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Laurence Galoisy, Georges Calas. Role of alkali field strength on the speciation of Ni2+ in alkali borate glasses: comparison with crystalline Ni-borates. Journal of Non-Crystalline Solids, 2022, 577, pp.121320. 10.1016/j.j.poncrysol.2021.121320. hal-03941983

HAL Id: hal-03941983 https://hal.science/hal-03941983

Submitted on 8 Jan 2024

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1	Role of alkali field strength on the speciation of Ni ²⁺ in alkali borate glasses: comparison with
2	crystalline Ni-borates
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4	
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8	

10 ABSTRACT

11 This work presents a comparative spectroscopic study of Ni speciation in binary alkali borate glasses, with up to 12 35 mol% alkali oxides, using optical absorption spectroscopy and Ni K-edge X-ray Absorption Near Edge 13 Structure (XANES)- and taking advantage of comparison with previous structural information obtained with Ni 14 K-edge Extended X-ray Absorption Spectroscopy (EXAFS) on the same samples. Nickel-bearing K, Na or Li 15 borate glasses exhibit an exceptional diversity of coloration (from green to yellow, brown, and purple). This is 16 due to a progressive coordination change of Ni²⁺ with increasing alkali content, from 6-, to 5- and 4-Ni-17 coordination, each coordination state having a peculiar structural significance. ^[6]Ni²⁺ is predominant in low 18 alkali borate glasses, where Ni octahedra cluster in ordered Ni-rich domains. ^[4]Ni²⁺ is formed at low alkali cation 19 field strength: it predominates in high potassic glasses but it is only a minor coordination state in Na-borate 20 glasses and it is absent in Li-borate glasses, in which, by contrast, ^[5]Ni²⁺ prevails. For these three coordination states, the comparison with the structure of crystalline borates suggests a diversity of linkages between Ni²⁺ and 21 22 the borate polymeric network. Among the alkali borate glasses investigated, each Ni-coordination state shows 23 similar spectroscopic properties. This implies that the modification of Ni speciation with glass composition 24 arises only from a variation of the relative contribution of 6-, 5- and 4-coordinated Ni²⁺ without significant 25 modification of the spectroscopic parameters characteristic of each site. The coordination number of Ni²⁺ is 26 correlated with the field strength of the modifier cations present in the glass: higher the field strength of the 27 modifier cations, higher the nickel coordination number. High-K borate glasses favor the formation of ^[4]Ni²⁺, as

this coordination state is not observed in Li- and is only minority in Na borate glasses, because of Li and Na preference for charge compensating ^[4]B instead of ^[4]Ni²⁺. Due to the similarities between Ni²⁺ and Mg²⁺, the diversity of the structural scenarios around Ni²⁺ in alkali borate glasses may shed light on the structural behavior of Mg in the same glasses, providing additional evidence of the control of Mg coordination by the field strength of the alkalis.

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Keywords: borate glasses; glass structure; UV-visible spectra; XANES spectra; crystalline borates; Mg
 coordination.

36

37 **1. Introduction**

38 Alkali borate glasses exhibit an original structure based on the complex interplay between three- and four-39 coordinated boron (referred to as ^[3]B and ^[4]B, respectively). Alkali elements are able to charge compensate the 40 charge deficit of ^[4]B or to depolymerize the borate network by generating non-bridging oxygen atoms. By 41 contrast to most glasses, which are considered to have only a short range ordered structure, these glasses show a 42 crystal-like medium range order in alkali-poor compositions, due to the presence of rigid super-structural units 43 based on an interlinkage between ^[3]B and ^[4]B sites [1]. The coordination change from ^[3]B to ^[4]B with 44 increasing alkali content modifies the structural properties of the other glass components, as alkali ions 45 associated to B turn from a modifying to a charge-compensating role. As a consequence, alkali borate glasses 46 exhibit important modifications of their structure, properties and dynamics as a function of the cation content 47 and field strength.

It has long been observed that the presence of transition elements in glasses causes spectacular color changes with or without any modification of their oxidation state [2-4]: in alkali borate glasses, transition elements are at the origin of one of the most noticeable chemical dependence of the color of oxide glasses. For instance, Ni^{2+} in potassium borate glasses gives rise to colors that vary from green to yellow to brown and to purple with increasing potassium content. This color change corresponds to a decrease of the coordination number of Ni^{2+} from 6 to 5 and 4 with increasing alkali levels. A low field strength of alkalis plays a major role in enhancing the conversion to ${}^{[4]}Ni^{2+}$ as also observed in silicate glasses [5]. A similar behavior has been shown in cobalt-bearing alkali borate glasses [6], with a similar decrease in the coordination number of Co^{2+} from 6 to 5 and 4 with increasing alkali levels and a low cation field strength favoring ^[4]Co²⁺. Similarly, the coordination number of uranyl groups in Li borate glasses decreases in 30Li₂O-70B₂O₃ borate glasses relative to 10Li₂O-90B₂O₃ glasses, with 5 and 6 equatorial oxygen ligands, respectively [7].

59 Alkali borate glasses show a more complex evolution of Ni²⁺ coordination with glass composition than 60 alkali silicate glasses [8]: at low alkali content, borate glasses are the only Ni-bearing oxide glasses to exhibit a 61 green color, indicative of the octahedral coordination of Ni²⁺. Extended X-ray Absorption Spectroscopy 62 (EXAFS) demonstrated that these octahedral sites occur in ordered defective domains [9,10]. With increasing 63 alkali content, these nanodomains progressively disappear, in relation with a coordination change of ^[6]Ni²⁺ to ^[5]Ni²⁺ and ^[4]Ni²⁺ [11]. Until now, most studies have discussed Ni²⁺-coordination in alkali borate glasses on the 64 65 only basis of Ni²⁺ crystal field spectra [4, 12]. The present study provides a new interpretation of optical 66 absorption spectroscopic properties of Ni²⁺ in alkali (Li, Na, K) borate glasses, with an alkali content ranging 67 from 10 to 35 mol%. These data are compared to Ni-bearing crystalline borates. Complementary structural 68 information is obtained from Ni K-edge X-ray absorption near edge structure (XANES) spectroscopy. We also use previous EXAFS data obtained on the same glasses [11]. We confirm the presence of ^[5]Ni²⁺ as in most 69 70 oxide glasses [5], in addition to ^[4]- and ^[6]Ni²⁺, the proportion between these different coordination states being 71 dependent on glass composition. The use of these complementary techniques allows reject some exotic 72 coordination states invoked in the past, such as square-planar, 8- or 12-fold coordinated Ni²⁺. The relative 73 proportion of ^[4]-, ^[5]- and ^[6]Ni²⁺ is composition dependent and is responsible for the coloration of Ni²⁺-bearing 74 alkali borate glasses.

75

76 2. Experimental methods

77

Li, Na and K borate glasses have been prepared by melting appropriate amounts of dried high purity grade K₂CO₃, Na₂CO₃, Li₂CO₃ and H₃BO₃. Starting reagents were Li₂CO₃ (Merck, 99%), Na₂CO₃ (Merck, 99.5%), K₂CO₃ (Merck 99%) and B₂O₃ (Aldrich, 99.98%). Nickel was introduced as NiO (Merck, 99.9%). After

^{78 2.1.} Glass synthesis

82 decarbonation at 750°C, the compositions were melted in a Pt crucible at 1100°C during 4 hours to limit alkali 83 losses. The glasses were quenched by pouring onto a metallic plate to obtain high quench speed giving plates 84 with parallel faces of about 0.5 mm thickness and a few cm diameter. They were not annealed. Low alkali 85 borate glasses were kept in vaseline oil to prevent glass hydration during exposure to air, particularly with less 86 than 20 mol% alkali oxides. To minimize the effect due to the hydroscopic nature of these samples, the optical 87 spectra data were recorded as quickly as possible after synthesis. Special care was given to the synthesis of 88 samples low in network modifiers, which may show the presence of some unfused NiO due to the low solubility 89 of NiO causing light scattering in these compositions [13]. The absence of light scattering effects in the optical 90 absorption spectra demonstrates that NiO is well dissolved of our samples.

