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### **NON-CUTOFF BOLTZMANN EQUATION WITH SOFT POTENTIALS IN THE WHOLE SPACE**

#### KLEBER CARRAPATOSO AND PIERRE GERVAIS

Abstract. We prove the existence and uniqueness of global solutions to the Boltzmann equation with non-cutoff soft potentials in the whole space when the initial data is a small perturbation of a Maxwellian with polynomial decay in velocity. Our method is based in the decomposition of the desired solution into two parts: one with polynomial decay in velocity satisfying the Boltzmann equation with only a dissipative part of the linearized operator ; the other with Gaussian decay in velocity verifying the Boltzmann equation with a coupling term.

#### **CONTENTS**



#### 1. INTRODUCTION

Consider the Boltzmann equation for the unknown  $F = F(t, x, v)$ , with  $t \ge 0, x \in \mathbb{R}^3$ , and  $v \in \mathbb{R}^3$ :

$$
(1.1)\qquad \qquad \partial_t F + v \cdot \nabla_x F = Q(F, F)
$$

complemented with an initial data  $F_0 = F_0(x, v)$ . The collision operator *Q* is bilinear and acts only on the velocity variable  $v \in \mathbb{R}^3$ , which represents the fact that collisions are supposed to be localized in space, and it reads

(1.2) 
$$
Q(f,g)(v) = \int_{\mathbf{R}^3} \int_{\mathbf{S}^2} B(v-v_*,\sigma) \left[ f(v_*')g(v') - f(v_*)g(v) \right] d\sigma dv_*.
$$

The pre- and post-collision velocities  $(v', v'_*)$  and  $(v, v_*)$  are given by

(1.3) 
$$
v' = \frac{v + v_*}{2} + \frac{|v - v_*|}{2}\sigma \text{ and } v'_* = \frac{v + v_*}{2} - \frac{|v - v_*|}{2}\sigma
$$

which is one possible parametrization of the conservation of momentum and energy in an elastic collision

 $v' + v'_* = v + v_*$  and  $|v'|^2 + |v'_*|^2 = |v|^2 + |v_*|^2$ .

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The collision kernel  $B(v - v_*, \sigma)$  encodes the physics of the interaction between particles. It is assumed to be nonnegative and to depend only on the relative velocity  $|v - v_*|$  and the angle  $\cos \theta = \sigma \cdot \frac{(v-v_*)}{|v-v_*|}$  $\frac{(v-v_*)}{|v-v_*|}$  as

$$
B(v - v_*, \sigma) = |v - v_*|^\gamma b(\cos \theta),
$$

where  $-3 < \gamma \leq 1$  and the angular part *b* is a smooth function (except maybe at  $\theta = 0$ ). As it is standard now, we may suppose, without loss of generality, that  $\theta \in [0, \pi/2]$  by replacing *B* by its symmetrized version if necessary.

In this paper we shall consider the case of *non-cutoff soft potentials*, more precisely we assume that *b* is an implicit function that is locally smooth and has a non-integrable singularity at  $\theta = 0$  as

(1.4) 
$$
\sin \theta \, b(\cos \theta) \underset{\theta \sim 0}{\approx} C_b \, \theta^{-1-2s} \quad \text{with} \quad s \in (0,1),
$$

for some constant  $C_b > 0$ , and

(1.5) 
$$
-1 < \gamma + 2s < 0 \quad \text{and} \quad -3/2 - s < \gamma < 0.
$$

Let  $\mu(v) = (2\pi)^{-3/2} e^{-|v|^2/2}$  be the standard Maxwellian and define the perturbation

$$
F = \mu + f
$$

which satisfies

(1.6) 
$$
\begin{cases} \partial_t f + v \cdot \nabla_x f = \mathscr{L} f + Q(f, f) \\ f_{|t=0} = f_0 = F_0 - \mu \end{cases}
$$

where  $\mathscr L$  is the linearized collision operator given by

$$
\mathscr{L}f = Q(\mu, f) + Q(f, \mu).
$$

We also denote by  $\Lambda$  the full linearized operator

$$
\Lambda := \mathscr{L} - v \cdot \nabla_x.
$$

It is well known (see for instance [40]) that  $\mathscr L$  is a nonnegative self-adjoint operator on the space  $L_v^2(\mu^{-1} \, \mathrm{d}v)$  with kernel given by

$$
\ker(\mathscr{L}) = \text{span}\{\mu, \nu\mu, |\nu|^2\mu\}.
$$

We define  $\pi$  to be the orthogonal projection onto ker( $\mathscr{L}$ ) so that we can decompose

$$
f = \pi f + f^{\perp}, \quad f^{\perp} := f - \pi f
$$

with

(1.7) 
$$
\pi f = \left\{ \rho[f] + u[f] \cdot v + \theta[f] \frac{(|v|^2 - 3)}{2} \right\} \mu
$$

where

$$
\rho[f] = \int_{\mathbf{R}^3} f \, \mathrm{d}v, \quad u[f] = \int_{\mathbf{R}^3} v f \, \mathrm{d}v, \quad \theta[f] = \int_{\mathbf{R}^3} \frac{(|v|^2 - 3)}{3} f \, \mathrm{d}v.
$$

1.1. **Main result.** Before stating our main result we shall introduce the functional spaces we work with. If *X* is a function space and *w* a non-negative function, we define the weighted space  $X(w)$  as the space associated to the norm

$$
||f||_{X(w)} := ||wf||_X.
$$

In particular, for a weight function  $m = m(v)$ , we consider the weighted Lebesgue space  $L_x^2 L_v^2(m)$  as the space associated to the inner product

$$
\langle f,g \rangle_{L^2_x L^2_v(m)}:=\langle mf,mg \rangle_{L^2_{x,v}}
$$

and the corresponding norm

$$
||f||_{L_x^2L_v^2(m)} := ||mf||_{L_{x,v}^2},
$$

where  $\langle \cdot, \cdot \rangle_{L^2_{x,v}}$  and  $\| \cdot \|_{L^2_{x,v}}$  denote the usual inner product and norm of  $L^2(\mathbf{R}_x^3 \times \mathbf{R}_v^3)$ .

We consider polynomial weight functions  $m(v) = \langle v \rangle^k := (1 + |v|^2)^{k/2}$  with  $k > 0$ , and we introduce the anisotropic dissipation space in velocity  $H^{s,*}_v(m)$ , inspired from the one presented in [28], as the space associated to the norm

$$
(1.8) \t\t ||f||_{H_v^{s,*}(m)}^2 := ||\langle v \rangle^{\gamma/2} f||_{L_v^2(m)}^2 + ||f||_{\dot{H}_v^{s,*}(m)}^2,
$$

(1.9) 
$$
||f||_{\dot{H}_v^{s,*}(m)}^2 := \int_{\mathbf{R}^3 \times \mathbf{R}^3 \times \mathbf{S}^2} b(\cos \theta) \mu(v_*) \langle v_* \rangle^{\gamma} (\mathcal{F} - \mathcal{F}')^2 d\sigma dv_* dv,
$$

where we use the shorthand  $\mathcal{F} = \mathcal{F}(v) := m(v)\langle v \rangle^{\gamma/2} f(v)$  and  $\mathcal{F}' = \mathcal{F}(v')$  recalling that *v*' is defined in  $(1.3)$ , and which satisfies the following bound (see Lemma 2.7):

$$
\|\langle v\rangle^{\gamma/2} f\|_{H^s_v(m)} \lesssim \|f\|_{H^{s,*}_v(m)} \lesssim \|\langle v\rangle^{\gamma/2+s} f\|_{H^s_v(m)}.
$$

For functions  $f = f(x, v)$  depending on the position x and velocity v variables, we also define the polynomially weighted spaces  $\mathbf{X}(m)$ ,  $\mathbf{X}^*(m)$  and  $\mathbf{Y}(m)$  as the spaces associated to the norms

(1.10) 
$$
||f||^2_{\mathbf{X}(m)} := ||f||^2_{L^2_{x,v}(m)} + ||\langle v \rangle^{-6s} \nabla_x^3 f||^2_{L^2_{x,v}(m)},
$$

$$
(1.11) \t\t\t\t||f||^2_{\mathbf{X}^*(m)} := ||f||^2_{L^2_x H^{s,*}_v(m)} + ||\langle v \rangle^{-6s} \nabla^3_x f||^2_{L^2_x H^{s,*}_v(m)},
$$

and

(1.12) 
$$
||f||^2_{\mathbf{Y}(m)} := ||f^{\perp}||^2_{\mathbf{X}^*(m)} + ||\nabla_x \pi f||^2_{H^2_x L^2_v}.
$$

respectively.

We can now state our main result:

**Theorem 1.1.** *Assume* (1.4)–(1.5) *hold. Consider*  $k > 13/2 + 7|\gamma|/2 + 8s$  *and define the weight function*  $m = \langle v \rangle^k$ . There exists  $\varepsilon_0 > 0$  small enough such that any initial *data*  $f_0 \in \mathbf{X}(m)$  *satisfying*  $||f_0||_{\mathbf{X}(m)} \leq \varepsilon_0$  *gives rise to a unique global weak solution*  $f \in L^{\infty}(\mathbf{R}_+; \mathbf{X}(m)) \cap L^2(\mathbf{R}_+; \mathbf{Y}(m))$  *to* (1.6)*, which satisfies the energy estimate* 

$$
\sup_{t\geq 0} ||f(t)||^2_{\mathbf{X}(m)} + \int_0^\infty ||f(t)||^2_{\mathbf{Y}(m)} dt \lesssim ||f_0||^2_{\mathbf{X}(m)}.
$$

We now briefly review the known results for the Boltzmann equation near Maxwellian in the torus **T**<sup>3</sup> and the whole space **R**<sup>3</sup> .

We star by considering the case of cutoff potentials, which corresponds to angular kernels *b* for which the singularity in (1.4) is removed by assuming *b* integrable. By working near equilibrium, Grad [22] constructed in 1965 the first spatially inhomogeneous solutions for short times. Ukai [37, 38] gave in the 1970's a new impulse to the Cauchy problem and established, in the case of hard potentials  $\gamma \in [0,1]$ , the existence of global solutions in  $L_v^{\infty} H_x^s(\langle v \rangle^k \mu^{-1} dv)$ , first in the periodic box **T**<sup>3</sup> in 1974 [37], then in the whole space **R**<sup>3</sup> in 1976 [38], by relying on spectral studies of the linearized equation [37, 20, 40] (let us mention also [32]). The case of soft potentials was then treated in 1980 by Caflisch [11], then in 1982 by Asano and Ukai [40] only for  $\gamma \in (-1,0)$ , but this approach was recently extended to the full range  $\gamma \in (-3,0)$  by Sun and Wu [42] in 2021 and then Deng [16] in 2022. These results were then proven using energy methods in spaces of the form  $L_v^2 H_x^s(\mu^{-1} \, \mathrm{d} v \, \mathrm{d} x)$  by Kawashima [29], Liu, Yang and Yu [30], Guo [26] and Guo and Strain [35, 36], as well as Duan [17].

Concerning the non-cutoff case, the first existence result near equilibrium attributed to Ukai  $[39]$ ; he constructed local solutions for analytic initial data in  $(x, v)$  having Gaussian decay using the Cauchy-Kowalewski theorem. Between 2011 and 2012, Gressman and Strain [24, 23] (in the torus), and Alexandre, Morimoto, Ukai, Xu, Yang [6, 4, 7] (in the whole space) constructed the first global solutions in spaces of the form  $H_{x,v}^s(\langle v \rangle^k \mu^{-1} dx dv)$ by working with anisotropic norms. In the whole space framework, Strain [34] obtained the optimal time-decay for solutions in the whole space. Later Sohinger and Strain [33] extended these results to some Besov spaces in 2014, and Fang and Wang [41] relaxed some technical regularity and integrability assumptions in 2022. Recently, in the case of the torus, Duan, Liu, Sakamoto and Strain [18] obtained the existence of small-amplitude

solutions, that is, in the space  $L_k^1 L_t^{\infty} L_v^2(\mu^{-1} dx dv)$  where *k* denotes the Fourier variable in space. Let us also mention two very recent works in the case of the whole space: Deng [15], in the case of hard potentials, who worked with an anisotropic norm defined from the pseudo-differential study of Alexandre, Hérau and Li [2]; and also Duan, Sakamoto and Ueda [19] who constructed with small-amplitude solutions (as in [18]) in the case of hard and moderately soft potentials.

All the above results concern solutions with Gaussian decay in velocity, that is, they hold in functional spaces with a weight in velocity of the form  $\mu^{-1}$  dv. In 2017, Gualdani, Mischler and Mouhot [25], in the line of [31], constructed solutions with polynomial decay in velocity. More precisely they relaxed the integrability conditions of previous results and constructed solutions in  $W_v^{\ell,p} W_x^{s,q} (\langle v \rangle^k \, \mathrm{d} v \, \mathrm{d} x)$ , in the case of hard spheres in the torus  $\mathbf{T}^3$ . In the same framework, the case of non-cutoff hard potentials was treated in [28, 9], and that of non-cutoff soft potentials in [13]. Very recently, still in the torus and also in spaces with polynomial weights, the case of cutoff soft potentials was studied by Cao [12].

Our result in Theorem 1.1 gives then, up to our knowledge, the first result of existence of global solutions with polynomial decay in velocity to the non-cutoff Boltzmann equation in the whole space. Inspired by the strategy of  $[10]$ , we shall construct a solution  $f$  to  $(1.6)$ by considering a decomposition of the form  $f = h + g$ , where  $h(t) \in \mathbf{X}(m)$  has polynomial decay in velocity and satisfies a "nice" semilinear equation in which only a dissipative part of the linearized operator  $\Lambda$  is present, and  $q(t)$  has Gaussian decay in velocity and evolves according to the Boltzmann equation plus some coupling term coming from *h*, with convenient decay properties in time and velocity. This system will then be solved using an iterative scheme and an energy method. In Section 2 we prove the necessary estimates on *Q*, and in Section 3 we prove the necessary coercive-type estimate on the linear part of the equation for *h* and recall those related to the equation for *g*. We then proceed to prove the existence of a global unique solution to (1.6) in Section 4.

1.2. **Notations.** The relation denoted  $A \leq B$  is to be understood as  $A \leq CB$  for some uniform constant  $C > 0$ , and  $A \approx B$  as both  $A \lesssim B$  and  $B \lesssim A$ .

When considering a function  $f(v)$  depending on the velocity variable, we shall use the standard shorthand notations

(1.13) 
$$
f = f(v), \quad f' = f(v'), \quad f_* = f(v_*), \quad f'_* = f(v'_*),
$$

where we recall that the pre- and post-collision velocities  $(v', v'_*)$  and  $(v, v_*)$  are defined in (1.3).

#### 2. Estimates on the collision operator

This section is devoted to estimates on the collision operator *Q*. We shall prove homogeneous estimates in Section 2.2, and deduce from them inhomogeneous estimates in Section 2.3

2.1. **Auxiliary results.** We state a few results that will be useful in the sequel. This first lemma will be used to estimates integrals against the kinetic part  $|v - v_*|^\gamma$  of the collision kernel  $B(v - v_*, \sigma)$ .

**Lemma 2.1.** *Let*  $\alpha \in (0,3)$  *and*  $s \in (0,1]$ *. For any smooth enough function*  $f = f(v)$  *one has:*

(1) If 
$$
0 < \alpha < \frac{3}{2}
$$
 then for any  $\ell > \frac{3}{2}$  there holds, for any  $v \in \mathbb{R}^3$ ,

(2.1) 
$$
\int_{\mathbf{R}^3} |v - v_*|^{-\alpha} |f(v_*)| \, \mathrm{d}v_* \lesssim \langle v \rangle^{-\alpha} \| \langle v \rangle^{\ell} f \|_{L^2_v}.
$$

(2) If  $0 < \alpha < \frac{3}{2} + s$  then for any  $\ell > \frac{3}{2} + s$  there holds, for any  $v \in \mathbb{R}^3$ ,

(2.2) 
$$
\int_{\mathbf{R}^3} |v - v_*|^{-\alpha} |f(v_*)| \, \mathrm{d}v_* \lesssim \langle v \rangle^{-\alpha} \| \langle v \rangle^{\ell} f \|_{H^s_v}.
$$

*Proof.* From [14, Lemma 3.3] for instance one has, for any  $0 < \beta < 3$  and  $\vartheta > 3$ ,

(2.3) 
$$
\int_{\mathbf{R}^3} |v - v_*|^{-\beta} \langle v_* \rangle^{-\vartheta} dv_* \lesssim \langle v \rangle^{-\beta}, \quad \forall v \in \mathbf{R}^3.
$$

We now write for  $p \in [1,\infty]$ , thanks to Hölder's inequality,

$$
\int_{\mathbf{R}^3} |v - v_*|^{-\alpha} |f(v_*)| \, \mathrm{d} v_* \leqslant \left( \int_{\mathbf{R}^3} |v - v_*|^{-\alpha \frac{p}{p-1}} \langle v_* \rangle^{-\ell \frac{p}{p-1}} \, \mathrm{d} v_* \right)^{\frac{p-1}{p}} \| \langle v \rangle^{\ell} f \|_{L^p_v}.
$$

We then conclude by using (2.3) with:  $p = 2$  if  $0 < \alpha < \frac{3}{2}$ ; and  $p = \frac{6}{3-2s}$  if  $0 < \alpha < \frac{3}{2} + s$ by using the Sobolev embedding  $H^s(\mathbf{R}^3) \hookrightarrow L^{\frac{6}{3-2s}}(\mathbf{R}^3)$ .

