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## THE JOURNAL OF PHYSICAL CHEMISTRY B

# Modeling the RNA 2'OH Activation: Possible Roles of Metal Ion and Nucleobase as Catalysts in Self-Cleaving Ribozymes

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10 Supporting Information

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ABSTRACT: The RNA 2'OH activation as taking place in the first chemical step of 11 self-cleaving ribozymes is studied theoretically by DFT and MP2 methods using a 12 continuum solvation model (CPCM). The reaction of proton transfer is studied in 13 the presence of two kinds of catalysts: a fully hydrated metal ion  $(Mg^{2+})$  or partially 14 hydrated nucleobase (guanine), taken separately or together leading to three 15 different modes of activation. The metal ion is either directly bound (inner-sphere) 16 or indirectly bound (outer-sphere) to the 2'OH group and a hydroxide ion acts as a 17 general or specific base; the nucleobase is taken in anionic or in neutral enol-18 tautomeric forms playing itself the role of general base. The presence of a close metal 19 ion (outer-sphere) lowers the  $pK_a$  value of the 2'OH group by several log units in 20



both metal-ion and metal-ion and nuleobase catalysis. The direct metal coordination to the 2'OH group (inner-sphere) further stabilizes the developing negative charge on the nucleophile. The switching from the inner-sphere to the outer-sphere coordination appears to be driven by the energy cost for reorganizing the first coordination shell rather than by the electrostatic repulsion between the ligands. The metal-ion catalysis is more effective with a specific base in the dianionic mechanism. On the other hand, the nucleobase catalysis is more effective in the monoanionic mechanism and in the presence of a metal ion acting as a cofactor through nonspecific electrostatic interactions. The results establish a baseline to study the possible roles of metal and nucleobase catalysts and their environment in more realistic models for self-cleaving ribozymes.

#### INTRODUCTION

Natural RNA enzymes catalyze phosphodiester autocleavage 30 reactions to give products with 5'-hydroxyl and 2'-3'-cyclic 31 phosphate termini or 5'-phosphate and 3'-hydroxyl termini: a 32 chemical signature from self-cleaving or self-splicing ribozymes, 33 respectively. In both types of ribozymes, the cleavage reaction 34 proceeds through a S<sub>N</sub>2-type in-line attack mechanism with an 35 inversion of the stereochemical configuration of the nonbridging 36 oxygen atoms about the scissile phosphorus atom. The S<sub>N</sub>2-type 37 in-line mechanism involves a trigonal bipyramidal transition state 38 that is formed after the nucleophilic attack (once the nucleophile 39 is activated) on the phosphorus and before the departure of 40 the leaving group. From the data accumulated on several ribo-41 zymes, it was suggested in review articles that self-cleaving and 42. self-splicing ribozymes employ distinct catalytic strategies that 43 rely on metal ions or nucleobases as catalysts, respectively.<sup>1-3</sup> 44 The active site of self-splicing ribozymes has a higher charge 45 density because of the presence of an additional exogenous or 46 endogenous nucleotide cofactor (a guanosine in group-I introns or 47 an adenosine in group-II introns). It might explain the require-48

introns.<sup>1</sup> In self-cleaving ribozymes, the role of nucleobases as catalysts has been generalized, although they may intervene in very different ways<sup>3</sup> including in a cooperative way with metal ions.<sup>5</sup>

The two major steps of the reaction are (1) the phosphoryl transfer resulting from the nucleophilic attack and (2) the proton transfer to the 5'-oxygen leaving group. Not only the departure of the leaving group but also the nucleophilic attack involve some acid/base catalysis. A proton transfer is involved both in facilitating the departure of the leaving group and in activating the attacking nucleophile (Figure 1). In the nonenzymatic reaction, the catalysis may proceed by a specific acid/base catalysis (dianionic mechanism) or a general acid/base catalysis (monoanionic mechanism) or a general acid/base catalysis (monoanionic mechanism) where one of the phosphate oxygens can operate as an internal base (Figure 1A). In the enzymatic reactions, the nucleophile is either an internal 2'-hydroxyl in self-cleaving ribozymes or an external hydroxyl in self-splicing ribozymes that can be a 3'-hydroxyl (group I introns) or a 2'-hydroxyl (group II introns).

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ment for divalent metal ions in a catalytic strategy based on a

two-metal-ion mechanism in group I<sup>4</sup> or group II self-splicing



Figure 1. Acid/base activations of the 5'-oxygen leaving group and 2'-oxygen nucleophile in the catalysis by self-cleaving ribozymes: (A) nonenzymatic reaction; (B) enzymatic reaction. Abbreviations: SB, specific base; GB, general base; GA, general acid; SA, specific acid; Rib, ribose; Nu, nucleobase; Me: metal.

Table 1. Activation Modes of the Nucleophile and Leaving Group Associated with Proton Transfers'

ribozyme	2'-hydroxyl deprotonation	5'-oxygen protonation
hammerhead	Nu-GB(G12 <sup>-</sup> ) <sup>34</sup>	Me-GA/Rib2′OH-GA- (Mg <sup>2+</sup> /H <sub>2</sub> O& G8-OH) <sup>20</sup>
	$Me-GB(Mg^{2+}/OH^{-})^{11,13,15}$	
	Me-SB(Mg <sup>2+</sup> &OH <sup>-</sup> ) <sup>55</sup>	
hairpin	$Nu-GB(G8^{-})^{56-58}$	Nu-GA(A38H <sup>+</sup> ) <sup>56-58</sup>
	Nu-SB(G8&OH <sup>-</sup> ) <sup>16,59,60</sup>	Nu-SA(A38& H <sub>2</sub> O) <sup>16,59,60</sup>
HDV	Nu-GB(C75) <sup>22,61</sup>	$Me-GA(Mg^{2+}/H_2O)^{22,61}$
		$Me-SA(Mg^{2+}/H_2O)$
	$Me-GB(Mg^{2+}/OH^{-})^{21,62-64}$	$Nu-GA(C75H^+)^{21,62-64}$
	$Me-SB(Mg^{2+}/OH^{-})^{64}$	
VS	Nu-GB(G638 <sup>-</sup> /A756) <sup>65,66</sup>	Nu-GA(A756) <sup>65,66</sup>
glmS	Nu-GB(G33) <sup>37,67</sup>	Glc*-GA(GlcN6PH <sup>+</sup> ) <sup>37,67</sup>
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'Me: metal ion, Nu: nucleobase, Rib: ribose, GA: general acid, GB: general base, SA: specific acid, SB: specific base, Glc: glucosamine-6phosphate.

A general or specific base catalyst can activate the nucleophile by 69 removal of the proton from the hydroxyl group (Figure 1B). 70 Similarly, a general or specific acid can facilitate the departure of 71 the 5'-oxygen leaving group. Among the self-cleaving ribozymes 72 studied (Hammerhead, Hairpin, HDV, VS, glmS), different 73 catalytic strategies have been proposed.<sup>2,6</sup> Although these strat-74 egies may be reduced to four basic ways to promote the reaction, 75 there is still a large diversity of catalysts that may play similar roles 76 depending on the organization and dynamics of the active site. 77 We can classify the catalysts in different families, whether they act 78 79 as general/specific acid or base and whether they are metal ion or nucleobase. As summarized in Table 1, all eight possible 80 combinations are represented in the models proposed and are 81 supported by some experimental data. However, metal ions 82 are associated with either general or specific acid/base whereas 83 nucleobases are more tightly combined as general acid or base. 84 In the case of the hairpin and HDV ribozymes, the experimental 85 data are consistent with either specific or general acid/base. 86 In the hairpin ribozyme, nucleobases are the only catalysts. On 87 88 the other hand, both metal ions and nucleobases are supported 89 as catalysts in the HDV ribozyme. Opposite models were proposed depending on the roles assigned to the nucleobase 90 (C75) and the metal ion  $(Mg^{2+})$  as base and acid, respectively or 91 vice versa. 92

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The nucleophile activation through the 2'-hydroxyl deprotonation is generally considered as minor because the chemical process itself is not rate-determining in the overall reaction. Besides, the possible interference with induced conformational changes related to solvent effects or presence of divalent metal ions<sup>8–10</sup> makes it difficult to evaluate precisely the energetics of this step in the reaction mechanism. A first and simple approach is to study the elementary chemical processes associated with the nucleophile activation in solution, excluding the influence of the active site and its environment. Theoretical approaches are particularly appropriate to study the energetics of the reaction and provide a baseline for the evaluation of the contributions coming from the active site preorganization and reorganization. The extension of the study to the full ribozymes would then allow us to evaluate the influence of the active site and the catalytic efficiency resulting from their molecular evolution.

In the models proposed from theoretical calculations, different activation modes have been examined depending on the proposed reaction mechanism. All the activation modes were not fully or exhaustively explored (Figure 2). Most of the studies based on classical QM approaches systemically neglect the contributions from the metal binding to the ribose-phosphate moiety and the rearrangements associated with changes of metal coordinations occurring before the deprotonation (Figure 2A). They were also initially focused on minimalist models of selfcleaving (hammerhead) ribozymes and a general acid/base catalysis with metal ions as unique catalysts (Figure 2C).<sup>11-15</sup> Other activation modes using nucleobases as catalysts have been studied more recently using hybrid QM/MM approaches applied to the hairpin,<sup>16,17</sup> hammerhead,<sup>18–20</sup> and HDV<sup>21,22</sup> ribozymes (Figure 2B,F). The comparison of the results obtained using QM/MM or QM approaches, including (or not) the environment of the active site from the ribozyme structures, will be valuable to evaluate the influence of the active site reorganizations on the catalytic efficiency. However, the available data are currently too limited for such comparison. In the hammerhead ribozyme for example, the nucleophile activation is skipped in the QM/MM studies and the nucleophile is usually considered as already activated.<sup>23</sup>

Using DFT methods, the activation barriers for the none-132 nzymatic and uncatalyzed reaction of activation have been 133 calculated by Boero et al.<sup>12</sup> and Lopez et al.<sup>14</sup> with a pretty good 134 accordance giving a Gibbs free energy barrier for the nucleophile 135 activation between 28 and 30 kcal/mol (depending on the sugar 136 pucker) in the case of the GB<sup>mono</sup> activation mode (Figure 1A). 137 The presence of metal catalysts and their influence on the energy 138 barrier has been studied using minimalist QM models in none-139 nzymatic reactions (Figure 2B,C).<sup>11,13,15</sup> The presence of a metal 140 ion close to the 2'-oxygen contributes to lowering the energy 141 barrier even more when the metal ion is directly coordinated 142 (Me-GB<sup>mono</sup>: Figure 2B).<sup>12</sup> Furthermore, the presence of a 143 second noncatalyst metal ion, in the Me-GB<sup>mono</sup> activation mode, 144 has a cooperative effect.<sup>13</sup> A cooperative effect between a nucleobase 145 and a metal ion effect was also suggested recently in the nucleophile 146 activation of the hammerhead ribozyme,<sup>5</sup> but no QM study on such 147 model has been done yet (example: Figure 2G). On the other hand, 148 the activation barrier for the nucleophile activation by a nucleobase 149 has been calculated for the hairpin ribozyme<sup>16</sup> but only for the 150 monoanionic mechanism (Figure 2F). 151

In none of the self-cleaving ribozymes is the nucleophile 152 activation the rate-limiting step, but the activation barrier may 153 be underestimated when, for example, the energy barrier for 154

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**Figure 2.** Activation modes of the 2'OH nucleophile. (A) Coordination change step by inner-sphere coordination to the 2'-oxygen. (B) Activation by a general base through an internal proton transfer (monoanionic mechanism). (C) Activation by a general base through a metal OH<sup>-</sup> ligand (dianionic mechanism). (D) Activation by a specific base through a OH<sup>-</sup> ligand. (E) Activation by a general base: a nucleobase in a tautomeric form (monoanionic mechanism). (G) Activation by a general base: a nucleobase in an ionized form (dianionic mechanism). (G) Activation by a general base: a nucleobase in an ionized form (dianionic mechanism). (G) Activation by a general base: a nucleobase in an ionized form (dianionic mechanism).

going from a loosely bound to a tightly bound metal to the 2'OH
(outer-sphere to inner-sphere coordination) in the Me-GB or
Me-SB activation modes is neglected. Thus, one may misinterpret the comparison of role and influence of different catalysts
when trying to predict the more favorable reaction mechanisms.

