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## Evidence of humic acid-aluminium-silicon complexes under controlled conditions

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1 **Evidence of humic acid-aluminium-silicon complexes**  
2 **under controlled conditions**

3

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11

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13

14 **HIGHLIGHTS**

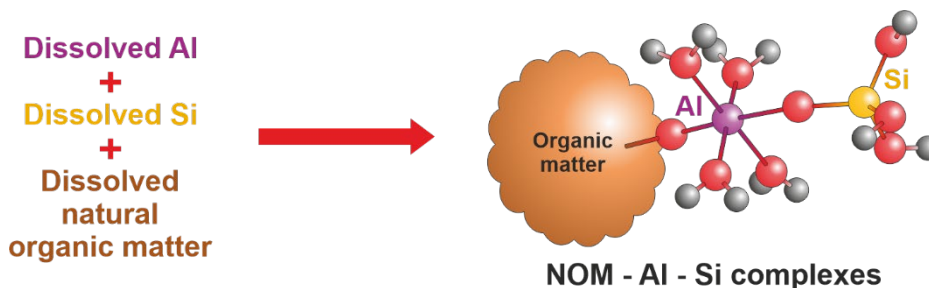
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- 16
- 17 • First evidence of OM-metal-Si complex impacting environmental dynamics
  - 18 • Si alone actually interacts with humic acid (HA), but weakly
  - 19 • With both Si and Al, HA-Al-Si complex with bridging Al and Al-O-Si bond
  - 20 • Si promotes the maintenance of both Al and HA in solution
  - 21 • Such complexes likely exist with other metals (Fe, Mn) and metalloids (As,  
22 Ge)

23

## 24 GRAPHICAL ABSTRACT

25



26

27

## 28 ABSTRACT

29

30 The chemistry of silicon (Si), the second most abundant element in soil after  
31 oxygen, is not yet fully understood in the soil-water-plant continuum. Although Si  
32 is widely accepted as an element that has little or no interaction with natural  
33 organic matter, some data seems to show the opposite. To identify a potential  
34 interaction between natural organic matter and Si, batch experiments were  
35 achieved at various pH and Si concentrations, involving also  $Al^{3+}$  as a common ion  
36 in soil and using humic acid (HA) as a typical model for natural organic matter.  
37 Several complementary techniques were used to characterize the possible  
38 complexes formed in the dissolved or solid phases: molecular fluorescence  
39 spectroscopy,  $^{29}Si$  solid-state NMR, Fourier transform infrared spectroscopy,  
40 quantification of Si, Al and organic carbon, and nanoparticle size distribution.  
41 These tools revealed that humic acid indeed interacts, but weakly, with Si alone.  
42 In the presence of Al, however, a ternary complex HA-Al-Si forms, likely with Al as  
43 the bridging atom. The presence of Si promotes the maintenance of both Al and  
44 DOM in solution, which is likely to modify the result or the kinetics of  
45 pedogenesis. Such complexes can also play a role in the control of Al toxicity  
46 towards plants and probably also exists with other metals, such as Fe or Mn, and  
47 other metalloids such as As.

48

## 49 Keywords

50 Silicon, Humic acid, Complexation, Spectroscopy, Nanocolloids, Sorption sites

## 51 1. Introduction

52

53 Silicon-carbon interaction is a subject of interest in many disciplines. The  
54 synthesis of organosilicon compounds has given rise to products of daily use such  
55 as silicone or silsesquioxanes with many applications in industrial chemistry,  
56 agriculture and medicine (Larina, 2021). It has long been known that life is able to  
57 manipulate silicon for various purposes, for example forming sponge spicules,  
58 diatom frustules or plant phytoliths (Perry, 2015). Plants have several types of  
59 membrane transport proteins (Ma and Yamaji, 2015), and the polymerization of  
60 silica is carried out thanks to enzymes such as silicatein in sponges (Cha et al.,  
61 1999; Shimizu and Morse, 2018) or to small peptides such as silaffins in diatoms  
62 (Otzen, 2012). Recently, it has been shown that carbon-silicon bonds can be  
63 formed by biocatalysis carried out by bacteria modified by direct evolution, which  
64 increases the capacities of living systems (Kan et al., 2016). However, these latter  
65 authors pointed out that carbon-silicon bond formation is unknown in nature.

66 In the terrestrial ecosystem, the biogeochemical cycle of Si is largely  
67 documented and presents mostly inorganic chemical reactions (Schaller et al.,  
68 2021). The weathering of primary or secondary silicate minerals is the primary  
69 source of dissolved Si. Among secondary silicate minerals, phytoliths from plant Si  
70 recycling (Lucas et al., 1993) play a key role due to their high solubility (Cornelis et  
71 al., 2011; Keller et al., 2012). Soluble Si in soils is mostly in the form of bioavailable  
72 orthosilicic acid ( $\text{H}_4\text{SiO}_4$ ). It is indeed this species which is absorbed by the roots  
73 (Jones and Handreck, 1967) and which is found in the xylemic sap of plants  
74 (Mitani et al., 2005). It precipitates in the plant tissue in biogenic opal, forming  
75 phytoliths. If the soil solution is oversaturated with Si, for example due to  
76 evaporation or freezing, the orthosilicic acid rapidly precipitates in the form of  
77 clay minerals or, where dissolved Al is not available, in the form of hydrated opal  
78 or siliceous gel (Sommer et al., 2006), with possible transitional formation of  
79 polymerized silicic acid species (Wonish et al., 2008). The existence of natural  
80 organosilicon compounds in the soil-water-plant continuum is a subject of  
81 controversy. Some studies suggested the existence of such compounds  
82 (Kolesnikov and Gins, 2001; Inanaga et al., 1995; Matychenkov et al., 2016;  
83 Bennett et al., 1991; Bennett, 1991) while others did not find evidence of them  
84 (Peggs and Bowen, 1984; Exley, 1998; Viers et al., 1997; Viers et al., 2000). Recent  
85 studies motivated by the growing concern for the treatment of polluted sites or  
86 effluents have empirically shown the impact of the Si-organic matter association  
87 on the dynamics of metals or metalloids, without mechanistic processes having  
88 been identified (Li and al., 2019; Ma et al., 2021; Zhao et al., 2022).

89 In the present study, we are questioning the nature of interactions between  
90 natural organic matter and Si in the form of orthosilicic acid. In particular, we  
91 wonder if the complexation of Si by ligands of natural organic matter would  
92 increase the overall Si solubility in the soil solution. While interactions between  
93 dissolved organic molecules and Si have been shown to exist, leading to  
94 accelerated dissolution of quartz, it is mainly adsorption processes that have been  
95 mentioned (Bennet, 1991). Studies on complexation between Si and natural  
96 organic matter in solution are very few and seem to show, or to hypothesize, the  
97 absence of complexation between Si and organic molecules, or the presence of  
98 weak complexes when they exist. For example, the study by Poulson (1997) could  
99 not prove the existence of an oxalate-Si complex after titration of  $\text{Si}(\text{OH})_4$  by  
100  $\text{K}_2\text{C}_2\text{O}_4$ . Potentiometric titration experiments were performed by Ohman et al.  
101 (1991) in homogeneous solutions of  $\text{Si}(\text{OH})_4$  and catechol, showing the existence

102 above pH 7 of a hexacoordinated complex,  $\text{SiL}_3^{2-}$ , where L is the catechol ligand.  
 103 Inanaga and Okasaka (1995) have shown that silicon can combine with lignin-  
 104 carbohydrate complexes in cell walls of rice shoots, but the corresponding species  
 105 has not been identified. Pokrovski and Schott (1998) reported that Si formed weak  
 106 complexes in solution with simple organic ligands having carboxylic or phenolic  
 107 functional groups. Kambalina et al. (2014), however, concluded after  
 108 spectrophotometric measurements that Si does not form stable complexes with  
 109 fulvic and humic acids in weak-acid media (pH 3-4).

