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Sophie Bagur, Martin Averseng, Diego Elgueda, Stephen David, Jonathan Fritz, et al.. Task Engagement Enhances Population Encoding of Stimulus Meaning in Primary Auditory Cortex. Nature Communications, 2017, 9 (1), pp.2529. 10.1101/240556 . hal-03923652

## HAL Id: hal-03923652 https://hal.science/hal-03923652

Submitted on 4 Jan 2023

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# Task Engagement Enhances Population Encoding of Stimulus Meaning in Primary Auditory Cortex

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15 Equal contribution

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#### 19 Abstract

20 The main functions of primary sensory cortical areas are classically considered to be 21 the extraction and representation of stimulus features. In contrast, higher cortical 22 sensory association areas are thought to be responsible for combining these sensory 23 representations with internal motivations and learnt associations. These regions 24 generate appropriate neural responses that are maintained until a motor command is executed. Within this framework, responses of the primary sensory areas during task 25 26 performance are expected to carry less information about the behavioral meaning of 27 the stimulus than higher sensory, association, motor and frontal cortices. Here we 28 demonstrate instead that the neuronal population responses in the early primary 29 auditory cortex (A1) display many aspects of responses generally associated with 30 higher-level areas. A1 activity was recorded in awake ferrets while they were either 31 passively listening or actively discriminating two periodic click trains of different rates 32 in a Go/No-Go paradigm. By applying population-level dimensionality reduction techniques, we found that task-engagement induced a shift in the nature of the 33 34 encoding from a sensory-driven representation of the two stimuli to a behaviorally 35 relevant representation of the two categories that specifically enhances the target stimulus. We demonstrate that this shift in encoding relies partly on a novel 36 mechanism of change in spontaneous activity patterns upon engagement in the task. 37 We show that this population-level representation of stimuli in A1 population activity 38 39 bears strong similarities to responses in the frontal cortex, but appears earlier 40 following stimulus presentation. Analysis of neural activity recorded in various Go/No-Go tasks, with different sounds and reinforcement paradigms, reveals that this 41 42 striking population-level enhancement of target representation is a general property 43 of task engagement. These findings indicate that primary sensory cortices play a

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- 45 change in the structure of population activity in order to extract task-relevant 46 information during behavior.
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highly flexible role in the processing of incoming stimuli and implement a crucial

#### 51 Introduction

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53 How and where in the brain are sensory representations transformed into abstract 54 percepts? Classical anatomical and physiological studies have suggested that this transformation occurs progressively along a cortical hierarchy. Primary sensory areas 55 56 are commonly believed to process and extract high-level physical properties of 57 stimuli, such as orientations of visual bars in the primary visual cortex or abstract sound features in the primary auditory cortex <sup>1,2</sup>. These fundamental sensory features 58 59 are then integrated and interpreted as behaviorally meaningful sensory objects in 60 sensory scenes, and relayed to higher cortical areas, which extract increasingly task-61 relevant abstract information. Prefrontal, parietal and premotor areas lie at the apex of the hierarchy <sup>3,4</sup>. They integrate inputs from different sensory modalities, transform 62 sensory information into categorical percepts and decisions, and store them in 63 working memory until the time when the appropriate motor action needs to be 64 65 executed <sup>5,6</sup>.

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According to this classical feedforward picture, primary sensory areas are often 67 considered as playing a largely static role in extracting and encoding high-level 68 stimulus physical attributes <sup>7-10</sup>. However a number of recent studies in awake, 69 70 behaving animals have challenged this view, and shown that the information 71 represented in primary areas in fact strongly depends on the behavioral state of the 72 animal. Motor activity, arousal, learning and task-engagement have been found to 73 strongly modulate responses in primary visual, somatosensory, and auditory cortices <sup>11–25</sup>. Effects of task-engagement have been particularly investigated in the auditory 74 75 cortex, where it was found that receptive fields of primary auditory cortex neurons adapt rapidly to behavioral demands when animals engage in various types of 76 auditory discrimination tasks <sup>26–30</sup>. These observations have been interpreted as 77 signatures of highly flexible sensory representations in primary cortical areas, and 78 79 they raise the possibility that these areas may be performing computations more 80 complex than simple extraction and transmission of processed stimulus features to 81 higher-order regions.

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An important limitation of many previous studies <sup>26–30</sup> is that they relied mostly on 83 single-cell analyses, which characterized the selectivity of individual neurons to 84 85 sensory stimuli. Here we show that simple population analyses reveal that taskengagement induces a shift in the primary auditory cortex from a sensory-driven 86 representation to a representation of the behavioral meaning of stimuli, analogous to 87 the one found in the frontal cortex. We first analyzed the responses during a temporal 88 89 auditory discrimination task, in which ferrets had to distinguish between Go 90 (Reference) and No-Go (Target) stimuli corresponding to click trains of different 91 rates. The activity of the same neural population was recorded when the animals 92 were engaged in the task, and when they passively listened to the same stimuli. Both 93 single cell and population analyses showed that task-engagement decreased the 94 accuracy of encoding the physical attributes of stimuli. Population, but not single-cell, 95 analyses however revealed that task-engagement induced a shift towards an asymmetric representation of the two stimuli that enhanced target-evoked activity in 96 97 the subspace of optimal decoding. This shift was in part enabled by a novel 98 mechanism based on the change in the pattern of spontaneous activity during task 99 engagement.

101 Performing identical analyses developed on this task to independent data sets 102 collected in A1 during other behavioral discrimination tasks demonstrated that these 103 findings can be well generalized, independently of the type of stimuli, behavioral 104 paradigm or reward contingencies. Specifically, in all tasks, we found an enhanced 105 representation of the target stimuli, defined as those stimuli that induced a change in 106 the animal's ongoing behavior. Furthermore, in tasks that displayed a shift in the 107 spontaneous firing rates of neurons, this task-adaptive encoding was partly mediated 108 by a re-patterning of the population spontaneous activity, offering a functional 109 interpretation for this previously observed phenomena of task-evoked changes in spontaneous activity<sup>19</sup> 110

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Finally, a comparison between population activity in A1 and single-cell recordings in the frontal cortex revealed strong similarities. However, the target-driven representation of behavioral meaning appeared in A1 very rapidly following stimulus presentation, hence it was unlikely to be solely due to immediate top-down influences from frontal cortex. Altogether, our results suggest that task-relevant, abstracted information is present in primary sensory cortices, and can be read out by neurons in higher order cortices.

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## 121 **RESULTS**

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#### 124 **Task engagement degrades the encoding of stimulus physical features in A1** 125

126 We recorded the activity of 370 units in the primary auditory cortex (A1) of two awake 127 ferrets in response to periodic click trains. The animals were trained using a conditioned avoidance paradigm <sup>26</sup> to lick water from a spout during the presentation 128 129 of a class of reference stimuli and to stop licking following a target stimulus (Animal 1: 130 83% hit +/- 3% s.e.m; Animal 2: 69% hit +/- 5% s.e.m) (Fig. 1a; see Methods). Target 131 stimuli thus required a change in the ongoing behavioral output while reference 132 stimuli did not. Each animal was trained to discriminate low vs high click rates, but 133 the precise rates of reference and target click trains changed in every session. The 134 category choice was opposite in the two animals to avoid confounding effects of 135 stimulus rates (low/high) and behavioral category (reference/target). Thus, the target 136 for one ferret was high click train rates, and the target for the other ferret was low click train rates. In each session, the activity of the same set of single units was 137 138 recorded during active behavior (task-engaged condition) and during passive 139 presentations of the same set of auditory stimuli before and after behavior (passive 140 conditions).

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142 We first examined how auditory cortex responses and stimulus encoding depended on the behavioral state of the animal. In agreement with previous studies <sup>14,19</sup>, 143 144 spontaneous activity often increased in the task-engaged condition, while stimulus-145 evoked activity was often suppressed (Fig. 1b). To quantify the changes in activity over the population, we used a modulation index of mean firing-rates between 146 147 passive and task-engaged conditions, estimated in different epochs (Fig. 1c; see 148 Methods). Spontaneous activity before stimulus presentation increased in the engaged condition (n=370 units, P<0.0001), while baseline-corrected stimulus-149 150 evoked activity did not change overall (n=370 units, P=0.94). These changes in

average activity suggested that the signal-to-noise (SNR) ratio between stimulus evoked and spontaneous activity paradoxically decreased when the animals
 engaged in the task.

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155 To quantify in a more refined manner the timing of neural responses with respect to 156 click-times, we computed the vector strengths of individual unit responses, a standard measure of phase-locked activity evoked by click trains <sup>12,31</sup>. Vector 157 158 strengths quantify the amount of entrainment of the neural response to the clicks, 159 and range from 1 for responses fully locked to clicks to 0 for responses independent 160 of click timing. A vast majority of neurons (Passive Ref/Targ: 80%, 81% and Active 161 Ref/Targ: 84%, 81%) displayed statistically significant vector strengths in both 162 conditions. However vector strength decreased in the engaged condition compared 163 to the passive condition (Fig. 1c; n=574 (287 units, 2 sounds), P<0.0001), 164 independently of the rate of the click train and the identity of the stimuli (Fig. S1). This 165 reduction in stimulus-entrainment further suggested that task engagement degraded 166 the encoding of click-times in A1.

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168 The change in activity between passive and task-engaged conditions was 169 heterogeneous across the neural population. While stimulus-entrainment was on 170 average reduced in the engaged condition, a minority of neurons increased their 171 responses. One possibility is that such changes reflect an increased sparseness of 172 the neural code. Under this hypothesis, the stimuli are represented by smaller pools 173 of neurons in the task-engaged condition, but in a more reliable manner. To address this possibility, we built optimal decoders that reconstructed click timings from the 174 175 activity of all simultaneously recorded neurons, in a trial-by-trial manner (Fig. 1d, 176 Methods). We found that the reconstruction accuracy decreased in the task-engaged 177 condition compared to the passive condition (Fig. 1e-g), confirming that encoding of 178 click-times decreased during behavior.

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180 In summary, the fine physical features of the behaviorally relevant stimuli became 181 less faithfully represented by A1 activity when the animals were engaged in this 182 discrimination task.

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# 185 During sound presentation target and reference stimuli can be equally 186 classified from A1 responses in passive and engaged conditions 187

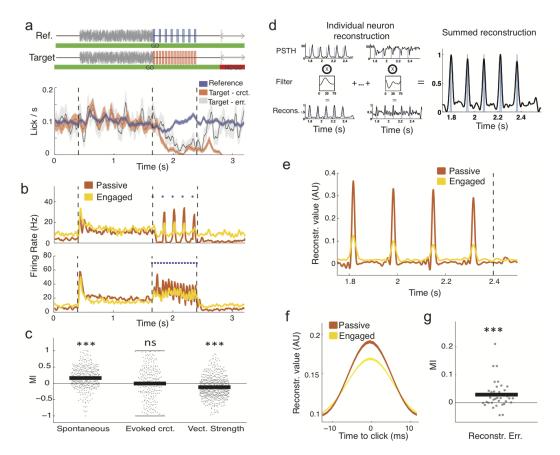
In the task-engaged condition, the animals were required to determine whether the rate of each presented click train was high or low. They needed to make a categorical decision about the stimuli and correctly associate them with the required actions, before using that information to drive behavior. We therefore asked to what extent the two classes of stimuli could be discriminated based on population responses in A1, in the task-engaged and in the passive conditions.

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We first compared the mean firing-rates evoked by target and reference click trains. While some units elevated their activity for the target stimulus (Fig. 2a, left), others preferred the reference (Fig. 2a, right). Over the whole population, mean firing rates were not significantly different for target vs reference stimuli (Fig. 2b) or for low vs high rate click trains (Fig. S2a). This observation held in both passive and task-

# engaged conditions. Discriminating between the stimuli was thus not possible on thebasis of population-averaged firing rates (see Fig. S2b).

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#### 203

Fig1. Task structure and neural encoding of click times in A1

#### Fig 1.

a. Structure of the click-train discrimination task and average behavior of the two animals. Each sound sequence is composed of 0.4s silence then a 1.25s long white noise burst followed by a 0.8s click train and a 0.8s silence. On each block the ferret is presented with a random number (1-7) of reference stimuli (top) preceding a target stimulus (bottom), except on catch trials with no target presentations. On blocks including a target, the animal had to refrain from licking during the final 0.4s of the trial, the no go period, to avoid a mild tail shock. (error bars are +/- sem)

b. PSTH of two example units during reference sequences in the passive and engaged state. Note that in the task-engaged state, the units show enhanced firing during the initial silent period of spontaneous activity and reduced phase locking to the stimulus.

c. Modulation index of each unit for spontaneous firing rate, spontaneous-corrected click-evoked firing rate and vector strength showing higher spontaneous firing rates and lower vector strength in the task-engaged state. The vector strength was only calculated for units firing above 1 Hz and values for both reference and target are shown. SEM error bars are not shown because not visible at this scale: 0.017, 0.037 and 0.013 respectively. (one-sample two-sided Wilcoxon signed rank test with mean 0, n=370, 574, 370, zval=-8.99, p=2.57e-19; zval=-0.07, p=0.94; zval=-8.82, p=1.16e-18; \*\*\*: p<0.001).

d. Schematic of stimulus reconstruction algorithm. Using PSTHs from half of the trials, a time-lagged filter is fitted to allow optimal reconstruction of the stimulus for each individual unit. Individual reconstructions are summed to obtain a population reconstruction (far right).

*e.* Stimulus reconstruction from an example session showing degraded reconstruction in the task-engaged state.

f. Mean click reconstruction in passive and engaged states.

g. Modulation index of each session for stimulus reconstruction error. SEM error bar is not shown because not visible at this scale: 0.0014. (one-sample two-sided Wilcoxon signed rank test with mean 0, n=36; zval=-3.4092, p=6.51e-4; \*\*\*: p<0.001).

#### 204

205 To take into account the heterogeneity of neural responses and quantify the ability of 206 the whole population to discriminate between target and reference stimuli on an 207 individual trial basis, we adopted a population-decoding approach. We used a simple, 208 binary linear classifier that mimics a downstream readout neuron. The classifier takes 209 as inputs the spike-counts of all the units in the recorded population, multiplies each 210 input by a weight, and compares the sum to a threshold to determine whether a trial 211 was a reference or a target. The weight of each unit was set based on the difference 212 between the average spike-counts evoked by the two stimuli (Fig. S3 and Methods). 213 This weight was therefore positive or negative depending on whether it preferred the 214 target or reference stimulus. Different decoder weights were determined at every 215 time-bin in the trial. The width of the time-bins (100ms) was larger than the inter-click 216 intervals (Methods). Shorter time-bins increase the amount of noise but do not affect 217 our main findings (Fig. S8A). Training and testing the classifier on separate trials 218 allowed us to determine the cross-validated performance of the classifier, and 219 therefore the ability to discriminate between the two stimulus classes based on 220 single-trial activity in A1.