In the current study, we describe and analyze the reaction 160 mechanisms for a selection of four different activation modes: 161 162 Me-GB, Me-SB, Nu-GB, and Me+Nu-GB (Figure 2). The first 163 three activation modes correspond to the more representative supported models in self-cleaving ribozymes, i.e., Me-GB, 164 Me-SB and Nu-GB (Table 1); the last one corresponds to a 165 new cooperative model between a metal ion and a nucleobase 166 (Me+Nu-GB). In the case of Me-GB or Me-SB modes, the 167 rearrangements necessary for metal coordination (preactivation) 168 have also been taken into account. For the sake of comparison 169 between mono- and dianionic mechanisms on the one hand and 170 between general or specific base catalysis on the other hand, 171 activation modes studied previously (Figure 2C,F) have been 172 evaluated as well at the same level of theory. In the case of Me-173 GB<sup>mono</sup>, both paths involving the proton transfer on either of the 174 two nonbridging oxygens are well-known but in different con-175 texts: the nonenzymatic and uncatalyzed reaction,<sup>14</sup> the none-176 nzymatic reaction in the presence of a metal ion as catalyst<sup>13</sup> 177 (Figure 2B) or the enzymatic reaction in the hairpin ribozyme but 178 using a nucleobase as catalyst.<sup>24</sup> This activation mode is eval-179 uated in the presence of a metal ion as catalyst for three reactions 180 paths: the two well-known reaction paths and a third alternative 181 and new path where the proton is transferred to the bridging 3'-182 oxygen (Figure 2B). In the case of the activation by a nucleobase 183 (Nu-GB), it has been previously studied in the context of the 184 hairpin ribozyme<sup>24</sup> (Figure 2F) but the catalyst was a charged 185 and thus activated nucleobase (Nu-GB<sup>di</sup>); a neutral tautomeric 186 form is considered here as well to evaluate the monoanionic 187 mechanism of the activation reaction (Nu-GB<sup>mono</sup>; Figure 2E). 188 189 Finally, a cooperative model between a nucleobase and a metal ion is proposed and compared to the other activation modes. The 190 following steps of the reaction are then considered to determine 191

how the activation mode orients the reaction path for the nucleophilic attack and eventually the departure of the leaving group and how it impacts the energy barriers. 194

#### THEORETICAL METHODS AND ENERGY MODELS

High level ab initio calculations (DFT and MP2) were performed 196 with the Gaussian 03 program package.<sup>25</sup> The Molden program<sup>26</sup> 197 was employed to visualize the geometric and electronic features 198 of the structures and XCrysden program<sup>27</sup> for final structure 199 presentation. A 3'-phosphorylated ribose moiety is considered as 200 a model system for the self-cleaving reaction. All structures were 201 fully optimized using the B3LYP density functional theory 202 method with 6-31+G(d) basis set. The nature of the obtained 203 stationary points was always checked by a vibrational analysis. 204 Thermal contributions to the energetic properties were calcu-205 lated using canonical ensemble of statistical mechanics at stan-206 dard conditions (T = 298 K, p = 101.325 kPa). Single point 207 energy calculations on the optimized geometries were carried out 208 with a more flexible 6-311+G(2d,2p) basis set. 209

The optimization on the B3LYP/ $6-31+G^*$  level gives a Mg-O210 bond length of 2.109 Å for  $[Mg(H_2O)_6]^{2+}$  that is in complete 211 agreement with X-ray diffraction data (Mg-O = 2.11 Å).<sup>28</sup> 212 However, when DFT and MP2 energies are compared, the latter 213 show significantly stronger preference for hexacoordinated Mg-214  $(H_2O)_6^{2+}$  ion with respect to pentacoordinated Mg $(H_2O)_5$ · $(H_2O)^{2+}$  ion.<sup>29</sup> Although the former ion is more stable than the 215 216 latter by 2.2 kcal/mol on the B3LYP/6-311++G(d,p) level, 217 the MP2(FULL)/6-311++G(d,p) method offers a much higher 218 value of 6.2 kcal/mol.<sup>29</sup> Both numbers decrease with increasing 219 basis set but the difference of about 3-4 kcal/mol remains 220  $(1.4 \text{ kcal/mol vs } 4.6 \text{ kcal/mol for } 6-311++(3df, 3dp) \text{ basis set}^{29}).$ 221 This trend was confirmed in our calculations, although we 222 obtained slightly higher values, because we had to use a smaller 223 basis set due to the size of our system. On the B3LYP/6-311 224  $+G(2d,2p)//6-31+G^*$  level the  $[Mg(H_2O)_6]^{2+}$  complex is by 2.5 kcal/mol more stable than the  $[Mg(H_2O)_5]^{2+}(H_2O)$  complex. 225 226 The MP2(FC)/6-31+G\*\*//B3LYP/6-31+G\* scheme gives the difference of 6.5 kcal/mol. Because the 2'OH activation mechanism involves a Mg<sup>2+</sup> coordination change step, MP2(FC)/  $6-31+G^{**}/B3LYP/6-31+G^*$  single point energies are also shown for a comparison in relevant cases. Relative free energies at the MP2 level clearly favor hexacoordinated Mg<sup>2+</sup> structures; otherwise all trends of energy are consistent with DFT results.

234 To evaluate the solvent influence on the energetics of the 235 reaction, single point calculations on the gas-phase optimized geometries were performed using CPCM continuum solvation 236 model on the B3LYP/6-311+G(2d,2p) level of theory (the same 237 level as the gas-phase single points). All solvent-phase calcula-238 tions were carried out in water ( $\varepsilon_r$  = 78.39). Default CPCM 239 parametrization as implemented in G03 was used. These calcula-240 tions are denoted as DFT-CPCM in further text. The solvation 241 corrections calculated by B3LYP were used also for MP2 242 energies. MP2 gas-phase energies combined with DFT solvation 243 corrections are denoted as MP2-CPCM energies in further text. 244 The electrostatic potential-fitted atomic charges were used to 245 246 describe changes in electrostatic properties.

247 Additional single-point calculations on selected G03-opti-248 mized structures were conducted using the Amsterdam Density 249 Functional 2001.01 package  $(ADF)^{30}$  to calculate fragment energy 250 decompositions according to the extended transition state theory.<sup>31</sup> 251 In these calculations, a triple- $\zeta$  STO basis set is used, with one set 252 of polarization functions as provided in the ADF, together with 253 the BLYP functional.

Exact theoretical determination of  $pK_a$  values is still tricky, especially for charged metal complexes. Our estimations of  $pK_a$ values are based on the comparisons of relative energies using a standard expression  $\Delta pK_a = -\Delta(\Delta G)/2.303RT$ .

In the model compounds, the positions of the metal and the 258 2.59 nucleobase, with respect to the ribose-phosphate moiety, were initially determined on the basis of X-ray data: the more favor-260 able binding site for Mg<sup>2+</sup> around phosphate groups<sup>32,33</sup> and the 261 positions of the nucleobase in the catalytic pocket of a full-length 262 hammerhead ribozyme.<sup>34</sup> Only the phospho-ribose moiety of the 263 residue C17 and the nucleobase of the residue G8 base were 264 extracted; the other atoms were not included (PDB ID: 2GOZ).<sup>34</sup> 265

#### STRUCTURE LABELING

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In metal-based activation models (Me-GB and Me-SB) the 267 structures are labeled by roman numbers starting from reactants 268 to products in the progressive order along the reaction coordi-269 nate. The basic model system is neutral and consists of 3'-270 phosphorylated ribose (-1), a magnesium ion (+2) with four 271 water and one  $OH^{-}(-1)$  ligands. The 3'-phosphorylated ribose 272 is a monoanion that is converted into a dianion during the 273 nucleophile activation (the dianionic mechanism). The struc-274 tures are labeled by a roman number (from I to VII) and the 275 symbol w. In a monoanionic mechanism, the structures have one 276 more proton than those in the dianionic mechanism, so they are 277 labeled by an additional H symbol. The coordination number of 278 the  $Mg^{2+}$  ion can change during the reaction, expelling one water 279 ligand to the second hydration shell. When this happens, those 280 water molecules are not considered in the forward chemical steps 281 282 along the reaction path (see below) and the corresponding 2.83 structures are labeled without the w symbol.

Two structures with identical roman numbers are at an equivalent stage of the reaction. For example, the starting structures, labeled "I", correspond to the reactant with a hexacoordinated 287

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magnesium and the 2'OH group in the second coordination shell and the final structures, labeled "VII", correspond to the product of activation with the active  $2'O^-$  group directly coordinated to magnesium. An Arabic number is added when necessary to distinguish different isomers of the same model compound.

In Me-GB models, the possible rate-determining transition states for the cleavage reaction were also optimized. They correspond to a final proton transfer to the 5'-oxygen of the leaving group and they are designated as "TSH-Ox" and "TS-Ox" for the transition states resulting from Me-GB<sup>mono</sup> and Me-GB<sup>di</sup> pathways, respectively. The letter "x" refers to a proton acceptor, and it can be replaced by "w", "R", "S", or "3" corresponding to the oxygen atom of a water molecule, a nonbridging oxygen from the phosphate group (pro-R<sub>p</sub>, pro-S<sub>p</sub>), or the bridging oxygen from the 3'OH group, respectively.