**Lemma 2.2.** *Let*  $\varphi = \varphi(v)$  *be a Schwartz function and*  $\alpha \in (0,3)$ *. For any*  $\eta \in (0,1)$  *and*  $\ell > 0$  *there is*  $C > 0$  *such that there holds, for any*  $v \in \mathbb{R}^3$ ,

$$
\int_{\mathbf{R}^3} |v - v_*|^{-\alpha} \varphi(v_*) \, \mathrm{d} v_* \leqslant \eta \langle v \rangle^{-\alpha} + C \langle v \rangle^{-\ell}.
$$

*Proof.* Let  $v \in \mathbb{R}^3$  be fixed. We split the integral for some  $M \geq 1$ :

$$
\int_{\mathbf{R}^3} |v - v_*|^{-\alpha} |\varphi(v_*)| \, dv_*
$$
\n
$$
\leq \int_{|v - v_*| \geq M} |v - v_*|^{-\alpha} |\varphi(v_*)| \, dv_* + \int_{|v - v_*| \leq M} |v - v_*|^{-\alpha} |\varphi(v_*)| \, dv_*
$$
\n
$$
=: I_1 + I_2.
$$

For  $I_2$  we write  $\langle v_* \rangle^{-\ell} \leq \langle v - v_* \rangle^{\ell} \langle v \rangle^{-\ell}$  for some arbitrary  $\ell \geqslant 0$ , thus

$$
I_2 \lesssim \langle v \rangle^{-\ell} \| \langle v \rangle^{\ell} \varphi \|_{L_v^{\infty}} \int_{|v-v_*| \le M} |v-v_*|^{-\alpha} \langle v-v_* \rangle^{\ell} dv_* \lesssim \langle v \rangle^{-\ell}.
$$

For  $I_1$  we take  $p > 3/(3 - \alpha)$ , so that  $p/(p-1) < 3/\alpha$ , and apply Hölder's inequality to get

$$
I_1 \leqslant \left(\int_{\mathbf{R}^3} |v-v_*|^{-\alpha\frac{p}{p-1}} \langle v_* \rangle^{-q\frac{p}{p-1}} \mathrm{d}v_*\right)^{\frac{p-1}{p}} \left(\int_{\mathbf{R}^3} \mathbf{1}_{|v-v*| \geqslant M} \langle v_* \rangle^{qp} |\varphi(v_*)|^p \mathrm{d}v_*\right)^{\frac{1}{p}}
$$
  

$$
\lesssim \langle v \rangle^{-\alpha} \left(\int_{\mathbf{R}^3} \mathbf{1}_{|v-v*| \geqslant M} \langle v_* \rangle^{qp} |\varphi(v_*)|^p \mathrm{d}v_*\right)^{\frac{1}{p}},
$$

where  $q > 0$  is such that  $q\frac{p}{p-1} > 3$ . We now observe that for  $M \geq 2|v|$ , if  $|v - v_*| \geq M$ then  $|v_*| \geqslant |v - v_*| - |v| \geqslant M/2$ , and thus we get

$$
\int_{\mathbf{R}^3} \mathbf{1}_{|v-v*| \geqslant M} \langle v_* \rangle^{qp} |\varphi(v_*)|^p \, \mathrm{d}v_* \lesssim \int_{\mathbf{R}^3} \mathbf{1}_{|v_*| \geqslant M/2} \langle v_* \rangle^{qp} |\varphi(v_*)|^p \, \mathrm{d}v_*
$$
  

$$
\lesssim M^{-p} \int_{\mathbf{R}^3} \langle v_* \rangle^{(q+1)p} |\varphi(v_*)|^p \, \mathrm{d}v_*
$$
  

$$
\lesssim M^{-p}.
$$

We then conclude taking  $M \ge \max(1, 2|v|)$  large enough.

**Proposition 2.3.** *The following change of variables formulas hold:*

(2.4) 
$$
\int b(\cos \theta)|v - v_*|^{\gamma} f(v, v', \theta) d\sigma dv_*
$$

$$
\approx \int b(\cos(\pi - 2\theta)) |v - v_*|^{\gamma} \sin\left(\frac{\pi}{2} - \theta\right)^{-2-\gamma} f(v, v_*, \pi - 2\theta) d\sigma dv_*,
$$

$$
(2.5) \qquad \int b(\cos \theta)|v - v_*|^{\gamma} f(v', v_*, \theta) d\sigma dv \approx \int b(\cos 2\theta)|v - v_*|^{\gamma} f(v, v_*, 2\theta) d\sigma dv,
$$

(2.6)  
\n
$$
\int b(\cos \theta)|v - v_*|'J(v, v_*, \theta) d\sigma dv \approx \int b(\cos 2\theta)|v - v_*|'J(v, v_*, 2\theta) d\sigma dv,
$$
\n
$$
\int B(v - v_*, \sigma) f(v, v_*, v', v'_*, \theta) d\sigma dv_* dv = \int B(v - v_*, \sigma) f(v', v'_*, v, v_*, \theta) d\sigma dv_* dv.
$$

*Proof.* The pre-post collisional change of variables (2.6) is known to be involutive with Jacobian 1 and it is easy to check that  $|v - v_*| = |v' - v'_*|$ . We only deal with the first two change of variables. Recall the definition of  $v'$ :

$$
v' = \frac{v + v_*}{2} + \sigma \frac{|v - v_*|}{2}.
$$

Denote  $k := \frac{v - v_*}{\sqrt{v_*^2 + v_*^2}}$  $\frac{v - v_*}{|v - v_*|}$  and recall that  $\theta$  is the angle  $(k, \sigma)$ . The differentials of *v*' with respect to *v* and  $v_*$  writes in the basis  $(k, \sigma, w)$  where  $w \perp k, \sigma$  (at least when *k* and  $\sigma$  are not colinear)

(2.7) 
$$
\frac{dv'}{dv} = \frac{1}{2} (Id + \langle \cdot, k \rangle \sigma) = \frac{1}{2} \begin{pmatrix} 1 & 0 & 0 \\ 1 & 1 + \cos \theta & 0 \\ 0 & 0 & 1 \end{pmatrix},
$$

(2.8) 
$$
\frac{dv'}{dv_*} = \frac{1}{2} (Id - \langle \cdot, k \rangle \sigma) = \frac{1}{2} \begin{pmatrix} 1 & 0 & 0 \\ 1 & 1 - \cos \theta & 0 \\ 0 & 0 & 1 \end{pmatrix}.
$$

Thus, the following identities hold:

$$
\left|\frac{\mathrm{d}v'}{\mathrm{d}v}\right| = \frac{1}{8}(1 + \cos\theta) = \frac{1}{4}\cos^2\left(\frac{\theta}{2}\right),
$$

$$
\left|\frac{\mathrm{d}v'}{\mathrm{d}v_*}\right| = \frac{1}{8}(1 - \cos\theta) = \frac{1}{4}\sin^2\left(\frac{\theta}{2}\right).
$$

Furthermore, the definition of  $v'$  also implies

$$
|v' - v_*|^2 = \frac{1}{2} \cos \left(\frac{\theta}{2}\right)^2 |v - v_*|^2,
$$
  

$$
|v' - v|^2 = \frac{1}{2} \sin \left(\frac{\theta}{2}\right)^2 |v - v_*|^2.
$$

The angle  $\varphi$  formed by  $v' - v_*$  and  $\sigma$  and the angle  $\psi$  formed by  $v' - v$  and  $\sigma$  are related to *θ* by

$$
\varphi = \frac{\theta}{2}, \quad \psi = \frac{\pi - \theta}{2},
$$

thus the integrals are estimated as follows:

$$
\int b(\cos \theta)|v - v_*|^\gamma f(v, v', \theta) d\sigma dv_*
$$
  
\n
$$
\approx \int b(\cos(\pi - 2\psi))|v - v|^\gamma \sin\left(\frac{\pi}{2} - \psi\right)^{-2-\gamma} f(v, v_*, \pi - 2\psi) d\sigma dv',
$$
  
\n
$$
\int b(\cos \theta)|v - v_*|^\gamma f(v', v_*, \theta) d\sigma dv \approx \int b(\cos 2\varphi)|v - v_*|^\gamma f(v', v_*, 2\varphi) d\sigma dv'.
$$

We conclude to  $(2.5)$  and  $(2.4)$  by renaming the integration variables.

We state the so-called *Cancellation lemma* from [1, Lemma 1].

**Proposition 2.4** (Cancellation lemma)**.** *The following cancellation formula holds:*

$$
\int B(v-v_*,\sigma)(f'-f) dv_* d\sigma = (f * S)(v),
$$

*where the function*  $S = S(z)$  *is defined as* 

$$
S(z) := 2\pi \int_0^{\pi/2} \sin \theta \left( \frac{1}{\cos^3 \theta} B \left( \frac{|z|}{\cos(\theta/2)}, \cos \theta \right) - B(|z|, \cos \theta) \right) d\theta.
$$

*In the particular case of the collision kernel*  $B_\delta(v - v_*, \sigma) = B(v - v_*, \sigma) \mathbf{1}_{|\theta| \leq \delta}$ , the corre*sponding function S<sup>δ</sup> satisfies*

$$
S_{\delta}(z) \approx \delta^{2-2s} |z|^{\gamma}.
$$

$$
\sqcup
$$



FIGURE 1. The changes of variables  $(v, v') \rightarrow (v, v_*)$  and  $(v', v_*) \rightarrow (v, v_*)$ .

The following lemma is from [3, Lemma 2.3].

**Lemma 2.5.** *Let*  $m = \langle v \rangle^k$  *with*  $k \geq 0$ *, then there holds* 

$$
(2.9) \t m(v) \lesssim m(v') + m(v'_*),
$$

(2.10) 
$$
|m(v) - m(v')| \lesssim \theta m(v') \langle v_*' \rangle + \theta^k m(v_*'),
$$

*where the pre- and post-collisional velocities*  $(v', v'_*)$  *and*  $(v, v_*)$  *are defined in* (1.3).

This lemma will serve to remove the kinetic singularity  $|v - v_*|^\gamma$  in some integrals involving the collision kernel  $B(v - v_*, \sigma)$ .

**Lemma 2.6.** *For any*  $a > -3$ ,  $b \in \mathbb{R}$ ,  $q > 3 + 2s + a + b$  *and any smooth enough function f there holds*

$$
\int b(\cos \theta)|v - v_*|^a \langle v - v_* \rangle^b \langle v_* \rangle^{-q} f(v, v') d\sigma dv_* dv
$$

$$
\approx \int b(\cos \theta) \langle v - v_* \rangle^{a+b} \langle v_* \rangle^{-q} f(v, v') d\sigma dv_* dv
$$

*Proof.* As we cannot simply control  $|v - v_*|^a \langle v - v_* \rangle^b$  by  $\langle v - v_* \rangle^{a+b}$ , we resort to using Carleman's representation:

$$
\int b(\cos \theta)|v - v_*|^a \langle v - v_* \rangle^b \langle v_* \rangle^{-q} f(v, v') d\sigma dv dv_*
$$
  
\n
$$
\approx \int_{\substack{v, h \in \mathbf{R}^3, \\ y \perp h, |y| \ge |h|}} \frac{|y|^{1+2s+a}}{|h|^{1+2s}} \langle y \rangle^b \langle v + y \rangle^{-q} f(v, v + h) dy dh dv,
$$
  
\n
$$
= \int K_{\text{sing}}(v, h) \frac{f(v, v + h)}{|h|^{1+2s}} dh dv,
$$

where we denoted the singular *y*-integral, which is well-defined because  $1+2s+a+b-q < -2$ and  $1 + 2s + a > -2$ 

$$
K_{\text{sing}}(v, h) := \int_{\substack{y \perp h, \\ |y| \ge |h|}} |y|^{1+2s+a} \langle y \rangle^b \langle v+y \rangle^{-q} dy
$$

and aim to prove

$$
K_{\text{sing}}(v,h) \approx K_{\text{reg}}(v,h) := \int_{\substack{y \perp h, \\ |y| \geqslant |h|}} |y|^{1+2s} \langle y \rangle^{a+b} \langle v+y \rangle^{-q} \, dy.
$$

To do so, we split  $K_{\text{sing}}$  for  $|y| \leq \varepsilon$  and  $|y| \geq \varepsilon$  where  $\varepsilon > 0$  will be chosen later:

$$
K_{\text{sing}}(v, h) = \int_{\substack{y \perp h, \\ \varepsilon \ge |y| \ge |h|}} |y|^{1+2s+a} \langle y \rangle^b \langle v + y \rangle^{-q} \, dy
$$
  
+ 
$$
\int_{|y| \ge \max\{|h|, \varepsilon\}} |y|^{1+2s+a} \langle y \rangle^b \langle v + y \rangle^{-q} \, dy.
$$

Concerning the first part, if  $\varepsilon$  is small enough, the assumption  $|y| \leq \varepsilon$  implies  $\langle v + y \rangle^{-q} \approx$  $\langle v \rangle^{-q}$  uniformly in *y*. Concerning the second part, the assumption  $|y| \geqslant \varepsilon$  implies  $\langle y \rangle \approx |y|$ uniformly in *y*. Thus we have

$$
K_{\text{sing}}(v,h) = \int_{\substack{y \perp h, \\ \varepsilon \ge |y| \ge |h|}} |y|^{1+2s+a} \langle y \rangle^b \langle v+y \rangle^{-q} dy + \int_{\substack{y \perp h, \\ |y| \ge \max\{|h|, \varepsilon\}}} |y|^{1+2s+a} \langle y \rangle^b \langle v+y \rangle^{-q} dy
$$
  

$$
\approx \langle v \rangle^{-q} \int_{\substack{y \perp h, \\ \varepsilon \ge |y| \ge |h|}} |y|^{1+2s+a} \langle y \rangle^b dy + \int_{\substack{y \perp h, \\ |y| \ge \max\{|h|, \varepsilon\}}} |y|^{1+2s} \langle y \rangle^{a+b} \langle v+y \rangle^{-q} dy
$$
  

$$
\approx \langle v \rangle^{-q} + \int_{\substack{y \perp h, \\ |y| \ge \max\{|h|, \varepsilon\}}} |y|^{1+2s} \langle y \rangle^{a+b} \langle v+y \rangle^{-q} dy
$$

With the same reasoning, we have

$$
K_{\text{reg}}(v,h) = \int_{\substack{y \perp h, \\ \varepsilon \geqslant |y| \geqslant |h|}} |y|^{1+2s} \langle y \rangle^{a+b} \langle v+y \rangle^{-q} \, dy + \int_{\substack{y \perp h, \\ |y| \geqslant \max\{|h|, \varepsilon\}}} |y|^{1+2s} \langle y \rangle^{a+b} \langle v+y \rangle^{-q} \, dy
$$
  

$$
\approx \langle v \rangle^{-q} \int_{\substack{y \perp h, \\ \varepsilon \geqslant |y| \geqslant |h|}} |y|^{1+2s} \langle y \rangle^{a+b} \, dy + \int_{\substack{y \perp h, \\ |y| \geqslant \max\{|h|, \varepsilon\}}} |y|^{1+2s} \langle y \rangle^{a+b} \langle v+y \rangle^{-q} \, dy
$$
  

$$
\approx \langle v \rangle^{-q} + \int_{\substack{y \perp h, \\ |y| \geqslant \max\{|h|, \varepsilon\}}} |y|^{1+2s} \langle y \rangle^{a+b} \langle v+y \rangle^{-q} \, dy.
$$

We conclude that  $K_{\text{sing}} \approx K_{\text{reg}}$ , which concludes this step.