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During stimulus presentation, the linear readout could discriminate target and 222 223 reference stimuli with high accuracy in both passive and task-engaged conditions (Fig. 2d,e). Because the classifier performed at saturation during the sound epoch, it 224 225 could be that differences between passive and active classifiers were masked by the 226 substantial number of neurons provided to the classifiers. Decoders performing with lower numbers of neurons did not reveal any difference between the two behavioral 227 228 states (Fig. S4a). Moreover this discrimination capability did not appear to be layer-229 dependent (Fig. S4b,c). The primary auditory cortex therefore appeared to robustly 230 represent information about the stimulus class, independently of the decrease in the 231 encoding of precise stimulus properties that occurs during task-engagement.

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233 We next examined the discrimination performance during the silence immediately 234 after stimulus offset. This silent period consisted of a 400ms interval followed by a 235 response window, during which the animal learned to stop licking if the preceding 236 stimulus was a target. As during the sound period, mean firing rates were not 237 significantly different for the two types of stimuli during post-stimulus silence (Fig. 2c). 238 Nevertheless, we found that discrimination performance between target and 239 reference trials remained remarkably high throughout the post-stimulus silence in the 240 task-engaged condition. In the passive condition, the decoding performance decayed 241 during post-stimulus silence, but remained above chance level (Fig. 2d,e and Fig. 242 S5b). The information about the stimulus class was thus maintained during the silent 243 period in the neural activity in A1, but more strongly when the animal was actively 244 engaged in the task. Moreover, a comparison between the decoders determined 245 during the sound and after stimulus presentation showed that the encoding of 246 information changed strongly between the two epochs of the trial (Fig. S6 and 247 supplementary text).

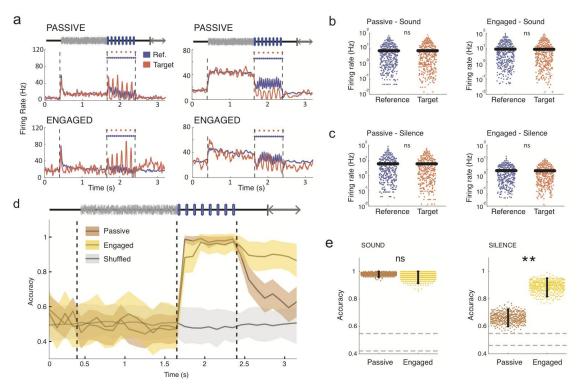


Fig2. Discrimination of target and reference stimuli based on A1 activity

#### Fig 2

a. PSTHs of two example units during reference (blue) and target (red) trials in the passive (top) and taskengaged (bottom) state. The unit on the left is target-preferring and the unit on the right is referencepreferrina.

b-c. Comparison of average firing rates on a log scale in passive (left) and engaged (right) between target and reference stimuli during the sound (b) and during the post-stimulus silence (c) periods. SEM error bars are not shown because not visible at this scale. (two-sided Wilcoxon signed rank, n=370; zval=0.34, p=0.73; zval=0.35, p=0.79; zval=-0.47, p=0.64; zval=-0.35, p=0.73)

d. Accuracy of stimulus classification in passive and engaged states. In grey, chance level performance evaluated on label-shuffled trials. Error bars represent 1 std calculated over 400 cross-validations. e. Mean classifier accuracy during the sound (left) and silence period (right) in both conditions. Gray dotted lines give 95% confidence interval of shuffled trials. Error bars represent 95% confidence intervals. (n=400 cross validations; p=0.29 and p<0.0025; \*\*: p<0.01)

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#### Task-engagement shifts encoding towards enhanced target-detection 253

We next examined in more detail the neural activity that underlies the classification 255 256 performance in the two conditions. Target and reference stimuli play highly 257 asymmetric roles in the Go/No-Go task design studied here as their behavioral meaning is totally different. As shown in Figure 1a, animals continuously licked 258 259 throughout the task and only target stimuli elicited a change from this ongoing behavioral output while reference stimuli did not. We therefore sought to determine 260 whether target- and reference-induced neural responses play similar or different roles 261 262 in the discrimination between target and reference stimuli.

264 We first used dimensionality-reduction techniques to visualize the trajectories of the population activity in three dimensions (Fig. 3a, see Methods for details). The three 265 266 principal dimensions were determined jointly for the passive and active data. This 267 allowed us to visually inspect the difference in population dynamics and decoding axes between the two behavioral conditions. The average neural trajectories on 268 269 reference and target trials strongly differ in the two behavioral conditions. In the 270 passive condition, reference and target stimuli led to approximately symmetric 271 trajectories around baseline spontaneous activity, suggesting that reference and 272 target stimuli played essentially equivalent roles during the sound (Fig. 3a,c,d). In 273 contrast, in the task-engaged condition, the activity evoked by reference and target 274 stimuli became strongly asymmetric with respect to the decoding axes and the 275 spontaneous activity (Fig. 3b,e,f).

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277 To further characterize the change in information representation between the two 278 conditions, we examined the average inputs from target and reference stimuli to a 279 hypothetical readout neuron corresponding to a previously determined linear 280 classifier. This is equivalent to projecting the trial-averaged population activity onto 281 the axis determined by the linear classifier, trained at a given time point in the trial. 282 This procedure sums the neuronal responses after applying an optimal set of 283 weights. It effectively reduces the population dynamics from N=370 dimensions (where each dimension represents the activity of an individual neuron) to a single, 284 285 information-bearing dimension. The discrimination performance of the classifier is 286 directly related to the distance between reference and target activity after projection, so that the projection allows us to visualize how the classifier extracts the stimulus 287 288 category from the neuronal responses to the two respective stimuli. Projecting the 289 spontaneous activity along the same axis provides moreover a baseline for 290 comparing the changes in activity induced by the target and reference stimuli along 291 the discrimination axis. As the encoding changes strongly between stimulus presentation and the subsequent silence (Fig. S6 and supplementary text), we 292 293 examined two projections corresponding to the decoders determined during stimulus 294 and during silence. 295

As suggested by the three-dimensional visualization, the projections on the decoding 296 297 axes demonstrated a clear change in the nature of the encoding between the two 298 behavioral conditions. In the passive condition, reference and target stimuli led to 299 approximately symmetric changes around baseline spontaneous activity (Fig. 3c,d). 300 In contrast, in the task-engaged condition, the activity evoked by reference and target stimuli became strongly asymmetric (Fig. 3e,f). In particular, the projection of 301 302 reference-evoked activity remained remarkably close to spontaneous activity 303 throughout the stimulus presentation and the subsequent silence in the task-engaged 304 condition. The strong asymmetry in the engaged condition, and the alignment of 305 reference-evoked activity were found irrespective of whether the projection was 306 performed on decoders determined during stimulus (Fig. 3e,f, top) or during silence 307 (Fig. 3e,f, bottom). The time-courses of the two projections were however different, 308 with target-evoked responses rising very rapidly (Fig. 3e,f top) when projected along 309 the first axis, but much more gradually when projected along the second axis (Fig. 310 3e,f, bottom). In both cases, however, our analysis showed that in the active 311 condition the discrimination performance relies on an enhanced detection of the 312 target.

314 The strong similarity between the projection of reference-evoked activity and the 315 baseline formed by the projection of spontaneous activity is not due to the lack of 316 responses to reference stimuli in the engaged condition. Reference stimuli do evoke 317 strong responses above spontaneous activity in both passive and task-engaged conditions. However, in the task-engaged, but not in the passive condition, the 318 319 population response pattern of the reference stimuli appears to become orthogonal to 320 the axis of the readout unit during behavior. The strong asymmetry between reference- and target-evoked responses is therefore seen only along the decoding 321 axis, but not if the responses are simply averaged over the population, or averaged 322 323 after sign correction for the preference between target and reference (Fig. S7).

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We verified that these results are robust across a range of time bins (10ms-200ms), allowing us to cover timescales both on the order of the click rate and much longer. Both the increase in post-sound decoding accuracy in the engaged state and the increased asymmetry of target/reference representation were observed at all time scales (Fig. S8a,b).

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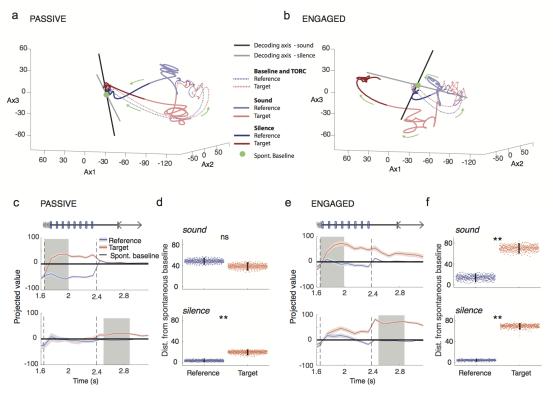


Fig3. Task engagement induces shift from symmetric to asymmetric representation of target and reference stimuli

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#### Fig 3.

a. Population response during target and reference stimuli in the passive state along the first three components identified using GPFA (see methods) on single trial data. The session begins at the baseline (green dot), followed by the TORC presentation, (dotted line) then the click presentation of either the target and the reference sound (light red and blue respectively) and finally to the post-sound silence period (dark red and blue). Note in particular that in the passive state, the reference and target activities move away symmetrically from the baseline point given by projection of spontaneous activity.

b. As in a, for the task-engaged state. Note that in this state, target activity makes a much larger excursion from the baseline than reference activity. The axes are the same as in panel a, as the GPFA analysis was performed jointly on passive and engaged data.

c. Projection onto the decoding axis of trial-averaged reference- and target-evoked responses for the whole neural population. A baseline value computed from pre-stimulus spontaneous activity was subtracted for each unit, so that the origin corresponds to the projection of spontaneous activity (shown by black line). Decoding axes determined during sound presentation and post-stimulus silence are respectively used for projections in the top and bottom rows. The periods used to construct the decoding axis are shaded in gray. Error bars represent 1 std calculated using decoding vectors from cross-validation. This procedure allows visualization of the distance between reference and target evoked projections (that corresponds to decoding strength) and the distance of the stimuli-evoked responses from the baseline of spontaneous activity can be interpreted as the contribution of each stimulus to decoding accuracy.

d. Distance of reference and target projections from baseline in each condition during the sound and silence period. Error bars represent 95% confidence intervals (n=400 cross validations; p=0.15 & p<0.0025; \*\*: p<0.01).

e. As in c for the engaged state.

f. As in d for the engaged state. (n=400 cross validations; p<0.0025 & p<0.0025; \*\*: p<0.01).

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# Encoding of stimulus behavioral meaning in A1 is independent of motor activity and reflects behavioral outcomes

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339 One simple explanation of the asymmetry between target- and reference-evoked 340 responses could potentially be the motor-evoked neuronal discharge. Indeed, during 341 task-engagement, the animals' motor activity was different following target and 342 reference stimuli as the animals refrained from licking before the No-Go window 343 following the target stimulus but not the reference stimulus (Fig. 1a). As neural activity in A1 can be strongly modulated by motor activity <sup>17</sup>, such effects could 344 345 potentially account for the observed differences between target- and reference-346 evoked population activity.

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348 To assess the role played by motor activity in our findings, we first identified units with lick-related activity. To this end, we used decoding techniques to reconstruct lick 349 350 timings from the population activity, and determined the units that significantly 351 contributed to this reconstruction by progressively removing units until licking events 352 could not anymore be detected from the population activity. We excluded a sufficient 353 number of neurons (10%) such that a binary classifier using the remaining units could 354 no longer classify lick and no-lick time points as compared with random data (p>0.4; 355 Fig. 4a,b, see Methods). We then repeated the previous analyses after removing all of these units. The discrimination performance between target and reference trials 356 357 remained high and significantly different between the passive and the task-engaged 358 conditions during the post-stimulus silence (Fig. 4c,d), while projection of target- and 359 reference-elicited activity on the updated decoders still showed a strong asymmetry 360 in favor of the target (Fig. 4e,f). This indicated that the information about the behavioral meaning of stimuli was represented independently of any overt motor-361 related activity. In all subsequent analyses we excluded all lick-responsive neurons. 362 363

Although the information present in A1 during the post-stimulus silent period could not be explained by motor activity, it appeared to be directly related to the behavioral performance of the animal. To show this, we classified population activity on error trials, in which the animal incorrectly licked on target stimuli, using classifiers trained on correct trials. Error trials showed only a slight impairment of accuracy during the 369 sound presentation, but strikingly, the discrimination accuracy of the classifier during 370 the post-stimulus silence on these trials dropped down to the performance level 371 measured during passive sessions (Fig. 4c,e). This analysis therefore demonstrated 372 a clear correlation between the behavioral performance and the information on 373 stimulus category present during the silent period in A1.

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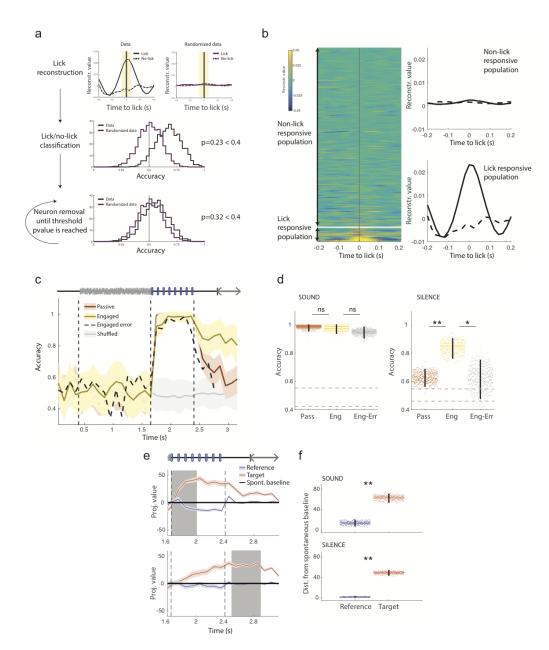




Fig4. Relation between A1, motor activity and behavioural outcome

#### Fig 4.

a. Schematic of the approach used to identify lick responsive units to eliminate from population analysis. First, we reconstructed licks using optimal filters as with click reconstruction (Fig 1). To test whether this reconstruction allows to detect lick events, the filter is applied during licks and also during randomly selected time points with no licks (top left) to all units. Each event (lick or no-lick) can therefore be represented by a population vector constituted of the peak reconstruction values for all neurons. We evaluated the accuracy of classifying lick and no-lick time events using a linear decoder applied to this population vector (black distribution, middle panel). The same procedure was applied to randomized data (top right and purple distribution, middle panel) to test the significance of decoding and calculate a p-value (percentage of random data cross validations larger than real data cross validations). We then iteratively removed the best classification units (bottom plot) until the p-value was greater than 0.4 and the two distributions were indistinguishable. (see Methods for details)

b. Results of reconstruction of lick events and removal of lick units. Left shows a heatmap of average lick reconstruction for all neurons ordered by their classification weight. Right shows the average reconstruction of lick and no-lick events using units retained for population analysis (non-lick responsive) and units excluded from the population analysis (lick-responsive).

c. Accuracy of stimulus classification in passive and engaged states using only non lick-responsive units. For the engaged state both correct and incorrect trials are shown. Note that after removal of lick-responsive units, the discrimination during post-stimulus silence is still enhanced in the task-engaged state on correct trials but is low during error trials. Error bars represent 1 std calculated over 400 cross-validations.

d. Comparison of mean accuracy on passive, task-engaged correct and task-engaged error trials, during the sound (left) and post-stimulus silence periods (right). Error bars represent 95% confidence intervals. (n=400 cross validations ; sound : pass/eng p=0.22, eng/err: p=0.87; silence : pass/eng p<0.0025, eng/err: p=0.012; \*: p<0.05, \*\*: p<0.01)

e. Projection onto the decoding axis of baseline-subtracted population vectors during the engaged condition constructed using activity of non-lick responsive units only for the reference and target stimuli. Projections are shown onto the decoding axes obtained on early sound (top) and silence periods (bottom). The periods used to construct the decoding axis are shaded in gray. A baseline value computed from pre-stimulus spontaneous activity was subtracted for each unit, so that the origin corresponds to the projection of spontaneous activity (shown by black line). Error bars represent 1 std calculated using decoding vectors from cross-validation.

f. Distance of reference and target projections from baseline in the engaged condition during the sound and silence periods. Error bars represent 95% confidence intervals (n=400 cross validations; p<0.0025 & p<0.0025; \*\*: p<0.01).

