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# A LOCAL-GLOBAL PRINCIPLE FOR TWISTED FLAG VARIETIES

#### P. GILLE AND R. PARIMALA

ABSTRACT. We prove a local-global principle for twisted flag varieties over a semiglobal field.

 $\underline{\text{Keywords:}}$  Local-global principle, curves over local fields, homogeneous varieties, reductive groups.

MSC 2000: 11G99, 14G99, 14G05, 11E72, 11E12, 20G35

#### 1. Introduction

Let T be a complete discrete valuation ring with fraction field K and residue field k. Let X be a smooth, projective, geometrically integral curve over K. Let F = K(X) be the function field of X and let t be an uniformizing parameter of T. Under a mild assumption on the characteristic p of the residue field of T, we prove a conjecture by Colliot-Thélène, Suresh and the second author [C-T-P-S, conjecture 1].

**Theorem 1.1.** (see Th. 5.4) Let G be a reductive F-group and assume that p does not divide the order of the automorphism group of the absolute root system of  $G_{ad}$ . Let Z be a twisted flag F-variety of G. Then  $Z(F) \neq \emptyset$  if and only if  $Z(F_v) \neq \emptyset$  for all discrete valuations of F arising from models of X.

The conjecture was known for the cases of smooth projective quadrics and Severi-Brauer varieties [C-T-P-S]. If we use all rank one valuations, the result holds unconditionnally (Cor. 4.7). On the other hand, if G extends to a reductive group scheme over a smooth model  $\mathfrak{X}$  of X, the result is unconditional (Cor. 4.6).

Let us review the contents. Given a henselian couple (A, I) and a reductive A-group scheme H, section 2 deals with generation of groups H(A) by unipotent elements and also with the quotient of H(A)/RH(A) by the (normal) subgroup of R-trivial elements defined in [G-S]. Section 3 is devoted to mild improvements of patching techniques of Harbater-Hartmann-Krashen involving more analytical functions and leads to various group decompositions (prop. 3.7); this permits to obtain a patching theorem for twisted flag varieties (th. 3.9). Section 4 deals with the setting of the beginning of the introduction and provides a weaker version of the main result. What remains to do is mostly a local study for a two dimensional complete regular local ring

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R with parameters t, s and torsors over its localization  $R[t^{-1}, s^{-1}]$ . This is achieved in section 5 by making use of the loop torsors over  $R[t^{-1}, s^{-1}]$  and of the results of [Gi2].

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Conventions and notation. (a) A variety V over a field F means a separated F-scheme of finite type which is integral [St, Tag 020D].

(b) Let G be a reductive F-algebraic group and let  $F_s$  be a separable closure of F. Let (B,T) be a Killing couple of  $G_{k_s}$  and denote  $\Delta(G_{F_s}) = \Delta(G_{F_s}, B, T)$  the associated Dynkin diagram (there is a canonical bijection  $\Delta(G_{F_s}, B, T) \xrightarrow{\sim} \Delta(G_{F_s}, B', T')$  for another choice of Killing couple). This diagram  $\Delta(G_{F_s})$  is equipped with the star action of  $\operatorname{Gal}(F_s/F)$  [B-T1, §6.4]. We recall that there is a increasing bijection  $I \to P_I$  between the subsets of  $\Delta(G_{F_s})$  and the  $F_s$ -parabolic subgroups of  $G_{F_s}$  containing  $F_s$  and the  $F_s$ -conjugacy classes of parabolic subgroups of  $F_s$ . Since minimal  $F_s$ -parabolic subgroups are  $F_s$ 0 is a minimal  $F_s$ -parabolic subgroup of  $F_s$ 1 be conjugacy class of  $F_s$ 2 where  $F_s$ 3 is a minimal  $F_s$ 4 parabolic subgroup of  $F_s$ 5 where  $F_s$ 6 is a minimal  $F_s$ 5 parabolic subgroup of  $F_s$ 6 is a minimal  $F_s$ 6.4 becomes isomorphic over  $F_s$ 6 to some  $F_s$ 6.5 becomes isomorphic over  $F_s$ 6 to some  $F_s$ 7 becomes a  $F_s$ 8 where  $F_s$ 9 and  $F_s$ 9 and  $F_s$ 9 are mean a  $F_s$ 9.5 and  $F_s$ 9 are mean a  $F_s$ 9 and  $F_s$ 9 and  $F_s$ 9 are mean a  $F_s$ 9 and  $F_s$ 9 are mean a  $F_s$ 9 and  $F_s$ 9 are mean a  $F_s$ 9 are mean a  $F_s$ 9 and  $F_s$ 9 are mean a  $F_s$ 9 and  $F_s$ 9 are mean a  $F_s$ 9 are mean

Those varieties are called sometimes projective homogeneous G-varieties (as in [C-T-P-S]) but we warn the reader of the danger of this terminology since there exist in positive characteristic F-subgroups Q which are not smooth such that G/Q is a projective F-variety [W]. In the appendix 6, we show that a homogeneous G-variety X is a variety of parabolic subgroups if and only if the action is transitive in the sense that G(E) acts transitively on X(E) for each F field E (Prop. 6.2).

(c) We use mainly the terminology and notation of Grothendieck-Dieudonné [EGAI, §9.4 and 9.6] which agrees with that of Demazure-Grothendieck used in [SGA3, Exp. I.4].Let S be a scheme and let  $\mathcal{E}$  be a quasi-coherent sheaf over S. For each morphism  $f: T \to S$ , we denote by  $\mathcal{E}_T = f^*(\mathcal{E})$  the inverse image of  $\mathcal{E}$  by the morphism f. We denote by  $\mathbf{V}(\mathcal{E})$  the affine S-scheme defined by  $\mathbf{V}(\mathcal{E}) = \operatorname{Spec}(\operatorname{Sym}^{\bullet}(\mathcal{E}))$ ; it is affine over S and represents the S-functor  $Y \mapsto \operatorname{Hom}_{\mathcal{O}_Y}(\mathcal{E}_Y, \mathcal{O}_Y)$  [EGAI, 9.4.9]. We assume now that  $\mathcal{E}$  is locally free of finite rank and denote by  $\mathcal{E}^{\vee}$  its dual. In this case the affine S-scheme  $\mathbf{V}(\mathcal{E})$  is of finite presentation (ibid, 9.4.11); also the S-functor  $Y \mapsto H^0(Y, \mathcal{E}_Y) = \operatorname{Hom}_{\mathcal{O}_Y}(\mathcal{O}_Y, \mathcal{E}_Y)$  is representable by the affine S-scheme  $\mathbf{V}(\mathcal{E}^{\vee})$  which is also denoted by  $\mathbf{W}(\mathcal{E})$  [SGA3, I.4.6].

## 2. Around Kneser-Tits' problem

Let A be a semilocal ring, I its radical.

2.1. Subgroups of elementary elements. Let H be a reductive A-group scheme which is strictly A-isotropic, that is, all factors of  $H_{ad}$  are isotropic. Let H be a strictly proper parabolic A-subgroup of H and let  $P^-$  be a A-parabolic subgroup opposite to P. The subgroup of H(A) generated by  $R_u(P)(A)$  and  $R_u(P^-)(A)$  does not depend of the choice of P and  $P^-$ , this is a normal subgroup of H(A) which is denoted by  $H(A)^+$  [P-S, Thm. 1 and comments].

**Lemma 2.1.** We have  $H(A) = H(A)^{+} P(A)$ .

*Proof.* We put  $U = R_u(P)$ ,  $U^- = R_u(P^-)$ . According to [SGA3, XXVI.5.2], we have a decomposition  $H(A) = U(A) U^-(A) P(A)$  whence  $H(A) = H(A)^+ P(A)$ .

A special case of [G-S, prop. 7.7] is the following.

- **Lemma 2.2.** Assume that (A, I) is an henselian couple and that H is semisimple simply connected. Then the map  $H(A)/H(A)^+ \to H(A/I)/H(A/I)^+$  is an isomorphism.
- 2.2. **R-equivalence.** We assume now that A is a nonzero finite k-algebra for a field k. Then A is an Artinian k-algebra. We denote by I its Jacobson radical and remind the reader that (A, I) is an henselian couple.

Let H be an A-reductive group scheme and consider the Weil restriction  $G = R_{A/k}(H)$ , this is a smooth affine connected algebraic k-group [C-G-P, A.5.9]. We have G(k) = H(A). We use R-equivalence for group schemes over rings as defined in [G-S].

**Lemma 2.3.** We decompose  $A = A_1 \times \cdots \times A_d$  where each  $A_i$  is a local artinian k-algebra of residue field  $k_i$ .

