  $V_N \oplus N^+-N\langle 01\overline{1}0\rangle$ -g point defect complexes is 657 exothermic. This work suggests that the present version of SW potential is applicable to 658 simulate the dynamical properties of defective GaN on a large scale with a caution spe-659 cial to N interstitials. The mutual complementation with the MEAM potential would be a 660 practical way for getting more accurate results. Finally, the newly explored N and Ga split 661 interstitials could be useful in the study of diffusion pathways of point defects in GaN as 662 possible intermediate states, and the identification of point defects in Al(In)N alloys. 663

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TABLE I. Parameters of Stillinger-Weber potential for GaN in the format of *i-j-k* triplet, where *i* is the central atom. The units of  $\epsilon$  and  $\sigma$  are eV and Å, respectively. The parameters  $\gamma = 1.2$ , p = 4 and q = 0 are preserved for all triplets.

Parameters	$\epsilon$	$\sigma$	a	$\lambda$	A	В
N-Ga-Ga, Ga-N-N	2.2645	1.704	1.8	33.0	7.41825	0.69375
N-N-N	1.7368	1.400	1.8	13.0	4.41825	0.39375
Ga-Ga-Ga	1.4050	2.060	1.6	10.0	8.41825	1.09375
N-Ga-N, N-N-Ga	1.9832	1.400	1.8	13.0	5.72501	0.52265
Ga-Ga-N, Ga-N-Ga	1.7837	2.060	1.6	10.0	7.90245	0.87108

798	TABLE II. Lattice parameters $a$ (Å), $c$ (Å) and internal structural parameter $u$ , as well as elastic
799	constants $C_{ij}$ (GPa) and bulk modulus $B$ (GPa) of wurtzite GaN calculated by the SW and MEAM
800	potentials in comparison with the experimental data.

GaN	Expt.	SW	MEAM					
Lattice parameters								
a	$3.189^{\rm a}$	3.190	3.168					
с	$5.186^{\rm a}$	5.210	5.173					
c/a	$1.626^{\mathrm{a}}$	1.633	1.633					
u	$0.377^{\mathrm{a}}$	0.375	0.375					
Elastic parameters								
$C_{11}$	$359.7^{\mathrm{b}}$	364.7	350.6					
$C_{33}$	$391.8^{\mathrm{b}}$	380.6	397.3					
$C_{44}$	$99.6^{\mathrm{b}}$	107.3	93.0					
$C_{12}$	$129.9^{\mathrm{b}}$	129.8	148.1					
$C_{13}$	$104.6^{\mathrm{b}}$	113.9	101.4					
$C_{66}$	114.9 <sup>b</sup>	117.4	101.3					
В	$198.8^{\mathrm{b}}$	202.8	200.0					

 $^{\rm a}$  High-resolution x-ray diffraction  $^{58}.$ 

<sup>b</sup> ATAR experiment<sup>59</sup>.

k points	Mode	$IXS^{66}$	Raman <sup>67</sup>	$DFPT^{66}$	SW	MEAM
Γ	E1(LO)		22.3	22.6	25.8	26.4
Γ	A1(LO)	21.9	22.1	22.5	25.7	26.2
Γ	B1	20.7		21.6	25.5	26.0
Γ	E2		17.1	17.4	24.9	25.9
Γ	E1(TO)		16.8	17.1	24.4	25.3
Γ	A1(TO)		16.0	16.3	23.6	24.8
Γ	B1(low)	9.9		10.2	10.5	10.3
Γ	E2(low)		4.3	4.3	5.4	4.8
А	LO	21.3		22.0	25.2	26.1
А	LA	6.9		7.2	7.1	7.1
М	A1(TO)	17.3		18.0	22.9	21.4
М	E2	7.1		7.2	8.7	7.5
М	E2(low)	5.8		6.0	7.5	6.7
М	$TA_z$	5.5		5.6	6.4	5.6
М	TA <sub>x</sub>	4.1		4.2	5.5	4.8
Κ	ТО	18.4		18.8	22.0	20.3
Κ	ТА	6.4		6.5	7.6	6.6

TABLE III. Phonon frequencies (0.1 K) at some high-symmetry k points of wurtzite GaN calculated by the SW and MEAM potentials in comparison with IXS  $(300 \text{ K})^{66}$  and Raman scattering (6K)experiments<sup>67</sup> and DFPT calculations<sup>66</sup>. All frequencies are given in THz.