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379 Another aspect of neural activity that can be expected to change with task 380 engagement is correlations between pairs of neurons. Our analysis so far has focused on the structure of population responses to external stimuli (signal 381 382 correlations) but pairs of neurons display trial-to-trial fluctuations in activity (noise correlations) that can affect the population ability to encode information <sup>32,33</sup>. We 383 found that task engagement decreased noise correlations on average (Fig. 5a,b; Fig. 384 S9a), compatible with previous observations that attention reduces noise correlations 385 <sup>34</sup>. Across the population, the range of changes was however very broad. To 386 387 determine the influence of noise correlations on the population level, we repeated our 388 analysis on simultaneously recorded data, using a modified linear decoder that takes noise correlations into account (the Fisher discriminant, see Methods). Our main 389 390 findings appeared not to be sensitive to noise correlations. We were able to decode 391 with high accuracy stimulus identity in passive and engaged states and observed an 392 increase of stimulus memory in the engaged state as before (Fig. 5c). Projection onto 393 this adjusted decoding axis showed a similar enhanced target representation in the 394 engaged state, with the reference response lying along the projected baseline activity 395 (Fig. 5d,e). Projection of responses using the linear classifier with and without taking noise correlations into account are strikingly similar across a range of timebins (Fig. 396

S9b,c). Finally, a finer examination of the change between passive and engaged
conditions showed that, contrary to previous observations <sup>35</sup>, noise correlations were
most strongly reduced for pairs of neurons with opposite stimulus preference in our
data set (Fig. S10b,c), which is expected to impair decoding of information (Fig.
S10a).

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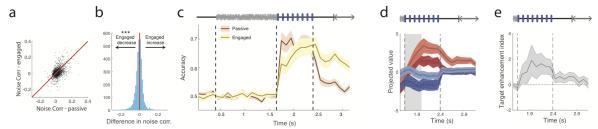


Fig 5. Task-induced changes in stimulus represention are independent of changes in noise correlations

## 403

#### Fig 5.

a. Comparison of noise correlations between pairs of neurons in the passive and engaged state. Red line indicates identity line.

b. Histogram of correlation changes between the engaged and passive states showing a shift to lower values in the engaged state despite highly heterogeneous behavior across the population. (two-sided Wilcoxon signed rank, n=3361 pairs; zval=10.33, p=4.9E-25, \*\*\*:p<0.001)

c. Accuracy of stimulus classification in passive and engaged states using simultaneously recorded, non lick-responsive units and applying a decoding vector corrected for noise correlations. Note that the increase in decoding accuracy during the silent period in the engaged state is still clearly visible. Error bars represent s.e.m over n=15 sessions.

d. Projection onto the decoding axis determined during the sound period of trial-averaged reference (blue) and target (red) activity during the passive (dark colors) and the active (light colors) sessions. A baseline value computed from pre-stimulus spontaneous activity was subtracted for each neuron, so that the origin corresponds to the projection of spontaneous activity (shown by black line). Note that the target-driven activity lies further from the baseline in the active state and the reference-driven activity lies closer to baseline. The period used to construct the decoding axis is shaded in gray. Error bars represent s.e.m over n=15 sessions

e. Index of target enhancement induced by task engagement based on projections using the decoding axis determined during the sound. This value is positive if projected target activity is enhanced in the active state and projected reference activity is reduced. Error bars represent s.e.m over n=15 sessions.

404

#### 405

### 406 Mechanisms underlying the asymmetric, target-driven encoding during task-407 engagement

408

The previous analyses of population activity have shown that task engagement induces an asymmetric encoding, in which the activity elicited by reference stimuli becomes similar to spontaneous background activity when seen through the decoder. Two different mechanisms can potentially contribute to this shift between passive and engaged conditions: (i) the spontaneous activity changes between the 414 two behavioral states such that its projection on the decoding axis becomes more 415 similar to reference-evoked activity; (ii) stimulus-evoked activity changes between the 416 states, inducing a change in the decoding axis and in the projections. In general, both 417 mechanisms can be expected to contribute and their effects can be separated during 418 different epochs of the trial.

419

To disentangle the effects of the two mechanisms, we chose a fixed decoding axis, and projected on the same axis the stimulus-evoked activity from both passive and engaged conditions. We then compared the resulting projections with projections of both passive and engaged spontaneous activity. We performed this procedure separately for decoding axes determined during sound and silence epochs.

425

426 Figure 6a (top) illustrates the projections along the decoding axis determined during 427 the sound epoch in the engaged condition. Comparing the passive responses with 428 the passive and engaged spontaneous activity revealed that the projection of passive 429 reference-evoked activity was aligned during sound presentation with the projection 430 of engaged, but not passive spontaneous activity (Fig. 6a top left). A similar 431 observation held for the engaged responses throughout the sound presentation 432 epoch (Fig. 6a top right). These projections remained similar regardless of whether 433 the decoding axes were determined during the passive or the engaged conditions, as 434 these two axes largely share the same orientation (Fig. S6e). Altogether, these 435 results indicate that the change in spontaneous baseline activity during task 436 engagement is sufficient to explain the strongly asymmetric, target-driven response 437 observed early in the trial during sound presentation (Fig. 6b top).

438

However, we reached a different conclusion when we examined the activity during the post-stimulus silence (Fig. 6a bottom). Repeating the same procedure as above, but projecting on the decoding axis determined during the post-stimulus silence revealed that the shift in spontaneous activity alone was not able to account for the asymmetry of the projected responses during the post-stimulus silence (Fig. 6b bottom). The target-driven, asymmetrical projections observed during this trial epoch therefore relied in part on a change in stimulus-evoked responses.

446

447 All together, we found that the changes in baseline spontaneous activity induced by 448 the task engagement are key in explaining the enhancement of the target-driven, 449 asymmetric encoding during sound presentation. As described in the above, the 450 encoding axis during sound presentation is not drastically affected by task engagement. Instead, it is the population spontaneous activity that aligns with the 451 452 reference-elicited activity with respect to the decoding axis. This observation in 453 particular provides an additional argument against the possibility that the appearance 454 of an asymmetrical representation is due to the asymmetrical motor responses to the 455 two stimuli. Rather, the asymmetry is geometrically explained by baseline changes 456 that precede stimulus presentation, and reflects the behavioral state of the animal.

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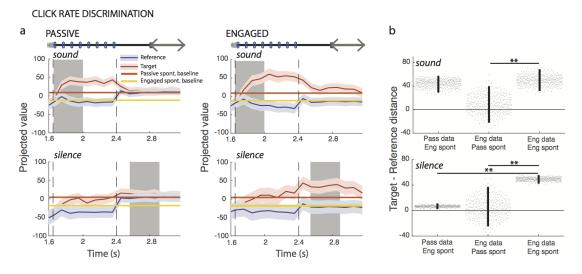


Fig 6. Shift in spontaneous activity contributes to change in asymmetry

#### Fig 6.

462

Note that all analysis in this figure is done after excluding lick-responsive units in A1 as described in Fig 4. a. Projection onto the engaged decoding axis of reference- and target-evoked activity in the passive (left column) and engaged state (right column). Decoding axes determined during sound presentation and poststimulus silence are respectively used for projections in the top and bottom rows. This figure differs from Fig 3c in which the spontaneous activity is subtracted before projection. Passive and engaged spontaneous activities after projection are shown by continuous lines. Error bars represent 1 std calculated using decoding vectors from cross-validation (n=400).

b. Comparison of reference/target asymmetry for evoked responses in different states compared to different baselines given by passive or engaged spontaneous activity. Reference/target asymmetry is the difference of the distance of reference and target projected data to a given baseline. We examine three cases: (i) passive evoked responses, distances calculated relative to engaged spontaneous activity; (ii) engaged evoked responses, distances calculated relative to passive spontaneous activity; (iii) engaged evoked responses, distances calculated relative to passive spontaneous activity; (iii) engaged evoked responses, distances calculated relative to passive spontaneous activity; (iii) engaged evoked responses, distances calculated relative to engaged spontaneous activity. These values are shown during the sound (top) and the silence (bottom). In all three cases, the engaged decoding axis was used for projections. Decoding axes determined during sound presentation and post-stimulus silence are respectively used for projections in the top and bottom rows.

Error bars represent 95% confidence intervals (n=400 cross validations; sound: p(col1,col3)=0.29 & p(col2,col3)<0.0025; silence : p(col1,col3)<0.0025 & p(col2,col3)<0.0025; \*\*: p<0.01).

#### 463

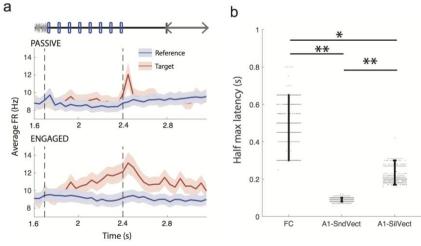
#### 464 Sustained, target-driven, and behaviorally-gated responses of single cells in 465 frontal cortex parallel population encoding in A1

466

The pattern of activity resulting from projecting reference- and target-elicited A1 467 468 activity on the linear readout is strikingly similar to previously published activity recorded in the dorsolateral frontal cortex (dIFC) of behaving ferrets performing 469 similar Go/No-Go tasks (tone detect and two-tone discrimination in <sup>36</sup>). We therefore 470 471 compared in more detail A1 activity with activity recorded in dIFC during the same click-rate discrimination task. When the animal was engaged in the task, single units 472 473 in dIFC encoded the behavioral meaning of the stimuli by responding only to target stimuli, but remaining silent for reference stimuli (Fig. 6a bottom panel). Target-474 induced responses were moreover observed well after the end of the stimulus 475 476 presentation, allowing for a maintained representation of stimulus category. The 477 strong asymmetry of single-unit responses in dIFC clearly resembles the activity

extracted from the A1 population by the linear decoder (Fig. 3 and 4). This suggests
that the target-selective responses in the dIFC that reflect the cognitive decision
process could in part be thought of as a simple readout of information already
present in the population code of A1.

483 To further examine the relationship between dIFC single-unit responses and 484 population activity in A1, we next compared the time course of the projected targetelicited data in A1 (Fig. 3e) and the population-averaged target-elicited neuronal 485 activity in dIFC (Fig. 7a bottom panel) during active sessions. As mentioned above, 486 487 the optimal decoding axes for A1 activity changes between the stimulus presentation epoch and the silence that follows (Fig. S6). The time-course of the projected A1 488 489 activity depends strongly on the axis used for the projection. When projecting on the axis determined during stimulus presentation, the target-elicited response in A1 was 490 491 extremely fast (0.08s +/- 0.009 std) compared to the much longer response latency in 492 the population-averaged response of dIFC neurons (0.48s +/- 0.12 std) (Fig. 7b). In 493 contrast, when projecting on the axis determined during post-stimulus silence, the 494 target-elicited response in A1 was slower (0.21s +/- 0.03 std) and closer to the 495 population-averaged response in the dIFC (note that a fraction of individual units in 496 dIFC display a very fast responses not reflected in the population average, see Fritz 497 et al. 2010). Our analyses therefore identified two contributions to target-driven population dynamics in A1, a fast component absent in population-averaged dIFC 498 499 activity and a slower component similar to population-averaged activity in dIFC, thus 500 pointing to a possible contribution of an A1-FC loop that could be engaged during auditory behavior. 501



**Fig7.** Persistent, asymmetric response to target and reference stimuli in frontal cortex

502

482

#### Fig 7.

Note that all analysis in this figure is done after excluding lick-responsive units in A1 as described in Fig 4. a. Average PSTHs of all frontal cortex units in response to target and reference stimuli in both passive and engaged conditions. Note that the response to the target in the task-engaged state is very clear and appears late during the sound. Error bars: s.e.m over all units (n=102)

b. Latency to half-maximum response for frontal cortex (for average PSTHs) and primary auditory cortex (for projected target-elicited data) in the task-engaged state. For the auditory cortex, data is projected either on the sound decoding vector or the silence decoding vector. Error bars represent 95% confidence intervals. (400 cross-validations. p=<0.0025, p=<0.0025 & p=0.011;\*\*: p<0.01, ;\*: p<0.05).