**Lemma 2.7.** *For any*  $\gamma \in (-\infty, 2]$  *and*  $s \in (0, 1)$ *, the anisotropic norm defined as* 

$$
||f||_{H_v^{s,*}(m)}^2 = ||\langle v \rangle^{\gamma/2} f||_{L_v^2(m)}^2 + \int b(\cos \theta)(\mu \langle v \rangle^{-\gamma})_*(\mathcal{F}' - \mathcal{F})^2 d\sigma dv_* dv,
$$

*where we denoted*  $\mathcal{F} = m \langle v \rangle^{\gamma/2} f$ , is equivalent to the following norms involving a weight  $function \varphi \in L^1_v(\langle v \rangle) \cap L \log L$ 

$$
(1) \|\langle v\rangle^{\gamma/2} f\|_{L_v^2(m)}^2 + \int b(\cos\theta)\varphi_*(\mathcal{F}' - \mathcal{F})^2 d\sigma dv_* dv, \text{ if also } \varphi \in L_v^1(\langle v\rangle^{2s}),
$$
  

$$
(2) \|\langle v\rangle^{\gamma/2} f\|_{L_v^2(m)}^2 + \int b(\cos\theta)\varphi_*\langle v\rangle^{\gamma} (F' - F)^2 d\sigma dv_* dv, \text{ if also } \varphi \in L_v^1(\langle v\rangle^{4-\gamma}),
$$
  

$$
(3) \|\langle v\rangle^{\gamma/2} f\|_{L_v^2(m)}^2 + \int b(\cos\theta)\langle v-v_*\rangle^{\gamma} \varphi_*(F' - F)^2 d\sigma dv_* dv, \text{ if also } \varphi \in L_v^1(\langle v\rangle^{4-\gamma+|\gamma|}),
$$

*where we denoted*  $F = mf$ *. Furthermore, the anisotropic norm can be compared to isotropic Sobolev norms as follows:*

$$
(2.11) \t\t\t\t\t\|\langle v \rangle^{\gamma/2} f\|_{H^s_v(m)}^2 \lesssim \|f\|_{H^{s,*}_v(m)}^2 \lesssim \|\langle v \rangle^{\gamma/2+2s} f\|_{H^s_v(m)}^2,
$$

*and we have the general bound*

$$
(2.12) \qquad \int b(\cos\theta) \langle v \rangle^{\gamma} \varphi_*(F'-F)^2 d\sigma dv_* dv \lesssim \| \langle v \rangle^{4-\gamma} \varphi \|_{L^1_v} \| f \|_{H^{s,*}_v(m)}^2.
$$

*Proof.* We first establish the comparison  $(2.11)$  followed by the equivalence  $||f||_F^2$  $\frac{2}{H_v^{s,*}(m)} \approx (1),$ then proceed to show  $(1) \approx (2)$  and  $(2) \approx (3)$ .

*Step 1: Proof of*  $(2.11)$  *and*  $||f||_F^2$  $H_v^{2,s,*}(m) \approx (1)$ . From the proof of [6, Lemma 2.7, estimate of *A*], for some constant  $c_{\psi} > 0$  depending on  $\|\psi\|_{L \log L}$  and  $\|\psi\|_{L^1_v(\langle v \rangle)}$ , we have

(2.13) 
$$
\|\mathcal{F}\|_{L^2_v}^2 + \int b(\cos \theta) \psi_*(\mathcal{F}' - \mathcal{F})^2 d\sigma dv_* dv \geqslant c_\psi \|\mathcal{F}\|_{H^s_v}^2,
$$

$$
\qquad \qquad \Box
$$

and from the proof of [6, Lemma 2.8], we also have

$$
\int b(\cos \theta) \left( \mu \langle v \rangle^{-\gamma} \right)_* (\mathcal{F}' - \mathcal{F})^2 d\sigma dv_* dv \lesssim ||\langle v \rangle^{2s} \mathcal{F}||_{H_v^s}^2.
$$

The comparison (2.11) follows from these two bounds with  $\psi = \mu \langle v \rangle^{-\gamma}$ . Furthermore, it was established in the proof of [28, Lemma 2.3- $(ii)$ , estimate of  $I_{11}^{\delta}$ ] that

$$
\int b(\cos \theta) \varphi_*(\mathcal{F}' - \mathcal{F})^2 d\sigma dv_* dv = \frac{\|\varphi\|_{L_v^1}}{\|\mu \langle v \rangle^{-\gamma}\|_{L_v^1}} \|f\|_{\dot{H}_v^{s,*}(m)}^2 + \mathcal{O}\Big(\|\varphi\|_{L_v^1(\langle v \rangle^{2s})} \|\mathcal{F}\|_{H_v^s}^2\Big).
$$
\n(2.14)

Thus, interpolating this estimate with  $(2.13)$  with  $\psi = \varphi$  as to absorb the  $\mathcal O$  term, we deduce  $||f||_{\mu}^2$  $_{H_{v}^{s,*}(m)}^{2} \approx (1).$ 

*Step 2: Proof of*  $(1) \approx (2)$  *and*  $(2.12)$ *.* On the one hand, we have

$$
\int b(\cos \theta) \varphi_* \langle v \rangle^{\gamma} (F' - F)^2 d\sigma dv_* dv
$$
  
= 
$$
\int b(\cos \theta) \varphi_* (F' - F)^2 d\sigma dv_* dv
$$
  
+ 
$$
\int b(\cos \theta) \varphi_* (F')^2 (\langle v' \rangle^{\gamma/2} - \langle v \rangle^{\gamma/2})^2 d\sigma dv_* dv
$$
  
+ 
$$
2 \int b(\cos \theta) \varphi_* F' (F' - F) (\langle v' \rangle^{\gamma/2} - \langle v \rangle^{\gamma/2}) d\sigma dv_* dv,
$$

thus, using Young's inequality, we have

$$
\frac{1}{2} \int b(\cos \theta) \varphi_*(\mathcal{F}' - \mathcal{F})^2 d\sigma dv_* dv - \int b(\cos \theta) \varphi_*(F')^2 (\langle v' \rangle^{\gamma/2} - \langle v \rangle^{\gamma/2})^2 d\sigma dv_* dv
$$
  
\$\leqslant \int b(\cos \theta) \varphi\_\*(v)^\gamma (F' - F)^2 d\sigma dv\_\* dv\$  
\$\leqslant 2 \int b(\cos \theta) \varphi\_\*(\mathcal{F}' - \mathcal{F})^2 d\sigma dv\_\* dv + 2 \int b(\cos \theta) \varphi\_\*(F')^2 (\langle v' \rangle^{\gamma/2} - \langle v \rangle^{\gamma/2})^2 d\sigma dv\_\* dv\$.

Next, the inequality [28, (2.7)] valid for any  $\alpha \leq 1$ :

$$
|\langle v \rangle^{\alpha} - \langle v' \rangle^{\alpha}| \lesssim \sin\left(\frac{\theta}{2}\right) \langle v' \rangle^{\alpha} \langle v_* \rangle^{2-\alpha},
$$

used with  $\alpha = \gamma/2 \leq 1$  allows to tame the angular singularity, making it integrable:

$$
\int b(\cos \theta) \varphi_*(F')^2 \Big(\langle v' \rangle^{\gamma/2} - \langle v \rangle^{\gamma/2}\Big)^2 d\sigma dv_* dv
$$
  
\$\leq \int b(\cos \theta) \sin \left(\frac{\theta}{2}\right)^2 (\varphi \langle v \rangle^{4-\gamma})\_\*(F')^2 d\sigma dv\_\* dv\$  
\$\leq \int (\varphi \langle v \rangle^{4-\gamma})\_\* \mathcal{F}^2 dv\_\* dv = ||\langle v \rangle^{4-\gamma} \varphi||\_{L^1\_v} ||\mathcal{F}||\_{L^2\_v}^2\$,

where we used (2.5) and then integrated in  $\sigma$  in the second inequality. In conclusion, we have shown

$$
\int b(\cos \theta) \varphi_*(v)^\gamma (F' - F)^2 d\sigma dv_* dv \approx \int b(\cos \theta) \varphi_*(\mathcal{F}' - \mathcal{F})^2 d\sigma dv_* dv + \mathcal{O}\left(\|\varphi^{4-\gamma}\|_{L^1_v} \|\mathcal{F}\|_{H^s_v}^2\right),
$$

from which (1)  $\approx$  (2) follows. Combining (2.15) with (2.14), and observing that  $2s \leq 4 - \gamma$ , we obtain

$$
\int b(\cos\theta)\varphi_*(v)^\gamma (F'-F)^2\,d\sigma\,dv_*\,dv \approx \|\varphi\|_{L^1_v} \|f\|_{\dot{H}^{s,*}_v(m)}^2 + \mathcal{O}\left(\|\langle v\rangle^{4-\gamma}\varphi\|_{L^1_v} \|F\|_{H^s_v}^2\right),
$$

from which we deduce  $(2.12)$  thanks to  $(2.11)$ .

*Step 3: Proof of* (2)  $\approx$  (3). The equivalence is immediate thanks to the previous steps and  $\langle v_* \rangle^{-|\gamma|} \langle v \rangle^{\gamma} \leqslant \langle v - v_* \rangle^{\gamma} \leqslant \langle v_* \rangle^{|\gamma|} \langle v \rangle^{\gamma},$ 

as it leads to the comparison

$$
\int b(\cos \theta) \left( \varphi \langle v \rangle^{-|\gamma|} \right)_* \langle v \rangle^{\gamma} (F' - F)^2 d\sigma dv_* dv
$$
  
\$\leqslant \int b(\cos \theta) \varphi\_\* \langle v - v\_\* \rangle^{\gamma} (F' - F)^2 d\sigma dv\_\* dv\$  
\$\leqslant \int b(\cos \theta) \left( \varphi \langle v \rangle^{|\gamma|} \right)\_\* \langle v \rangle^{\gamma} (F' - F)^2 d\sigma dv\_\* dv.\$

This concludes the proof.  $\Box$ 

We have seen in Lemma 2.7 that only the strength of the angular singularity and the growth of the weight in *v* (i.e.  $\langle v \rangle^{\gamma}$  or  $\langle v - v_* \rangle^{\gamma}$ ) up to the change of weight  $\mathcal{F} \leftrightarrow F$  are the defining features of the norm  $\|\cdot\|_{H^{s,*}_{v}}$ . One could combine this result with Lemma 2.6 to show yet another equivalence:

$$
||f||_{H_v^{s,*}(m)}^2 \approx ||\langle v \rangle^{\gamma/2} f||_{L_v^2(m)}^2 + \int B(v-v_*,\sigma) \mu_*(\mathcal{F}' - \mathcal{F})^2 dv_* dv d\sigma,
$$

which is the definition chosen in the series [6, 4, 5, 7, 8, 3].

2.2. **Homogeneous estimates.** We cite below the main nonlinear estimate of [7] established by Alexandre-Morimoto-Ukai-Xu-Yang, in a slightly simplified version using the fact that  $\gamma + 2s < 0$ . In order to do this we shall first introduce the exponentially weighted spaces used in [7], namely we define the spaces *E* and *E*<sup>∗</sup> as the spaces associated to the norms

(2.16) 
$$
||f||_E^2 := ||f||_{L_v^2(\mu^{-1/2})}^2,
$$

$$
(2.17) \t\t\t ||f||_{E^*}^2 := ||\langle v \rangle^{\gamma/2+s} f||_{L^2_v(\mu^{-1/2})}^2 + \int |v - v_*|^\gamma b(\cos \theta) \mu_* (\mathcal{F}' - \mathcal{F})^2 d\sigma dv_* dv,
$$

where we denoted  $\mathcal{F} := \mathcal{F}(v) = \mu^{-1/2}(v)f(v)$  and  $\mathcal{F}' = \mathcal{F}(v')$ . Let us recall that this norm can be compared to isotropic Sobolev norms [7, Proposition 2.2]:

$$
\|\langle v \rangle^{\gamma/2} f\|_{H^s_v(\mu^{-1/2})} \lesssim \|f\|_{E^*} \lesssim \|\langle v \rangle^{\gamma/2+s} f\|_{H^s_v(\mu^{-1/2})}.
$$

**Lemma 2.8** ([7, Theorem 1.2]). For any smooth enough functions  $f = f(v)$ ,  $g = g(v)$  and  $h = h(v)$  *the following bound holds* 

$$
\langle Q(f,g),h\rangle_E\lesssim \|h\|_{E^*}\left(\|f\|_E\|g\|_{E^*}+\|f\|_{E^*}\|g\|_E\right).
$$

*In particular we have*

$$
\langle Q(g,f),f\rangle_E \lesssim \|g\|_E \|f\|_{E^*}^2 + \|g\|_{E^*} \|f\|_{E^*} \|f\|_E.
$$

The goal of this section is to establish similar estimates in spaces with polynomial weights, which we state below.

**Proposition 2.9.** *Assume*  $k > 9/2 - |\gamma|/2 + 2s$  *and consider*  $m = \langle v \rangle^k$ . For any  $\ell >$  $13/2 + 2|\gamma|$  *and smooth enough functions f, g, h there holds* 

$$
\langle Q(f,g),h\rangle_{L_v^2(m)}\n\lesssim \left( \|\langle v \rangle^{\gamma/2} f\|_{L_v^2(m)} \|g\|_{H_v^{s,*}(\langle v)^{\ell}\rangle} + \|f\|_{H_v^{s,*}(\langle v)^{\ell}\rangle} \|g\|_{H_v^{s,*}(m)} \right) \|\langle v \rangle^{\gamma/2} h\|_{L_v^2(m)}\n+ \|f\|_{L_v^2(\langle v)^{\ell}\rangle} \|\langle v \rangle^{2s} g\|_{H_v^{s,*}(m)} \|h\|_{H_v^{s,*}(m)}
$$

*Moreover there holds*

$$
\langle Q(g,f),f\rangle_{L^2_v(m)}
$$

$$
\leq \|g\|_{L^2_v(\langle v\rangle^\ell)} \|f\|_{H^{s,*}_v(m)}^2 + \|g\|_{H^{s,*}_v(\langle v\rangle^\ell)} \| \langle v\rangle^{\gamma/2} f\|_{L^2_v(m)} \|f\|_{H^{s,*}_v(m)} + \| \langle v\rangle^{\gamma/2} g\|_{L^2_v(m)} \|f\|_{H^{s,*}_v(\langle v\rangle^\ell)} \| \langle v\rangle^{\gamma/2} f\|_{L^2_v(m)}.
$$

These estimates will be proved by combining commutator estimates (Lemma 2.11) with He's estimates in  $L^2_v$  (Lemma 2.10, for (2.18)) or new anisotropic estimates in  $L^2(m)$ (for (2.19)). Let us start by recalling the estimate established in He [27].

**Lemma 2.10** ([27, Theorem 1.1])**.** *Assume* −1 <  $\gamma$  + 2*s* < 0*. For any*  $w_1, w_1 ≥ 0$  *such* that  $w_1 + w_2 = \gamma + 2s$  and  $a, b \in [0, 2s]$  such that  $a + b = 2s$ , any  $\ell_0 > 3/2 + |\gamma + 2s|$ , there *holds*

 $\langle Q(f,g),h\rangle_{L^2_v}\lesssim \|f\|_{L^2_v(\langle v\rangle^{\ell_0})}\|g\|_{H^a_v(\langle v\rangle^{w_1})}\|h\|_{H^b_v(\langle v\rangle^{w_2})}.$ 

Let us now state and prove the commutator estimates required to prove Proposition 2.9.