The amorphous nature of the glasses was checked by x-ray diffraction. The actual composition of the glasses was obtained by flame Atomic Absorption Spectroscopy for boron and alkalis (within ± 1 %) and by electron microprobe for Ni (within 0.05% after averaging 10 analytical points) using the Cameca microbeam Microprobe of the Camparis analytical facility of Sorbonne University. Glass composition, normalized to 100 mol%, is given in Table 1 with nominal composition in brackets. The glasses appear visually homogeneous, transparent, with a constant coloration.

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	B_2O_3	Li ₂ O	Na ₂ O	K ₂ O	NiO
LiB10	88.1 (90)	10 (10)			1.9 (2)
LiB20	79 (80)	19.3 (20)			1.7 (2)
LiB30	69 (70)	29.2 (30)			1.8 (2)
NaB10	89.8 (90)		8.8 (10)		1.4 (2)
NaB20	79.5 (80)		20 (20)		1.5 (2)
NaB30	69.5 (70)		28.8 (30)		1.7 (2)
KB10	87.1 (90)			11.4 (10)	1.5 (2)
KB20	80.3 (80)			18 (20)	1.7 (2)

KB27	71.1 (73)		27 (27)	1.9 (2)		
KB31	67 (69)		31.2 (31)	1.8 (2)		
KB35	63 (65)		35.1 (35)	1.9 (2)		
Table 1: Chemic	al composition of the alkali b	orate glasses investigated ((mol%). The nomin	nal compositions are		
given in brackets	3.					
2.2. Crystalline references						
Crystalline CaNiSi ₂ O ₆ was used as a reference for slightly distorted ^[6] Ni ²⁺ [14] and NiCr ₂ O ₄ and Ni-bearing β-						
Al ₂ O ₃ for ^[4] Ni ²⁺ [15]. KNiPO ₄ and α -Ni ₂ P ₂ O ₇ were used as a reference for ^[5] Ni ²⁺ in a square pyramid geometry						
and coexisting ^[6] - and ^[5] Ni ²⁺ in a trigonal bipyramid symmetry, respectively [8]. The synthesis conditions of						
these crystalline references and their spectroscopic properties have already been reported [8, 14, 15].						
2.3. Optical abso	orption spectroscopy					
Crystal field transmission spectra of parallel polished plates of glasses have been recorded at room						
temperature between 250 to 2500 nm, with an UV-Visible-NIR computerized 2300 CARY spectrophotometer						
After conversion of wavelengths into wavenumbers, a baseline correction was performed to account for the						
physical process	es superimposed to electronic	c intraconfigurational trans	sitions (coherent li	ght scattering, ligand-		
to-metal charge	transfer transitions).					
Diffuse re	eflectance spectroscopy was	s used for the crystalling	e references, usir	ng a 9-inch-diameter		
integrating sphere coated with halon. These samples were prepared as finely ground powders deposited on A						
sample holders. Diffuse reflectance values were obtained by reference to halon. Reflectance values (R) ar						
converted into a remission function F(R) using the Kubelka-Munk formalism:						
$\mathbf{F}(\mathbf{R}) = (1$	$- R^{2})/2R.$					
F(R) is a	good approximation of the a	bsorbance of a light scatt	erer of infinite thi	ckness [16]. We first		
removed the background of the crystal field spectra obtained either in the diffuse reflectance mode or i						
transmission. We fitted the spectra (in a wavenumber scale, proportional to energy) into Gaussian component						
	KB27 KB31 KB35 Table 1: Chemic given in brackets 2.2. Crystalline forCrystalline CaNiAl2O3 for [4]Ni2+and coexisting [6]these crystalline $2.3. Optical absoCrystal fieldtemperature betwAfter conversionphysical processto-metal charge forphysical processto-metal charge forDiffuse forintegrating spheresample holders.converted into aF(R) = (1)F(R) is aremoved the bastransmission. W$	KB2771.1 (73)KB3167 (69)KB3563 (65)Table 1: Chemical composition of the alkali be given in brackets.2.2. Crystalline referencesCrystalline referencesCrystalline CaNiSi2O ₆ was used as a referenceAl2O3 for $^{[4]}Ni^{2+}$ [15]. KNiPO ₄ and α -Ni2P2O7and coexisting $^{[6]}$ and $^{[5]}Ni^{2+}$ in a trigonal bipy these crystalline references and their spectroseCrystal field transmission spectra of temperature between 250 to 2500 nm, with a After conversion of wavelengths into waver physical processes superimposed to electronic to-metal charge transfer transitions).Diffuse reflectance spectroscopy was integrating sphere coated with halon. These as sample holders. Diffuse reflectance values was converted into a remission function F(R) using F(R) = (1 - R ²)/2R.F(R) is a good approximation of the a removed the background of the crystal field transmission. We fitted the spectra (in a wave removed the background of the crystal field transmission. We fitted the spectra (in a wave removed the background of the crystal field transmission. We fitted the spectra (in a wave removed the background of the crystal field transmission. We fitted the spectra (in a wave removed the background of the crystal field transmission.	KB2771.1 (73)KB31 $67 (69)$ KB35 $63 (65)$ Table 1: Chemical composition of the alkali borate glasses investigated of given in brackets.2.2. Crystalline referencesCrystalline caNiSi ₂ O ₆ was used as a reference for slightly distorted ^[6] NiAl ₂ O ₃ for ^[4] Ni ²⁺ [15]. KNiPO ₄ and α -Ni ₂ P ₂ O ₇ were used as a reference for and coexisting ^[6] and ^[5] Ni ²⁺ in a trigonal bipyramid symmetry, respective these crystalline references and their spectroscopic properties have alreaded these crystal field transmission spectra of parallel polished plates of temperature between 250 to 2500 nm, with an UV-Visible-NIR computed After conversion of wavelengths into wavenumbers, a baseline correct physical processes superimposed to electronic intraconfigurational transito-metal charge transfer transitions).Diffuse reflectance spectroscopy was used for the crystalline integrating sphere coated with halon. These samples were prepared as sample holders. Diffuse reflectance values were obtained by reference converted into a remission function F(R) using the Kubelka-Munk formation a f(R) = (1 - R ²)/2R.F(R) is a good approximation of the absorbance of a light scatter removed the background of the crystal field spectra obtained either transmission. We fitted the spectra (in a wavenumber scale, proportion)	KB2771.1 (73)27 (27)KB3167 (69)31.2 (31)KB3563 (65)35.1 (35)Table 1: Chemical composition of the alkali borate glasses investigated (mol%). The noming given in brackets.2.2. Crystalline referencesCrystalline referencesCrystalline referencesCrystalline referencesCrystalline referencesCrystalline referencesCrystalline references and their spectroscopic properties have already been reported [8]Chystalline references and their spectroscopic properties have already been reported [8]C.J. Optical absorption spectroscopyCrystal field transmission spectra of parallel polished plates of glasses have be temperature between 250 to 2500 nm, with an UV-Visible-NIR computerized 2300 CARAfter conversion of wavelengths into wavenumbers, a baseline correction was perform physical processes superimposed to electronic intraconfigurational transitions (coherent lighto-metal charge transfer transitions).Diffuse reflectance spectroscopy was used for the crystalline references, using integrating sphere coated with halon. These samples were prepared as finely ground pow sample holders. Diffuse reflectance values were obtained by reference to halon. Reflect converted into a remission function F(R) using the Kubelka-Munk formalism:F(R) is a good approximation of the absorbance of a light scatterer of infinite thir removed the background of the crystal field spectra obtained either in the diffuse reflectance wavenumber scale, proportional to energy) into the function F(R) using the Kubelka-Munk formalism:		

using a home made software to determine the transitions responsible for the various absorption bands, as alreadydiscussed for Ni-bearing minerals [8,16].