110 In the present study, we used several complementary techniques to identify  
 111 possible species in which Si is bound to natural organic matter. Batch tests were  
 112 performed using humic acid (HA), taken as a model of natural soil organic matter,  
 113 and dissolved Si, under different conditions of concentration and pH. We have  
 114 considered the presence of  $\text{Al}^{3+}$  species in the systems, as aluminium is a very  
 115 common element present in soil and highly reactive with Si. The products formed  
 116 in the batch tests were analyzed by molecular fluorescence, IRTF and  $^{29}\text{Si}$  solid-  
 117 state NMR spectroscopies, along with Si, Al and organic carbon quantifications.  
 118

## 119 2. Material and methods

### 120 2.1. Batch systems

121  
 122 An HA solution at  $120 \text{ mg L}^{-1}$  was prepared from a commercial powder (Humic  
 123 Acid, Alfa Aesar, 41747). Si and Al stock solutions were prepared from  $\text{Na}_2\text{SiO}_3$   
 124 (Carlo Erba Reagenti, 373908) and  $\text{Al}(\text{NO}_3)_3 \cdot 9\text{H}_2\text{O}$  (Merck, 1.01063.0500),  
 125 respectively. Three systems were studied: HA-Si, HA-Al and HA-Si-Al. For each  
 126 system, 15 solutions (S01 to S15) were prepared with an HA concentration equal  
 127 to  $120 \text{ mg L}^{-1}$  and Si or Al concentrations varying from 0 to  $10^{-2} \text{ mol L}^{-1}$  according  
 128 to concentrations given in Table 1. This concentration range includes the  
 129 concentrations range observed in most natural surface waters (soil solutions,  
 130 rivers and lakes) for dissolved Si (Cornelis et al., 2011) and Al (Lindsay and  
 131 Walthall, 2020). After component mixing, each solution was left to equilibrate for  
 132 one week. Precipitation occurred in some of the solutions, these are indicated in  
 133 the results section. All experiments with the associated measurements were  
 134 repeated three times to ensure reliability.

135  
 136 **Table 1**

137 Si or Al concentrations in the HA-Si, HA-Al or HA-Si-Al systems. In the HA-Si-Al  
 138 system, both Si and Al are at the given concentration.  
 139

Suffix of the solution	S01	S02	S03	S04	S05	S06	S07	S08	S09	S10	S11	S12	S13	S14	S15
Concentration $\text{mol L}^{-1}$	$1 \cdot 10^{-2}$	$8 \cdot 10^{-3}$	$6 \cdot 10^{-3}$	$3 \cdot 10^{-3}$	$1 \cdot 10^{-3}$	$8 \cdot 10^{-4}$	$6 \cdot 10^{-4}$	$3 \cdot 10^{-4}$	$1 \cdot 10^{-4}$	$8 \cdot 10^{-5}$	$6 \cdot 10^{-5}$	$3 \cdot 10^{-5}$	$1 \cdot 10^{-5}$	$1 \cdot 10^{-6}$	0

140  
 141

### 142 2.2. Fluorescence spectroscopy

143  
 144 Fluorescence spectroscopy is an interesting tool for studying complex molecules  
 145 such as natural organic matter since it emits natural fluorescence under excitation  
 146 and the fluorescence is sensitive to the physicochemical properties of the solution.  
 147

148 2.2.1. *Fluorescence excitation-emission matrix (EEM)*

149

150 In EEM measurements the emission signal is registered on a wavelength range,  
151 at every step of the range of an excitation wavelength, resulting in a three-  
152 dimensional contour plot of fluorescence intensity as a function of excitation and  
153 emission wavelengths, commonly called an excitation-emission matrix (EEM). The  
154 maximum intensity peaks observed on an EEM can be related to fluorophores  
155 defined by a pair of emission and excitation wavelengths ( $\lambda_{ex}$ ,  $\lambda_{em}$ ). The emission-  
156 excitation coordinates of the peaks can reveal the origin of the organic matter  
157 (terrestrial, marine, fresh water), as described by Coble (1996).

158 EEMs were obtained from two series of 12 samples for each system (HA-Si, HA-  
159 Al and HA-Si-Al), whose Si and Al concentrations varied from  $10^{-2}$  to  $10^{-6}$  M, which  
160 were adjusted to pH 4, 7 and 9 using HCl solutions (0.1 M, HCl Trace Metal Grade  
161 37% v/v from Fisher Scientific) and/or NaOH (0.1M, Crystals from Sigma-Aldrich).  
162 The solutions were diluted by a factor of 10 before fluorescence measurement.  
163 Preliminary experiments were carried out to avoid inner screen effect, using a  
164 sample dilution followed by checking the proportional signal intensity response  
165 (data not shown).

166 The measurement was performed using a Hitachi model F-4500  
167 spectrophotometer, equipped with a 450 W xenon lamp. Sample solutions were  
168 placed in 1 cm x 1 cm quartz cells. The excitation window was set at 5 nm and the  
169 emission window at 10 nm. The excitation wavelengths ranged from 200 nm to  
170 500 nm, and emission wavelengths from 250 nm to 600 nm, with a 5 nm step at a  
171 scan rate of  $2400 \text{ nm min}^{-1}$  for both. The photomultiplier was set to 700 V.

172

173 2.2.2. *Fluorescence quenching or enhancement*

174

175 This technique consists of following the change of the fluorescence intensity of  
176 a fluorophore during the gradual addition of Si, Al or both together. For natural  
177 organic matter such as HA, this change indicates the formation of a complex  
178 between the fluorophore and the added compound (static quenching or  
179 enhancement) (Luster et al., 1996). It allows calculation of the complexing  
180 capacities and the apparent stability constants of the fluorescence ligand (Ryan  
181 and Weber, 1982).

182 We recorded the fluorescence intensity of the HA fluorophore that had the  
183 higher intensity without an added compound as a function of Si, Al or both  
184 together at three pH (4, 7 and 9) adjusted with HCl and NaOH, which were  
185 preferred over the usual buffers in order to avoid any impact on the fluorescence  
186 signal (Merdy et al., 2020). During the fluorescence quenching experiment, it  
187 checks should be made for the absence of solid precipitation after each addition  
188 of Si or Al. This was done after equilibrating the solutions for at least three hours  
189 by visual inspection of the solutions and by measuring the amount of residual  
190 carbon in batch sample after filtration.

191

192 2.3. *Organic carbon analysis*

193

194 The batch samples were filtered through glass fiber filters (0.7  $\mu\text{m}$ , GF/F  
195 Whatman) previously treated at  $450^\circ\text{C}$  to get rid of any traces of organic carbon.  
196 In the resulting filtrate, the dissolved organic carbon (DOC) concentration was  
197 measured using a TOC-VCSH analyzer (Shimadzu) coupled to an ASI-V auto-  
198 sampler (Shimadzu). The particulate organic carbon (POC) remaining on the filters

199 was quantified using a Thermo Flash 2000 Elemental Analyzer in NC mode  
200 (ThermoScientific).

201

#### 202 *2.4. Infrared spectroscopy*

203

204 The precipitates obtained after filtration of batch samples were analyzed by IR  
205 spectroscopy in order to identify vibration frequencies that could clarify the  
206 nature of the bonds between Si and HA in the solid phases . Infrared spectra were  
207 recorded using a JASCO FT/IR-410 spectrometer over a range from 400 to 4000  
208  $\text{cm}^{-1}$  in steps of  $1 \text{ cm}^{-1}$ . The pellets used in the analysis were obtained using a  
209 hydraulic press exerting a pressure of 5000 psi for approximately one minute.  
210 They were prepared from KBr (powder, Sigma-Aldrich). Only samples presenting  
211 enough solids on the filter could be analyzed in solid-state infrared.

