Supporting information

Trimethylamine Probes Isolated Silicon Dangling Bonds and Surface Hydroxyls of (H,OH)-Si(001)

Lucía Pérez Ramírez^{1†}, Niklas Fornefeld², Fabrice Bournel^{1,3}, Stefan Kubsky³, Elena Magnano^{4,5} Federica Bondino⁴, Ulrich Köhler², Stéphane Carniato¹, Jean-Jacques Gallet^{1,3*} and François Rochet^{1*}

¹ Sorbonne Université, CNRS, Laboratoire de Chimie Physique matière et Rayonnement, UMR 7614, 4 place Jussieu, 75005 Paris, France

² Fakultät für Physik und Astronomie, Institut für Experimentalphysik IV AG Oberflächenphysik, Ruhr-Universität Bochum, D-44780 Bochum, Germany ³ Synchrotron SOLEIL, L'Orme des Merisiers, Saint-Aubin - BP 4891192 Gif-sur-Yvette CEDEX, France

⁴ IOM-CNR, Laboratorio TASC, 34149 Basovizza, Trieste, Italy

⁵ Department of Physics, University of Johannesburg, PO Box 524, Auckland Park 2006, South Africa

*Jean-Jacques Gallet (email : jean-jacques.gallet@sorbonne-universite.fr)

*François Rochet (email : <u>francois.rochet@sorbonne-universite.fr</u>)

†now at Sorbonne Université, CNRS (UMR 8234), Physico-chimie des Electrolytes et Nanosystèmes Interfaciaux, 4 place Jussieu, 75252 Paris Cedex 05, France







Figure S1. DFT calculated vibrational spectra of (a) the H/OH decorated single Si dimer (b) the TMA(A)..HO model configuration, (c) the molecule datively bonded on an "isolated" dangling bond of a three-dimer cluster (2 non-adjacent dangling bonds), (c) the dissociated molecule on a bare dimer. Me is the methyl group. The peaks are plotted with an "artificial" Lorentzian broadening of 5 meV to help their visualization. Calculated energies are not rescaled.





Figure S2. DFT calculated vibrational spectra of (a) of the (D,OD) decorated silicon dimer and (b) of the TMA(A)...DO model and (c) of the TMA(A,A)...2(DO) configuration. Note the redshifts of the SiO-D stretching mode energy (-69 meV) due to the hydrogen-bond with TMA. (Me is the methyl group). The peaks are plotted with an "artificial" Lorentzian broadening of 5 meV to help their visualization. Calculated energies are not rescaled.



Figure S3. Calculation of the scaling factor (0.9540±0.0031)

Assignment	Energy loss	(a)	(b)	(c)	(d)	(e)
TMA Torsion	35	35	37	36*		
$\delta_s(NC_3)$	44	45	48	46/48*	47*	
$\delta_d(NC_3)$	54	52	54			
v(SiN)	66			65		
$v_s(NC_3)$	99	103	103	100/102*	100	100/103*
	111					
ρ(CH ₃)	121			121	121	
$\rho(CH_3)/\nu_d(NC_3)$	129	130/129*	129/129	129*	127*	
ρ(CH ₃)	136	137				
ρ(CH ₃)	144	149	148	148*	147*	
$\rho(CH_3)\!/\nu_d(NC_3)$	152			154/156*		
$v_d(NC_3)$	159	158		154/156*		123/157*
	168					
$\delta_s(CH_3)$	173	175		136	135	
$\delta_s(CH_3)$	179	179		180		
$\delta_d(CH_3)$	183	183		180	180	
overtones/	194-335				218-332	
comb. bands						
$\nu^{b}_{s,d}(CH_3)$	345-350	344	343			345/350*
$v_s(CH_3)$	355-363	366	365	365	363	353-361/
						356-361*
$v_d(CH_3)$	369-377	370		365	363	370

Table S1: HREELS peak assignments of adsorbed TMA species compared to literature: (a) IR TMA gas,¹ (b) IR TMA solid,² (c)HREELS TMA @ Si(100),³ (d) HREELS TMA @ $GaAs^4$ and (e)HREELS TMA @ Ge,⁵ all loss energies are given in meV. *Multilayer.

S2. Si 2p and O 1s core-level of the (H,OH)-Si(001) surface

Si 2p core-level



Figure S4: The Si 2p spectrum after water-dosing ($Q_{water} \ge 2.25$ ML) at 300 K, measured at hv=175 eV. The photon exit direction is at 60° from the surface normal. The binding energies ($2p_{3/2}$) FWHM and spectral weights of the fitting components are given in Table S2.

