

# Sequential versus simultaneous presentation of memoranda in verbal working memory: (How) does it matter?

Laura Ordonez Magro, Jonathan Mirault, Jonathan Grainger, Steve Majerus

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1	IN PRESS/ Memory & Cognition
2	Sequential versus simultaneous presentation of memoranda in verbal working memory:
3	(How) does it matter?
4	Laura Ordonez Magro <sup>1,2</sup> , Jonathan Mirault <sup>1</sup> , Jonathan Grainger <sup>1,2</sup> , & Steve Majerus <sup>3,4</sup>
5	
6	<sup>1</sup> Aix-Marseille Université, Marseille, France
7	<sup>2</sup> Centre national de la recherche scientifique, France
8	<sup>3</sup> University of Liège, Liège, Belgium
9	<sup>4</sup> Fund for Scientific Research – F.R.SFNRS, Belgium
10 11 12 13 14 15 16 17 18 19 20 21 22	Corresponding author:
23	Laura Ordonez Magro
24	Laboratory of Cognitive Psychology
25	Aix-Marseille University
26	3 Place Victor Hugo
27	13331 Marseille
28	France
29	+33 (0)6 09 79 84 50
30	laura.ordonezmagro@gmail.com
31	

32 Abstract

To-be-memorized information in verbal working memory (WM) can be presented 33 sequentially, like in oral language, and simultaneously, like in written language. Few studies 34 have addressed the importance and implications for verbal WM processing of these two 35 presentation modes. While sequential presentation may favor discrete, temporal encoding 36 processes, simultaneous presentation may favor spatial encoding processes. We compared 37 immediate serial recall tasks for sequential versus simultaneous word list presentation with a 38 specific focus on serial position curves of recall performance, transposition gradients, and the 39 40 nature of serial order errors. First, we observed higher recall performance in the simultaneous compared to the sequential conditions, with a particularly large effect at end-of-list items. 41 Moreover, results showed more transposition errors between non-adjacent items for the 42 sequential condition, as well as more omission errors especially for start-of-list items. This 43 observation can be explained in terms of differences in refreshing opportunities for start-of-list 44 items during encoding between conditions. This study shows that the presentation mode of 45 sequential material can have a significant impact on verbal WM performance, with an 46 47 advantage for simultaneous encoding of sequence information.

#### 48 **1. Introduction**

To date, the mechanisms underlying encoding in working memory (WM) have been 49 widely investigated but several questions remain unanswered, and the way memoranda is 50 51 encoded in WM is still controversial. In 2008, Tan and Ward examined to what extent the time available for rehearsal during an immediate serial recall task influences the way memoranda is 52 maintained and structured by the participants. They observed that at fast presentation rates (1 53 54 sec/word), participants had little time to rehearse and generally used a fixed rehearsal strategy, i. e., they solely rehearsed the most recent presented item (e.g., for ABC, they rehearsed "A" or 55 "AAA" after the presentation of A, then "B" or "BBB" after the presentation of B and so on). 56 57 At medium (2.5 sec/word) and slow (5 sec/word) rates, participants essentially used a cumulative rehearsal strategy (e.g., "ABC ABC ABC") especially for the early items of the list. 58 Moreover, it was observed that toward the end of the list at serial positions 5 and 6, cumulative 59 rehearsal decreased, and fixed rehearsal increased. These observations suggest that the 60 encoding strategies used in immediate serial recall tasks are strongly dependent on the time 61 62 available for rehearsal. In a recent study, Barrouillet et al. (2021) suggested that rehearsal is not the only mechanism involved in memory encoding, but that several systems of maintenance 63 (i.e., the phonological loop plus the central attentional system) can come into play during 64 65 complex memory measures such as maxispan tasks. The combined use of both systems has been shown to allow the maintenance of at least 8 items (4 items via the phonological loop plus 66 4 items via the attentional system). This finding suggests that commonly used simple span 67 measures tend to underestimate the capacity of verbal WM, because they do not force 68 participants to engage multiple maintenance strategies during encoding. Previous studies 69 70 (Lewandowsky & Oberauer, 2015; Souza & Oberauer, 2018), on the other hand, have questioned the beneficial effect of phonological rehearsal on immediate serial recall. Indeed, in 71 72 their study, Lewandowsky and Oberauer did not find convincing evidence for a favorable effect

of rehearsal on recall performance. This finding has been confirmed by Souza and Oberauer 73 (2018) who observed no significant difference in performance in a condition in which 74 75 participants were instructed to rehearse memoranda overtly and in an articulatory suppression condition that blocked rehearsal of memoranda. All these studies show that, to date, it is still 76 unclear how sequence material is encoded in WM and what systems support encoding of 77 78 sequence material in WM. Moreover, when reviewing the literature on serial order encoding, it appears that this mechanism has been extensively studied in the area of memory and perception 79 separately but there has been little integration across areas (Logan, 2021). 80

In the WM literature, contextual/temporal models of serial order WM consider that 81 82 information in WM is encoded via dynamic signals that change over time, meaning that each item of a sequence is associated with a different state of the signal (Brown et al., 2000; Burgess 83 & Hitch, 1999, 2006; Hartley et al., 2016; Henson, 1998; Hurlstone et al., 2014). These models 84 are particularly relevant for a sequential encoding mode as adopted in commonly used memory 85 span tasks and predict a specific transposition gradient, with serial order errors being more 86 87 likely for temporally close items (i.e., adjacent items) as they will be associated with a more similar contextual/temporal signal. These models are more difficult to apply to a simultaneous 88 presentation mode as frequently used in visual WM studies or in perception tasks. We could 89 90 however predict that according to these models, the entire sequence would be associated with the same contextual/temporal signal and hence coding of serial order information may be less 91 precise, resulting in a flatter transposition gradient reflecting an increase in serial position 92 exchange errors over non-adjacent serial positions. Another type of model that has been 93 proposed involves spatial coding of serial position information (Abrahamse et al., 2014; De 94 Belder et al., 2015; Ginsburg & Gevers, 2015; Guida et al., 2016; van Dijck et al., 2013; 95 van Dijck & Fias, 2011) and may be particularly relevant for simultaneous encoding conditions. 96 97 van Dijck and colleagues have proposed the mental whiteboard hypothesis according to which

