

Permafrost controls the displacement rates of large unstable rock-slopes in subarctic environments

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1 PERMAFROST CONTROLS THE DISPLACEMENT RATES OF LARGE UNSTABLE ROCK-SLOPES IN 2 SUBARCTIC ENVIRONMENTS Penna, I.M.^{1*}, Magnin, F.², Nicolet, P.^{1,3}, Etzelmüller, B.⁴, Hermanns, R.L.^{1,5}, Böhme, M.¹, Kristensen, L.³, 3 4 Nöel, F.¹, Bredal, M.¹, Dehls, J.F.¹ 5 1 Geological Survey of Norway, Trondheim, 7491, Norway. Ivanna.penna@ngu.no 6 2 EDYTEM Lab, Université Savoie Mont Blanc, CNRS, Le Bourget-du-Lac, 73370, France. 7 3 The Norwegian Water Resources and Energy Directorate (NVE), Trondheim, 7030, Norway. 8 4 Department of Geosciences, University of Oslo, Oslo, 0316, Norway. 9 5 Department of Geoscience and Technology, Norwegian University of Science and Technology, 10 Trondheim, 7031, Norway 11 ABSTRACT 12 Determining the link between permafrost and the displacement rates of large unstable rock-slopes 13 (LURSs) is fundamental for understanding future hazard scenarios and establishing appropriate 14 management strategies. From an inventory of > 500 LURSs in Norway, we investigate the controls of 15 those with available information of displacement rates (296 LURSs), presenting the first statistical 16 evidence of permafrost as the main driver of displacement rates of LURSs. The probability for a LURS to 17 displace if permafrost is present now or was during the Little Ice Age (LIA) is around 2.4 times higher 18 than if permafrost was already absent during the LIA. This probability is 1.6 times higher for LURSs with 19 current permafrost than for LURSs with permafrost during the LIA that has since melted. Therefore, our 20 findings enrich the classical conception that warming of permafrost increases displacement rates of

- 21 LURSs, by showing that the complete thawing of permafrost can result in a decrease in displacement
- 22 rate or even a complete halt of movement.

23 **Key words**: Subarctic; Permafrost thawing; Unstable rock-slopes; Displacement rates.

24 **1. INTRODUCTION**

25 Currently, permafrost underlays ca. 17 % of the Earth's land surface and ca. 15 % of the land surface 26 area in the Northern Hemisphere (Obu et al., 2019). Throughout the Holocene, its extent has fluctuated 27 significantly, from a minimum areal distribution at the Holocene Thermal Maximum to a maximum 28 expansion during the Little Ice Age (LIA) (Kondratjeva et al., 1993; Lilleøren et al., 2012). Its current 29 warming and thawing at a global scale (Biskaborn et al., 2019) has a number of environmental impacts 30 both globally – such as the release of greenhouse gasses – and locally – for example, in alpine and high-31 latitude settings by affecting the stability of slopes (Haeberli et al., 1997; Gruber et al., 2004; Lewkowicz 32 and Harris, 2005; Huggel et al., 2012; Swindles et al., 2015; Liljedahl et al., 2016; Hjort et al., 2018; 33 Lewkowicz and Way, 2019).

34 In the last two decades, permafrost degradation has been found responsible for the occurrence of rock-35 slope collapses in the Alps, with volumes ranging from thousands to hundreds of thousands of cubic meters (Gruber et al., 2004, Allen et al., 2009, Ravanel et al., 2011, Ravanel et al., 2017). For failures of 36 37 that scale, the relation between the collapse and the degradation of the permafrost has been 38 established at a regional scale. However, for large rock-slope collapses, with volumes of several 39 hundreds of thousands or millions of cubic meters of rocks, any causal relationships that have been 40 proposed up to date are based upon a single or a small number of event investigations (Haeberli et al., 41 1997; Fischer et al., 2010; Phillips et al., 2017; Svennevig et al., 2020; Shugar et al., 2021), theoretically 42 proposed in mechanical models and evaluated in laboratory experiments (Krautblatter et al., 2013), or 43 have been modelled with a numerical stability model, reproducing the mechanical response of rock-44 slopes to permafrost degradation (Mamot et al., 2018). A step forward in the global understanding of 45 permafrost degradation as the first control of rock-slope deformation was made in a recent work

46 combining thermal ground conditions with paleo-slip rates of six unstable rock-slopes (Hilger et al., 47 2021). This work postulated that the frequency of slope failures could increase under warming 48 conditions. But is the relationship so straightforward? Answering this question has implications for 49 understanding landscape evolution and geohazard scenarios and establishing appropriate development 50 strategies in high-mountain settings and arctic and subarctic regions. Norway, with more than 500 LURSs 51 identified through a vast program for systematically mapping large unstable rock-slopes (LURSs; fig. 1; 52 Blikra et al., 2006; Hermanns et al., 2013), and with current and past permafrost conditions covering 53 large part of its territory (Lilleøren et al., 2012; Gisnås et al., 2016a; Magnin et al., 2019), is a perfect 54 natural laboratory to build into this knowledge. Our study statistically explores the link between 55 permafrost and displacement rates of LURSs, by combining ground surface deformation rates 56 determined from satellite remote sensing for all of Norway (Dehls et al., 2019) and in-situ 57 measurements, with modelled current and past ground thermal conditions (Magnin et al., 2019; Gisnås 58 et al., 2016b). Furthermore, the study expands the debate on how landslide hazards in current 59 permafrost areas might change with warmer climate conditions.