Component	Si 2p _{3/2} Binding Energy (eV)	Lorentzian FWHM (eV)	Gaussian FWHM (eV)	Weight (%)	Shift* (eV)
Si ⁰	99.40	0.045	0.350	45.62	0
SiH 2 nd plane	99.70	0.045	0.350	17.78	0.30
Si ¹⁺ SiOH	100.30	0.045	0.483	22.45	0.90
Si ²⁺	101.10	0.045	0.490	5.29	1.70
Subsurface S1	99.13	0.045	0.350	7.69	-0.27
Subsurface S2	100.00	0.045	0.350	1.17	0.60

Table S2. Fitting parameters of the Si 2p curve in Figure S4.*Related to Si⁰.

The Si 2p core-level of the pristine (H,OH)-Si(001) surface prepared at 300 K is shown in Figure S4. The spectrum, measured in highly surface sensitive conditions (hv=175 eV and photoelectron exit angle at 60° from surface normal) exhibits the characteristic Si-OH (Si¹⁺) component of the water-reacted surface at +0.9 eV from the bulk component Si⁰. Its spectral weight (22%) corresponds to 0.5 ML of surface silicon atoms. A small Si²⁺ component is also observed (5% of the spectral weight), which indicates that some O atoms are inserted in the dimer back-bonds. We also see that the surface states of the clean dimerized surface at -0.5 eV from the bulk peak (the outer dimer component^{6,7}) are all quenched, as expected when the surface is saturated by water.



Figure S5. Si 2p core levels measured at hv=350 eV of the water-covered surface (300 K and 130 K) of the TMA exposed surface ($Q_{TMA}=0.12 \text{ ML}$) after the real-time XPS run, and of the TMA-exposed surface ($Q_{TMA}=1 \text{ ML}$), measured on a fresh spot. Components shown in the fit of the green curve: bulk Si⁰ (42%), SiH/2nd plane (14%), subsurface S1 (15%), subsurface S2 (9%), SiOH/Si¹⁺ (15%), Si²⁺ (5%). FWHM and core-level shifts with respect to Si⁰ are the same as those indicated in Table S2.

Effects due to cooling down the sample to 130 K, exposure to TMA and prolonged exposure to the X-ray-beam during the real-time time XPS run were examined at hv=350 eV (exit angle of 60°). The Si 2p spectra are shown in Figure S5. For spectra measured on "fresh" spots (*i.e. the irradiation time is limited to the short time necessary to acquire the spectrum 25 s*), we see no appreciable change in the Si 2p spectra of the pristine (H,OH)-Si(001) surface at 300 K (green solid curve) and at 130 K (red dash-dotted curve). This means that there is no further oxidation due to water condensed on the surface. Equally, the adsorption of TMA ($Q_{TMA}=1$ ML) does not affect the spectrum (red line) when the measurement is made on a "fresh" spot. In contrast, the curve (black line) acquired immediately at the end of the real-time XPS measurement at 130 K ($Q_{TMA}=0.12$ ML, irradiation time > 1600 s) shows a small but clear increase of the Si¹⁺ and Si²⁺ states. This is due to the insertion of the O atom of the hydroxyl within the dimer-bond⁸ and within the back-bonds (the hydroxyl \rightarrow siloxane transformation multiplies the number of Si¹⁺ states by a factor of 2). These changes are due to the breaking of the O-H bond in the hydroxyl due to beam damage.

O 1s spectra

The O 1s spectra are shown in Figure S6. The O 1s spectrum of the pristine (H,OH)-Si(001) surface at 300 K is fitted with a single narrow (1.17 eV) component at the characteristic BE of OHs (at 532.7 eV) showing a minor formation of siloxane bridges (at ~531.7 eV⁹) corresponding to 9% of the spectral weight. Exposure to TMA (0.12 ML) after cooling down to 130 K results in a broadening of the O 1s peak, as the FWHM increases from 1.2 eV to 1.7 eV. This sizeable broadening cannot be related to surface oxidation, as the corresponding Si 2p spectrum does not show any hint of it (compare the green and red curves in Figure S5). It is rather related to the formation of H bonds between OHs and TMA.¹⁰ Upon

TMA adsorption, the binding energy of the centroid shifts down by -0.3 eV. The same shift and broadening were also observed when (H,OH)-Si(001) was exposed to ammonia.¹⁰ The asymmetry of the O 1s peak towards higher binding energy is accounted for by introducing a component at 533.8 eV, similar to what was observed for the ammonia/(H,OH)-Si(001) system.¹⁰ The attribution of this peak is unclear. Note that co-adsorbed molecular water (coming from the residual) is expected at 534.3 eV.¹⁰



Figure S6. Bottom curve: O 1s spectrum of the (H,OH)-Si(001) measured at room temperature at hv=595 eV. Top curve: after TMA exposure ($Q_{TMA}=0.12$ ML) at 130 K. All spectra are aligned with respect to that of Si $2p_{3/2}$ positioned at 99.41 eV (because of the surface photovoltage at cryogenic temperature).



