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1 **3-DIMENSIONAL ANALYSIS OF FATIGUE CRACK FIELDS AND CRACK**
2 **GROWTH BY IN SITU SYNCHROTRON X-RAY TOMOGRAPHY**

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10 **Abstract**

11 The propagation rate of a fatigue crack in a nodular cast iron, loaded in cyclic tension,
12 has been studied in situ by X-ray computed tomography and digital volume
13 correlation. The semi-elliptical crack initiated from an asymmetric corner notch and
14 evolved to a semi-circular shape, initially with a higher growth rate towards one edge
15 of the notch before the propagation rate along the crack front became essentially
16 independent of position. The phase congruency of the displacement field was used to
17 measure the crack shape. The three-dimensional stress intensity factors were
18 calculated via a linear elastic finite element model that used the displacement fields
19 around the crack front as the boundary conditions. Closure of the crack tip region was

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1 observed. The cyclic change in the local mode I opening of the crack tip determined
2 the local fatigue crack propagation rate along the crack front.

3 **Keywords**

4 Cast iron; Crack closure; Crack growth rate; Elliptical cracks; Stress intensity factors

5 **Highlights**

- 6 • In situ three-dimensional observation of curved fatigue crack propagation
- 7 • Measurement of the three-dimensional crack shape and crack tip displacement
8 field
- 9 • The local mode I stress intensity factor range of the open crack determines the
10 crack growth rate

11 **1 Introduction**

12 Crack propagation by metal fatigue occurs as a direct consequence of the cyclic
13 strains at the crack tip. The basic mechanism has been known and understood for
14 decades, yet problems remain in the accurate prediction of fatigue crack growth rates
15 in engineering components despite the existence of quite sophisticated models. Lack
16 of confidence in the general application of these models leads to over-conservatism in
17 structural integrity assessments, with a significant economic cost, particularly in the
18 aviation and nuclear energy sectors.

19 It is possible to accurately define in engineering designs (and to control in
20 experiments) the loading applied to a cracked component and to measure precisely

1 the average crack propagation rate of the crack front; however, the behaviour of
2 cracks is very sensitive to the load history. Fatigue models [1] have therefore become
3 quite complex in the attempt to describe the effects of strain gradients, residual stress,
4 overloads, spectrum loading, and so on, which all arise in real engineering
5 applications. Whilst these models can be applied with some success in engineering
6 design, there are problems of transferability between materials and material
7 conditions. This increases the costs of introducing new materials and manufacturing
8 processes to fatigue critical components, and to stress states and histories that have
9 not been, or cannot be, examined empirically by experiment.

10 A significant factor in this problem is that the cyclic strains at the crack tip, which
11 result from the applied loads and cause crack propagation [2], are dependent on the
12 local elastic and plastic deformation field around the crack tip [3]. The properties of
13 the crack tip plastic zone depend on its strain history and the strain state that it
14 experiences, so a complex coupling exists between the applied boundary conditions
15 and the local deformations that cause crack growth [4]: the plastic zone has further
16 effects such as the development of compressive closure stresses behind the crack tip
17 [5]. Environmental factors, which depend critically on crack tip deformation, are also
18 highly complex to address [6].

19 A substantial barrier to the validation of models for fatigue, and also fracture, is the
20 difficulty of accurately measuring both the local deformation conditions and the
21 crack's response. Until recently, their simultaneous measurement has only been

1 possible at the surface by imaging (i.e. digital image correlation) [7–11] or scattering
2 [12,13], although internal measurements of the crack’s elastic strain field have been
3 obtained by diffraction in transmission [14–16]. Attenuation contrast from features in
4 the microstructure can allow the use of digital volume correlation (DVC) to map the
5 relative changes in the displacement field between tomographic datasets. This makes
6 it possible to measure directly the three-dimensional (3D) displacements of the
7 material surrounding the crack, the total strains around the crack tip, and also the
8 resulting crack opening profile up to the crack tip (e.g. [17–19]). One critical
9 experiment [20] studied the propagation of a short fatigue crack in a match-stick
10 sample (1.6 mm x 1.6 mm) of nodular cast iron by high resolution synchrotron X-ray
11 computed tomography (XCT). This was the first time values of the stress intensity
12 factor range were extracted from experimentally measured displacements along the
13 front of a fatigue crack *within* an optically opaque material. A subsequent study [21]
14 determined the evolution of the local stress intensity factors in a short semi-elliptical
15 crack propagating in a match-stick sample of similar material, by fitting the measured
16 displacements to the Williams solution for elastic deformation [22] with an iterative
17 method applied to determine the effective crack tip position.

18 An analysis has been developed [23–26], which uses a finite element method that is
19 less sensitive to the determination of the crack tip position [27,28]. This also enables
20 the treatment of non-linear material properties [29] and mixed-mode loading [9].
21 Initially applied to two-dimensional (2D) studies [30,31], it has been extended to 3D
22 observations of deformation and damage that can be obtained by in situ high-
23 resolution XCT. Using information of the displacement field, and without requiring
24 knowledge of the applied loads or crack geometry, a finite element simulation can be

1 used to calculate the elastic strain energy release rate (J-integral) via the virtual crack
2 extension/domain integral [32]. Under small-scale yielding conditions (i.e., linear
3 elasticity), the local mode I, II and III stress intensity factors acting on the crack tip
4 can then be obtained [33,34] by using the interaction integral [35] that is natively
5 implemented in finite element solvers such as Abaqus/Standard.

6 The objective of this study was to measure the cyclic displacement field acting on a
7 propagating three-dimensional crack of complex shape and to parameterise this
8 deformation by a local stress intensity factor range that could be related to the crack's
9 response (i.e., the local crack growth rate). The measurements were achieved using
10 high-energy, limited-angle, synchrotron XCT to observe a mm-sized semi-elliptical
11 fatigue crack propagating from the corner of a large square-section specimen (~5
12 mm) of nodular cast iron, loaded in cyclic tension. The microstructure's graphite
13 nodules allowed high-resolution digital volume correlation of the tomographs,
14 recorded in situ, to measure the relative displacements. The 3D displacements were
15 also used to determine the crack shape, via the phase congruency of the displacement
16 field, and to calculate the variation of the local stress intensity factor range acting on
17 the crack tip with load and number of cycles.

18 **2 Materials and Experimental Method**

19 The material was a custom-made nodular graphite cast iron, previously studied using
20 high resolution X-ray tomography [21,36,37]; a similar material was also used in an
21 earlier tomography study of fatigue initiation [38]. The billet used to create the

1 specimen contained 3.4 wt% carbon and was ferritised at 880°C then air quenched.
2 This material is particularly suitable for DVC analysis as it contains 14 vol% of
3 graphite nodules of an average diameter of 45 µm that give strong X-ray attenuation
4 contrast. The specimen preparation was in two steps; first, the cylindrical shape was
5 cut using conventional machining, then an electro-discharge machining (EDM) tool
6 was used to cut the central gauge section and the notch, using a round wire that gave a
7 notch tip diameter close to 300 µm (Figure 1a). The notch was cut at one corner of
8 the square section gauge length, perpendicular to the specimen axis, with the notch tip
9 at an angle of 35° to one surface. This gave surface lengths of 1.25 mm and 0.88 mm
10 on the adjacent surfaces. The purpose was to develop non-uniform loading along the
11 notch tip that would affect the initial propagation of the crack.