(1) The map  $H(A) \to \prod_i H(k_i)$  induces isomorphisms

$$G(k)/RG(k) \xrightarrow{\sim} H(A)/RH(A) \xrightarrow{\sim} \prod_i H(k_i)/RH(k_i).$$

(2) If H is furthermore simply connected and strictly isotropic, we have a commutative diagram of isomorphisms

$$H(A)/H(A)^{+} \xrightarrow{\sim} H(A)/RH(A) \xleftarrow{\sim} G(k)/R$$

$$\downarrow^{\downarrow} \qquad \qquad \downarrow^{\downarrow}$$

$$\prod_{i} H(k_{i})/H(k_{i})^{+} \xrightarrow{\sim} \prod_{i} H(k_{i})/RH(k_{i}).$$

Proof. (1) The first isomorphism  $G(k)/RG(k) \xrightarrow{\sim} H(A)/RH(A)$  is a formal fact [G-S, lemma 2.4]. Let n be the smallest positive integer such that  $I^n = 0$ . We put  $A_j = A/I^{j+1}$  for  $j = 0, \ldots, n-1$ , it comes with the ideal  $J_j = I^j/I^{j+1} = I$   $A_j$  which satisfies  $J_j^2 = 0$ . We have  $A_j/J_j = A_{j-1}$  for  $j = 1, \ldots, n-1$ .

We put  $G_j = R_{A_j/k}(H_{A_j})$  for  $j = 0, \ldots, n-1$ ; we have a sequence of homomorphisms  $G = G_n \to G_{n-1} \to \cdots \to G_1 \to G_0 = \prod_i R_{k_i/k}(H_{k_i})$ . It induces homomorphisms

$$G(k)/R = G_n(k)/R \rightarrow G_{n-1}(k)/R \rightarrow \cdots \rightarrow G_1(k)/R \rightarrow G_0(k)/R = \prod_i H(k_i)/R$$

and we will show by a dévissage argument that all of them are isomorphisms.

Let j be a an integer satisfying  $1 \le j \le n-1$ . According to a variant of [G-P-S, Lemma 8.3], we have an exact sequence of fppf (resp. étale, Zariski) sheaves on Spec(k)

$$0 \to \mathbf{W}\Big((t_j)_*\big(\mathrm{Lie}(H)(A_{j-1}) \otimes_{A_{j-1}} J_j\big)\Big) \to R_{A_j/k}(H_{A_j})) \to R_{A_i/k}(H_{A_{j-1}}) \to 1.$$

where  $t_j: \operatorname{Spec}(A_j) \to \operatorname{Spec}(k)$  is the structural morphism. We have then a sequence of k-algebraic groups

$$0 \to (\mathbb{G}_a)^{m_j} \to G_j \to G_{j-1} \to 1.$$

The map  $G_j \to G_{j-1}$  is a  $(\mathbb{G}_a)^{m_j}$ -torsor which is trivial since  $G_{j-1}$  is affine. We have then a decomposition of k-schemes  $G_j \stackrel{\sim}{\longrightarrow} G_{j-1} \times_k (\mathbb{G}_a)^{m_j}$  which induces an isomorphism  $G_j(k)/R \to G_{j-1}(k)/R$ . Thus the map  $G(k)/R \to G_0(k)/R = \prod_i H(k_i)/R$  is an isomorphism as desired.

(2) We have  $A/I = k_1 \times \cdots \times k_d$ . Lemma 2.2 provides an isomorphism  $H(A)/H(A)^+ \xrightarrow{\sim} H(A/I)/H(A/I)^+ = \prod_i H(k_i)/H(k_i)^+$  and we have an isomorphism  $H(k_i)/H(k_i)^+ \xrightarrow{\sim} H(k_i)/RH(k_i)$  for each i [Gi1, thm. 7.2]. This completes the proof by chasing diagram.

#### 3. Patching, R-equivalence and twisted flag schemes

3.1. Using the implicit function theorem. We consider a variation of the framework of [H-H-K, 2.4]. Let T be a complete DVR of fraction field K and t be an unformizing parameter. Let  $\widehat{R}_0$  be ring containing T and which is also a complete discrete valuation ring having uniformizer t. We denote by  $F_0$  the fraction field of  $\widehat{R}_0$ .

Let  $\alpha > 1$  be a real number and we define the absolute value on  $F_0$  by  $|t^n u| = \alpha^{-n}$  for  $n \in \mathbb{Z}$  and  $u \in (\widehat{R}_0)^{\times}$ .

Let  $F_1$ ,  $F_2$  be subfields of  $F_0$  containing T. We further assume that we are given t-adically complete T-submodules  $V \subset F_1 \cap \widehat{R}_0$  and  $W \subset F_2 \cap \widehat{R}_0$  satisfying the following conditions:

$$(3.1) V + W = \widehat{R}_0;$$

(3.2) 
$$V \cap t\widehat{R}_0 = tV$$
 and  $W \cap t\widehat{R}_0 = tW$ .

Note that Condition (3.2) is equivalent to

(3.3) 
$$V \cap t^n \widehat{R}_0 = t^n V$$
 and  $W \cap t^n \widehat{R}_0 = t^n W$  for each  $n \ge 1$ .

**Remark 3.1.** Condition (3.2) above is added there compared with [H-H-K, 2.4] but we do not require at this stage that  $F_1$  is dense in  $F_0$ .

We equip the submodules  $V\left[\frac{1}{t}\right]$  of  $F_0$  of the induced metric (and similarly for  $W\left[\frac{1}{t}\right]$ ).

**Lemma 3.2.** (1) V is closed in  $\widehat{R}_0$ .

(2) For  $v \in V[\frac{1}{t}] \setminus \{0\}$ , we have

$$|v| = \operatorname{Inf}\{\alpha^n \mid t^n v \in V\}.$$

- (3) We have  $V = \text{Inf}\{v \in V[\frac{1}{t}] \mid |v| \ge 0\}$  and V is a clopen submodule of  $V[\frac{1}{t}]$ .
- (4)  $V[\frac{1}{t}]$  is closed in  $F_0$  and is a Banach K-space.
- Proof. (1) Our assumption is that the map  $V \to \varprojlim V/t^{m+1}V$  is an isomorphism. Let  $(x_n)$  be a sequence of V which converges in  $\widehat{R}_0$ . For each  $m \geq 0$ , condition (3.3) shows that the map  $V/t^{m+1}V \to \widehat{R}_0/t^{m+1}\widehat{R}_0$  is injective so that the sequence  $(x_n)$  modulo  $t^{m+1}V$  is stationary to some  $v_m \in V/t^{m+1}V$ . The  $v_m$ 's define a point v of V and the sequence  $(x_n)$  converges to v.
- (2) We are given  $v = t^m v' \in V[\frac{1}{t}]$  with  $v' \in V \setminus tV$  and we have  $|v| = \inf\{\alpha^n \mid t^n v \in \widehat{R}_0\} = \alpha^m \inf\{\alpha^n \mid t^n v' \in \widehat{R}_0\}$ . Condition (II) implies that  $v' \in \widehat{R}_0 \setminus t\widehat{R}_0$  so that |v| = 0. We conclude that  $|v| = \inf\{\alpha^n \mid t^n v \in V\}$ .
- (3) It readily follows from the assertion (2).
- (4) Let  $(x_n)$  be a sequence of  $V[\frac{1}{t}]$  converging to some  $x \in F_0$ . We want to show that x belongs to  $V[\frac{1}{t}]$  so that we can assume that  $x \neq 0$  and that  $|x_n| = |x| = \alpha^m$  for all  $n \geq 0$ . Assertion (2) shows that  $t^m x_n$  is a sequence of V and (1) shows that its limit  $t^m x$  belongs to V. Thus  $x \in V[\frac{1}{t}]$ . We have shown that  $V[\frac{1}{t}]$  is closed in  $F_0$ .

Finally since  $F_0$  is a Banach K-space so is  $V\left[\frac{1}{t}\right]$ .

The following statement extends partially [H-H-K, th. 2.5] and [H-H-K2, prop. 4.1].

**Proposition 3.3.** Let a, b, c be positive integers. Let  $\Omega \subset (F_0)^a \times (F_0)^b$  be an open neighborhood of (0,0) and let  $f: \Omega \to (F_0)^c$  be an analytic map. We denote by  $f^a: \Omega \cap (F_0)^a \to (F_0)^c$  and  $f^b: \Omega \cap (F_0)^b \to (F_0)^c$ . We assume that

- (i) f(0,0) = 0;
- (ii) the differentials  $Df_0^a:(F_0)^a\to (F_0)^c$  and  $Df_0^b:(F_0)^a\to (F_0)^c$  satisfy

$$Df_0^a \left( V[\frac{1}{t}]^a \right) + Df_0^b \left( V[\frac{1}{t}]^b \right) = (F_0)^c.$$

Then there is a real number  $\epsilon > 0$  such that for all  $y \in (F_0)^c$  with  $|y| \le \epsilon$ , there exist  $v \in V^a$  and  $w \in W^b$  such that  $(v, w) \in \Omega$  and f(v, w) = y.