212

#### 213 *2.5. Si and Al quantification*

214

215 Dissolved Si was quantified using a DR2800 (Hach Lange) spectrophotometer.  
216 An assay kit (Method 8186, Hach Lange) was used to apply a colorimetric method  
217 based on the formation of the blue Cr-Si complex, a method adapted from  
218 "Standard Methods for the Examination of Water and Wastewater" (Greenberg,  
219 1992). Dissolved Al was quantified with a Shimadzu UV-1800 spectrophotometer  
220 using the chromazurol S reagent ( $2 \cdot 10^{-4} \text{ mol L}^{-1}$ , powder, VWR) in hexamine buffer  
221 ( $0.2 \text{ mol L}^{-1}$ , powder, VWR) at pH 4.9, adjusted with HCl (37% v/v Trace Metal Grade,  
222 Fisher Scientific) (Kennedy and Powell, 1986).

223

#### 224 *2.6. Colloid size distribution*

225

226 The colloid size distribution was determined by Nano Tracking Analysis (NTA)  
227 using a Malvern NS500 apparatus. This technique tracks individual colloidal  
228 particles in the liquid and relates the rate of Brownian motion to the particle size.  
229 The tracking of a sufficient number of particles allows particle size distribution and  
230 concentration to be obtained. The NTA analysis was performed on samples whose  
231 concentrations were  $120 \text{ mg L}^{-1}$  for HA,  $10^{-3} \text{ M}$  for Si and  $10^{-3} \text{ M}$  for Al.

232

#### 233 *2.7. Solid-state NMR of $^{29}\text{Si}$*

234

235 The solid-state NMR of  $^{29}\text{Si}$  was used to supplement the data obtained by IR  
236 spectroscopy. NMR spectra were determined on powders obtained after  
237 centrifugation of a sufficiently large volume of batch solutions prepared at pH 7  
238 using  $120 \text{ mg L}^{-1}$  of HA and  $10^{-2} \text{ mol L}^{-1}$  Si or Al concentrations.

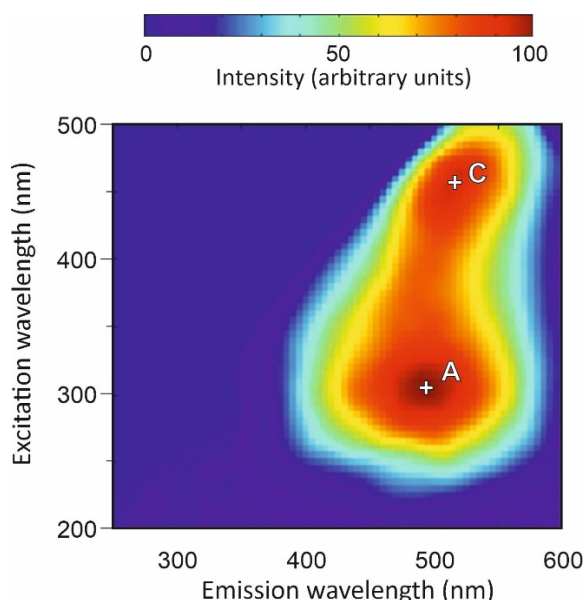
239

240 All solid-state NMR spectra were obtained on a Bruker Avance-400 MHz NMR  
241 spectrometer operating at  $^{29}\text{Si}$  resonance frequency of 79.5 MHz. About 20 mg of  
242 samples were placed in zirconium dioxide rotors with a 4-mm outer diameter and  
243 spun at a Magic Angle Spinning rate of 10 kHz in a commercial Bruker Double  
244 Channel probe.  $^{29}\text{Si}$  CPMAS experiments were performed with the Cross  
245 Polarization (CP) technique (Schaefer and Stejskal, 1976) using a ramped 1H-pulse  
246 starting at 100% power and decreasing to 50% during the contact time (5 ms) in  
247 order to circumvent Hartmann-Hahn mismatches (Peersen et al., 1993; Cook et  
248 al., 1996). To improve the resolution, a dipolar decoupling GT8 pulse sequence  
(Gerbaud et al., 2003) was applied during the acquisition time.

249 To obtain a good signal-to-noise ratio, between  $10^3$  and  $10^4$  scans were  
250 accumulated using a delay of 2.5 s. The  $^{29}\text{Si}$  chemical shifts were referenced to  
251 tetramethylsilane.  
252

### 253 3. Results and discussion

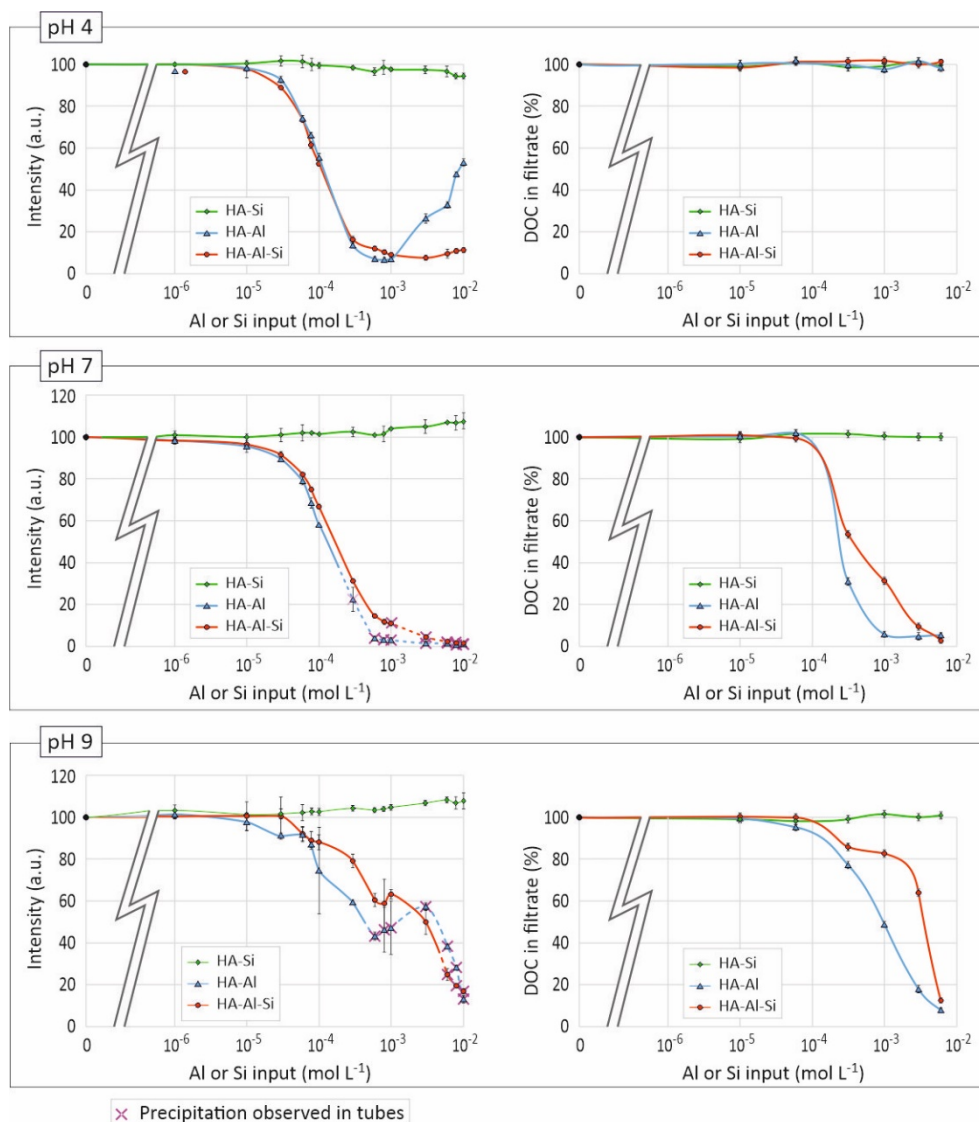
#### 254 3.1. Fluorescence spectroscopy and precipitations in the different systems 255



256  
257 **Fig. 1.** Fluorescence excitation-emission matrix of the humic acid used in this  
258 study.