12 The in situ fatigue study was performed on the I12 Joint Engineering, Environmental
13 and Processing (JEEP) beamline at the UK Diamond Light Source synchrotron [39].
14 The specimen was fatigue loaded using a 100 kN Instron uniaxial servo-hydraulic
15 mechanical test rig at a cyclic frequency of 15 Hz and the ratio of maximum to
16 minimum load (R-ratio) of 0.1. A razor blade was run across the notch tip before
17 loading. Pre-tests were done to establish suitable loading conditions to initiate and
18 propagate a fatigue crack from the notch (Figure 1b). At intervals, the cycling was
19 suspended, and the specimen was observed by tomography.

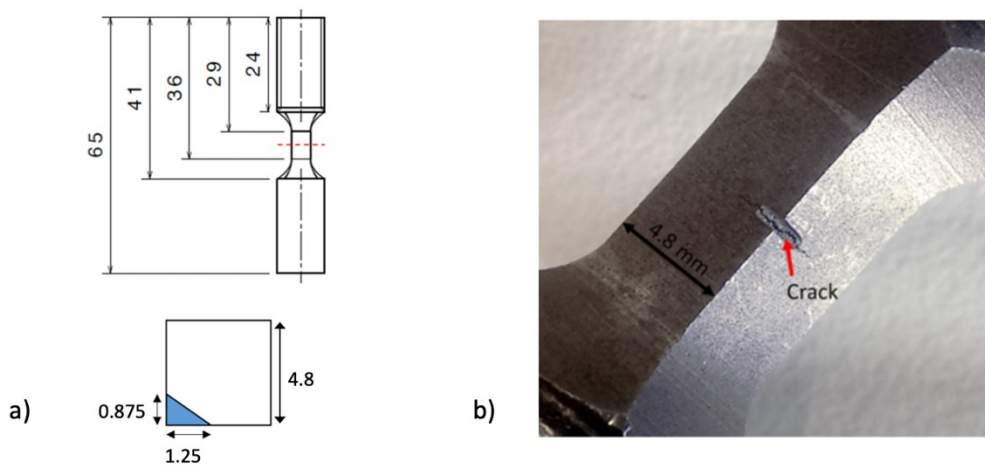
20 A monochromatic beam of energy 80 keV was used, and radiographs were recorded
21 using the PCO.4000 CCD camera (2560 × 2160 pixels, 16-bit depth), with module 3
22 optics selected to image an area of 8.3 × 7.0 mm (i.e. 3.24 µm per pixel). The time per
23 tomograph was 10 minutes, with an exposure time per radiograph of 0.2 seconds,

1 recording 3000 radiographs over an angular range from -80.4° to $+62^\circ$. This was
2 necessary due to the blocking of X-ray transmission by the vertical load columns of
3 the Instron. The reconstruction of the tomographs used the I12 beamline software,
4 which implemented back-filtered projection with Fourier-wavelet ring artefact
5 removal. No special adjustments were made for the limited angle of data collection.

6 In Stage I of the experiment, the initiation and propagation of the crack from the
7 notch was studied with tomographs recorded at intervals from 50,000 to 290,000
8 cycles at the maximum load (P_{max} 4.5 kN) and minimum load (P_{min} 0.45 kN). After
9 290,000 cycles, the opening and closing behaviour of the developed crack was
10 studied by a series of tomographs recorded in steps over a single cycle with
11 increasing load from 0.45 to 4.5 kN, then with decreasing load.

12 In Stage II and Stage III of the experiment, the potential effect of crack closure was
13 studied by reducing the cyclic range to verify crack arrest, and then increasing the
14 cyclic range to reinitiate crack growth. As in Stage I, tomographs were obtained at
15 both minimum (P_{min}) and maximum (P_{max}) loads. In Stage II, with the load range
16 reduced by 40% (i.e. 0.3 kN to 3 kN), scans were obtained at intervals up to a total of
17 500,000 cycles. Then, in Stage III, at an increased load range (0.35 kN to 3.5 kN),
18 tomography scans were obtained at intervals up to 625,000 cycles in total. The total
19 number of fatigue cycles was counted from the start of the experiment at the
20 beginning of Stage I.

1 DVC analysis of the tomographs was performed using the LaVision DaVis
2 StrainMaster 8.2 software on a dedicated workstation (Intel Xeon E5-2699 v3, 2x 18
3 cores @ 2.3GHz, 512 Gb RAM). The reference was always the tomograph recorded
4 at the minimum load at the same number of fatigue cycles. The analysis used the
5 direct correlation mode with iterative reduction of the subset size from $96 \times 96 \times 96$
6 voxels to smaller subsets with dimensions of 64, 48, and 32 voxels using 75% overlap
7 and 2 passes at each stage. Residual rigid body translation and rotation of the
8 specimen was removed from the displacement field using a method [40] implemented
9 in Matlab, with the final displacements defined relative to an origin at the centre of
10 the notch plane.