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126 2.4. X-ray absorption spectroscopy

127 X-ray Absorption Spectroscopy (XAS) experiments at the Ni K-edge were performed on the D44 station 128 at the LURE-DCI synchrotron source (Orsay, France). The storage ring was operating at 1.85 GeV positron 129 energy and 200mA positron current. XAS spectra were collected in transmission mode and sample thickness 130 was optimized to get an absorption step between 0.5 and 1. The X-ray detectors were ionizing chambers filled 131 with air.

132 XANES (X-ray absorption near edge structure) spectra were recorded at room temperature and collected 133 from 8200 to 8600 eV using a Si (311) double-crystal monochromator, which provides a spectral resolution of 134 1.9 eV. The energy steps were 0.15eV for the pre-edge region and 0.5 eV for the main part of the edge, with 135 3sec accumulation time. Energy calibration was repeatedly checked by recording metallic Cu reference spectra 136 after every three measurements and is accurate within 0.3 eV. The XANES spectra have been normalized to the 137 atomic absorption above the absorption threshold, extracted by a polynomial fit. First and second derivative 138 functions were used to determine the exact position of the various XANES features. Pre-edge features have been 139 extracted after main-edge background removal, using an arctangent function.

- 140
- 141 **3. Results**
- 142
- 143 *3.1. XANES*
- 144 *3.1.1. Crystalline references*





Fig. 1. Normalized Ni-K edge XANES spectra of crystalline references: ^[6]NiSO₄, 6H₂O and Ca^[6]NiSi₂O₆, K^[5]NiPO₄ and ^[4]NiCr₂O₄. Ni²⁺ occurs in 6- and 5 coordination in α -Ni₂P₂O₇. The two regions A and B represent the pre-edge and main edge resonance, respectively. The inset represents the XANES spectrum of a Ni²⁺ square planar complex, which shows the characteristic signature of this coordination state (arrow) (after [17], modified). This diagnostic feature is not observed in the XANES spectra of alkali borate glasses.

152 Reference compounds were chosen to correspond to the most probable coordination numbers of Ni²⁺ in 153 borate glasses: regular and distorted octahedral site in NiSO₄, 6H₂O and CaNiSi₂O₆, respectively; square 154 pyramid site in KNiPO₄ (C4_V site symmetry) and tetrahedral site in ^[4]NiCr₂O₄. Ni²⁺ occurs in both 6- and 5 155 coordination (trigonal bipyramid site with a D3h site symmetry) in α -Ni₂P₂O₇. The two regions of the XANES 156 spectra, the pre-edge (A feature) and the main edge (B feature), show an evolution of their relative intensity and 157 lineshape as a function of Ni^{2+} coordination geometry (Fig. 1). The A feature corresponds to electronic 158 transitions from the ls core-level to the 3d empty orbitals and is quadrupolar and parity forbidden. As a 159 consequence, it is enhanced by an increased p character of the final state, such hybridization being favored in 160 the absence of an inversion center [18]. The pre-edge intensity increases from 6- to 5-and 4-coordinated Ni. The 161 B Feature is ascribed to dipolar transitions to empty 4p states superimposed to multiple scattering resonances. 162 As it results from the transition to the p empty density of state of the absorber, it is influenced by the sp 163 hybridization of the oxygen neighbors and an increased hybridization decreases the *p* character of the final state. 164 This causes the intensity of the B feature to decrease from 6- to 5- and 4-coordinated Ni²⁺.

165 The sharpest B feature occurs in the spectrum of ^[6]NiSO₄, 6H₂O, in agreement with a regular geometry of 166 the octahedral Ni-site. It is split by 2.8 eV in Ca^[6]NiSi₂O₆, consistent with a distortion of the Ni²⁺ site. The 167 lowest intensity of the B feature is observed in the spectrum of NiCr₂O₄, the spectra of the ^[5]Ni²⁺compounds 168 showing an intermediate intensity. The lowest intensity of the A feature is found in a pure octahedral symmetry 169 as in NiSO₄, $6H_2O$ and it increases with the hybridization of the Ni²⁺ 3d and 4p orbitals in non-centrosymmetric 170 sites, which is observed for ^[4]Ni²⁺ in NiCr₂O₄. As for the B feature, the intensity of the A feature for ^[5]Ni is 171 intermediate relative to that of ^[4]- and ^[6]Ni²⁺compounds. Finally, a square planar environment gives rise to a 172 characteristic Ni $(1s \rightarrow 4p_z)$ transition superimposed to the rising main edge (inset, Fig. 1). The absence of this 173 peculiar feature allows reject such Ni coordination state in alkali borate glasses (see 3.1.2).

- 174
- 175 3.1.2. Borate glasses





with 10 mol% alkali (LiB10, NaB10, KB10) and NiO; b. Comparison between glasses with 10%, 27% and 31%

179 $K_{2}O$ (KB10, KB27, KB35). The inset shows the increase of the intensity of the Ni pre-edge between LiB10 180 (^[6]Ni²⁺) and KB35 (^{[5]-} and ^[4]Ni²⁺) glasses.

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The XANES spectra of LiB10, NaB10 and KB10 glasses (**Fig. 2a**) are almost identical. The low intensity of the pre-edge feature (A) indicates a regular octahedral coordination, as in nickel sulfate, NiSO₄, 6H₂O (**Fig.** 1). These spectra are different from the XANES spectrum of NiO [19]. It exhibits a characteristic main edge (B) rising at lower energy than in the glasses due to O to Ni charge-transfer processes, the appearance of new features at 8337 eV and 8352 eV and a shift of the multiple scattering XANES resonance (C) from 8358 to 8362 eV. These differences in the XANES spectra are a confirmation that the ordered defective NiO-like domains evidenced by EXAFS in these glasses [9,10] do not correspond to unreacted NiO crystallites.

From KB10 to KB27 and KB35 (**Fig. 2b**), the intensity of the pre-edge (A) increases and that of the main edge (B) decreases, as observed in ^[4]Ni²⁺ vs. ^[6]Ni²⁺ references. The XANES spectra of NaB30 show a pre-edge intensity close to that of KB35. This is consistent with a transformation of Ni²⁺ sites from a regular octahedral coordination to non-centrosymmetric sites at increasing alkali content. Features B and C are well structured for KB10 but become broader for KB27 and KB35, as a result of Ni²⁺ site distribution. Finally, none of the investigated spectra shows the presence of the Ni ($1s \rightarrow 4p_z$) transition characteristic of a square-planar geometry as observed in the XANES spectra of Ni²⁺ square-planar complexes (**Fig. 1**) [17].

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197 *3.2. UV-visible-near IR absorption spectroscopy*

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3.2.1. Crystalline references



Fig. 3. Remission function F(R) of Ni-bearing crystalline references: $Ca^{[6]}NiSi_2O_6$, $\Box \alpha$ -^[5+6]Ni₂P₂O₇, K^[5]NiPO₄ and β-Al₂O₃:^[4]Ni. This function is a good approximation of sample absorbance in the Kubelka-Munk formalism (see 2.3).

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Octahedral Ni²⁺ is characterized by three spin allowed transitions at 8280 cm⁻¹ (crystal field transition), 13170 cm⁻¹ and 24450 cm⁻¹ in CaNiSi₂O₆ (**Fig. 3**). These transitions correspond to a crystal field splitting 10Dq= 8280 cm⁻¹ and a Racah parameter B = 890 cm⁻¹ (the free ion value is B=1030 cm⁻¹) [14]. The crystal field transition bears a Gaussian shape with a full linewidth of 2700 cm⁻¹, due to a low distortion of the ^[6]Ni²⁺-site. Spin-orbit coupling effects enhance the intensity of the spin-forbidden transitions to ${}^{1}E_{g}(D)$ and ${}^{1}A_{1g}(G)$ levels at 14900 and 19670 cm⁻¹, respectively.