Figure 1. Observation of LURSs and distribution of permafrost on the mainland of Norway. A) Location of
LURSs and type of permafrost (combined from Gisnås et al., 2016a and Magnin et al., 2019). The black
lines correspond to the profile displayed in figure D and. B) Location of LURSs and type of permafrost in
northern Norway (NN) with indication of extension of Troms and Finnmark counties. C) Location of
LURSs and type of permafrost in southern Norway (SN). D) Topographic profile from south to north with
locations of the headscarps of the LURSs, classified by class of permafrost.

69 2. METHODOLOGY

70 **2.1. Characterisation of large unstable rock-slopes**

In this work we analyse 509 LURSs with volumes larger than 100 000 m³ identified and mapped by the Geological Survey of Norway (NGU) through a national systematic mapping and monitoring programme, which started in 2007 (fig. 1; Oppikofer et al., 2015). Their identification was conducted by using highresolution (1-m or better) aerial photos and digital terrain models (DTM) and the use of a full national satellite-based ground motion service (insar.ngu.no). The assessment of LURSs carried out by NGU includes field mapping, structural and kinematic analyses, monitoring of displacement rates, and in some cases, the dating of sliding surfaces.

In this work we computed the average orientation of each LURS using the 10m DTM of Norway and the perimeter of the LURSs. We derived from the DTM the aspect (geodesic method) and slope in ArcMap and used these inputs to calculate the normal vector in each cell of the DEM. We computed then a weighted sum of all the normal vectors inside each LURS's polygon. We used the inverse of the cosine of the slope to weight the sum since sloping cells of a DTM represent a larger surface area. Finally, the aspect and slope of the surface represented by the resulting normal vector was computed.

84 Once the orientation was calculated for each LURS individually, we calculated the average orientation of 85 all the LURSs in a region. To achieve this, we derived a horizontal unit vector from the aspect of each 86 LURS calculated previously and then sum all those vectors. If the LURS are all oriented in the same 87 direction, the resulting vector will be long, while if they are randomly distributed, this vector will be 88 short. To measure this, we compute the strength of the resulting vector (or mean resultant length), 89 which is defined as its length divided by the number of vectors summed (Borradaile et al., 2003). Thus, a 90 value of 1 means that all the vectors have the same orientation, while a value close to 0 reflects the 91 absence of preferential orientation.

92 We calculated the LURSs' volumes using the sloping local base-level (SLBL) method (Jaboyedoff et al., 93 2020), which is an iterative method considering that the volume above a sloping surface connecting the 94 surrounding points, in that case the limits of the instability, can be eroded. A tolerance can be used to 95 create a curved surface, and the value of the tolerance controls the curvature. We implemented this 96 method in an ArcGIS toolbox (https://github.com/ngu/pySLBL). The developed tool calculates the 97 tolerance automatically for each LURS by drawing a profile going through the centre of the LURS's 98 polygon and following the average slope direction. The horizontal length (l) of the LURS and its altitude 99 difference (Δz) along this profile are used to estimate the tolerance (c) using the following formula:

100
$$c = 2 \cdot \left(1 - \sqrt{2}\right) \cdot \Delta z \cdot \left(\frac{x^2}{l^2}\right)$$

where *x* is the cell size of the DTM. This formula gives a tolerance that is half of the maximum tolerance defined in Oppikofer et al., 2016. From our experience, the tolerance as calculated in this study can be considered acceptable. The volume is given by the difference between the original DTM and the resulting DTM after applying the SLBL method, which represents the thickness of the LURS. The sum of all the pixels of the raster of thickness, multiplied by the area of a pixel results in the volume of the LURS.

107 **2.2. Mea**

2.2. Measurement of displacement rates

Displacement rates of 296 out of 509 LURSs have been used in this work. Displacement rates were extracted from the NGU database and own measurements using InSAR Norge portal. We use the displacement rates that are representative of the whole LURSs, not of single scenarios. Within the framework of the hazard classification system done by NGU (Hermanns et al., 2012), six classes of displacement have been defined: 1. No movement; 2. <0.5 cm/y; 3. 0.5-1 cm/y; 4. 1-4 cm/y; 5. 4-10 cm/y; and 6. >10 cm/y. LURSs with unknown displacement rates are located mainly on slopes with dense
 vegetation coverage, are in shadow zones of the Sentinel-1 satellites or are on inaccessible slopes.

115 The methods used for the monitoring of displacement rates are as follows:

116 Differential Global Navigation Satellite System (dGNSS): used to measure displacement rates on 95 117 LURSs. The time the LURSs have been monitored is variable, the longest monitored period with this 118 method spans from 2003 to 2018, and the time interval between the measurements depends on the 119 degree of activity each LURSs, accessibility, estimated risk, among other factors. The measurements rely 120 on the installation of a network of one or several fixed points in stable outcrops and several points on 121 the unstable rock-mass. GNSS antennas are mounted for each acquisition campaign on bolts fixed to the 122 rocks. Static phase measurements are performed with an interval of a minimum of one year between 123 acquisitions. The measurements are then post-processed using the fixed point(s) as reference. The 124 displacement rate of the LURSs is considered significant if both the following conditions are met:

• the displacement rate obtained by linear regression (v) is higher than the average measurement accuracy (σ_{tot}) multiplied by the square root of two and divided by the time interval between the first and the last measurement (Δt) in years:

128
$$v > \frac{\sqrt{2} \cdot \sigma_{tot}}{\Delta t}$$

• the displacement follows a coherent trend over at least three measurements.