In the Nu-GB and Nu+Me-GB models the 2'OH group of the ribose moiety is activated by the guanine nucleobase. Here, the reactant (R), transition state (TS), and product (P) structures are designated as Nu-GB/R, Nu-GB/TS, and Nu-GB/P, respectively. In the Nu+Me-GB activation mode, two additional water molecules are present in the second coordination shell of the metal.

#### RESULTS

The activation modes are classified and examined depending 309 on the catalyst (metal ion/nucleobase) and its role (general/ 310 specific base) and the reaction mechanism (dianionic/mono-311 anionic), as shown in Figure 2. For both kinds of catalysts, the 312 monoanionic and dianionic mechanisms are considered. The 313 rearrangements related to changes in conformation and metal 314 coordinations are taken into account as a preactivation step asso-315 ciated with the switch from an outer- to inner-sphere coordination 316 that subsequently facilitates the activation by increasing the proton 317 acidity (Figure 2A). 318

In the monoanionic mechanism, the 2'OH activation is coupled with the nucleophilic attack. In the uncatalyzed reaction, the proton is transferred to one of the nonbridging oxygens before the nucleophilic attack occurs<sup>14,16</sup> as the in-line conformation is adopted. In the catalyzed reaction, a similar reaction path has been proposed for the nucleobase catalysis (Nu-GB<sup>mono</sup>) in the hairpin ribozyme.<sup>16,24</sup> The two chemical steps are concerted in the metal catalysis (Me-GB<sup>mono</sup>) where the in-line attack and the proton transfer occur simultaneously via a late proton transfer.<sup>13</sup> Unfortunately, the energy barrier for the 2'OH activation and nucleophilic attack are not specified. In this study, we consider the monoanionic mechanism as proposed for the uncatalyzed and catalyzed reactions where the 2'OH activation and the nucleophilic attack are coupled but not concerted. Thus, we can provide precise data on the 2'OH activation for Me-GB<sup>mono</sup> (Figure 2B) and compare with the available data in the presence of a nucleobase catalyst: Nu-GB<sup>mono</sup>.<sup>14,16</sup>

In the dianionic mechanism, the 2'OH activation is supposed 336 to be disconnected from the nucleophilic attack. We can still 337 assume that the activation takes place either before or after some 338 conformational rearrangement in the in-line attack for Nu-GB<sup>di</sup> 339 (Figure 2F) and Me- $GB^{di}$  (Figure 2C), respectively. In the particular case of Me- $GB^{di}$ , we may further distinguish two 340 341 situations where the 2'-oxygen is within the first or second 342 coordination sphere of the metal: both situations have been 343 considered to determine the influence of the inner-sphere/outer-344 sphere coordinations on the 2'OH activation and the following 345 steps of the reaction. The Me-SB activation mode follows a 346



**Figure 3.** Gibbs free energy surface for the monoanionic pathway (Me-GB<sup>mono</sup> activation modes). The black lines correspond to the preactivation step (structures from IwH to VH). The blue line corresponds to the 2'OH activation, and the red line corresponds to the final cleavage reaction. Structures VH-OR, VH-OS, VIH-OR<sup>‡</sup>, and VIH-OS<sup>‡</sup> are omitted for the clarity. VH can be activated also by an external base (Figure 5). See the text for a more detailed description of the stationary points. Lines: full, DFT-CPCM pathway; dashed, MP2-CPCM pathway.

preactivation similar to the step in the monoanionic mechanism 347 described here (Me-GB<sup>mono</sup>) for switching from an outer-sphere 348 to an inner-sphere coordination to the 2'-oxygen. Then, a specific 349 base (OH<sup>-</sup>) in the second coordination sphere abstracts the 350 proton to generate a dianion (Figure 2D). In both monoanionic 351 and dianionic mechanisms, the 2'OH is first deprotonated 352 (at pre-equilibrium) before the nucleophilic attack and the 353 departure of the leaving group proceed. 354

The nucleobase catalysis in the 2'OH activation has been 355 studied theoretically only in the context of the hairpin ribozyme 356 where a guanine (G8 residue) has an active or passive role.<sup>16,24</sup> 357 Nevertheless, the results can probably be extended to the 358 hammerhead ribozyme<sup>35</sup> or the glmS ribozyme<sup>36,37</sup> in which a 359 guanine (G12 and G33, respectively) may have exactly the same 360 361 role. Up to now, only two models have been proposed: the first one where the nucleobase (in an anionic state) from residue G8 362 has an active role via a dianionic mechanism, the second one 363 where the same nucleobase has only a passive role via a mono-364 anionic mechanism. Here, the nucleobase contributes to the 365 electrostatic reorganization of the active site without chemical 366 participation in the proton transfer necessary for activation. So, 367 the reaction basically follows the path corresponding to the 368 uncatalyzed reaction with a coupling between the proton transfer 369 and the in-line attack. On the other hand, the nucleobase charged 370 in an anionic state has an active role and acts as a general base 371 372 (Figure 2F).

When the nucleobase can act at the same time as a general base and as a proton donor (enol tautomer), the two chemical processes corresponding to the 2'OH activation and the nucleophilic attack may not be coupled in the monoanionic mechanism. Instead, the 2'OH activation can be coupled to another proton 377 transfer from the enol group of the nucleobase to the close 378 nonbridging oxygen (Nu-GB<sup>mono</sup>). This is an alternative and 379 new model proposed here (Figure 2E). Finally, the presence of a 380 metal ion close to the 2'OH was shown to have an effect on the 381 acidity of the alcoholic proton.<sup>12</sup> Thus, the presence of two 382 catalysts, a metal ion in the outer-sphere coordination to facilitate 383 the proton transfer and a nucleobase to take an active role in the activation, can have a synergic effect. A new activation model is proposed on the basis of this hypothesis (Figure 2G).

**1. Metal-Dependent Activation.** The full reaction paths are described as decomposed into three major steps: preactivation, activation, and postactivation (in-line attack and departure of the leaving group), in the monoanionic or dianionic mechanisms (Figures 3 and 4).

1.1. Preactivation or Metal-Dependent Conformational Changes. 392 Reaction Paths. The preactivation involves some conformational changes that are driven by the conversion from an outer-sphere to 394 an inner-sphere metal coordination to the 2'-oxygen through a 395 pentacoordinated metal intermediate. The starting structure in-396 volves a hexacoordinated metal where the first coordination sphere 397 (represented explicitly) is filled with five water ligands and only 398 one RNA-like ligand: the nonbridging oxygen pro-R<sub>P</sub> of the 399 phosphate group. In the preactivated structure, one of the water 400 ligands has been displaced by the 2'-oxygen that is inserted into the 401 first coordination sphere that thus includes one water ligand less 402 and one RNA-like ligand more. The rate-limiting step is the 403 decrease in the metal coordination number *n* from n = 6 to n = 5. 404 In the monoanionic mechanism, the preactivation is a stepwise pro-405 cess with the formation of a pentacoordinated metal including four 406

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390 F3

391 F4



Figure 4. Gibbs free energy surface for the dianionic pathway (Me-GB<sup>di</sup> activation modes). The black lines correspond to the preactivation step (structures from Iw to V). The blue line corresponds to the 2'OH activation, and the red line corresponds to the rate-determining transition state TS-OS<sup> $\dagger$ </sup> for the final cleavage reaction in the Me<sup>i</sup>-GB<sup>di</sup> pathway. The structures Iw2 and TS-Ow<sup> $\dagger$ </sup> (green line) correspond to the Me<sup>o</sup>-GB<sup>di</sup> mechanism of the cleavage reaction.<sup>11</sup> See the text for a more detailed description of the stationary points. Lines: full, DFT-CPCM pathway; dashedm MP2-CPCM pathway.

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water ligands in the first shell and one excluded water ligand in 407 the second shell (Figure 3, IwH to IIIwH). The second step 408 restores a hexacoordinated metal through the inner-sphere 409 coordination to the 2'-oxygen (IIIH to VH). In the dianionic 410 mechanism (Figure 4), one of the water ligands is replaced by a 411 hydroxide ion but the reaction path remains unchanged with 412 respect to the monoanionic mechanism (Iw to IIIw and III to V); 413 an additional step leads to the deprotonation of the 2'OH by the 414 metal-hydroxide complex (V to VII). The structural and 415 energetic changes involved in both the mono- and dianionic 416 mechanisms are described in detail in the Supporting Informa-417 tion (sections S1 and S2, Tables S1 and S2). 418

Hydroxide Ion/Water Ligands: Dianionic/Monoanionic 419 Pathways. The monoanion and dianion reactants IwH and Iw 420 have a similar geometry but the repulsion between the water 421 ligands is increased due to the presence of the negative charge 42.2 from the hydroxide ion. The coordination distances are increased 423 by 0.038 Å to a mean value of 2.162 Å in Iw compared to IwH 424 (Tables S1 and S2, Supporting Information). It is in agreement 425 with previous findings on the correlation between the coordina-426 tion distances and the ligand charges.<sup>38</sup> Coordination bonds with 427 water ligands involved in internal H-bonding are generally 428 stronger and even more perceptive to the charge of the ligands 429 (increase by 0.071 Å to a mean coordination distance of 2.156 Å, 430 Table S2, Supporting Information). 431

In the gas-phase DFT calculations, the presence of a hydroxide 432 ligand in the first coordination sphere ion displaces the equilib-433 rium in favor of the pentacoordinated  $[Mg(H_2O)_4 \cdot (OH)]^+ \cdots$ 434  $(H_2O)$  complex over the standard hexacoordinated  $[Mg(H_2O)_5 \cdot$ 435 (OH)]<sup>+</sup> complex. It is noteworthy that no minimum can be found 436 437 for the hexacoordinated  $[Mg(H_2O)_5(OH)]^+$  complex in the gas phase (B3LYP/aug-cc-pVTZ).<sup>39</sup> In fact, the pentacoordinated 438 complex is formed by a spontaneous migration of one of the 439 water ligands from the first to the second coordination shell.<sup>39</sup> The 440 decrease in the coordination number is driven by the repulsion 441

between the permanent and induced dipoles of the ligands and by Pauli repulsion, 40 but charge-transfer effects are not significant for the Mg<sup>2+</sup> ion. A minor influence of charge-transfer effects for Mg<sup>2-</sup> ion was also reported in the comparison of stabilities between octahedral and tetrahedral complexes of  $\mathrm{Mg}^{2+}$  and  $\mathrm{Zn}^{2+,41,\!42}$ 