The absorption bands of ${}^{[4]}Ni^{2+}$ observed in β -Al₂O₃ are shifted to lower wavenumber values relative to ${}^{[6]}Ni^{2+}$. They occur near 5000, 8500 and 15500 cm⁻¹ [15]. Site distortion (Jahn-Teller effect) and spin-orbit coupling cause the splitting of the 15500 cm⁻¹ transition. The characteristic field-independent spin forbidden transitions at 13000 and 13900 cm⁻¹ retain a narrow lineshape in the optical spectra of ${}^{[4]}Ni^{2+}$.

215 The crystal field spectra of ^[5]Ni²⁺ present a higher number of allowed optical transitions (five and six in

216 Trigonal bipyramid (TBP) and Square pyramid (SP) configurations, respectively) than in octahedral or 217 tetrahedral coordination [20]. In KNiPO₄, the broad band near 10000 cm⁻¹ arises from partly overlapping 218 absorption bands. As a consequence, the two absorption bands occurring at low wavenumbers have different 219 linewidths in ^[5]Ni²⁺ by contrast to ^[6]Ni²⁺ in which the linewidths are similar. The most intense absorption band occurs at a position intermediate between that in ^[6]- and ^[4]Ni²⁺, near 22000 cm⁻¹ with a shoulder near 19000 cm⁻¹ 220 221 ¹. A characteristic narrow spin-forbidden transition is observed at 13900 cm⁻¹ as in crystalline phosphates and 222 borates [13, 19, 21]. The spectrum of α-Ni₂P₂O₇ [15] is remarkably similar to that of MgNiP₂O₇ [22]. The 223 position of the main absorption bands is shifted relative to the spectrum of KNiPO₄, due to a different geometry of the ^[5]Ni²⁺ square pyramidal site. However, square pyramid and trigonal bipyramid sites may mutually 224 225 transform easily, which makes it difficult to decipher among these two geometries. An important spectroscopic 226 property of Ni²⁺ is that the most intense absorption bands are redshifted with decreasing Ni-coordination 227 number. This is consistent with a crystal field intensity decreasing with the number of ligands.

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3.2.2. Borate glasses

The optical spectra of alkali borate glasses (**Fig. 4**) show dramatic differences as a function of the alkali content, at the origin of well-known spectacular color variations [2-4]. All spectra lie on a horizontal background. The values of the molar extinction coefficient ε found in this study are similar to those of the literature [2-4]. The nature of the alkali directly influences the chemical dependence of glass color and hence the shape of the optical absorption spectra, an indication of a coordination change of Ni²⁺. Glasses with low and intermediate Li or Na content are green and brown, respectively. Glasses with high potassium content are purple, while glasses with a high Li or Na content remain brown.





Fig. 4. Optical absorption spectra of Ni^{2+} in **a**. K-borate glasses, **b**. Na-borate glasses, **c**. Li-borate glasses. The position of the characteristic transitions of octahedral and tetrahedral Ni^{2+} is represented by a full and a dashed line, respectively, as a guide for the eye, to appreciate the modification of the position of the main absorption bands. The position of the main band for ^[5] Ni^{2+} occurs at lower wavenumbers (22000-23000 cm⁻¹) as compared to ^[6] Ni^{2+} (24000-25000 cm⁻¹).

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The spectra of the KB10 (**Fig. 4a**), NaB10 (**Fig. 4b**) and LiB10 (**Fig. 4c**) glasses are almost identical and show similar decomposition into Gaussian components (**Fig. A1**). These spectra characterize the presence of [^{6]}Ni²⁺ as recognized in previous studies [2-4]. The green color of these glasses is a visual indication that the [^{6]}Ni²⁺ sites are not significantly distorted. Indeed, distorted ^[6]Ni²⁺ sites are known to impart a yellow color in crystalline materials, due to a splitting of the main band that causes a modification of the transmission window [23]. The spectra do not show the presence of light scattering, assigned in other studies (see 2.1) to unreactedNiO [13].

251 In K-borate glasses (Fig. 4a), the optical spectra show dramatic changes in relation with the appearance 252 of different Ni-coordination sites. The dashed vertical line corresponds to the contribution of tetrahedral Ni^{2+,} 253 showing that the position of the main ^[4]Ni²⁺ absorption band remains stationary. The absorbance value at this 254 position dashed line allows assess the progressive increase of the proportion of ^[4]Ni²⁺ with increasing K-content 255 from KB27 to KB35. The whole absorption spectrum is affected by the progressive domination of the 256 tetrahedral Ni²⁺ transitions at increasing potassium content. In the KB27 glass, showing a deep brown color, the 257 most intense transition occurs near 22000 cm⁻¹. However, in addition to this absorption band characteristic of 258 ^[5]Ni²⁺, the spectrum is characterized by the presence of a band near 15600 cm⁻¹, diagnostic of the ${}^{3}T_{1}(F) \rightarrow$ 259 ${}^{3}T_{1}(P)$ transition of ${}^{[4]}Ni^{2+}$. The band near 5000 cm⁻¹ has a Gaussian shape (**Fig. A3**) and corresponds to the ^[4]Ni²⁺ crystal field transition. The color of the KB31 and KB35 glasses is purple: the transmission window is 260 261 redshifted towards lower wavenumbers, and another transmission window appears in the 23000-24000 cm⁻¹ 262 range (violet domain). These spectra show two intense bands near 15500cm⁻¹ and 17900 cm⁻¹ (Fig. 4) that 263 correspond to the ${}^{3}T_{1}(F) \rightarrow {}^{3}T_{1}(P)$ transition of ${}^{[4]}Ni^{2+}$, split by the distortion of the ${}^{[4]}Ni^{2+}$ site (Jahn Teller 264 effect). The band near 22000 cm⁻¹ due to ^[5]Ni²⁺, shows a dramatic decrease (in KB31 vs. KB27), in relation with 265 the disappearance of this coordination in KB35. The two bands located near 4800 and 8700 cm⁻¹ are redshifted 266 and more intense than in the other borate glasses. The tetrahedral coordination of Ni²⁺ is confirmed by the presence of the weak field-independent spin-forbidden transitions, ${}^{3}T_{1}(F) \rightarrow {}^{1}T_{2}(D)$ and ${}^{3}T_{1}(F) \rightarrow {}^{1}E(D)$ at 267 268 13000 cm⁻¹ and 13900cm⁻¹, respectively [15].

The spectra of Na-borate glasses are depicted on **Fig.4b**. Though presenting a similar spectral shape and position of the Gaussian components as in the LiB10, NaB10 and KB10 glasses (**Fig. A1**), the NaB20 glass shows an absorption coefficient twice larger, as well as a modification of the absorption features in the near infrared domain. This indicates the contribution of a new, non-resolved, Ni²⁺ speciation. The same trend towards lower wavenumbers is observed in LiB30 and NaB30 glasses, with the main band shifted from 24000 to 22700 cm⁻¹. The most intense absorption band peaks outside the values usually found for ¹⁶¹Ni²⁺. This characterizes the 275 formation of ^[5]Ni²⁺ sites. Together with the appearance of a contribution at 20000 cm⁻¹ (on the low wavenumber 276 side of the main absorption band), this shift causes the transmission window to shift from 17500 to 15000 cm⁻¹. 277 Consequently, the color turns to yellow/brown hues. In the spectrum of NaB30, the absorbance at the position of 278 the dashed vertical line indicates the appearance of minority tetrahedral Ni²⁺. For Li-borate glasses (Fig.4c) there is also a red shift of the transitions with increasing alkali content, indicating a transformation from ^[6]Ni²⁺ 279 280 to ^[5]Ni²⁺. By contrast to Na-borate glasses, the spectra do not show any contribution of tetrahedral Ni²⁺ even at 281 high Li-content: the position of the dashed line corresponding to this coordination state falls in a transmission 282 window. The spectrum of LiB30 may then be considered as typical of ^[5]Ni²⁺ and is described in more detail in 283 4.4.