Tape extensometers: used on 34 LURSs. Here, eye bolts are mounted on both sides of a structure (scarp,
 crack, etc.), permitting the installation of the instrument at the same location for each acquisition.
 Repeated measurements are performed with an interval of at least a year. The displacement rates are
 given by the change of the length of the tape over the years. As for the GPS, a coherent trend shown by
 at least three measurements (i.e. at least 2 years between the first and last measurement) is necessary
 to consider the measured velocity reliable.

Interferometric Synthetic Aperture Radar (InSAR): compares microwave images acquired at different
 times, allowing the detection of surface displacement rates down to mm/y scale, by analysing changes
 in the phase of the returned microwave (Massonnet et al., 1998). The monitoring can involve both
 satellite-based InSAR and ground-based InSAR (GB-InSAR) (Wasowski et al., 2014, Bertolo, 2017,
 Kristensen et al., 2021).

Satellite InSAR measurements are based on Sentinel-1 images acquired since 2015 (freely available at https://insar.ngu.no) and processed with the persistent scatterers method (Ferretti et al., 2000; Ferretti et al., 2001). Sentinel-1 has a spatial resolution of 5 by 20 m, a swath width of 250 km, and a revisit period of either 6 or 12 days between acquisitions. The measurements are one-dimensional along the radar line-of-sight (LOS) and depend on the acquisition geometry. Thus, the accuracy of the method depends on the angle formed by the LOS of the sensor and the vector of displacement of the LURS.

147 The LOS of the satellites is inclined either towards the west or the east. Therefore, LURSs with stronger 148 northward or southward displacement will have their rates underestimated, but the vertical component 149 will be measured anyhow. The larger the angle between the displacement vector of a LURS and the LOS 150 of the satellite, the more we underestimate the real displacement of a LURS. However, since we are 151 mostly interested in the magnitude of the displacement the measurements (divided in classes) are 152 acceptable approximations of real displacement rates, but there is a risk of classifying some LURS in a 153 lower class of displacement. Because snow coverage hinders the measurements in winter, 2 m-high 154 corner reflectors have been installed on 23 LURSs to allow for measurements throughout the year. 155 Ground-Based InSAR has been used on 9 LURSs by the Norwegian Water Resources and Energy 156 Directorate (NVE). The measurements were computed along the LOS of a radar mounted to the ground, 157 typically in front of and below the LURS. This makes the instrument to have its LOS as parallel as possible

to the expected displacement vector. The LiSALab radar system, that measures in the Ku band, with a

central frequency of 17.2 GHz is used. The instruments can measure movements exceeding 1 m/day, but
since for some LURSs the displacements range from a few mm to a few cm per year, periodic campaigns
(about a week in length) are conducted two or more times in a season or over a few years. These
campaigns are averaged to a single radar image, and the change of distance between the campaigns is
calculated. If a LURS has a very fast displacement, its rate is measured on a daily basis.

164

2.3. Steep slopes permafrost mapping

To assess current permafrost conditions on LURSs, we extracted the minimum mean annual rock-surface
temperature (MARST) value inside the polygon representing each LURS and the corresponding
permafrost class (sporadic, discontinuous, continuous) from the 10 m resolution CryoWALL map from
Magnin et al. (2019), covering all slopes > 40° in a 10 m resolution DEM (fig. S6). When more than a class
of permafrost is present, we assign the one covering the largest area. For LURSs in gentler slopes, we
assigned the class of permafrost using the regional permafrost model and MAGT temperatures from
Gisnås et al. (2016b).

172 The MARST map derives from a multiple linear regression model, with mean annual air temperature 173 (MAAT) and potential incoming solar radiation (PISR) as explanatory variables. Magnin et al. (2019) 174 calibrated it with in-situ measurements (85 MARST measurement points), local MAAT records and 175 computed PISR at each measurement point using GIS tools. The predicted MARSTs and their standard 176 deviation were used to calculate a permafrost probability (probability that MARST is $\leq 0^{\circ}$ C), and later 177 converted into a map of permafrost classes, with sporadic permafrost designating areas with permafrost 178 probability of 10 to 50%, discontinuous permafrost designating areas with probability ranging from 50 to 179 90% and continuous permafrost referring to areas with a probability of >90% (Magnin et al., 2019). Thus, 180 "sporadic permafrost" indicates conditions where permafrost may be very patchy and subsists because 181 of transient effects from past colder climates or because of locally favourable conditions (such as 182 fractured outcrops with air ventilation); "discontinuous permafrost" indicates more wide-spread areas,

but with permafrost temperatures closer to 0°C, while "continuous permafrost" indicates conditions
favourable to permafrost persistence independent of ground characteristics (fractured or not) or
debris/snow cover. A probability of 50 % corresponds to a MARST of 0°C.