However, it is difficult to extend the observations on simple 447 solvated Mg<sup>2+</sup> ions to more complex systems. In the Iw structure 448 two charged ligands are present: a hydroxide ion and a charged 449 RNA-like ligand (Figure 4). The pentacoordinated dianionic 450 intermediate (IIIw) is less stable than the hexacoordinated 451 dianion (Iw) reactant ( $\Delta G_{Iw-IIIw} = 3.0$  kcal/mol, Table 3) 452 whereas the monoanionic intermediate (IIIwH) is more stable 453 than its corresponding hexacoordinated monoanion (IwH). The 454 Gibbs free energy barrier associated with the formation of IIIw is 455 9.0 kcal/mol in DFT calculations (IIw) and even more pro-456 nounced in MP2 calculations (10.0 kcal/mol). By comparison, the Gibbs free energy barriers are at least 3 kcal/mol more favorable in the monoanionic mechanism (IwH to IIIwH, 459 Table 2). Thus, the monoanionic preactivation is more favorable 460 T2 than the dianionic preactivation both from the kinetic and 461 thermodynamic viewpoints (Tables 2 and 3). 462 T3

In the monoanionic mechanism, a higher tendency to lower 463 the coordination number of the  $Mg^{2+}$  ion can be explained in 464 terms of reorganization energy (Figure S1, Supporting Infor-465 mation). The transition from a hexacoordinated to a pentacoor-466 dinated metal complex involves a rearrangement of four ligands 467 from a square planar to a trigonal planar (three inner-sphere and 468 one outer-sphere ligands) configuration, thus converting the 469 octahedral into a trigonal bipyramidal geometry (Figure S1, 470 Supporting Information). Both hexacoordinated metal com-471 plexes deviate from an ideal octahedral geometry: the distortion 472 is located at the position of the hydroxide ligand and its neigh-473 bors for Iw and is more regularly distributed for IwH. One can 474 expect that the reorganization energy is minimized when the 475 coordination angles between the three ligands that are kept in the 476

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Table 2.	Relative E	nergies,	Differences	in Therm	odynamic	Corrections,	and Relative	Gibbs 1	Free Energies	(at 298 K)	) of the
Stationar	y Points fo	r the Mo	onoanionic A	Activation	Pathway	and of Other	<b>Relevant Stru</b>	ictures	(Me-GB <sup>mono</sup>	Activation	Modes)

structure <sup>a</sup>	$\Delta E (\text{DFT})^b$	$\Delta E (MP2)^{c}$	$\Delta E_{\rm ZPE}$	$\Delta E_{\mathrm{TRV}}$	$-T\Delta S$	$\Delta E_{solv}$ -CPCM	$\Delta G_{\rm tot}$ -DFT/CPCM <sup>d</sup>	$\Delta G_{\rm tot}$ -MP2/CPCM <sup>e</sup>
$IwH^{f}$	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
$IIwH^{\ddagger}$	7.1	8.6	-0.5	-0.3	0.1	-1.2	5.1	6.7
IIIwH	-0.1	3.6	0.1	-0.0	-0.7	-1.8	-2.5	1.1
$IIIH^{g,h}$	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
$IVH^{\dagger}$	4.2	2.3	0.2	-0.5	-1.7	1.7	7.2	5.3
VH	2.1	-1.8	0.4	-0.0	-1.0	1.5	4.9	1.0
VH-OR	14.1	12.7	-0.9	-0.4	-1.6	-7.2	7.1	5.6
VH-OS	4.3	-0.7	0.4	0.0	-1.1	2.3	8.1	3.1
VIH-OR <sup>‡</sup>	18.9	16.8	-2.7	-0.5	-1.5	-3.5	13.7	11.7
VIH-OS <sup>‡</sup>	13.2	7.6	-1.9	-0.3	-1,6	5.8	18.4	12.9
VIIH-OR	17.6	16.2	-0.1	-0.3	-1.1	-1.0	17.3	15.8
VIIH-OS	13.2	8.1	-0.6	0.2	-0.6	6.1	19.4	14.3
VIIH-O3	36.2	33.3	-1.1	0.6	1.7	6.9	41.0	38.1
TSH-O3 <sup>‡</sup>	57.6	48.4	-2.5	-0.4	-1.7	3.0	59.5	50.3
TSH-OR <sup>‡</sup>	48.6	39.8	-2.6	-0.4	-1.6	1.5	48.7	39.9
TSH-OS <sup>‡</sup>	45.6	37.0	-2.4	-0.4	-1.8	2.8	47.3	38.8
INT	27.4	22.6	-0.5	0.5	-1.6	-0.3	25.6	20.7
$TS-2^{\dagger}$	27.3	24.1	-1.0	0.2	1.1	-2.8	22.5	19.3
PROD	-2.6	-0.8	0.4	-0.0	1.2	2.7	-0.8	1.1

<sup>*a*</sup> Structures marked with a ‡ are transition states and the other states are minima on the potential energy surface. <sup>*b*</sup> B3LYP/6-311+G(2d,2p)//B3LYP/6-31+G\* values in kcal/mol. <sup>*c*</sup> MP2(FC)/6-31+G\*\*//B3LYP/6-31+G\* values in kcal/mol. <sup>*d*</sup> B3LYP-CPCM/6-311+G(2d,2p)//B3LYP/6-31+G\* values in kcal/mol. <sup>*c*</sup> B3LYP/6-31+G\* ZPE, thermal, and Gibbs energy corrections and B3LYP-CPCM/6-311+G(2d,2p)//B3LYP/6-31+G\* solvation corrections are considered. <sup>*f*</sup> The calculated values of energies for IwH are E(DFT) = -1572.029354 hartree, E(MP2) = -1567.786332 hartree, ZPE = 0.296896 hartree,  $E_{TRV} + \Delta(pV) = 17.53$  kcal/mol, S = 177.1 cal/(mol·K), E(DFT-CPCM) = -1572.108361. <sup>*g*</sup> Structure IIIWH is considered to be equivalent to structure IIIH. In structure IIIH a water molecule in the second coordination shell of Mg<sup>2+</sup> is missing as compared to structure IIIWH. The relative energy for structure IIIH is zeroed here. The relative energies of structures IIIH, IVH, VH, VIH-OR, VIH-OS, VIIH-OS, VIIH-OS, TSH-OS, and PROD on Figure 3 are the sums of their relative energies with respect to the IIIWH structure and relative energy of the IIIH structure. <sup>*h*</sup> The calculated values of energies for IIIH are E(DFT) = -1495.542982 hartree, E(MP2) = -1491.704672 hartree, ZPE = 0.271123 hartree,  $E_{TRV} + \Delta(pV) = 15.93$  kcal/mol, S = 167.3 cal/(mol·K), E(DFT-CPCM) = -1495.630700.

inner-coordination sphere (ligands labeled 1, 2, and 3: Figure S1, 477 Supporting Information1) in the trigonal plane are as close to 478  $120^{\circ}$  as possible. The mean angle change between these ligands 479 when going from IwH to IIIwH and from Iw to IIIw is 22.6° and 480  $36.8^{\circ}$ , respectively. So, the difference in energy barrier between 481 the monoanion and the dianion for the transition from a 482 hexacoordinated to a pentacoordinated metal complex can be 483 explained in terms of reorganization of the metal ligands. It will 484 485 depend on how close they are from the trigonal bipyramid and how easy they can be rearranged. Previous studies on hydrated 486 Mg<sup>2+</sup> complexes suggest the results obtained here using an 487 488 explicit representation of the first hydration shell of the metal and an implicit representation (continuum model) for the other 489 hydration shells gives a good description of the geometries and 490 energetics.<sup>29,43-46</sup> 491

1.2. Activation Paths: Disconnected or Coupled Proton 492 Transfer with the In-Line Attack. Me-GB<sup>mono</sup> Pathways with 493 a Coupled Proton Transfer and Subsequent Reaction Steps. 494 In the monoanionic mechanism, the proton transfer is intramo-495 496 lecular and the catalyst is an internal general base (Figure 1). Usually, it is one of the nonbridging oxygens (pro- $R_P$  or pro- $S_P$ ) 497 of the phosphate group as proposed in the uncatalyzed reaction<sup>1</sup> 498 or in the catalyzed reaction of the hairpin ribozyme.<sup>16</sup> Alterna-499 500 tively, the 3'O bridging oxygen may be used as a general base as well but this reaction path was never described before (Figure 2B). 501 The three Me-GB<sup>mono</sup> pathways follow a preactivation as 502 described above for the monoanionic mechanism (Figure 3). 503

The proton is transferred to the 3'-oxygen or either of the two 504 nonbridging oxygens and leads to the formation of monoanionic 505 intermediates; the reaction may then go forward with the in-line 506 attack of the activated nucleophile on the phosphorus atom. In 507 the Me-GB<sup>mono</sup> pathways, this is the rate-limiting step of the 508 reaction dissociated from the final step that corresponds to the 509 departure of the leaving group (Figure 3). The more favorable 510 energy barriers involve one of the two nonbridging oxygens as a 511 general base (structures TSH-OS and TSH-OR); the less favor-512 able pathway corresponds to the bridging 3'-oxygen as a 513 general base with an energy barrier increased by 10 kcal/ 514 mol in the rate-limiting step (TSH-O3, Table 3). A more 515 detailed description of the energetic and structural changes 516 along the Me-GB<sup>mono</sup> pathways can be found in the Support-517 ing Information (section S3). 518

Me-GB<sup>di</sup> Pathways: Inner/Outer-Sphere Coordination Spheres. 519 In the dianionic mechanism, the preactivation has a higher energy 520 barrier, as described previously. The activation per se involves the 521 metal-hydroxide complex as general base to activate the 2'OH 522 nucleophile (Figure 4). This particular chemical step (V to VII) 523 has a modest energy barrier; thus the activation is dominated by 524 the energy cost of the preactivation (Table 3). A reaction pathway 525 based on a Me-GB<sup>di</sup> mode of activation has been proposed in 526 a single-metal-ion model of catalysis for the hammerhead ribo-527 zyme.<sup>11</sup> In this model, the activation proceeds without preactiva-528 tion because the 2'-oxygen remains in the outer-coordination 529 sphere of the metal for the activation and the subsequent reaction 530