503

### 505 Enhanced representation of target stimuli in A1 is a general feature of auditory 506 Go/No-Go tasks

507

508 To determine whether the task-related increase in asymmetry between target and 509 reference was a more general feature of primary auditory cortex responses during 510 auditory discrimination, we applied our population analysis to other datasets collected 511 during different tasks. All of these tasks used Go/No-Go paradigms (see Fig. S11a,e,i 512 and Methods), in which the animals were presented with a random number of 513 references followed by a target stimulus. In these different datasets, animals were 514 required to discriminate noise bursts vs. pure tones (tone detect tasks), or categorize 515 pure tones drawn from low, medium or high-frequency ranges (frequency range 516 discrimination task). Contrasting datasets were obtained from two groups of ferrets 517 that were separately trained on approach and avoidance versions of the same tone 518 detect task. These two behavioral paradigms used exactly the same stimuli under two opposite reinforcement conditions <sup>30</sup>, requiring nearly opposite motor responses 519 (Fig. S11a,e). A crucial feature shared by all these tasks lies in the fact that the 520 behavioral response to the target stimulus always required a behavioral change 521 522 relative to sustained baseline activity. More specifically the target was the No-Go 523 stimulus in negative reinforcement tasks and required animals to cease ongoing 524 licking, whereas the target was the Go stimulus in the positive reinforcement task and 525 required animals to begin licking in a non-lick context. In all of the analyses, lickrelated neurons were removed using the approach outlined earlier. 526 527

528 Performing the same analyses on all tasks showed that projections of target- and 529 reference-evoked activities in passive conditions contained a variable degree of 530 asymmetry in the sound and silence epochs. However, in all tasks we found that 531 task-engagement leads an enhancement of target-driven encoding during sound 532 (Fig. 8a,b;e,f;i,j;m,n). As previously described for the rate discrimination task (Fig. 3 533 and 4e), target projections more strongly deviated from baseline than projections of 534 reference stimuli in the engaged condition. Moreover, for three of the four tasks we 535 examined, enhancement of target representations was not observed at the level of 536 population-averaged responses, but only in the direction determined by the decoder 537 (Fig. 8b,f,j,n). During the post-sound silence, decoding accuracy quickly decayed in 538 both passive and engaged states, but remained above chance (Fig. S11c,g,k). As in 539 the click-train detection task, decoding accuracy relied on a different encoding 540 strategy than the sound period (Fig. S11d,h,l), and the asymmetry during the post-541 sound silence was high both in passive and engaged conditions (Fig. S12).

542

543 Comparison of appetitive and aversive versions of the same task is particularly 544 revealing as to which type of stimulus was associated with enhanced representation 545 in the engaged state. In the appetitive version of the tone detect tak, ferrets needed 546 to refrain from licking on the reference sounds (No-Go) and started licking the water 547 spout shortly after the target onset (Go) (Fig. S11e), whereas in the aversive 548 (conditioned avoidance) paradigm they had to stop licking after the target sound (No-549 Go) to avoid a shock (Fig.S11a). It is important to note that although the physical 550 stimuli presented to the behaving animals were identical in both tone detect tasks, 551 the associated motor behaviors of the animals are nearly opposite. Projection of task-552 engaged A1 population activity reveals a target-driven encoding (compare right 553 panels of Fig. 8f,j with Fig. 8l,j), irrespective of whether the animal needed to refrain 554 from or to start licking to the target stimulus. This shows that the common feature of

stimuli that are enhanced after projection onto the decoding axis is that they are
associated with a change of ongoing baseline behavior.

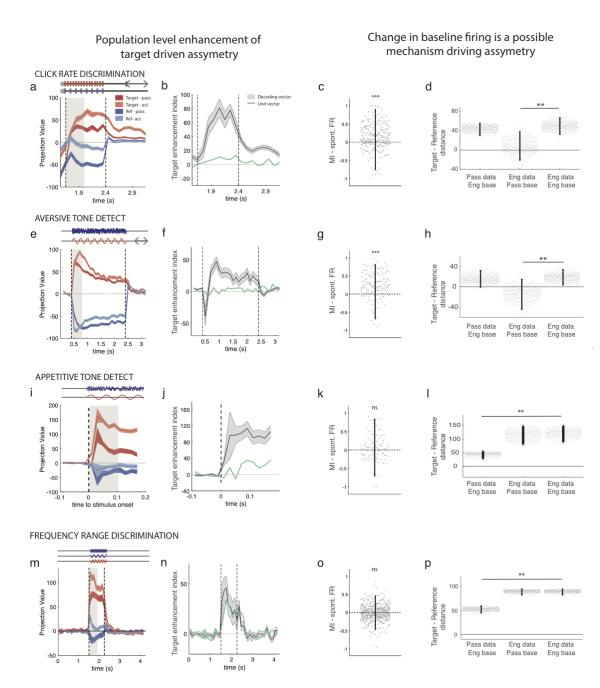
- 558 This range of behavioral paradigms provides additional arguments against the 559 described changes in activity being solely due to correlates of licking activity. Firstly, 560 we observed enhanced target-driven encoding in both the appetitive and aversive 561 tone-detect paradigms, even though the licking profiles were diametrically opposite to 562 each other. Secondly, comparing the projections of the population activity in the 563 approach tone detect task with the click rate discrimination task reveals a strong 564 similarity in the temporal pattern of asymmetry observed during task engagement. In 565 less than 100 ms, projection of target-elicited activity reached its peak in both 566 paradigms (Fig. 8a,i), although the direction and time course of the licking responses 567 were reversed, with a fast decline in lick frequency for the click rate discrimination 568 task (Fig. 1a), versus a slow increase for the tone detect (Fig. S11e left panel). Last, 569 although the results are more variable partly due to low decoding performance, we 570 observed target-driven encoding during the post-stimulus silence in the passive state (Fig. S12) although ferrets were not licking during this epoch. The points listed here 571 572 are again in agreement with a representation of the stimulus' behavioral 573 consequences, independent of the animal motor response.
- 574

575 As pointed out in the case of the click rate discrimination task, the enhancement of 576 target representation in the engaged condition can rely on two different mechanisms. 577 a shift in the spontaneous activity or a shift in stimulus-evoked activity. We therefore set out to tease apart the respective contributions of the two mechanisms in this 578 579 novel set of tasks. As in Fig. 6, we compared the distance of target and reference 580 passive and engaged projections to either engaged or passive baseline activities. 581 Out of the three additional datasets, we observed an increase in spontaneous firing 582 rates only in the aversive tone detect task (Fig. 8g). In this latter paradigm, task-583 induced modulations of spontaneous activity patterns explained the change in 584 asymmetry during sound presentation, similar to what was observed in the click rate 585 discrimination task (compare Fig. 8d and 8h). The other two tasks showed no global 586 change of spontaneous firing rate (Fig. 8k,o), and consequently, during the task 587 engagement, the enhancement of the target representation was solely due to the 588 second mechanism, the changes in the target-evoked responses themselves 589 (Fig.8l,p). During the silence, we observed as previously for the click-rate 590 discrimination that the increase in asymmetry relied only on the second mechanism 591 (Fig. S11).

592

Taken all together, population analysis on four different Go/No-Go tasks revealed an increase of the encoding in favor of the target stimulus as a general consequence of task-engagement on A1 neural activity. Viewing activity changes in this light allowed us to interpret the previously observed changes in spontaneous activity as one of two possible mechanisms underlying this task-induced change of stimulus representation in A1 population activity.

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**Fig8.** Asymmetric encoding of target and reference stimuli in a range of auditory discrimination tasks

#### Figure 8.

Each line of four panels represent the same analysis for all four tasks, statistics are given in order of appearance in the figure: click rate discrimination, aversive tone detect, appetitive tone detect, frequency range discrimination.

*a,e,l,m* Projection onto the decoding axis determined during the sound period of trial-averaged reference (blue) and target (ref) activity during the passive (dark colors) and the active (light colors) sessions. A baseline value computed from pre-stimulus spontaneous activity was subtracted for each neuron, so that the origin corresponds to the projection of spontaneous activity (shown by black line). Note that the target-driven activity is further from the baseline in the active state and the reference-driven activity is closer. The periods used to construct the decoding axis are shaded in gray. Error bars represent 1 std calculated using decoding vectors from cross-validation (n=400).

*b,f,j,n* Index of target enhancement induced by task engagement based on projections using the decoding axis determined during the sound. In green same index instead giving the same weight to all units. The difference between the green and black curved indicates that the change in asymmetry induced by task engagement cannot be detected using the population averaged firing rate alone. Error bars represent 1 std calculated using decoding vectors from cross-validation (n=400).

*c,g,k,o* Modulation index of each unit for spontaneous firing rate after exclusion of lick-related units. Eror bars are 95% C.I. (one-sample two-sided Wilcoxon signed rank test with mean 0, n=277, zval=6.35, p=2.1e-10; n=161, zval=7.22, p=5.4e-13; n=99, zval=1.01, p=0.30; n=520, zval=-0.78, p=0.47; \*\*\*: p<0.001).

d,h,l,p Comparison of reference/target asymmetry for evoked responses in different states compared to different baselines given by passive or engaged spontaneous activity. Reference/target asymmetry is the difference of the distance of target and reference projected data to a given baseline. We examine three cases: (i) passive evoked responses, distances calculated relative to engaged spontaneous activity; (ii) engaged evoked responses, distances calculated relative to passive spontaneous activity; (iii) engaged evoked responses, distances calculated relative to passive spontaneous activity; (iii) engaged decoding axis was used for projections. Error bars represent 95% confidence intervals (n=400 cross validations; p(col1,col3)=0.29 & p(col2,col3)<0.0025; p(col1,col3)=0.38 & p(col2,col3)<0.0025; p(col1,col3)=0.92; \*\*: p<0.01).

607

## 608 **DISCUSSION**

609

610 In this study, we examined population responses in the ferret primary auditory cortex 611 during auditory Go/No-Go discrimination tasks. Comparing responses between 612 sessions in which animals passively listened and sessions in which animals actively discriminated between stimuli, we found that task-engagement induced a shift from a 613 614 sensory-driven to an asymmetric, target enhanced, representation of the stimuli, 615 highly similar to the type of activity observed in dorsolateral frontal cortex during engagement in the same task. This enhanced representation of target stimuli was 616 617 found in a variety of discrimination tasks that shared the same basic Go/No-Go 618 structure, but used a variety of auditory stimuli and reinforcement paradigms. 619

620 In the click rate discrimination task that we analyzed first, the sustained asymmetric stimulus encoding in A1 was only observed in the engaged state (Fig. 3). One 621 possible explanation is that this encoding scheme relied on corollary neuronal 622 623 discharges related to licking activity. However there are several factors that argue 624 against this interpretation. Firstly, we adopted a stringent criterion for the exclusion from the analysis of all units whose activity was correlated with lick events (Fig. 4). 625 After removing lick-responsive units from the analysis the results remained 626 627 unchanged, indicating the absence of a direct link between licking and the observed asymmetry in the encoding. Furthermore, the large differences in the lick profiles 628 629 between the different tasks were not in line with the remarkably conserved target-630 driven projections of population activity across tasks and reinforcement types, 631 supporting a non-motor nature of the stimulus encoding in A1 (Fig. 8b,f,j,n). Finally,

the role of baseline shifts due to the change in spontaneous activity in two more tasks further argues against a purely motor explanation of the observed asymmetry (Fig. 6 and Fig. 8a) since the spontaneous activity occurs during epochs that preceded stimulus presentation and behavioral changes. Altogether, while the different lines of evidence exposed above make an interpretation in terms of motor activation unlikely, ultimately a different type of behavioral report, such as one using similar responses, would help fully rule out this possibility.

639

640 Our analyses show that the target-driven encoding scheme during task engagement 641 is neither purely sensory nor purely motor, but instead argue for a more abstract, 642 cognitive representation of the stimulus behavioral meaning in A1 during task 643 engagement. As the target stimulus was associated with an absence of licking in the 644 tasks under aversive conditioning, one possibility could have been that the A1 encoding scheme was contrasting the only stimulus associated with an absence of 645 646 licking (No-Go) against all other stimuli (Go). This lick/no-lick encoding was however 647 not consistent with the tone detect task under appetitive reinforcement, in which the 648 target stimulus was a Go signal for the animal. We thus suggest that A1 encodes the 649 behavioral meaning of the stimulus by emphasizing the stimulus requiring the animal 650 to change its behavioral response, i.e. the target stimuli in the different tasks we 651 examined. However, our data do not allow us to conclude whether this behavioral 652 meaning corresponds to the encoding of the stimulus-action association, or the 653 animal's decision, or the output motor command leading to a change in behavioral 654 response and it would be interesting to perform similar analyses in tasks more specifically designed to tease apart these different possible interpretations. 655

656 657

## 658 Relation to previous studies659

A series of previous studies found that task-engagement strongly influences responses in the primary auditory cortex, in some cases sharpening stimulus representation <sup>26–28,37</sup>, in others leading to a suppression of sensory responses <sup>14</sup>, as was also observed during locomotion <sup>17,18</sup>. While some studies observed signatures of decision-related activity in A1 <sup>11,38</sup>, none has hitherto reported the strong representation of behavioral meaning described here in the population code.

The majority of previous studies concentrated on single-neuron or LFP activity. In 667 contrast, our results critically rely on population-level analyses <sup>39–42</sup>, and in particular. 668 on linear decoding of population activity. This is a simple, biologically-plausible 669 operation that can be easily implemented by a neuron-like readout unit that performs 670 671 a weighted sum of its inputs. The summed inputs to this hypothetical read-out unit 672 showed that Go and No-Go stimuli elicited inputs symmetrically distributed around spontaneous activity in the passive state. In contrast, in the task-engaged state, only 673 674 target stimuli, which required an explicit change in ongoing behavior, led to an output 675 different from spontaneous activity, once passed through the readout unit. This 676 switch from a more symmetric, sensory-driven to an increasingly asymmetric, targetdriven representation was not clearly apparent if single-neuron responses were 677 simply averaged or normalized (Fig. S7, 7b,f,j,n), but instead relied on a population 678 679 analysis in which different units were assigned different weights by projecting 680 population activity on the decoding axis. Note that the weights were not optimized to 681 maximize the asymmetry between Go and No-Go stimuli, but rather the

682 discrimination between them. The shift towards a more asymmetric representation of 683 the behavioral meaning of stimuli is therefore an unexpected but important by-684 product of the analysis.

685

From a population-decoding viewpoint, task-engagement induced a shift towards an 686 687 enhanced representation of target stimuli class in all the tasks we considered. 688 However, considering these same effects from a less elaborate sensory coding view, 689 they appear to be quite varied and to depend on the details of the stimuli. Thus, in 690 the tone-detection task, previous studies reported that task-engagement enhanced 691 the representation of the relevant tone frequency in a negative reinforcement paradigm <sup>26–28</sup>, and caused a suppression at the tone frequency during the appetitive 692 version of the task <sup>30</sup>. In the click-discrimination task, task-engagement led to 693 decreased temporal fidelity in the representation of click times, the main sensory 694 features of the stimuli (see Fig. 1 and <sup>14</sup>). These varied results, however, are unified 695 696 by a shift to a representation of the behavioral meaning of stimuli. Our findings 697 therefore provide a possible way to reconcile the diverse effects described earlier.

## 698

## 699 **Possible implication of an A1-FC loop during task engagement**

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701 Recordings performed in dorsolateral frontal cortex (dIFC) in the ferret during tone detection <sup>36</sup> showed that, when the animal is engaged in the task, dIFC single units 702 703 encode the abstract behavioral meaning of the stimuli by responding only to target 704 stimuli (that require a change in the ongoing behavioral output) but remain silent for reference stimuli. Remarkably, projections of reference- and target-elicited A1 activity 705 706 on the linear readout showed the same type of target-specific patterns of activity. Several possible mechanisms could account for these similarities of representations 707 708 in A1 and dIFC. Here we propose that, during task engagement, sound evoked 709 activity in A1 triggers activity in dIFC, which then subsequently feeds back top-down 710 inputs to A1 that may underlie the sustained activity pattern found during post-711 stimulus silence.