TABLE IV. Physical properties of IDB structures calculated by the SW and MEAM potentials in comparison with DFT calculations<sup>50</sup>. (Note: values listed are the formation energy  $E_{\text{form}}$  (meV/Å), bond length (Å) of N-N ( $R_{\text{NN}}$ ) and Ga-Ga ( $R_{\text{GaGa}}$ ) bonds in IDB<sup>H</sup>, as well as the averaged bond length (Å) of N-Ga bonds ( $R_{4-\text{fold}}$ ) in 4-fold atomic rings of IDB<sup>\*</sup>.)

Properties	$\mathrm{DFT}^{50}$	SW	MEAM
$\mathrm{IDB}^\mathrm{H}$			
$E_{\rm form}$	167	164	161
$R_{\rm NN}$	1.51	1.57	1.19
$R_{ m GaGa}$	2.28	2.33	2.05
IDB*			
$E_{\rm form}$	25	60	19
$R_{4-\text{fold}}$	1.94	2.00	1.95

TABLE V. Relative formation energies  $\Delta\Omega$  (eV) of N and Ga interstitials calculated by the SW and MEAM potentials along with the DFT results. N<sup>+</sup>-N $\langle 01\overline{1}0 \rangle$ -g and Ga<sub>Oct</sub> configurations are taken as the reference structures for N and Ga interstitials, respectively.

Configurations	SW	MEAM	DFT
N interstitials			
$N^+-N\langle 01\overline{1}0\rangle$ -g	0	0	0
$N^+-N\langle 2\overline{11}0\rangle$	0.310	3.363	0.407
N <sup>+</sup> -N $\langle 01\overline{1}0\rangle$ -e	0.117	0.864	0.447
$\mathrm{N^+\text{-}Ga}\langle 01\overline{1}0\rangle$		6.109	3.083
$N_{Oct}$	-0.913	4.091	
$N_{\mathrm{Tet}}$		2.750	
Ga interstitials			
$\operatorname{Ga}_{\operatorname{Oct}}$	0	0	0
$\operatorname{Ga}_{\operatorname{Tet}}$	2.402		0.237
$\mathrm{Ga^+}\text{-}\mathrm{Ga}\langle 01\overline{1}0\rangle\text{-}\mathrm{g}$		0.423	0.281
$\mathrm{Ga^+}\text{-}\mathrm{Ga}\langle 01\overline{1}0\rangle\text{-}\mathrm{e}$	0.105	-0.513	
$\mathrm{Ga^+}\text{-}\mathrm{N}\langle 01\overline{1}0\rangle$		0.363	0.391
$Ga^+-N\langle 01\overline{1}0\rangle$ -o		0.373	
$Ga^+-Ga\langle 2\overline{11}0\rangle$	0.484	0.899	1.407

Configurations	DFT	SW	MEAM
Point defect complexes			
Schottky	6.669	6.530	4.148
$\mathrm{Frenkel}_{\mathrm{Oct}}(\mathrm{N})$		$8.680^{a}$	$9.443^{a}$
$(V_N \oplus N^+ \text{-} N \langle 01\overline{1}0 \rangle \text{-} g)_{2.4a}$	7.328	$9.848^{b}$	$5.519^{b}$
$N_{\mathrm{Ga}}\mathrm{Ga}_{\mathrm{N}}$		$8.605^{a}$	$13.076^{a}$
$(V_N \oplus N^+ - N \langle 01\overline{1}0 \rangle - g)_{1.4a}$	7.497	$7.207^{b}$	$5.567^{b}$
$\operatorname{Frenkel}_{\operatorname{Oct}}(\operatorname{Ga})$	10.071	10.456	6.072
Paired point defects			
$\mathrm{V_{N}+V_{Ga}}$	10.213	8.706	4.114
$V_N + N^+ - N \langle 01\overline{1}0 \rangle$ -g	8.044	9.864	5.495
$N_{Ga} + Ga_N$	13.900	13.211	13.152
$\mathrm{V}_{\mathrm{Ga}} + \mathrm{Ga}_{\mathrm{Oct}}$	14.950	10.481	6.136