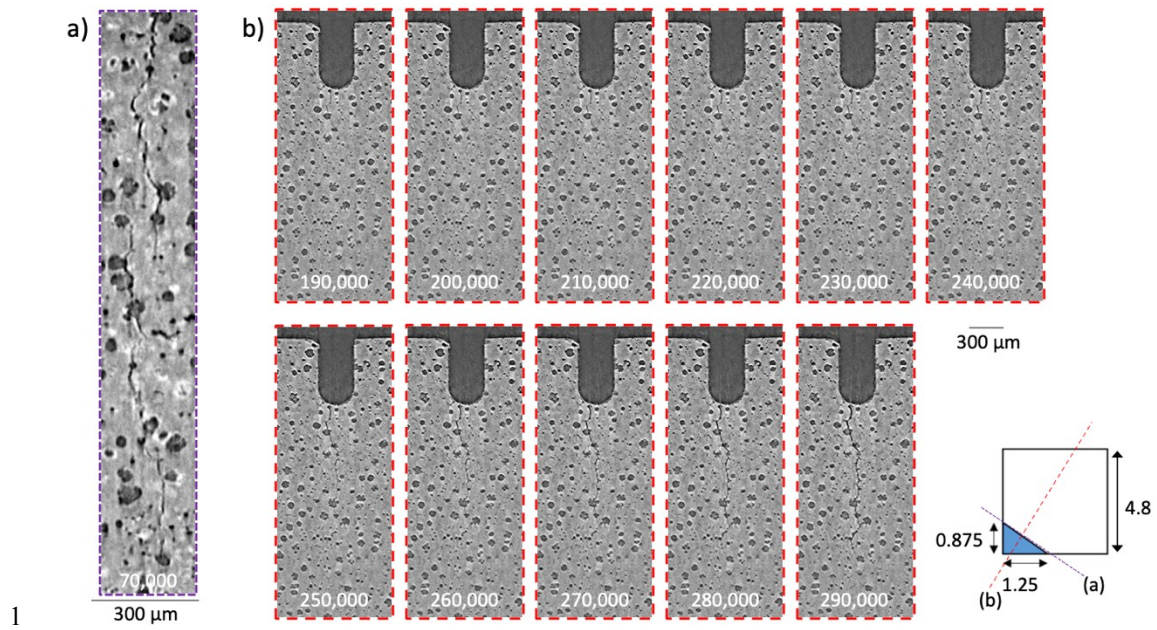


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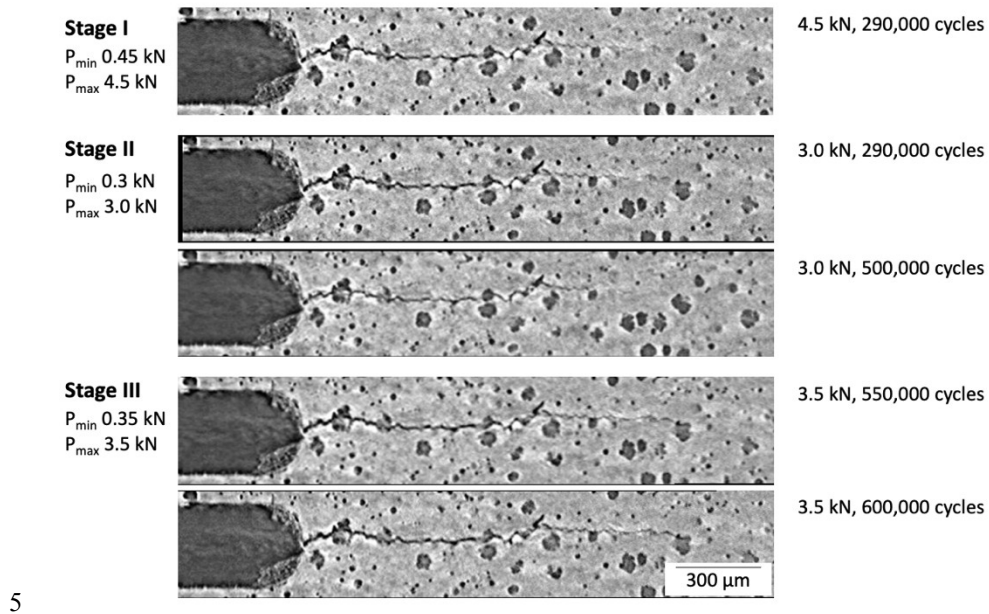
12 *Figure 1: Fatigue specimen: a) dimensions and square cross-section (4.8 mm) with asymmetric corner notch (0.3*
13 *mm width); b) optical image of the notch and a fully developed crack from a pre-test that was performed to*
14 *establish the experimental conditions for the in situ experiment*

1 3 Results

2 During Stage I (P_{min} 0.45 kN, P_{max} 4.5 kN), cracks were observed to have initiated at
3 the surface of the blunt notch after ~50,000 cycles, and these coalesced to form a
4 single crack by ~100,000 cycles. Figure 2a shows an example cross-section at 75,000
5 cycles, close to and parallel to the notch tip, which reveals a set of coalescing short
6 cracks. Figure 2b shows a series of sections perpendicular to the notch between
7 190,000 and 290,000 cycles to illustrate the progressive propagation of the crack,
8 which was parallel to the notch plane. A cross-section of the crack (central and
9 perpendicular to the notch tip) at the end of Stage I (290,000 cycles) is shown in
10 Figure 3, where it can be compared with the same section at the beginning (290,000
11 cycles) and end (500,000 cycles) of Stage II, and then at 550,000 and 600,000 cycles
12 in Stage III. In each case, the crack was imaged at the relevant maximum load. There
13 was no detectable crack growth during Stage II when the load range was reduced (
14 P_{min} 0.3 kN, P_{max} 3.0 kN), but the crack propagated by ~150 μ m during Stage III once
15 the load range was increased (P_{min} 0.35 kN, P_{max} 3.5 kN).



2 *Figure 2: X-ray tomograph virtual sectioning: a) section parallel to the notch tip at 75,000 cycles; b) series of*
 3 *sections perpendicular to the notch between 190,000 and 290,000 cycles. The traces of the a) and b) sections*
 4 *relative to the notch are indicated.*

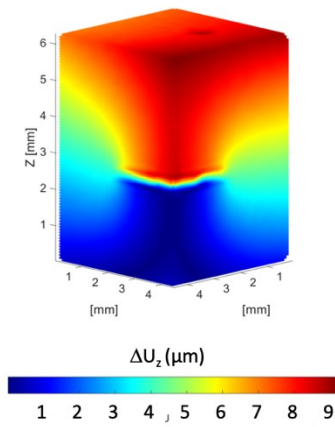


6 *Figure 3: Tomography virtual section of the crack imaged at maximum load at the end of Stage I (290,000*
 7 *cycles), at the beginning (290,000 cycles) and end (500,000 cycles) of Stage II, and at 550,000 and 600,000*
 8 *cycles in Stage III. In each case, the crack is imaged at the maximum load of the respective stage.*

1 **Figure 4** shows an example of the three-dimensional displacement field measured by
2 digital volume correlation at 290,000 cycles (i.e. at the end of Stage I and the
3 beginning of Stage II). The field presents the component of the displacement change (
4 ΔU_z) parallel to the loading axis, between the minimum and maximum loads. The
5 opening displacements are of the order of 10 μm . at the notch mouth. The shear
6 displacements (ΔU_x and ΔU_y) were negligible in comparison. Measurements of the
7 locus of the crack tip in the notch plane at 290,000 cycles are shown in **Figure 5a**. The
8 crack tip position was determined by i) manual identification from image contrast in
9 slices of the XCT image; ii) using a strain threshold of 0.15 (maximum normal 3D
10 strain) measured from the gradient of the displacement field; and iii) phase
11 congruency of the 3D displacement field [24,34]. Both ii) and iii) are sensitive to the
12 opening of the crack. Crack segmentation by visual examination, which in principle
13 has a resolution of a few voxels, was quite difficult and prone to uncertainty due to
14 the low contrast of the crack and the complex microstructure. The strain threshold
15 analysis used the DVC displacement field obtained with the smallest $32 \times 32 \times 32$ voxel
16 subset analysis, but the choice of strain threshold, which can affect the apparent crack
17 tip position, was subjective. The crack tip defined using the strain threshold tends to
18 over-estimate the crack length at low θ and under-estimate at high θ , compared with
19 the manual measurements. The phase congruency method [24] is not subjective as it
20 determines the location at which the Fourier components of the displacement field are
21 most in phase. The phase congruency analysis used the DVC displacement field
22 obtained for the $48 \times 48 \times 48$ subset analysis; the displacement noise at the smaller 32