186 For this study, we produced MARST and permafrost class maps for the Little Ice Age (LIA; ca. 250 y BP) 187 using the air temperature coefficient of the multiple linear regression model (1.06) and the LIA known 188 air temperature anomaly (compared to the 1961-1990 period). For the LIA anomalies, we used the value 189 -1.5°C, which is considered to be reasonable value based on various studies in the wider North-Atlantic 190 region (e.g. Lilleøren et al., 2012; Etzelmüller et al., 2020). As a first step, the air temperature difference 191 was calculated from the periods 1981-1990 and 1961-1990 from the SeNorge air temperature maps and 192 applied to the CryoWALL map (Magnin et al., 2019). Then, we considered the LIA for back analysis and 193 its respective air temperature anomaly of -1.5°C. For the LIA period, specific MARST, permafrost 194 probability and permafrost class maps were created and used to determine permafrost conditions on 195 LURSs on steep slopes. For LURSs of gentler slopes, the presence of permafrost during LIA was evaluated using the map of Lillehøren et al. (2012) who modelled the distribution of Holocene permafrost in 196 197 Norway. Figure S1 shows an example of the MARST map and permafrost maps for the LIA and current 198 times (CT) for a LURS from northern Norway.

199 **2.4. Statistical analyses**

We performed statistical analyses to investigate the relation of different variables, namely permafrost, minimum temperature, slope, area and lithology with the displacement rates of LURSs. Other aspects such as the structural control or the glaciation history where not considered as they are difficult to investigate at that scale. To further identify if the considered variables are spatially related, the analyses were done for the entire country, the South, the North, and two specific regions of the North: Troms and Finnmark. Thus, the groups are not independent since Troms and Finnmark are included in the

- 206 North, which, together with the South, are included in the entire country. Because of the different
- 207 nature of the studied variables (Table 1), various tests were performed.
- 208
- 209 Table 1. Tested variables and corresponding data types.

Variable	Туре
LIA-CT permafrost	Categorical ordinal
CT permafrost	Categorical ordinal
Min MARST	Interval
Displacement rate	Categorical ordinal
Area	Ratio
Rock type	Categorical nominal
Slope	Ratio

210 Note: The LIA-CT permafrost is a combination of two ordinal scales. It allows calculating Kendall's τ.

211 Displacement rate is considered on a categorical scale since the available displacement data does not

212 always allow accurate measurement of the displacement rate. Here we sort the classes primarily by CT

and secondarily by LIA to use the combination scale as an ordinal scale.

214 *The Chi-squared method* (Pearson et al., 1900) is applied here when both variables are categorical. The

215 observed Chi-squared is given by:

216
$$X^2 = \sum_{i=1}^{n} \frac{(O_i - E_i)^2}{E_i}$$
 (eq. 1)

where O_i is the observed number of events in class *i* and E_i is the expected number of events in the

same class assuming no correlation (null hypothesis). The p-value is then calculated from the Chi-

squared distribution using the corresponding degrees of freedom, calculated as follows:

220
$$dof = (m-1) * (n-1)$$
 (eq. 2)

221 where m and n are the number of classes for the two parameters, respectively. The p-value gives the 222 probability for the Chi-squared, assuming the null hypothesis, to be higher than the observed Chi-223 squared. Thus, the lower the p-value, the less likely the data is to fulfil the null hypothesis. It is 224 commonly considered that the null hypothesis is rejected for p-values below 0.05 (Borradaile, 2003), 225 which implies that the alternative hypothesis (i.e., that the variables are correlated) is then accepted. 226 Here, we considered the correlation to be significant for p-values below 0.01, partly significant for p-227 value between 0.01 and 0.1 and not significant for p-values above 0.1. It is recommended to apply the 228 Chi-squared method only when the expected number of events is at least 5 for each class (Borradaile et 229 al., 2003). Here we classify the velocities in fewer classes (no movement, significant movement <1cm/y, 230 >1cm/y) to avoid having classes with too few values, and only use the classes of the other parameters 231 (rock type or permafrost type) when there are at least ten values in them. Even when using fewer 232 classes, we do not always reach the minimum expected value of 5 events in each class, so we present 233 the minimum expected value as a control so that the analyses where this threshold is not reached can 234 be interpreted more carefully (Table S1).

235 <u>Kendall's τ</u> calculates the association between two rankings. It is calculated from the following equation:

236
$$\tau = \frac{C-D}{\sqrt{\left(\frac{n(n-1)}{2} - T_x\right)\left(\frac{n(n-1)}{2} - T_y\right)}}$$
 (eq. 3)

where *C* is the number of concordant pairs, *D* the number of discordant pairs, *n* the number of data points, T_x the number of ties on *x* and T_y the number of ties on *y* (Agresti, 2010). A concordant pair is a pair (x_1, y_1) and (x_2, y_2) where either $x_1 > x_2$ and $y_1 > y_2$ or $x_1 < x_2$ and $y_1 < y_2$. Kendall's τ takes a value between -1 and 1, where -1 indicates a perfect monotonically decreasing relation, 1 indicates a perfect monotonically increasing relation and 0 indicates the absence of relation. Again, we calculated a p-value, to test for the hypothesis that the two variables do not show a statistical correlation. Although
the p-value, which is two-sided, differs from the one obtained using the Chi-squared method, they can
be interpreted similarly. This test is applied without filtering or reclassification since it is not influenced
by the number of values in a class.