712 Very early in the trial, the asymmetric encoding is already fully present in A1 (as early 713 as 100ms in the rate discrimination task for instance; Fig. 3e top panel). At this point in time, dIFC does show some target-selective responses that increase over time 714 715 (Fig. 7a). This suggests the presence of a feed-forward mechanism early in the trial, 716 by which A1 may be feeding higher-order auditory cortex and FC with a pattern of 717 neuronal responses encoding the behavioral meaning of the stimulus. Our results 718 show that this early task-induced change in the representation in A1 relies on a shift of spontaneous activity at the population level that may be due to tonic top-down or 719 neuromodulatory inputs during task engagement <sup>43,44</sup>. The presence of a dynamic 720 balance characterizes interactions between A1 and dIFC has been previously shown 721 722 by changes in Granger causality and effective connectivity during behavioral state transitions <sup>45</sup>. 723

724

As the trial progresses, the encoding in A1 progressively shifts (Fig. S6). Activity projected on the late decoding vector (Fig. 3e bottom panel) shows a progressive buildup similar to the activity observed in dIFC (Fig. 7). The late stimulus encoding, during the later phase of the click trains and the subsequent post-stimulus silence (Fig. 3e bottom panel) may thus be gradually engaging stronger top-down inputs from the dIFC-A1 network loop. The persistent encoding of stimuli identity could therefore

rely on a stimulus-specific top-down input from frontal areas. Although direct 731 732 connections from dIFC to A1 have not been identified in ferrets, several recent studies have identified direct inputs from the rodent motor cortex <sup>17</sup>, the rodent 733 orbitofrontal cortex <sup>46,47</sup> and the secondary auditory areas <sup>48</sup> (ferret posterior 734 735 ectosylvian gyrus) to A1. Altogether, while the comparison of time-course of activity in 736 A1 and dIFC suggest that the recruitment of the A1-FC loop is a plausible 737 interpretation of our results, more direct evidence is needed to establish this 738 mechanism.

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## Projection to the read-out null space as a mechanism for target detection in A1

741 742 Our analysis suggests a novel population readout mechanism for extracting 743 behaviorally relevant information from A1 while suppressing other, irrelevant sensory 744 information: in the task-engaged state, irrelevant sensory inputs (reference stimuli) 745 elicit changes of activity that are orthogonal to the read-out axis and therefore cannot 746 be distinguished from spontaneous activity. This mechanism is reminiscent of the mechanism proposed for movement preparation in motor cortex <sup>49</sup>, where 747 748 preparatory neural activity lies in the null space of the motor readout, i.e. the space 749 orthogonal to the read-out of the motor command, and therefore does not generate 750 movements. In our case, the readout is task-dependent, as it presumably depends on 751 the performed discrimination task. We showed that the A1 activity in the engaged 752 condition rearranges so that the difference between spontaneous activity and 753 reference-elicited activity lies in the null space of the readout, which is therefore only activated by target stimuli. This rearrangement can be implemented either by a 754 755 change of reference-elicited activity or by a change of spontaneous activity. In two of 756 the examined tasks, click-discrimination and aversive tone detection, we found that 757 the rearrangement of population activity relied mostly on the change in population 758 spontaneous activity in the engaged condition. Strikingly, these two tasks were 759 performed by the same ferrets, which were trained to switch between the two tasks in 760 the same session. In the two other tasks, reference-elicited activity in the passive 761 condition were already aligned with the passive spontaneous activity when projected 762 on the active decoder, suggesting that learning these behavioral tasks may have 763 profoundly reshaped stimulus-evoked activity. Our results therefore suggest that 764 task-dependent shaping of spontaneous activity can allow the primary auditory cortex 765 to encode the behavioral meaning of stimuli in a task-relevant, and often in a highly 766 flexible manner.

767

Changes in spontaneous activity have previously been shown to contribute to 768 stimulus responses <sup>50-54</sup> and task-driven changes have been reported in multiple 769 previous studies <sup>14</sup> but, to our knowledge, have never been given a functional role in 770 stimulus representation <sup>55</sup>. Here we propose that population-level modulations of 771 772 spontaneous activity act as a mechanism supporting the asymmetric representation 773 of reference and stimuli target in the engaged state. This was clearly the case in 774 tasks where the passive reference-evoked responses and spontaneous patterns of 775 activity were not already aligned with respect to the active decoding vector (Fig.8a-d 776 and Fig8e-h). In those tasks, significant adjustments in spontaneous activity 777 supported the deployment of a reference/spontaneous space orthogonal to the active 778 readout-out axis.

780 However, this proposed simple linear readout mechanism cannot fully account for the 781 whole set of responses observed in frontal areas for at least two reasons. First, 782 projections of reference-elicited activity (in A1) during engagement on an aversive 783 task still give rise to a non-null, albeit reduced, output contrary to what is observed in dIFC area recordings. Second, projecting passive data onto the engaged decoding 784 785 vector results in symmetric and reduced outputs (data not shown), whereas dIFC 786 recordings showed on average a complete absence of response during passive state during the tone-detect task <sup>36</sup>. An additional non-linear gating mechanism likely 787 788 operates between primary auditory cortex and frontal areas, further reducing 789 responses to any stimulus in the passive state and to reference sounds in the active 790 state. In particular, neurons in higher-order auditory areas could refine the 791 population-wide, abstracted representation originating in A1 through the proper 792 combinations of synaptic weights. Such a mechanism could also explain why 793 individual single units recorded in belt areas of the ferret auditory cortex show a gradual increase in their selectivity to target stimuli <sup>56</sup>. 794

## 796 Effects of learning

795

797 798 All the recordings analyzed here were performed on highly trained animals. Several 799 investigations have reported that training procedures strongly influence neural representations in primary cortices 57-61. One may therefore wonder to what extent 800 801 our findings, even in the passive state, depend on the prior training history of the animal <sup>62–64</sup>. To address this question, we examined A1 recordings performed in a 802 803 naive ferret exposed to the same stimuli as used in the click-train discrimination task. 804 Stimulus discrimination was relatively decreased, during both the sound and silent periods when compared with the decoder accuracy obtained with trained animals 805 806 (Fig. S5c,d). In particular, the discrimination performance during the post-stimulus 807 silence was reduced to chance-levels, while in trained animals it was above chance 808 even in the passive state. The weak but significant maintained encoding of stimulus 809 class observed in the passive state with expert ferrets thus appears to be due to the 810 behavioral training. Discrimination in the passive condition for trained animals also 811 involved target-specific activity during post-stimulus silence (Fig. 3c,d, bottom 812 panels), whereas it was not the case for naive ferrets (Fig. S5d), indicating that this 813 target-driven mechanism is ubiquitously present during the silent period in trained 814 animals.

Interestingly, passive projections of target- and reference-evoked activities 815 816 showed variable degrees of asymmetry across tasks (Fig. 3c and 8a.e.i.m). This 817 observation could be explained by the variability in training duration across ferrets, in 818 task performance, and in paradigm requirements and complexity. Strikingly, the only 819 task we examined involving long-term memory (frequency range discrimination task) exhibited a very strong asymmetry both in passive and active states (Fig. 8m). While 820 821 asymmetric representation of stimuli was weak in tasks demanding flexible and rapid 822 attention towards new stimuli (rate discrimination and tone detect tasks), a task 823 involving long-term memory, such as the frequency range discrimination task, could engage global reshaping of the neuronal population structure to keep a mnemonic 824 trace of the behaviorally-relevant stimuli. Interestingly, this target-driven asymmetry in 825 826 the passive state came along with a lack of change in the spontaneous population 827 activity between passive and active state (Fig. 8fo). This observation is in agreement 828 with the hypothesis that the encoding of stimulus behavioral meaning is mediated by

829 an adjustment of spontaneous population activity, mostly operated in passive state 830 for this particular task.

831

832 In summary, we found that task-engagement induces a shift from sensory-833 driven to abstract, behavior-driven representations in the primary auditory cortex. 834 These abstract representations are encoded at a population, but not at a single-835 neuron level, and strikingly resemble abstract representations observed in higherlevel cortices. These results suggest that the role of primary sensory cortices is not 836 837 limited to encoding sensory features. Instead, primary cortices appear to play an 838 active role in the task-driven transformation of stimuli into their behavioral meaning 839 and the translation of that meaning into task-appropriate motor actions.

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### 844 Materials and methods

#### 845 **Training and recordings.**

#### 846 Behavioral training

847 All experimental procedures conformed to standards specified by the National Institutes of 848 Health and the University of Maryland Institutional Animal Care and Use Committee 849 (IACUC). Adult female ferrets, housed in pairs in normal light cycle vivarium, were trained 850 during the light period on a variety of different behavioral paradigms in a freely moving 851 training arena. After headpost implantation, the ferrets were retrained while restrained in a 852 head-fixed holder until they reached performance criterion again. Most of the animals in 853 these studies were trained on multiple tasks, including the two ferrets trained both on the 854 click rate discrimination and the tone detect tasks. Three out of four tasks shared the same basic structure of Go/No-Go avoidance paradigms <sup>65</sup>, in which ferrets were trained in a 855 856 conditioned avoidance paradigm to lick water from a spout during the presentation of a class 857 of reference stimuli and to cease licking after the presentation of a different class of target stimuli to avoid a mild shock. The positive reinforcement task is detailed below (see Tone 858 859 detect task – Aversive conditioning).

Recordings began once the animals had relearned the task in the holder. Each recording session included epochs of passive sounds presentation without any behavioral response or reinforcement, followed by an active behavioral epoch where the animals could lick. A postpassive epoch was then recorded. This sequence of epochs could be repeated multiple times during a recording session. The table below summarizes the animals and recordings for each task.

866

Task	Click rate discrimination		Tone detect		Frequency range discrimination	
Structure	dIFC	A	1	A1	A1	
Animals	2 ferrets	2 ferrets		4 ferrets	1 ferret	
Conditioning	Aversive	Aversive	Aversive	Appetitive	Aversive	
Recorded	- Prepassive	- Prepassive	- Prepassive	- Passive	- Prepassive	
sessions	- Active	- Active	- Active	- Active	- Active	
	- Postpassive	- Postpassive	- Postpassive		- Postpassive	
Session						
num.	25 (17 and 8)	18 (9 and 9)	13 (7 and 6)	56 (8,37,2,9)	149	
Recorded	102 (66 and 36)	370 (188 and	202 (129 and	100	758	
units		182)	73)	(17,72,2,9)		

867

868 *Click rate discrimination task.* Two adult female ferrets were trained to discriminate low from 869 high rate click trains in a Go/No-Go avoidance task. A block of trials consisted of a sequence

of a random number of reference click train trials followed by a target click train trial (except

871 on catch blocks in which 7 reference stimuli were presented with no target). On each trial, the 872 click train was preceded by a 1.25s neutral noise stimulus (Fig. 1A). Ferrets licked water from 873 a spout throughout trials containing reference click trains until they heard the target sound. 874 They learned to stop licking the spout either during the stimulus or after the target click train 875 ended, in the following 0.4-s time silent response window, in order to avoid a mild shock to 876 the tongue in a subsequent 0.4 s shock window (Fig.1A). Any lick during this shock window 877 was punished. The ferrets were first trained while freely-moving daily in a sound-attenuated 878 test box. Animals were implanted with a headpost when they reached criterion, defined with 879 a Discrimination Ratio (DR)>= 0.64 where DR = HR \* (1-FA) [Hit Rate, HR=0.8 and False Alarm, FA=0.2]. They were then retrained head-fixed with the shocks delivered to the tail. 880 881 The decision rule was reversed in the 2 animals, as low rates were Go stimuli for one animal 882 and No-Go for the second one. During each session, rates were kept identical, but were 883 changed from day to day.

- Tone detect task Aversive conditioning. The same two ferrets were trained on a tone detect 884 task previously described <sup>26</sup>. Briefly, a trial consisted of a sequence of 1 to 6 reference white 885 886 noise bursts followed by a tonal target (except on catch trials in which 7 reference stimuli 887 were presented with no target). The frequency of the target pure tone was changed every 888 day. The animals learned not to lick the spout in a 0.4 s response window starting 0.4 s after 889 the end of the target. The ferrets were trained until they reached criterion, defined as 890 consistent performance on the detection task for any tonal target for two sessions with >80% 891 hit rate accuracy and >80% safe rate for a discrimination rate of >0.65.
- 892 Tone detect task – Appetitive conditioning. 4 ferrets were on an appetitive version of the tone detect task previously described <sup>30</sup>. On each trial, the number of references presented before 893 894 the target varied randomly from one to four. Animals were rewarded with water for licking a 895 water spout in a response window 0.1-1.0 s after target onset. False alarms were punished 896 with a timeout when ferrets licked earlier in the trial before the target window. The average 897 DR during experiments was 0.76. This data set contained sessions with different trial 898 durations, therefore we analysed separately data from the first 200ms after stimulus onset 899 and 200ms before stimulus offset. For this task, the passive data was not structured in the 900 format of successive reference and target trials as in the engaged session but instead the 901 animal was presented with a block of reference only trials followed by a block of target only 902 trials separately. This slight change in the structure of the sound presentation did not affect 903 our results that were highly similar to other tasks but may explain the slightly higher accuracy 904 of decoding during the initial silence in the passive data. Indeed reference and target trials 905 were systematically preceded by other reference and target trials, possibly allowing the 906 decoder to discriminate using remnant activity from the previous trial.
- 907 Frequency range discrimination task. One ferret was trained on a three-frequency-zone 908 discrimination task with a Go/No-Go paradigm. The three frequency zones were defined 909 once and for all and the animal had to learn the corresponding frequency boundaries (Low-910 Medium: ~500 Hz / Medium-High: ~3400 Hz). Each trial consisted of the presentation of a 911 single pure tone (0.75-s duration) with a frequency in one of the three zones. A trial began 912 when the water pump was turned on and the animal licked a spout for water. The ferret 913 learned to stop licking when it heard a tone falling in the Middle frequency range in order to 914 avoid punishment (mild shock) but to continue licking if the tone frequency fell in either the 915 Low or High range. The shock window started 100 ms after tone offset and lasted 400 ms. 916 The pump was turned off 2 s after the end of the shock window. The learning criterion was 917 defined as DR>40% in three consecutive sessions of more than 100 trials.
- 918 919 Acoustic stimuli
- All sounds were synthesized using a 44 kHz sampling rate, and presented through a freefield speaker that was equalized to achieve a flat gain. Behavior and stimulus presentation were controlled by custom software written in Matlab (MathWorks).
- 923 Click rate discrimination task. Target and reference stimuli were preceded by an initial
- silence lasting 0.4 s followed by a 1.25 s-long broadband-modulated noise bursts (temporal
   orthogonal ripple combinations, TORC <sup>66</sup>) acting as a neutral stimulus, without any behavioral

926 meaning (Fig.1A). Click trains all had the same duration (0.75 s, 0.8 s inter-stimulus interval 927 of which the last 0.4 s consisted of the response window) and sound level (70 dB SPL). 928 Rates used were comprised between 6 and 36 Hz (ferret A: references [6 7 8 15] Hz, targets 929 [24 26 28 30 32 33 36] Hz / ferret L: references [26 28 30 32 36] Hz, targets [6 8 9 16] Hz).