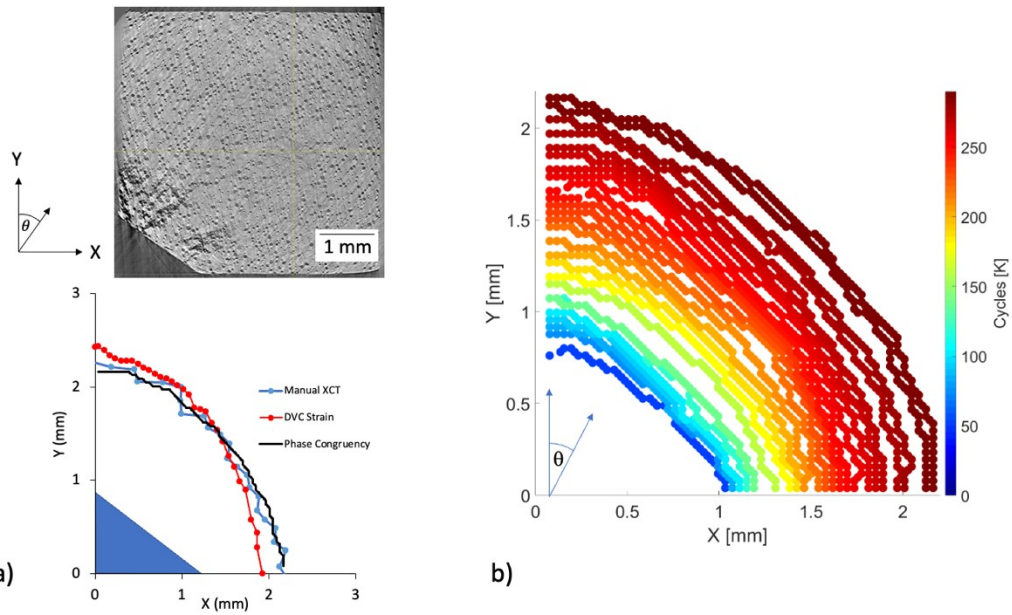
1 voxel subset introduced higher uncertainty, whereas larger subsets had a lower spatial
2 resolution. The maximum spatial resolution of the phase congruency and strain
3 threshold measurements depends on the separation of the displacement vectors
4 measured by DVC, which were 12 voxel (~40 μm) and 8 voxel (26 μm), respectively.
5 The phase congruency measurement shows good agreement with the manual
6 measurement from the X-ray tomographs and tends to find a smoother locus of the
7 crack tip.

8 The crack tip positions obtained by phase congruency were used to determine the
9 progressive evolution of the crack (Figure 5b). The local crack growth rates are
10 shown in Figure 6 as a function of the angular position, θ , around the crack front
11 (defined in Figure 5). The trace of the crack tip position is projected onto the notch
12 plane, and since cracks were initiated at different locations around the circumference
13 of the blunt tip (Figure 2) the crack dimension can appear shorter than the maximum
14 extent of the notch tip at low numbers of cycles. The crack growth rate, da/dN , was
15 calculated using the local increments between observations of the crack tip position
16 [19]. The average gradient over 3 or more observations was generally used to reduce
17 noise, but as the crack growth rate increased towards the end of Stage I the gradient
18 between successive observations was used. Figure 6 shows that the crack growth rate
19 increased with the number of cycles and tended to be higher towards lower values of
20 θ , particularly in the observations below 200,000 cycles.



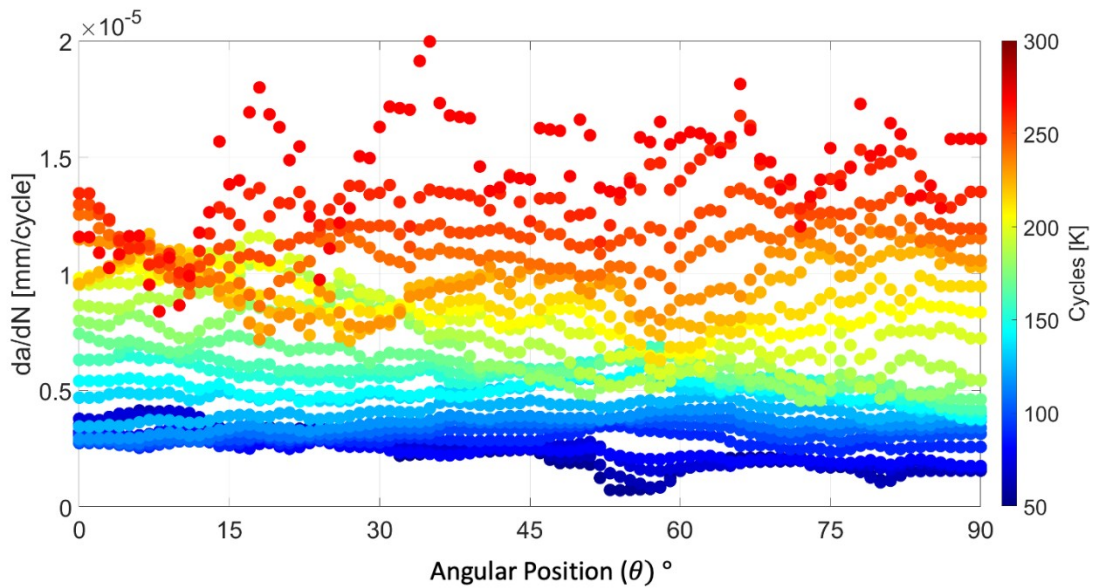
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- 2 *Figure 4: The three-dimensional displacement field (ΔU_z , parallel to the specimen loading direction) measured*
3 *by digital volume correlation (96 x 96 x 96 subset, 75% overlap) at 290,000 cycles.*



1

2 *Figure 5: Measurements of the locus of the crack tip in the notch plane: a) at 290,000 cycles by manual*
 3 *identification from the XCT image ('Manual XCT'), a DVC strain threshold ('DVC Strain') and phase congruency*
 4 *of the DVC displacement field ('Phase Congruency'); b) progressive evolution with fatigue cycles of the crack,*
 5 *measured by phase congruency from 50,000 to 290,000 cycles in Stage I. The angular position, θ , is defined*
 6 *relative to the Y-axis and the specimen corner. The data are colour-coded by the number of fatigue cycles. The*
 7 *example X-Y slice of the XCT data is at the central plane of the notch at maximum load, P_{max} , at 290,000 cycles.*



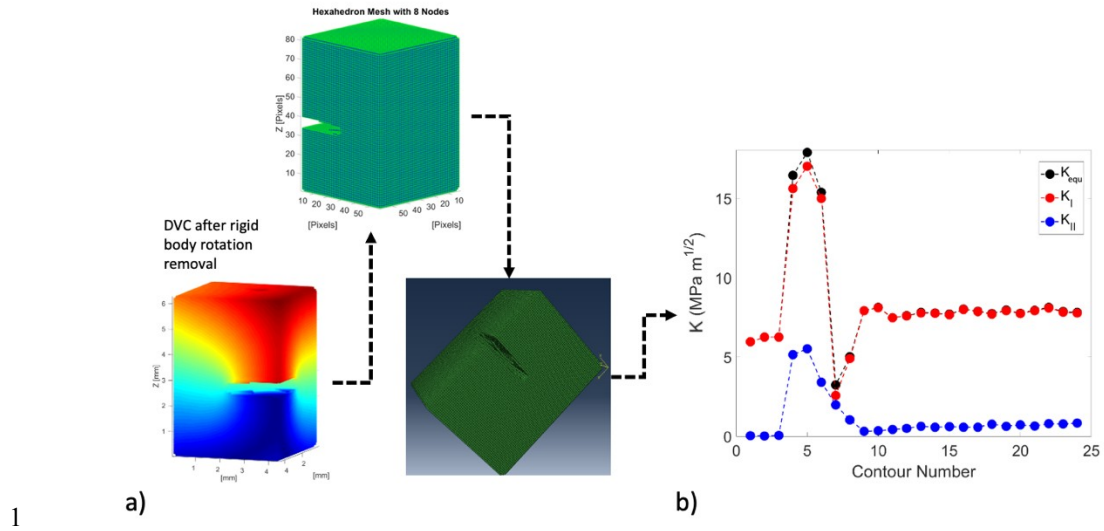
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2 *Figure 6: The crack growth rate during Stage I, orthogonal to the crack front, as a function of the angle θ around*
 3 *the crack front (as defined in [Figure 5](#)). The data are colour-coded by the number of fatigue cycles.*