*Proof.* We consider the continuous embedding  $i: V[\frac{1}{t}]^a \times W[\frac{1}{t}]^b \to (F_0)^a \times (F_0)^b$  and define  $\widetilde{\Omega} = i^{-1}(\Omega)$  and the function  $\widetilde{f} = f \circ i: \widetilde{\Omega} \to (F_0)^c$ .

Claim 3.4. The map  $\widetilde{f}$  is strictly differentiable at (0,0) and  $D\widetilde{f}_{(0,0)}:V[\frac{1}{t}]^a\times W[\frac{1}{t}]^b\to (F_0)^c$  is onto.

Since f is  $F_0$ -analytic at (0,0), it is strictly differentiable [Sc, I.5.6], that is, there exists an open neighborhood  $\Theta$  of (0,0) and a positive real number  $\beta$  such that

$$|f(x_2) - f(x_1) - Df_{(0,0)}(x_2 - x_1)| \le \beta |x_2 - x_1| \quad \forall x_1, x_2 \in \Theta.$$

It is then strictly derivable as function between the Banach K-spaces  $(F_0)^a \times (F_0)^b \to (F_0)^c$ . On the other hand the embedding  $i:V[\frac{1}{t}]^a \times W[\frac{1}{t}]^b \to (F_0)^a \times (F_0)^b$  is 1–Liftschitz so is strictly differentiable at (0,0). As composite of strictly differentiable functions,  $\widetilde{f}$  is strictly differentiable at (0,0) [B:F, §1.3.1]. Furthermore the differential  $D\widetilde{f}_{(0,0)}$  is the composite of

$$V\left[\frac{1}{t}\right]^{a} \times W\left[\frac{1}{t}\right]^{b} \xrightarrow{i} (F_{0})^{a} \times (F_{0})^{b} \xrightarrow{Df_{0}^{a} + Df_{0}^{b}} (F_{0})^{c}.$$

Condition (ii) says exactly that  $D\widetilde{f}_{(0,0)}$  is surjective. The Claim is proven.

We apply the implicit function theorem to the function  $\widetilde{f}$  [B:F, §1.5.2] (see [Sc, §4] for concocting a proof). Lemma 3.2.(4) shows that  $V[\frac{1}{t}]^a \times W[\frac{1}{t}]^b$  is a Banach K-space and so is  $F_0$ . There exists then an open neighborhood  $\Upsilon \subset \widetilde{\Omega}$  of (0,0) in  $V[\frac{1}{t}]^a \times W[\frac{1}{t}]^b$  such that  $\widetilde{f}_{|\Upsilon}$  is open. Up to shrink  $\Upsilon$  we can assume that  $\Upsilon \subset V \times W$  according to Lemma 3.2.(3). There exists then a real number  $\epsilon > 0$  such that

$$\{y \in (F_0)^c \mid |y| \le \epsilon\} \subset \{y \in (F_0)^c \mid |y| < 2\epsilon\} \subset \widetilde{f}(\Upsilon).$$

We conclude that for all  $y \in (F_0)^c$  with  $|y| \le \epsilon$ , there exist  $v \in V^a$  and  $w \in W^b$  such that  $(v, w) \in \Omega$  and f(v, w) = y.

**Corollary 3.5.** Let n be a positive integer. Let  $\Omega \subset (F_0)^n \times (F_0)^n$  be an open neighborhood of (0,0) and let  $f:\Omega \to (F_0)^n$  be an analytic map which satisfies

- (i) f(0,0) = 0;
- (ii) f(x,0) = f(0,x) = x over an open neighborhood  $\Upsilon$  of 0.

Then there is a real number  $\epsilon > 0$  such that for all a with  $|a| \le \epsilon$ , there exist  $v \in V^n$  and  $w \in W^n$  such that  $(v, w) \in \Omega$  and f(v, w) = a.

*Proof.* In this case we have a=b=c=n and  $Df_0^a=Df_0^b=\mathrm{Id}_{(F_0)^n}$  so that Proposition 3.3 applies.

3.2. **Kneser-Tits' subgroups.** Continuing in the previous setting, we assume furthermore that  $F_1$  is t-adically dense in  $F_0$ . Let  $F \subset F_1 \cap F_2$  be a subfield. For dealing later with Weil restriction issues it is convenient to deal with a finite field extension E of F.

**Proposition 3.6.** Let H be a semisimple simply connected E-group scheme assumed strictly isotropic. We put  $G = R_{E/F}(H)$ . For each overfield L of F, we put  $G(L)^+ = H(L \otimes_F E)^+$  where the second group is that defined in §2.1. Then we have the decomposition

$$G(F_0)^+ = G(F_1)^+ G(F_2)^+.$$

*Proof.* Without lost of generality we can assume that F is infinite. The proof is based on an analytic argument requiring some preparation.

Let P be a strictly proper parabolic E-subgroup of H. Let U be its unipotent radical and  $U_{last}$  the last part of Demazure's filtration [G-P-S, §3.2]. Let u:  $\mathbb{G}_{a,E}^d \stackrel{\sim}{\longrightarrow} U_{last}$  be a E-group isomorphism. According to [G-P-S, Lemma 3.4(3)],  $E_P(E)$ . Lie $(U_{last})(E)$  generates Lie(H)(E). There exists  $g_1, \ldots, g_n \in E_P(E)$  such that

$$\operatorname{Lie}(H)(E) = {}^{g_1}\operatorname{Lie}(U_{last})(E) \oplus {}^{g_2}\operatorname{Lie}(U_{last})(E) \oplus \cdots \oplus {}^{g_n}\operatorname{Lie}(U_{last})(E).$$

We consider the map  $h: (\mathbb{G}_{a,E}^d)^n \to H$ ,  $h(x_1,\ldots,x_n) = {}^{g_1}u(x_1)\ldots {}^{g_n}u(x_n)$ . Its differential at 0 is  $dh_{0,0}: E^{dn} \cong \operatorname{Lie}(U_{last})(E)^n \to \operatorname{Lie}(H)(E), (X_1,\ldots,X_n) \mapsto \sum_{i=1}^n {}^{g_i}X_i$ , so is an isomorphism. The map h is then étale at a neighborhood of 0. It follows that  $h_{\sharp} = R_{E/F}(h): R_{E/F}((\mathbb{G}_{a,E}^d)^n) \to G = R_{E/F}(H)$  is étale also at a neighborhood of 0 [C-G-P, A.5.2.(4)]

Since the field  $F_0$  is henselian, the local inversion theorem holds [G-G-MB, prop. 2.1.4]. We mean that there exists an open neighborhood  $\Upsilon \subset (F_0)^n$  such that the restriction  $h_{\sharp \mid \Omega} : \Upsilon \to G(F_0)$  is a topological open embedding.

We consider now the product morphism  $q: \Upsilon \times \Upsilon \to G(F_0), q(x,y) = h_{\sharp}(x)h_{\sharp}(y)$ . We put  $\Omega = q^{-1}(h_{\sharp}(\Upsilon))$ , this an open subset of  $(F_0)^{2n}$ . Then the restriction  $q_{|\Omega}$  defines an (unique) analytical map  $f: \Omega \to \Upsilon$  such that  $q(x,y) = h_{\sharp}(f(x,y))$ .

By construction we have f(0,x) = f(x,0) = x for x in a neighborhood of  $0 \in (F_0)^n$ . We apply Corollary 3.5 to f so that there exists  $\epsilon > 0$  such that for each  $a \in \Upsilon$  with  $|a| \le \epsilon$ , there exist  $v \in V^n$  and  $w \in W^n$  such that  $(v,w) \in \Omega$  and f(v,w) = a. We denote by  $\Upsilon_{\epsilon} = \Upsilon \cap B(0,\epsilon)$ . Then  $h^{-1}_{\sharp}(\Upsilon_{\epsilon})$  is an open neighborhood of 0 in  $(F_0)^n$ .

Let us now prove that  $G(F_0)^+ = G(F_1)^+ \times G(F_2)^+$ . Since  $F_1$  is dense in  $F_0$ ,  $G(F_1)^+$  is dense in  $G(F_0)^+$ . It is then enough to show that  $\Upsilon_{\epsilon} \subset G(F_1)^+ \times G(F_2)^+$ . Let  $g = h(a) \in h_{\sharp}(\Upsilon_{\epsilon})$ . Then a = f(v, w) with  $(v, w) \in \Omega$ . It follows that  $g = h(a) = h_{\sharp}(f(v, w)) = q(v, w) = h_{\sharp}(v)h_{\sharp}(w) \in G(F_1)^+ \times G(F_2)^+$ .