98 each successive item is associated with a position on a mental horizontal line, organized from left-to-right (for populations with a left-to-right reading system; Guida et al., 2018), with early 99 100 items being associated with leftward positions and final items with rightward positions. This implies that the serial position of items is recoded using a permanently available spatial grid 101 102 enabling the parallel encoding of serial position, and hence this mechanism would be facilitated 103 by a simultaneous list presentation condition in which items are already organized from left-toright. In sum, based on these two hypotheses, a sequential presentation mode may favor 104 discrete, temporal encoding processes, with one item being processed at a time and associated 105 106 with a distinct temporal signal, resulting in a steeper transposition gradient, while a simultaneous presentation mode may favor spatial encoding processes encouraging parallel 107 processing of all list items and a flatter transposition gradient (Marcer, 1967). 108

Regarding perception, the question of how sequence material is encoded in memory has 109 been extensively studied in the domain of reading (Grainger, 2018; Pegado & Grainger, 2021; 110 Snell et al., 2017; Snell & Grainger, 2019). While some authors assume that written words of a 111 112 sentence are processed one-by-one (i.e., sequentially) from left to right (Reichle et al., 1998, 2009), others suggest that word order encoding during text reading occurs at least partly in 113 parallel, that is, multiple words being processed *simultaneously* (McClelland & Mozer, 1986; 114 Mozer, 1983; Snell et al., 2017; Snell & Grainger, 2019). According to the sequential 115 hypothesis, a sequential system prevents the reader from incorrectly encoding the order of 116 words in a sentence (Reichle et al., 1998, 2009). However, recent evidence suggests that word 117 order information is not encoded as precisely as a serial system predicts (e.g., Mirault et al., 118 2018). In response to these recent findings, Snell et al. (2018) proposed a model of reading, 119 120 OB1-reader, in which word order is controlled by the interaction between location-independent activation of words and sentence-level representations in WM. According to this model, 121 "feedback is provided to individual words based on top-down syntactic and semantic 122

expectations" (Snell & Grainger, 2019, p. 6). That is, when readers encounter a determiner at position 1, they may expect a noun at position 2 and so on. Thus, according to these authors, the reader keeps track of the position of words in a sentence by associating word identities with spatiotopic coordinates in WM. This implies that sentence processing takes place in WM by involving several words of the sentence simultaneously and not one word at a time.

Serial order encoding having been examined separately for these two research areas, it 128 129 remains unclear whether the way serial order information is encoded during perceptual tasks such as reading (where memoranda are commonly presented simultaneously) differs from the 130 way it is encoded during WM tasks such as immediate serial recall (where memoranda are 131 132 commonly presented one-by-one at the center of the screen). In a recent study, Logan (2021) has examined this question by presenting the participants with a whole report task (measuring 133 perception) and an immediate serial recall task (measuring WM performance). In these tasks, 134 participants were presented with sequences of letters that were displayed for 100ms and 135 1,000ms respectively. In the whole report task, participants were told to begin typing as soon 136 137 as the first item appeared at the screen, while they had to wait until the sequence disappeared from the screen to begin typing in the immediate serial recall task. Analyses revealed that serial 138 order in perception and memory are governed by the same underlying mechanism. In that study, 139 140 sequences were presented simultaneously in both tasks. However, as seen earlier in the Introduction, new information can enter WM either sequentially, when presented auditorily 141 (e.g., as in common WM tasks), or simultaneously, when presented visually (e.g., as in reading). 142 Thus, although it seems that the way information enters WM has major implications on the 143 mechanisms used to structure and maintain the memoranda, few studies have examined this 144 question in a specific manner by directly comparing a sequential versus simultaneous 145 presentation mode. While numerous research studies exist comparing recall performance on 146 147 sequentially versus simultaneously presented sequences of e.g., colors or objects in visual WM

or sequences of faces in long-term memory (Ahmad et al., 2017; Bhatarah et al., 2008; Carretti 148 et al., 2013; Finley et al., 2015; Mammarella et al., 2006; Ricker & Cowan, 2014), little work 149 150 has been done on the serial order encoding of *linguistic* material such as written and spoken words or letters. Furthermore, many studies still try to compare performance on WM tasks 151 involving linguistic material but using different presentation modes. However, encoding spoken 152 153 letters, for example, differs fundamentally from encoding seen letters and the encoding of sequentially presented letters differs fundamentally from encoding simultaneously presented 154 letters (Marcer, 1967). Also, visual material can either be presented sequentially or 155 156 simultaneously, while auditory material can only be presented sequentially. Yet, when having to encode sequentially presented material there might be far less chance to encode it as a single 157 chunk compared to when all items are presented simultaneously (Marcer, 1967). 158

Interestingly, one of the few studies comparing sequential versus simultaneous 159 presentation modes (Frick, 1985) has shown that simultaneously presented sequences naturally 160 lead to a visual encoding of memoranda, while sequentially presented material rather leads to 161 162 an auditory encoding. More precisely, in his study, Frick observed that a sequential presentation increased auditory errors and reduced visual errors, while the opposite was true for the 163 simultaneous condition. Frick (p. 354) explains these findings by suggesting that "a sequential 164 165 presentation represents the order of the items temporally [like in auditory material (e.g., spoken language)], whereas a simultaneous presentation represents the order of the items spatially [like 166 in visual material (e.g., written language)]". This assumption is in line with the 167 contextual/temporal and spatial (spatiotopic) models of WM and reading presented earlier in 168 the Introduction. In another study comparing sequential versus simultaneous list presentation 169 170 (Battacchi et al., 1990), it has been shown that when sequences of visual stimuli are presented sequentially in a fixed location, small recency effects are generally observed. On the contrary, 171 when stimuli are distributed over space, as e.g., in simultaneous list presentations, the observed 172