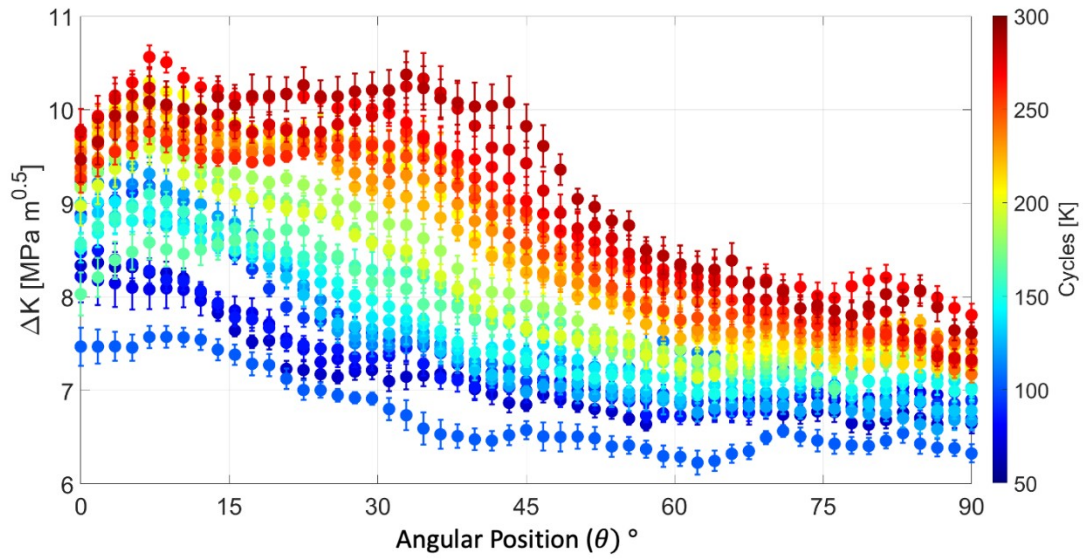
4 The displacement field change, measured by DVC between the minimum and
 5 maximum loads at the same number of cycles, was used to evaluate the local stress
 6 intensity factor range at the crack tip. For higher precision of the displacements, the
 7 DVC dataset obtained at $96 \times 96 \times 96$ voxel subset was used as the boundary conditions
 8 that were applied to the nodes of a finite element model [33,34] (hexahedral mesh
 9 with 8 nodes per element) within Abaqus/Standard. The crack was defined as a planar
 10 feature, perpendicular to the specimen axis (i.e. parallel to the notch), with the
 11 position of its curved tip identified using the phase congruency analysis. The crack's
 12 elastic field was evaluated as the J-integral for a virtual crack extension by
 13 considering a set of two-dimensional plane strain sections in radial directions that
 14 were defined by their angular position, θ (Figure 5b). The elastic properties assumed

1 in the model were linear and isotropic, with Young Modulus $E= 158$ GPa and
2 Poisson ratio $\nu= 0.3$ [41]. Using the domain integral analysis tools in
3 Abaqus/Standard, the equivalent stress intensity factor, K_{equ} , was obtained from the J-
4 integral and the mixed mode stress intensity factors (K_I, K_{II} and K_{III}) were then
5 extracted using the interaction integral.

6 Figure 7b shows an example of the evaluation of the crack tip field for the 2D section
7 at the angular position $\theta=45^\circ$ (i.e. the strain energy release rate was calculated at one
8 location along the crack tip for a virtual crack extension in the 45° direction that was
9 approximately orthogonal to the crack front). Convergence was obtained with
10 increasing contour number as the integration domain increased in size. The shear
11 modes (K_{II} and K_{III}) of the stress intensity factor were negligible. The converged
12 value of the change in K_I relative to the reference tomograph was taken as the local
13 mode I stress intensity factor range (ΔK_I) between the minimum and maximum
14 loads. The variation of ΔK_I as a function of propagation direction around the crack
15 tip (i.e. the angular position, θ) is summarized in Figure 8 for increasing numbers of
16 cycles. The figure shows that ΔK_I tended to increase with increasing numbers of
17 cycles and was higher towards lower values of θ . The individual data points are the
18 mean of the values in the converged region (i.e. beyond contour 10 in this case), and
19 the error bars are their standard deviation.



1
 2 *Figure 7: Calculation of local stress intensity factors: a) DVC displacement field applied as boundary conditions*
 3 *in a finite element model (hexahedral mesh with 8 nodes per element); b) example of convergence with increasing*
 4 *integration domain size (contour number) of the equivalent stress intensity factor, K_{equ} , separated into mode I*
 5 *and mode II components (K_I and K_{II}) using the interaction integral. The mode III component was negligible.*



1

2 *Figure 8: Variation of the mode I stress intensity factor range ΔK_I as a function of propagation direction (i.e.*
 3 *the angular position θ around the crack front) for increasing numbers of cycles in Stage I. The data are colour-*
 4 *coded by the number of fatigue cycles.*