**Lemma 2.11.** *Suppose*  $k > 9/2 - |\gamma|/2 + 2s$  *and consider*  $m = \langle v \rangle^k$ . For any smooth *enough functions*  $f, g, h$  *and any*  $\ell > 13/2 + 2|\gamma|$  *there holds* 

$$
\langle Q(f,g),h\rangle_{L_v^2(m)} - \langle Q(f,mg),mh\rangle_{L_v^2}|
$$
  
\n
$$
\lesssim \|\langle v\rangle^{\gamma/2}h\|_{L_v^2(m)} \Big( \|g\|_{H_v^{s,*}(m)} \|f\|_{H_v^{s,*}(\langle v\rangle^\ell)} + \|g\|_{H_v^{s,*}(\langle v\rangle^\ell)} \| \langle v\rangle^{\gamma/2} f\|_{L_v^2(m)} + \|g\|_{H_v^{s,*}(m)} \|f\|_{L_v^2(\langle v\rangle^\ell)} \Big).
$$

*Proof.* We shall adapt the proof of [9, Proposition 3.1] where the hard potentials case  $\gamma \in [0, 1]$  was considered. We start back from their decomposition:

$$
\langle Q(f,g),h\rangle_{L_v^2(m)} - \langle Q(f,mg),mh\rangle_{L_v^2} = \int B(v-v_*,\sigma) f'_*g'H(m-m')\,d\sigma\,dv_*\,dv = \sum_{j=1}^6 \Gamma_j,
$$

where the terms  $\Gamma_j$  are defined in the proof of [9, Proposition 3.1] and, under the assumption  $k > 9/2 - |\gamma|/2 + 2s$ , were shown to satisfy

$$
\Gamma_1 = k \int b(\cos \theta) |v - v_*|^\gamma \langle v \rangle^{k-2} |v - v_*| (v_* \cdot \omega) \cos^{k-1} \left(\frac{\theta}{2}\right) \sin \left(\frac{\theta}{2}\right) f_* g \left(mh\right)' \, \mathrm{d}\sigma \, \mathrm{d}v_* \, \mathrm{d}v,
$$

where

$$
\omega = \frac{\sigma - (\sigma \cdot \xi)\xi}{|\sigma - (\sigma \cdot \xi)\xi|} \quad \text{and} \quad \xi = \frac{v - v_*}{|v - v_*|},
$$

as well as the bounds

$$
\Gamma_2 \lesssim I\left(g; (mf)^2\right)^{1/2} \times I\left(g; (mh)^2\right),
$$
\n
$$
\Gamma_3 \lesssim I\left(\langle v \rangle g; \left(\langle v \rangle^{k-1} f\right)^2\right)^{1/2} \times I\left(\langle v \rangle g; (mh)^2\right)^{1/2},
$$
\n
$$
\Gamma_4 \lesssim I\left(\langle v \rangle^2 f; \left(\langle v \rangle^{k-2} g\right)^2\right) \times I\left(\langle v \rangle^2 f; (mh)^2\right),
$$
\n
$$
\Gamma_5 \lesssim I\left(\langle v \rangle^4 f; \left(\langle v \rangle^{k-4} g\right)^2\right)^{1/2} \times I\left(\langle v \rangle^4 f; (mh)^2\right)^{1/2},
$$
\n
$$
\Gamma_6 \lesssim I\left(f; (mg)^2\right)^{1/2} \times I\left(f; (mh)^2\right)^{1/2},
$$

where we have denoted for compactness

$$
I(\varphi; \Phi) := \int |v - v_*|^\gamma \varphi_* \Phi \, dv \, dv_*.
$$

First, in virtue of (2.2), we have for  $\ell_0 > 4 + 3/2 + s$  the following estimate:

$$
\sum_{j=2}^6 \Gamma_j \lesssim \| \langle v \rangle^{\gamma/2} h \|_{L^2_v(m)} \left( \| f \|_{H^s_v(\langle v \rangle^{\ell_0})} \| \langle v \rangle^{\gamma/2} g \|_{L^2_v(m)} + \| g \|_{H^s_v(\langle v \rangle^{\ell_0})} \| \langle v \rangle^{\gamma/2} f \|_{L^2_v(m)} \right).
$$

It remains to estimate the term  $\Gamma_1$ . Still following the proof of [9, Proposition 3.1]), we denote  $\tilde{\omega} = \frac{v'-v}{|v'-v|}$  $\frac{v'-v}{|v'-v|}$ , so that  $\tilde{\omega}$  is orthogonal to  $v'-v_*$ , and thus we split  $\Gamma_1 = \Gamma_{1,1} + \Gamma_{1,2}$ with

$$
\Gamma_{1,1} = k \int b(\cos \theta) \cos^k \left(\frac{\theta}{2}\right) \sin \left(\frac{\theta}{2}\right) |v - v_*|^{\gamma + 1} \langle v \rangle^{k-2} (v_* \cdot \omega) f_* g \left(mh\right)' \, \mathrm{d}\sigma \, \mathrm{d}v_* \, \mathrm{d}v,
$$

and

$$
\Gamma_{1,2} = k \int b(\cos \theta) \cos^{k-1} \left(\frac{\theta}{2}\right) \sin^2 \left(\frac{\theta}{2}\right) |v - v_*|^{\gamma+1} \langle v \rangle^{k-2} (v_* \cdot \tilde{\omega}) f_* g (mh)' d\sigma dv_* dv.
$$

For the term  $\Gamma_{1,2}$  we can then argue as for the terms  $(\Gamma_j)_{2 \leq j \leq 6}$  and we obtain

$$
\Gamma_{1,2} \lesssim I\left(\langle v \rangle^2 f; (\langle v \rangle^{k-1} g)^2\right) \times I\left(\langle v \rangle^2 f; (mh)^2\right) \lesssim \| \langle v \rangle^{\gamma/2} h \|_{L^2_v(m)} \|f\|_{H^s_v(\langle v \rangle^{\ell_0})} \| \langle v \rangle^{\gamma/2} g\|_{L^2_v(m)}.
$$

Moreover the term  $\Gamma_{1,1}$  is shown to satisfy, denoting  $\mathcal{G} := \langle v \rangle^{k-2} g$ ,

$$
\Gamma_{1,1} \lesssim \int b(\cos \theta) \sin \left(\frac{\theta}{2}\right) |v - v_*|^\gamma \langle v_* \rangle^2 |f_*||\mathcal{G} - \mathcal{G}'||m'h'|\,d\sigma\,dv_*\,dv.
$$

Using Hölder's inequality for some  $n > 0$  to choose later,

$$
\Gamma_{1,1} \lesssim \int b^{1/2}(\cos\theta)|v-v_*|^{\gamma/2}\sin\left(\frac{\theta}{2}\right)(mh)'(\langle v\rangle^2 f)_*^{1/2}
$$
  
\n
$$
\times b^{1/4}(\cos\theta)\langle v-v_*\rangle^{\gamma/4}\langle v\rangle^{1/2} |\mathcal{G}-\mathcal{G}'|^{1/2} (\langle v\rangle^{2+n} f)_*^{1/2}
$$
  
\n
$$
\times b^{1/4}(\cos\theta)|v-v_*|^{\gamma/2}\langle v-v_*\rangle^{-\gamma/4}\langle v\rangle^{1/2} |\mathcal{G}-\mathcal{G}'|^{1/2}\langle v_*\rangle^{-n/2} d\sigma dv_* dv
$$
  
\n
$$
\lesssim \left(\int b(\cos\theta)|v-v_*|^{\gamma}\sin^2\left(\frac{\theta}{2}\right) [(mh')]^2 (\langle v\rangle^2 f)_* d\sigma dv_* dv\right)^{1/2}
$$
  
\n
$$
\times \left(\int b(\cos\theta)\langle v-v_*\rangle^{\gamma}\langle v\rangle^2 (\mathcal{G}-\mathcal{G}')^2 (\langle v\rangle^{2+n} f)_*^2 d\sigma dv_* dv\right)^{1/4}
$$
  
\n
$$
\times \left(\int b(\cos\theta)|v-v_*|^{2\gamma}\langle v-v_*\rangle^{-\gamma}\langle v\rangle^2 (\mathcal{G}-\mathcal{G}')^2 \langle v_*\rangle^{-2n} d\sigma dv_* dv\right)^{1/4}.
$$

The change of variable (2.5) followed by (2.2) in the first integral with  $\ell_1 > 2 + 3/2 + s$ gives

$$
\Gamma_{1,1} \lesssim ||f||_{H_v^s(\langle v \rangle^{\ell_1})}^{1/2} ||\langle v \rangle^{\gamma/2} h||_{L_v^2(m)} \times \left( \int b(\cos \theta) \langle v - v_* \rangle^{\gamma} \langle v \rangle^2 (\mathcal{G} - \mathcal{G}')^2 (\langle v \rangle^{2+n} f)_*^2 d\sigma dv_* dv \right)^{1/4} \times \left( \int b(\cos \theta) |v - v_*|^{2\gamma} \langle v - v_* \rangle^{-\gamma} \langle v \rangle^2 (\mathcal{G} - \mathcal{G}')^2 \langle v_* \rangle^{-2n} d\sigma dv_* dv \right)^{1/4}.
$$

Next, using Lemma 2.6 in the third integral, taking  $2n > 1 + 2s + \gamma$  (note that  $2\gamma > -3$ ), we have

$$
\Gamma_{1,1} \lesssim ||f||_{H_v^{s}(\langle v \rangle^{\ell_1})}^{1/2} ||\langle v \rangle^{\gamma/2} h||_{L_v^{2}(m)}
$$
\n
$$
\times \left( \int b(\cos \theta) \langle v - v_* \rangle^{\gamma} \langle v \rangle^2 (g - g')^2 (\langle v \rangle^{2+n} f)^2_* d\sigma dv_* dv \right)^{1/4}
$$
\n
$$
\times \left( \int b(\cos \theta) \langle v - v_* \rangle^{\gamma} \langle v \rangle^2 (g - g')^2 \langle v_* \rangle^{-2n} d\sigma dv_* dv \right)^{1/4}.
$$

The inequality  $\langle v \rangle \leq \langle v - v_* \rangle \langle v_* \rangle$  and the fact that  $\gamma \leq 0$  then imply

$$
\Gamma_{1,1} \lesssim ||f||_{H_{v}^{s}(\langle v)^{\ell_1}\rangle}^{1/2} ||\langle v \rangle^{\gamma/2} h||_{L_{v}^{2}(m)}
$$
\n
$$
\times \left( \int b(\cos \theta) \langle v \rangle^{2+\gamma} \left( \mathcal{G} - \mathcal{G}' \right)^{2} \left( \langle v \rangle^{2+n-\gamma/2} f \right)_{*}^{2} d\sigma dv_{*} dv \right)^{1/4}
$$
\n
$$
\times \left( \int b(\cos \theta) \langle v \rangle^{2+\gamma} \left( \mathcal{G} - \mathcal{G}' \right)^{2} \langle v_{*} \rangle^{-2n-\gamma} d\sigma dv_{*} dv \right)^{1/4}.
$$

As  $2 + \gamma \leq 2$ , we may use (2.12) to bound these two integrals:

$$
\Gamma_{1,1} \lesssim \|f\|_{H_v^s(\langle v)^{\ell_1}}^{1/2} \|\langle v \rangle^{\gamma/2} h\|_{L_v^2(m)} \|g\|_{H_v^{s,*}(m)} \|\langle v \rangle^{2-\gamma} \left(\langle v \rangle^{2+n-\gamma/2} f\right)^2 \|\Big|_{L_v^1}^{1/4} \|\langle v \rangle^{-2(n-2+\gamma)}\|_{L_v^1}^{1/4}
$$
  

$$
\lesssim \|f\|_{H_v^s(\langle v)^{\ell_1}}^{1/2} \|\langle v \rangle^{\gamma/2} h\|_{L_v^2(m)} \|g\|_{H_v^{s,*}(m)} \|\langle v \rangle^{\ell_2} f\|_{L_v^2}^{1/2},
$$

where we considered  $n > 7/2 + |\gamma|$  and  $\ell_2 = 3 + n + |\gamma| > 13/2 + 2|\gamma|$ . Note that since  $13/2 + 2|\gamma| = 11/2 - \gamma/2 + s + (-\gamma - \frac{\gamma + 2s}{2} + 1)$  and  $\gamma + 2s < 0$ , we have  $\ell_2 > \ell_0 + |\gamma|/2$ . We conclude the proof by gathering previous estimates, using  $(2.11)$ , and taking  $\ell =$  $\max(\ell_0 + |\gamma|/2, \ell_1, \ell_2) = \ell_2.$ 

We can now prove the main estimates of this subsection, that is to say those of Proposition 2.9.

*Proof of Proposition 2.9.* In this proof, we denote  $F := mf$ ,  $G := mg$  and  $H := mh$ .

*Step 1: Proof of* (2.18). The first estimate (2.18) is a combination of Lemmas 2.10 and 2.11. We first observe that Lemma 2.10 with  $w_1 = k + \gamma/2 + 2s$ ,  $w_2 = k + \gamma/2$  and  $a = b = s$ yields, for  $\ell_0 > 3/2 + |\gamma + 2s|$ ,

$$
\langle Q(f, G), H \rangle_{L^2_v} \lesssim \|f\|_{L^2_v(\langle v \rangle^{\ell_0})} \|\langle v \rangle^{\gamma/2+2s} g\|_{H^s_v(m)} \|\langle v \rangle^{\gamma/2} h\|_{H^s_v(m)} \lesssim \|f\|_{L^2(\langle v \rangle^{\ell_0})} \|\langle v \rangle^{2s} g\|_{H^{s,*}_v(m)} \|h\|_{H^{s,*}_v(m)},
$$

where we used  $(2.11)$  in the last line. We then deduce  $(2.18)$  by putting this estimate together with Lemma 2.11 for  $\ell > 13/2 + 2|\gamma| > \ell_0$ .

*Step 2: Reductions for the proof of* (2.19)*.* First, we decompose the trilinear form using a commutator:

$$
\langle Q(g,f),f\rangle_{L^2_v(m)} = \langle Q(g,F),F\rangle_{L^2_v} + \mathbf{I}_3,
$$

where we denoted

$$
\mathbf{I}_3 := \langle Q(g, f), f \rangle_{L^2_v(m)} - \langle Q(g, F), F \rangle_{L^2_v}.
$$

Second, we decompose the remaining term as

$$
\langle Q(g, F), F \rangle_{L_v^2(m)} = \int B(v - v_*, \sigma)(g'_* F' - g_* F) F \, d\sigma \, dv \, dv_*
$$
  
= 
$$
\frac{1}{2} \int B(v - v_*, \sigma)(2g'_* F' F - g_* F^2 - g_*(F')^2) \, d\sigma \, dv \, dv_*
$$
  
+ 
$$
\frac{1}{2} \int B(v - v_*, \sigma)g_*((F')^2 - F^2) \, d\sigma \, dv \, dv_*.
$$

Using the change of variables  $(2.6)$  in the first term of the first integral and the cancellation lemma 2.4 in the second integral, we obtain for some  $c > 0$ 

$$
\langle Q(g, F), F \rangle_{L_v^2(m)} = -\int B(v - v_*, \sigma) g_*(F' - F)^2 d\sigma dv dv_* - c \int |v - v_*|^\gamma g_* F^2 dv dv_*
$$
  
=: **I**<sub>1</sub> + **I**<sub>2</sub>,

To sum up, we have the decomposition

$$
\langle Q(g,f),f\rangle_{L^2_v(m)}=\mathbf{I}_1+\mathbf{I}_2+\mathbf{I}_3.
$$

The term **I**<sub>2</sub> satisfies by Lemma 2.1, for any  $\ell_0 > 3/2 + s$ ,

$$
\mathbf{I}_2 \lesssim \|g\|_{H^s_v(\langle v \rangle^{\ell_0})} \|\langle v \rangle^{\gamma/2} f\|_{L^2(m)}^2,
$$

and the term **I**<sub>3</sub> satisfies by Lemma 2.11, for any  $\ell > 13/2 + 2|\gamma|$ ,

$$
\mathbf{I}_3 \lesssim \| \langle v \rangle^{\gamma/2} f \|_{L^2_v(m)} \Big( \| f \|_{H^{s,*}_v(m)} \| g \|_{H^{s,*}_v(\langle v \rangle^\ell)} + \| f \|_{H^{s,*}_v(\langle v \rangle^\ell)} \| \langle v \rangle^{\gamma/2} g \|_{L^2_v(m)} + \| f \|_{H^{s,*}_v(m)} \| g \|_{L^2_v(\langle v \rangle^\ell)} \Big),
$$

so that, since  $\ell > \ell_0 + |\gamma|/2$ , the sum  $\mathbf{I}_2 + \mathbf{I}_3$  satisfies the same estimate as  $\mathbf{I}_3$ .