Table 3.	Relative Energies,	Differences in Th	ermodynamic (	Corrections, a	nd Relative (	Gibbs Free Ei	nergies (at 2	:98 K) (	of the
Stationary	y Points for the Di	anionic Activatior	n Pathway and o	of Other Relev	vant Structur	es (Me-GB <sup>di</sup>	Activation 1	Modes)	)

structure <sup>a</sup>	$\Delta E(\text{DFT})^b$	$\Delta E(MP2)^{c}$	$\Delta E_{\rm ZPE}$	$\Delta E_{\mathrm{TRV}}$	$-T\Delta S$	$\Delta E_{solv}$ -CPCM	$\Delta G_{\rm tot}$ -DFT/CPCM <sup>d</sup>	$\Delta G_{\rm tot}$ -MP2/CPCM <sup>e</sup>
Iw <sup>f</sup>	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
$\mathrm{IIw}^{\ddagger}$	5.3	6.3	-0.5	-0.0	-0.4	4.6	9.0	10.0
IIIw	-1.5	2.3	0.3	-0.1	-0.5	4.7	3.0	6.8
Vw	-1.7	-2.3	-0.9	-0.2	0.1	7.1	4.4	3.75
VHOH	-1.7	-2.3	-0.9	-0.2	0.1	7.1	4.4	3.75
$\mathrm{VIw}^{\ddagger}$	-1.1	-2.6	-2.4	-0.5	1.1	7.3	4.35	2.9
VIIw	-2.9	-4.5	-0.2	0.1	0.0	6.4	3.4	1.8
Vw2 <sup>‡</sup>	-0.9	-1.2	-2.6	-0.4	0.4	7.6	4.2	4.0
Vw3	-1.6	-1.8	-0.8	0.2	-0.6	7.15	4.2	4.1
Iw2 <sup>g</sup>	10.0	7.5	0.1	0.3	-0.5	1.9	11.8	9.3
TS-Ow <sup>‡ h</sup>	38.9	28.3	-2.5	-0.9	3.0	-7.7	30.9	20.3
$\mathrm{III}^{i,j}$	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
$\mathrm{IV}^{\ddagger}$	0.8	-0.6	-0.6	-0.3	0.8	2.5	3.2	1.8
V	0.7	-1.2	-0.5	0.2	-0.5	2.8	2.7	0.8
$\mathrm{VI}^{\dagger}$	2.7	1.0	-2.35	-0.5	1.6	3.3	4.7	3.0
VII	-1.6	-4.6	-0.1	-0.1	0.8	3.0	1.9	-1.1
$TS-OS^{\dagger}$	36.9	29.0	-2.6	-0.7	2.2	6.5	39.1	31.2
III-2	-0.5	-0.2	0.2	-0.2	0.5	-1.3	-1.4	-1.1
$IV-2^{\dagger}$	1.6	1.9	-0.8	-0.4	1.2	1.3	2.8	3.1
V-2	0.25	-0.7	-0.4	-0.1	0.7	3.0	3.4	2.5
$VI-2^{\ddagger}$	3.6	2.3	-2.8	-0.4	1.6	2.3	4.2	2.9

<sup>*a*</sup> Structures marked with a ‡ are transition states and the other states are minima on the potential energy surface. <sup>*b*</sup> B3LYP/6-311+G(2d,2p)//B3LYP/6-31+G\* values in kcal/mol. <sup>*c*</sup> MP2(FC)/6-31+G\*\*//B3LYP/6-31+G\* values in kcal/mol. <sup>*d*</sup> B3LYP-CPCM/6-311+G(2d,2p)//B3LYP/6-31+G\* values in kcal/mol. <sup>*c*</sup> B3LYP/6-31+G\* ZPE, thermal, and Gibbs energy corrections and B3LYP-CPCM/6-311+G(2d,2p)//B3LYP/6-31+G\* solvation corrections are considered. <sup>*f*</sup> The calculated values of energies for Iw are E(DFT) = -1571.624349 hartree, E(MP2) = -1567.56780 hartree, ZPE = 0.286200 hartree,  $E_{TRV} + \Delta(pV) = 16.32$  kcal/mol, S = 166.7 cal/(mol·K), E(DFT-CPCM) = -1571.651043. <sup>*g*</sup> Iw2 structure corresponds to the reoptimized reactant I structure from ref 11. <sup>*h*</sup> TS-Ow<sup>‡</sup> structure corresponds to the reoptimized TS2 structure from ref 11. <sup>*i*</sup> Structure III wis considered to be equivalent to structure III a water molecule in the second coordination shell of Mg<sup>2+</sup> is missing as compared to structure IIIw. The relative energy for structure III is zeroed here. The relative energy of the structure with respect to structure III. <sup>*j*</sup> The calculated values of energies for III are E(DFT) = -1495.141387 hartree, E(MP2) = -1491.304434 hartree, ZPE = 0.260647 hartree,  $E_{TRV} + \Delta(pV) = 15.08$  kcal/mol, S = 160.2 cal/(mol·K), E(DFT-CPCM) = -1495.162793.

steps. Although the reaction follows a dianionic mechanism, the
proton transfer and the in-line attack are coupled as proposed and
reminiscent of monoanionic mechanisms. On the other hand, the
proton transfer is disconnected from the in-line attack in the model
proposed here.

To analyze the influence of the inner or outer-coordination 536 sphere on the activation modes Me<sup>i</sup>-GB<sup>di</sup> or Me<sup>o</sup>-GB<sup>di</sup>, the struc-537 ture Iw was used as reference. A detailed comparison between Iw 538 and the reactant Iw2 proposed in a previous study<sup>11</sup> is given in 539 the Supporting Information (section S4, Table S3 and Figure 3S). 540 Iw is more stable than Iw2 in the Me<sup>°</sup>-GB<sup>di</sup> pathway.<sup>11</sup> Hence, 541 Iw2 is not a global minimum and appears to be already in some 542 'pre-activated" state (Figure 4). The metal ion is more tightly 543 bound to the RNA-like ligand in Iw and would require a 544 reorganization of its hydrogen bond network to be converted 545 into Iw2 with a Gibbs free energy penalty around 10 kcal/mol 546 (Table 3). 547

In the Me-GB<sup>di</sup> paths, the reaction requires at least two successive proton transfers: the first one for the 2'OH activation to proceed with the in-line attack, the second for the protonation on the 5'-oxygen to facilitate the departure of the leaving group. In the Me<sup>o</sup>-GB<sup>di</sup> mode, the hydroxide ion/metal complex activates the 2'OH before another proton from the hydrated metal is transferred to the 5' leaving group. Although the first proton transfer has a high energy barrier (as shown previously<sup>11</sup>) 555 because it is coupled with the in-line attack, the rate-limiting step 556 remains associated with the second proton transfer. So, taking 557 into account the preactivation to go from Iw (stable hexacoordi-558 nated reactant) to Iw2 (predisposed for activation and in-line 559 attack), the overall energy barrier rises to 31 kcal/mol (TS-Ow, 560 Table 3, Figure 4) corresponding to an increase of about 10 kcal/ 561 mol with respect to the single-metal-ion model of catalysis.<sup>11</sup> In 562 the  $Me^{i}$ - $GB^{di}$  mode, the preactivation and activation have low 563 energy barriers (9-10 kcal/mol). However, the subsequent 564 reaction steps require one additional proton transfer to complete 565 the reaction. With two inner-sphere coordinations to the RNA-566 like ligand, the hydrated Mg<sup>2+</sup> ion has no direct interaction with 567 the leaving group. Thus, the protonation of the leaving group has 568 to proceed in two steps: a proton is first transferred from a water 569 ligand to one the nonbridging oxygens and then it is transferred 570 to the 5'-oxygen. The latter proton transfer is still the rate-571 limiting step (TS-OS, Figure 4) but the energy barrier is further 572 increased, resulting in an overall energy barrier of 39 kcal/mol 573 (Table 3) equivalent to the typical barrier estimated for the 574 uncatalyzed reaction.47 575

The catalytic power of the metal ion as the only catalyst in the reaction varies significantly depending on the coordinations with the RNA-like ligand (inner/outer-sphere coordinations) having



Figure 5. Gibbs free energy surface for the activation of the metal coordinated 2'OH group by a specific base (Me-SB activation mode). The competing deprotonation of a water ligand is shown for comparison (structures Vw2 and Vw3). Lines: full, DFT-PCM pathway; dashed, MP2-PCM pathway. Realtive Gibbs energy (vertical axis) is in kcal/mol.

an impact on the stabilization of the negative charge on the 579 nonbridging oxygens<sup>24</sup> and on the  $pK_a$  modulation of the coordinated proton donor. Although the monoanionic mechanisms exhibit a more favorable preactivation, the energy barrier of the cleavage reaction is significantly lower when a metal-hydroxide complex is involved, orienting the reaction toward dianionic mechanisms. The presence of a specific base (hydroxide OH<sup>-</sup> anion) may decrease the barrier height of an equivalent cleavage pathway by about 4.5 kcal/mol (see relative energies of equivalent TSH-OS and TS-OS structures in Tables 2 and 3, respectively). In the dianionic mechanism, the existence of various concurrent paths may be detrimental to the catalytic efficiency of the metal ions as catalysts.

Me-SB Pathway: "Monoanionic" Preactivation and "Dia-592 nionic" Activation. The Me-SB path is a mix combining the 593 more favorable paths from the monoanionic and dianionic 594 mechanisms for the preactivation and the 2'OH activation, 595 respectively. It follows the monoanionic preactivation (IwH to 596 VH, Figure 3). Then, a hydroxide ion in the metal outer-sphere 597 coordination (VHOH) acts as a specific base to activate the 598 2'OH nucleophile (Figure 5!). A hydroxide ion was added to the F5 599 second metal coordination shell in VH to obtain the VHOH 600 structure (see Table 3 and Figure 5). Two concurrent processes 601 are then possible depending on the proton donor: the 2'OH or 602 an equatorial water ligand (for a more detailed description, see 603 section S6 in the Supporting Information). In the first case, the 604 reaction proceeds with the 2'OH activation without any apparent 605 barrier. In the second case, the reaction leads to some inter-606 mediate (Vw3, Figure 5) equivalent to the preactivated metal-607 hydroxide complex in the dianionic mechanism (V, Figure 3B). 608 The reaction may further proceed as in the dianionic mechanism 609 for the activation. In the Me-GB<sup>di</sup> path, the proton transfer has a 610 small activation barrier of 2 kcal/mol (V to VI, Table 3), which is 611 eliminated in the Me-SB path. 612

2. Nucleobase-Dependent Activation. 2.1. Active Participa-613 tion of Nucleobases as Catalysts: Monoanionic/Dianionic Me-614 615 chanisms. The participation of a nucleobase as a catalyst in the 2'OH activation has been addressed in the models of catalysis proposed for the hairpin ribozyme.<sup>16,24</sup> Both the monoanionic 616 617 and dianionic mechanisms have been studied where the nucleobase 618

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Figure 6. Gibbs free energy surfaces for activation of the 2'OH group by  $G_{DP}$  (dashed line), by  $G_{NE}$  (dotted line), and by  $G_{DP}$  (full line) in the presence of the hydrated Mg<sup>2+</sup> ion (Nu-GB and Nu+Me-GB activation modes). Only structures of transition states are shown. Structures of reactants and products (Figure S4, Supporting Information) do not show significant changes of the positions of the atoms except for the transferred proton.

has a passive or active chemical role in the proton transfer, respectively. For the sake of comparison with those data, the same dianionic mechanism has been examined. On the other hand, an alternative pathway of activation corresponding to the monoanionic mechanism is also examined but where the nucleobase plays an active chemical role in the proton transfer. Moreover, a possible cooperative model based on the active participation of a nucleobase and the passive participation of a metal is also studied.