259  
260 The 3D fluorescence signal of the HA used in our experiments is shown in Fig.  
261 1. It indicated two peaks identified by their emission and excitation wavelengths  
262 at their maximum intensities. One (peak A) corresponds to fulvic-like molecules at  
263 ( $\lambda_{\text{ex}} = 305\text{nm}$  and  $\lambda_{\text{em}} = 495 \text{ nm}$ ) and the other (peak C) to humic-like molecules at  
264 ( $\lambda_{\text{ex}} = 460\text{nm}$  and  $\lambda_{\text{em}} = 520 \text{ nm}$ ) (Coble, 1996; Fellman, 2008; Ohno et Bro, 2006).  
265 The same spectrum was obtained at pH 4 and pH 9. It is typical of what was  
266 recorded elsewhere (Coble, 1996; Parlanti et al., 2002). The ability of natural  
267 organic matter, especially humic substances, to interact with metals is attributed  
268 to the presence of various functional groups such as amines, carboxyl, hydroxyl,  
269 phenolic or carbonyl. Metal ions or other complexing molecules are able to  
270 quench the fluorescence of organic ligands when they complex to these functional  
271 groups (Gauthier et al., 1986; Elkins and Donald, 2002; Provenzano et al., 2004).  
272 They can, in some cases, enhance the fluorescence intensity (Merdy et al., 2020 ;  
273 Manoharan et al., 2014).  
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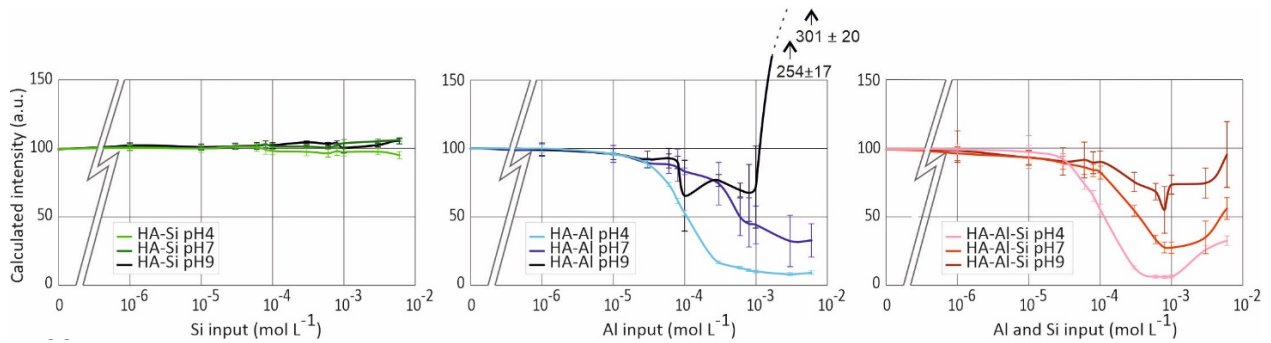
275  
 276 **Fig. 2.** Representation of the fluorescence intensity (no unit) of the different  
 277 systems at different pHs as a function of the concentration of Si or Al (in mol L<sup>-1</sup>).  
 278 Fluorescence intensity and DOC % were normalized to their value in the pure HA  
 279 solution (S15).

280  
 281 The left side of Fig. 2 shows the results of the quenching experiments, and the  
 282 right side shows the measurement of organic carbon in the filtrates at each point  
 283 of the experiment, which makes it possible to quantify any precipitation of  
 284 organic matter. When carbon precipitation occurred, the fluorescence intensity  
 285  $I_{calc}$  was calculated assuming that the DOC remaining in solution had the same  
 286 fluorescence properties as the DOC at the start of quenching:

287 
$$I_{calc} = I_{obs} \frac{DOC_{init}}{DOC_{batch}}$$

288 Where  $I_{obs}$  and  $DOC_{batch}$  are the fluorescence intensity and the DOC  
 289 concentration of the batch sample after filtration, respectively, and  $DOC_{init}$  is the  
 290 DOC concentration at the beginning of the quenching experiment. The results are  
 291 shown in Fig. 3.

292

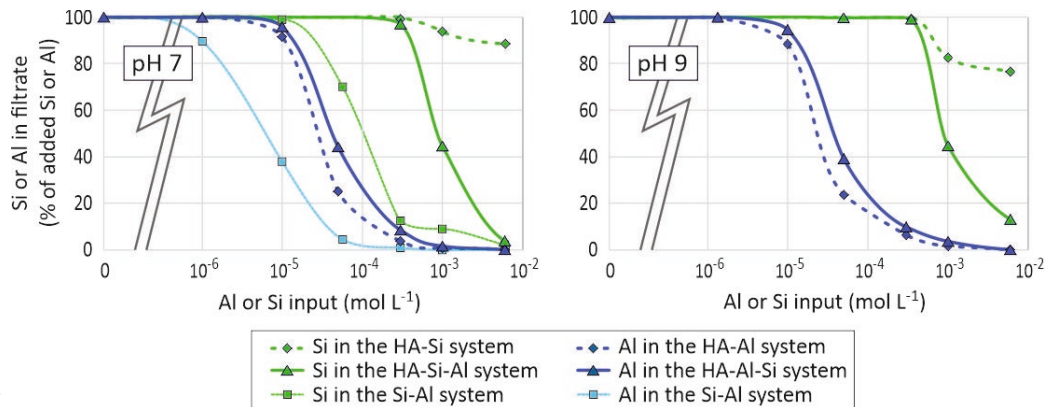


294 **Fig. 3.** Fluorescence intensity referred to the amount of DOC remaining in  
 295 solution.

296

297 **In the HA-Si system,** the fluorescence intensity remained almost constant  
 298 whatever the pH when the Si concentration increased to  $10^{-4}$  mol L $^{-1}$ . Starting  
 299 from this concentration, there was a slight and reproducible fluorescence increase  
 300 at pH 7 and 9 and a slight and reproducible decrease at pH 4. No DOC precipitation  
 301 occurred so these observations were not related to the removal of fluorophores  
 302 from the solution. There is globally no strong fluorescence quenching or  
 303 enhancement effect. This indicates negligible interactions between Si and HA at  
 304 concentrations lower than  $1 \cdot 10^{-4}$  mol L $^{-1}$ , which is consistent with the literature  
 305 (Pokrovski and Schott, 1998), and slight direct interactions beyond this  
 306 concentration under the experimental conditions. Although this was not visible in  
 307 the experimental vials, precipitation of Si occurred when added Si was greater  
 308 than  $10^{-4}$  and  $3 \cdot 10^{-4}$  mol L $^{-1}$  at pH 7 and 9, respectively (Fig. 4) (no data for pH 4).  
 309 In the absence of Al, precipitation of Si likely occurred as a species whose solubility  
 310 was intermediate between quartz and amorphous SiO $_2$  (Fig. 5), such as opal-a or  
 311 opal-CT (Scheinost, 2005). According to Dietzel (2000), monosilicic acid is the  
 312 largely dominant species of Si in solution up to pH 9.8 The possibility of  
 313 condensation of monosilicic acid into polysilicic acid, which would have led to an  
 314 increase in the binding capacity of dissolved Si, can therefore be ruled out for  
 315 these experiments.