Let us turn to  $I_1$ . Using the Cauchy-Schwarz inequality with some positive  $q > 0$  to be chosen later, we have

$$
\mathbf{I}_1 = \int b(\cos \theta)|v - v_*|^{\gamma} g_*(F' - F)^2 d\sigma dv dv_*
$$
  
\n
$$
\leq \left( \int b(\cos \theta)|v - v_*|^{2\gamma} \langle v - v_* \rangle^{-\gamma} \langle v_* \rangle^{-q} (F' - F)^2 d\sigma dv dv_* \right)^{1/2}
$$
  
\n
$$
\times \left( \int b(\cos \theta) \langle v - v_* \rangle^{\gamma} \left( \langle v \rangle^{q/2} g \right)_*^2 (F' - F)^2 d\sigma dv dv_* \right)^{1/2}.
$$

Assuming  $q > 3 + \gamma + 2s$ , we remove the singularity in the integral prefactor using Lemma 2.6, and then use the inequality  $\langle v - v_* \rangle^{\gamma} \leqslant \langle v \rangle^{\gamma} \langle v_* \rangle^{-\gamma}$  in both integrals:

$$
\mathbf{I}_{1} \lesssim \left( \int b(\cos \theta) \langle v - v_{*} \rangle^{\gamma} \langle v_{*} \rangle^{-q} (F' - F)^{2} d\sigma dv dv_{*} \right)^{1/2} \times \left( \int b(\cos \theta) \langle v - v_{*} \rangle^{\gamma} \left( \langle v \rangle^{q/2} g \right)_{*}^{2} (F' - F)^{2} d\sigma dv dv_{*} \right)^{1/2} \lesssim \left( \int b(\cos \theta) \langle v_{*} \rangle^{-q - \gamma} \langle v \rangle^{\gamma} (F' - F)^{2} d\sigma dv dv_{*} \right)^{1/2} \times \left( \int b(\cos \theta) \left( \langle v \rangle^{q/2 - \gamma/2} g \right)_{*}^{2} \langle v \rangle^{\gamma} (F' - F)^{2} d\sigma dv dv_{*} \right)^{1/2}.
$$

Using (2.12) and imposing  $q > 7 - 2\gamma$  so that  $\langle v \rangle^{4-q-2\gamma}$  is integrable, we then obtain

$$
\begin{split} \mathbf{I}_1 &\lesssim \|f\|^2_{H^{s,*}_v(m)} \|\langle v \rangle^{4-\gamma} \left(\langle v \rangle^{q/2} g\right)^2 \|^1_{L^1_v} \\ &\lesssim \|f\|^2_{H^{s,*}_v(m)} \|\langle v \rangle^{\ell_1} g\|_{L^2_v}, \end{split}
$$

where  $\ell_1 = 2 - \gamma/2 + q/2$  satisfies  $\ell_1 > 11/2 + 2|\gamma|$  and  $\ell_1 > 7/2 + 3|\gamma|/2 + s$ . The estimate (2.19) is then proved by putting together previous estimates and observing that  $\max(\ell, \ell_1) = \ell.$ 

2.3. **Inhomogeneous estimates in the non-cutoff case.** We shall prove inhomogeneous nonlinear estimates for the collision operator *Q* by using the homogeneous estimates proven in previous Subsection 2.2. More precisely we shall prove bilinear estimates (Proposition 2.12) and trilinear estimates (Proposition 2.13 and Proposition 2.14), for polynomially weighted spaces  $\mathbf{X}(m)$  as well as for exponentially weighted spaces  $\mathbf{E}$  (defined below). It is worth mentioning that, because of our strategy employed in Section 4, some of these estimates are of *mixed type*, that is, they involve one function in a polynomially weighted space  $\mathbf{X}(m)$  and another function in a exponentially weighted space **E**.

We introduce the exponentially weighted spaces **E** and **E**<sup>∗</sup> as the spaces associated to the norms

(2.20) 
$$
||f||_{\mathbf{E}}^2 := ||f||_{L_x^2 L_v^2(\mu^{-1/2})}^2 + ||\nabla_x^3 f||_{L_x^2 L_v^2(\mu^{-1/2})}^2
$$

and, respectively,

(2.21) 
$$
||f||_{\mathbf{E}^*}^2 := ||\nabla_x \pi f||_{H_x^2 L_v^2(\mu^{-1/2})}^2 + ||f^\perp||_{L_x^2 E^*}^2 + ||\nabla_x^3 f^\perp||_{L_x^2 E^*}^2,
$$

where we recall that  $E^*$  is defined in  $(2.17)$ , which are similar to those studied in [34].

We start by proving the estimates we will use to prove the stability of the iterative scheme from Section 4.

**Proposition 2.12.** *Assume*  $k > 13/2 + 2|\gamma| + 6s$  *and consider*  $m = \langle v \rangle^k$ . For any  $f, g \in \mathbf{X}(m) \cap \mathbf{X}^*(m)$  *there holds* 

(2.22) 
$$
\langle Q(g,f),f\rangle_{\mathbf{X}(m)} \lesssim \|f\|_{\mathbf{X}^*(m)}^2 \|g\|_{\mathbf{X}(m)} + \|f\|_{\mathbf{X}(m)} \|f\|_{\mathbf{X}^*(m)} \|g\|_{\mathbf{X}^*(m)}.
$$

*Moreover for any*  $f \in \mathbf{X}(m) \cap \mathbf{X}^*(m)$  *and*  $g \in \mathbf{E} \cap \mathbf{E}^*$  *there holds* 

(2.23) 
$$
\langle Q(g,f),f\rangle_{\mathbf{X}(m)} \lesssim ||f||_{\mathbf{X}^*(m)}^2 ||g||_{\mathbf{E}} + ||f||_{\mathbf{X}(m)} ||f||_{\mathbf{X}^*(m)} ||g||_{\mathbf{E}^*}.
$$

*Proof.* Let us start by expanding the inner product defining the norm of  $\mathbf{X}(m)$  in (1.10)

$$
\langle Q(g,f),f\rangle_{\mathbf{X}(m)}=\langle Q(g,f),f\rangle_{L_x^2L_v^2(m)}+\langle \nabla_x^3Q(g,f),\nabla_x^3f\rangle_{L_x^2L_v^2(m\langle v\rangle^{-6s})},
$$

thus we get

$$
\langle Q(g,f),f\rangle_{\mathbf{X}(m)}\n\lesssim \left|\langle Q(g,f),f\rangle_{L_x^2L_v^2(m)}\right| + \sum_{|\alpha|=3}\sum_{0\leq \beta\leqslant\alpha}\left|\langle Q(\partial_x^{\alpha-\beta}g,\partial_x^{\beta}f),\partial_x^{\alpha}f\rangle_{L_x^2L_v^2(m\langle v\rangle^{-2|\alpha|s})}\right|,
$$

and we shall estimate each term separately.

We fix some  $\ell > 13/2 + 2|\gamma|$  such that  $k \geq \ell + 6s$ , and observe that in particular we can apply both estimates of Proposition 2.9 with the weight  $\langle v \rangle^{k-6s}$  in the sequel.

*Step 1: General estimates of*  $(2.24)$  *in*  $L_x^p$ -norms. The first term in  $(2.24)$  is bounded by integrating estimate (2.19) in space and using Hölder's inequality  $L_x^{\infty} - L_x^2 - L_x^2$ , which yields:

$$
\langle Q(g,f),f\rangle_{L_{x}^{2}L_{v}^{2}(m)}\n\lesssim ||g||_{L_{x}^{\infty}L_{v}^{2}(\langle v\rangle^{\ell})}||f||^{2}_{L_{x}^{2}H_{v}^{s,*}(m)}\n+||g||_{L_{x}^{\infty}H_{v}^{s,*}(\langle v\rangle^{\ell})}||\langle v\rangle^{\gamma/2}f||_{L_{x}^{2}L_{v}^{2}(m)}||f||_{L_{x}^{2}H_{v}^{s,*}(m)}\n+||\langle v\rangle^{\gamma/2}g||_{L_{x}^{2}L_{v}^{2}(m)}||f||_{L_{x}^{\infty}H_{v}^{s,*}(\langle v\rangle^{\ell})}||\langle v\rangle^{\gamma/2}f||_{L_{x}^{2}L_{v}^{2}(m)}.
$$

We bound the second term in (2.24) depending on the value of  $\beta$ . When  $\beta = \alpha$ , we obtain using  $(2.19)$  a similar estimate as before:

$$
\langle Q(g, \partial_x^{\alpha} f), \partial_x^{\alpha} f \rangle_{L_x^2 L_v^2(m\langle v \rangle^{-6s})} \n\lesssim \|g\|_{L_x^{\infty} L_v^2(\langle v \rangle^{\ell})} \|\nabla_x^3 f\|_{L_x^2 H_v^{s,*}(m\langle v \rangle^{-6s})}^2 \n+ \|g\|_{L_x^{\infty} H_v^{s,*}(\langle v \rangle^{\ell})} \|\langle v \rangle^{\gamma/2} \nabla_x^3 f\|_{L_x^2 L_v^2(m\langle v \rangle^{-6s})} \|\nabla_x^3 f\|_{L_x^2 H_v^{s,*}(m\langle v \rangle^{-6s})} \n+ \| \langle v \rangle^{\gamma/2} g\|_{L_x^{\infty} L_v^2(m\langle v \rangle^{-6s})} \|\nabla_x^3 f\|_{L_x^2 H_v^{s,*}(\langle v \rangle^{\ell})} \|\langle v \rangle^{\gamma/2} \nabla_x^3 f\|_{L_x^2 L_v^2(m\langle v \rangle^{-6s})}.
$$

When  $\beta = 0$ , we use (2.18) that we integrate in space and using again Hölder's inequality  $L_x^{\infty} - L_x^2 - L_x^2$ , which gives

$$
\langle Q(\partial_x^{\alpha} g, f), \partial_x^{\alpha} f \rangle_{L_x^2 L_v^2(m\langle v \rangle^{-6s})} \n\lesssim \|\langle v \rangle^{\gamma/2} \nabla_x^3 g\|_{L_x^2 L_v^2(m\langle v \rangle^{-6s})} \|f\|_{L_x^{\infty} H_v^{s,*}(\langle v \rangle^{\ell})} \|\langle v \rangle^{\gamma/2} \nabla_x^3 f\|_{L_x^2 L_v^2(m\langle v \rangle^{-6s})} \n+ \|\nabla_x^3 g\|_{L_x^2 H_v^{s,*}(\langle v \rangle^{\ell})} \|f\|_{L_x^{\infty} H_v^{s,*}(m\langle v \rangle^{-6s})} \|\langle v \rangle^{\gamma/2} \nabla_x^3 f\|_{L_x^2 L_v^2(m\langle v \rangle^{-6s})} \n+ \|\nabla_x^3 g\|_{L_x^2 L_v^2(\langle v \rangle^{\ell})} \|f\|_{L_x^{\infty} H_v^{s,*}(m\langle v \rangle^{-4s})} \|\nabla_x^3 f\|_{L_x^2 H_v^{s,*}(m\langle v \rangle^{-6s})}.
$$

When  $|\beta| = 1$ , we we integrate estimate (2.18) using Hölder's inequality  $L_x^2 - L_x^4 - L_x^4$ .

$$
\langle Q(\partial_x^{\alpha-\beta}g, \partial_x^{\beta}f), \partial_x^{\alpha}f \rangle_{L_x^2 L_v^2(m\langle v\rangle^{-6s})} \n\lesssim \|\langle v\rangle^{\gamma/2} \nabla_x^2 g\|_{L_x^4 L_v^2(m\langle v\rangle^{-6s})} \|\nabla_x f\|_{L_x^4 H_v^{s,*}(\langle v\rangle^{\ell})} \|\langle v\rangle^{\gamma/2} \nabla_x^3 f\|_{L_x^2 L_v^2(m\langle v\rangle^{-6s})} \n+ \|\nabla_x^2 g\|_{L_x^4 H_v^{s,*}(\langle v\rangle^{\ell})} \|\nabla_x f\|_{L_x^4 H_v^{s,*}(m\langle v\rangle^{-6s})} \|\langle v\rangle^{\gamma/2} \nabla_x^3 f\|_{L_x^2 L_v^2(m\langle v\rangle^{-6s})} \n+ \|\nabla_x^2 g\|_{L_x^4 L_v^2(\langle v\rangle^{\ell})} \|\nabla_x f\|_{L_x^4 H_v^{s,*}(m\langle v\rangle^{-4s})} \|\nabla_x^3 f\|_{L_x^2 H_v^{s,*}(m\langle v\rangle^{-6s})}.
$$

For  $|\beta| = 2$  we integrate again (2.18) in space using Hölder's inequality  $L_x^{\infty} - L_x^2 - L_x^2$ , which yields

$$
\langle Q(\partial_x^{\alpha-\beta}g, \partial_x^{\beta}f), \partial_x^{\alpha}f \rangle_{L_x^2 L_v^2(m\langle v\rangle^{-6s})} \n\lesssim \|\langle v\rangle^{\gamma/2} \nabla_x g\|_{L_x^{\infty} L_v^2(m\langle v\rangle^{-6s})} \|\nabla_x^2 f\|_{L_x^2 H_v^{s,*}(\langle v\rangle^{\ell})} \|\langle v\rangle^{\gamma/2} \nabla_x^3 f\|_{L_x^2 L_v^2(m\langle v\rangle^{-6s})} \n+ \|\nabla_x g\|_{L_x^{\infty} H_v^{s,*}(\langle v\rangle^{\ell})} \|\nabla_x^2 f\|_{L_x^2 H_v^{s,*}(m\langle v\rangle^{-6s})} \|\langle v\rangle^{\gamma/2} \nabla_x^3 f\|_{L_x^2 L_v^2(m\langle v\rangle^{-6s})} \n+ \|\nabla_x g\|_{L_x^{\infty} L_v^2(\langle v\rangle^{\ell})} \|\nabla_x^2 f\|_{L_x^2 H_v^{s,*}(m\langle v\rangle^{-4s})} \|\nabla_x^3 f\|_{L_x^2 H_v^{s,*}(m\langle v\rangle^{-6s})}.
$$

*Step 2: Sobolev embeddings for* (2.22)*.* We first observe that

$$
||f||_{\mathbf{X}(m)} \approx ||f||_{L_x^2 L_v^2(m)} + ||\langle v \rangle^{-2s} \nabla_x f||_{L_x^2 L_v^2(m)} + ||\langle v \rangle^{-4s} \nabla_x^2 f||_{L_x^2 L_v^2(m)} + ||\langle v \rangle^{-6s} \nabla_x^3 f||_{L_x^2 L_v^2(m)}
$$

and

$$
||f||_{\mathbf{X}^*(m)} \approx ||f||_{L^2_x H^{s,*}_v(m)} + ||\langle v \rangle^{-2s} \nabla_x f||_{L^2_x H^{s,*}_v(m)} + ||\langle v \rangle^{-4s} \nabla_x^2 f||_{L^2_x H^{s,*}_v(m)} + ||\langle v \rangle^{-6s} \nabla_x^3 f||_{L^2_x H^{s,*}_v(m)}
$$

Moreover, since  $k \geqslant \ell + 6s$ , we have

$$
\|g\|_{H^2_x L^2_v(\langle v\rangle^\ell)} + \|\nabla_x g\|_{H^1_x L^2_v(\langle v\rangle^\ell)} + \|\nabla_x^2 g\|_{H^1_x L^2_v(\langle v\rangle^\ell)} + \|\nabla_x^3 g\|_{L^2_x L^2_v(\langle v\rangle^\ell)} \lesssim \|g\|_{\mathbf{X}(m)}
$$

and

$$
\|g\|_{H^2_x H^{s,*}_v(\langle v\rangle^\ell)} + \|\nabla_x g\|_{H^1_x H^{s,*}_v(\langle v\rangle^\ell)} + \|\nabla_x^2 g\|_{H^1_x H^{s,*}_v(\langle v\rangle^\ell)} + \|\nabla_x^3 g\|_{L^2_x H^{s,*}_v(\langle v\rangle^\ell)} \lesssim \|g\|_{\mathbf{X}^*(m)}.
$$
  
From (2.25), using the Sobolev embedding  $H^2_x(\mathbf{R}^3) \hookrightarrow L^\infty_x(\mathbf{R}^3)$  we thus get

$$
\langle Q(g,f),f\rangle_{L^2_{x}L^2_{v}(m)} \lesssim \|f\|^2_{\mathbf{X}^*(m)}\|g\|_{\mathbf{X}(m)} + \|f\|_{\mathbf{X}(m)}\|f\|_{\mathbf{X}^*(m)}\|g\|_{\mathbf{X}^*(m)}.
$$

Arguing similarly from (2.26) we obtain

$$
\langle Q(g,\partial_x^{\alpha} f),\partial_x^{\alpha} f\rangle_{L^2_xL^2_v(m)} \lesssim \|f\|^2_{\mathbf{X}^*(m)} \|g\|_{\mathbf{X}(m)} + \|f\|_{\mathbf{X}(m)} \|f\|_{\mathbf{X}^*(m)} \|g\|_{\mathbf{X}^*(m)}.
$$

For  $(2.27)$ , we use again  $H_x^2(\mathbf{R}^3) \hookrightarrow L_x^{\infty}(\mathbf{R}^3)$  and

$$
||f||_{H^2_x H^{s,*}_v(m\langle v \rangle^{-4s})} \lesssim ||f||_{\mathbf{X}^*(m)}
$$

to deduce

$$
\langle Q(\partial_x^{\alpha} g, f), \partial_x^{\alpha} f \rangle_{L^2_x L^2_v(m)} \lesssim \|f\|^2_{\mathbf{X}^*(m)} \|g\|_{\mathbf{X}(m)} + \|f\|_{\mathbf{X}(m)} \|f\|_{\mathbf{X}^*(m)} \|g\|_{\mathbf{X}^*(m)}.
$$

For  $|\beta| = 1$ , from (2.28) and the Sobolev embedding  $H_x^1(\mathbf{R}^3) \hookrightarrow L_x^4(\mathbf{R}^3)$ , we remark that

$$
\|\nabla_x f\|_{H^1_x H^{s,*}_v(m\langle v \rangle^{-4s})} \lesssim \|f\|_{\mathbf{X}^*(m)},
$$

hence we get

$$
\langle Q(\partial_x^{\alpha-\beta}g, \partial_x^{\beta}f), \partial_x^{\alpha}f \rangle_{L_x^2 L_v^2(m)} \lesssim \|f\|_{\mathbf{X}^*(m)}^2 \|g\|_{\mathbf{X}(m)} + \|f\|_{\mathbf{X}(m)} \|f\|_{\mathbf{X}^*(m)} \|g\|_{\mathbf{X}^*(m)}.
$$

Finally, for the case  $|\beta| = 2$ , estimate (2.29) together with  $H_x^2(\mathbf{R}^3) \hookrightarrow L_x^{\infty}(\mathbf{R}^3)$  yields

 $\langle Q(\partial_x^{\alpha-\beta}g, \partial_x^{\beta}f), \partial_x^{\alpha}f \rangle_{L_x^2 L_v^2(m)} \lesssim ||f||^2_{\mathbf{X}^*(m)} ||g||_{\mathbf{X}(m)} + ||f||_{\mathbf{X}(m)} ||f||_{\mathbf{X}^*(m)} ||g||_{\mathbf{X}^*(m)}.$ This concludes the proof of (2.22).