246 **3. RESULTS**

247 **3.1. Distribution of LURSs**

248 LURSs are spatially concentrated in southern Norway (261) and north of the Polar Circle (241; fig. 1). At a 249 country scale, the median maximum altitude of the headscarps is ca. 700 m a.s.l. (fig. 2A), although 250 higher in the south than in the north. The spatial distribution of LURSs is associated with geologic and 251 landscape conditions and the Quaternary history. LURSs in southern Norway developed mostly in over-252 deepened valleys and fjords, and those in northern Norway mainly developed in coastal cliffs carved on 253 flat or gentle relief in Finnmark and in over-deepened valleys and fjords in Troms. The median volume of LURSs in northern Norway is 9.3 * 10⁶ m³ and 2.9 * 10⁶ m³ in the South (fig. 2B). The mean orientation of 254 255 LURSs is 291° (WNW) with a vector strength of 0.14, indicating a weak preferential orientation (fig. 2C). 256 This is mostly influenced by the LURSs in the North (with a preferential orientation of 287° and a vector 257 strength of 0.36), while there is almost no preferential orientation in the South (with a preferential 258 orientation of 80° and a vector strength of 0.06). 82% of LURSs have preferentially developed on five 259 lithologic units, as observed in Figure 2D. In the North, most LURSs developed on 1) mica-gneisses, mica-260 schist, metasandstone and amphibolite, while a minor proportion developed on 2) metasandstones and 261 mica-schist. The high proportion of LURSs in unit 1 (35%) is not representative of the distribution of 262 lithologies in this region in which this unit is only outcropping in 10% of the surface (fig. 2D). In the 263 South, most LURSs developed on 1) dioritic to granitic gneiss, migmatites, while a minor proportion 264 developed on 2) phyllites, mica-schist. Here, the lithologies in which the LURSs developed better match

the general distribution of outcrops in that region. The lithologies grouped as "Others" are

266 underrepresented in the LURSs distribution in both regions.



269 Figure 2. Characteristics of the LURSs. A) Boxplot with altitude of headscarps per region and for the 270 entire country. B) Boxplot with volume of LURSs per region and for the entire country. C) Rose diagram 271 with the distribution of slope aspects at which LURSs developed. The vectors indicate an eventual 272 preferred orientation, where the vector's length is proportional to its strength. The blue vector is for the 273 whole of Norway; the orange and green are for the North and South respectively. The few LURSs from 274 central Norway are shown as an independent class for completeness but are otherwise only included in 275 the total. D) Stack bar showing the distribution of LURSs by lithologies, and the proportion of the surface 276 covered by those lithologies in the same regions (with hashed pattern). Lithologies were taken from the 277 1:250 000 harmonized bedrock map database (http://geo.ngu.no/kart/berggrunn_mobil/). Note: Boxes 278 in boxplot represent 25–75% quartiles and whiskers are 1.5 interquartile ranges from the median. 279 Medians are shown as orange lines.

280 **3.2. Current displacement rates of LURSs**

Displacement rates have been established for ca. 57% of the LURSs, and from this, ca. 61% (177) show active displacements. The number of active LURSs increases towards the North. Around 60% of the currently inactive LURSs are located in southern Norway. The proportion of LURSs with displacements slower than 0.5 cm/y is similar throughout Norway. More than 70% of the LURSs with displacements ranging 0.5-1 cm/y are located north of the Polar Circle, as are more than 80% of those displacing between 1-4cm/y (fig. 3).



Figure 3. Cumulative curves of classes of displacement rates from south to north. The location of theLURSs and the profile used to build the cumulative curves are displayed on figure 1A.

291 **3.3.** Past and current permafrost conditions of LURSs

292 Steep slopes (> 40° on 10 m resolution DEM), represent around 6000 km² in cartographic area in Norway. Our model shows that approximately 3000 km² of those steep slopes had permafrost 293 294 (continuous to sporadic) during the LIA. This represents 48 % of the steep slopes' surface area (fig. 4D), 295 meaning that the other 52% had already no permafrost at that time. Our model further shows that 32% 296 of the steep slopes' surface area currently has permafrost, which means that permafrost disappeared in 297 around 16 % of the steep slopes between the LIA and now. In Finnmark (northern Norway), the sporadic 298 permafrost extends down to sea level on coastal cliffs. In the southern extreme of Norway, the current 299 lower limit is at around 700 m a.s.l. At that altitude, only extremely shaded slopes can have permafrost. 300 The lower modelled altitudinal limit of sporadic permafrost during the LIA was at sea level in northern 301 Norway and ca. 270 m a.s.l. in the south.

302 From the modelled permafrost during LIA and the location of the LURs, we observe that during the LIA, a 303 similar number of LURSs existed in permafrost-free and sporadic permafrost areas (fig. 4A). After the 304 LIA, the reduction in the extent of the permafrost changed the ground conditions of several LURSs. 305 Seventyeight (45 in the South and 33 in the North) of 203 LURSs changed from sporadic permafrost to 306 permafrost-free conditions and 66 (16 in the South and 49 in the North and 1 in the centre) of 103 from 307 discontinuous to sporadic permafrost. Of the 237 LURSs with current permafrost in Norway, ca. 77% are 308 located north of the Polar Circle. Figure 4 A and D show that the proportion of LURSs in the sporadic 309 permafrost class (around 37% at CT) is higher than the proportion of the surface covered by sporadic 310 permafrost (around 21% at CT), which gives a higher number of LURS per square kilometer in that class 311 (fig. 4 E).



Figure 4. Temporal change of type of permafrost present in LURSs. A) Histogram showing the proportion
of LURSs in each class of permafrost in Norway. B) Histogram showing the proportion of LURSs in each

315 class of permafrost in northern Norway. C) Histogram showing the proportion of LURSs in each class of 316 permafrost in southern Norway. D) Histogram showing the proportion of steep slopes in each class of 317 permafrost. E) Histogram showing the number of LURSs per square kilometre. No permafrost and 318 continuous permafrost are underrepresented in the number of LURSs per square kilometre, while 319 sporadic permafrost and discontinuous permafrost (for the latter mostly in the Little Ice Age) are 320 overrepresented.