This could be refined as follows.

**Proposition 3.7.** Let H be a reductive E-group and put  $G = R_{E/F}(H)$ .

- (1)  $RG(F_1) RG(F_2)$  contains an open neighborhood of 1 in  $G(F_0)$ .
- (2) If  $RG(F_1)$  is dense in  $RG(F_0)$ , then  $RG(F_1)RG(F_2) = RG(F_0)$ ;
- (3) If H is semisimple simply connected and  $H_{F_1 \otimes_F E}$  is strictly isotropic, then we have

$$G(F_1)^+ RG(F_2) = G(F_0)^+.$$

(4) If H is semisimple simply connected and  $H_{F_i \otimes_F E}$  is strictly isotropic for i = 1, 2, then  $G(F_1)^+ G(F_2)^+ = G(F_0)^+$ .

The subgroups  $G(F_1)^+$ ,  $G(F_0)^+$  are defined as in Proposition 3.6.

*Proof.* (1) Let  $T \subset H$  be a maximal E-torus and let  $1 \to S \to Q \xrightarrow{s} T \to 1$  be a resolution of T where Q is a quasitrivial torus and S is a torus. We have  $Q = R_{C/E}(\mathbb{G}_m)$  where C is an étale E-algebra so that Q is an open subset of the affine E-space  $\mathbf{W}(C)$ .

We use now Raghunathan's technique [R, §1.2]. There exists  $h_1, \ldots, h_r \in H(E)$  such that  $\text{Lie}(H)(E) = {}^{g_1}\text{Lie}(T)(E) \oplus {}^{g_2}\text{Lie}(T)(E) \oplus \cdots \oplus {}^{g_r}\text{Lie}(T)(E)$ . We consider the map  $h: (Q^n)_E \to H$ ,  $h(x_1, \ldots, x_n) = {}^{g_1}s(x_1) \ldots {}^{g_n}s(x_n)$ . Its differential at 0 is  $dh_0: C^r \to \text{Lie}(H)(E), (c_1, \ldots, c_r) \mapsto \sum_i {}^{g_i}ds(c_i)$ , and is onto (observe that  $\text{Lie}(Q)(E) \to \text{Lie}(T)(E)$  is surjective).

We cut now  $Q^r$  by some suitable affine E-subspace  $\mathbf{W}(C^n)$  of  $\mathbf{W}(C)^r$  such that the restriction h' of h to  $X = Q^r \cap \mathbf{W}(C^n)$  is such that  $dh'_0 : \operatorname{Tan}_{X,1} \to \operatorname{Lie}(H)(F)$  is an isomorphism. Note that X and E have same dimension n over E.

The map h' is then étale at a neighborhood of 1. It follows that  $h'_{\sharp} = R_{E/F}(h') : R_{E/F}(X) \to G = R_{E/F}(H)$  is étale also at a neighborhood of 0 [C-G-P, A.5.2.(4)]

Since the field  $F_0$  is henselian, the local inversion theorem holds [G-G-MB, prop. 2.1.4]. We mean that there exists an open neighborhood  $\Upsilon \subset (F_0)^n$  such that the restriction  $h'_{\sharp \mid \Omega} : \Upsilon \to G(F_0)$  is a topological open embedding.

We consider now the product morphism  $q: \Upsilon \times \Upsilon \to G(F_0)$ ,  $q(x,y) = h'_{\sharp}(x) h'_{\sharp}(y)$ . We put  $\Omega = q^{-1}(h'_{\sharp}(\Upsilon))$ , this an open subset of  $(F_0)^{2n}$ . Then the restriction  $q_{|\Omega}$  defines a (unique) analytical map  $f: \Omega \to \Upsilon$  such that  $q(x,y) = h'_{\sharp}(f(x,y))$ .

By construction we have f(0,x) = f(x,0) for x in a neighborhood of  $0 \in F_0^n$ . We apply Corollary 3.5 to f so that there exists  $\epsilon > 0$  such that for each  $a \in \Upsilon$  with  $|a| \le \epsilon$ , there exist  $v \in V^n$  and  $w \in W^n$  such that  $(v,w) \in \Omega$  and f(v,w) = a. We denote by  $\Upsilon_{\epsilon} = \Upsilon \cap B(0,\epsilon)$ . Then  $h'_{\sharp}^{-1}(\Upsilon_{\epsilon})$  is an open neighborhood of 0 in  $(F_0)^n$ .

We claim that  $\Upsilon_{\epsilon} \subset RG(F_1) RG(F_2)$ . Let  $g = h'_{\sharp}(a) \in h(\Upsilon_{\epsilon})$ . Then a = f(v, w) with  $(v, w) \in \Omega$ . It follows that  $g = h'_{\sharp}(a) = h_{\sharp}(f(v, w)) = q(v, w) = h'_{\sharp}(v)h'_{\sharp}(w) \in RG(F_1) \times RG(F_2)$ .

(2) If  $RG(F_1)$  is furthermore dense in  $RG(F_0)$ , then (1) shows that  $RG(F_1)RG(F_2)$  is a dense open subset of  $RG(F_0)$ . Thus  $RG(F_1)RG(F_2) = RG(F_0)$ 

(3) We assume that H is semisimple simply connected and that  $G_{F_1 \otimes_F E_1}$  is strictly isotropic. According to Lemma 2.2.(2), we have  $G(F_1)^+ = RG(F_1) = RH(F_1 \otimes_F E) = H^+(F_1 \otimes_F E)$  and similarly for  $F_0$ .

Since  $H^+(F_1 \otimes_F E)^+$  is dense in  $H^+(F_0 \otimes_F E)$ , it follows that  $RG(F_1)$  is dense in  $RG(F_0)$ . Assertion (2) yields then  $RG(F_1)RG(F_2) = RG(F_0)$ . Lemma 2.3.(2) states that  $G(F_1)^+ = RG(F_1)$  and  $G(F_0)^+ = RG(F_0)$ . We conclude that  $G(F_1)^+ RG(F_2) = G(F_0)^+$ .

(4) We have furthermore  $G(F_2)^+ = RG(F_2)$  so that (3) yields  $G(F_1)^+ G(F_2)^+ = G(F_0)^+$ .

**Remark 3.8.** Note that (1) shows in particular that  $RG(F_0)$  is an open subgroup of  $G(F_0)$ .

The main result of the section is the following patching statement on twisted flag varieties.

**Theorem 3.9.** We put  $F = F_1 \cap F_2$ . Let H be a reductive E-group and let X be a twisted flag E-variety of H. Assume that X is auto-opposite, that is, the stabilizer P of an  $E_s$ -point of X is conjugated to an opposite parabolic subgroup of P [B-T1,  $\S 4.9$ ]. We put  $G = R_{E/F}(H)$  and  $Z = R_{E/F}(X)$ . If  $Z(F_1) \neq \emptyset$  and  $Z(F_2) \neq \emptyset$ , then  $X(F) \neq \emptyset$ .

*Proof.* Without loss of generality, we can assume that H is semisimple simply connected and that it is absolutely E-simple. This implies that H is strictly  $F_i \otimes_F E$ -isotropic for i = 1, 2. Let  $x_i \in Z(F_i) = X(F_i \otimes_F E)$  and denote by  $P_i = \operatorname{Stab}_{G_{F_2}}(x_2)$  its stabilizer, this is parabolic  $F_i \otimes_F E$ -subgroup of H. We denote by  $U_i/E$  its unipotent radical.

Since the conjugacy class of  $P_1$  is autopposite, there exists  $h \in H(E \otimes_F F_0)$  such that  $P_{1,E \otimes_F F_0}$  is opposite to  ${}^hP_{2,E \otimes_F F_0}$  [SGA3, XXVI.5.3]. According to Lemma 2.1, we have  $H(E \otimes_F F_0) = H^+(E \otimes_F F_0) P_2(E \otimes_F F_0)$  so we can assume that  $h \in H^+(E \otimes_F F_0)$ . According to Proposition 3.7.(4), we can write  $h = h_1 h_2$  with  $h_i \in H^+(E \otimes_F F_i)$  for i = 1, 2. Up to replace  $P_1$  by  ${}^{h_1^{-1}}P_1$  and  $P_2$  by  ${}^{h_2^{-1}}P_2$  we can then assume that  $P_{1,F_0}$  is opposite to  $P_{2,F_0}$ . According to [SGA3, XXVI.5.1], we have a decomposition

(3.4) 
$$H(F_0 \otimes_F E) = U_2(F_0 \otimes_F E) U_1(F_0 \otimes_F E) P_2(F_0 \otimes_F E).$$

Let  $h \in H(F_0 \otimes_F E)$  such that  $x_1 = h.x_2$ . The preceding decomposition permits to write  $h = u_2 u_1 p_2$  with  $u_2 \in U_2(F_0 \otimes_F E)$ ,  $u_1 \in U_1(F_0 \otimes_F E)$  and  $p_2 \in P_2(F_0 \otimes_F E)$ . It follows that

$$x_1 = u_1^{-1}.x_1 = (u_1^{-1}h).x_2 = (u_1^{-1}u_2u_1).(p_2.x_2) = (u_1^{-1}u_2u_1).x_2$$

hence  $x_1 \in H(F_0 \otimes_F E)^+.x_2$ . According to Proposition 3.7.(4), we have  $G(F_0)^+ = G(F_1)^+G(F_2)^+$  so that  $u_1^{-1}u_2u_1 = g_1g_2$  with  $g_i \in G(F_i)^+$  for i = 1, 2. It follows that  $g_1^{-1}.x_1 = g_2^{-1}.x_2$ , this defines a point of X(E) = Z(F).