930 Tone detect task. Reference sounds were TORC instances. Targets were comprised of pure 931 tone with frequencies ranging from 125-8000 Hz. Target and reference stimuli were 932 preceded by an initial silence lasting 0.4 s. Target and reference stimuli all had the same 933 duration (2 s, 0.8 s inter-stimulus interval whose last 0.4 s consisted of the response window 934 for the aversive tone detect task) and sound level (70 dB SPL). In the appetitive version of 935 this paradigm, target and reference duration varied between sessions (0.5-1.0 s, 0.4-0.5-s 936 interstimulus interval).

937 Frequency range discrimination task. The target frequency region was the Medium range 938 (tone frequencies: 686, 1303 and 2476 Hz) while the reference regions were the Low and 939 High frequency ranges (100, 190 and 361 Hz; 4705, 8939 and 16884 Hz). Thus the set of 940 tones included 9 frequencies with 90% increment (~0.9 octave) and spanned a ~7.4 octaves 941 range. Target and reference stimuli (duration: 0.75 s; level: 70 dB SPL) were preceded by an 942 initial silence lasting 1.5 s and followed by a 2.4 s silence comprising the shock window (400 943 ms starting 100 ms after the tone offset).

944

#### 945 Neurophysiological recordings

946 To secure stability for electrophysiological recording, a stainless steel headpost was 947 surgically implanted on the skull (Fritz et al. 2003; Fritz et al. 2010). Experiments were 948 conducted in a double-walled sound attenuation chamber. Small craniotomies (1-2 mm 949 diameter) were made over primary auditory cortex prior to recording sessions, each of which 950 lasted 6-8 h. The A1 and frontal cortex (dorsolateral FC and rostral ASG) regions were 951 initially located with approximate stereotaxic coordinates and then further identified physiologically. Recordings were verified as being in A1 according to the presence of 952 953 characteristic physiological features (short latency, localized tuning) and to the position of the 954 neural recording relative to the cortical tonotopic map in A1<sup>67</sup>. Data acquisition was controlled using the MATLAB software MANTA <sup>68</sup>. Neural activity was recorded using a 24 955 956 channel Plexon U-Probe (electrode impedance: ~275 kΩ at 1 kHz, 75-µm inter-electrode 957 spacing) during the click discrimination task and the aversive version of the tone detect task. 958 Recordings during the other tasks (frequency range discrimination and appetitive tone detect 959 task) were done with high-impedance (2-10 MΩ) tungsten electrodes (Alpha-Omega and 960 FHC), using multiple independently moveable electrode drives (Alpha-Omega) to 961 independently direct up to four electrodes. The electrodes were configured in a square 962 pattern with ~800 µm between electrodes. The probes and the electrodes were inserted 963 through the dura, orthogonal to the brain's surface, until the majority of channels displayed 964 spontaneous spiking.

966 **Data Analysis** 

965

- 967 Data analyses were performed in MATLAB (Mathworks, Natick, MA, USA).
- 968 Spike sorting

969 To measure single-unit spiking activity, we digitized and bandpass filtered the continuous 970 electrophysiological signal between 300 and 6,000 Hz. The tail shock for incorrect responses 971 introduced a strong electrical artefact and signals recorded during this period were discarded

972 before processing.

973 Recordings performed with 24 channel Plextrodes (U-probes) (click discrimination and the 974 tone detect tasks) were spike sorted using an automatic clustering algorithm (KlustaKwik, <sup>69</sup>), 975 followed by a manual adjustment of the clusters. Clustering quality was assessed with the 976 isolation distance, a metrics developed by Harris et al, 2001 which quantifies the increase in cluster size needed for doubling the number of samples. All clusters showing isolation distance larger than 20 were considered as single units <sup>70,71</sup>. A total of 82 single units and 977

978 979 288 multi-units were isolated. All analyses were reproduced on both pools of units and

qualitatively similar results were obtained (Supplementary Information). We thus combined
 all clusters for the analysis. Spike sorting was performed on merged data sets from pre passive, active and post-passive sessions.

For recordings performed with high-impedance tungsten electrodes (frequency range
 discrimination and relative pitch tasks), single units were classified using principal
 components analysis and k-means clustering followed by manual adjustment <sup>26</sup>.

987 Depth determination in the click rate discrimination task

Each penetration of the linear electrode array produced a laminar profile of auditory responses in A1 across a 1.8 mm depth. Supra- and infragranular layers were determined with LFP responses to 100 ms tones recorded during the passive condition. The border between superficial and middle-deep layer was defined as the inversion point in correlation coefficients between the electrode displaying the shortest response latency and all the other electrodes in the same penetration <sup>72,73</sup>.

994 995

#### 996 Click reconstruction from neural data

997 Optimal prior reconstruction method <sup>74</sup> was used to reconstruct stimulus waveform from click-998 elicited neural activity. Units with spontaneous firing rate larger than 2 spikes/s in at least one 999 condition were considered for this analysis. Neuronal activity was binned at 10 ms in time

1000 with a 1-ms time step. For each trial, we defined  $S^{k}(t) S^{k}(t)$  the stimulus waveform of trial k

1001 (t  $\in$  [1,T]) and  $r_i^k(t)$  r\_i^k(t) the binned firing rate of each neuron  $i \in$  [1,N] where t  $\in$  [1,T+ $\tau$ ] with 1002  $\tau$  the considered delay in the neuronal response. A linear mapping was assumed between 1003 the neuronal responses and the stimulus:

1004

1005 
$$S^{k}(t) = \sum_{i=1}^{N} \sum_{\delta=0}^{\tau} g_{i}(\delta) r_{i}^{k}(t+\delta) S^{k}(t) = \sum_{i=1}^{N} \sum_{\delta=0}^{\tau} g_{i}(\delta) r_{i}^{k}(t+\delta)$$
(1)

1006

1007 for unknown coefficients  $g_i(\delta)$ . Equation (1) was rewritten as:

- 1008

$$1011 \quad \text{with} \quad R^{k} = \begin{pmatrix} R_{1}^{k} \\ R_{2}^{k} \\ \vdots \\ R_{N}^{k} \end{pmatrix} \text{R}^{k} = \begin{pmatrix} R_{1}^{k} \\ R_{2}^{k} \\ \vdots \\ R_{N}^{k} \end{pmatrix} \text{ and } \quad R_{i}^{k} = \begin{pmatrix} r_{i}^{k}(0) & r_{i}^{k}(1) & \cdots & r_{i}^{k}(T) \\ r_{i}^{k}(1) & r_{i}^{k}(2) & \cdots & r_{i}^{k}(T+1) \\ \vdots & \vdots & \ddots & \vdots \\ r_{i}^{k}(\tau) & r_{i}^{k}(1+\tau) & \cdots & r_{i}^{k}(T+\tau) \end{pmatrix}$$

$$1012 \quad R_{i}^{k} = \begin{pmatrix} r_{i}^{k}(0) & r_{i}^{k}(1) & \cdots & r_{i}^{k}(T+1) \\ r_{i}^{k}(1) & r_{i}^{k}(2) & \cdots & r_{i}^{k}(T+\tau) \\ \vdots & \ddots & \vdots \\ r_{i}^{k}(\tau) & r_{i}^{k}(1+\tau) & \cdots & r_{i}^{k}(T+\tau) \end{pmatrix} \text{ the lagged neuronal}$$

1013

1014 response,  $G = (G_1, G_2 \cdots G_N) G = (G_1 \ G_2 \ \cdots \ G_N)$  and  $G_i = (g_i(0), g_i(1) \cdots g_i(\tau))$ 1015  $G_i = (g_i(0) \ g_i(1) \ \cdots \ g_i(\tau))$  the corresponding reconstruction filter. The estimate  $\hat{G}$  is 1016 produced by least-square fitting 1017

1018 
$$\hat{G} = S\left(\sum_{k=1}^{K} (R^{k})^{t}\right) \left(\sum_{k=1}^{K} (R^{k})^{t} R^{k}\right)^{-1} \hat{G} = S\left(\sum_{k=1}^{K} (R^{k})^{t}\right) \left(\sum_{k=1}^{K} (R^{k})^{t} R^{k}\right)^{-1}$$
(3)  
1019

1020 Before the inversion in the previous formula, a single value decomposition was used to 1021 eliminate the noisy components of the auto-correlation matrix. The maximal number of 1022 components retained was empirically set to 70. Once the values  $\hat{G}$  were fitted on all the trials but one, the reconstructed stimulus  $\hat{s}^* \hat{S}^k$  was defined as  $\hat{s}^* = \hat{G}R^* \hat{S}^k = \hat{G}R^k$  with the 1023 1024 neuronal response *R* of the remaining run. Each trial was left out in turn. Reconstruction error 1025 was quantified with the mean-squared error (MSE) of the reconstructed stimulus. One 1026 passive and active reconstruction filters were fitted for each type of stimulus (reference and 1027 target) in every session.

#### 1029 Modulation index

1030 To evaluate changes in a given parameter X (firing rate, vector strength) at the level of the 1031 individual unit, we define the modulation index to compare situation 1 and 2 as for each 1032 neuron as:

1033 
$$MI = \frac{X_1 - X_2}{X_1 + X_2}$$

1034

1028

- 1035 As a measure of the enhancement of target projection relative to reference projection in the
- 1036 task engaged state we used the following index (referred to target enhancement index in the text)

$$MI = (d(Targ_{eng}) - d(Targ_{pass})) - (d(Ref_{eng}) - d(Ref_{pass}))$$

1037 where d is the distance from baseline.

1038 When simply measuring the asymmetry between reference and target in condition X, we used the following index (Fig. 5b; 7d,h,l,p; S9c,f,i):

$$Index = d(Targ_X) - d(Ref_X)$$

1040 Vector strength

1041 Vector strength (VS) allows to measure how tightly spiking activity is locked to one phase of
 1042 a stimulus. If all spikes at exactly the same phase, VS is one whereas if firing is uniformly
 1043 distributed over phases VS is 0. It is defined in Goldberg & Brown 1969 as

1044

1045 
$$VS = \frac{\sqrt{\left(\sum_{i=1}^{n} \cos \theta_{i}\right)^{2} + \left(\sum_{i=1}^{n} \sin \theta_{i}\right)^{2}}}{n} \text{ where } \theta_{i} \text{ is the phase of spike i}$$

1046 Significance was assessed using Rayleigh's statistic,  $p = e^{nr^2}$ , where r is the vector strength 1047 and used p < 0.001 as the criterion for significant phase locking consistent with previous 1048 work <sup>76</sup>.

1049

#### 1050 Linear discriminant classifier performance

1051 To evaluate the accuracy with which single-trial population responses could be classified 1052 according to the presented stimulus (reference or target), we trained and tested a linear 1053 discriminant classifier <sup>39,77</sup> using cross validation (FigS3).

Trial by trial pseudo-population firing rate vectors were constructed for each 100ms time bin using units from all sessions and both animals. Training and testing sets were constructed by randomly selecting equal numbers (15) of reference and target trials for each unit. All contribution of noise correlations among neurons are therefore destroyed by this procedure as the pseudo-population vector contains activity of units recorded on different days and on

1059 different trials. Since correlations between neurons can affect population coding <sup>33</sup> and are modified by task engagement <sup>13</sup>,

1061 The classifier was trained for each time bin using the average pseudo-population vectors  $c_{R,t}$ 1062 and  $c_{T,t}$  calculated from a random selection of an equal number of reference and target trials. 1063 These vectors define at time bin t the decoding vector  $w_t$  given by

1064 
$$w_t = c_{T,t} - c_{R,t}$$

1065 and the bias b<sub>t</sub> given by

1066  $b_t = \frac{-(c_{R,t} \times w_t + c_{T,t} \times w_t)}{2}$ 

1067 we also used Fisher discriminant analysis in which the decoding vector is defined as :

1068  $w_t = Cov^{-1}(c_{T,t} - c_{R,t})$ 

1069 where Cov is the covariance matrix, which allows to correct the decoding vector by taking into account the trial 1070 by trial correlations between units

1071 These define the decision rule for a new population vector x,

$$y(x) = w_t^T \times x + b_t$$

1072 y(x) > 0, x is classified as a target

y(x) < 0, x is classified as a reference

1073 This rule was applied to an equal number of reference and target testing trials drawn from 1074 the remaining trials that were not used to train the classifier. The proportion of correctly 1075 classified trials gave the accuracy of the classifier. Cross-validation was performed 400 times 1076 by randomly picking training and testing data to estimate the average and variance of 1077 accuracy. This allowed comparing the performance of classification in two behavioral states 1078 by constructing confidence intervals from the cross-validation. Note that this limits p-value 1079 estimate to a minimum of 1/400=0.0025.

- 1080
- 1081 Random performance

To evaluate whether the classifier performance is higher than chance, the classifier was trained and tested on surrogate data sets constructed by shuffling the labels ('reference' and 'target') of trials. For each of 100 label permutations, cross-validation was performed 100 times. This allows comparing the performance of classification with chance levels by constructing confidence intervals from the cross-validation and from the random shuffled permutations.

1088 1089

#### 1090 Classifier evolution

1091 When studying the evolution of population encoding (Fig. S6), we defined early sound, late 1092 sound, and silence periods as 1700-1900 ms, 2200-2400 ms and 2700-2900 ms (equal 1093 duration for comparison) relative to trial onset. The classifier was trained on randomly chosen 1094 trials from one time period and then tested on trials at all other 100ms time bins.