recency effect is much larger. However, again, findings are controversial, as LeCompte (1992) 173 did not find an enhanced recency effect when stimuli are distributed over space, even though 174 they meticulously followed the method used by Battacchi at al. Moreover, some studies have 175 shown that visual information (e.g., letters or shapes), when presented simultaneously, leads to 176 better recall performance compared to when it is presented sequentially, that is, one item at a 177 time (Crowder, 1966; Dalmaijer et al., 2018; Marcer, 1967). Marcer observed that presenting 178 all stimuli simultaneously encouraged participants to encode the entire sequence as a single 179 chunk which in turn led to more similar recall performance between positions (i.e., flatter serial 180 181 position curves) compared to situations in which stimuli are presented sequentially, rather encouraging the encoding of each stimulus as a single chunk leading to differences in terms of 182 recall performance per position (e.g., primacy and/or recency effects). These findings suggest 183 that the presentation mode not only affects overall recall performance but more specifically the 184 very nature of the encoding and maintenance of serial order information. In sum, the observed 185 advantage for simultaneous presentation has been suggested to involve the spontaneous use of 186 chunking mechanisms facilitated by the left-to-right spatial arrangement of the simultaneous 187 188 list of items (Crowder, 1966; Dalmaijer et al., 2018; Marcer, 1967).

Given the contradictory findings obtained in prior research, in the present study we aim to bridge the gaps identified in the WM literature by trying to understand whether the encoding of visually presented verbal sequence material in WM is affected by the presentation mode, that is, when memoranda is presented sequentially (like in verbal WM tasks) versus simultaneously (like in perspective tasks such as reading). We further provide a detailed examination of serial recall performance in terms of serial position curves, transposition gradients, input-output position matrix and transition matrix between consecutive items.

In this study, we compared immediate serial recall performance for sequentially or 196 simultaneously presented lists of words. Based on recent WM models, we hypothesized that the 197 sequential presentation condition should encourage temporal encoding (i.e., one item at a time), 198 resulting in steep transposition gradients with few serial position exchanges over non-adjacent 199 200 items. We hypothesized that the simultaneous presentation condition should encourage a spatial encoding resulting in flatter transposition gradients. The latter prediction is also supported by 201 recent findings of the reading literature (Grainger, 2018; Grainger et al., 2014; Snell et al., 2017) 202 showing that the default strategy, under limited exposure durations, might be to encode all list 203 204 items in parallel, leading furthermore to an overall advantage of encoding and maintaining simultaneously presented lists over sequentially presented lists. Indeed, when list items are 205 206 spatially encoded on a mental line, all list items are available at the same time, allowing each item to be processed several times hence strengthening its representation in WM. 207

208 **2. Method** 

#### 209 **2.1 Participants**

210 We recruited 116 adults via Prolific (www.prolific.ac), a platform for online recruitment of participants in behavioral studies. To determine the number of participants, we used the 211 BFDA (Bayes Factor Design Analysis) package (Schönbrodt, 2016) implemented in R (Version 212 213 3.6.1). This analysis showed that if the effect of interest exists, the minimal sample size needed for reaching minimal evidence (BF<sub>10</sub> = 3) in favor of the effect in 95% of simulated samples 214 was N = 60. For this sensitivity analysis, we assumed a medium effect size of Cohen's d = 0.5. 215 216 Three participants had to be excluded due to non-compliance with task instructions. Data were retained for a total of 113 participants (Mage: 28.15 years, SD: 8.95, Range (18-64 years), 47 217 females, 62 males, 4 "other"). All participants had to be native French-speakers and their French 218 proficiency was checked using Lextale (Brysbaert, 2013), a receptive vocabulary test. The study 219 220 was approved by the Comité de Protection des Personnes SUD-EST IV and was performed in

accordance with the ethical standards described in the Declaration of Helsinki (1964). All participants gave their written informed consent before their inclusion in the study and received monetary compensation ( $8 \pm /h$ ).

224

#### 2.2 Materials and procedure

Data collection took place online via the experiment builder *LabVanced* (Finger et al.,
226 2017).

227 **2.2.1 Lextale** 

We used the French version of Lextale (Brysbaert, 2013) developed to measure 228 language proficiency in French from very little knowledge to adult native-speaker level 229 proficiency. The test contains words and nonwords selected from the Lexique 3.72 project 230 (New, Pallier, Brysbaert, & Ferrand, 2004) and the French Lexicon Project (Ferrand et al., 231 2010). It is composed of 56 French words of varying difficulty and from different lexical 232 frequency levels and 28 nonwords matched for phonological structure. In the present study, 233 words and nonwords were presented in random order. Each (non)word was displayed on the 234 center of the screen and participants had to make a yes/no decision to all items by pressing "O" 235 (for "oui" [yes]) as a response to an existing word and "N" (for "non" [no]) as a response to a 236 nonword. The dependent variable was the proportion of correct responses. 237

238

#### 2.2.2 Immediate serial recall task

This task was composed of two lists of 20 sequences, containing each six frequent, monosyllabic, and semantically unrelated nouns (i.e., 120 nouns per list). Two consecutive nouns of a sequence could not start with the same letter. The nouns were selected from the data base Lexique 3.83 (New et al., 2004) and were of high lexical frequency (freqlemfilm2 variable; range = 26 to 1031 per million words). The two lists were matched for lexical frequency ( $M_{List1}$ : 160.55,  $M_{List2}$ : 157.78, p = .92) from the data base Lexique 3.83 (see Appendix for the word lists). The two lists were counterbalanced across conditions (i.e., if List 1 was presented in thesimultaneous condition, List 2 was presented in the sequential condition).