284

285 **4.** Discussion

- 286
- 287 4.1 The speciation of Ni^{2+} in alkali borate glasses
- 288 4.1.1. Chemical dependence of the XANES features



Fig. 5. Evolution of the intensity of the pre-edge (A feature) and of the main edge (B feature) for the XANES

- 291 spectra of crystalline references and alkali borate glasses (see Fig. 1 and Fig. 2).
- 292

293 As for the crystalline references, the intensity of the two features A and B shows an opposite behavior as a 294 function of the Ni-site geometry (Fig. 5). The relative intensity of the pre-edge varies by a factor 5 with Ni-295 coordination. The pre-edge and shape resonance intensity of ^[5]Ni²⁺ is intermediate between ^[6]Ni²⁺ and ^[4]Ni²⁺. In 296 octahedral symmetry, as in NiSO₄, 6H₂O, the pre-edge shows the lowest intensity. This intensity increases from ^[5]- to ^[4]Ni²⁺ due to the hybridization of the Ni²⁺ 3d and 4p orbitals in non-centrosymmetric sites. Such 297 298 hybridization explains also the decreasing intensity of the main edge, as it arises from transitions to 4p-type 299 levels. As depicted in Fig. 5, the XANES parameters of low alkali borate glasses correspond to what is expected 300 for octahedral Ni²⁺, as those of the K-rich KB31 and KB35 glasses correspond to majority tetrahedral Ni²⁺. The 301 XANES parameters of NaB30 and LiB30 glasses remain at values intermediate between ^[6]Ni²⁺ and ^[4]Ni²⁺, 302 consistent with the presence of ^[5]Ni²⁺.

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304 4.1.2. Discussion of the EXAFS-derived average coordination number of Ni²⁺

Previous EXAFS data on alkali borate glasses have shown the presence of Ni^{2+} in 5- and 6-fold coordination in sodium borate glasses [24] and of 4-, 5- and 6 coordinated Ni^{2+} in lithium, sodium and potassium borate glasses (in the same samples as those here investigated) [11]. Both studies provide a similar picture of Ni-O distances and Ni-coordination number (**Fig. 6a**), depicting a decrease of the Ni-O distances with increasing alkali content. The EXAFS-derived Ni-O distances exclude the presence of "exotic" coordination sites mentioned in earlier studies [4, 25] and further discussed in 4.1.3.

311 The average Ni-O distance and Ni coordination number decrease with increasing alkali content. The 312 LiB10, NaB10 and KB10 glasses exhibit the same Ni-O distance at 2.04-2.05Å. This distance is smaller than 313 expected for a regular octahedral site, typically 2.08Å in NiO. However, a decrease of the Ni-O distance by 314 0.02-0.04Å has been observed in NiO thin films [26] and some crystalline Ni borates may show ^[6]Ni-O 315 distances as low as 2.05-2.06Å [27]. The EXAFS parameters are consistent with the disappearance of ^[6]Ni²⁺ in 316 alkali-rich borate glasses, with Ni-O distances smaller in K-bearing glasses. This indicates a higher 317 concentration of tetrahedral nickel in K-borate glasses, as confirmed by the average Ni coordination number 318 (Fig. 6b).





Fig. 6 Evolution of the EXAFS parameters of Ni²⁺ in alkali borate glasses from the data of [11] and [24]. Left:
Ni-O distances; right: Ni-coordination number. The value of the Ni-O distances allows separate the contribution
of 4-, 5- and 6-coordinated Ni.

325 *4.1.3. Optical spectroscopic signatures of Ni*²⁺

326 Optical spectroscopic properties of Ni²⁺-bearing borate glasses are characterized by a well-marked 327 chemical dependence, interpreted as indicating a Ni coordination change with glass composition [3,4]. 328 However, in the literature, Ni^{2+} coordination has been mostly determined from a qualitative discussion of crystal 329 field spectra, interpreted as arising from ^[6]Ni²⁺ and ^[4]Ni²⁺. "Exotic" coordination numbers have also been 330 evocated in the literature. The presence of 12-coordinated Ni²⁺ was mentioned in Na-borate glasses [25], despite 331 such Ni²⁺ coordination is unknown [29]. Square-planar coordination or 8-coordinated Ni²⁺ were also evoked in alkali borate glasses [4, 28]. The optical properties of ^[8]Ni²⁺ in garnets [30] with optical transitions at 4000. 332 6000 and 20000 cm⁻¹ characteristic of 8-coordinated Ni²⁺ do not correspond to the spectra observed in borate 333 334 glasses. Moreover, EXAFS-derived Ni-O distances and XANES data, discussed in 3.1 for the square-planar 335 coordination, exclude the presence of these unusual environments, which are packing-inefficient in oxide 336 glasses.

337 The signature of three Ni^{2+} coordination states can be observed in the optical spectra of KB10, LiB30 and 338 KB35 for ^[6]Ni²⁺, ^[5]Ni²⁺ and ^[4]Ni²⁺, respectively (**Fig. 7**). With increasing alkali content, the apparent maximum of the main transition is redshifted, indicating a decreasing Ni²⁺ coordination (and hence decreasing crystal field intensity), as observed in crystalline references. As the optical transitions that characterize a Ni²⁺ coordination state do not vary much as a function of medium range surrounding [23], they can be used to determine Ni²⁺ coordination in glasses. This is especially true for the narrow ^[4]Ni²⁺ transition at 15600 cm⁻¹, identified by its small linewidth and located in a spectral domain, in which there is no optical transition caused by other Ni²⁺ speciations.





Fig. 7. Optical absorption spectra normalized to the most intense absorption band of each spectrum and corresponding to a dominant Ni²⁺ coordination. The top of the figure represents the optical transitions expected for the main coordination states. From top to bottom: ^[4]Ni²⁺, ^[5]Ni²⁺ in trigonal bipyramid (TBP) and in square pyramid geometry (SP) and ^[6]Ni²⁺.

351 4.1.4. Chemical dependence of Ni²⁺ coordination in alkali borate glasses.

The main absorption band occurs in the visible region at a position that depends on Ni²⁺ coordination. As this band is relatively sharp, its position determines the transmission window and hence the color of the glass. As shown on **Fig. 8a**, this band is redshifted from ^[6]Ni²⁺ to ^[5]Ni²⁺, defining a transmission window in the green and orange, respectively. In the case of ^[4]Ni²⁺ the intense characteristic transition near 15600 cm⁻¹ causes a major change in the transmission window, which occurs on the high wavenumber side of this band, leading to a purple color.

The molar extinction coefficient ε of the most intense band is also chemically dependent, as it increases with increasing alkali content, with the largest variations observed for potassium glasses (**Fig. 8b**). Five coordinated and tetrahedral Ni²⁺ sites do not possess an inversion center and consequently display similar molar extinction coefficient (ε) values, which are higher than those of octahedral ^[6]Ni²⁺. This explains the limited increase of ε at high alkali content as already observed in Ni-bearing borate glasses [3,4]. As for the other spectroscopic parameters, ε values exhibit a continuous variation with glass composition, an additional evidence of the mixing of various coordination states of Ni²⁺ in alkali borate glasses.





Fig. 8. a. Evolution of the apparent maximum of the most intense absorption band of Ni²⁺ in alkali borate glasses (in l/mol.cm). **b.** Evolution of the molar extinction coefficient ε with the alkali content.

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4.2. Structural significance of octahedral Ni²⁺

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4.2.1. Spectroscopic properties of octahedral Ni²⁺in borate glasses.