- 1095 We also constructed matrices showing the accuracy of the classifier trained and tested at all 1096 100ms time bins and evaluated whether these values are higher than chance using 1097 surrogate data sets by shuffling labels as described above.
- 1098 When comparing the classifier during sound and silence periods across tasks (Fig. 7), the 1099 following periods were used:
- 1100

	Click rate discrimination	Aversive tone detect	Appetitive tone detect	Frequency range discrimination
Sound	1.6-2s	0.4-0.8s	0-0.1 after stim onset	1.5-1.9s

	Silence         2.5-2.9s         0-0.1 after stim offset         2.4-2.8s							
1101		1						
1102	Projection onto decoding vectors							
1103	To study the contribution of reference and target trials to classifier performance, we projected							
1104	population firing vectors at each time bin onto decoding vectors calculated durin	population firing vectors at each time bin onto decoding vectors calculated during the sound						
1105	and silence periods as defined above. Before projection, the mean spontaneou	is activity of						
1106	each unit was subtracted from its firing rate throughout the whole trial. Deviation	ns from 0 of						
1107	the projection show activity deviating from spontaneous activity along the decodir	ıg axis.						
1108	Controlling for lick-responsive neurons							
1109	In order to control for the contribution of units directly linked with task-related moti	•						
1110	our results, we combined reconstruction and decoding methods to identify and re							
1111	responsive neurons so that linear classification no longer yielded any licking-relat	ed						
1112	information. The approach comprised the following steps:							
1113	- Optimal prior reconstruction (described in <i>Click reconstruction from neur</i>	al data) was						
1114	used to reconstruct lick-activity separately for each unit.							
1115	<ul> <li>Reconstruction values for each unit were then sampled at the time of licks</li> </ul>							
1116	randomly selected times without licking. These values were used to const	UCT						
1117	population vectors of lick and non-lick activity.							
1118	- A linear classifier (described in <i>Linear discriminant classifier performance</i> )							
1119	trained and tested using cross-validation to distinguish lick from non-lick e							
1120	<ul> <li>Reconstruction values and classification was also performed on random d</li> </ul>							
1121	obtained by reconstructing the licking activity of a session with the neural a	•						
1122	subsequent session. This made it possible to establish the distribution of a	accuracy for						
1123	randomized data.							
1124 1125	<ul> <li>The accuracy of classification was compared between the true data and the rendemized data acts and a p value was calculated by counting the pump</li> </ul>							
1125	randomized data sets and a p-value was calculated by counting the numb							
1120	<ul> <li>permutations showing better accuracy for the randomized data than the tr</li> <li>We progressively removed units, starting with those with highest classifier</li> </ul>							
1127	<ul> <li>We progressively removed units, starting with those with highest classifier which reduced the accuracy of classification, until the p-value of populatio</li> </ul>	•						
1120	classification rose above 0.4. This indicated that the remaining units conta							
112)	more information about lick events than randomized data.	linea no						
1130	- Only the units remaining after this procedure were used to re-analyze the	data and						
1131	verify that reliable classification and difference in projections of reference							
1133	trials did not rely on the difference in licking activity between the two trials.							
1134	For the click rate discrimination task only a subset of sessions (15/18) had							
1135	recordings of all lick events, so the analysis was done on 308 units (not 37							
1136	units were identified as non-lick related. For the appetitive tone task 99/10							
1137	the aversive tone task 161/202 and for the frequency range discrimination							
1138								
1139	Gaussian-process factor analysis							
1140	To visualize neural trajectories of the large population of units recorded in A							
1141	Gaussian-process factor analysis as described in <sup>78</sup> . This method has the adv	•						
1142	more traditional methods of dimensionality reduction such as PCA of jointly perf	orming both						
1143	the binning/smoothing steps and the dimensionality reduction.							
1144								
1145	Statistics							
$1146 \\ 1147$	Statistics on classifier performance relied on p-value estimation using cross-va							
	a violation signification in the manufactuation the Kolmonarov Smirnov n	armality teet						

1146 Statistics on classifier performance relied on p-value estimation using cross-validation. For 1147 each statistical analysis provided in the manuscript, the Kolmogorov–Smirnov normality test 1148 was first performed on the data. As the data failed to meet the normality criterion, statistics 1149 relied on non-parametric tests. When performing systematic multiple tests, the Bonferroni 1150 correction was applied.

- 1151
- 1152 Data availability

1153 The data that support the findings of this study are available from the corresponding author 1154 upon reasonable request.

1156 Code availability

1157 Code used in the article can be supplied upon request by writing to the corresponding author.

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# 1453 Supplementary information

# 1454 **Comparison of results in single and multiunits**

All analyses in the main section of the paper concerning the click train discrimination task combine results from single units (isolation distance > 20, see Methods) and multi-units because we found no differences concerning their general properties (see table 1) and the main population-level results of the paper (see table 2) were maintained using SU activity only, although the power of the analysis was of course reduced.

	SU	MU	Comparison
MI : baseline	0.14 +/- 0.03 (***)	0.19 +/- 0.02 (***)	p= 0.22
MI : evoked	0.04 +/- 0.05 (ns)	- 0.05 +/- 0.06 (ns)	p=0.22
MI : vector strength	0.05 +/- 0.006 (***)	0.04 +/- 0.0075 (***)	p=0.25
Ref FR pass. – Snd	7.45 +/- 0.70	6.67 +/- 0.88	p=0.48
Ref FR eng. – Snd	9.14 +/-0.86	8.38 +/- 1.06	p=0.57
Targ FR pass. – Snd	7.78 +/- 0.72	6.15 +/- 0.77	p=0.12
Tar FR eng. – Snd	9.9 +/- 0.93	7.9 +/- 0.97	p=0.15
Ref FR pass. – Sil	6.34 +/-0.64	5.3 +/- 0.68	p=0.25
Ref FR eng. – Sil	7.96 +/-0.76	7.65 +/- 0.94	p=0.79
Targ FR pass. – Sil	6.31 +/-0.67	5.4 +/- 0.76	p=0.36
Targ FR eng – Sil.	8.56 +/-0.84	7.26 +/- 0.99	p=0.32

1461**Table 1** Comparison of unit properties for single and multi units. Mean +/- s.e.m are1462given for each value and the comparison between SU and MU is performed using a1463ttest. For modulation indexes (first three lines), the significance compared to zero is1464given in brackets. These results are identical to those found in the main paper.

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1466 To verify that the population-level results were maintained SU data, despite the 1467 reduced number of units (82 SU units, 370 total units used in main paper), we 1468 recapitulate below the main results using SU activity alone.

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	Mean [C.I.] – signif. of comparison
Sound accuracy pass. and eng.	0.97 [0.95:0.99] - 0.98 [0.94:1] <b>NS</b>
Silence accuracy pass. and eng.	0.59 [0.52:0.66] - 0.78 [0.69:0.87] *
Sound: ref and target projected values	29 [25:36] - 26 [18:33] <b>NS</b>
pass.	
Silence: ref and target projected values	6 [4:8] - 12 [6:16] <b>NS</b>
pass.	
Sound: ref and target projected values	16 [8:23] - 44 [33:55] **
eng.	
Silence: ref and target projected values	2 [0.7:4] - 37 [33:42] **
eng.	

1470 **Table 2** Recapitulation of important results using SU activity alone.

We found that the significant increase in accuracy during the silence with task engagement was maintained after restriction to SU activity. We also observed the significantly greater role played by target evoked activity in the engaged state after projection (as in Fig. 3) using SU activity alone (p<0.0025). The only difference with results given in the main paper is that in the passive state during the silence the stronger contribution of target activity did not achieve significance as in Fig. 3d, bottom.

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# 1480 **Population-encoding dynamics change between conditions**

1481 In the analyses reported in the main text, we trained a classifier at each time point in the trial, and used it to evaluate stimulus discrimination at the same time point in 1482 1483 held-out trials. To assess how much the underlying encoding changes over the trial, we used two procedures. First, we directly compared the classifiers determined at 1484 1485 different time-bins by computing the correlation between them (Fig. S6a,c). Second, 1486 we used the classifier obtained at three different trial epochs (early and late stimulus, post-stimulus silence) to classify the neural activity along the whole trials (Fig. S6b,d). 1487 If the encoding of stimulus underlying stimulus discrimination changes over time in 1488 1489 the trial, a classifier trained on one time point will lead to a lower discrimination 1490 performance at other times.

In the passive condition, we found that changes in encoding over time are weak. The 1491 1492 encoding was highly homogeneous within stimulus presentation and during the post-1493 sound silence (Fig. S6a). Consistent with this view, classifiers trained during the early 1494 or the late phases of the stimulus presentation could be used efficiently at all other 1495 times during stimulus presentation without an appreciable drop in accuracy (Fig. S6b, 1496 brown and orange curves). In contrast, the same classifier led to chance-level discrimination at time points after stimulus presentation. Conversely a classifier 1497 1498 trained after stimulus presentation led to chance-level performance during stimulus 1499 presentation (Fig. S6b, yellow curve). In the passive condition, the neural encoding 1500 that underlies stimulus discrimination therefore appears to change very little during 1501 stimulus presentation, and shifts abruptly afterwards.

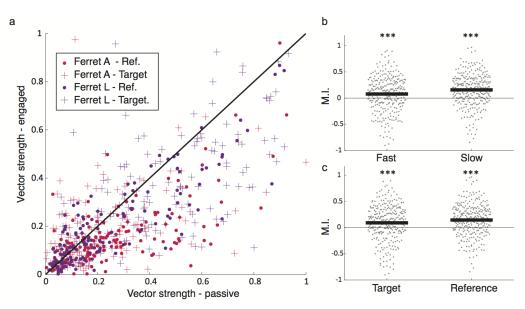
1502 A different picture emerged when animals were engaged in the task. The encoding 1503 appeared to change more progressively over the trial (Fig. S6c), and a classifier trained at one point systematically led to reduced discrimination performance at other 1504 1505 time points (Fig. S6d). Moreover, no sharp transition was apparent at the time the 1506 stimulus was switched off. In particular, a classifier trained during the stimulus 1507 presentation led to a significant discrimination performance after stimulus 1508 presentation (Fig. S6d, brown and orange curves), Conversely, a classified 1509 determined during the post-sound silence led to an above chance and progressively 1510 increasing discrimination performance during stimulus presentation (Fig. S6d, yellow 1511 curve).

Altogether, in the engaged condition, the population encoding underlying stimulus discrimination therefore appeared to progressively shift from a representation purely along a stimulus-driven axis, where categorical information was present but uncorrelated with behavior (Fig. 3c top panel), to a representation along a decisionrelated axis, which was directly correlated with the behavioral action (Fig. 3e bottom panel).

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# 1522 Supplementary Figures

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**FigS1.** Changes in stimulus entrainment between passive and engaged conditions

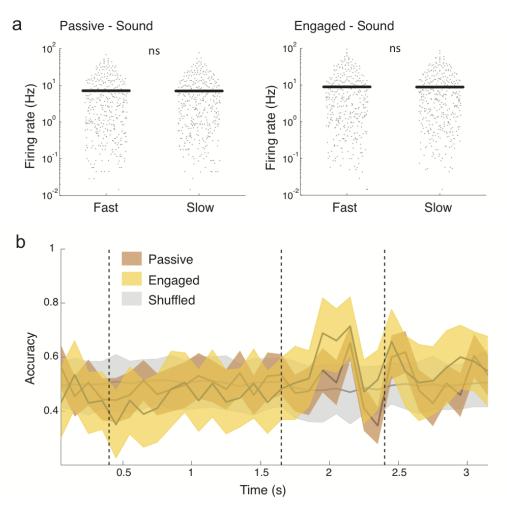
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#### Fig S1

a. For each unit the vector strength for the reference and target click train is plotted in the engaged state vs the passive state. Animals are given in different colours and stimuli as different markers. Note that most points are below the x=y line, showing higher phase locking in the passive state.

b. Modulation index of vector strength in task-engaged and passive states for fast and slow stimuli separately. (one-sample two-tailed Wilcoxon signed rank with mean 0, n=287; zval=-4.29, p=1.75e-5 & zval=-8.20, p=2.36e-16; \*\*\*: p<0.001).

c. Modulation index of vector strength in task-engaged and passive states for reference and target stimuli separately. (one-sample two-tailed Wilcoxon signed rank with mean 0, n=287; zval=-4.95, p=7.37e-7 & zval=-7.54, p=4.75e-14;\*\*\*: p<0.001).

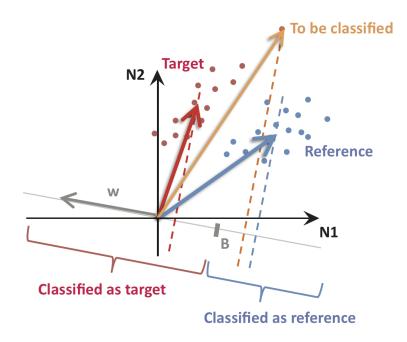


**FigS2.** Reference and target stimuli cannot be discriminated on the basis of population-averaged activity

## Fig S2

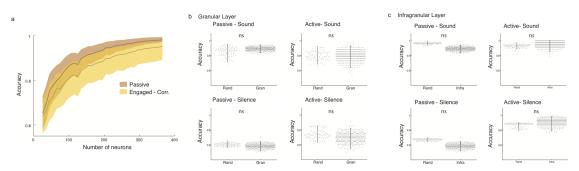
a. Comparison of average firing rates on log scale in passive (left) and engaged (right) between fast and slow stimuli during the sound. (one-sample two-tailed Wilcoxon signed rank with mean 0, n=360; zval=-0.53, p=0.59 & zval=-0.25, p=0.8).

b. Accuracy of decoding in engaged and passive state using equal weights for all units. In grey, chance level performance evaluated on label-shuffled trials. Error bars are 1 std over 400 cross-validations



FigS3. Illustration of binary classifier

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	<b>Fig S3</b> Illustration of binary classifier, see materials and methods.
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FigS4. Properties of the linear classifier

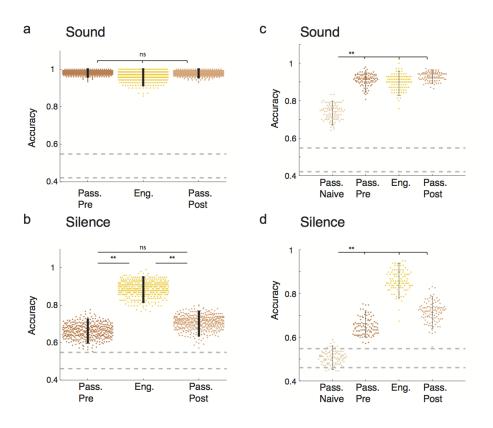
## 1554

#### Fig S4

a. Effect of randomly adding units on decoding accuracy during the sound period. Error bar: 95% confidence intervals over 100 random selections of units.

b. Units taken from the granular layer only are used for classification and accuracy is compared with the same number (89) of randomly chosen units. Error bars: 95% confidence intervals. (100 sub-sampling procedures, 400 cross validations for accuracy using granular layer units; Bonferonni corrected p-value (8 tests): 0.0063; p=0.622, p=0.933, p=0.624, p=0.618)

c. Same as b but for infragranular layer (273 units). Error bars: 95% confidence intervals. (100 sub-sampling procedures, 400 cross validations for accuracy using granular layer units; Bonferonni corrected p-value (8 tests): 0.0063; p=0.0067, p=0.51, p=0.015, p=0.48)