247 Participants performed two immediate serial recall tasks. In a first condition (see Figure 1 A)., the sequential condition, the items composing the sequences were presented one-by-on 248 on the center of the screen. Each item was presented for a total of 750ms. In the second 249 condition (see Figure 1 B)., the simultaneous condition, items were presented all at one time, 250 structured from left to right on the screen. Each sequence was presented for a total of 4,500ms, 251 which corresponds to 6 x 750ms in order to hold the total time of sequence presentation 252 253 comparable between conditions. Each sequence was immediately followed by a mask. Participants had to recall each word in its correct serial position by typing it into an answer box 254 centered on the bottom of the screen. Participants had to press "enter" to type the next word of 255 the sequence and to proceed to the next trial. The order of conditions was counterbalanced 256 across participants. For each condition, we calculated a serial order score, (number of items 257 recalled in correct serial position) and an item score (number of items recalled regardless of 258 259 serial position). Moreover, we computed *transposition gradients* on serial order errors for each 260 participant and each condition by determining the number of negative displacements (items recalled ahead of their correct positions) and positive displacements (items recalled after their 261 correct position). Taking the example of "time god day mom" recalled as "time mom god day", 262 "time" will result in a displacement score of 0 (no displacement), "mom" will result in a 263 displacement score of -2, "god" will result in a displacement score of +1, and "day" will result 264 in a displacement score of -1. Finally, we conducted *detailed analyses on output order* by 265 computing an input-output position matrix representing the distribution of items recalled in the 266 different output positions and an item-item transition matrix representing the distribution of 267 transitions of successive pairs of responses. These analyses allowed us to obtain more precise 268 information about the dynamics of serial order encoding and retrieval in both conditions. 269





**Figure 1. A.** A typical trial for the sequential condition.





272

The statistical analysis conducted in the present study adopted a Bayesian model comparison approach (Dienes, 2011, 2016; Wagenmakers, 2007; Wagenmakers et al., 2008). This approach directly compares the null hypothesis to the alternative hypothesis (i.e., the effect of interest) and assesses evidence for the null effect and the effect of interest simultaneously (Dienes, 2014). Results are interpreted using the Bayes factor (BF), which reflects the likelihood ratio of two compared models. The BF<sub>10</sub> is used to determine the likelihood ratio of the alternative model relative to the null model. We relied on the guidelines proposed by Jeffreys (1961) for interpreting Bayes factors. A  $BF_{10} > 3$  provides anecdotal evidence; a  $BF_{10} > 10$  provides strong evidence, and a  $BF_{10} > 100$  provides decisive evidence for the alternative hypothesis. All the analyses were conducted with the JASP software package (JASP Team., 2021), using default settings for Cauchy prior distribution (Wagenmakers et al., 2018).

- 285 **3. Results**
- 286 See Table 1 for the descriptive statistics of the Lextale and the immediate serial recall
- 287 task.
- 288 **Table 1.**

289 Descriptive statistics for the Lextale and the serial recall task. Means and Standard Deviation 290 (in brackets) are represented for the sequential and the simultaneous condition as well as  $BF_{10}$ 

291	for	Bayesian	paired	l sampl	le t-	test	bet	tween	cond	itions	S.
-----	-----	----------	--------	---------	-------	------	-----	-------	------	--------	----

N = 113	Sequential	Simultaneous	<b>BF</b> 10
Serial order score	.55(.17)	.69(.17)	> 100
Item score	.63(.14)	.73(.14)	> 100
Lextale	.8.	3(.10)	

292 *Note.* All scores reflect proportion of correct responses.

We first compared overall recall performance between the sequential and simultaneous 293 conditions, by running a 2 (Condition: Sequential vs. Simultaneous)  $\times$  6 (Position: 1 to 6) 294 Bayesian repeated measures ANOVA on the serial order score as dependent variable (see 295 Figure 2). Results revealed decisive evidence for an effect of Condition ( $BF_{10} = 1.06e^{+23}$ ) with 296 better performance for the simultaneous (M = .69, SD = .17) compared to the sequential 297 condition (M = .55, SD = .17), decisive evidence for an effect of Position (BF<sub>10</sub> =  $4.89e^{+165}$ ), 298 and decisive evidence for an interaction between Condition and Position ( $BF_{10} = 1.51e^{+6}$ ). 299 Bayesian paired t-tests showed decisive evidence for an effect of Condition at all positions but 300 with particularly large effects for end-of-list positions as well as position 2 (Position 1:  $BF_{10} =$ 301 1,120; Position 2:  $BF_{10} = 3.65e^{+22}$ ; Position 3:  $BF_{10} = 255,485$ ; Position 4:  $BF_{10} = 715$ ; Position 302

5:  $BF_{10} = 4.57e^{+12}$ ; Position 6:  $BF_{10} = 4.46e^{+11}$ ). Similar results were observed when considering 303 the item score (see Figure 3). Indeed, a 2 x 6 Bayesian repeated measures ANOVA on the item 304 score showed decisive evidence for an effect of Condition ( $BF_{10} = 3.02e^{+11}$ ) with again better 305 performance for the simultaneous condition (M = .73, SD = .14) compared to the sequential 306 condition (M = .63, SD = .14), decisive evidence for an effect of Position (BF<sub>10</sub> =  $6.57e^{+171}$ ), 307 and decisive evidence for an interaction between Condition and Position ( $BF_{10} = 1.81e^{+6}$ ). 308 Bayesian paired t-tests showed strong evidence for a difference at position 1 ( $BF_{10} = 32.95$ ), 309 decisive evidence for a difference at position 2, 3, 5, and 6 ( $BF_{10} = 2.66e^{+17}$ ,  $BF_{10} = 201$ ,  $BF_{10}$ 310 =  $1.84e^{+8}$ , and  $BF_{10} = 6.54e^{+10}$  respectively), and no evidence for a difference at position 4 ( $BF_{10}$ ) 311 = 0.63). Thus, item recall performance was higher in the simultaneous than in the sequential 312 condition, and this for all serial positions except for positions 4 where the effect was absent. In 313 sum, we observed better recall performance for serial order and item recall in the simultaneous 314 compared to the sequential condition and this especially for end-of-list items. 315



316



318 confidence intervals.



319

Figure 3. Proportions correct per position for the item score. Error bars represent 95%confidence intervals.