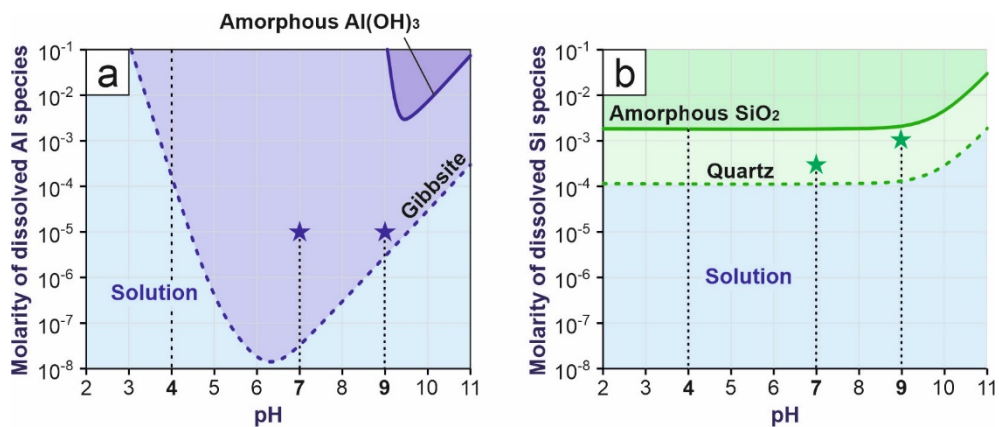
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318 **Fig. 4.** Si or Al remaining in solution in the different systems at pH 7 and pH 9.

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**Fig. 5.** Stability domain of amorphous Al(OH)<sub>3</sub> and gibbsite (a) and of amorphous SiO<sub>2</sub> and quartz (b). Blue and green stars indicate the Al or Si concentration at which precipitation began at the given pH in the HA-Al and HA-Si systems, respectively. Solubility products taken from the PHREEQC database (Johnson et al., 2000) and Furrer et al. (1991).

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**In the HA-Al system,** an apparent fluorescence quenching was observed at any pH for Al input higher than 10<sup>-6</sup> mol L<sup>-1</sup>. DOC precipitation, however, occurred at Al input greater than 10<sup>-4</sup> and 10<sup>-5</sup> mol L<sup>-1</sup> at pH 7 and 9, respectively (Fig. 2). Such precipitation was expected given the flocculating power on DOC by Al species in solution at sufficiently high concentration, as demonstrated by several studies (Nierop et al., 2002; Masion et al., 2000; Sadrnourmohamadi and Gorczyca, 2015), or by DOC adsorption on newly precipitated Al hydroxides (Heckman et al., 2013). Aluminium precipitation, however, occurred at Al input greater than 3.10<sup>-6</sup> mol L<sup>-1</sup> at pH 7 and 9 (Fig. 3), when no DOC precipitation was observed between 3.10<sup>-6</sup> and 3.10<sup>-5</sup> mol L<sup>-1</sup>, so that HA adsorption on Al hydroxides was not the main process. In the absence of Si, precipitation of Al likely occurred as species whose solubility was intermediate between gibbsite and amorphous Al(OH)<sub>3</sub> (Fig. 5), such as Al<sub>13</sub> gel (Jolivet, 2000).

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After correction of the precipitated DOC, fluorescence quenching was confirmed at pH 4 and 7 over the entire concentration range (Fig. 3). At pH 9, the fluorescence quenching observed at Al input of between 10<sup>-5</sup> and 10<sup>-3</sup> mol L<sup>-1</sup> was followed by a fluorescence enhancement at greater Al input. The fluorescence enhancement effect on natural organic matter complexed with Al had already been observed (Blaser et al., 1999; Sharpless and McGown, 1999; Elkins et al., 2002). Elkins et al. (2002) considered Al to be unique compared to other metal ions such as Cu, Co, Mn, Pb, which have a propensity to quench the fluorescence; Al would be different due to its high charge density. Other studies showed a quenching phenomenon of natural organic matter upon Al ion addition, such as Shotyky and Sposito (1988) on leaf litter aqueous extract at  $\lambda_{ex} = 440$  nm and  $\lambda_{em} = 525$  nm at pH = 4.0, 4.5, and 5.0. More recent studies tried to identify the processes responsible for quenching or enhancement of the fluorescence signal, such as that of Yan et al. (2013). If the quenching of fulvic acid signals was dominating at higher emission wavelengths and increasing total Fe(III) concentrations, the pattern observed with Al(III) was much more complex with both quenching and enhancement phenomena observed in different areas of the spectra. Basically, at low concentrations, the authors mentioned that the interactions took place with phenolic groups of the fulvic acid, and then weakly binding carboxylic groups. After saturation of the complexing sites, metallic ions keep interacting with fulvic acids through non-specific Donnan gel electrostatic

361 interactions. The specific pattern observed for Al(III) ions at pH 6 was not fully  
362 understood by these authors and could be explained, according to them, by  
363 discrete subsets of natural organic matter fluorophores. This last assumption  
364 could apply here, where the transition from quenching to enhancement when  
365 added Al increased could be explained by the precipitation of a subset of  
366 fluorophores, the fluorophores remaining in solution having higher quantum  
367 yields. Greater ionic strength when Al input increased may also have resulted in Al  
368 decomplexation from fluorophores. Because of the precipitation of both Al and  
369 organic matter, however, the verification of this hypothesis would require  
370 dedicated studies.

371 **In the HA-Si-Al system**, the evolution of fluorescence is close to that of the HA-  
372 Al system, but the DOC precipitation is lower than in the latter for Si or Al inputs  
373 between  $10^{-4}$  and  $8 \cdot 10^{-3} \text{ mol L}^{-1}$ . There was no fluorescence enhancement, but it  
374 was observed after correction of the precipitated DOC that the quenching was  
375 maximum for Si or Al inputs around  $10^{-3} \text{ mol L}^{-1}$  and decreased when metal  
376 concentrations increased. The presence of Si changed the behavior of the system  
377 compared to the presence of Al alone. For metal input greater than  $8 \cdot 10^{-4} \text{ mol L}^{-1}$ ,  
378 both quenching (at pH 4 and 7) and enhancement (at pH 9) became less intense in  
379 the HA-Si-Al system, unlike what was observed in the HA-Al system.