### 4. Relation with the original HHK method

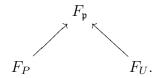
We recall the setting. Let T be an excellent complete discrete valuation ring with fraction field K, residue field k and uniformizing parameter t. Let F be a one-variable function field over K and let  $\mathfrak{X}$  be a normal model of F, i.e. a normal connected projective T-curve with function field F. We denote by Y the closed fiber of  $\mathfrak{X}$  and fix a separable closure  $F_s$  of F.

For each point  $P \in Y$ , let  $R_P$  be the local ring of  $\mathfrak{X}$  at P; its completion  $\widehat{R}_P$  is a domain with fraction field denoted by  $F_P$ .

For each subset U of Y that is contained in an irreducible component of Y and does not meet the other components, we define  $R_U = \bigcap_{P \in U} R_P \subset F$ . We denote by

 $\widehat{R}_U$  the t-adic completion of  $R_U$ . The rings  $R_U$  and  $\widehat{R}_U$  are excellent normal domains and we denote by  $F_U$  the fraction field of  $\widehat{R}_U$  [H-H-K3, Remark 3.2.(b)].

Each height one prime  $\mathfrak{p}$  in  $\widehat{R}_P$  that contains t defines a branch of Y at P lying on some irreducible component of Y. The t-adic completion  $\widehat{R}_{\mathfrak{p}}$  of the local ring  $R_{\mathfrak{p}}$  of  $\widehat{R}_P$  at  $\mathfrak{p}$  is a complete DVR whose fraction field is denoted by  $F_{\mathfrak{p}}$ . The field  $F_{\mathfrak{p}}$  contains also  $F_U$  if U is an irreducible open subset of Y such that  $P \in \overline{U} \setminus U$ . We have then a diagram of fields



**Example 4.1.** We assume that T = k[[t]] and take  $X = \mathbb{P}_K^1$ ,  $\mathfrak{X} = \mathbb{P}_T^1$ ,  $P = \infty_k$ ,  $U = \mathbb{A}_k^1 = \operatorname{Spec}(k[x])$ . The ring  $R_U$  contains k[[t]][x] and is its localization with respect to the multiplicative set S of elements which are units modulo t. The t-adic completion of  $R_U$  is  $\widehat{R}_U = k[x][[t]]$ ; we have  $F_U = \operatorname{Frac}(\widehat{R}_U) = k(x)((t))$ . The local ring of  $\mathfrak{X}$  at  $P = \infty_k$  is  $R_P = k[[t]][x^{-1}]_{(x^{-1},t)}$  so that its completion is  $\widehat{R}_P = k[[t,x^{-1}]]$ ; in particular  $F_P = k((t,x^{-1}))$ .

We take  $\mathfrak{p} = t\widehat{R}_P \subset \widehat{R}_P$  and the t-adic completion  $\widehat{R}_{\mathfrak{p}}$  of the local ring  $R_{\mathfrak{p}}$  of  $\widehat{R}_P$  at  $\mathfrak{p}$  is a complete DVR which is  $k((x^{-1}))[[t]]$ . In particular  $F_{\mathfrak{p}} = k((x^{-1}))((t))$ .

**Setting 4.2.** Let  $\mathcal{P}$  be a non-empty finite set of closed points of Y that contains all the closed points at which distinct irreducible components meet. Let  $\mathcal{U}$  be the set of connected components of  $Y \setminus \mathcal{P}$  and let  $\mathcal{B}$  be the set of branches of Y at points of  $\mathcal{P}$ . This yields a finite inverse system of field  $F_P, F_U, F_{\mathfrak{p}}$  (for  $P \in \mathcal{P}$ ;  $U \in \mathcal{U}, \mathfrak{p} \in \mathcal{B}$ ) where  $F_P, F_U \subset F_{\mathfrak{p}}$  if  $\mathfrak{p}$  is a branch of Y at P lying in the closure of U.

**Lemma 4.3.** We assume that  $X = \mathbb{P}^1_K$ ,  $\mathfrak{X} = \mathbb{P}^1_T$ ,  $P = \infty_k$ ,  $U = \mathbb{A}^1_k = \operatorname{Spec}(k[x])$  and  $\mathfrak{p}$  the branch of P. We put  $F_1 = F_P$ ,  $F_2 = F_U$  and  $F_0 = F_{\mathfrak{p}}$ .

- (1)  $F_1$  is t-dense in  $F_0$ .
- (2) We put  $V = F_1 \cap \widehat{R}_{\mathfrak{p}}$  and  $W = F_2 \cap \widehat{R}_{\mathfrak{p}}$ . Then V and W satisfy conditions (3.1) and (3.2).
- Proof. (1) We are given  $u_0/v_0 \in F_0$  with  $u_0, v_0 \in \widehat{R}_{\mathfrak{p}}, v_0 \neq 0$ . There exists elements  $u, v \in R_{\mathfrak{p}}$  very close respectively of  $u_0, v_0$  with  $v \neq 0$ . Let  $s_1, s_2 \in R_p \setminus R_p \mathfrak{p}$  such that  $s_1 u \in R_P$  and  $s_2 v \in R_{\mathfrak{p}}$ . Then  $u_0/v_0$  is very close of  $(s_1 s_2 u)/(s_1 s_2 v) \in F_1$ .
- (2) Condition (3.2) is obviously fullfilled since  $t \in F_1 \cap F_2$ . For establishing condition (3.1), we are given an element f of  $\widehat{R}_{\mathfrak{p}}$  and may write it as  $f = \sum_{i=0}^{\infty} x^{m_i} \left( \sum_{j=0}^{\infty} a_{i,j} \frac{1}{x^j} \right) t^i$  where the  $m_i$ 's are non-negative integers and  $a_{i,j} \in T$ . We decompose

$$f = f_1 + f_2 = \sum_{i=0}^{\infty} x^{m_i} \left( \sum_{j=m_i}^{\infty} a_{i,j} \frac{1}{x^j} \right) t^i + \sum_{i=0}^{\infty} x^{m_i} \left( \sum_{j=0}^{m_i-1} a_{i,j} \frac{1}{x^j} \right) t^i$$

We observe that  $f_2$  belongs to  $\widehat{R}_P$  so belongs to V. We recall that  $R_U$  is the localization of T[x] with respect to the elements which are units modulo t. We conclude that  $f_2$  belongs to W as desired.

**Theorem 4.4.** Let G be a reductive F-algebraic group.

- (1) Let Z be a twisted flag projective F-variety for G. Then  $Z(F) \neq \emptyset$  if and only if  $Z(F_U) \neq \emptyset$  for each  $U \in \mathcal{U}$  and  $Z(F_P) \neq \emptyset$  for each  $P \in \mathcal{P}$ .
- (2) For each  $U \in \mathcal{U}$  (resp. each  $P \in \mathcal{P}$ ), we fix an F-embeddings  $i_U : F_s \to F_{U,s}$  (resp.  $i_P : F_s \to F_{P,s}$ ) providing identifications  $\Delta(G_{F_s}) \xrightarrow{\sim} \Delta(G_{F_{U,s}})$  (resp.  $\Delta(G_{F_s}) \xrightarrow{\sim} \Delta(G_{F_{P,s}})$ ). The Tits index  $\Delta_0(G)$  is the smallest subset of  $\Delta(G_{F_s})$  which is stable under the  $\star$ -action of  $\operatorname{Gal}(F_s/F)$  and such that  $\Delta_0(G) \subset \Delta_0(G_{F_U})$  for each  $U \in \mathcal{U}$  and  $\Delta_0(G) \subset \Delta_0(G_{F_P})$  for each  $P \in \mathcal{P}$ .

The recollection for star action and Tits index is done in the beginning of the paper.