Next, we examined the transposition gradients for serial order recall errors as a 322 function of condition by running a 2 (Condition: Sequential vs. Simultaneous)  $\times$  11 323 (Transposition gradients: -5 to 5) Bayesian repeated measures ANOVA (see Figure 4). Results 324 325 revealed no evidence for an effect of Condition ( $BF_{10} = 0.09$ ), decisive evidence for an effect of Transposition ( $BF_{10} = 3.95e^{+124}$ ), and decisive evidence for an interaction between Condition 326 and Transposition (BF<sub>10</sub> =  $2.41e^{+69}$ ). Analyses showed decisive evidence for a difference 327 between conditions for displacements of -3, -1, 0, 1, and 3, with more transposition errors in 328 the sequential compared to the simultaneous condition (see Table 2). Again, this interaction 329 reveals more precise serial order encoding for the simultaneous compared to the sequential 330 condition. Interestingly and as already reported earlier, we observed that items that were 331 recalled in the wrong position were recalled close to their correct position in both conditions 332 333 but in contrast with our hypothesis, with more transposition errors between distant items (transposition errors of +/-3) in the sequential compared to the simultaneous condition. Thus, 334 contrary to our hypothesis, we did not observe steeper transposition gradients in the sequential 335

- compared to the simultaneous condition, as the tendency for transposition errors to cluster 336
- around their correct position was more pronounced in the simultaneous condition. 337



Figure 4. Transposition gradients for each condition. 339

#### Table 2. 340

Transposition	Sequential condition	Simultaneous condition	$\mathbf{BF}_{10}$
gradient	gradient Mean(SD) Mean(SD)		
-5	5.9e <sup>-4</sup> (0.003)	7.37e <sup>-5</sup> (7.83e-4)	0.33
-4	0.001(0.007)	2.33e <sup>-3</sup> (0.000)	0.61
-3	0.008(0.011)	0.001(0.006)	77,026***
-2	0.012(0.018)	0.008(0.020)	0.40
-1	0.041(0.037)	0.024(0.032)	18,773***
0	0.551(0.176)	0.695(0.174)	1.63e <sup>+17</sup> ***
1	0.016(0.014)	0.007(0.014)	3,935***
2	0.003(0.008)	0.001(0.003)	0.79
3	0.004(0.005)	5.16e <sup>-4</sup> (0.002)	1,62e <sup>+7</sup> ***
4	0.001(0.000)	NAN	NAN
5	NAN	NAN	NAN

Bayesian paired t-test on transposition gradients between conditions. 341

*Note.* \*\*\*decisive evidence for the alternative hypothesis. 342

343	Moreover, we examined how serial order errors are distributed over serial position in
344	both conditions by running a 2 (Condition: Sequential vs. Simultaneous) $\times$ 6 (Position: 1 to 6)
345	Bayesian repeated measures ANOVA on transposition errors (see Figure 5). In accordance
346	with our previous findings, we again observed decisive evidence for an effect of Condition
347	$(BF_{10} = 1.45e^{+20})$ with more transposition errors in the sequential compared to the simultaneous

condition, decisive evidence for an effect of Position ( $BF_{10} = 1.22e^{+54}$ ), with transposition errors 348 increasing with serial position. We observed decisive evidence for an interaction between 349 Condition and Position ( $BF_{10} = 547$ ) with at least two times more transposition errors in the 350 sequential ( $M_{Pos1} = .011$ ,  $M_{Pos2} = .055$ ,  $M_{Pos3} = .090$ ,  $M_{Pos5} = .153$ ) compared to the simultaneous 351 condition ( $M_{Pos1} = .004$ ,  $M_{Pos2} = .016$ ,  $M_{Pos3} = .038$ ,  $M_{Pos5} = .076$ ) for all positions apart from 352 353 positions 4 and 6 ( $M_{Pos4} = .110$ ,  $M_{Pos6} = .106$  and  $M_{Pos4} = .062$ ,  $M_{Pos6} = .066$  for the sequential and simultaneous condition respectively) which are more comparable between conditions. 354 These findings highlight more transposition errors in the sequential compared to the 355 356 simultaneous condition and more transposition errors at the end of the sequence compared to the start of the sequence, especially in the sequential condition. 357







We computed the same analysis for **omission errors**. A 2 (Condition: Sequential vs. Simultaneous) × 6 (Position: 1 to 6) Bayesian repeated measures ANOVA on omission errors (see Figure 6) revealed an effect of Condition ( $BF_{10} = 3.32e^{+8}$ ) with more omission errors in the sequential compared to the simultaneous condition, decisive evidence for an effect of Position ( $BF_{10} = 4.09e^{+168}$ ), with omission errors increasing with serial position for both conditions, and decisive evidence for an interaction between Condition and Position ( $BF_{10} = 7.66e^{+7}$ ). This interaction reveals a particularly large difference for positions 1 and 2 compared to the remaining positions between both conditions with mean proportions of omissions errors being about two times larger for the sequential condition ( $M_{Pos1} = .031$ ;  $M_{Pos2} = .055$ ) compared to the simultaneous condition ( $M_{Pos1} = .013$ ;  $M_{Pos2} = .027$ ).



Figure 6. Proportion of omission errors per position for the sequential and the simultaneouscondition. Error bars represent 95% confidence intervals.

Finally, in order to better understand the observed differences between conditions in 374 terms of transposition gradients, we computed an input-output matrix for each condition (see 375 Table 3), tabulating each input position by output position as done by Bhatarah et al. (2008). 376 This table represents the number of items recalled at the different output positions (Howard & 377 Kahana, 1999). In this table, "blank" refers either to an omission or an intrusion error. As shown 378 in Table 3 as well as in Figures 7 and 8, typical transposition gradients are observed for all serial 379 380 positions and both sequential and simultaneous conditions: When an item is recalled at the wrong position, it is most often recalled at the position directly following its expected position 381 (e.g., serial position 1 recalled at serial position 2). 382

383

371

### 384 **Table 3.**

385 Distribution of list items recalled in the different output positions for both conditions. "Blank" 386 refers to the participants writing an "x" or recalling a word that was not part of the sequence 387 (intrusion error).