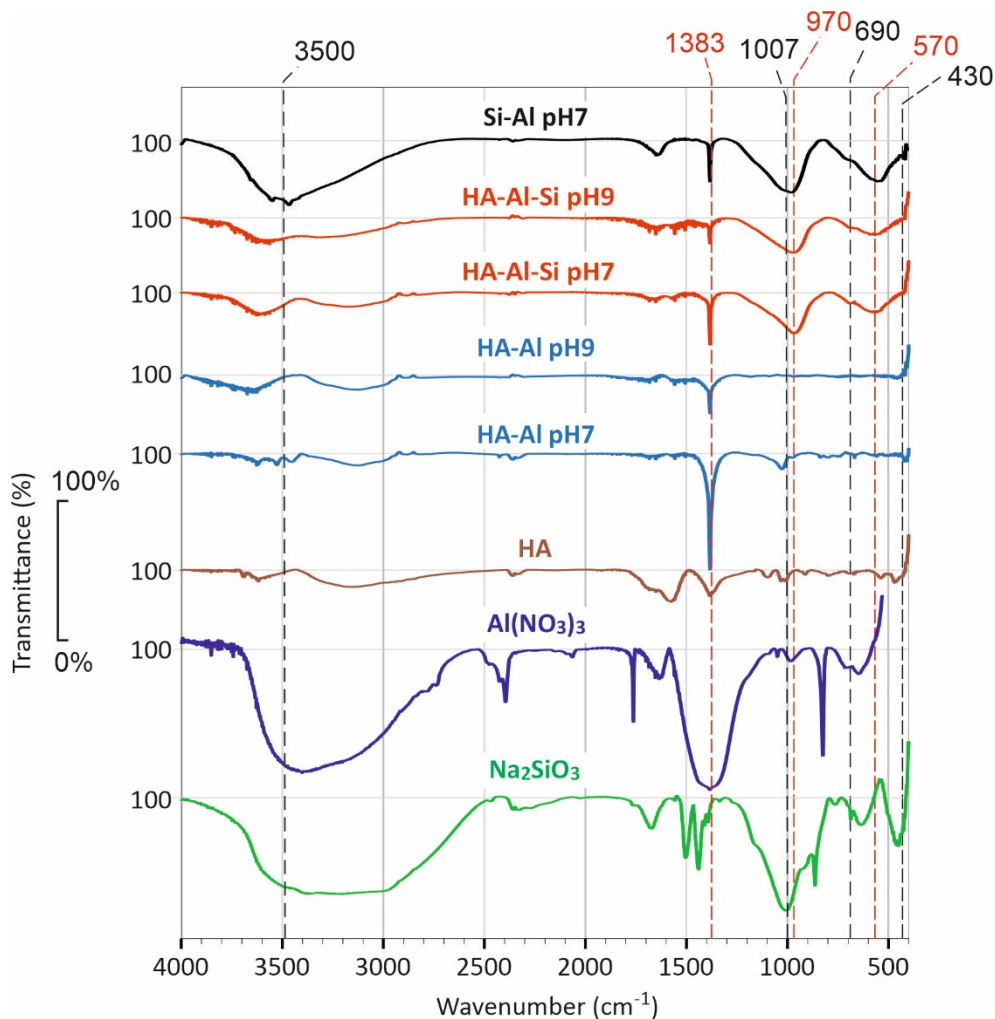
380 In order to evaluate the role of HA in the precipitation of Al and Si, we  
381 measured the Si and Al remaining in solution in a Si-Al system without HA at pH 7,  
382 using the same batch protocol as for the quenching measurements; the results  
383 are shown in Fig. 4. It can be seen that with increasing inputs of Si and Al, the  
384 presence of HA delayed precipitation of both Al and Si. This can be explained by  
385 the formation of HA-Al complexes, which delayed the precipitation of Al  
386 hydroxides and aluminosilicates. However, precipitation of DOC (Fig. 2) and Al  
387 (Fig. 4) was lower in the HA-Si-Al system than in the HA-Al system for amounts of  
388 added metal less than  $8 \cdot 10^{-3} \text{ mol L}^{-1}$ , at pH 7 as well as at pH 9. The presence of Si  
389 therefore favored the maintenance of both DOC and Al in solution. Consequently,  
390 the supposition of DOC and Al removal by precipitation of minerals and  
391 subsequent HA adsorption cannot explain the experimental observation, and the  
392 process of DOC flocculation by  $\text{Al}^{3+}$  species is at least slowed down by dissolved  
393 silicon.

394 At this stage of the study, our hypothesis to explain our data is the formation  
395 of a ternary complex between HA, Al and Si. At pH of around 5.5 to 7.5,  $\text{Al}^{3+}$  in its  
396 octahedral first hydration shell is more likely than  $\text{Si}^{4+}$  in its tetrahedral first  
397 hydration shell to form an inner sphere complex with a reactive nucleophilic site  
398 of the NOM (Jolivet et al., 2000). Indeed,  $\text{Al}^{3+}$  has a much higher affinity than  $\text{Si}^{4+}$   
399 with HA. It is therefore very likely that Al play facts as a bridge between NOM and  
400 a Si species, with an Al-O-Si bond.

401

402 *3.2. IR spectroscopy*

403



404  
405 **Fig. 6.** IR spectra of the solid phases collected on the membrane filters in the  
406 different systems.  
407

408 The IR spectra of  $\text{Na}_2\text{SiO}_3$  and of the different solids precipitated from batches  
409 are given in Fig. 6. The IR spectrum of  $\text{Na}_2\text{SiO}_3$  was similar to that obtained by  
410 Yahya et al. (2015). The Si-O vibration frequency can be seen at  $1007\text{ cm}^{-1}$  (Halasz  
411 et al., 2007). The broad band around  $3000\text{--}3800\text{ cm}^{-1}$  observed in most spectra is  
412 characteristic of an OH bond elongation in Si-OH, Al-OH and water. The  $1387\text{ cm}^{-1}$   
413 frequency observed where Al was present corresponds to N-O bonds in  $\text{NO}_3^-$   
414 (Kloprogge, 2001). The two bands at  $740$  and  $830\text{ cm}^{-1}$  correspond to deformation  
415 modes of nitrate (Ruiz Madronero and Rodriguez Paéz, 2010).

416 In the HA spectrum, the C=O vibration band from the carboxylic functional  
417 group appeared at  $1700\text{ cm}^{-1}$  and  $1400\text{ cm}^{-1}$  where the  $-\text{COOH}$  group is partially  
418 converted into its salt form  $-\text{COO}^-$ . A definite assignment of bands around  $1600$   
419  $\text{cm}^{-1}$  is still unsure: according to Stevenson (1971), it could be assigned to  
420 aromatic C=C stretch vibration, H-bonded C=O of quinones or H-bonded and  
421 conjugated ketones of the type  $\beta$ -diketones ( $-\text{CO}-\text{CH}_2-\text{CO}-$ ). The C-O stretch of  
422 alcohol, polysaccharide-like substances and/or aliphatic ether appeared at  $1000$   
423 and  $1100\text{ cm}^{-1}$  (Rodriguez, 2011; Stevenson, 1971). It should be noted that C-O  
424 stretching and O-H deformation of carboxylic groups could also explain the  
425 absorption near  $1100$  or  $1200\text{ cm}^{-1}$ .

426 The two bands of HA at  $1600$  and  $1700\text{ cm}^{-1}$  disappeared almost entirely after  
427 Al was added to HA. The same observation can be made for the  $1100\text{ cm}^{-1}$  band.

428 These ascertainties seem to indicate that Al was binding to carboxylic groups  
429 but also to alcohol and ether groups of HA.

430 We have to be careful with the band at  $1650\text{ cm}^{-1}$  present in  $\text{Na}_2\text{SiO}_3$  and  
431  $\text{Al}(\text{NO}_3)_3$  since it could be attributed to deformation vibration of the O-H bond  
432 from water (Myronyuk et al., 2016).

433 The HA-Si-Al ternary system IR spectrum was similar to that obtained by Rouff  
434 et al. (2012) on the same type of system. Interestingly, the HA-Si-Al and HA-Al  
435 spectra exhibited a clear difference: a band at  $1007\text{ cm}^{-1}$  was observed in the HA-  
436 Al system while another one was observed at  $970\text{ cm}^{-1}$  in the HA-Si-Al system,  
437 showing that the complexes HA-Si-Al and HA-Al were not the same, which  
438 strongly suggests that Si takes part in the ternary complex. In fact, the band at  $970$   
439  $\text{cm}^{-1}$  was attributed to the elongation of the Si-O bond and the band at  $1007\text{ cm}^{-1}$   
440 could supposedly be associated with Al-O vibration, with oxygen coming from HA.

441 The band from  $400$  to  $800\text{ cm}^{-1}$  comes from the elongations of the Si-O-Al and  
442 Si-O-Si stretching bonds. The  $630\text{ cm}^{-1}$  of the Al-O band (Nakamoto, 1962)  
443 observed for the  $\text{Na}_2\text{SiO}_3$  sample shifted towards  $570\text{ cm}^{-1}$  in the Si-Al and HA-Si-Al  
444 systems. This shift could indicate that Al complexed to an aluminosilicate oxygen  
445 or an HA oxygen. Additionally, this band is indicative of an imogolite-like structure  
446 observed in natural allophane and imogolite, with a peak at  $570\text{ cm}^{-1}$  and a  
447 shoulder at  $690\text{ cm}^{-1}$  and  $430\text{ cm}^{-1}$ . This pattern is the signature of the Si and Al  
448 association.

449 As a conclusion, the IR spectra indicated Al with Si association on one hand and  
450 HA with Al association on the other. The differences between HA-Al and HA-Si-Al  
451 at  $1000\text{ cm}^{-1}$  and  $970\text{ cm}^{-1}$  suggest the presence of an HA-Al-Si ternary complex.