The 2'OH activation occurs through the proton transfer either 628 to the anionic N1-deprotonated guanine (G<sub>DP</sub>) (Nu-GB<sup>di</sup> 629 pathway) or to the neutral enol-tautomer of guanine (G<sub>NE</sub>) 630 (Nu-GB<sup>mono</sup> pathway).<sup>48</sup> Indirect experimental evidence sug-631 gests the existence of  $G_{DP}$  in the active site of the hammerhead 632 ribozyme.<sup>49</sup> In the cooperative model with a nucleobase and a 633 metal catalyst (Nu+Me-GB<sup>di</sup> pathway), the G<sub>DP</sub> species is also 634 involved in the activation of the 2'OH, which is facilitated by the 635 outer-sphere coordinated metal. The initial structure of the RNA 636 moieties (including the nucleobase) were taken from the in-line 637 conformation in the crystal structure of the full-length hammer-638 head ribozyme.34 The in-line conformation was preserved in 639 the activation pathways except for Nu-GB<sup>di</sup> where the substrate 640 moiety flipped back to a more usual RNA conformation 641 (the geometries of the reactants and products are provided in 642 Figure 4S, Supporting Information). In spite of this conforma-643 tional difference for Nu-GB<sup>di</sup>, the energy barriers between the 644 three pathways can still be compared. In fact, recent evidence 645 shows that the in-line conformation is not a decisive factor for the 646 cleavage reaction<sup>50</sup> because it is responsible for a  $\sim$ 12-fold 647 increase of the cleavage rate. It corresponds to an activation 648 barrier decrease of  $\sim$ 1.5 kcal/mol, which is insignificant in com-649 parison to the overall  $\sim 10^{12}$  increase due to the enzyme environ-650 ment<sup>50</sup> (i.e., activation barrier decreased by  $\sim 16$  kcal/mol). 651 As shown in the three pathways (Figure 6), the proton transfer 652 F6 from the 2'OH group to the N1 imino nitrogen of guanine 653 is always endergonic: the products are not stabilized with respect 654 to the backward reaction when the thermochemical corrections 655 are included (Table 4). Therefore, we will discuss only the 656 T4

Table 4. Relative Energies and Gib	bs Free Energies (	(at 298 K, in kcal/mol)	) of the Stationary	Points for the	Nucleobase-
Dependent Activation Pathways <sup>a</sup> (1	Ju-GB and Nu+M	e-GB Activation Mode	s)		

structure	$\Delta E(\text{DFT})$	$\Delta E(MP2)$	$\Delta E_{\rm ZPE}$	$\Delta E_{\mathrm{TRV}}$	$-T\Delta S$	$\Delta E_{\text{solv}}$ -CPCM	$\Delta G_{\rm tot}$ -DFT/CPCM	$\Delta G_{\rm tot}$ -MP2/CPCM
RG-R	$0.0^b$	$0.0^d$	0.0	0.0	0.0	0.0	0.0 <sup>f</sup>	0.0 <sup>f</sup>
RG-TS	$10.1^{b}$	$11.4^{d}$	-2.7	-0.5	-1.4	-1.6	6.6 <sup>f</sup>	8.0 <sup>f</sup>
RG-P	$9.9^{b}$	$13.5^{d}$	-1.1	-0.2	-0.1	-1.6	$7.1^{f}$	10.6 <sup>f</sup>
RGwR	$0.0^{b}$	$0.0^d$	0.0	0.0	0.0	0.0	0.0 <sup>f</sup>	0.0 <sup>f</sup>
RGwTS	$11.7^{b}$	$10.9^{d}$	-2.7	-0.6	-3.0	1.2	12.6 <sup>f</sup>	11.8 <sup>f</sup>
RGwP	12.0	$11.7^{d}$	-1.7	-0.2	-1.6	1.1	12.9 <sup>f</sup>	12.5 <sup>f</sup>
RGMR	0.0 <sup>c</sup>	$0.0^{e}$	0.0	0.0	0.0	0.0	0.0 <sup>g</sup>	0.0 <sup>g</sup>
RGMTS	$1.7^{c}$	$1.4^e$	-2.5	-0.3	-0.6	0.4	$-0.1^{g}$	$-0.4^{g}$
RGMP	$-0.9^{c}$	$1.3^e$	3,0	-0.1	0.5	1.1	2.6 <sup>g</sup>	4.8 <sup>g</sup>

<sup>*a*</sup> See the text for the description. <sup>*b*</sup> Relative energies are based on B3LYP/6-311+G(2d,2p)//B3LYP/6-31+G<sup>\*</sup>. <sup>*c*</sup> Relative energies are based on B3LYP/6-31+G(2d,2p)//HF/3-21+G<sup>\*</sup>. <sup>*d*</sup> Relative energies are based on MP2(FC)/6-31+G<sup>\*\*</sup>//B3LYP/6-31+G<sup>\*</sup>. <sup>*e*</sup> Relative energies are based on MP2(FC)/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*</sup>. <sup>*e*</sup> Relative energies are based on MP2(FC)/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6-31+G<sup>\*\*</sup>/B3LYP/6 6-31+G\*\*//HF/3-21+G\* values. <sup>f</sup>B3LYP-CPCM/6-311+G(2d,2p)//B3LYP/6-31+G\* relative solvation energies with B3LYP/6-31+G\* ZPE, thermal, and Gibbs energy corrections are considered.  ${}^{g}B3LYP$ -CPCM/6-311+G(2d,2p)//HF/3-21+G\* relative solvation energies with HF/3-21+G\* ZPE, thermal, and Gibbs energy corrections are considered.

changes in reaction Gibbs energy (endergonicity) rather than 657 Gibbs energy barriers. 658

The Nu-GB<sup>mono</sup> reaction pathway is more endergonic than 659 the two other reaction pathways: Nu-GB<sup>di</sup> and Nu+Me-GB<sup>di</sup> 660 which involve a single proton-transfer event. On the other hand, 661 the Nu-GB<sup>mono</sup> activation mode requires two concerted proton 662 transfers for the 2'OH activation and the conversion of the enol 663 to keto tautomer. In this second event, the proton donor is the 664 O6-enol group and the proton acceptor the pro- $S_p$  nonbridging 665 oxygen. As a result, G<sub>NE</sub> is converted into its standard tautomeric 666 form and the overall change on the RNA-like substrate is 667 equivalent to a  $GB^{mono}$  activation mode in the uncatalyzed 668 reaction. The reaction path is similar when the stationary points 669 670 are optimized using an implicit solvent model (CPCM/B3LYP/ 6-31+G\* level), suggesting that the two concerted proton 671 transfers can take place in solution as described in the activation 672 model. The reaction is strongly endothermic:  $\Delta G_r^0 = 12.9 \text{ kcal}/$ 673 mol (Figure 6) but the energy barrier can be considered slightly 674 underestimated because the reactant is taken in a minor tauto-675 meric form. An energy correction of 1.1 kcal/mol may be applied 676 to account for the energy barrier to displace the guanine into its 677 enol tautomeric form,<sup>16</sup> raising the overall Gibbs energy differ-678 ence to  $\Delta G_{\rm r}^{\ 0} \approx 14$  kcal/mol. The reaction path is somehow 679 similar to the Nu-GB<sup>mono</sup> activation mode described for the 680 hairpin ribozyme<sup>16,24</sup> where the proton is transferred to one of the 681 two nonbridging oxygens (Nu-GB<sup>mono</sup>-OR or Nu-GB<sup>mono</sup>-OS). 682 683 Here, the proton is transferred specifically to the  $pro-S_p$  oxygen but no significant difference is expected for the transfer to the 684 pro-R<sub>p</sub> oxygen. Although the nucleobase does play an active 685 chemical role in this case, the Gibbs energy difference associated 686 with the formation of the activated intermediate (RGwP, Figure 6) 687 is equivalent to that calculated for an endothermic reaction following 688 the Nu-GB<sup>mono</sup>-OR or Nu-GB<sup>mono</sup>-OS mode of activation: 14 and 689 11 kcal/mol, respectively.<sup>16,24</sup> 690

The activation Gibbs energy and the endergonicity of the 691 reaction are strongly lowered when the catalyst is an anionic 692 guanine  $(G_{DP})$  and further if a metal catalyst is also present. The 693 endergonicity is reduced by about half with a Gibbs energy 694 695 difference of 7.1 kcal/mol in the presence of an anionic nucleobase (Nu-GB<sup>di</sup>) and yet lowered to 2.6 kcal/mol in the presence 696 of a metal (Nu+Me-GB<sup>di</sup>). In the Nu+Me-GB<sup>di</sup> pathway, the 697 metal is directly coordinated to pro-R<sub>P</sub> oxygen in the reactant 698

(RGMR, Figure S4, Supporting Information). The 2'OH group 699 lies in the second coordination sphere of the metal, which is 700 hexacoordinated and includes two additional water molecules in 701 the second hydration shell. One of the water molecules solvates 702 the 2'OH group, the other one the O6-keto group from  $G_{DP}$ . The 703 explicit solvation of the 2'OH group is ensured by two water 704 molecules from the first and second hydration shells of the metal. 705 In this model, the reaction has a well-balanced transition state 706 where the proton is halfway from the proton donor and acceptor 707 (Table 4). 708

2.2. Metal lon as a Cocatalyst:  $pK_a$  Shift of the 2'OH Group. The comparison of the Nu+Me-GB<sup>di</sup> and Nu-GB<sup>di</sup> pathways 709 710 suggests that the metal ion plays a major role in the decrease of 711 the activation energy and endergonicity of the 2'OH activation 712 by the nucleobase. The endergonicity of the process is lowered 713 by 4.5 kcal/mol, which corresponds to a decrease in  $pK_a$  by 3.3 714 log units. The presence of several water molecules in the first or 715 second coordination spheres of the metal makes the 2'OH group 716 more explicitly solvated in Nu+Me-GB<sup>di</sup> compared to Nu-GB<sup>di</sup>. 717

To determine more precisely the role of the metal and the 718 solvation of the 2'OH on the activation, complementary calcula-719 tions were performed on the reactant and products (RGM-R and 720 RGM-P, Figure 4S, Supporting Information). In the first series of 721 calculations, the Mg<sup>2+</sup> ion was replaced by point charges on a 722 scale between 0.0e and +3.0e; the difference in electronic energy 723 between the two structures was calculated by single point energy 724 calculations without optimization. In the second series of calcu-725 lations, the Mg<sup>2+</sup> ion was first replaced by a monovalent Li<sup>+</sup> ion 726 (metal charge reduction) and then removed (metal charge 727 cancellation). The resulting structures were fully optimized but 728 preserved the interactions between the water molecules in the 729 initial structures in the presence of a divalent ion. 730

Either way, the endergonicity of the proton transfer is linearly 731 dependent on the charge obeying Coulomb's law (Figure 7). The slope of the energy/charge variation is much higher for the point charges because here a reorganization of the solvent molecules 734 and charge-transfer effects are not allowed. The increasing charge 735 on the 2'O atom polarizes the first coordination shell of the metal. 736 However, no change in charge transfer between the solvated 737 metal and the 2'-oxygen was observed. Thus, the activated  $2'O^{(-)}$ 738 group is stabilized purely by electrostatic forces. The results are 739 consistent for both ways to vary the metal charge: whether the 740

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**Figure 7.** Endergonicity versus charge in the Nu+Me-GB activation mode. Gibbs free energies are calculated on the B3LYP-CPCM/  $6-311+G(2d,2p)//B3LYP/6-31+G^*$  level. Dashed line: the point charges are placed on the Mg<sup>2+</sup> positions in the RGMR and RGMP structures, no optimizations were performed, and only the charge in electronic energy between the reactant and product structures is considered. Full line: formal charges +2 and +1 are represented by the optimized structures with Mg<sup>2+</sup> and Li<sup>+</sup> ions. The metal ion was taken out to represent +0 formal charge. The structures were fully optimized and thermal corrections to energy were considered.