Sequential condition: Output Position							
Serial	1	2	3	4	5	6	Total
Position							
1	1,916	20	10	10	12	4	1,972
2	24	1,464	52	19	48	10	1,617
3	16	100	1,484	64	12	26	1,702
4	13	33	138	1,087	69	7	1,347
5	11	75	53	179	695	35	1,048
6	13	14	27	69	133	821	1,077
Blank	267	554	496	832	1,291	1,357	4,797
Total	2,260	2,260	2,260	2,260	2,260	2,260	13,560
		Simultane	ous conditi	on: Output	Position		
Serial	1	2	3	4	5	6	Total
Position							
1	2,030	11	1	16	7	0	2,065
2	12	1,914	23	10	13	2	1,974
3	7	37	1,737	38	4	8	1,831
4	4	22	76	1,295	35	7	1,439
5	3	9	46	110	1,158	11	1,337
6	1	2	12	50	100	1,286	1,451
Blank	203	265	365	741	943	946	3,463
Total	2,260	2,260	2,260	2,260	2,260	2,260	13,560

388 389





0.08

0.07

0.06

Figure 7. Distribution of words recalled by serial position and output position (OP) for the
sequential condition. Note that correct responses (i.e., serial position = output position) are not
represented on the graph.



Figure 8. Distribution of words recalled by serial position and output position (OP) for the simultaneous condition. Note that correct responses (i.e., serial position = output position) are not represented on the graph.

Moreover, we calculated the transitions between consecutive words recalled (see Table 4). From these transitions, the Lag (difference between the serial positions of the successively recalled items, see Howard & Kahana, 1999; Kahana, 1996 for more information) can be calculated by subtracting the serial position of the word recalled in output position n from the

word recalled in output position n-1. Note that positive values of lag correspond to forward 402 403 recalls and negative values of lag correspond to backward recalls. Large absolute values of lag correspond to the successive recall of items that are widely spaced in the sequence and small 404 absolute values correspond to the successive recall of items that are close together in the to-be-405 recalled sequence (Kahana, 1996). Our data shows a predominance of lag +1 responses, i.e., 406 responses that are output in forward serial order. Crucially, the total number of positive lags 407 was far greater than the total number of negative lags (see Table 4), suggesting that recall was 408 mainly in a forward order in both conditions, (e.g., when the item from a given position was 409 recalled in a wrong position, there was a strong tendency for this item being recalled after than 410 before an item from an earlier position). 411

412

#### 413 **Table 4.**

414 Distribution of transitions of successive pairs of responses (items n-1 and n) for both conditions. 415 This table contains the number of times a serial position has been recalled immediately after a 416 given serial position. For instance, serial position 2 has been recalled 1,325 times immediately 417 after serial position 1 for the sequential condition.

Seque	ential con	dition: Se	erial Posit	ion of Pr	ior Item (	Output Po	sition n-1	)
Serial	1	2	3	4	5	6	-	Blank
<b>Position of</b>								
Output								
position <i>n</i>								
1	0	10	5	9	9	3	1916	20
2	1,325	3	41	43	11	5	24	165
3	88	1,139	1	43	54	12	16	349
4	25	89	962	1	46	5	13	206
5	64	26	114	611	0	27	11	195
6	6	43	35	89	556	5	13	330
-	4	10	26	7	35	821	0	1,357
Blank	460	297	518	544	337	199	267	2,175
Simult	aneous co	ondition: S	Serial Pos	ition of I	Prior Item	(Output P	osition n	-1)
Serial	1	2	3	4	5	6	-	Blank
Position of								
Output								
position <i>n</i>								
1	0	5	12	7	1	0	2030	10
2	1,781	1	14	10	4	0	12	146
3	25	1,591	1	24	9	0	7	174
4	14	54	1,183	1	27	7	4	149
5	16	29	76	936	1	12	3	264
6	3	19	24	40	1,001	3	1	360
-	0	2	8	7	11	1,286	0	946
Blank	220	273	513	414	283	143	203	1,414

*Note.* Note that we retained the repetitions of a given item in the present table, which is why the diagonal (in bold) is not 0 for all columns. However, these repetitions have been scored as incorrect in all scores calculated in this article. The column "-" corresponds to an item being recalled at the first position, and thus contains the number of times no item has been recalled before the given serial position, as this position has been recalled as first item of the sequence. The line "-" corresponds to an item being recalled at the last position, and thus contains the

424 number of times no item has been recalled after the given serial position, as this position has been recalled as last item of the sequence. 425

In sum, as expected, our findings showed better recall performance for the simultaneous 426 compared to the sequential condition and this especially for serial order information recall 427 compared to item information recall. More precisely, we observed weaker serial order recall 428 performance especially for end-of-list items in the sequential condition. Moreover, results 429 showed typical transposition gradients for both conditions but, contrarily to our hypothesis, 430 with more transpositions between distant positions for the sequential compared to the 431 432 simultaneous condition. Also, we observed more transposition errors for the last serial positions in both conditions. Furthermore, analyses revealed more omission errors in the sequential 433 compared to the simultaneous condition, especially for the two first positions and with more 434 comparable performance for the last position between conditions. However, even though the 435 presentation mode seems to lead to differences in terms of recall performance as well as in 436 terms of the nature of serial order errors, we observed some similarities regarding the dynamics 437 of encoding and retrieval between both conditions. Indeed, our results revealed that recall 438 439 proceeded mainly in a forward serial order in both conditions.