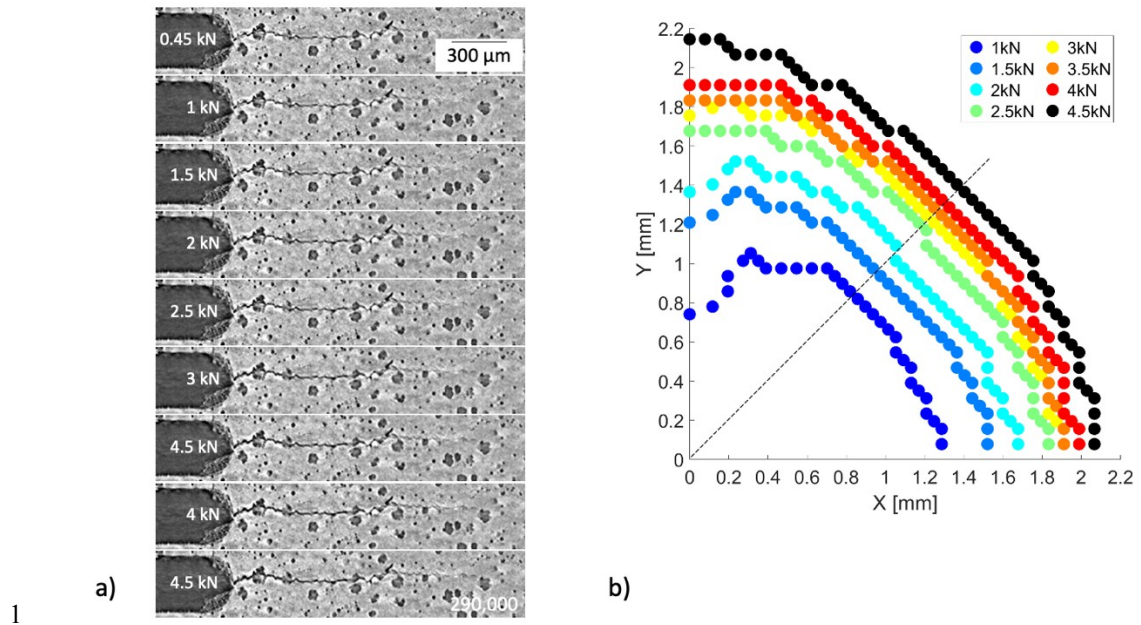
5 The crack and its local stress intensity factor range were examined in detail using the
 6 observations obtained over one individual cycle at 290,000 load cycles. The series of
 7 images (Figure 9a) in which the tomographs of the crack have been sectioned at θ
 8 $=45^\circ$ shows that the crack tip is more visible as the load increases, and the visible
 9 crack length tends to increase. The open crack tip position, which was identified
 10 using the phase congruency of the displacement field, also moves forwards as the
 11 maximum load is approached (Figure 9b). The mode I stress intensity factor range,
 12 ΔK_I , was evaluated relative to the minimum load (P_{min} 0.45 kN) as the crack was
 13 loaded and then unloaded, with the constant crack tip taken at its furthest position (i.e.
 14 identified by phase congruency at the maximum load, P_{max} 4.5 kN). The variation of
 15 ΔK_I as a function of angular position θ with increasing load is presented in Figure

1 **10a**, and the value of ΔK_I at $\theta=45^\circ$ as a function of applied load is summarised in
 2 **Figure 10b** as an example. This figure shows ΔK_I did not increase significantly until
 3 the maximum load exceeded 4 kN, and a similar significant change in ΔK_I between 4
 4 and 4.5 kN can be observed along the whole crack front. The values of ΔK_I with
 5 during unloading were close to those during loading. At loads below 4 kN, the value
 6 of ΔK_I did increase to some extent with load, and the effect was smallest at higher
 7 angular positions. Sections of the tomograph at P_{max} (4.5 kN) at 290,000 cycles
 8 (**Figure 10c**) close to the surface near $\theta=0^\circ$ and $\theta=90^\circ$ show the crack was
 9 discontinuous (i.e. bridged) in the region close to $\theta=90^\circ$, but no such bridges were
 10 observed elsewhere.

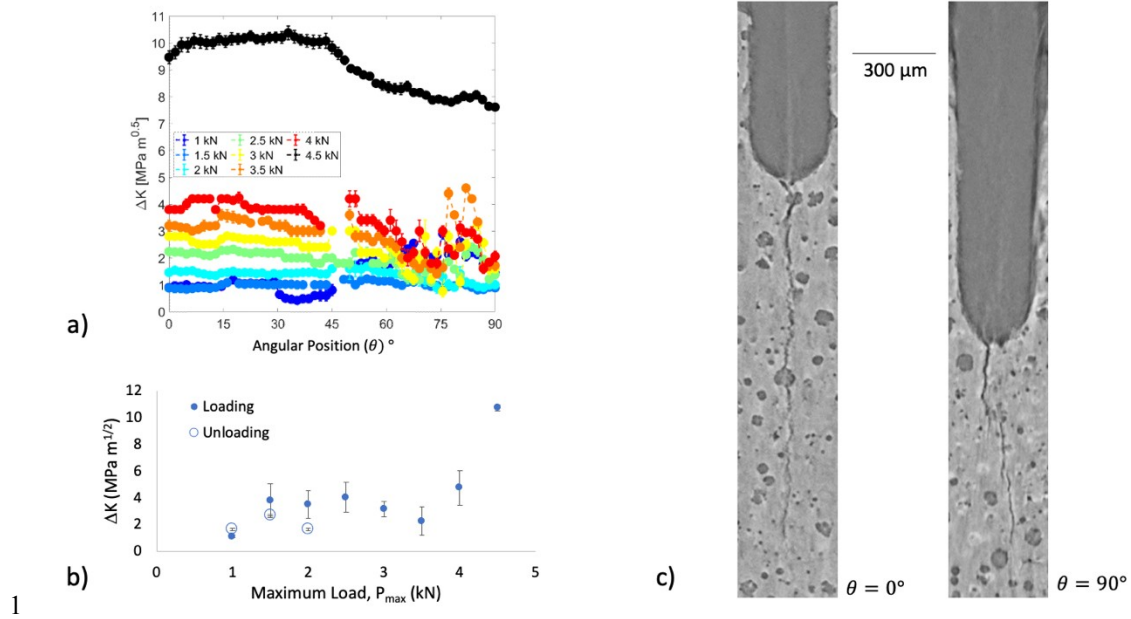
11 The relation between the local crack growth rate and the local ΔK_I calculated from
 12 the displacement change between the minimum and maximum load during Stage I is
 13 shown in Figure 10, which uses the data from Figure 6 and Figure 8. The data points
 14 have been colour-coded by their angular position, θ , and a simple Paris-Erdogan
 15 equation $\frac{da}{dN} = A(\Delta K_I - \Delta K_{th})^P$ [42] has been fitted to the full dataset. The threshold
 16 stress intensity factor range, ΔK_{th} , was fixed at 6.2 MP m^{1/2} by inspection, then the
 17 other constants were obtained by least squares optimisation to obtain $A = 4.4 \pm 0.1 \times$
 18 10^{-6} mm/cycle and the Paris exponent $P = 0.81 \pm 0.03$. The confidence of the fit to the
 19 data is only moderate, at $R^2=0.50$, and for an equivalent ΔK_I there is a strong
 20 tendency at high θ for higher growth rates. Noting from Figure 10b that ΔK_I did not
 21 increase significantly until the maximum load exceeded 4 kN, the crack growth rate at

1 ~290,000 cycles (Figure 6) is shown in Figure 11b as a function of the local change in
2 K_I between 4 and 4.5 kN, using the stress intensity factors calculated along the crack
3 front (Figure 10a). These data form a cluster with similar values of growth rate and
4 ΔK_I without a strong effect of angular position. The growth rates are comparable to
5 those observed at similar stress intensity factors for smaller fatigue cracks in the same
6 material [21].