372 Although not observed in silicate and aluminosilicate glasses, 6-coordinated Ni is the prevailing 373 coordination state in low-alkali borate glasses, whatever the nature of the alkali. The optical absorption spectra 374 of low alkali borate glasses are the same for the three alkalis, indicating that crystal field splitting is not 375 influenced by the field strength of the alkali. The spectra can be fitted in six Gaussian components (Fig. A1). 376 The position of the absorption bands, with a crystal field splitting of 7500-8000 cm⁻¹, characterizes ^[6]Ni²⁺ [16, 377 23, 32]. However, the optical absorption bands (Fig. A1) cannot be fitted using a single Gaussian component 378 and the crystal field band shows a splitting of 1500 cm⁻¹, indicating a slight distortion of the Ni²⁺ site. These 379 spectra confirm the absence of NiO: NiO thin films show an absorption spectrum with the main bands at 28500, 380 21700 and 13500 cm⁻¹ [33], these values being different from those observed in glasses near 24100, 13200 and 381 7500 cm⁻¹. This confirms the XANES results presented in 3.1.2.

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4.2.2. A peculiar property: the clustering of Ni^{2+} octahedra in low-alkali borate glasses.

384 In low alkali glasses, alkali cations are consumed by the coordination change of boron: their charge-385 compensating role for ^[4]B hinders their ability to play a similar role for Ni. This may explain the presence of 6-386 coordinated Ni in ordered clusters [9-11], which do not require further charge compensation to be stabilized in 387 the glass structure. This behavior explains the independence of the spectroscopic properties of ^[6]Ni²⁺ on the 388 nature of the alkali for low-alkali borate glasses. These Ni-rich ordered domains have optical properties and a 389 local structure distinct from NiO [9,10]. Their formation may be related to the presence of rigid units such as 390 boroxol, pentaborate or tetraborate groups, which show only a limited coordination change of boron [1]. The 391 contribution of ^[6]Ni decreases with increasing alkali content as borate superstructural units are transformed into 392 smaller, less rigid units. This clustering is based on edge-sharing octahedra, an intersite linkage that is observed 393 in various nickel borates and Ni-substituted Mg borates, including ludwigite Mg2Ni(BO3)O2, Ni2B2O5 394 pyroborate, kotoite Ni₃(BO₃)₂ or $M^{2+}_{2}(M^{3+})(BO_{3})O_{2}$ hulsite with two-dimensional Ni-domains [34]. In these 395 structures, divalent ions occur in distorted octahedra interlinked by edge-sharing and edge-linked to BO₃ units

396 (Fig. 9a). These associations form 1D or 2D cationic domains, ribbons or ladders, such as in Ni₂B₂O₅ [35],

though not as extended as the domains determined by EXAFS in low alkali (Li, Na, K) borate glasses [10].



398

Fig. 9. a. Edge-sharing M^{2+} octahedra in the structure of $M_2B_2O_5$ pyroborate (after the data of [35]). Bridging oxygens bound to Ni are shown in red. Other oxygen atoms have been omitted. **b.** Tetrahedral M^{2+} in the structure of sodium transition-metal pentaborate Na₃MB₅O₁₀ showing a preferential association with ^[3]B (after [42], modified)

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404 *4.2.3. Links with physical properties of borate glasses*

405 The presence of Ni-clustering may explain some properties of Ni-bearing low alkali (Li, Na, K) borate 406 glasses: (i) the low solubility of NiO in these glasses at low alkali content [31] may be due to the difficulty of 407 accommodating Ni-rich domains in the disordered structure of borate melts; this solubility increases with the 408 alkali content, as these domains progressively disappear above 20 mol% alkali oxides [11]; (ii) the Ni²⁺ Electron 409 Paramagnetic Resonance (EPR) signal vanishes below 200K in Na-borate glasses [13], indicating a transition at 410 low temperature from a superparamagnetic to an (anti)ferromagnetic state, compatible with the presence of 411 NiO-based clusters; such transition from a paramagnetic to an antiferromagnetic state at Neel temperature has 412 also been observed by EPR in nano-NiO particles [36]; (iii) the magnetic moment increases with alkali

413 concentration [37], which has been interpreted as indicating a decreasing contribution of ${}^{[6]}Ni^{2+}$ up to an alkali 414 concentration of about 20 mol%, above which Ni^{2+} occurs in lower coordinated sites.

- 415
- 416 *4.3. Structural significance of tetrahedral Ni*²⁺
- 417
- 418 *4.3.1. Spectroscopic properties of tetrahedral Ni*²⁺*in borate glasses.*

419 There is a major influence of the nature of the alkali on the proportion of ^[4]Ni²⁺, a coordination state 420 which is not detected in Li-borate glasses and remains a minority in Na-borate glasses. The presence of ^[4]Ni²⁺ in 421 K₂O-rich borate glasses has been observed since decades by optical spectroscopy [4]. The distortion of the 422 ^[4]Ni²⁺ site, arising from a static Jahn-Teller effect, is shown by optical spectroscopy (see 3.2.2). The tetrahedral 423 coordination of Ni^{2+} is confirmed by XANES (see 4.1.2) and by the EXAFS-derived ^[4]Ni-O distances, around 424 1.95Å [15]. The low value of the EXAFS Debye-Waller factor found in these glasses [15] indicates that the site 425 distortion detected by optical spectroscopy results from angular distortion of the Ni-site rather than a 426 distribution of Ni-O distances. The spectroscopic properties of ^[4]Ni²⁺ are similar to those encountered in silicate 427 and aluminosilicate glasses [15,21,38], showing that ^[4]Ni²⁺ sites in oxide glasses are independent on the type of 428 glass. In silicate glasses, these sites are consistent with a network-former position, provided two alkali ions are 429 present for charge compensation [15]. However, the small intensity of a Ni-B contribution prevents the direct 430 detection by EXAFS of a linkage with the borate network, contrary to the Ni-Si contribution detected around 431 ^[4]Ni²⁺ in silicate and aluminosilicate glasses [21,38].

432 The structure of borate crystals is of interest to suggest various geometries of the linkage between 433 tetrahedral divalent cations $(Zn^{2+}, Mg^{2+}...)$ and the borate framework. For instance, tetrahedral Zn is 434 preferentially linked by corner-sharing with BO₃ triangles in Na₃ZnB₅O₁₀ [39] and Li_{0.48}Na_{0.52}ZnBO₃ [40]. 435 Sodium pentaborates Na₃MB₅O₁₀ (M=Co, Fe²⁺) also show a linkage of tetrahedral divalent cations with BO₃ 436 triangles (Fig. 9b). High temperature favors also tetrahedral coordination of small divalent cations, as shown by 437 the coordination of Zn^{2+} in the LiZnBO₃ polymorphs: as ZnO₄ tetrahedra exist in the high temperature phase, the 438 low temperature polymorph shows trigonal bipyramidal Zn [41]. A preferential bonding to BO₃ triangles may be 439 rationalized by considering bond valence constraints [14]: trigonal B brings a charge compensation of 1 for the

440 oxygen atoms, as compared to 0.75 for tetrahedral B, which minimizes the charge deficit of the oxygen involved441 in the bond to a tetrahedral cation.

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- 443

4.3.2. Links with physical properties of borate glasses.

444 The presence of ^[4]Ni²⁺ sites requires the charge deficit be compensated by alkalis. This means a 445 competition with boron for charge compensation, as was observed in Zr alumino-borosilicates where it was 446 possible to determine the relative preference for charge compensation between various cations [43]. This 447 competition may explain the chemical dependence of the proportion of ^[4]Ni²⁺ observed in some K- and Na-448 borate glasses (there is no measurable ^[4]Ni²⁺ in Li-borate glasses and in alkali-poor borate glasses 449 compositions). By contrast to borate glasses, the ^[4]Ni²⁺ proportion remains almost independent on alkali 450 concentration in silicate glasses [8,44]. The competition for charge compensation may be responsible for the 451 decrease of Ni-solubility above about 30 mol% alkalis in borate glasses, as the formation of stable borate 452 superunits will require alkalis to stabilize the ^[4]B sites they contain instead of Ni-sites. Finally, an increasing 453 proportion of ^[4]Ni²⁺ at increasing alkali content may explain the continuous increase of the magnetic moment 454 [37].