TABLE VI. Formation energies  $\Omega$  (eV) (Eq. 4) of point defect complexes and paired point defects calculated by DFT as well as the SW and MEAM potentials, respectively.

<sup>*a*</sup> Preserved atomic structures.

 $^{b}$  DFT-relaxed structure as initial configuration.



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FIG. 1. (Color online) Sketch of the primitive cell of wurtzite GaN. (a) [0001] projection. (b)  $[2\overline{110}]$  projection. Small gray and large green balls represent N and Ga atoms, respectively. a, c and u are the lattice constants and internal structural parameter, respectively. The glide and shuffle spaces along the [0001] plane are labeled out. VESTA<sup>76</sup> is used for visualization.





FIG. 2. (Color online) Phonon dispersion relationship of wurtzite GaN along the high symmetry
lines of Brillouin zone at 0.1 K calculated by the SW and MEAM potentials, respectively.



FIG. 3. (Color online) Atomic structures of IDB<sup>H</sup> (Left) and IDB<sup>\*</sup> (Right) in wurtzite GaN calculated by (a) SW and (b) MEAM potentials, respectively. The boundaries are indicated by the dashed lines. The atomic representations are the same as in Fig. 1.



FIG. 4. (Color online) Volume dependence of binding energy in wurtzite, zinc-blende and rocksalt lattices per formula unit (Ga-N) calculated by the SW (block) and MEAM (red) potentials, respectively.  $P_{\rm T}$  is the equilibrium transition pressure of wurtzite-rocksalt phase transition, which is estimated to be 106 GPa by the MEAM potential.



FIG. 5. (Color online) Illustrations of initial atomic configurations of native point defects in wurtzite GaN. (a) Vacancies,  $V_N$  and  $V_{Ga}$ . (b) Antisites,  $N_{Ga}$  and  $Ga_N$ . (c) Octahedral interstitials,  $N_{Oct}$  and  $Ga_{Oct}$ . (d) Tetrahedral interstitials,  $N_{Tet}$  and  $Ga_{Tet}$ . (e)  $\langle 2\overline{110} \rangle$ -oriented split interstitials formed with a host N atom, N<sup>+</sup>-N $\langle 2\overline{110} \rangle$  and Ga<sup>+</sup>-N $\langle 2\overline{110} \rangle$ . (f)  $\langle 2\overline{110} \rangle$ -oriented split interstitials formed with a host Ga atom, N<sup>+</sup>-Ga $\langle 2\overline{110} \rangle$  and Ga<sup>+</sup>-Ga $\langle 2\overline{110} \rangle$ . (g)  $\langle 01\overline{10} \rangle$ -oriented split interstitials formed with a host Ga atom, N<sup>+</sup>-N $\langle 01\overline{10} \rangle$  and Ga<sup>+</sup>-N $\langle 01\overline{10} \rangle$ . (h)  $\langle 01\overline{10} \rangle$ -oriented split interstitials formed with a host S atom, N<sup>+</sup>-N $\langle 01\overline{10} \rangle$  and Ga<sup>+</sup>-N $\langle 01\overline{10} \rangle$ . (h)  $\langle 01\overline{10} \rangle$ -oriented split interstitials formed with a host Ga atom, Ga<sup>+</sup>-Ga $\langle 01\overline{10} \rangle$  and Ga<sup>+</sup>-N $\langle 01\overline{10} \rangle$ . (h)  $\langle 01\overline{10} \rangle$ -oriented split interstitials formed with a host Ga atom, Ga<sup>+</sup>-Ga $\langle 01\overline{10} \rangle$  and N<sup>+</sup>-Ga $\langle 01\overline{10} \rangle$ . The representations of atomic structures are the same as in Fig. 1. Large yellow balls in (c)-(h) label out the occupation sites for N/Ga atoms to form the N<sup>+</sup>/Ga<sup>+</sup> interstitials. Arrows in (g) and (h) represent the exchange of N and Ga sites to form the opposite  $\langle 01\overline{10} \rangle$ -oriented N-Ga dumbbells in the construction of Ga<sup>+</sup>-N $\langle 01\overline{10} \rangle$ -o and N<sup>+</sup>-Ga $\langle 01\overline{10} \rangle$ -o split interstitials, respectively.