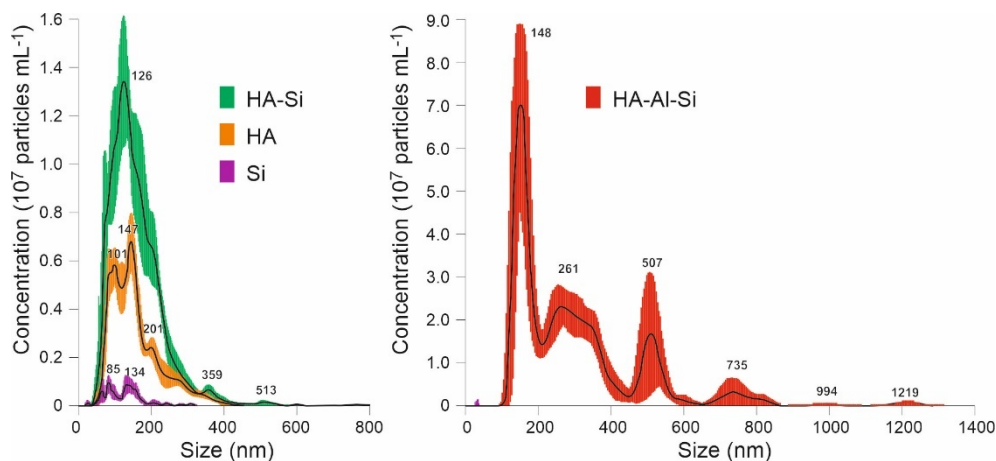
452

### 453 3.3. Colloid size distribution

454

455 The colloid size distribution was determined by Nano Tracking Analysis on  
456 solutions with  $120\text{ mg L}^{-1}$  HA and  $10^{-3}\text{ mol L}^{-1}$  of Si and/or Al. The size distributions  
457 are given in Fig. 7, particle scattering intensities are given in Fig. 8 and statistics of  
458 the size distribution are given in Table 2.

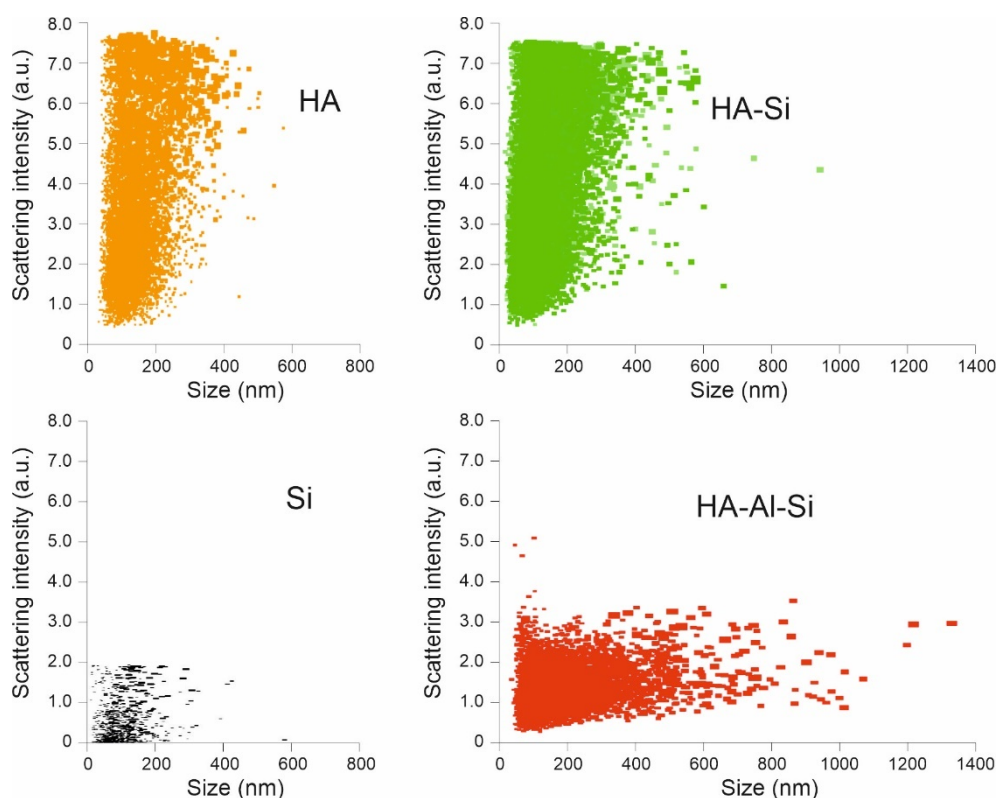
459



460



461 **Fig. 7.** Particles size distribution obtained by Nano Tracking Analysis. Error bars  
 462 indicate  $\pm 1$  standard error of the mean.  
 463



464 **Fig. 8.** Particle scattering intensity represented as a function of size distribution in  
 465 the systems HA, HA-Si, Si and HA-Al-Si.  
 466  
 467

468 **Table 2**  
 469 **Statistics of the size distribution.**

Solution	Concentration of total particles (particles mL <sup>-1</sup> )	Mean (nm)	Mode (nm)
Si	$7.9 \cdot 10^7 \pm 0.8 \cdot 10^7$	$134 \pm 2$	$116 \pm 17$
HA	$7.9 \cdot 10^8 \pm 3.2 \cdot 10^8$	$158 \pm 3$	$124 \pm 12$
HA-Si	$1.7 \cdot 10^9 \pm 0.07 \cdot 10^9$	$158 \pm 3$	$119 \pm 8$
HA-Al-Si	$9.1 \cdot 10^9 \pm 0.3 \cdot 10^9$	$289 \pm 45$	$213 \pm 72$

470  
 471  
 472 The Si solution showed a small concentration of small colloidal particles, which  
 473 may be silica precipitates. The HA solution showed particles in the same size range  
 474 but with a concentration one order of magnitude higher. Comparison of the size  
 475 distribution shows that when Si was added to HA, the size of the particles did not  
 476 change significantly but their number increased. There was, therefore, very  
 477 probably an interaction between dissolved Si and HA that favored the formation  
 478 of small colloids. This assumption is supported by the fact that the small particles  
 479 of very low scattering intensity which were observed in the Si solution (Fig. 8)  
 480 were no longer observed in the HA-Si solution. This can be explained because  
 481 dissolved Si, interacting with HA, was no longer available for silica precipitation.  
 482 The HA-Al-Si solution showed particles larger and more numerous than in the  
 483 HA-Si solution, which is consistent with the results obtained in fluorescence  
 484 spectroscopy. As for the HA-Si solution, the small particles of very low scattering