Gibbs energy is calculated for a divalent ion or extrapolated from 741 the calculations on the monovalent ion. The Li<sup>+</sup> and Mg<sup>2+</sup> ions 742 lower the endergonicity of the proton transfer by 1.78 and 4.43 743 744 kcal/mol, respectively. The  $pK_a$  value is decreased accordingly by 1.31 and 3.25 log units. The endergonicities of the 2'OH 745 activation, as calculated above for the end points of the charge 746 scale (corresponding to the absence or presence of a divalent ion: 747 0.0 and +2.0e), correlate very well with the reaction free energies 748 in the Nu+Me-GB<sup>di</sup> or Nu-GB<sup>di</sup> pathways. It suggests that the 749 metal acts via nonspecific electrostatic interactions<sup>51</sup> as a coca-750 talyst to increase the acidity of the proton and facilitate the 2'OH 751 752 activation by a nucleobase.

#### 753 DISCUSSION

The roles of the metal and nucleobase catalysts can be inferred 754 from the comparison between the catalyzed and uncatalyzed 755 reactions. For each reaction mechanism, the catalysts can also be 756 evaluated with respect to the activation step for their catalytic 757 efficiency and for their influence on the reaction pathway. Finally, 758 some insights may be gathered on the influence of the active site 759 environment by the comparison between QM and QM/MM 760 models, whether the active site is represented only by the 761 catalyst(s) or by the catalysts included in the full ribozyme, 762 respectively. However, the comparison between different studies 763 764 on a given activation mode can be tricky because the 2'OH activation may be concerted or not with the in-line attack 765 (monoanionic mechanism). Thus, the Gibbs energy differences 766 to compare are those of the in-line attack, which include the 767 2'OH activation. On the other hand, the preactivation is not 768 included in the previous studies, as described above. So, energy 769 corrections are applied when necessary for a fair comparison. 770

In the monoanionic mechanism, both the metal and the 771 nucleobase catalysts facilitate the 2'OH activation with res-772 pect to the uncatalyzed reaction. The nucleobase catalyst can 773 lower the energy barrier by 7 kcal/mol for two variants of the 774 Nu-GB<sup>mono</sup> activation mode: Nu-GB<sup>mono</sup>-OR/GB<sup>mono</sup>-OR or 775 Nu-GB<sup>mono</sup>-OS/GB<sup>mono</sup>-OS (Table 5). In the current study, only T5 776 the Nu-GB<sup>mono</sup>-OS mode has been evaluated; the catalytic efficiency 777 is slightly weaker: 5 kcal/mol (Nu-GB<sup>mono</sup>-OS/GB<sup>mono</sup>-OS). As for 778 the metal catalysts, the different Me-GB<sup>mono</sup> modes (-O3, -OR, -OS) 779

require some rearrangements for the proton to be transferred on any of the three proton acceptors: the 3'O bridging or pro- $R_p$  or pro- $S_p$  nonbridging oxygens (Figure 3). These rearrangements may include coordination changes such as the loss of the innersphere coordination with the nonbridging oxygen and the formation of a pentacoordinated metal (VIIH-OR). The metal catalysts lower the energy barrier but by 5 kcal/mol in the most favorable case (Me-GB<sup>mono</sup>-OR/GB<sup>mono</sup>-OR, Table 5). Taken together, the data suggest a nucleobase is slightly more efficient for the 2'OH activation.

The Nu-GB<sup>mono</sup>-OS examined here does involve an active 790 chemical role of the nucleobase, but the corresponding energy 791 barrier is a bit higher (2 kcal/mol) than what has been reported 792 previously in a QM/MM model.<sup>16</sup> Half of the energy difference 793 between the two models is due to the energy cost for converting 794 the guanine to its active tautomeric form (1.1 kcal/mol). The 795 other half is probably due to the difference in the reaction path. A 796 single internal proton transfer is involved from the 2'OH to one 797 of the two nonbridging oxygens (with no chemical role of the 798 nucleobase) in Nu-GB<sup>mono</sup>-OS. Two concerted proton transfers 799 are involved from the 2'OH to the N1-imino of guanine and from 800 the O6-enol group and to the nonbridging oxygen in Nu-801 GB<sup>mono</sup>. In this latter case, the nucleobase has an active chemical 802 role as a general acid/base (Figures 6 and 4S, Supporting 803 Information). The similar energy barrier between the two models 804 (Nu-GB<sup>mono</sup>/ Nu-GB<sup>mono</sup>-OS: 14 kcal/mol vs 12 kcal/mol) 805 suggests that the nucleobase can still have a chemical role in 806 the proton transfer in the monoanionic mechanism. The pre-807 sence of the nucleobase alone, whether it has a chemical role or 808 not (QM model vs QM/MM model, Table 5), is sufficient to 809 lower significantly the energy barrier of the uncatalyzed reaction. 810 Thus, the nucleobase environment should provide a proper ar-811 rangement of the catalyst in the active site for the 2'OH activation 812 and the following chemical steps, but it may not have any additional 813 positive contribution, at least in the case of the hairpin ribozyme. We 814 may expect a different trend in the case of metal catalysts, which are 815 very dependent on the environment for binding. 816

Putting in perspective the 2'OH activation with the RNA cata-817 lysis, one may compare the energy barriers for the rate-limiting 818 step depending on the catalytic strategy. The 2'OH activa-819 tion represents an energy cost important with respect to the 820 energy barrier of the rate-limiting step in the nucleobase catalysis 821 (Nu-GB<sup>mono</sup>), as shown in previous studies (Table 5). The 822 nucleobase catalysis (Nu-GB<sup>mono</sup>: -OR, -OS) appears to be 823 generally more efficient than the metal ion catalysis, which cannot 824 match the same efficiency (Me-GB<sup>mono</sup>: -OR, -OS, -O3). However, 825 such comparison is partly biased by the fact that what is attributed 826 to the nucleobase catalysis includes the whole contribution from 827 the active site, which may or may not be significant to facilitate 828 the catalysis depending on the reaction step (see discussion 829 above on 2'OH activation in the hairpin ribozyme). Besides, the 830 presence of two metal catalysts appears to facilitate even more 831 the 2'OH activation in a cooperative way (Me<sub>2</sub>-GB<sup>mono</sup>: 6.9 kcal/ 832 mol) but the energy barrier of the rate-limiting step in this 833 reaction pathway is much larger (Table 5). The absence of the 834 active site environment in this two-metal-ion model probably 835 leads to an overestimation of the energy barrier. Although the 836 nucleobase is more efficient as a single catalyst, the active site of 837 self-cleaving ribozymes is likely evolutionary optimized to ac-838 commodate a specific catalyst. 839

In the dianionic mechanism, the 2'OH activation is generally omitted because it is not rate-controlling. The energy barrier was

activation mode	$\Delta G_{\mathrm{aq}}$ (B3LYP)	$\Delta G_{\mathrm{aq}}\left(\mathrm{CP}\right)$	stationary points in proton transfer	$\Delta G_{\rm aq}$ rate-limiting step
		Data on Uncatalyzed R	eaction in Solution	
GB <sup>mono</sup> -TS-OR <sup>¶</sup>	$21 - 22^{b}$		PROD to $TS2^{exo/endo b}$	$44^b$
	(25-26)*		(a) to (b) (Figure 7) <sup><math>c</math></sup>	
		$17^c$		58 <sup>c</sup>
GB <sup>mono</sup> -TS-OS <sup>¶</sup>	$19^d$	NA	R to TS <sub>PT1</sub>	$38^d$
	Publishe	ed Data on Different Mech	nanisms and Activation Modes	
Me-GB <sup>mono</sup>	NA	NS	NA	56 <sup>e</sup>
Me <sup>o</sup> -GB <sup>di</sup>	19* <sup><i>f</i></sup>		reactant to TS1	21
	$(\geq 28)^{\$,+}$			(31) <sup>g</sup>
		13 <sup>c</sup>	(a) to (b) (Figure 9)	49 <sup>e</sup>
Me2-GB <sup>mono</sup>	NA	6.9 <sup>e</sup>	(a) to (b) (Figure 3)	45 <sup>e</sup>
Me <sub>2</sub> -GB <sup>di</sup>	$2.6^{h}$		I to $II^{\dagger h}$	11
	$(\geq 13)^{+}$			$(\geq 20)^g$
		5.4 <sup>e</sup>	(a) to (b) (Figure 7) <sup><i>e</i></sup>	42
Nu-GB <sup>mono</sup> -TS-OR <sup>¶</sup>	$15^i$	NA	R to $TS_{PT1}$ (O1P path)	25
	(15)*			
Nu-GB <sup>mono</sup> -TS-OS <sup>¶</sup>	$12^i$	NA	R to $TS_{PT1}$ (O2P path)	21
	(14)*			
Nu-GB <sup>di</sup> *	$15^{*,i}$	NA	R to $TS_{PT1}$ (N1 path)	27
		Data from the C	urrent Study	
Me-GB <sup>mono</sup> -TSH-OR	17	NA	VIIH-OR	49
Me-GB <sup>mono</sup> -TSH-OS	19	NA	VIIH-OS	47
Me-GB <sup>mono</sup> -TSH-O3	41	NA	VIIH-O3	60
$Me^{i}$ - $GB^{di}$	9.0	NA	Iw to IIw	39
	(39) <sup>§,†</sup>			
Me <sup>i</sup> -SB	5.1	NA	IwH to IIwH	39
	(39) <sup>§,†</sup>			
Nu-GB <sup>mono</sup>	$14^{j}$	NA	RGwR to RGw-TS	NA
Nu-GB <sup>di</sup>	$7.1^{k}$	NA	RG to RG-TS to RG-P	NA
	$(11)^{\diamond}$			
Nu+Me-GB <sup>di</sup>	2.6	NA	RGMR to RGMTS	NA