*Proof.* The outline is to prove (1) under an assumption of autophositeness, to prove (2) and then to prove (1) in the general case.

(1) We assume that Z parameterizes an auto-opposite conjugacy class of parabolic subgroups of G. We use a Weil restriction argument as in the proof of [H-H-K2, Thm. 4.2]. This involves a finite morphism  $f: \mathfrak{X} \to \mathbb{P}^1_T$  such that  $\mathcal{P} = f^{-1}(\infty_k)$ . Write  $\underline{F}$  for the function field of  $\mathbb{P}^1_T$ , and let d = [F:F']. We put  $\underline{U} = \mathbb{P}^1_k \setminus \{\infty\}$ ,  $\underline{P} = \infty_k$  and  $\underline{\mathfrak{p}} = (U, \mathfrak{p})$  and  $F_0 = \underline{F}_{\underline{\mathfrak{p}}}$ ,  $F_1 = \underline{F}_{\underline{\mathfrak{p}}}$  and  $F_2 = F_{\underline{\mathfrak{U}}}$ . Also patching holds for the diamond  $(\underline{F}, F_1, F_2, F_0)$  according to [H-H, thm 3.9] so in particular  $K(x) = \underline{F} = F_1 \cap F_2 \subset F_0$ . We put  $V = F_1 \cap \widehat{R}_{\mathfrak{p}}$  and  $W = F_2 \cap \widehat{R}_{\mathfrak{p}}$ . Lemma 4.3 shows that  $F_1$  is dense in  $F_0$  and that V and W satisfy conditions (3.1) and (3.2).

We consider the Weil restriction  $\underline{G} = R_{F/\underline{F}}(G)$ , it is a reductive  $\underline{F}$ -group which acts on the  $\underline{F}$ -variety  $\underline{Z} = R_{F/F}(Z)$ . We have

$$\underline{Z}(F_1) = Z(F_1 \otimes_{\underline{F}} F) = \prod_{P \in \mathcal{P}} Z(F_P)$$

according to [H-H, Lemma 6.2.(a)]. Similarly we have

$$\underline{Z}(F_2) = Z(F_2 \otimes_{\underline{\mathbf{F}}} F) = \prod_{U \in \mathcal{U}} Z(F_U)$$

Our assumptions imply that  $\underline{Z}(F_1) \neq \emptyset$  and  $\underline{Z}(F_2) \neq \emptyset$ . Theorem 3.9 implies that  $\underline{Z}(\underline{F}) \neq \emptyset$ . Thus  $\underline{Z}(\underline{F}) = Z(F)$  is non-empty.

(2) Let  $\Theta$  be the smallest subset of  $\Delta(G_{F_s})$  which is stable under the  $\star$ -action of  $\operatorname{Gal}(F_s/F)$  and such that  $\Delta_0(G) \subset \Delta_0(G_{F_U})$  for each  $U \in \mathcal{U}$  and  $\Delta_0(G) \subset \Delta_0(G_{F_P})$  for each  $P \in \mathcal{P}$ . Since  $\Theta$  is an intersection of auto-opposite subsets of  $\Delta(G_{F_s})$ , it is auto-opposite.

We observe that  $\Delta_0(G) \subset \Theta$  since it is stable under the star action and satisfies  $\Delta_0(G) \subset \Delta_0$  for each  $U \in \mathcal{U}$  and  $\Delta_0(G) \subset \Delta_0(G_{F_P})$  for each  $P \in \mathcal{P}$ . For the converse inclusion we consider the F-variety Z of parabolic subgroups of type  $\Theta$  (which is auto-opposite). For each  $U \in \mathcal{U}$ , we have  $\Theta \subset \Delta_0(G_{F_U})$  so that  $Z(F_U) \neq \emptyset$ ; similarly we have  $\Theta \subset \Delta_0(G_{F_P})$  for each  $P \in \mathcal{P}$  so that  $Z(F_P) \neq \emptyset$ . Part (1) yields that  $Z(F) \neq \emptyset$ . Thus  $\Theta \subset \Delta_0(G)$  and  $\Theta = \Delta_0(G)$ 

(3) We consider now the case of an arbitrary flag F-variety Z for G. It is associated to a subset  $\Upsilon$  of  $\Delta(G_{F_s})$  which is invariant under the  $\star$ -action of  $\operatorname{Gal}(F_s/F)$ . If  $Z(F) \neq \emptyset$ , we have that  $Z(F_U) \neq \emptyset$  for each  $U \in \mathcal{U}$  and that  $Z(F_P) \neq \emptyset$  for each  $P \in \mathcal{P}$ . Conversely if  $Z(F_U) \neq \emptyset$  for each  $U \in \mathcal{U}$  and  $Z(F_P) \neq \emptyset$  for each  $P \in \mathcal{P}$ . It follows that  $\Upsilon \subset \Delta_0(G_{F_U})$  for each  $U \in \mathcal{U}$ . Part (2) of the statement yields  $\Upsilon \subset \Delta_0(G)$  so that  $Z(F) \neq \emptyset$ .

Corollary 4.5. Let G be a reductive F-algebraic group.

- (1) Let Z be a twisted flag projective F-variety for G. Then  $Z(F) \neq \emptyset$  if and only if  $Z(F_P) \neq \emptyset$  for each  $P \in Y$ .
- (2) For each  $P \in Y$ , we fix an F-embedding  $i_P : F_s \to F_{P,s}$  providing identifications  $\Delta(G_{F_s}) \xrightarrow{\sim} \Delta(G_{F_{P,s}})$ . The Tits index  $\Delta_0(G)$  is the smallest subset of  $\Delta(G_{F_s})$  which is stable under the  $\star$ -action of  $\operatorname{Gal}(F_s/F)$  and such that  $\Delta_0(G) \subset \Delta_0(G_{F_P})$  for each  $P \in Y$ .
- Proof. (1) We assume  $Z(F_P) \neq \emptyset$  for each  $P \in Y$ . Let  $Y_1, \ldots, Y_d$  be the irreducible components of Y with respective generic points  $\eta_1, \ldots, \eta_d$ . According to [H-H-K2, prop. 5.8], there exists non-empty affine subsets  $U_i \subset Y_i$   $(i = 1, \ldots, d)$  such that  $Z(F_{U_i}) \neq \emptyset$  for  $i = 1, \ldots, d$  and  $U_i \cap U_j = \emptyset$  for i < j. We apply Theorem 4.4 to  $\mathcal{U} = \{U_1, \ldots, U_d\}, \mathcal{P} = Y \setminus \cap_i U_i$  and get that  $Z(F) \neq \emptyset$ .
- (2) This readily follows of (1).

Corollary 4.6. Let G be a reductive F-algebraic group and assume that G is the generic fiber of a reductive  $\mathfrak{X}$ -group scheme  $\mathfrak{G}$ .

- (1) Let Z be a twisted flag projective F-variety for G. Then  $Z(F) \neq \emptyset$  if and only if  $Z(F_v) \neq \emptyset$  for each discrete valuation v of F.
- (2) For each discrete valuation v of F, we fix an F-embedding  $i_v: F_s \to F_{v,s}$  providing identifications  $\Delta(G_{F_s}) \xrightarrow{\sim} \Delta(G_{F_{v,s}})$ . The Tits index  $\Delta_0(G)$  is the smallest subset of  $\Delta(G_{F_s})$  which is stable under the  $\star$ -action of  $Gal(F_s/F)$  and such that  $\Delta_0(G) \subset \Delta_0(G_{F_v})$  for each discrete valuation v on F.
- Proof. (1) We assume that  $Z(F_v) \neq \emptyset$  for each discrete valuation v of F. Let  $\mathfrak{G}$  be a reductive  $\mathfrak{X}$ -group of generic fiber G. Then Z is the generic fiber of an  $\mathfrak{X}$ -scheme of parabolic subgroups  $\mathfrak{Z}$ . For applying Corollary 4.5, we have to check that  $Z(F_P) \neq \emptyset$  for each  $P \in Y$ . If Q is a point of codimension 1 of  $\mathfrak{X}$ , it defines a discrete valuation  $v_Q$  on F whose completion is  $F_Q$ . Our assumption implies then that  $Z(F_Q) \neq \emptyset$  in that case. We deal now with the case of a closed point P of  $\mathfrak{X}$ . Let D be an irreducible component of  $Y = \mathfrak{X}_k$  containing P and let Q be the generic point of D. Since  $\mathfrak{Z}$  is proper over T, we have  $\mathfrak{Z}(\widehat{R}_Q) = \mathfrak{Z}(F_Q)$  which is not empty by the preceding case. It follows that  $\mathfrak{Z}_k(k(D)) \neq \emptyset$ . Again  $\mathfrak{Z}_k$  is projective so that  $\mathfrak{Z}_k(D) = \mathfrak{Z}_k(k(D))$  is not empty and in particular  $\mathfrak{Z}_k(k(P))$  is not empty. Since  $\mathfrak{Z}$  is smooth over  $\mathfrak{X}$ , the Hensel lemma shows that  $\mathfrak{Z}_k(\widehat{R}_{\mathfrak{X},P}) \to \mathfrak{Z}_k(k(P))$  is surjective. Thus  $\mathfrak{Z}(\widehat{R}_{\mathfrak{X},P})$  is not empty and so is  $Z(F_P)$ .

