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Gold-Catalyzed Spirocyclization Reactions of *N*-Propargyl Tryptamines and Tryptophans in Aqueous Media

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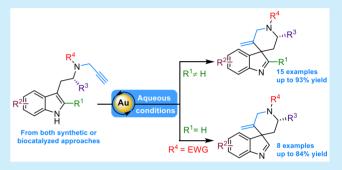
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ABSTRACT: *N*-Propargyl tryptamine and tryptophan derivatives that are readily available from both synthetic and biocatalytic approaches undergo gold-catalyzed dearomative cyclizations in aqueous media to the corresponding spirocyclic derivatives. In addition to the efficiency of the method, operating in aqueous media affords a selective entry to C2-unsubstituted spiroindolenines that have long remained unattainable by Au(I) catalysis. Moderate to excellent yields of the desired spirocyclic products bearing various substituents were obtained.



The Au(I)-catalyzed¹ spirocyclization of indoles²,³ tethered to alkynes is an important reaction, because it leads to high-value spiroindole alkaloids.⁴-6 However, the mechanisms associated with this reactivity are somewhat labyrinthic. Activation of the triple bond by the cationic Au(I) complex initiates the kinetically favored formation of spirocyclic vinylgold intermediate ii. However, this key intermediate then evolves differently, depending on the C2-substitution of the indole ring (Scheme 1, eq 1).

- (1) C2-substituted compounds undergo protodeauration to the chiral spiroindoles iii (Path A).
- (2) Unsubstituted compounds (Path B) lead to the annulated product iv, supposedly as the result of a C3-to-C2 migration from ii. ^{7a,8,9}
- (3) A nucleophile can trap the spirocyclic vinylgold intermediate and lead to the reduced spirocyclic compounds **v** (Path C).¹⁰
- (4) If the protodeauration of the spiro intermediate ii is slow, because of the potential reversibility of the spirocyclization step, then a direct C2 addition (Path D) leading to the thermodynamic product iv is not to be precluded.¹¹

In the tryptamine series, Echavarren showed, for instance, that N-propargyl tryptamines \mathbf{A} bearing electron-withdrawing groups (R = EWG) lead exclusively to the hexahydroazepino-[4,5-b]indole \mathbf{B} (Scheme 1, eq 2), while we reported that spiroindolenines \mathbf{C} can be selectively obtained only if electron-donating groups are involved (R = EDG) (Scheme 1, eq 2). $\frac{11b,12}{11b,12}$

Recently, You and Zhang triggered cyclizations in the presence of a Hantzsch ester acting as an hydride donor to in

situ reduce the iminium intermediate leading to compounds **D** (Scheme 1, eq 2). These accomplishments constitute indirect evidence for the intermediacy of the spirocyclic vinylgold species **ii**. However, still the actual C2-unsubstituted spiroindolenines remain inaccessible, at least with classical electron-withdrawing protecting groups on the nitrogen.

The development of catalytic reactions in aqueous media is a rapidly growing field, not only because of environmental concerns but also because of the unique selectivities that water properties may bring to a given reaction. Considering the robustness of gold catalysts when exposed to moisture and oxygen, water has been used successfully as a (co)solvent in gold catalysis.

We hypothesized that operating in a polar, protic media would fasten the protodeauration step and potentially allow the isolation of the spiroindolenines, even with unsubstituted compounds. In this paper, we report the gold-catalyzed spirocyclizations of tryptamine and tryptophan derivatives 1 in aqueous media, delivering a range of spiroindolenines 2 (Scheme 1, eq 3). In particular, we show that the use of water media allows the selective formation and isolation of the C2-unsubstituted spiroindolenines.