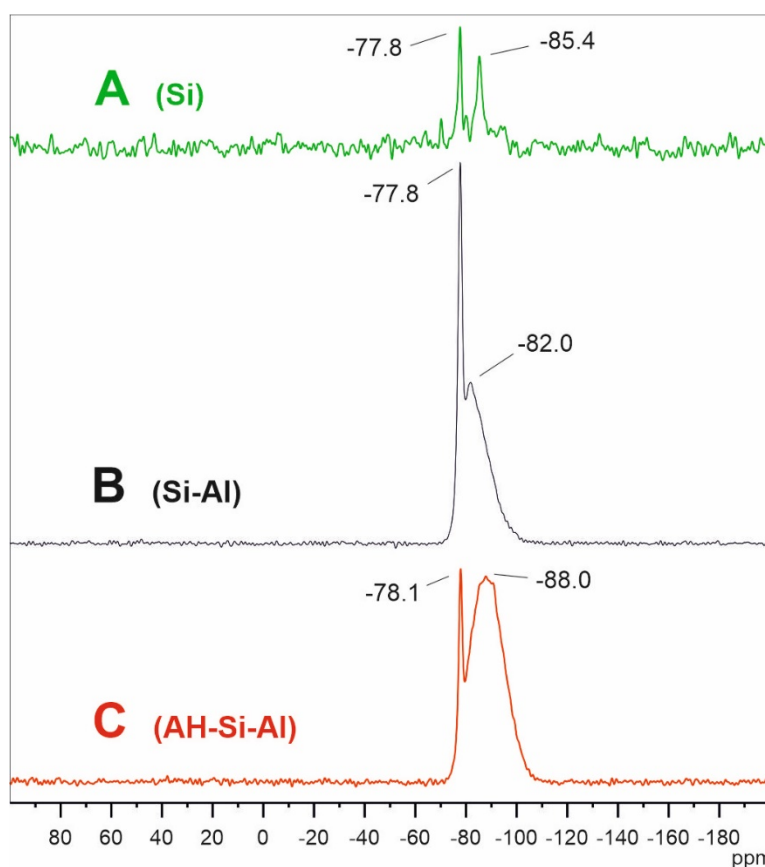
485 intensity characteristic of the Si solution were not observed. The particle  
486 scattering intensity in the HA-Al-Si solution was lower than in the HA and the HA-  
487 Si solution. This indicates different form and/or structure factors, which remain to  
488 be determined.

489 Silica scattered little light compared to HA. It was therefore differentiable  
490 when it was isolated. On the other hand, whether or not it was in the presence of  
491 Si, the light scattering of HA remained the same, again confirming the absence of  
492 interactions or the little interaction between HA and Si. In contrast, signals  
493 specific to isolated silica particles no longer existed in the ternary system,  
494 suggesting that Si was involved in complexes.

495

### 496 3.4. Solid-state NMR of $^{29}\text{Si}$

497



498

499 **Fig. 9.**  $^{29}\text{Si}$  Solid State NMR spectra of the particles precipitated in the batch  
500 solutions at pH7 (HA  $120 \text{ mg L}^{-1}$ , Si and Al  $10^{-2} \text{ mol L}^{-1}$ )

501

502 Fig. 9 A shows the typical  $^{29}\text{Si}$  NMR signal of the  $\text{SiO}_3$  compound at -77 ppm and  
503 a narrow signal at -85 ppm, indicating a partial condensation of a Si-O-Si group  
504 (Jones et al., 2005). Fig. 9 B shows, in addition to the signal of free  $\text{SiO}_3$ , a broad  
505 signal at -82 ppm characteristic of a Si-O-Al bond (2). The addition of HA (Fig. 9 C)  
506 causes a shift in the complexation signal of the Si-O-Al group probably due to the  
507 interaction with the organic substance. It should be noted that the signal for the  
508 Si-O-Al complex has a higher intensity than in the case of aluminium alone. Since  
509 the  $^{29}\text{Si}$  technique used has been optimized for a semi-quantitative regime, it can  
510 be concluded that the presence of HA favored the formation of the Si-O-Al bond  
511 compared to the Si-Al system, which supports the assumption given above. The  
512 data acquired does not, however, allow the size of the compounds complexed



513 with HA to be determined. They may be complexes of reduced size with one Al  
514 and one Si only, or nanocolloids of aluminosilicates.

515 Liu et al. (2011) indirectly evidenced Fe-bridged As-Fe-DOM complexes, which  
516 are likely due to the same type of interaction as described here. Dupuis et al.  
517 (1982), studying the effect of fulvic acid on Si-Mg colloids, obtained results  
518 suggesting the presence of FA-Mg-Si complexes. More broadly, this type of  
519 interaction is likely to exist with other metals than Al, such as Fe or Mn, and other  
520 metalloids than Si, such as As or Ge.

521

### 522 *3.5. Implications in the soil-water-plant continuum*

523

524 The presence of HA-Al-Si complexes suggested by our study may appear, at a  
525 first glance, to contradict previous studies, such as those of Viers et al. (1997,  
526 2000). These authors studied rivers in Cameroon and concluded that Si does not  
527 complex with humic substances because (1) there was no correlation between  
528 dissolved Si (DSi) and dissolved organic carbon (DOC) when a correlation was  
529 observed between DOC and dissolved Al (DAI), and (2) after separation of  
530 dissolved and colloidal fractions by ultrafiltration, 100% of Al was found in the  
531 colloidal fraction while Si was predominantly in the dissolved fraction. We argue  
532 that these observations are not demonstrative, given the high level of DSi (8-18  
533 mg L<sup>-1</sup>) compared to DAI (<0.2 mg L<sup>-1</sup>) in the studied rivers. If only a minor fraction  
534 of Si was complexed with HA and Al, it has no weight in the correlation and is  
535 included in the small amount of Si observed in the colloidal fraction.

536 On the other hand, the presence of HA-Al-Si complexes suggested by our study  
537 is in agreement with the assumptions put forward to interpret the experiments of  
538 Merdy et al. (2021). These authors showed, in laboratory column percolations of  
539 podzolic horizons, that certain types of organic matter can promote the transfer  
540 of Al and DOM through kaolinitic materials and presume the existence of DOM-Al-  
541 Si complexes. There is also a parallel between our results, in which Si in solution  
542 binds to organic matter via a bridging Al, and earlier results from Bartoli (1985),  
543 which presented compelling evidence that an organic matter, alanine, binds to a  
544 silica surface via a bridging Al. This type of complex certainly plays a role in soil  
545 processes other than mineral-solution relationships. Li et al. (2020) showed, for  
546 example, that such complexes are probably involved in phytoliths cycling, and  
547 therefore Si cycling, in soils.

548 The identification of DOM-Al-Si complexes may also have some impact on the  
549 knowledge of Al-Si interactions in plant biology. Aluminum is recognized as toxic  
550 to plants, but Si has been proven to alleviate its deleterious effect (Hodson and  
551 Evans, 2020). The mechanisms are not fully elucidated but one hypothesis is that  
552 Al and Si, which have a strong affinity in soil solution, may combined to form  
553 insoluble hydroxylaluminosilicates (HAS) (Beardmore et al., 2016). Therefore, the  
554 formation of HAS may have the ability to attenuate the root uptake of Al. As  
555 Hodson and Evans (2020) mentioned, equilibrium with respect to HAS is assumed,  
556 but it may not be the case. Our results suggest another Al sequestration  
557 mechanism, that does not require equilibrium with HAS: the formation of DOM-  
558 Al-Si complexes. Such complexes can, of course, lead to the formation of HAS if  
559 the DOM is destroyed by microorganisms. However, more research is needed to  
560 explore this hypothesis.

561

## 562 **4. Conclusion**

563

564       Until now, interaction between Si and organic matter was considered non-  
565 existent or very weak; our fluorescence experiments confirmed that HA-Si  
566 interactions exist but are weak. Through several complementary techniques,  
567 however, we have shown that stronger HA-Si interactions exist but in the  
568 presence of an intermediate ion such as Al<sup>3+</sup> by the formation of a ternary  
569 complex HA-Al-Si with bridging Al and an Al-O-Si bond. Such DOM-Al-Si complexes  
570 can play an important role in pedogenesis wherever dissolved organic matter  
571 (DOM) is abundant, such as in the surface horizons of most soils or horizons in  
572 which podzolic processes occur. The presence of Si significantly promotes the  
573 maintenance of both Al and DOM in solution, which is likely to modify the result  
574 or the kinetics of the processes of dissolution/precipitation of minerals, therefore  
575 of pedogenesis.

576       These results pave the way for further studies, both at the molecular level to  
577 better specify the structure of the complexes, and at the macroscopic scale to  
578 determine their equilibrium and kinetic constants. In addition, the type of  
579 interaction shown here with Al and Si probably also exists with other metals, such  
580 as Fe or Mn, and other metalloids, such as As.

581

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584

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587

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