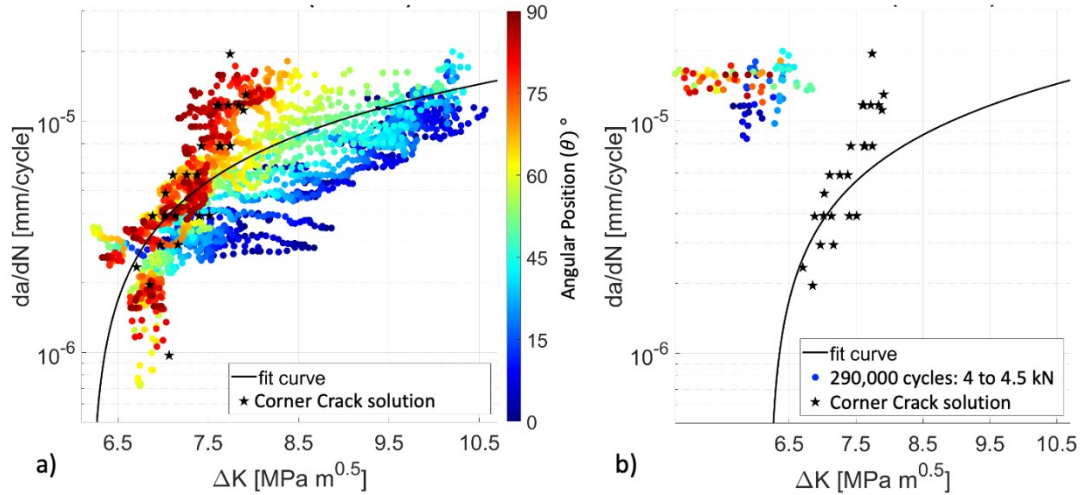
7 The stress intensity factor range was also calculated using the standard relations for a
8 corner crack under tensile loading [43,44] that use the specimen cross-section, load
9 range and crack dimensions (obtained by phase congruency). This is compared with
10 the local data in both Figure 11a and b, using the average crack growth rate (i.e. the
11 rate of change with cycles of the average crack dimension). The relationship is close
12 to the local data obtained at angular positions approaching 90° (Figure 11a). The local
13 data obtained at 290,000 cycles for ΔK_I , calculated from the change between 4 and
14 4.5 kN, show a lower ΔK_I for similar crack growth rates than the standard relation
15 (Figure 11b).



1
 2 *Figure 9: The crack observed in one cycle after 290,000 load cycles at the end of Stage I: a) series of tomograph*
 3 *sections at $\theta=45^\circ$ from P_{min} (0.45 kN) to P_{max} (4.5 kN); b) the position of the open crack tip, identified using phase*
 4 *congruency of the displacement field, as a function of the applied load.*



1
 2 *Figure 10: The value of the local mode I stress intensity factor range, ΔK_I in one cycle after 290,000 load cycles*
 3 *at the end of Stage I (relative to P_{min} 0.45 kN): a) as a function of angular position θ along the crack front; b) at*
 4 *the angular position $\theta=45^\circ$ as a function of applied load with loading and unloading; c) sections of the crack*
 5 *close to the surface at $\theta=0^\circ$ and $\theta=90^\circ$ at P_{max} (4.5 kN) at 290,000 cycles.*



1

2 *Figure 11: The variation of local crack growth rate, da/dN with the mode I stress intensity factor range: a)*
 3 *where ΔK_I is evaluated between P_{min} (0.45 kN) and P_{max} (4.5 kN) - the curve has been fitted to the full dataset*

4 *('fit curve') for the Paris-Erdogan fatigue equation ($\frac{da}{dN} = A(\Delta K - \Delta K_{th})^P$) for a threshold ΔK_{th} of 6.2*

5 *MPa $m^{1/2}$ determined by manual inspection, with $A = 4.4 \times 10^{-6}$ and $P = 0.81$; b) the crack growth rate at*

6 *~290,000 cycles (Figure 6) as a function of the change in K_I between 4 and 4.5 kN, with the fitted curve from a)*

7 *for comparison. The data are colour-coded as a function of angular position θ . The stars show the average crack*

8 *growth rate as a function of the stress intensity factor range predicted for a corner crack under tensile loading*

9 *('corner crack solution').*

10 4 Discussion

11 These observations show that in situ computed tomography with high energy and

12 high brilliance synchrotron X-rays is capable of imaging a mm-scale fatigue crack in

13 a 5 mm thick ferrous specimen, without any special treatment for the limited angle

14 observations that were a consequence of using a conventional mechanical loading

15 frame. The crack was well resolved at 3.24 μm voxel size when imaged at the

1 maximum load (Figure 2 and Figure 3). The phase congruency of the displacement
2 field was quite sensitive to the opening of the crack. This provided an objective
3 measurement of the crack tip position that was consistent with the visible observation
4 of the crack by attenuation contrast (Figure 5a). This then allowed measurements of
5 the crack dimensions and their change in situ with fatigue cycling and crack
6 propagation. The crack became less visible at lower loads (Figure 9a), and the
7 position of its open tip varied with load (Figure 9a) as the crack opening reduced.

8 The fatigue crack initiated from an asymmetric corner notch and evolved into a semi-
9 circular shape (Figure 5b), initially with a higher growth rate towards one edge of the
10 notch before the propagation rate along the crack front became essentially
11 independent of the angular position (Figure 6). This is expected for a fatigue crack
12 loaded in pure mode I, i.e. in a well-aligned test specimen where the applied loads
13 were orthogonal to the notch plane and parallel to the specimen axis. The dimensions
14 of the crack are relatively large compared to the microstructure, as is the resolution of
15 the crack tip position due to the DVC/phase congruency analysis, so effects such as
16 interactions with grain boundaries and the graphite nodule distribution are not
17 resolvable.

18 The DVC measurements of the displacement field between maximum and minimum
19 load confirmed that the crack was loaded in pure mode I with negligible shear (Figure
20 4). The displacement fields were measured with sufficient resolution and scale to
21 extract stress intensity factors via the finite element method, using these
22 displacements as the local boundary conditions (Figure 7). The analysis assumed
23 linear elastic properties, although the actual elastic properties of nodular cast iron are

1 non-linear (e.g. a ~25% reduction in Young modulus with a tensile strain of ~3% has
2 been reported [41]). A preliminary analysis [2] of the tomographs recorded at 290,000
3 cycles used a non-linear finite element model with the nodal displacements imported
4 from DVC measurements to extract the variation of the J-integral at several points
5 around the crack front and found a higher J-integral at one surface than in the interior
6 ($\theta=45^\circ$). Non-linear properties could be included in the finite element method here,
7 but their introduction would have a relatively small effect on the magnitude of the
8 stress fields that determine the strain energy of the J-integral, so a linear model has
9 been employed.

10 This study aimed to evaluate the relationship between the local stress intensity factor
11 range and crack growth rate. It might be expected that the data would fit a Paris-
12 Erdogan type relationship that was independent of the position along the crack tip, i.e.
13 a measurement of the intrinsic fatigue properties of the material. However, when ΔK_I
14 was calculated using the displacement field change between the minimum and
15 maximum loads, the relationship between crack growth rate and ΔK_I strongly
16 depended on the angular position around the crack front (Figure 11a). This indicates
17 that an analysis using only the change in the displacement field between minimum
18 and maximum load is not properly representative of the local crack tip conditions that
19 are responsible for fatigue crack propagation (i.e. the effective stress intensity factor
20 range).