*Step 2: Proof of estimate* (2.23)*.* We first remark that

$$
\|g\|_{H^2_x H^{s,*}_v(\langle v\rangle^\ell)} \lesssim \|\pi g\|_{H^2_x L^2_v} + \|g^\perp\|_{H^2_x H^{s,*}_v(\langle v\rangle^\ell)} \lesssim \|g\|_{\mathbf{E}} + \|g\|_{\mathbf{E}^*},
$$

and that

$$
\|\langle v\rangle^{\gamma/2}g\|_{L_x^2L_v^2(m)} + \|\langle v\rangle^{\gamma/2}g\|_{H_x^2L_v^2(m\langle v\rangle^{-6s})} \lesssim \|g\|_{\mathbf{E}}.
$$

Moreover

$$
\|\langle v \rangle^{\gamma/2} f\|_{L^2_x L^2_v(m)} + \|\langle v \rangle^{\gamma/2} \nabla_x^3 f\|_{L^2_x L^2_v(m\langle v \rangle^{-6s})} \lesssim \min\{\|f\|_{\mathbf{X}(m)}, \|f\|_{\mathbf{X}^*(m)}\}.
$$

Therefore from (2.25) we get

$$
\langle Q(g,f),f\rangle_{L^2_xL^2_v(m)}\lesssim \|f\|^2_{\mathbf{X}^*(m)}\|g\|_{\mathbf{E}}+\|f\|_{\mathbf{X}(m)}\|f\|_{\mathbf{X}^*(m)}\|g\|_{\mathbf{E}^*},
$$

and furthermore, for the case  $\beta = 0$ , we deduce from (2.26)

$$
\langle Q(g,\partial_x^\alpha f),\partial_x^\alpha f\rangle_{L^2_xL^2_v(m\langle v\rangle^{-6s})}\lesssim \|f\|^2_{\mathbf{X}^*(m)}\|g\|_{\mathbf{E}}+\|f\|_{\mathbf{X}(m)}\|f\|_{\mathbf{X}^*(m)}\|g\|_{\mathbf{E}^*}.
$$

For all the other cases  $|\beta| = 1$ ,  $|\beta| = 2$  and  $\beta = \alpha$ , we can argue as in Step 1 by observing that

$$
\|\nabla_x g\|_{H^2_x H^{s,*}_v(\langle v \rangle^\ell)} + \|\nabla_x^2 g\|_{H^1_x H^{s,*}_v(\langle v \rangle^\ell)} + \|\nabla_x^3 g\|_{L^2_x H^{s,*}_v(\langle v \rangle^\ell)} \lesssim \|g\|_{\mathbf{E}^*},
$$

which thus implies from  $(2.27)$ – $(2.28)$ – $(2.29)$  that

$$
\langle Q(\partial_x^{\alpha-\beta}g, \partial_x^{\alpha}f), \partial_x^{\alpha}f \rangle_{L_x^2 L_v^2(m\langle v \rangle^{-6s})} \lesssim \|f\|_{\mathbf{X}^*(m)}^2 \|g\|_{\mathbf{E}} + \|f\|_{\mathbf{X}(m)} \|f\|_{\mathbf{X}^*(m)} \|g\|_{\mathbf{E}^*}.
$$

This concludes the proof of  $(2.23)$ .

We now prove the estimates which we will use to prove the convergence of the iterative scheme in Section 4.

**Proposition 2.13.** *Assume*  $k > 13/2 + 5|\gamma|/2 + 6s$  *and consider*  $m = \langle v \rangle^k$ . For any  $f, g, h \in \mathbf{X}(m) \cap \mathbf{X}^*(m)$  *there holds* 

 $(2.30) \langle Q(f,g), h \rangle_{\mathbf{X}(m)} \lesssim ||f||_{\mathbf{X}^*(m)} ||g||_{\mathbf{X}^*(m)} ||h||_{\mathbf{X}(m)} + ||f||_{\mathbf{X}(m)} ||\langle v \rangle^{2s} g||_{\mathbf{X}^*(m)} ||h||_{\mathbf{X}^*(m)}.$ *Moreover for any*  $f, h \in \mathbf{X}(m) \cap \mathbf{X}^*(m)$  *and*  $g \in \mathbf{E} \cap \mathbf{E}^*$  *there holds* (2.31)

$$
\langle Q(f,g),h\rangle_{\mathbf{X}(m)}\n\lesssim \|f\|_{\mathbf{X}^*(m)}\|g\|_{\mathbf{E}}\|h\|_{\mathbf{X}^*(m)} + \|f\|_{\mathbf{X}(m)}\|g\|_{\mathbf{E}^*}\|h\|_{\mathbf{X}^*(m)} + \|f\|_{\mathbf{X}^*(m)}\|g\|_{\mathbf{E}^*}\|h\|_{\mathbf{X}(m)},
$$

*and*

$$
(2.32) \qquad \langle Q(g,f),h\rangle_{\mathbf{X}(m)} \lesssim \|\langle v\rangle^{2s}f\|_{\mathbf{X}^*(m)}\|g\|_{\mathbf{E}}\|h\|_{\mathbf{X}^*(m)} + \|f\|_{\mathbf{X}^*(m)}\|g\|_{\mathbf{E}^*}\|h\|_{\mathbf{X}(m)},
$$

*Proof.* By expanding the inner product of  $\mathbf{X}(m)$ , we are led to estimate

(2.33) 
$$
\langle Q(f,g),h\rangle_{\mathbf{X}(m)} \lesssim \left| \langle Q(f,g),h\rangle_{L_x^2 L_v^2(m)} \right| + \sum_{|\alpha|=3} \sum_{0 \leq \beta \leq \alpha} \left| \langle Q(\partial_x^{\alpha-\beta} f, \partial_x^{\beta} g), \partial_x^{\alpha} h \rangle_{L_x^2 L_v^2(m\langle v \rangle^{-6s})} \right|.
$$

The proof of each one of the estimates  $(2.30)$ ,  $(2.31)$  and  $(2.32)$  then follows the same approach: For each term appearing in (2.33) we integrate in space the corresponding homogeneous estimate and then use Hölder's inequality and Sobolev embeddings arguing similarly as in Step 1 of the proof of Proposition 2.12.

We fix some  $\ell > 13/2 + 2|\gamma|$  such that  $k \geq \ell + 6s + |\gamma|/2$ , and remark that we can apply estimate (2.18) of Proposition 2.9 with the weight  $\langle v \rangle^{k-6s}$  in the sequel.

*Step 1: General estimates of*  $(2.33)$  *in*  $L_x^p$ -norms. The first term in  $(2.33)$  is estimated using (2.18) and Hölder's inequality  $L_x^{\infty} - L_x^2 - L_x^2$ , which yields

$$
\langle Q(f,g),h\rangle_{L_{x}^{2}L_{v}^{2}(m)}\n\lesssim \|\langle v\rangle^{\gamma/2}f\|_{L_{x}^{2}L_{v}^{2}(m)}\|g\|_{L_{x}^{\infty}H_{v}^{s,*}(\langle v\rangle^{\ell})}\|\langle v\rangle^{\gamma/2}h\|_{L_{x}^{2}L_{v}^{2}(m)}\n+ \|f\|_{L_{x}^{\infty}H_{v}^{s,*}(\langle v\rangle^{\ell})}\|g\|_{L_{x}^{2}H_{v}^{s,*}(m)}\|\langle v\rangle^{\gamma/2}h\|_{L_{x}^{2}L_{v}^{2}(m)}\n+ \|f\|_{L_{x}^{\infty}L_{v}^{2}(\langle v\rangle^{\ell})}\|\langle v\rangle^{2s}g\|_{L_{x}^{2}H_{v}^{s,*}(m)}\|h\|_{L_{x}^{2}H_{v}^{s,*}(m)}.
$$

The second term in  $(2.33)$  is then estimated depending on the value of  $\beta$ . For the case  $\beta = 0$ , we also have by using (2.18) and Hölder's inequality  $L_x^{\infty} - L_x^2 - L_x^2$  that

$$
\langle Q(\partial_x^{\alpha} f, g), \partial_x^{\alpha} h \rangle_{L_x^2 L_v^2(m\langle v \rangle^{-6s})} \n\lesssim \|\langle v \rangle^{\gamma/2} \nabla_x^3 f\|_{L_x^2 L_v^2(m\langle v \rangle^{-6s})} \|g\|_{L_x^{\infty} H_v^{s,*}(\langle v \rangle^{\ell})} \|\langle v \rangle^{\gamma/2} \nabla_x^3 h\|_{L_x^2 L_v^2(m\langle v \rangle^{-6s})} \n+ \|\nabla_x^3 f\|_{L_x^2 H_v^{s,*}(\langle v \rangle^{\ell})} \|g\|_{L_x^{\infty} H_v^{s,*}(m\langle v \rangle^{-6s})} \|\langle v \rangle^{\gamma/2} \nabla_x^3 h\|_{L_x^2 L_v^2(m\langle v \rangle^{-6s})} \n+ \|\nabla_x^3 f\|_{L_x^2 L_v^2(\langle v \rangle^{\ell})} \|\langle v \rangle^{2s} g\|_{L_x^{\infty} H_v^{s,*}(m\langle v \rangle^{-6s})} \|\nabla_x^3 h\|_{L_x^2 H_v^{s,*}(m\langle v \rangle^{-6s})}.
$$

When  $|\beta| = 1$  we use Hölder's inequality  $L_x^4 - L_x^4 - L_x^2$  to get

$$
\langle Q(\partial_x^{\alpha-\beta} f, \partial_x^{\beta} g), \partial_x^{\alpha} h \rangle_{L_x^2 L_v^2(m\langle v \rangle^{-6s})} \n\lesssim \| \langle v \rangle^{\gamma/2} \nabla_x^2 f \|_{L_x^4 L_v^2(m\langle v \rangle^{-6s})} \| \nabla_x g \|_{L_x^4 H_v^{s,*}(\langle v \rangle^{\ell})} \| \langle v \rangle^{\gamma/2} \nabla_x^3 h \|_{L_x^2 L_v^2(m\langle v \rangle^{-6s})} \n+ \| \nabla_x^2 f \|_{L_x^4 H_v^{s,*}(\langle v \rangle^{\ell})} \| \nabla_x g \|_{L_x^4 H_v^{s,*}(m\langle v \rangle^{-6s})} \| \langle v \rangle^{\gamma/2} \nabla_x^3 h \|_{L_x^2 L_v^2(m\langle v \rangle^{-6s})} \n+ \| \nabla_x^2 f \|_{L_x^4 L_v^2(\langle v \rangle^{\ell})} \| \langle v \rangle^{2s} \nabla_x g \|_{L_x^4 H_v^{s,*}(m\langle v \rangle^{-6s})} \| \nabla_x^3 h \|_{L_x^2 H_v^{s,*}(m\langle v \rangle^{-6s})}.
$$

and for  $|\beta| = 2$ :

$$
\langle Q(\partial_x^{\alpha-\beta}f, \partial_x^{\beta}g), \partial_x^{\alpha}h \rangle_{L_x^2 L_v^2(m\langle v\rangle^{-6s})} \n\lesssim \|\langle v\rangle^{\gamma/2} \nabla_x f\|_{L_x^4 L_v^2(m\langle v\rangle^{-6s})} \|\nabla_x^2 g\|_{L_x^4 H_v^{s,*}(\langle v\rangle^{\ell})} \|\langle v\rangle^{\gamma/2} \nabla_x^3 h\|_{L_x^2 L_v^2(m\langle v\rangle^{-6s})} \n+ \|\nabla_x f\|_{L_x^4 H_v^{s,*}(\langle v\rangle^{\ell})} \|\nabla_x^2 g\|_{L_x^4 H_v^{s,*}(m\langle v\rangle^{-6s})} \|\langle v\rangle^{\gamma/2} \nabla_x^3 h\|_{L_x^2 L_v^2(m\langle v\rangle^{-6s})} \n+ \|\nabla_x f\|_{L_x^4 L_v^2(\langle v\rangle^{\ell})} \|\langle v\rangle^{2s} \nabla_x^2 g\|_{L_x^4 H_v^{s,*}(m\langle v\rangle^{-6s})} \|\nabla_x^3 h\|_{L_x^2 H_v^{s,*}(m\langle v\rangle^{-6s})}.
$$

Finally, for  $\beta = \alpha$  we get, using again Hölder's inequality  $L_x^{\infty} - L_x^2 - L_x^2$ ,

$$
\langle Q(f, \partial_x^{\alpha} g), \partial_x^{\alpha} h \rangle_{L_x^2 L_v^2(m\langle v \rangle^{-6s})} \n\lesssim \|\langle v \rangle^{\gamma/2} f\|_{L_x^{\infty} L_v^2(m\langle v \rangle^{-6s})} \|\nabla_x^3 g\|_{L_x^2 H_v^{s,*}(\langle v \rangle^{\ell})} \|\langle v \rangle^{\gamma/2} \nabla_x^3 h\|_{L_x^2 L_v^2(m\langle v \rangle^{-6s})} \n+ \|f\|_{L_x^{\infty} H_v^{s,*}(\langle v \rangle^{\ell})} \|\nabla_x^3 g\|_{L_x^2 H_v^{s,*}(m\langle v \rangle^{-6s})} \|\langle v \rangle^{\gamma/2} \nabla_x^3 h\|_{L_x^2 L_v^2(m\langle v \rangle^{-6s})} \n+ \|f\|_{L_x^{\infty} L_v^2(\langle v \rangle^{\ell})} \|\langle v \rangle^{2s} \nabla_x^3 g\|_{L_x^2 H_v^{s,*}(m\langle v \rangle^{-6s})} \|\nabla_x^3 h\|_{L_x^2 H_v^{s,*}(m\langle v \rangle^{-6s})}.
$$

We now split the proof into three steps.

*Step 2: Sobolev embeddings to prove* (2.30)*.* It follows from estimates (2.34)–(2.35)–(2.36)–  $H_x^2(\mathbf{R}^3) \hookrightarrow L_x^2(\mathbf{R}^3) \hookrightarrow L_x^2(\mathbf{R}^3)$  and  $H_x^1(\mathbf{R}^3) \hookrightarrow L_x^4(\mathbf{R}^3)$ as in Step 1 of Proposition 2.12.