455

456

4.4. Structural significance of five-coordinated Ni²⁺

457 Several optical absorption spectra of ${}^{[5]}Ni^{2+}$ in crystalline borates, phosphates and silicates have been 458 published recently because of the interest for environmentally-friendly brown pigments: LiMgBO₃:Ni [45], 459 NiB₄O₇ [46], Ni₂P₂O₇ (8,47), SrNiP₂O₇ [20], KNiPO₄ [8,48] or BaZn_{2-x}Ni_xSi₂O₇ and BaMg_{2-x}Ni_xSi₂O₇ 460 sorosilicates [49]. The local structure of ${}^{[5]}Ni^{2+}$ in two borate crystals is depicted on **Fig. 10**, showing that this 461 coordination state may be associated to either triangular or tetrahedral borate groups.





463 Fig. 10. The two main geometries of five-coordinated Ni: **a.** trigonal bipyramid in LiMgBO₃:Ni²⁺ (after the data 464 of [45], modified) with linkages to triangular B units; **b.** square pyramid in β -NiB₄O₇ (after the data from [46], 465 modified), with linkages to tetrahedral B units.

467 The presence of ^[5]Ni²⁺ in borate glasses at medium Na- and K content and medium to high Li content is 468 shown by three kinds of spectroscopic evidences, which are exemplified in the LiB30 glass. (i) The XANES 469 features such as pre-edge and shape resonance intensity are intermediate between those of ^[4]- and ^[6]Ni. (ii) 470 Similarly, EXAFS data indicate a mixture of ^[4]Ni²⁺ and^[5]Ni²⁺ at medium Na- and K-concentrations, with 471 EXAFS-derived Ni-O mean distances of about 1.98Å and a Ni mean coordination number around 5. (iii) Crystal 472 field spectra are similar to those first described in brown Ni-bearing silicate and aluminosilicate glasses [8,38]. 473 These spectra may be fitted with 6 Gaussian components (Fig. A2), which are located at energy positions 474 characteristic of ^[5]Ni²⁺ (Fig. 11a and b). The main band near 23400 cm⁻¹ shows a Gaussian shape on its high 475 wavenumber side, an indication of the absence of ${}^{[6]}Ni^{2+}$ expected at or above 25000 cm⁻¹.

476 Decreasing crystal field intensity arises from a number of ligands smaller in 5-coordination relative to an 477 octahedral site. However, the shorter Ni–O distances in 5- vs. 6-coordination may partly compensate lower 478 crystal field splitting values. The result is a red shift of about 1000-2000 cm⁻¹ of the ^[5]Ni²⁺ optical transitions 479 relative to those of ^[6]Ni²⁺ (**Fig. 11b**). This shift can be considered as a spectroscopic signature of 5-coordinated Ni²⁺. The most intense band at 23400 cm⁻¹ and the shoulder near 20000 cm⁻¹ correspond to transitions to ³P
levels, the position of which depends on covalence effects. Their position is intermediate between that measured
in spectra of ^[4]Ni²⁺ and ^[6]Ni²⁺, a nice illustration of the intermediate covalence of ^[5]Ni²⁺-O bonds (Fig. 7).

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Fig. 11. a. Gaussian fit of the optical absorption spectrum of LiB30 glass corresponding to ^[5]Ni²⁺ in a square pyramid geometry. b. Energy levels of ^[6]Ni²⁺ in regular octahedral coordination and ^[5]Ni²⁺, showing the redshift of the main optical transitions from octahedral to square pyramid geometry. The assignments are based on [50].

489

4.5. The driving mechanism of Ni²⁺ coordination change in borate glasses

The coordination number of a cation is correlated with the field strength of the other cations. If these cations have a higher field strength, defined as the ratio of the net charge *Z* and the square of the average bond distance to oxygen $d(Z/d^2)$, they will take more of the electron density from the O atoms and they will have a higher acidic character. A larger Ni coordination number is then required to balance the Ni²⁺ charge. The investigation of a large number of Ni-bearing alkali silicate and aluminosilicate glasses [8,38] shows that Ni²⁺ coordination changes as a function of cation field strength, low field strength K⁺ stabilizing ^[4]Ni²⁺ as higher field strength Na⁺ rather stabilizes ^[5]Ni²⁺.

497 Octahedral coordination of Ni^{2+} is observed in alkali-poor borate glasses, the structure of which is 498 dominated by superstructural units [1]. In these glasses, the addition of alkalis favors BO₄ tetrahedral structural 499 units, rather than the formation of nonbridging oxygen atoms (NBO). The 6-fold coordination state of Ni^{2+} , may 500 arise from a competition for available ligands, due to the strength of the B-O bonds relative to the weaker Ni-O 501 bonds [3]. In intermediate, more basic compositions, for which not all the ligands are strongly bound to other 502 cations, the optical spectra indicate a band at greater wavenumbers than that of ^[4]Ni²⁺. The present work assigns 503 this band to a signature of ^[5]Ni²⁺, on the basis of optical absorption, XANES and EXAFS spectra. Finally, in the 504 most alkali-rich glasses, which show the highest concentration of ^[4]B, the bond valence model predicts that the 505 connection of ^[4]Ni²⁺ to a bridging oxygen between two B tetrahedra satisfies the charge deficit of this bridging 506 oxygen. The predominance of ^[4]Ni²⁺ in high K-borate glasses shows that the remaining deficit of this tetrahedral 507 divalent cation can only be ensured by low field strength cations. These cations also stabilize ^[4]Ni²⁺ in silicate 508 and aluminosilicate glasses [3,4,8,38,44].

509 ^[5]Ni²⁺ may be seen as a reactive intermediate in reactions involving ligand exchange, such as those 510 operating during viscous flow (Fig. 12). This would be equivalent to the presence of a minority five-coordinated 511 Al or Si species in silicate and aluminosilicate glasses, corresponding to local bond-breaking and rearrangement 512 that characterizes the dynamics of the molten state [51]. At the difference of the directional, covalent B-O 513 bonds, the weaker Ni-O bonds are more prone to be broken because of their ionic and hence non-directional 514 nature, inducing that Ni²⁺ is mostly found in 5-fold coordination. Even if Ni²⁺ has the highest crystal field 515 stabilization energy (CFSE) in 6-fold coordination, the relative concentration of these transition states is thus 516 expected to be more important than for network former cations that are only marginally affected by this 517 transient coordination change. It is interesting that, in hydrothermal solutions, experimental data and first 518 principles calculations show also the presence of 5-coordinated Ni²⁺ forming ^[5]Ni²⁺-triborate complexes [52].

519



Fig. 12. Generation of a 5-coordinated site, trigonal bipyramid (left) or square pyramid (right) during atomic
motion and bond breaking associated to the viscous flow of a melt.

521 522

526 Crystal field stabilization energy (CFSE) considerations also favor the abundance of ${}^{[5]}Ni^{2+}$. CFSE is 527 12Dq and 10Dq for ${}^{[6]}Ni^{2+}$ and ${}^{[5]}Ni^{2+}$, respectively, Dq being the crystal field splitting parameter. The smaller 528 number of ligands results in smaller Dq values for ${}^{[5]}Ni^{2+}$ relative to ${}^{[6]}Ni^{2+}$. However, ${}^{[5]}Ni^{2+}$ -O distances are 529 smaller than ${}^{[6]}Ni^{2+}$ -O distances, which increases CFSE values. Finally, the difference between the CFSE of ${}^{[6]}$ -530 and ${}^{[5]}Ni^{2+}$, remains small, about 1.5 kJ.mole⁻¹ [21]. This explains the potential stability of 5-coordinated Ni²⁺ in 531 oxide glasses.