(2) It readily follows of (1).

Corollary 4.7. Let G be a reductive F-algebraic group. We denote by  $\Omega_F^1$  the set of rank one valuations of F.

- (1) Let Z be a twisted flag projective F-variety for G. Then  $Z(F) \neq \emptyset$  if and only if  $Z(F_v) \neq \emptyset$  for each  $v \in \Omega_F^1$ .
- (2) For each  $v \in \Omega_F^1$ , we fix an F-embedding  $i_v : F_s \to F_{v,s}$  providing identifications  $\Delta(G_{F_s}) \stackrel{\sim}{\longrightarrow} \Delta(G_{F_{v,s}})$ . The Tits index  $\Delta_0(G)$  is the smallest subset of  $\Delta(G_{F_s})$  which is stable under the  $\star$ -action of  $\operatorname{Gal}(F_s/F)$  and such that  $\Delta_0(G) \subset \Delta_0(G_{F_v})$  for each  $v \in \Omega_F^1$ .
- *Proof.* (1) We assume that  $Z(F_v) \neq \emptyset$  for each  $v \in \Omega_F^1$ . According to [H-H-K-P, Thm 2.5], there exists a regular model  $\mathfrak{X}'$  of F such that  $Z(F_P) \neq \emptyset$  for each  $P \in \mathfrak{X}' \times_T k$ . Then Corollary 4.6.(1) shows that  $Z(F) \neq \emptyset$ .
- (2) This readily follows of (1).

# 5. Local-global principle for discrete valuations

Let T be an excellent DVR of fraction field K and residue field k. Let X be a smooth, projective, geometrically integral curve over K. Let F = K(X) be the function field of X.

**Lemma 5.1.** Let  $\mathfrak{X}$  be a projective, flat curve over A which is connected and regular such that  $\mathfrak{X}_K = X$ . Let  $\mathfrak{H}$  be a flat affine  $\mathfrak{X}$ -group scheme of finite presentation and assume that there exists a Zariski cover  $(\mathfrak{U}_i)_{i\in I}$  of  $\mathfrak{X}$  such that each  $\mathfrak{H}_{U_i}$  admits a closed embedding  $\mathfrak{H}_{U_i} \subset \operatorname{GL}_{n_i}$  such that  $\operatorname{GL}_{n_i}/\mathfrak{H}_{U_i}$  is representable by an affine  $U_i$ -scheme,

Let  $\gamma \in H^1_{\text{fppf}}(F, \mathfrak{H})$  and let D be a divisor of  $\mathfrak{X}$  which contains the irreducible components of  $Y = \mathfrak{X}_k$  and such that  $\gamma$  extends to  $X \setminus D_K$ .

Then there exists a proper birational morphism  $q: \mathfrak{X}' \xrightarrow{\sim} \mathfrak{X}$  such that  $\mathfrak{X}'$  is a regular model of X and such that  $D' = q^*D$  is a strict normal crossing divisor and

$$\gamma \in \operatorname{Im} \Bigl( H^1(\mathfrak{X}' \setminus D', \mathfrak{H}) \to H^1(F, \mathfrak{H}) \Bigr).$$

*Proof.* Using a passage to the limit argument [Mg], there exists an open affine subscheme  $\mathfrak{U}_1 \subset \mathfrak{X} \setminus D$  such that  $\gamma$  extends to a class  $\gamma_1 \in H^1(\mathfrak{U}_1, \mathfrak{H})$ . According to [G-P1, cor 1.8],  $\gamma_1$  extends to a class  $\gamma_2 \in H^1(\mathfrak{U}_2, \mathfrak{H})$  where  $\mathfrak{U}_2$  is an open subscheme of  $\mathfrak{X} \setminus D$  containing  $\mathfrak{U}_1$  and  $X \setminus D_K$ .

By purity (i.e. Theorem 7.1 of the appendix 7), we have  $H^1(\mathfrak{X} \setminus D, \mathfrak{H}) = H^1(\mathfrak{U}_2, \mathfrak{H})$ . Thus  $\gamma$  extends over  $\mathfrak{X} \setminus D$ .

According to Lipman's theorem we can resolve the singularities of  $\mathfrak{X}$  and transform D in a strict normal crossing divisor, see [H-H-K, lemma 4.7].

**Proposition 5.2.** Let G be a reductive F-group and assume that p does not divide the order of the automorphism group of the absolute root system of  $G_{ad}$ .

Let Z be a twisted flag variety of G. We assume that  $Z(F_v) \neq \emptyset$  for all discrete valuations of F arising from models of X.

Then there exists a regular model  $\mathfrak{X}$  of X and a finite subset  $\mathcal{P}$  of  $Y = \mathfrak{X}_k$  (containing) all the closed points at which distinct irreducible components meet) such that for each triple of fields  $\{F_U, F_P, F_{\mathfrak{p}}\}$  as in Setting 4.2, we have  $Z(F_U) \neq \emptyset$  and  $Z(F_P) \neq \emptyset$ .

Proof. Without loss of generality we can assume that G is adjoint. Let  $G_0$  be the Chevalley form of G and let  $(B_0, T_0)$  be a Killing couple for  $G_0$  and let  $\Delta_0$  be the associated Dynkin diagram. The variety Z is a form of the variety  $\operatorname{Par}_I(G_0)$  of parabolic subgroups of type I where  $I \subset \Delta_0$  is stable under the star action defined by the  $\operatorname{Aut}(G_0)$ -torsor  $Q = \operatorname{Isom}(G_0, G)$ . In particular Q admits a reduction  $Q_I$  to the stabilizer  $\operatorname{Aut}_I(G_0)$  for the action  $\operatorname{Aut}(G_0)$  on  $\Delta_0$  through the morphism  $\operatorname{Aut}(G_0) \to \operatorname{Out}(G_0) \xrightarrow{\sim} \operatorname{Aut}(\Delta_0)$ . Furthermore Z is isomorphic to  $Q_I$  and  $Q_I$  and  $Q_I$  are  $Q_I$  are  $Q_I$  and  $Q_I$  are  $Q_I$  are  $Q_I$  and  $Q_I$  are  $Q_I$  are  $Q_I$  are  $Q_I$  and  $Q_I$  are  $Q_I$  are  $Q_I$  and  $Q_I$  are  $Q_I$  are  $Q_I$  are  $Q_I$  are  $Q_I$  and  $Q_I$  are  $Q_I$  are  $Q_I$  and  $Q_I$  are  $Q_I$  are  $Q_I$  are  $Q_I$  and  $Q_I$  are  $Q_I$  are  $Q_I$  and  $Q_I$  are  $Q_I$  are  $Q_I$  and  $Q_I$  are  $Q_I$  and  $Q_I$  are  $Q_I$  are  $Q_I$  are  $Q_I$  are  $Q_I$  and  $Q_I$  are  $Q_I$  are  $Q_I$  are  $Q_I$  and  $Q_I$  are  $Q_I$  and  $Q_I$  are  $Q_I$  are  $Q_I$  are  $Q_I$  are  $Q_I$  and  $Q_I$  are  $Q_I$  and  $Q_I$  are  $Q_I$  and  $Q_I$  are  $Q_I$ 

We apply now Theorem 1.1.(b) of [C-G-R] to the  $\mathbb{Z}$ -group scheme  $\operatorname{Aut}_I(G_0)$ . It provides a finite  $\mathbb{Z}$ -subgroup  $S_0$  of  $\operatorname{Aut}_I(G_0)$  such that the map  $H^1(F, S_0) \to H^1(F, \operatorname{Aut}(G_0))$  is onto. Furthermore the construction of  $S_0$  is explicit in the proof,

it is an extension of the finite constant group  $\operatorname{Aut}_I(G_0)/T_0$  by a finite subgroup of  $T_0$ . In particular  $S_0$  is finite free over  $\mathbb{Z}$  and our assumption on the characteristic implies that  $S_{0,T}$  is finite étale of rank prime to p. It follows that  $Q_I$  admits a reduction to an F-torsor E under  $S_0$ .