*Step 3: Proof of* (2.31)*.* We first observe that

$$
\|g\|_{H^2_x H^{s,*}_v(\langle v \rangle^\ell)} \lesssim \|\pi g\|_{H^2_x L^2_v} + \|g^\perp\|_{L^2_x H^{s,*}_v(\langle v \rangle^\ell)} \lesssim \|g\|_{\mathbf{E}} + \|g\|_{\mathbf{E}^*},
$$

and

$$
\| \langle v \rangle^{2s} g \|_{L^2_x H^{s,*}_v(m)} \lesssim \| \pi g \|_{L^2_x L^2_v} + \| \langle v \rangle^{2s} g^\perp \|_{L^2_x H^{s,*}_v(m)} \lesssim \| g \|_{\mathbf{E}} + \| g \|_{\mathbf{E}^*}.
$$

Moreover

$$
\|\langle v\rangle^{\gamma/2}f\|_{L^2_xL^2_v(m)}\lesssim \min\left\{\|f\|_{\mathbf{X}(m)},\|f\|_{\mathbf{X}^*(m)}\right\}
$$

and

$$
\|\langle v \rangle^{\gamma/2} f\|_{H^2_x L^2_v(\langle v \rangle^\ell)} \lesssim \min\left\{\|f\|_{\mathbf{X}(m)}, \|f\|_{\mathbf{X}^*(m)}\right\}
$$

since  $k \geq \ell + 6s$ . Therefore from  $(2.34)$  we get

$$
\langle Q(f,g),h\rangle_{L^2_xL^2_v(m)}\leq \|f\|_{\mathbf{X}^*(m)}\|g\|_{\mathbf{E}}\|h\|_{\mathbf{X}^*(m)}+\|f\|_{\mathbf{X}(m)}\|g\|_{\mathbf{E}^*}\|h\|_{\mathbf{X}^*(m)}+\|f\|_{\mathbf{X}^*(m)}\|g\|_{\mathbf{E}^*}\|h\|_{\mathbf{X}(m)}.
$$

For the case  $\beta = 0$  we remark that, similarly as above, we have

$$
\|\langle v \rangle^{2s} g\|_{H^2_x H^{s,*}_v(m\langle v \rangle^{-6s})} \lesssim \|\pi g\|_{H^2_x L^2_v} + \|\langle v \rangle^{2s} g^\perp\|_{H^2_x H^{s,*}_v(m\langle v \rangle^{-6s})} \lesssim \|g\|_{\mathbf{E}} + \|g\|_{\mathbf{E}^*}.
$$

moreover

$$
\|\langle v\rangle^{\gamma/2} \nabla_x^3 f\|_{L_x^2 L_v^2(m\langle v\rangle^{-6s})} \lesssim \min\left\{\|f\|_{\mathbf{X}(m)}, \|f\|_{\mathbf{X}^*(m)}\right\},\
$$

as well as

$$
\|\nabla_x^3 f\|_{L^2_x L^2_v(\langle v \rangle^{\ell})} \lesssim \min \left\{ \|f\|_{\mathbf{X}(m)}, \|f\|_{\mathbf{X}^*(m)} \right\},\
$$

since  $k + \gamma/2 \ge \ell + 6s$ . Hence we deduce from (2.35)

$$
\langle Q(\partial_x^{\alpha} f, g), \partial_x^{\alpha} h \rangle_{L_x^2 L_v^2(m\langle v \rangle^{-6s})} \\ \lesssim \|f\|_{\mathbf{X}^*(m)} \|g\|_{\mathbf{E}} \|h\|_{\mathbf{X}^*(m)} + \|f\|_{\mathbf{X}(m)} \|g\|_{\mathbf{E}^*} \|h\|_{\mathbf{X}^*(m)} + \|f\|_{\mathbf{X}^*(m)} \|g\|_{\mathbf{E}^*} \|h\|_{\mathbf{X}(m)}.
$$

For all the other cases  $|\beta| = 1$ ,  $|\beta| = 2$  and  $\beta = \alpha$ , we can argue as in Step 1 by observing that

$$
\|\nabla_x g\|_{L^4_x H^{s,*}_v(\langle v \rangle^\ell)} + \|\nabla_x^2 g\|_{L^4_x H^{s,*}_v(\langle v \rangle^\ell)} + \|\nabla_x^3 g\|_{L^2_x H^{s,*}_v(\langle v \rangle^\ell)} \lesssim \|g\|_{\mathbf{E}^*},
$$

as well as

 $\|\nabla_x g\|_{L^4_x H^{s,*}_v(m \langle v \rangle^{-4s})} + \|\nabla^2_x g\|_{L^4_x H^{s,*}_v(m \langle v \rangle^{-4s})} + \|\nabla^3_x g\|_{L^2_x H^{s,*}_v(m \langle v \rangle^{-4s})} \lesssim \|g\|_{{\bf E}^*},$ which thus implies from  $(2.36)$ – $(2.37)$ – $(2.38)$  that

$$
\langle Q(\partial_x^{\alpha-\beta}f,\partial_x^{\alpha}g),\partial_x^{\alpha}h\rangle_{L_x^2L_v^2(m\langle v\rangle^{-6s})}\lesssim \|g\|_{{\bf E}^*}\left(\|f\|_{{\bf X}^*(m)}\|h\|_{{\bf X}(m)}+\|f\|_{{\bf X}(m)}\|h\|_{{\bf X}^*(m)}\right).
$$

*Step 4: Proof of* (2.32). It follows similarly as in Step 2 above, so we omit the proof.  $\Box$ 

We now introduce an equivalent norm  $\|\cdot\|_{\mathbf{E}} \approx \|\cdot\|_{\mathbf{E}}$  induced by the scalar product (2.39)  $\langle f, g \rangle_{\mathbf{E}} := \langle f, g \rangle_{\mathbf{E}} + \Psi[f, g],$ 

for some bilinear form  $\Psi$  which is defined in [34, Lemma 2.1] and is of the form, recalling the definition of the projection  $\pi[f]$  as well as  $\rho[f], u[f]$  and  $\theta[f]$  in (1.7),

(2.40)  
\n
$$
\Psi[f,g] := \eta_1 \left\langle \nabla_x \theta[f], \Lambda[g^\perp] \right\rangle_{H_x^2} + \eta_1 \left\langle \Lambda[f^\perp], \nabla_x \theta[g] \right\rangle_{H_x^2}
$$
\n
$$
+ \eta_2 \left\langle \nabla_x u[f] + \nabla_x u[f]^\top, \Theta[g^\perp] + I_3 \theta[g] \right\rangle_{H_x^2}
$$
\n
$$
+ \eta_2 \left\langle \Theta[f^\perp] + I_3 \theta[f], \nabla_x u[g] + \nabla_x u[g]^\top \right\rangle_{H_x^2}
$$
\n
$$
+ \eta_3 \left\langle \nabla_x \rho[f], u[g] \right\rangle_{H_x^2} + \eta_3 \left\langle u[f], \nabla_x \rho[g] \right\rangle_{H_x^2}
$$

with  $0 < \eta_3 \ll \eta_2 \ll \eta_1 \ll 1$ ,  $I_3$  the identity matrix and

$$
\Lambda[f] = \int_{\mathbf{R}^3} v(|v|^2 - 5) f \, dv, \quad \Theta[f] = \int_{\mathbf{R}^3} (v \otimes v - I_3) f \, dv.
$$

**Proposition 2.14.** *The following estimates holds for the new scalar product*  $\langle \cdot, \cdot \rangle_{\mathbf{E}}$  *on E*: *For any*  $f, g, h \in \mathbf{E} \cap \mathbf{E}^*$  *one has* 

(2.41) 
$$
\langle Q(f,g),h\rangle_{\mathbf{E}} \lesssim ||h||_{\mathbf{E}^*} (||f||_{\mathbf{E}}||g||_{\mathbf{E}^*} + ||f||_{\mathbf{E}^*}||g||_{\mathbf{E}}).
$$

*Furthermore, for any bounded compactly supported function*  $\chi = \chi(v)$ *, we also have for any*  $\ell > 0$ 

(2.42) 
$$
\langle g, \chi f \rangle_{\mathbf{E}} \lesssim ||g||_{\mathbf{E}} ||f||_{\mathbf{X}(\langle v)^{\ell}}),
$$

(2.43) 
$$
\langle g, \chi f \rangle_{\mathbf{E}} \lesssim \|g\|_{\mathbf{E}^*} \|f\|_{\mathbf{X}(\langle v \rangle^{\ell})} + \|\pi g\|_{L_x^2} \|f\|_{\mathbf{X}^*(\langle v \rangle^{\ell})}.
$$

*Proof.* We start by proving (2.41) then comment on how to prove (2.42) and (2.43).

*Step 1: Bound of*  $\langle \cdot, \cdot \rangle_E$  *for* (2.41). First, since *Q* is **E**-orthogonal to  $\pi h$ , we have

$$
\langle Q(f,g),h\rangle_{\mathbf{E}} = \langle Q(f,g),h^{\perp}\rangle_{L_x^2 L_v^2(\mu^{-1/2})} + \langle \nabla_x^3 Q(f,g), \nabla_x^3 h^{\perp}\rangle_{L_x^2 L_v^2(\mu^{-1/2})}.
$$

The proof of Proposition 2.13 can then be adapted (and simplified) to get from the homogeneous estimate of Lemma 2.8 in the current setting

$$
\left\langle Q(f,g),h\right\rangle_{\mathbf{E}}\lesssim \|h\|_{{\mathbf E}^*}\left(\|f\|_{\mathbf{E}}\|g\|_{{\mathbf E}^*}+\|f\|_{{\mathbf E}^*}\|g\|_{\mathbf{E}}\right).
$$

A detailed proof is given in [34, Section 3.1] (note that the authors require more derivatives because of their homogeneous nonlinear estimates).

*Step 2: Bound of*  $\Psi[\cdot, \cdot]$  *for* (2.41). In this step, we use the notation from the definition (2.40) of  $\Psi$ . Before considering any estimate, note that because *Q* is  $L^2_v(\mu^{-1/2})$ -orthogonal to ker $(\mathscr{L})$ , we have

$$
\rho[Q(f,g)] = u[Q(f,g)] = \theta[Q(f,g)] = 0,
$$

which leaves us with fewer terms that we estimate using the Cauchy-Schwarz inequality:

$$
\Psi[Q(f,g),h] = \eta_1 \langle \Lambda[Q(f,g)], \nabla_x \theta[h] \rangle_{H_x^2} + \eta_2 \langle \Theta[Q(f,g)], \nabla_x u[h] + \nabla_x u[h]^\top \rangle_{H_x^2}
$$
  

$$
\lesssim \|\Lambda[Q(f,g)]\|_{H_x^2} \|\nabla_x \theta[h]\|_{H_x^2} + \|\Theta[Q(f,g)]\|_{H_x^2} \|\nabla_x u[h]\|_{H_x^2}
$$

Using Lemma 2.8 and the fact that  $v_i(|v|^2 - 5)\mu$ ,  $(v_iv_j - \delta_{ij})\mu \in E^*$  for any  $1 \leq i, j \leq 3$ , we have

$$
\|\Lambda[Q(f,g)]\|_{H_x^2}^2 + \|\Theta[Q(f,g)]\|_{H_x^2}^2 \lesssim \sum_{0 \le p+q \le 2} \int \left\{ \|\nabla_x^p f\|_E \|\nabla_x^q g\|_{E^*} + \|\nabla_x^p f\|_{E^*} \|\nabla_x^q f\|_E \right\}^2 \mathrm{d}x.
$$

Combined with the embedding  $H_x^2(\mathbf{R}^3) \hookrightarrow L_x^{\infty}(\mathbf{R}^3)$  or  $H_x^1(\mathbf{R}^3) \hookrightarrow L_x^4(\mathbf{R}^3)$  and arguing as in Step 2 of Proposition 2.13, this yields

 $||\Lambda[Q(f,g)]||_{H_x^2}^2 + ||\Theta[Q(f,g)]||_{H_x^2}^2 \lesssim ||f||_{\mathbf{E}}||g||_{\mathbf{E}^*} + ||f||_{\mathbf{E}^*}||g||_{\mathbf{E}}.$ 

Finally we get

$$
\|\nabla_x \theta[h]\|_{H^2_x} + \|\nabla_x u[h]\|_{H^2_x} \lesssim \|\nabla_x \pi h\|_{H^2_x E} \lesssim \|h\|_{\mathbf{E}^*}.
$$

To sum up, we have shown

 $\Psi[Q(f, q), h] \leq \|h\|_{\mathbf{E}^*} (\|f\|_{\mathbf{E}} \|g\|_{\mathbf{E}^*} + \|f\|_{\mathbf{E}^*} \|g\|_{\mathbf{E}}).$ 

*Step 3: Proof of and*  $(2.42)$  *and*  $(2.43)$ *.* Brutal bounds lead to  $(2.42)$ *.* The proof of  $(2.43)$ is immediate from  $(2.11)$  which implies

$$
\langle g, \chi f \rangle_{\mathbf{E}} \lesssim \| \langle v \rangle^{\gamma/2+s} g \|_{\mathbf{E}} \| \chi \langle v \rangle^{\ell} f \|_{\mathbf{E}} \lesssim \left( \| g \|_{\mathbf{E}^*} + \| \pi g \|_{L^2_x L^2_v} \right) \| f \|_{\mathbf{X}(\langle v \rangle^{\ell})}.
$$

Similar arguments from the previous step also lead to

$$
\Psi[g,\chi f] \lesssim \|g\|_{\mathbf{E}^*} \|f\|_{\mathbf{X}(\langle v\rangle^\ell)},
$$

which completes the proof.  $\Box$ 

#### 3. Linear theory

3.1. **Estimates on**  $\mathscr{L}$ . The goal of this subsection is to prove the following proposition.

**Proposition 3.1.** Let  $k > 7/2 - |\gamma|/2 + 2s$  and denote the weight function  $m = \langle v \rangle^k$ . For *any smooth enough function f there holds*

(3.1) 
$$
\int (\mathscr{L}f) f m^2 dx dv \leqslant -c ||f||^2_{L^2_x H^{s,*}_v(m)} + C ||f||^2_{L^2_{x,v}},
$$

*for some positive constants*  $c, C > 0$ *.* 

We introduce a splitting of the angular cross section  $b(\cos \theta)$  so as to decompose the linearized operator  $\mathscr L$  as a singular regularizing part and a weakly coercive non-singular part, namely we define for any  $\delta \in (0,1]$ 

$$
b(\cos \theta) = b(\cos \theta) \mathbf{1}_{|\theta| \le \delta \pi/2} + b(\cos \theta) \mathbf{1}_{|\theta| > \delta \pi/2} =: b_{\delta}(\cos \theta) + b_{\delta}^{c}(\cos \theta),
$$

which induces the following splitting of the linearized operator:

$$
\mathscr{L} = \mathscr{L}_{\delta} + \mathscr{L}_{\delta}^c.
$$

Denote  $\nu_{\delta}$  the approximate collision frequency defined as

$$
\nu_{\delta}(v) = \int_{\mathbf{R}^3 \times \mathbf{S}^2} |v - v_*|^\gamma b_{\delta}^c(\cos \theta) \mu(v_*) \, \mathrm{d}\sigma \, \mathrm{d}v_*
$$

which satisfies, according to the cutoff case (see for instance [21]), for some positive constants  $\nu_0, \nu_1 > 0$ 

(3.2) 
$$
\nu_0 \delta^{-2s} \langle v \rangle^{\gamma} \leq \nu_{\delta}(v) \leq \nu_1 \delta^{-2s} \langle v \rangle^{\gamma}, \quad \forall v \in \mathbf{R}^3.
$$

The cutoff part of the linearized collision operator then splits (3.3)

$$
\mathscr{L}_{\delta}^{c} f = -\nu_{\delta} f + \int_{\mathbf{R}^{3} \times \mathbf{S}^{2}} |v - v_{*}|^{\gamma} b_{\delta}^{c}(\cos \theta) \left[ f(v_{*}') \mu(v') - f(v_{*}) \mu(v) + \mu(v_{*}') f(v') \right] d\sigma dv_{*}.
$$

**Lemma 3.2** (Non-grazing collisions). *Suppose*  $k > 3/2 + |\gamma|/2 + s$  *and let*  $m = \langle v \rangle^k$ *. For any*  $\delta, \varepsilon \in (0, 1]$  *there holds* 

$$
\int \left(\mathscr{L}_{\delta}^{c} g\right) g m^2 \, \mathrm{d} v \leqslant -c \delta^{-2s} \|\langle v \rangle^{\gamma/2} g\|_{L^2_v(m)}^2 + \varepsilon \|\langle v \rangle^{\gamma/2} g\|_{H^s_v(m)}^2 + C_{\delta, \varepsilon} \|g\|_{L^2_v}^2,
$$

*for some positive constants*  $c, C_{\delta, \varepsilon} > 0$ .