S3. Fitting of the C 1s spectra with 4 Gaussians

Figure S7. Fitting of the C 1s spectra reported in Figures 4(a,b) in the main paper with a set of four Gaussians. The FWHMs are kept constant, the binding energies are free parameters.

The fitting of C 1s spectra with a restricted set of 4 Gaussians, accounting for the visible shoulder at 287.3 eV, and the three maxima at 287.0-286.3, 285.3-285, 283.5-283.2 eV, leads to broad Gaussians (FWHM of 1.2-1.3 eV) with energy positions that change by 0.2-0.4 eV from curve (a) to curve(b). This is due to the absence of the "diss-SiNC" component at ~386.0 eV, and of the "diss-SiC" component at ~284.2 eV.

References

- (1) Murphy, W. F.; Zerbetto, F.; Duncan, J. L.; McKean, D. C. Vibrational Spectrum and Harmonic Force Field of Trimethylamine. *J. Phys. Chem.* 1993, *97* (3), 581–595. https://doi.org/10.1021/j100105a010.
- Goldfarb, T. D.; Khare, B. N. Infrared Spectra of Solid and Matrix-Isolated (CH3)3 N, (CD 3) 3 N, and (SiH 3) 3 N. J. Chem. Phys. 1967, 46 (9), 3379–3384. https://doi.org/10.1063/1.1841226.
- Hossain, M. Z.; Machida, S.; Nagao, M.; Yamashita, Y.; Mukai, K.; Yoshinobu, J. Highly Selective Surface Lewis Acid–Base Reaction: Trimethylamine on Si(100)c(4×2). J. Phys. Chem. B 2004, 108 (15), 4737–4742. https://doi.org/10.1021/jp037982p.
- (4) Mui, C.; Han, J. H.; Wang, G. T.; Musgrave, C. B.; Bent, S. F. Proton Transfer Reactions on Semiconductor Surfaces. J. Am. Chem. Soc. 2002, 124 (15), 4027–4038. https://doi.org/10.1021/ja0171512.
- (5) Mulcahy, C. P. A.; Aquino, A. A.; Rogers, J. J.; Jones, T. S. Resonant Vibrational Excitation in High-Resolution Electron Energy-Loss Spectroscopy Studies of Trimethylamine Chemisorbed on GaAs(100). J. Chem. Phys. 1996, 104 (22), 9120– 9126. https://doi.org/10.1063/1.471444.
- (6) Himpsel, F.; McFeely, F.; Taleb-Ibrahimi, A.; Yarmoff, J.; Hollinger, G. Microscopic Structure of the SiO_{2}/Si Interface. *Phys. Rev. B* 1988, *38* (9), 6084–6096. https://doi.org/10.1103/PhysRevB.38.6084.
- (7) Jolly, F.; Rochet, F.; Dufour, G.; Grupp, C.; Taleb-Ibrahimi, A. Oxidized Silicon Surfaces Studied by High Resolution Si 2p Core-Level Photoelectron Spectroscopy Using Synchrotron Radiation. J. Non. Cryst. Solids 2001, 280 (1–3), 150–155. https://doi.org/10.1016/S0022-3093(00)00370-7.
- (8) Warschkow, O.; Schofield, S. R.; Marks, N. A.; Radny, M. W.; Smith, P. V.; McKenzie, D. R. Water on Silicon (001): <math Display="inline"> <mi>C</Mi> </Math> Defects and Initial Steps of Surface Oxidation. *Phys. Rev. B* 2008, 77 (20), 201305. https://doi.org/10.1103/PhysRevB.77.201305.
- (9) Carniato, S.; Gallet, J.-J.; Rochet, F.; Dufour, G.; Bournel, F.; Rangan, S.; Verdini, A.; Floreano, L. Characterization of Hydroxyl Groups on Water-Reacted Si(001)-2×1 Using Synchrotron Radiation O 1s Core-Level Spectroscopies and Core-Excited State Density-Functional Calculations. *Phys. Rev. B* 2007, 76 (8), 085321. https://doi.org/10.1103/PhysRevB.76.085321.
- Pérez Ramírez, L.; Gallet, J. J.; Bournel, F.; Lim, F.; Carniato, S.; Rochet, F.; Yazyev, O. V.; Pasquarello, A.; Magnano, E.; Bondino, F. Hydrogen Bonding of Ammonia with (H,OH)-Si(001) Revealed by Experimental and Ab Initio Photoelectron Spectroscopy. *J. Phys. Chem. A* 2020, *124* (26), 5378–5388. https://doi.org/10.1021/acs.jpca.0c03458.