321

3.4. Controlling factors of displacement rates - Statistical analyses

322 The relationships between LURSs' volume, lithology, slope, and displacement rates, are presented in fig. 323 5. LURSs with smaller volumes seem to have slower displacement rates than the larger ones. However, 324 the pattern is not very clear. Regarding the relation between volume and lithology, we observe that 325 LURSs developed on dioritic to granitic gneiss and migmatites are smaller than those developed on other 326 types of rocks. In addition, at the country scale, LURSs developed on this type of rock seem to present 327 slower displacements. However, this is because this unit is significantly more represented in the South 328 than in the North, where displacement rates are slower. Indeed, a similar relation is not observed when 329 analysing the North and the South separately.





Figure 5. Boxplots showing the distribution of volumes of LURSs by displacement rate class for the whole country (A), North (B) and South (C) Norway. Box plots showing the distribution of volumes of LURSs by class of lithology for the whole country (D), North (E) and South (F). Stack bars showing the relative distribution of LURSs by lithology and displacement rates classes for the whole country (G), North (H) and South (I). Note: Boxes in boxplot represent 25–75% quartiles and whiskers are 1.5 interquartile

- ranges from the median. Medians are shown as orange lines. For (G), (H) and (I) where a class
- 337 represents less than 10 LURSs, it is overprinted with a hashed pattern.
- 338 Concerning the relation between current permafrost and displacement rates, LURSs with no permafrost
- show lower displacement rates than those with permafrost in general (fig. 6). Sporadic permafrost hosts
- 340 LURSs with relatively higher displacement rates than discontinuous permafrost (fig. 6 and fig. S1).



Figure 6. Stack bar showing the relative distribution of LURSs, the type of permafrost and displacement rate classes for Norway (A), NN (B) and SN (C). Where a class represents less than 10 LURSs, it is shown with higher transparency.

345 The analysis of minimum MARST shows that displacement rates increase with decreasing temperatures

346 (fig. 7A). This trend is more evident in northern Norway (fig. 7B) and more marked in Troms (fig. S2),

347 where the range of temperatures is wider. Currently, inactive LURSs have an average minimum MARST

348 over +3°C, while it is close to +1°C for active LURSs.



Figure 7. Boxplots with minimum MARST, and displacement rate classes with amount of LURSs present in each class. Note: Boxes represent 25–75% quartiles and whiskers are 1.5 interquartile ranges from the median. Medians are shown as orange lines.

353 The analysis of the distribution of permafrost during the LIA and current time (CT), combined with 354 current displacement rates, shows the link between permafrost conditions and the activity of LURSs (fig. 355 8). LURSs with permafrost conditions during the LIA are more likely to move than those that did not 356 have permafrost. It is also interesting to observe that the LURSs having discontinuous permafrost during 357 the LIA show less movement if they still have discontinuous permafrost than if they have now sporadic 358 permafrost (fig. 8). LURSs with no permafrost during the LIA nor in CT are more likely to show no 359 movement or insignificant displacement rates. Globally, ca. 30% of LURSs without permafrost conditions 360 during the LIA are currently moving, while ca. 70% of LURSs with permafrost conditions during the same 361 period show active displacements. 49% of LURSs with permafrost during the LIA but without current 362 permafrost conditions show active displacements, which means that the probability of a LURS being 363 active is 1.6 times higher if the permafrost did not completely thaw between the LIA and CT. These 364 characteristics are visible at the country scale and in the North and South separately (fig. 8 and fig. S3). 365 Of the 25 LURSs in the South, where permafrost thawed completely after the LIA, 12 of them do not 366 show current displacement. Of the 18 LURSs in the North with displacement information where 367 permafrost thawed completely after the LIA, 10 do not show current displacement.



368

Figure 8. Stack bar showing the distribution of LURSs by type of permafrost and during the LIA and
current times (CT), and classified by current displacement rates. Where a class represents less than 10
LURSs, it is overprinted with a hashed pattern. Classes are ordered first by CT permafrost type, then by
LIA.

373 In the results of the statistical tests (fig. 9), from the values of Kendall's τ , we can observe that the 374 current type of permafrost is positively associated with the displacement rates, although the association 375 is moderate. The association is relatively stable for all geographic areas. It is slightly lower in the South, 376 where permafrost is less widespread (0.16), and has values of 0.31-0.43 for the other regions. The 377 results are statistically significant with p-values below 0.01, except for the South where the p-value is 378 partly significant (0.05). The association is also positive for the combination of permafrost during the LIA 379 and CT (0.21-0.39), with all p-values below 0.01. The association is negative for the minimum MARST 380 and the displacement rates, meaning lower temperatures correspond with faster displacements. The 381 association is moderate (-0.18 to -0.33), but statistically significant for all regions. On the other hand, the 382 trend is not clear for the association of the volume and the displacement, since τ takes both positive and 383 negative values, and since the associated p-value is significant in only one region (South), partly 384 significant in three other regions (Norway, Troms and Finnmark) and not significant in the last region 385 (North). In the South, the association is the largest (0.26), while the p-value is the lowest, which 386 indicates a positive association between the volume and the displacement rates in this area. This is 387 probably related to the large number of smaller LURSs developed in dioritic to granitic gneiss and 388 migmatites that tend to have not-significant or no displacements. A relatively similar observation can be 389 done for the association between the slope and the displacement rates. At the country scale, the 390 association is negative (-0.15) and statistically significant, meaning LURSs on steeper slopes tend to 391 present less displacement. Similar observations can be made in the South and in Troms, but are only 392 partly statistically significant. No association is observed when looking at the North, and a positive, but 393 statistically not significant association is observed in Finnmark.