We first sought suitable conditions to promote the spirocyclization of a model 2-methyl-N-nosyl-N-propargyl

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Scheme 1. Selectivities in Gold-Catalyzed Cyclizations of Alkyne-Tethered Indole Derivatives

tryptamine **1a** in an aqueous environment to ensure the possibility to make this chemistry under aqueous conditions (Table 1, entries 1 and 2). Screening of micellar conditions using TPGS-750 M (D,L- α -Tocopherol methoxypolyethylene glycol succinate) as the surfactant¹⁵ and the Echavarren catalyst **3a** resulted in moderate conversions (Table 1, entry

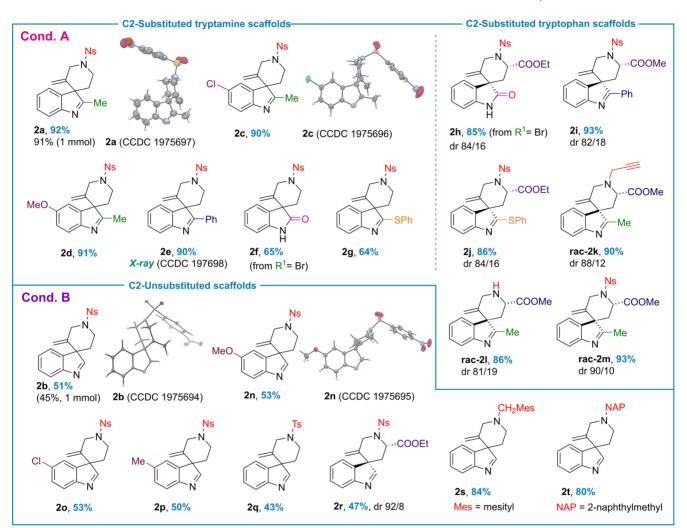
1). We then identified that mixtures of MeCN and water yields high conversions of spiroindolenine 2a (Table 1, entry 2; higher amounts of water lead to reduced conversions, see the Supporting Information for the full optimization table). Next, we turned our attention to the more-challenging cyclization of C2-unsubstituted tryptamine 1b upon gold-catalyzed conditions in aqueous phase and analyzed the crude mixtures by ¹H nuclear magnetic resonance (NMR). This reaction can deliver three distinct products, namely, the desired spiroindolenine 2b, the hexahydroazepino [4,5-b] indole 4b and the tetrahydro-1H-azocino [5,4-b]indole **5b** (potentially resulting from the direct 8-endo addition of the indole), that are easily separable on silica gel. We first verified that the reaction performed in organic solvent leads in all cases to the exclusive high yielding formation of the formal 7-exo addition product **4b**, as reported by Echavarren (Table 1, entry 3). ^{7a} When the reaction was performed in micellar conditions at 60 °C, despite partial conversion, the main product appeared to be the spiroindolenine **2b** (Table 1, entry 4). We next attempted catalyst 3a in a 4:1 mixture of H₂O/MeCN, leading to 4b equimolar to 2b (Table 1, entry 5). With the idea that the addition of an acid would even better facilitate the protodeauration, 17 we introduced a small proportion of acetic acid to the solvent system that led as expected to a higher amount of desired spirocycle 2b (Table 1, entry 6). We then found that catalyst 3b delivered the same 49% yield of 2b, but resulted in the increase of 8-membered ring product 5b at the expense of 4b (Table 1, entry 7). This is remarkable since product 5b was known so far to result from cyclizations using only AuCl₃ or AuCl catalysts, but not from catalysts bearing a phosphine ligand. The proportion of 2b increased with higher amount of acetic acid (Table 1, entry 8) and the switch of the organic cosolvent to dimethylsulfoxide (DMSO) led to 61% of desired spiroindolenine 2b (Table 1, entry 9). A decrease of the reaction time to 4 h and the catalyst loading to