4. Discussion 440

In the present study, we investigated the influence of two presentation modes (sequential 441 versus simultaneous) on the way memoranda is structured and maintained in WM. In most WM 442 studies, to-be-recalled sequences are presented sequentially, that is, one item at a time, like in 443 oral language. However, in some WM studies, the material is presented simultaneously, that is, 444 all items at a time, like in written language. Nevertheless, the influence of presentation mode 445 (sequential versus simultaneous) on recall performance has barely been investigated and it is 446 unclear whether WM performance and the nature of encoding mechanisms differ between 447 sequentially versus simultaneously presented sequences. A few prior studies have observed 448

differences in WM performance between these two presentation modes, but empirical evidence 449 allowing to understand these differences remains scarce and is sometimes contradictory 450 (Battacchi et al., 1990; Crowder, 1966; Dalmaijer et al., 2018; Frick, 1985; LeCompte, 1992; 451 Marcer, 1967). In the present study, we therefore examined performance in both sequentially 452 and simultaneously presented list material and proposed detailed analyses by comparing serial 453 position curves for recall performance and the nature of recall errors between conditions. Based 454 on recent models of WM encoding (i.e., temporal versus spatial models), we hypothesized that 455 a sequential presentation mode should encourage a temporal encoding (i.e., one item at a time), 456 457 which may manifest itself by a steep transposition gradient with more serial position exchanges over adjacent compared to non-adjacent items. On the other hand, we expected a spatial 458 encoding when the material is presented simultaneously, leading to a flatter transposition 459 gradient. 460

In line with prior studies, our findings revealed higher recall performance in the 461 simultaneous compared to the sequential condition (Crowder, 1966; Dalmaijer et al., 2018; 462 Marcer, 1967), especially for the recall of serial order information compared to item 463 464 information. Interestingly, the observed difference in performance between conditions was especially observed for end-of-list items. Indeed, recall performance was weaker for end-of-list 465 items in the sequential condition. Our observation of better recall for end-of-list items in the 466 simultaneous compared to the sequential condition is also in line with a previous study in the 467 visual WM domain (Battacchi et al., 1990). That study showed that, when sequences of visual 468 stimuli are presented simultaneously, i.e., when stimuli are distributed over space, a larger 469 recency effect is observed compared to when sequences of visual stimuli are presented 470 sequentially and in fixed locations. 471

472 Further analyses showed typical transposition gradients with more serial position473 exchange errors over adjacent compared to distant serial positions and with more transposition

errors at the end of the sequence for both conditions. However, contrarily to our temporal and 474 spatial encoding hypotheses assuming steeper transposition gradients for sequentially presented 475 476 material and flatter transposition gradients for simultaneously presented material, we observed more transposition errors between distant positions for the sequential compared to the 477 simultaneous condition. Moreover, we observed more omission errors for the sequential 478 compared to the simultaneous condition, and this in a particularly more pronounced manner for 479 the two first positions. We suggest that these findings may rather be explained by differences 480 in refreshing opportunities of initial items during encoding. Indeed, when a sequence is 481 presented sequentially, participants can only process one item at a time, which may rapidly lead 482 to forgetting of earlier presented items. Forgetting of start-of-list items may subsequently 483 disrupt accurate encoding of the overall structure of sequence, increasing the likelihood of non-484 adjacent serial position exchanges. On the contrary, when the memory list is presented 485 simultaneously, all list items are available at any time during encoding, allowing each part of 486 the sequence to be processed several times during encoding, strengthening both item 487 representations and the representation of their serial order within the list. This situation will 488 489 particularly advantage start-of-list items in the simultaneous condition relative to the sequential condition given that in the latter condition, start-of-list items will be subject to the highest 490 amount of decay/forgetting over the encoding process and hence are the most in need of 491 repeated encoding. This is in accordance with Tan and Ward (2008) suggesting that the 492 encoding strategies used in immediate serial recall tasks are strongly dependent on the time 493 494 available for refreshing. Moreover, we suggest that the higher recall performance for the simultaneous condition may also be due to the use of a reading-related parallel encoding 495 strategy (Grainger, 2018; Grainger et al., 2014; Snell et al., 2017), in which the reader keeps 496 track of the position of words in a sequence by associating word identities with spatiotopic 497 coordinates in WM. Also, similar to sentence processing, we suggest that the processing of 498

simultaneously presented sequences takes place in WM by involving several items of the
sequence simultaneously, and not one item at a time, allowing to strengthen the links between
words and encouraging the spontaneous use of chunking mechanisms.

Note that even though we observed differences in performance and serial order error 502 503 patterns in both conditions, important similarities also characterize both conditions. Indeed, the data indicated that forward serial recall was prevalent in both conditions, meaning that, when 504 items were incorrectly recalled, participants most frequently recalled them after earlier items of 505 the list rather than the reverse (e.g., the sequence 1 2 3 4 5 6, would rather be recalled 1 4 5 2 3 506 507 6 than 1 4 5 **3 2** 6). These findings are in accordance with the assumption that forward serial recall is a general property of memory, as shown in previous studies of free recall (Bhatarah et 508 al., 2008; Howard & Kahana, 1999; Kahana, 1996) and serial recall (Klein et al., 2005; Nairne, 509 2002). 510

Finally, note that in their article Barrouillet et al. (2021) claim that commonly used 511 simple span measures tend to underestimate working memory capacity, because participants try 512 513 to maintain all list items via the phonological loop which has a limited capacity of about four 514 or five items. Barrouillet et al. suggest that splitting the items into two maintenance systems, that is, the phonological loop and the central attentional system, would allow to retain at least 515 516 up to eight items (as the capacity of the attentional system is also estimated to more or less four). Indeed, they observed a dramatic increase in spans (from 6 letters in simple span measures 517 to 8 letters with maxispan instruction) when asking participants to maintain the first four items 518 519 via the phonological loop, while studying (looking at) the following (four) items (via the central attentional system). Based on this, it is likely that processing in the sequential condition in the 520 present study may have been suboptimal and would have benefitted from maxispan instructions. 521 However, the aim of the present study being to examine how simultaneous and sequential 522 encoding conditions impact working memory encoding via default cognitive processing 523

strategies, and not via an optimized procedure, we have not used a maxispan procedure in the 524 current experiment. Note also that the design of the current study does not directly tell us 525 whether the observed superiority of the simultaneous presentation is due to opportunity to re-526 encode items several times or to the presence of additional spatial cues. To tease apart the 527 impact of the two factors, it would be interesting in future work to adopt a design similar to 528 Guitard et al. (2021), in which the items of the sequential condition appear at the same location 529 as in their simultaneous presentation. However, the overall pattern of results is more in line 530 with the hypothesis of a processing and re-encoding advantage in the simultaneous condition 531 532 than a purely spatial processing hypothesis.