FigS5. Comparison of passive sessions before and after behavior

#### Fig S5

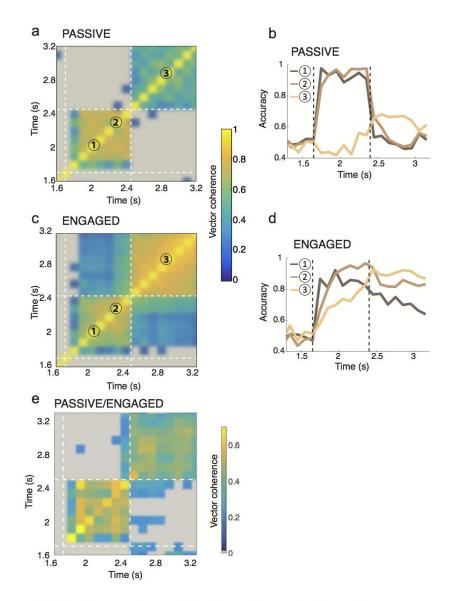
a. Comparison of accuracy during the sound period in the passive state before behavior, the task-engaged state and the passive state after behavior. Error bars represent 95% confidence intervals. (n=400 cross validations; pas.pre/eng: p=0.45, pas.pre/pas.post: p=0.74, eng/pas.post: p=0.58).

b. Comparison of accuracy during the silence period as in a. (n=400 cross validations; Bonferonni corrected p-value (3 tests): 0.0167; pas.pre/eng: p<0.0025, pas.pre/pas.post: p=0.43, eng/pas.post:, p<0.0025; \*\*: p<0.01)

c. Comparison of accuracy during the sound period in a naive animal with the passive state before behavior, the task-engaged state and the passive state after behavior in trained animals. For classification, the number of units in the trained animals was downsampled to the same number (222) as those recorded in the naive animal to allow for comparison. Error bars represent 95% confidence intervals. (n=100 cross validations after random downsampling; Bonferonni corrected p-value (3 tests) : 0.0167; nve/pas.pre, nve/pas.post,nve/eng: p<0.0025;\*\*: p<0.01)

d. Comparison of accuracy during the silence period as in c. (n=100 cross validations after random downsampling; Bonferonni corrected p-value (3 tests) : 0.0167; nve/pas.pre, nve/pas.post, nve/eng: p<0.0025;\*\*: p<0.01)

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# **FigS6.** Comparison of classifiers determined at different time-points and sessions

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#### Fig S6.

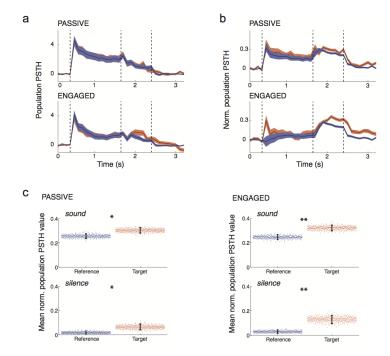
a. Classifier evolution in the passive state is shown in colour as the correlation between decoding vectors at one time (y-axis) versus another (x-axis). Squares with below chance correlation values are shown in grey. Here, in the passive state, coding is homogeneous throughout the sound but does not allow for significant decoding in the silent period.

b. Decoding accuracy in the passive state using a decoder trained on the early (1) or late (2) sound or silence (3) periods. Accuracy is high throughout the sound for both early and late sound training but rapidly falls off during the silence. The decoder trained during the silence is only above chance after the sound has ended.

c. Classifier evolution in the task-engaged state as in (a). During the silence, coding is homogeneous. d. As in (b) for the task-engaged state. The decoder trained during the early sound is specific to this period and performs poorly during the silence. Conversely, training late in the sound increases performance during the silence but decreases performance at the beginning of the sound. The accuracy of a decoder trained during the silence ramps up during sound presentation.

e. Correlation of passive and engaged decoding vectors throughout the trial. Vectors show stronger similarity during the sound than the silence between states. Note the different color scale, correlation between states is as expected lower than within states.

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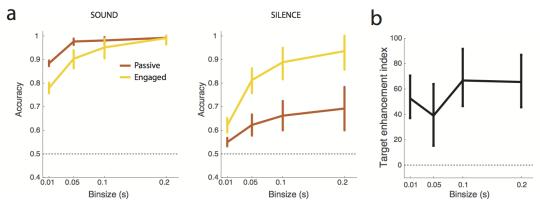
**FigS7**. Comparing A1 population-averaged responses to target and reference stimuli

#### Fig S7

a. Average population PSTH on reference and target trials in the passive and task-engaged states. The PSTH of each neuron is baseline subtracted and then all PSTHs are averaged. Error bars: 95% C.I. after bootstrapping 400 times over all neurons (n=370).

b. Average normalized population PSTH on reference and target trials in the passive and task-engaged states. The PSTH of each neuron is baseline subtracted, corrected for the sign of its peak response to reference or target and normalized to its maximal response across states and stimuli. All normalized PSTHs are then averaged. Error bars: 95% C.I. after bootstrapping 400 times over all neurons (n=370).

c. Distance of reference and target from baseline after normalization as in (b). Results are shown for both states during the sound or the silence period. Error bars represent 95% confidence intervals. (n=400 cross validations; pass: p=0.025 & p=0.025, eng: p<0.0025 & p<0.0025; \*: p<0.05; \*\*: p<0.01)

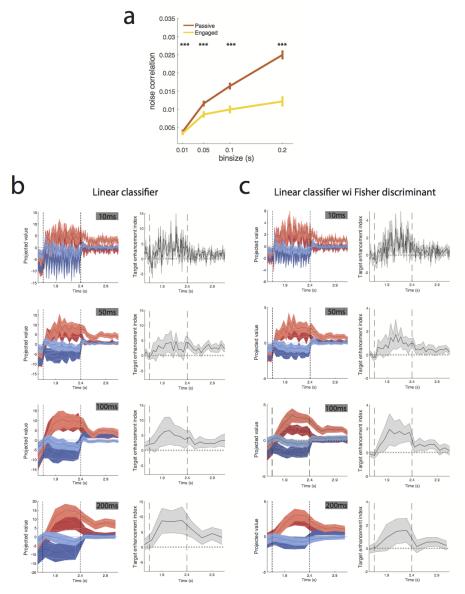


FigS8. Robustness of stimulus representation characteristics across a range of time scales

#### FigS8.

a. Accuracy of decoding during the sound (left) and silence (right) period in passive and engaged states calculated using a classifier determined with time bins of varying size. Error bars represent 95% confidence intervals. (n=400 cross validations)

b. Index of target enhancement by task engagement calculated during the sound period using a classifier determined with time bins of varying size. Note that for all time bins the value if significantly greater than 0, indicating a systematic enhancement of target driven encoding in the engaged state. Error bars represent 95% confidence intervals. (n=400 cross validations)



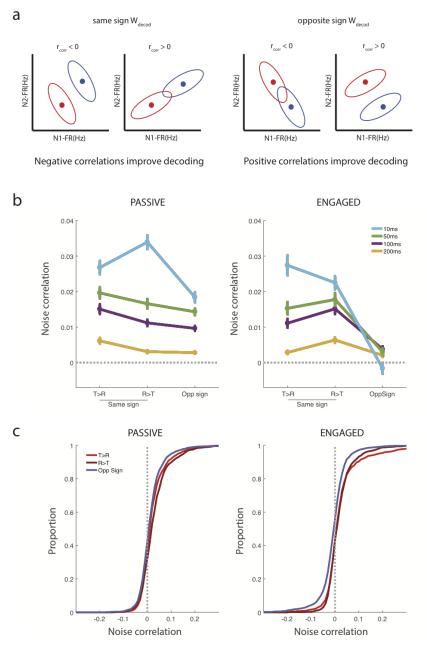
**FigS9.** Reduced noise correlations in the engaged state does not affect enhanced asymmetry at multiple time scales

# Fig S9.

a. Mean noise correlation in passive and engaged state using time bins of varying duration. . Error bars represent s.e.m over n=3361 pairs(two-sided Wilcoxon signed rank, n=3361 pairs; zval=4.05, p=4.9E-5; zval=7.91, p=2.4E-15; zval=10.33, p=4.9E-25; zval=12.33, p=6.0E-35; \*\*\*:p<0.001)

b. Projection onto the decoding axis determined during the sound period of trial-averaged reference (blue) and target (ref) activity during the passive (dark colors) and the active (light colors) sessions and index of target enhancement by task engagement (as in Fig5&8). Time bins of various size were used to define the decoding vector for projection. Note that for easy comparison with the Fisher discriminant analysis, decoding was done on each session individually and then the results for all sessions were averaged. c. As in b, for decoding vector defined using Fisher discriminant analysis.

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**FigS10.** Reduction in noise correlations during task engagement specifically impacts oppositely tuned units

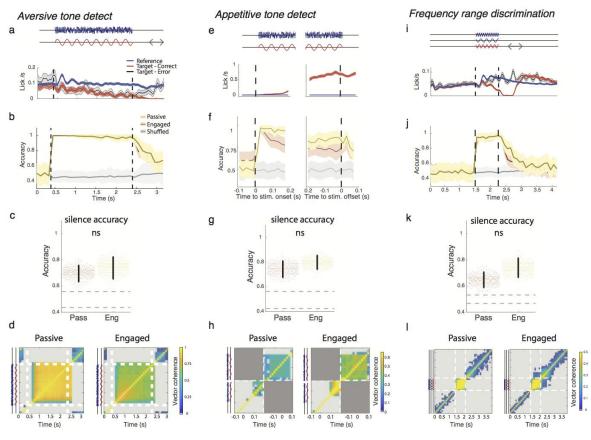
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# Fig S10.

a. Schematic illustrating the relationship of 'signal' (decoding weight) and 'noise' correlations between units. Dots represent the mean target and references responses for two fictive neurons, whereas ellipses show the variance. Negative but not positive noise correlations improve stimulus discrimination for units that have the same sign of decoding weight (ie both are target-preferring or both are reference preferring) whereas the opposite if true of units with opposite sign decoding weights.

b. Average noise correlations for units with the same or opposite sign of decoding weight in the passive (left) or engaged (right) state. In the engaged state noise correlations strongly shift towards reduced correlations for all bin sizes used in the analysis.

c. Cumulative distribution of noise correlations for units with the same or opposite sign of decoding weight in the passive (left) or engaged (right) state. Note that the distributions are similar in the passive state whereas in the active state there is a clear shift of the noise correlations for units of opposite decoding weight sign towards lower values. There is a clear enhancement of negative correlation values.



**FigS11.** Task structure and decoding of reference/target activity in a range of auditory go/no-go tasks

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#### Fig S11.

Three different tasks are considered: aversive tone detect (a-d), appetitive tone detect (e-h) and frequency range discrimination (i-I). Note that all analysis in this figure is done after excluding lick-responsive units for these tasks using the method described in Fig 4.

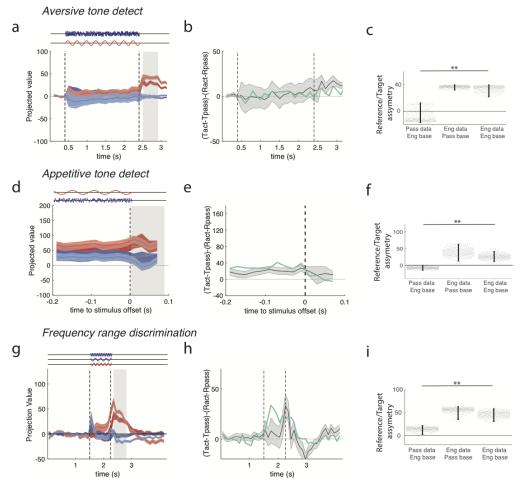
a, e, i. Top: Schematic of trial structure illustrating reference and target trials. Gray arrows show response window for the aversive tasks. Bottom: Licking frequency during correct target (red), reference (blue) and target error (gray) trials. Error bars are s.e.m over all trials.

b, f, j. Accuracy of stimulus classification in passive and engaged states. In grey, chance level performance evaluated on label-shuffled trials. Error bars represent 1 std calculated over 400 cross-validations.

c,g,k. Mean classifier accuracy during the post-sound silence period in passive and engaged conditions. Gray dotted lines give 95% confidence interval of shuffled trials. Error bars represent 95% confidence intervals. Note that accuracy is systematically above chance level in both conditions but does not change between the passive to the engaged state. (n=400 cross validations; p=0.21,0.18,0.055)

d,h,l. Classifier evolution in the passive (left) and engaged (right) state is shown in color as the correlation between decoding vectors at one time (y-axis) versus another (x-axis). Squares with below chance correlation values are shown in grey. For the appetitive tone detect task the overlap between sound onset and sound offset periods is not calculated as the difference in trial durations causes different overlaps in time on a trial to trial basis between the two. Note that the sound and silence periods in all tasks rely on different decoding vectors and in the case of the frequency range discrimination task, there is a progressive shift in the engaged state between decoders.

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**FigS12.** Asymmetric encoding of target and reference stimuli in a range of auditory go/no-go tasks during the post-sound silence

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#### Figure S12

a,d,g Projection of onto the decoding axis determined during the post-sound silence period of trial-averaged reference (blue) and target (ref) activity during the passive (dark colors) and the active (light colors) sessions. A baseline value computed from pre-stimulus spontaneous activity was subtracted for each neuron, so that the origin corresponds to the projection of spontaneous activity (shown by black line). Note that there is a tendency for the target-driven activity to be further from the baseline in the active state and/or the reference-driven activity to be closer. The periods used to construct the decoding axis are shaded in gray. Error bars represent 1 std calculated using decoding vectors from cross-validation (n=400).

*b,e,h* Index of target enhancement by task engagement based on projections using the decoding axis determined during post-sound silence. In green same index instead giving the same weight to all units. The difference between the green and black curved indicates that the change in asymmetry induced by task engagement cannot be detected using the population averaged firing rate alone. Error bars represent 1 std calculated using decoding vectors from cross-validation (n=400).

c,f,i Comparison of reference/target asymmetry for evoked responses in different states during the postsound silence compared to different baselines given by passive or engaged spontaneous activity. Reference/target asymmetry is the difference of the distance of target and reference projected data to a given baseline. We examine three cases: (i) passive evoked responses, distances calculated relative to engaged spontaneous activity; (ii) engaged evoked responses, distances calculated relative to passive spontaneous activity; (iii) engaged evoked responses, distances calculated relative to passive activity. In all three cases, the engaged decoding axis was used for projections. Error bars represent 95% confidence intervals.(n=400 cross validations; Aversive Tone detect: p(col1,col3)<0.0025 & p(col2,col3)=0.92;Appetitive tone detect; p(col1,col3<0.025 & p(col2,col3)=0.94; Frequency range discrimination: p(col1,col3)<0.0025 & p(col2,col3)=0.9; \*\*: p<0.01).