FIG. 6. (Color online) Perspective view of atomic structures of native point defects in wurtzite GaN relaxed by the SW potential. (a)  $V_N$ . (b)  $V_{Ga}$ . (c)  $N_{Ga}$ . (d)  $Ga_N$ . (e)  $N^+-N\langle 01\overline{10}\rangle$ -g. (f)  $N^+-N\langle 2\overline{110}\rangle$ . (g)  $N^+-N\langle 01\overline{10}\rangle$ -e. (h)  $N_{Oct}$ . (i)  $Ga_{Oct}$ . (j)  $Ga_{Tet}$ . (k)  $Ga^+-Ga\langle 01\overline{10}\rangle$ -e. (l)  $Ga^+-Ga\langle 2\overline{110}\rangle$ . Small gray and large green balls represent the neighboring N and Ga atoms around the point defects, respectively. Other atoms and bonds in bulk GaN are simplified by the wire frames. The coordinate system illustrated in (a) is used by default, except the cases in (f) and (l) where the coordinate system is illustrated in (f), and the cases in (h) and (i) whose coordinate system is illustrated in (h).



(m)  $Ga^+-N\langle 01\overline{1}0\rangle$  (n)  $Ga^+-N\langle 01\overline{1}0\rangle$ -o (o)  $Ga^+-Ga\langle 01\overline{1}0\rangle$ -g (p)  $Ga^+-Ga\langle 2\overline{11}0\rangle$ 

FIG. 7. (Color online) Perspective view of atomic structures of native point defects in wurtzite GaN relaxed by the MEAM potential. (a)  $V_N$ . (b)  $V_{Ga}$ . (c)  $N_{Ga}$ . (d)  $Ga_N$ . (e)  $N^+-N\langle 01\overline{10}\rangle$ -g. (f)  $N^+-N\langle 2\overline{110}\rangle$ . (g)  $N^+-N\langle 01\overline{10}\rangle$ -e. (h)  $N^+-Ga\langle 01\overline{10}\rangle$ . (i)  $N_{Oct}$ . (j)  $N_{Tet}$ . (k)  $Ga_{Oct}$ . (l)  $Ga^+-Ga\langle 01\overline{10}\rangle$ -e. (m)  $Ga^+-N\langle 01\overline{10}\rangle$ . (n)  $Ga^+-N\langle 01\overline{10}\rangle$ -o. (o)  $Ga^+-Ga\langle 01\overline{10}\rangle$ -g. (p)  $Ga^+-Ga\langle 2\overline{110}\rangle$ . The representations of atomic structures are the same as in Fig. 6. The coordinate system illustrated in (a) is used by default, except the cases in (f) and (p) where the coordinate system is illustrated in (f), and the cases in (i) and (k) where the coordinate system is illustrated in (i).