The p-values obtained with the Chi-squared method (fig. 9) in northern Norway do not show a correlation between lithology and displacement rates. In the South, there is a partial correlation between these parameters. On the other hand, the current permafrost and the displacement rates, as well as the combination of permafrost during the LIA and CT in relation to displacement rates, show a statistical significance for all the regions, except for the correlation of displacement rates and current permafrost in the South, where the p-value is only partly significant.





401 Figure 9. Results of the statistical tests for different combinations of variables. A) Kendall's τ indicates 402 the association of two variables. A value of 1 indicates a perfect monotonically increasing association, a 403 value of -1 a perfect monotonically decreasing association, and a value of 0 indicates no association. 404 Both p-values (B and C) indicate the statistical significance of the tests, which are considered statistically significant below the green line, partly significant between the green and the red lines and not 405 406 significant above the red line. Note that permafrost is positively associated with the velocity and the 407 association is statistically significant. On the other hand, volume, area, slope, or rock type are less clearly 408 related to the velocity.

409 4. DISCUSSION AND CONCLUSIONS

410 **4.1. Uncertainties and limitations**

411 Two major uncertainties and limitations arise from this study: (1) the statistical evaluation and (2) the

412 co-existence of permafrost and large unstable rock-slopes.

413 Concerning (1), the Chi-squared and Kendall's τ tests do not differentiate cause-effect from 414 autocorrelation. In our case, we limit the risk of autocorrelation by applying the tests at country-scale, to 415 the North and the South separately and by dividing the North in two. Indeed, since permafrost is mostly 416 present in the North, a correlation with the type of rocks is expected to be seen simply because 417 lithologies are different in the South and the North, while this is less likely inside both regions 418 independently. In addition, we look at different parameters that could explain the difference in 419 displacements, such as size, slope angle, and lithology. Another effect that we do not consider is that 420 Kendall's τ tests test for monotonically increasing or decreasing function. But we see that the relation 421 between permafrost and displacement rates is not monotonical since lower displacement rates are 422 observed and expected where permafrost is either absent or continuous, and higher displacement rates 423 are expected for thawing permafrost (mostly sporadic). However, the low number of LURSs in 424 continuous permafrost implies that we are mainly looking at the discontinuous, sporadic and no 425 permafrost classes.

426 Concerning (2), the permafrost conditions are based on a temperature map derived statistically and for 427 steady-state conditions. Other processes that are not accounted for in the statistical approach may 428 impact permafrost conditions, such as topographical and transient effects (Noetzli et al., 2007; Noetzli 429 and Gruber, 2009; Myhra et al., 2017). The topographical effects are related to the variable energy 430 balance at the ground surface that is strongly controlled by direct solar radiation, which depends on sun-431 exposure and latitude. In Norway, the control of the solar radiation on ground surface temperatures is

432 less than in the European Alps (Hipp et al., 2014; Magnin et al., 2019) and induces temperature 433 difference in the order of 2 to 4°C between north-exposed and south-exposed faces (this decreases with 434 latitude). These differences affect the temperature fields at depth and permafrost that is not expected 435 in a south face when considering statistically-derived surface temperature may exist due to the 436 proximity of a colder north face. This is particularly the case for alpine topography with sharp peaks and 437 spurs. Transient effects that result from the past climatic conditions add to this topographical control. 438 Here again, permafrost may persist at depth even if the predicted permafrost conditions indicate a low 439 probability of its occurrence because of the transient effect of past colder climate. The permafrost map 440 representing current permafrost conditions typically represents conditions for a 30-year period, partially 441 accounting for the recent atmospheric warming (1981-2010). The effect of past and colder decades may 442 persist at depth. In this respect, basing the permafrost classes on permafrost probability limits the risk of 443 misinterpreting the results due to a lack of consideration for past climate because the map users are 444 made aware that a low probability (e.g. probability falling under the sporadic permafrost class) doesn't 445 necessarily mean the absence of permafrost. Other parameters that may favour the existence of 446 permafrost even under low probability are the snow cover and bedrock fractures. Previous 447 investigations show that permafrost might exist below positive surface temperature up to about 3°C 448 depending on sun-exposure (Hasler et al., 2011). For example, the Jettan unstable rock-slope in 449 northern Norway, with ice in deep fractures (Blikra and Christiansen, 2014).