Table 1. Optimization of the Gold-Catalyzed Cyclizations in Aqueous Media

				NMR Yield (%)					
entry ^a	R	catalyst (mol %)	solvents	1a	2a	1b	2b	4b	5b
1	Me	3a (10)	TPGS-750-M	37	63				
2 ^b	Me	3a (7)	$H_2O/MeCN$ (2:1)	_	100 (92)				
3	Н	3a (10)	MeCN or PhMe or DCE			_	_	96°	4 ^c
4	Н	3a (10)	TPGS-750-M			15	46	32	7
5	Н	3a (10)	$H_2O/MeCN$ (4:1)			7	43	43	7
6	H	3a (10)	H ₂ O/MeCN/AcOH (8:2:1)			8	49	35	8
7	H	3b (10)	H ₂ O/MeCN/AcOH (8:2:1)			7	49	17	27
8	H	3b (10)	H ₂ O/MeCN/AcOH (8:1:2)			3	55	19	23
9	H	3b (10)	H ₂ O/DMSO/AcOH (8:1:2)			7	61	7	25
$10^{b,d}$	H	3b (7)	H ₂ O/DMSO/AcOH (8:1:2)			5	60 (48)	10 (4)	25 (19)
$11^{b,d}$	H	3a (7)	H ₂ O/DMSO/AcOH (8:1:2)			10	62 (51)	14 (7)	13 (6)

^aThe NMR yield was determined using 1,3,5-trimethoxybenzene as an internal standard. ^bYields in parentheses are isolated yields. ^cYield given for the reaction performed in MeCN. ^dReaction time = 4 h. TPGS-750-M = $_{D,L}$ - α -tocopherol methoxypolyethylene glycol succinate.

Scheme 2. Scope of the Gold-Catalyzed Spirocyclizations of Tryptamine and Tryptophan Derivatives



7 mol % allowed to isolate the spiroindolenine 2b in average 50% yields and high selectivities, using either catalyst 3a or 3b (Table 1, entries 10 and 11).

It is clear from these results that water offers interesting opportunities in this complex mechanistic scenario and that concomitant efforts directed at the identification of the gold complex and the solvent system can reverse selectivities obtained toward one or the other compounds of the series. Interestingly, we also never observed any product resulting from the potential gold-catalyzed hydration of the triple bond under those conditions. ¹⁹

A series of C2-substituted tryptamines 1a-1g were then engaged in gold-catalyzed cyclizations, using 7 mol % of 3a to ensure full conversions within a few hours. (See Scheme 2.) The reference spiroindolenine 2a was obtained in 91% yield on a 1.0 mmol scale. The introduction of chlorine and methoxy substituents at the 5-position of the indole had no impact on the reaction, delivering 2c and 2d in excellent yields. With a

phenyl group at C2, the reaction proceeded well. When 2bromotryptamine 1f was used, the spirooxindole 2f was obtained in 61% yield, resulting from the in situ hydrolysis of the intermediate 2-bromospiroindolenine. 7c,20 Finally, a thiophenyl moiety was introduced at C2 and led to the product 2g in 64% yield. We next switched to tryptophan derivatives as starting materials²¹ and could isolate spirooxindole 2h and spiroindolenines 2i and 2j in excellent yields and diastereoselectivities.²² We next exposed racemic 2-Me tryptophan derivatives 1k-1m to cyclization conditions and obtained the corresponding spiroindolenines rac-2k, rac-2l, and rac-2m in excellent yields and diastereoselectivities. Notably, the reaction worked well with unprotected amine 11. Next, we engaged a series of challenging C2-unsubstituted tryptamines/tryptophans 1b and 1n-1t under the established conditions. Product 2b was obtained in 51% isolated yield (that value decreased to 45% on a 1.0 mmol scale). Gratifyingly, compound 2b crystallized and led to the

corresponding X-ray structure. Remarkably, one of our crystallization attempts led to the formation of the crystalline trimer 6 (see Figure 1, H have been omitted for clarity

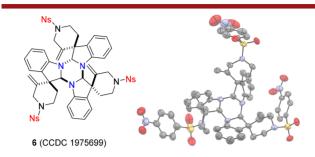


Figure 1. X-ray structure of trimer 6.

purpose). Despite the fact that this equilibrated trimerization has been known from the 1930s, 23 crystal structures of spiroindolenines in a trimeric form have not been reported so far. From our experimental observations, we eventually concluded that compound 2b undergoes partial trimerization when it goes from solution to solid state, which is why the NMR spectrum of the purified compound does not show absolute purity and isolated yields are typically lower than NMR yields. We could show that the pure compound is easily obtained from purification on silica gel by concentration of the organic phase in the presence of a nonvolatile deuterated solvent (i.e., d_6 -DMSO) to prevent solidification.