#### Table 5. Comparison of the Relative Gibbs Free Energies for the Nucleophile Activation from Previous and Current Studies<sup>a</sup>

<sup>*a*</sup> The values in parentheses indicate a correction of the energy barrier: (\*) energy barrier including the 2'OH activation and the in-line attack; (+) energy barrier including the 2'OH activation and the in-line attack and the departure of the leaving group; (¶) QM/MM calculations including the full ribozyme structure; ( $\diamond$ ) energy barrier including the conformational rearrengement for the in-line attack. NA: not available. NS: not specified. All energies are in kcal/mol. <sup>*b*</sup> B3LYP/6-311++G(3df,2p)//B3LYP/6-31++G(d,p).<sup>14</sup> <sup>*c*</sup> CP (HCTH);<sup>12</sup> <sup>*d*</sup> B3LYP/6-31++G(d,p)//B3LYP/6-31+G(d).<sup>24</sup> <sup>*e*</sup> CP (HCTH).<sup>13</sup> <sup>*f*</sup> B3LYP/6-311+G(2d,2p)//B3LYP/6-31G(d,p).<sup>11</sup> <sup>*g*</sup> The Gibbs energy barrier for the nucleophile activation <sup>11</sup> can be corrected by including the contributions from the metal binding and conformational rearrangements (Table 3: 11.8 kcal/mol for Iw-2). <sup>*h*</sup> B3LYP/6-31+G<sup>\*\*</sup>//HF/3-21+G<sup>\*</sup>.<sup>15</sup> <sup>*i*</sup> B3LYP/6-311++G(3df,2p)//B3LYP/6-31++G(d,p).<sup>16</sup> <sup>*j*</sup> B3LYP/6-311+G(2d,2p)//B3LYP/6-31++G<sup>\*</sup> the Gibbs energy barrier corresponds to the 2'OH activation by a nucleobase as catalyst (RGwR to RGwP via RGwTS: Table 4) including an energy correction for converting the standard guanine tautomer into its enol tautomer (1.1 kcal/mol<sup>16</sup>). <sup>*k*</sup> B3LYP/6-311+G(2d,2p)//B3LYP/6-31+G<sup>\*</sup>: the Gibbs energy barrier corresponds to the 2'OH activation by a nucleobase as catalyst (RG-R to RG-P via RG-TS: Table 4). Calculated energy correction for the conversion of phospho-ribose units in RG-R and RG-P into an in-line conformation is 4.2 kcal/mol. The geometry of the phospho-ribose moiety of the residue C17 as found in the crystal structure (PDB ID: 2GOZ)<sup>34</sup> were taken as a reference in-line conformation.

estimated computationally to be around 21 kcal/mol for the 842 reaction in solution.<sup>52</sup> Only the more recent studies, involving a 843 nucleobase catalyst, treat explicitly this reaction step in a QM/ 844 MM model of the hairpin ribozyme.<sup>16</sup> On the basis of the 845 estimation given above and the available data, the nucleobase 846 catalyst would lower the energy barrier for the 2'OH activation 847 by 7 kcal/mol (Nu-GB<sup>di</sup>, Table 5). In the single-metal-ion models, 848 849 the 2'OH activation may be coupled to the in-line attack when the 2'-oxygen is in the outer-sphere coordination of the metal 850 (Me<sup>o</sup>-GB<sup>di</sup>, Table 5). Moreover, the classical DFT methods used 851 for Me°-GB<sup>di</sup> did not take into account the preactivation steps. 852

Thus, the activation barrier for Me°-GB<sup>di</sup> (B3LYP) is largely 853 underestimated, as described above. On the other hand, the 854 2'OH activation is independent from the in-line attack in Car-855 Parrinello studies (CP: 13 kcal/mol, Table 5): the metal cata-856 lyst would then lower the activation barrier by 8 kcal/mol. 857 The presence of the 2'-oxygen in the inner-sphere coordination 858 (Me<sup>1</sup>-GB<sup>d1</sup>) would make the 2'OH activation even more favor-859 able with an energy barrier lowered by 12 kcal/mol. When the 860 general base is replaced by a specific base, the energy barrier can 861 still be reduced with respect to the uncatalyzed reaction by 862 almost 16 kcal/mol (Me<sup>i</sup>-SB, Table 5). In the two-metal-ion 863

models, the energy barrier for the 2'OH activation (including the 864 865 preactivation steps for the DFT study) is between 5 and 13 kcal/ mol, which is not very different from that calculated for the 866 single-metal-ion model corresponding to Me<sup>i</sup>-GB<sup>di</sup> (9 kcal/mol) 867 despite subtle differences in conformations and metal coordina-868 tions between the models (Table 5). Nevertheless, a single metal 869 870 catalyst involved in the first steps of the reaction (2'OH activa-871 tion and in-line attack) cannot play any significant role in the 872 rate-limiting step of the reaction: the departure of the leaving group. The energy barrier for the subsequent rate-limiting step 873 with a single metal catalyst are thus significantly larger than those 874 calculated in the presence of two catalysts a fortiori when the 875 models also include the active site environment (Nu-GB modes 876 for the hairpin ribozyme). 877

In the presence of two distinct catalysts, the metal catalysts are 878 expected to be more efficient than the nucleobase catalysts in the 879 dianionic mechanism by facilitating the 2'OH activation and the 880 in-line attack. Alternatively, both metals and nucleobases may act 881 in concert in the 2'OH activation as shown in the Nu+Me-GB<sup>a</sup> 882 883 mode (Figure 6) where the energy barrier is even more favorable and 18 kcal/mol lower than that of the uncatalyzed reaction. In 884 the hammerhead ribozyme, the current models largely support a 885 catalytic strategy based on nucleobases as the main and essential 886 catalysts for both the 2'OH activation/in-line attack and the 887 departure of the leaving group. However, both computational 888 and experimental studies suggested recently an active participa-889 tion of metal catalysts either in the departure of the leaving 890 group<sup>23</sup> or in the 2'OH activation/in-line attack, <sup>53</sup> respectively. A 891 Nu+Me-GB mode may be involved where the nucleobase is a 892 general base and the metal a Lewis acid and/or where the metal is 893 a cocatalyst activating the nucleobase.<sup>5</sup> Other recent experimen-894 tal data on the HDV ribozyme strongly support a role as a Lewis 895 acid for the metal catalyst and a hydroxide ion as a specific base 896 (Me-SB mode) for the 2'OH activation.<sup>54</sup> A nucleobase (G25) is 897 also involved in this model as a cofactor for binding the metal 898 catalyst on its hoogsteen face. In the hairpin ribozyme, two 899 nucleobases act as catalysts, but it is not clear yet whether they 900 have an active chemical role in the 2'-hydroxyl deprotonation or 901 the 5'-oxygen protonation. This study does not provide any 902 further argument because the Nu-GB<sup>mono</sup> modes are equivalent 903 whether the nucleobase has a chemical role as an enol tautomer 904 or just facilitates the 2'OH activation through solvation and 905 specific hydrogen-bonding interactions.<sup>16</sup> 906

#### CONCLUSIONS

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908 The nucleophile activation is the first chemical step of the transphosphorylation. Although it is not the rate-determining 909 910 step in the overall reaction in any of these ribozymes, it represents a significant energy cost with respect to the overall energy 911 barrier, especially in the monoanionic mechanism. In the dia-912 nionic mechanism, the nucleophile activation is generally exam-913 ined starting from a preactivated conformational or chemical 914 state for the catalysts. So, the metal and nucleobase catalysts are 915 available as general bases (metal-hydroxide complex or anionic 916 guanine). Thus, the energy barrier of activation has generally 917 been underestimated by not taking into account some nonchemi-918 919 cal steps involved in the prior activation of the catalyst respon-920 sible for the 2'OH activation.

The 2'OH activation can involve different catalysts and follow different pathways, which can be combined into different modes of activation (Figure 1 and Table 1). A representative number of 938

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them has been explored in this study on the basis of the different 924 models proposed for the catalytic strategies of self-cleaving 92.5 ribozymes. Although most of the metal activation modes pre-926 sented here have been previously evaluated using different 927 methods (DFT or CP), the energy barriers calculated for the 928 preactivation steps put in perspective the corresponding models 929 of catalysis. The results on the activation by a metal catalyst 930 suggest that a single catalyst is not efficient enough to lower the 931 overall activation barrier as expected from the experimental data, 932 even if the barriers are likely overestimated (due to the absence of 933 the active site environment that may contribute to lower the 934 energy barrier). The Me-SB activation mode appears to be the 935 more favorable way to activate the 2'OH nucleophile and is 936 probably effective in the HDV ribozyme. 937

The nucleobase catalysts are generally more efficient than the metal catalysts in the monoanionic mechanism, but their exact role in the catalysis is still unclear. The activation modes, evaluated in a previous study on the hairpin ribozyme, suggested the nucleobase may contribute to lower the energy barrier just by providing a favorable charge environment in the active site. In this study, an additional activation mode involving an enol tautomer of guanine with an active chemical role in the catalysis has been identified. However, the energy barrier for this activation mode is equivalent to that of the other three activation modes already proposed. Finally, the endergonic activation modes also suggest a single nucleobase catalyst is not efficient enough for the reaction to proceed, unless it is pushed due to the participation of another catalyst and/or the active site environment in the following exergonic reaction steps (online attack and departure of the leaving group).

The 2'OH activation can be potentiated using both kinds of catalysts: metals and nucleobases. The specific case presented here involves a nucleobase as a general base and a metal that promotes the activation through nonspecific long-range electrostatic forces.<sup>51</sup> Thus, the metal may be distant from the 2'OH group but effective on the 2'OH activation and may also act as a Lewis acid in the departure of the leaving group. Some recent data suggest this activation mode may be effective in the hammerhead ribozyme. Beyond the static picture given by this model, the metal may also contribute to rearrange the active site to orient and/or activate the nucleobase to act as a general base. Vice versa, the nucleobase may contribute to create a favorable binding site where the metal can act as a Lewis acid.

#### ASSOCIATED CONTENT

**S** Supporting Information. Details on (1) the geometries 968 of the stationary points (Tables S1, S2 and Figures S1, S2, S4), 969 structures, and potential energie surfaces, especially on the 970 structures Iw and Iw2 and of those related to the Me<sup>i</sup>-GB<sup>di</sup> 971 and  $Me^{o}$ -GB<sup>di</sup> mechanisms (section S4, Figure S3, Table S3); 972 (2) the energy decomposition analysis (sections S1-S7973 and Table S4), a detailed description of the monoanionic 974 (section S1), dianionic (section S2), Me-GB<sup>mono</sup> (section S3), 975 Me-SB (section S6), and Nu-SB and Nu-GB (section S7) 976 pathways. This material is available free of charge via Internet 977 at http://pubs.acs.org 978

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