In sum, our study revealed that the presentation mode of a sequence of stimuli can have implications on WM performance and on the way the memoranda are structured and refreshed in WM. Beside these observed differences, we also observed some similarities between both conditions regarding the dynamics of serial order encoding and retrieval. Our findings have important implications for furthering our understanding of maintenance mechanisms of verbal material in WM and indicate that the way to-be-memorized material is presented in WM tasks should be taken into account when comparing recall performance between tasks or studies.

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#### 549 Conflicts of interest/Competing interests

- 550 The authors have no conflicts of interest to declare that are relevant to the content of this 551 article.
- 552 Ethics approval
- This study was performed in line with the principles of the Declaration of Helsinki. Approval was granted by the Comité de Protection des Personnes SUD-EST IV.

#### 555 **Consent to participate**

- All participants have given prior written consent before their inclusion in the study.
- 557 Consent for publication
- All participants have given written informed consent for publication.

#### 559 Availability of data and materials

- The datasets generated and/or analyzed during the current study are available in the OSF repository: https://osf.io/c7vzs/?view\_only=012b21b8ae5944b6b528bb6b3e423208.
- 562 Code availability
- 563 Not applicable.

#### 564 Authors' contributions

- 565 **Laura Ordonez Magro** conceptualized the design, collected the data, conducted the data
- analyses, and wrote the paper; Jonathan Mirault helped conducting the data analyses; Steve
- 567 Majerus and Jonathan Grainger helped conceptualizing the design and writing the paper.

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## 729 Appendix

List1_item	List1_freqfilm2	List2_item	List2_freqfilm2
cris	26.79	bague	26.14
race	27.09	souffle	26.55
poil	27.09	date	26.88
maire	27.91	iob	27.24
somme	28.27	pause	27.30
star	28.91	herbe	27.64
coupe	29.16	patte	28.16
caisse	29.46	cible	28.69
gorge	29.78	corde	28.89
mur	30.04	croix	29.10
offre	30.04	membre	29.10
sucre	30.23	note	29.04
trace	30.97	aube	30.04
style	31.08	norte	30.04
lac	31.00	choo	30.20
Iau	31.10 21 <i>1</i> 1	mode	20.22
geste	31.41 21 40	noue	JU./9 21.00
name	31.47 22.40	parc	31.02 21.29
	32.40 22.56	SOII	31.28
douche	32.50	soupe	31.72
note	33.42	titre	32.40
gloire	34.78	chaise	32.70
ombre	35.98	test	34.87
veste	36.00	don	35.47
vache	36.24	coffre	35.97
gaz	36.33	chair	36.01
mine	36.84	poche	36.23
champ	38.05	source	37.34
doigt	38.83	neige	37.52
couple	41.13	front	38.81
pluie	42.91	taille	41.32
piste	43.01	tombe	41.33
code	43.58	crise	43.51
risque	45.98	prince	44.83
marche	46.61	plage	44.99
chasse	46.80	ventre	46.07
zone	46.97	voie	47.01
ange	47.90	bombe	48.70
nord	50.38	pont	50.45
goût	50.51	bain	50.52
bus	50.63	centre	53.46
base	51.69	soin	54.45
fil	51.83	iuge	56.40
reine	56.26	glace	58.09
lait	59.41	lune	58.29
blague	60 33	pain	62.81
preuve	60 79	tas	65.28
cluh	61 99	iamhe	67 51
Ciub	01.77	Janioe	07.01

gosse	62.92	signe	67.74
pote	65.03	bière	68.55
art	65.93	clé	68.73
flic	67.53	bande	69.10
thé	67.84	tante	70.69
fleur	74.56	joie	71.07
nez	75.18	vent	71.50
trou	75.32	poste	72.64
bord	77.06	ferme	73.53
vin	80.92	vol	74.14
forme	82.61	garde	76.76
vue	84.42	balle	77.32
loi	87.37	bruit	78.94
bon	90.13	crime	81.77
coin	93.43	peau	83.83
scène	96.66	dame	86.50
doute	97.51	bouche	87.75
rêve	99.39	groupe	90.16
dos	100 34	carte	96.11
calme	105.08	œil	97.13
peuple	105.65	sorte	98.33
sac	105.05	mer	99.49
lettre	108.79	honte	103.26
nièce	110.66	nied	105.20
table	111 44	camp	105.91
hois	115 56	force	108.29
gueule	118.45	fric	108.29
plan	119 54	fond	110.07
âme	122.22	salle	111.10
face	124.33	livre	112.43
prix	126.55	arme	112.15
rue	127.35	sens	117.10
choix	130.83	maître	118.88
voix	130.83	hout	121 12
fête	138.03	oncle	121.12
ciel	142 22	faim	127.11
naix	144.86	ordre	132 50
bras	1/19.26	compte	132.50
route	152.83	cours	1/3.05
Verre	152.85	âge	143.03
ieu	154.15	lieu	153.12
faute	163 10	scellr	155.12
aide	105.19	chien	153.22
tour	175.56	roi	156.77
1;+	175.50	101 mot	100.34
chof	170.10	droit	174.03
film	107.17	noint	173.00
fin	193.10 207 24	point	100.70
	207.34	resto	173.42
cause	213.31	reste	203.10
geme	219.00	guerre	212.82

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- 3	o

train	244.40	feu	215.87
mec	252.94	cœur	224.98
suite	274.18	corps	250.15
terre	276.29	chambre	263.93
place	280.54	truc	274.94
type	280.62	ville	277.98
part	299.31	cas	280.59
frère	311.45	main	286.62
yeux	315.89	eau	290.61
mort	372.07	sang	304.30
coup	389.49	mois	312.31
tête	453.13	mal	318.27
fils	480.15	chance	334.02
peur	551.83	heure	415.40
nuit	557.56	air	473.50
gens	594.29	nom	528.17
fille	627.59	soir	555.85
jour	635.22	femme	806.57
mère	672.00	père	879.31
homme	781.11	peu	894.78
monde	823.62	fois	899.25
dieu	852.91	vie	986.59
temps	1031.05	chose	1321.79

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