Fig. 13. Chemical dependence of Ni coordination in alkali borate glasses. The ^[4]Ni²⁺fraction is derived
 from the intensity of the ^[4]Ni²⁺absorption band near 17000 cm⁻¹.

An estimate of the relative proportion of ^[4]Ni²⁺ and ^[5]Ni²⁺ can be derived from the intensity of the 17000 cm⁻¹ absorption band of ^[4]Ni²⁺, under the assumption that the molar absorption coefficient ε of ^[4]Ni²⁺remains constant among the glasses. The chemical dependence may be quantified through the average B-coordination, defined by the N_4 parameter: $N_4 = {}^{[4]}B/({}^{[3]}B+{}^{[4]}B)$. It has been shown that the N_4 value corresponds to the molar ratio of alkali to boron [53]. The proportion of ${}^{[4]}Ni^{2+}$ ranges between almost 100% to less than a few %, in the K-rich and alkali poor borate glasses, respectively (**Fig. 13**)

At higher network-modifier fractions, the depolymerization of the borate network favors the formation of NBO's. In the presence of Ni²⁺, alkalis play a dual role in charge compensating ^[4]Ni²⁺ and interacting with the borate network. The relatively large field strength of lithium favors the formation of ^[4]B [54], which explains the absence of ^[4]Ni²⁺ units. By contrast, larger alkalis generate higher proportions of NBO's because their lower field strength, which explains that alkalis may be involved in charge compensating ^[4]Ni²⁺units. **Figure 13** demonstrates that there is no direct relation between the chemical dependence of Ni and B coordination numbers: the structure of the polymeric network does not provide an objective estimate of Ni²⁺ speciation.

550 As pointed out by Adrian Wright [1], the network-modifying cations play a critical role in the structure of 551 borate glasses, although this role is frequently overlooked. The high temperature data of Lin and Angell [55] provide an enlightening illustration of this behavior, demonstrating that ^[4]Ni²⁺ concentration in borate glasses and melts increases from the glassy to the molten state. This variation is related to a modification of the role of alkalis associated to the coordination change of boron during the melting of borate glasses [56], according to the relation describing the change of the role of the alkali M:

556
$$BO_4 + M_{comp} \rightarrow BO_3 + O_{nb} + M_{mod}$$

where M_{comp} and M_{mod} refer to a charge-compensating or modifying role of the alkali and O_{nb} designates a nonbridging oxygen. This means that alkali "activity" increases in the melt, making them available for chargecompensating ^[4]Ni²⁺.

560

561 4.6. Comparison with the role of Mg^{2+} in borate glasses

562 Divalent nickel often mimics the structural behavior of Mg²⁺ due to the similarity in their ionic radius. In 563 alkali silicate and aluminosilicate glasses and melts, Mg²⁺ is present in 4-, 5- and minority 6-fold coordination, 564 the relative proportion of which follows a similar dependence as Ni²⁺ as a function of glass composition [57, 565 58]. The investigation of Mg coordination in Na-Mg borate glasses [59] and K-Mg borate glasses [60] has 566 shown the presence of ^[6]Mg or ^[4]Mg in alkali-poor and alkali-rich compositions, respectively. This observation 567 is in line with the present results deduced from the spectroscopic properties of Ni in these glasses. In alkaline 568 earth borate glasses, the proportion of tetrahedral boron, N_4 , increases with the concentration of alkalis and 569 alkaline earths [61]. However, the N₄ value decreases from Ba to Mg. This dependence on the cation field 570 strength is reverse to what is observed in alkali borate glasses [62]. This observation is consistent with the 571 structure of crystalline Mg-borates, showing a preferential association of Mg sites with triangular borate units. 572 As discussed above, this structural property is expected from the bond valence model and may drive the local 573 structure around Mg in borate glasses.

Finally, a recent study on borosilicate glasses [63] demonstrated that the most salient structural effect of the incorporation of Mg is an increase in the ^[3]B population. This effect can be attributed to the larger cation field strength of Mg^{2+} relative to that of other network-modifying cations. This was ascribed to the poor capability of Mg^{2+} for charge-compensating the charge deficit of ^[4]B units due to relatively high field strength. The local structure around Ni is then similar to that of Mg, with a specific linkage of ^[4]Ni²⁺ with triangular ^[3]B units. Such association may help in rationalizing the structure of borate glasses, as the building blocks used todescribe the topology of crystalline borates [64] may give some insight on the local structure of borate glasses.

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582 5. Conclusion

583 A detailed picture of the speciation of Ni²⁺ in alkali borate glasses was obtained by coupling optical 584 absorption spectroscopy with Ni K-edge XANES and previous EXAFS data. These glasses show a broad range 585 of colors of as a function of alkali field strength, from yellow and brown to purple. The absorptivity of 586 tetrahedral and five-coordinated Ni²⁺ is similar, a property that gives all intermediate alkalinity borate glasses 587 the same brown color as silicate and aluminosilicate glasses containing an alkali with the same field strength. 588 Our results update previous descriptions of the optical spectra of Ni²⁺ in alkali borate glasses based on the 589 coexistence of only octahedral and tetrahedral Ni²⁺. By using complementary spectroscopic methods, we show 590 that nickel speciation in borate glasses corresponds to the coexistence of ^[4]-, ^[5]- and ^[6]Ni²⁺, each coordination 591 state having a specific structural position: clusters of ^[6]Ni edge-sharing octahedra at low alkali content, ^[4]Ni 592 tetrahedra connected to the borate network in presence of low field strength elements as charge compensators 593 and ^[5]Ni sites corresponding to reactive species that inherit from molten state dynamics in presence of medium 594 field strength elements. Cation field strength defines the capacity of alkalis to charge compensate ^[4]B and 595 ^[4]Ni²⁺, and plays a crucial role in controlling the local structure around Ni²⁺ in these glasses. This causes ^[4]Ni²⁺ 596 to be stabilized in high K-borate glasses. The quantification of the proportion of tetrahedral Ni²⁺ shows a non-597 linear dependence on alkali concentration. This results from a competition for charge compensation between Ni 598 and B, as already observed in other glasses. The inefficiency of Li to charge compensate ^[4]Ni²⁺ explains the absence of this coordination in Li-borate glasses. Due to the many similarities between Ni²⁺ and Mg²⁺, the 599 600 diversity of the structural scenarios around Ni²⁺ in alkali borate glasses may shed light on the structural behavior 601 of Mg in the same glasses, underlining the diversity of local structures around these divalent cations, as a 602 function of glass composition.

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We gratefully acknowledge the support of Institut Universitaire de France. We also thank FranckHawthorne for sharing his knowledge on the structural logic of borate minerals.





Figure A1. Optical absorption spectra of Ni²⁺ in 10 mol% alkali borate glasses: the optical spectra have the same
shape and can be fitted with the same components. The transitions are those expected from the energy level
diagram of Figure 11b. The fit shows that the three main bands are splitted, due to a slight site distortion.

617



Figure A2. Optical absorption spectra of Ni²⁺ in 20 and 30 mol% alkali borate glasses: the spectra of NaB20 and LiB20 are similar to those of 10 mol% alkali, with an increasing non-Gaussian character of the components in the near IR region, showing a higher distortion of the ^[6]Ni²⁺ site. The optical spectrum of NaB30 shows the appearance of tetrahedral Ni²⁺ with a characteristic transition near 17000 cm⁻¹. The spectrum of LiB30 is typical for 5-coordinated Ni (see Fig. 11a and b).

627 **Figure A3.** Optical absorption spectra of Ni²⁺ in high K borate glasses. With increasing K-content, the

628	contributions near 16000 and 18000 cm ⁻¹ related to tetrahedral Ni^{2+} increase . The intense component near 23000						
629	cm ⁻¹ corresponds to the main contribution of ^[5] Ni ²⁺ in the KB27 glass. It progressively decreases at higher K-						
630	content.						
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