The finite flat  $\mathbb{Z}$ -group scheme  $S_{0,A}$  admits a faitful representation  $S_0 \hookrightarrow \operatorname{GL}_{N,\mathbb{Z}}$  [BT, §1.4.5] and the quotient  $\operatorname{GL}_{N,\mathbb{Z}}/S_0$  is representable by an affine  $\mathbb{Z}$ -scheme [D-G, §III.2.6].

According to Lemma 5.1, there exists a regular model  $\mathfrak{X}$  of X and a strict normal crossing divisor D containing the irreducible components  $Y_k$  such that E extends to a  $\mathfrak{X} \setminus D$ -torsor  $\mathfrak{E}$  under  $S_0$ . We put  $\mathfrak{Q}_I = \mathfrak{E} \wedge^{S_0} \operatorname{Aut}_I(G_0)$  and consider the  $\mathfrak{X} \setminus D$ -group scheme  $\mathfrak{G} = {}^{\mathfrak{Q}_I}G_0$  of generic fiber G.

Let  $Y_1, \ldots, Y_d$  be the irreducible components of the closed fiber  $Y = \mathfrak{X}_k$  with respective generic points  $\eta_1, \ldots, \eta_d$ . According to [H-H-K2, prop. 5.8], there exists non-empty affine subsets  $U_i \subset Y_i$   $(i=1,\ldots,d)$  such that  $Z(F_{U_i}) \neq \emptyset$  for  $i=1,\ldots,d$  and  $U_i \cap U_j = \emptyset$  for i < j. Up to refine the model, we can assume that  $Y_i \setminus U_i \subset D_k$  for  $i=1,\ldots,d$ .

We put  $\mathcal{P} = Y \setminus \bigcup_i Y_i$  and want to establish that  $Z(F_P) \neq \emptyset$  for each  $P \in \mathcal{P}$ . We are given a point  $P \in \mathcal{P}$  and pick a height one prime  $\mathfrak{p}$  in  $\widehat{R}_P$  that contains t. It defines a branch of Y at P lying on some irreducible component  $Y_i$  of Y. We deal now with  $U = U_i$ .

We consider the local ring  $A = R_P$  of  $\mathfrak{X}$  at P and denote by  $A_D$  its localization at D. Since  $S_{0,T}$  is finite étale of degree prime to p,  $H^1(A_D, S_0)$  consists in loop torsors as defined in [Gi2, §2.3, lemma 2.3.(2)], i.e. those arising from cocycles related to tame Galois covers of  $A_D$ . It follows that the  $A_D$ -torsor  $Q_I$  is a loop  $\operatorname{Aut}_I(G)$ -torsor [Gi2, lemma 2.3.(3)], so that  $\mathfrak{G} \times_{\mathfrak{X} \setminus D} A_D$  is by definition a loop reductive group scheme.

Let  $F_{P,v}$  be the completion of the field  $F_P$  for the valuation associated to the blow-up of  $\operatorname{Spec}(A)$  at its closed point. Our assumption states in particular that  $Z(F_{P,v}) \neq \emptyset$ , that is,  $G_{F_{P,v}}$  admits a parabolic subgroup of type I. According to [Gi2, th. 4.1, (iii)  $\Longrightarrow$  (i)],  $\mathfrak{G} \times_{\mathfrak{X} \setminus D} A_D$  admits a parabolic subgroup of type I. A fortiori  $G_{F_P}$  admits a parabolic subgroup of type I so that  $Z(F_P) \neq \emptyset$ .

**Remarks 5.3.** (a) If p = 0, the result used [Gi2, th. 4.1] admits a simple proof, see [Gi2, Ex. 4.2], by using the analogous result over Laurent polynomials [G-P2, th. 7.1].

(b) In nice cases inspection of the proof permits to weaken the assumption on p. The precise condition is that the  $Aut(G_0)$ -torsor  $Isom(G_0, G)$  admits a reduction to a finite F-subgroup whose degree is prime to p. For example in type  $G_2$ , we need to assume only that p is prime to 2.

Together with Theorem 4.4.(1), we obtain the following consequence.

**Theorem 5.4.** Let G be a reductive F-group and assume that p does not divide the order of the automorphism group of the absolute root system of  $G_{ad}$ . Let Z be a

twisted flag F-variety of G. Then  $Z(F) \neq \emptyset$  if and only if  $Z(F_v) \neq \emptyset$  for all discrete valuations of F arising from models of X.

6. APPENDIX: CHARACTERIZATION OF PARABOLIC SUBGROUPS.

Let G be a reductive F-group over a field F. We remind the reader that an algebraic F-subgroup P of G is parabolic if P is smooth and G/P is a projective F-variety. The interest of the probably known statement below is only in positive characteristic since in this case there exist F-subgroups Q which are not smooth such that G/Q is a projective F-variety [W].

**Proposition 6.1.** Let P be a k-subgroup of G such that the quotient variety G/P is projective. Then the following assertions are equivalent:

- (i) P is an F-parabolic subgroup;
- (ii) For each F-field E, G(E) acts transitively on (G/P)(E);
- (iii) The quotient map  $G \to G/P$  admits a rational section;
- (iv) P is smooth connected;
- (v) P is smooth.
- *Proof.* (i)  $\Longrightarrow$  (ii). Since (G/P)(E) parameterizes the E-parabolic subgroups of  $G_E$  of same type that P, Borel-Tits' conjugacy theorem [B-T1, th. 4.13.c] shows that G(E) acts transitively on (G/P)(E).
- $(ii) \Longrightarrow (iii)$ . Our assumption rephrases by saying that the map  $G(E) \to (G/P)(E)$  is onto for each F-field E. Applying that to the function field E = F(G/P) of the smooth connected F- variety G/P provides a rational section of the map  $G \to G/P$ .  $(iii) \Longrightarrow (iv)$ . To show the smoothness of P we can assume that F is algebraically closed. Then the neutral component  $Q = (P_{red})^0$  of the reduced F-subgroup  $P_{red}$  of P is smooth. Furthermore the quotient F-variety P/Q is finite. It follows that the morphism  $q: G/Q \to G/P$  is finite and a fortiori projective [St, Tag 0B3I]. Since the composition of projective morphisms (of qcqs schemes) is projective [St, Tag 0C4P], it follows that G/Q is projective. The F-subgroup Q of G is then parabolic. Our assumption is that the morphism  $G \to G/P$  has a rational section and so has a fortiori the finite morphism  $q: G/Q \to G/P$ . According to [EGAII, cor. 6.1.15], q is an isomorphism. Thus Q = P and we conclude that P is smooth connected.
- $(iv) \Longrightarrow (v)$ . Obvious.

$$(v) \Longrightarrow (i)$$
. This is by definition.

A variant is the following.

**Proposition 6.2.** Let X be a smooth projective G-variety. Then the following statements are equivalent:

- (i) X is a F-variety of parabolic subgroups of G;
- (ii) For each F-field E, G(E) acts transitively on X(E).

Proof. The implication  $(i) \Longrightarrow (ii)$  is again Borel-Tits' conjugacy theorem. We assume (ii). According to [M-P-W, prop. 1.3], we can assume that F is separably closed. Since X is smooth, we have  $X(F) \neq \emptyset$  and denote by P the stabilizer of some F-point x. According to [D-G, prop. III.3.2.1]. condition (ii) implies that the orbit map  $G \to X$ ,  $g \mapsto g.x$  induces an isomorphism  $f_x : G/P \xrightarrow{\sim} X$ . Proposition 6.1,  $(ii) \Longrightarrow (i)$ , shows that P is a F-parabolic subgroup. Thus X is a F-variety of parabolic subgroups of G;

**Remark 6.3.** The condition (ii) is called *transitive action of G on X* by Harbater-Hartmann-Krashen. It occurs in [H-H-K, th. 3.7]. Projective homogeneous varieties in the result quoted above are exactly the various varieties of parabolic subgroups.

## 7. APPENDIX: EXTENDING TORSORS

We come back to a purity result of Colliot-Thélène and Sansuc.

**Theorem 7.1.** Let X be a regular scheme of dimension 2. Let U be an open subcheme of X which contains  $X^{(1)}$ . Let G be an affine X-group scheme. In the following cases (i) G is reductive,

(ii) There exists a Zariski cover  $(U_i)_{i\in I}$  of X such that each  $G_{U_i}$  admits a closed embedding  $G_{U_i} \subset \operatorname{GL}_{n_i}$  such that  $\operatorname{GL}_{n_i}/G_{U_i}$  is representable by an affine  $U_i$ -scheme, then we have the equality  $H^1_{\operatorname{fppf}}(X,G) \xrightarrow{\sim} H^1_{\operatorname{fppf}}(U,G)$ .

*Proof.* The case (i) is [C-T-S2, th. 6.13]. The case (ii) goes by inspection of the proof.  $\Box$ 

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