FIG. 8. (Color online) Perspective view of atomic structures of native point defects in wurtzite GaN relaxed by DFT calculations. (a)  $V_N$ . (b)  $V_{Ga}$ . (c)  $N_{Ga}$ . (d)  $Ga_N$ . (e)  $N^+-N\langle 01\overline{10}\rangle$ -g. (f)  $N^+-N\langle 2\overline{110}\rangle$ . (g)  $N^+-N\langle 01\overline{10}\rangle$ -e. (h)  $N^+-Ga\langle 01\overline{10}\rangle$ . (i)  $Ga_{Oct}$ . (j)  $Ga_{Tet}$ . (k)  $Ga^+-Ga\langle 01\overline{10}\rangle$ -g. (l)  $Ga^+-N\langle 01\overline{10}\rangle$ . (m)  $Ga^+-Ga\langle 2\overline{110}\rangle$ . The representations of atomic structures are the same as in Fig. 6. The coordinate system illustrated in (a) is used by default, except the cases in (e) and (i) where the coordinate system is illustrated in (e), and the cases in (f) and (m) where the coordinate system is illustrated in (f). Inset indicates the {0338} plane (in red) where N-Ga pairs arrange in parallel, and the {0334} plane (in blue) where N-Ga pairs arrange in zigzag pattern.



FIG. 9. (Color online) Perspective view of atomic structures of point defect complexes in wurtzite GaN relaxed by DFT (Left), SW (Middle) and MEAM (Right) potentials, respectively. (a) Schottky. (b)  $N_{Ga}Ga_N$ , where (b2') and (b3') are the relaxed structures by taking the DFT result in (b1) as the initial configuration. (c) Frenkel<sub>Oct</sub>(N), where (c2') and (c3') are the relaxed structures by taking the DFT result in (c1) as the initial configuration. And (d) Frenkel<sub>Oct</sub>(Ga). The representations of atomic structures are the same as in Fig. 6. The coordinate system denoted by 'Axis A' is used by default, except the cases in (c2), (c3), (d1), (d2) and (d3), which are coordinated by the axes denoted as 'Axis B'.











(i) Ga<sub>Oct</sub>





(k)  $Ga^+$ - $Ga\langle 01\overline{1}0\rangle$ -e (l)  $Ga^+$ - $Ga\langle 2\overline{11}0\rangle$ 



(e) N<sup>+</sup>-N $\langle 01\overline{1}0\rangle$ -g





(g) N<sup>+</sup>-N $\langle 01\overline{1}0\rangle$ -e















(c) N<sub>Ga</sub>

(d)  $Ga_N$ 

(m) Ga<sup>+</sup>-N $\langle 01\overline{1}0 \rangle$ 

(n) Ga<sup>+</sup>-N $\langle 01\overline{1}0 \rangle$ -o (o) Ga<sup>+</sup>-Ga $\langle 01\overline{1}0 \rangle$ -g (p) Ga<sup>+</sup>-Ga $\langle 2\overline{11}0 \rangle$ 



(i) N<sub>Oct</sub>

(j) N<sub>Tet</sub>









(g) N<sup>+</sup>-N $\langle 01\overline{1}0\rangle$ -e







(l) Ga<sup>+</sup>-Ga $\langle 01\overline{1}0\rangle$ -e







[0001]  $[2\overline{1}\overline{1}0]$ [0110] (f) N<sup>+</sup>-N $\langle 2\overline{11}0\rangle$ 



(e) N<sup>+</sup>-N $\langle 01\overline{1}0\rangle$ -g

[000]

 $[1\overline{2}10]$ 

 $[10\overline{1}0]$ 











(b) V<sub>Ga</sub>





(c) N<sub>Ga</sub>

(d) Ga<sub>N</sub>



(m) Ga<sup>+</sup>-Ga $\langle 2\overline{11}0\rangle$ 



(j) Ga<sub>Tet</sub>



(k)  $Ga^+$ - $Ga\langle 01\overline{1}0\rangle$ -g



(g) N<sup>+</sup>-N $\langle 01\overline{1}0\rangle$ -e



(l) Ga<sup>+</sup>-N $\langle 01\overline{1}0 \rangle$ 













(b) V<sub>Ga</sub>





(c) N<sub>Ga</sub>

(d) Ga<sub>N</sub>