We next engaged 5-methoxytryptamines, 5-chlorotryptamines, and 5-methyltryptamines 1n-1p in the cyclizations and obtained the spiroindolenines in similar average of 53% yields. The replacement of the nosyl group by a tosyl decreased the yield of 2q to 43%. The use of a tryptophan-derived starting material led to the spiro compound 2r in 47% yield. We next used N-propargyl tryptamines 1s and 1t to obtain the two spiroindolenines 2s and 2t in excellent yields and chemoselectivities. Those tryptamines with their electron-donating groups show a similar level of regioselectivity when operating in an organic solvent.

Some of the tryptophan spirocyclic compounds (2k-2m) were racemic, because of synthetic reasons. We sought an alternative approach allowing access to enantiopure products. We turned to biocatalysis since it is a powerful and unique approach in the toolbox of organic chemists.²⁴ Tryptophan synthase²⁵ allows, in theory, simple access to a variety of enantiopure tryptophan derivatives from inexpensive serine and indole derivatives.²⁶ After the expression and purification of the required enzyme, we applied this strategy to a series of 2-methylindole derivatives 8 (R = H, OMe, F) and easily obtained the corresponding tryptophan analogues 9 (see Scheme 3). The enzymatic crude mixtures were subjected to protein precipitation, then filtered and lyophilized. Propargylation was then performed directly on the crude products, leading to fully propargylated intermediates. The goldcatalyzed cyclization in aqueous conditions then afforded the spiro derivatives 2u-2w in excellent global yields and diastereoselectivities over three steps. This strategy, implying just a final purification, proved to be very efficient to access densely functionalized enantiopure spiroindolenines. The enantiomeric excess measured on 2u by chiral high-performance liquid chromatography (HPLC) (>99.5%) showed that the entire sequence is totally stereoretentive.

Scheme 3. Biocatalyzed Access to Enantioenriched Tryptophane Derivatives 9 and Their In Situ Functionalizations/Spirocyclizations

Finally, we studied the deprotection of the nosyl group of spiroindolenines to afford secondary amines that would be ready for further functionalization. Standard conditions using thiophenol and potassium carbonate on spiroindolenine 2a led to the isolation of the spiropiperidine 10 in 89% yield (see Scheme 4). We wanted these conditions to be applicable in the

Scheme 4. Deprotection of the Nosyl Group: A One-Pot Cyclization/Deprotection Strategy To Access Unprotected Spiroindolenine

aqueous phase, and, for this purpose, we developed a one-pot procedure combining the gold-catalyzed cyclization followed by the deprotection of the nosyl group via the addition of thiophenol and potassium carbonate. With this approach, we obtained the amine 10 in 75% yield, starting from the tryptamine 1a.

In summary, we have developed an efficient strategy to access spiroindolenines using gold catalysis under aqueous conditions with high yields, starting from tryptamine and tryptophan derivatives. Beyond the fact that operating with water as the main solvent partially answers questions regarding the general transitioning that organic synthesis must do toward greener approaches, we showed here that water also has much to offer, in terms of chemoselectivity and regioselectivity. We were able, in particular, with these conditions access hitherto unattainable electron-poor spiroindolenines with high regioselectivities. The combination of biocatalysis and synthetic methods leading to enantiopure compounds in high efficiency

also demonstrates that the introduction of biocatalysis to synthetic schemes is more relevant than ever.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/acs.orglett.0c01370.

Details of the experimental procedures, nuclear magnetic resonance (NMR) spectra, HPLC, spectroscopical data (PDF)

Accession Codes

CCDC 1975694–1975699 contain the supplementary crystallographic data for this paper. These data can be obtained free of charge via www.ccdc.cam.ac.uk/data_request/cif, or by emailing data_request@ccdc.cam.ac.uk, or by contacting The Cambridge Crystallographic Data Centre, 12 Union Road, Cambridge CB2 1EZ, UK; fax: +44 1223 336033.

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Notes

The authors declare no competing financial interest.

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