450

4.2. Permafrost and current displacement rates

In Norway, geologic, landscape, and climate conditions control the development of LURSs, their extent and kinematics (Böhme et al., 2013; Booth et al., 2015; Vick et al., 2020). The destabilisation of rockslopes mainly occurred soon after the Younger Dryas deglaciation, as shown by cosmogenic nuclide dating of sliding surfaces and morphologic assessments (Hermanns et al., 2017; Hilger et al., 2018; Hilger et al., 2021). Differences in precipitation can not explain differences in displacement rates at country

456 scale. While the mean annual precipitation is higher in the southwest coast (Lussana et al., 2018), the 457 higher displacement rates of LURSs are measured in the North. The fact that the lithologies in which 458 most LURSs developed better match the areal distribution of the lithologies in the South than in the 459 North is primarily due to suitable relief conditions and does not mean LURSs have a higher tendency to 460 develop on a specific lithology. Instead, the high relief areas occupy a larger extension in the South than 461 in the North. Similarly, the lithological units classified as "others" are always underrepresented when 462 considering the LURSs' lithology distribution simply because they are widespread in regions with low 463 relief, where no LURSs developed and have therefore not been differentiated in this analysis. Regarding 464 the activity of the LURSs, a recent study of nine LURSs in northern Norway showed their complex nature 465 and pointed out a link between displacements and structural conditions (Vick et al., 2020). In southern 466 Norway, actively displacing LURSs are mostly seen on phyllites and mica-schist. In four of those LURSs, 467 the poor rock-mass quality was previously proposed as a key control of the active deformation (Böhme 468 et al., 2013; Penna et al., 2017). However, our results show that the correlation between lithologies and 469 displacement rates is only partially significant in the South and not significant in the North. While 470 geologic conditions alone cannot explain the northward increase of displacement rates or even 471 differences in displacement rates in northern Norway, ground temperature variations can. Our results 472 show that permafrost and changes in permafrost co-vary with observed displacement rates, with faster 473 displacement measured in LURSs with a MARST closer to 0°C suggesting permafrost at depth. This is 474 caused by the cryostatic and hydrostatic changes induced by the warming of permafrost. The factor of 475 safety of ice-filled fractured rocks decreases when ground temperatures get closer to the ice's melting 476 point (Davies et al., 2001); it is equal to 1 or more when ice has fully melted or when temperatures are 477 below the melting point. The shear stress decreases when ice-filled joints warm to temperatures close 478 to 0°C (Mamot et al., 2021). In addition, the melting of ice increases the water circulation in jointed 479 rocks, enhancing the hydrostatic pressure of the frozen fractured rocks (Murton et al., 2016).

480 **4.3. Permafrost decline and the hazard paradigm**

481 Since the deglaciation of the Fennoscandian Ice Sheet, the permafrost in Norway has undergone drastic 482 variations (Lilleøren et al., 2012). Fluctuations in the extent of permafrost have an impact on the 483 strength of rock-slopes. Recent works discuss how the aggradation and degradation of permafrost 484 undermine their stability (e.g. Voigtländer et al., 2018; Dräbing and Krautblatter, 2019). Our results 485 show that LURSs with discontinuous permafrost during the LIA are more likely to move if they currently 486 have sporadic permafrost than if they currently have discontinuous permafrost. In addition, LURSs with 487 permafrost remaining since the LIA are more likely to move than those with permafrost during the LIA 488 that are currently permafrost-free. This has two main implications; firstly, permafrost degradation can 489 increase displacement rates. This is consistent with the outcomes of recent studies on three LURSs of 490 northern Norway, located on sporadic or discontinuous permafrost, showing that paleo-slip rates were 491 half of the currently measured ones (Böhme et al., 2019; Hilger et al., 2021). Secondly, the complete 492 thaw of permafrost can lead to a decrease in the displacement rates, and LURSs can decelerate until 493 arrest. Both in the North and in the South, half of the LURSs whose permafrost thawed completely after 494 the LIA have insignificant displacement rates or no movement. Therefore, the paradigm of permafrost 495 degradation directly increasing the frequency of failures only partially addresses the effect of ground ice 496 melt associated with the thawing of permafrost. This is because active displacements are often seen as 497 the prelude to failure, and forecasts assume constant external forcing factors. But external factors 498 change with time (Carlá et al., 2017). The formation of a sliding surface requires the nucleation of cracks 499 under appropriate environmental and geologic conditions. As environmental conditions change with 500 time, the development of a sliding surface can be accelerated, deaccelerated, interrupted or 501 reactivated. The complete thaw of permafrost removes the hydrostatic pressure and cryostatic pressure 502 from the rock, leaving the shear force induced by gravity and pre-existing stresses as the main drivers of 503 deformation (Krautblatter et al., 2013). Therefore, if during the period of permafrost and permafrost

504 degradation the accumulated deformation was not enough to drive a slope to failure or to lead to the 505 full development of a sliding surface, a LURS can decelerate and halt (dormant state) once permafrost 506 has completely decayed. However, changes in environmental conditions can drive dormant LURSs to 507 reactivate. In summary, while permafrost warming at temperatures closer to 0°C can increase the 508 displacement rates of LURSs, thereby increasing the hazard level, the complete thaw of the permafrost 509 can lead to a decrease in their displacements, thereby decreasing the hazard level. However, neither 510 increase nor decrease of displacement rates assures or excludes the possibility of a LURS failing 511 catastrophically.

512 DATA AVAILABILITY

513 MARST data for current permafrost analysed during this study are included in Magnin et al. (2019;

514 https://doi.org/10.5194/esurf-7-1019-2019) and its supplementary information files

515 (https://doi.org/10.5194/esurf-7-1019-2019-supplement.).

516 The modelled permafrost map for the Little Ice Age is available from the corresponding author under 517 request.

- 518 InSAR data is freely available at https://insar.ngu.no
- 519 LURSs data is freely available at https://geo.ngu.no/kart/ustabilefjellparti_mobil/
- 520 Bedrock map available at: https://geo.ngu.no/kart/berggrunn_mobil/

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