1 The observations as the load varied over one cycle of the crack at 290,000 cycles
2 show a change in the crack tip visibility (Figure 9a), the position of the open crack tip
3 (Figure 9a) and also ΔK_I (Figure 10b). This can be attributed to the effects of
4 compressive crack closure stresses. The plane strain cyclic plastic zone size is small,
5 and of the order of 15 μm (estimated at a stress intensity factor range of $\sim 10 \text{ MPa}$
6 $\text{m}^{1/2}$, for a yield stress of $\sim 300 \text{ MPa}$ [37]). However, the residual stress developed
7 after the applied tensile loading and local plastic deformation at the strain
8 concentration of the notch would act over a larger length scale, and in the unloaded
9 state would compress the crack surfaces. The crack cannot close perfectly due to its
10 roughness, but as the crack opening is small compared to the μXCT voxel size, the
11 crack tip region became less visible (lower contrast) due to its reduced effect on the
12 local X-ray attenuation [45]. The DVC analysis of the displacements is also sensitive
13 to the opening of the crack [23]. As the applied tensile load increased within the load
14 cycle, the magnitude of the residual compressive stress reduced; this would have the
15 effect of increasing the opening of the imperfectly closed crack to increase its
16 visibility (Figure 9) and apparent length (Figure 9b).

17 The non-linear increase in K_I with increasing load (Figure 10b) indicates that the
18 crack was fully open when the applied load was above 4 kN; at lower loads, the
19 crack tip was closed by the compressive residual stress, which decreased in
20 magnitude as the applied tensile stress increased. In the unloaded state, the
21 compressive residual stress would cause the local K_I to be negative. The
22 displacement fields that were used to calculate ΔK_I in Figure 8 were measured
23 relative to the observation at minimum load, so a reduction in residual compressive

1 stress would cause a gradual increase in ΔK_I with increasing load as the local K_I
2 became less negative. This is consistent with the behaviour in Figure 10a, and a
3 significant change in ΔK_I with load only occurred once the compressive stresses
4 were overcome and the crack tip opened. The crack tip opening determines the local
5 strains that are responsible for fatigue crack growth [2], so the effective change in
6 local K_I that occurs between high loads when the crack is fully open should control
7 the local crack growth rate. This is supported by the observation that the effective
8 change in K_I between 4 and 4.5 kN was approximately constant along the crack front,
9 as was the crack growth rate (Figure 11b). Due to the intervals between the loads at
10 which observations were made, the magnitude of the effective local ΔK_I in the
11 fatigue cycle may be systematically underestimated when calculated using the
12 displacement change between 4 and 4.5 kN, since the crack tip may have begun to
13 open at a lower load.

14 The angular dependence of ΔK_I at low loads (i.e. between minimum load and 4 kN)
15 (Figure 10a) shows its load response varied along the crack front. Noting the change
16 in K_I that is attributed to tensile relaxation of the compressed, closed crack; this
17 behaviour may be due to spatial variations in either the magnitude of the compressive
18 stress or the compliance of the compressed crack. It has been shown, by X-ray
19 diffraction observations of the elastic strain field of a loaded stress corrosion crack
20 [46], that crack bridging ligaments can significantly restrict the crack opening and
21 reduce the magnitude of the crack tip stress field. Some crack bridging is observed

1 towards the 90° angular position (Figure 10c), and this may occur due to the lesser
2 degree of crack coalescence with more limited propagation from the longer notch tip.
3 A reduced compliance of the crack due to the mechanical effect of bridging,
4 compared to an open crack, would reduce the magnitude of the displacement field
5 change with load. This might explain the difference between the change in ΔK_I with
6 load at low and high θ (Figure 10a) and bridging may also cause some of the
7 observed local variations in ΔK_I . However, the asymmetric notch also changes the
8 ligament dimension along the notch front, and the associated variation in tensile stress
9 at the notch tip would affect the magnitude of the notch plastic zone and the
10 consequent residual compressive stress field along the notch tip. More significant
11 residual compressive stress would be expected to occur with the shortest ligament, i.e.
12 at 90° . Noting that the observed bridging is quite local, a variation in the residual
13 compressive stress along the notch is judged to be the more significant factor that
14 causes the observed variation of ΔK_I along the notch.

15 At $\theta \sim 90^\circ$, the initial variation of ΔK_I with load is much smaller than compared to
16 that at $\theta \sim 0^\circ$. A higher magnitude of the compressive residual stresses would reduce
17 the effect of the applied load until the crack became fully open, so in this case, the
18 change in displacement field between the minimum and maximum load is dominated
19 by the displacements associated with the crack tip opening. Hence there is a similarity
20 between the value of ΔK_I calculated from the displacement field at $\theta \sim 90^\circ$ between
21 the minimum and maximum loads (without using any information on the applied
22 loads) and that predicted for the applied loading of a corner crack of similar
23 dimensions (Figure 11a).

1 The behaviour in Stage II (crack arrest) and Stage III (crack re-propagation) of the
2 experiment can also be understood as an effect of compressive residual stresses acting
3 on the crack. In Stage II, the effective cyclic opening of the crack tip, represented by
4 ΔK_I , was negligible, so no detectable propagation was observed until the more
5 significant loading in Stage III led to a larger crack tip cyclic opening.

6 In summary, the cyclic change in the opening of the crack tip, which defines the local
7 cyclic strains that govern fatigue crack propagation, can be quantified by
8 measurements of the crack's local displacement field and its parameterisation as a J-
9 integral or stress intensity factor range (ΔK_I). These measurements need to be made
10 when the crack tip is open to obtain the effective stress intensity factor range.
11 Otherwise there can be a systematic error due to displacements caused by the
12 relaxation of compressive stresses that act on a closed crack. A proper assessment of
13 the local conditions of a three-dimensional crack should measure the displacements
14 over the full load cycle to resolve the effects of residual stress (i.e. crack closure) and
15 other local factors, such as crack bridging.

16 In heterogeneous microstructures such as nodular cast iron, the local stress intensity
17 factor range can be determined by in situ μ XCT with measurement of the
18 displacement field by DVC and parameterisation of this field by J-integral calculation
19 via finite element methods. Strain measurement by synchrotron X-ray diffraction may
20 also be used to quantify the elastic stress intensity factors and investigate the effects
21 of closure and overloads [31]. Many engineering materials have microstructures with

1 more homogeneous patterns of X-ray attenuation and are not suitable for DVC, but
2 different contrast mechanisms are possible. For instance, with Bragg edge neutron
3 tomography [47], suitable features for displacement mapping might be obtained in a
4 polycrystalline structure due to microstructure phase contrast [48,49]. This technique
5 also allows simultaneous mapping of the elastic strains [49,50], which could be used
6 to explore the crack field in more detail. The greater penetration of neutrons,
7 compared to X-rays, could also allow experimental investigations in large
8 components with complex stress states of the local conditions controlling crack
9 propagation. Such studies would be valuable in the verification of predictive models
10 of crack propagation behaviour in industrial applications.

11 **5 Conclusions**

- 12 • In situ three-dimensional observation of the initiation and propagation of a
13 semi-elliptical fatigue crack has been achieved by in situ X-ray tomography,
14 with measurement of the three-dimensional crack shape and displacement
15 field by digital volume correlation.
- 16 • The change in the local stress intensity factor with load has been calculated
17 using the displacements as local boundary conditions for a finite element
18 simulation of the crack tip stress field.
- 19 • An effect of the residual compressive stresses that cause crack closure can be
20 observed, and it is demonstrated that the local fatigue crack growth rate is
21 determined by the local mode I stress intensity factor range of the fully open
22 crack.

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