*Proof.* Firstly we consider

$$
\int (\mathscr{L}_{\delta}^{c}g)gm^{2} dv = - ||\nu_{\delta}^{1/2}g||^{2}_{L_{v}^{2}(m)} + \int |v - v_{*}|^{\gamma}b_{\delta}^{c}(\cos\theta)g'_{*}\mu'gm^{2} d\sigma dv_{*} dv
$$

$$
- \int |v - v_{*}|^{\gamma}b_{\delta}^{c}(\cos\theta)g_{*}\mu gm^{2} d\sigma dv_{*} dv
$$

$$
+ \int |v - v_{*}|^{\gamma}b_{\delta}^{c}(\cos\theta)\mu'_{*}g'gm^{2} d\sigma dv_{*} dv,
$$

so that, using the bounds  $(3.2)$  on  $\nu_{\delta}$ , we have

$$
\int \left(\mathscr{L}_{\delta}^{c} g\right) g m^{2} dv + \nu_{0} \delta^{-2s} \|\langle v \rangle^{\gamma/2} g\|_{L_{v}^{2}(m)}^{2} \leq \mathbf{I}_{1} + \mathbf{I}_{2} + \mathbf{I}_{3},
$$

with, denoting  $G = mg$ ,

$$
\mathbf{I}_1 := \int |v - v_*|^\gamma b_\delta^c(\cos \theta) g'_* \mu' Gm \, d\sigma \, dv_* \, dv,
$$
  
\n
$$
\mathbf{I}_2 := \int |v - v_*|^\gamma b_\delta^c(\cos \theta) g_* \mu Gm \, d\sigma \, dv_* \, dv,
$$
  
\n
$$
\mathbf{I}_3 := \int |v - v_*|^\gamma b_\delta^c(\cos \theta) \mu'_* g' Gm \, d\sigma \, dv_* \, dv.
$$

In Step 1, we prove that the terms  $\mathbf{I}_1$  and  $\mathbf{I}_3$  satisfy the bound

(3.4) 
$$
\mathbf{I}_1 + \mathbf{I}_3 \lesssim \delta^{-1-\gamma/2-2s} \int |v-v_*|^\gamma G^2 \varphi_* \, \mathrm{d}v \, \mathrm{d}v_*,
$$

where  $\varphi$  denotes a Schwartz function (typically of the form  $\mu^a \langle v \rangle^b$ ) from which we will deduce using Lemma 2.2 with  $\eta = \varepsilon \delta^{1+\gamma/2}$  that there holds

(3.5) 
$$
\mathbf{I}_1 + \mathbf{I}_3 \leqslant \varepsilon \delta^{-2s} \|\langle v \rangle^{\gamma/2} g\|_{L^2_v(m)}^2 + C_{\varepsilon, \delta} \|g\|_{L^2_v}^2.
$$

In Step 2, we will prove that  $I_2$  satisfies

$$
\mathbf{I}_2 \leqslant \varepsilon \delta^{-2s} \|\langle v \rangle^{\gamma/2} g\|_{H^s_v(m)} + C_{\varepsilon, \delta} \|g\|_{L^2_v}^2,
$$

so that taking  $\varepsilon$  small enough, we obtain

$$
\mathbf{I}_1+\mathbf{I}_2+\mathbf{I}_3 \leqslant \frac{\nu_0}{2}\delta^{-2s}\|\langle v \rangle^{\gamma/2}g\|_{L^2_v(m)}^2+\varepsilon'\|\langle v \rangle^{\gamma/2}g\|_{H^s_v(m)}^2+C_{\delta,\varepsilon}\|g\|_{L^2_v}^2,
$$

where  $\varepsilon'$  is arbitrarily small. This will indeed prove the lemma by taking  $\varepsilon'$  small enough. *Step 2: Proof of* (3.4) *for*  $I_1$  *and*  $I_3$ *.* We start by splitting  $I_1$  using (2.9):

$$
\mathbf{I}_{1} = \int |v - v_{*}|^{\gamma} b_{\delta}^{c}(\cos \theta) g'_{*} \mu' mG d\sigma dv_{*} dv
$$
  

$$
\lesssim \int |v - v_{*}|^{\gamma} b_{\delta}^{c}(\cos \theta) (\langle v \rangle g)'_{*} (\mu m)' G d\sigma dv_{*} dv
$$
  

$$
+ \int |v - v_{*}|^{\gamma} b_{\delta}^{c}(\cos \theta) G'_{*} \mu' G d\sigma dv_{*} dv =: \mathbf{I}_{11} + \mathbf{I}_{12},
$$

Rewriting  $I_{11}$  thanks to (2.6), using the Cauchy-Schwarz inequality, then integrating in  $\sigma$ one obtains

$$
\mathbf{I}_{11} = \int |v - v_*|^\gamma b_\delta^c(\cos \theta) (\langle v \rangle g)_* (\mu m) G' d\sigma dv_* dv
$$
  

$$
\leqslant \left( \int |v - v_*|^\gamma b_\delta^c(\cos \theta) (\langle v \rangle g)_*^2 (\mu m) d\sigma dv_* dv \right)^{1/2}
$$
  

$$
\times \left( \int |v - v_*|^\gamma b_\delta^c(\cos \theta) (\mu m) (G')^2 d\sigma dv_* dv \right)^{1/2}.
$$

Using the change of variables (2.4) in the post-factor, then integrating in  $\sigma$  we get

$$
\mathbf{I}_{11} \lesssim \left( \int b_{\delta}^{c}(\cos \theta) |v - v_{*}|^{\gamma} (\langle v \rangle g)_{*}^{2} (\mu m) dv_{*} dv \right)^{1/2}
$$
  
\$\times \left( \int b\_{\delta}^{c}(\cos(\pi - 2\theta)) |v - v\_{\*}|^{\gamma} (\pi - 2\theta)^{-2-\gamma} (\mu m) G\_{\*}^{2} dv\_{\*} dv \right)^{1/2}\$  
\$\lesssim \delta^{-1-\gamma/2-2s} \int |v - v\_{\*}|^{\gamma}(\mu m) G\_{\*}^{2} dv\_{\*} dv.

To bound the part  $I_{12}$ , we start again with the Cauchy-Schwarz inequality:

$$
\mathbf{I}_{12} = \int |v - v_*|^\gamma b_\delta^c(\cos \theta) G'_* \mu' G \, d\sigma \, dv_* \, dv
$$
  
\$\leqslant \left( \int |v - v\_\*|^\gamma b\_\delta^c(\cos \theta) (G'\_\*)^2 \mu' \, d\sigma \, dv\_\* \, dv \right)^{1/2}\$  
\$\times \left( \int |v - v\_\*|^\gamma b\_\delta^c(\cos \theta) \mu' G^2 \, d\sigma \, dv\_\* \, dv \right)^{1/2}\$.

Up to the pre-post change of variables (2.6) in the prefactor, this term is dealt with in the same way as  $I_{11}$ . Similar computations (using  $(2.5)$  this time) lead to

$$
\mathbf{I}_3 \lesssim \delta^{-2s} \int |v - v_*|^\gamma (\mu m) G_*^2 \, \mathrm{d}v_* \, \mathrm{d}v.
$$

This concludes this step.

*Step 2: Proof of* (3.5) *for* **I**<sub>2</sub>. For **I**<sub>2</sub>, we integrate in  $\sigma$  to get the factor  $\delta^{-2s}$ , then in  $v_*$ using the estimate (2.1) with the power  $\theta = k + \gamma/2 > 3/2 + s$ , which yields

$$
\mathbf{I}_2 = \int b_\delta^c(\cos \theta)|v - v_*|^\gamma g_* \mu G \, dv_* \, dv
$$
  
\$\leq \delta^{-2s} \| \langle v \rangle^{\theta} g \|\_{H\_v^s} \int \langle v \rangle^{\gamma} \mu G \, dv\$  
\$\leq \varepsilon \delta^{-2s} \| \langle v \rangle^{\gamma/2} g \|\_{H\_v^s(m)} + C\_{\varepsilon, \delta} \| g \|\_{L\_v^2}\$,

where the last line comes from Young's inequality.  $\Box$ 

**Lemma 3.3** (Grazing collisions). Let  $k > 13/2 + 2|\gamma|$  and define  $m = \langle v \rangle^k$ . There exists *some*  $c > 0$  *such that for any*  $\varepsilon > 0$  *and*  $\delta > 0$ 

(3.6) 
$$
\langle \mathcal{L}_{\delta} f, f \rangle_{L^2_v(m)} \leq \varepsilon \|f\|^2_{H^{s,*}} + C_{\varepsilon} \|\langle v \rangle^{\gamma/2} f\|^2_{L^2_v(m)},
$$

$$
(3.7) \qquad \langle \mathcal{L}f, f \rangle_{L^2_v(m)} \leqslant -c \|f\|^2_{H^{s,*}_v(m)} + C \| \langle v \rangle^{\gamma/2} f \|^2_{L^2_v(m)},
$$

*for some*  $C_{\varepsilon} > 0$ *.* 

*Proof.* We start by splitting the Dirichlet form using commutators:

$$
\langle \mathcal{L}_{\delta} f, f \rangle_{L^2_v(m)} = \langle Q_{\delta}(\mu, f), f \rangle_{L^2_v(m)} + \langle Q_{\delta}(f, \mu), f \rangle_{L^2_v(m)}
$$
  
=  $\langle Q_{\delta}(\mu, F), F \rangle_{L^2_v} + R_1 + R_2 + R_3,$ 

where we denoted

$$
R_1 := \langle Q_{\delta}(f, m\mu), F \rangle_{L^2_v},
$$
  
\n
$$
R_2 := \langle Q_{\delta}(\mu, f), f \rangle_{L^2_v(m)} - \langle Q_{\delta}(\mu, F), F \rangle_{L^2_v},
$$
  
\n
$$
R_3 := \langle Q_{\delta}(f, \mu), f \rangle_{L^2_v(m)} - \langle Q_{\delta}(f, m\mu), F \rangle_{L^2_v}.
$$

The first term is estimated using Lemma 2.10 (where we choose  $w_1 = \gamma/2 + 2s$ ,  $w_2 = \gamma/2$ for the weights,  $a = 2s$  and  $b = 0$  for the derivatives):

$$
R_1 \lesssim \|\langle v \rangle^{\gamma/2} f\|_{L^2_v(m)}^2,
$$

and the two other ones using Lemma 2.11 and Young's inequality:

$$
R_2 + R_3 \leq C \left( \| \langle v \rangle^{\gamma/2} f \|_{L^2_v(m)}^2 + \| \langle v \rangle^{\gamma/2} f \|_{L^2_v(m)} \| f \|_{H^{s,*}_v(m)} \right)
$$
  

$$
\leq C_{\varepsilon} \| \langle v \rangle^{\gamma/2} f \|_{L^2_v(m)}^2 + \varepsilon \| f \|_{H^{s,*}_v(m)}^2.
$$

We then focus on the first term which provides the anisotropic dissipation  $\dot{H}^{s,*}_{v}(m)$ :

$$
\langle Q_{\delta}(\mu, F), F \rangle_{L_v^2(m)} = \int B_{\delta}(v - v_*, \sigma) (\mu'_* F' - \mu_* F) F \, d\sigma \, dv_* \, dv
$$
  
=  $\frac{1}{2} \int B_{\delta}(v - v_*, \sigma) (2\mu'_* F' F - \mu_* F^2 - \mu'_* F^2) \, d\sigma \, dv_* \, dv$   
+  $\frac{1}{2} \int B_{\delta}(v - v_*, \sigma) (\mu'_* - \mu_*) F^2 \, d\sigma \, dv_* \, dv.$ 

We use (2.6) to change the term  $\mu'_* F^2$  of the first integral into  $\mu_*(F')^2$ , and the cancellation lemma (Proposition 2.4) in the second integral:

$$
\langle Q_{\delta}(\mu, F), F \rangle_{L_v^2(m)} = -\frac{1}{2} \int B_{\delta}(v - v_*, \sigma) \mu'_* (F' - F)^2 \, d\sigma \, dv_* \, dv
$$

$$
+ C_{\delta} \int |v - v_*|^\gamma \mu_* F^2 \, dv_* \, dv,
$$

where  $C_{\delta} \lesssim 1$ . We thus have in virtue of  $(2.1)$ 

$$
\langle Q_{\delta}(\mu, F), F \rangle_{L^2_v(m)} + \frac{1}{2} \int B_{\delta}(v-v_*, \sigma) \mu'_*(F'-F)^2 d\sigma dv_* dv \lesssim ||\langle v \rangle^{\gamma/2} f||^2_{L^2_v(m)}.
$$

Next, using (2.6), and then  $|v - v_*| \leq \langle v \rangle \langle v_* \rangle$  combined with the fact that  $\gamma \leq 0$ , we have

$$
-\int B_{\delta}(v-v_{*},\sigma)\mu'_{*}(F'-F)^{2} d\sigma dv_{*} dv
$$
  
=  $-\int b_{\delta}(\cos\theta)|v-v_{*}|^{\gamma}\mu_{*}(F'-F)^{2} d\sigma dv_{*} dv$   
 $\leq -\int b_{\delta}(\cos\theta)\langle v\rangle^{\gamma} (\mu\langle v\rangle^{-\gamma})_{*}(F'-F)^{2} d\sigma dv_{*} dv.$ 

One shows as in the proof of  $(1) \approx (2)$  from Lemma 2.7 that

$$
- \int B_{\delta}(v - v_*, \sigma) \mu'_* (F' - F)^2 d\sigma dv_* dv + \frac{1}{2} \int b_{\delta}(\cos \theta) (\mu \langle v \rangle^{\gamma})_* (\mathcal{F}' - \mathcal{F})^2 d\sigma dv_* dv
$$
  

$$
\lesssim ||\langle v \rangle^{\gamma/2} f||_{L^2(v)}^2.
$$

Together with the previous estimates, we conclude that

$$
\langle \mathcal{L}_{\delta} f, f \rangle_{L^2_v(m)} \leqslant -\frac{1}{2} \int b_{\delta}(\cos \theta) \left( \mu \langle v \rangle^{\gamma} \right)_* (\mathcal{F}' - \mathcal{F})^2 d\sigma dv_* dv + \varepsilon \|f\|_{H_v^{s,*}(m)}^2 + C_{\varepsilon} \| \langle v \rangle^{\gamma/2} f \|_{L^2_v(m)}^2.
$$

The second term being non-positive, we conclude that (3.6) holds.

Furthermore, this proof works when replacing  $b<sub>\delta</sub>$  by *b* (which corresponds, in a way, to taking  $\delta$  large), thus for  $\mathscr L$  we get

$$
\langle \mathscr{L}f, f \rangle_{L^2_v(m)} \leqslant -\frac{1}{2} \int b(\cos \theta) \left( \mu \langle v \rangle^{\gamma} \right)_* (\mathcal{F}' - \mathcal{F})^2 d\sigma dv_* dv + \varepsilon \|f\|_{H_v^{s,*}(m)}^2 + C_{\varepsilon} \| \langle v \rangle^{\gamma/2} f \|_{L^2_v(m)}^2.
$$

Recalling the definition of the norm  $\dot{H}^{s,*}_{v}(m)$  in (1.9), we therefore deduce that (3.7) also holds by taking *ε* small enough.

We are now able to complete the proof of Proposition 3.1.

*Proof of Proposition 3.1.* We get from Lemmas 3.2 and (3.6) that for *δ* small enough

$$
\langle \mathcal{L}f, f \rangle_{L_v^2(m)} = \langle \mathcal{L}_{\delta}^c f, f \rangle_{L_v^2(m)} + \langle \mathcal{L}_{\delta}f, f \rangle_{L_v^2(m)}
$$
  
\n
$$
\leq \varepsilon \|f\|_{\dot{H}_v^{s,*}(m)}^2 + C_{\varepsilon} \|\langle v \rangle^{\gamma/2} f\|_{L_v^2(m)} - c\delta^{-2s} \|\langle v \rangle^{\gamma/2} f\|_{L_v^2(m)}^2 + C \|f\|_{L_v^2}^2
$$
  
\n
$$
\leq \varepsilon \|f\|_{\dot{H}_v^{s,*}(m)}^2 - \|\langle v \rangle^{\gamma/2} f\|_{L_v^2(m)}^2 + C \|f\|_{L_v^2(m)}^2.
$$

We interpolate this estimate with  $(3.7)$ : for any  $\theta \in [0,1]$ 

$$
\langle \mathscr{L}f, f \rangle_{L^2_v(m)} \leqslant [\theta \varepsilon - (1 - \theta)c] \|f\|^2_{\dot{H}^{s,*}_v(m)} + [-\theta + (1 - \theta)C] \|\langle v \rangle^{\gamma/2} f\|_{L^2_v(m)} + \theta C \|f\|^2_{L^2_v}.
$$

We deduce (3.1) by taking  $\theta$  close enough to 1,  $\varepsilon$  small enough, and integrating in space.  $\Box$ 

3.2. **Estimates on** Λ**.** We already know from [34, (2.20)] that in the gaussian space **E**, the full linearized operator  $\Lambda = \mathscr{L} - v \cdot \nabla_x$  dissipates the **E**<sup>\*</sup>-norm. We recall here this result.

**Proposition 3.4** ([34])**.** *The equivalent scalar product defined in* (2.39) *satisfy the coercivetype estimate:*

$$
\langle \! \langle \Lambda f, f \rangle \! \rangle_{\mathbf{E}} \lesssim - \| f \|_{\mathbf{E}^*}^2.
$$

Concerning the polynomial space  $\mathbf{X}(m)$ , we will rely on the following splitting of the linearized operator Λ:

$$
\Lambda = \mathcal{A} + \mathcal{B},
$$

$$
\mathcal{A} := M \chi_R, \quad \mathcal{B} := \Lambda - \mathcal{A},
$$

with constants  $M, R > 0$  and  $\chi_R(v) = \chi(v/R)$ , where  $\chi \in C_c^{\infty}(\mathbb{R}^3)$  is a smooth function satisfying  $\mathbf{1}_{|v|\leq 1} \leq \chi \leq \mathbf{1}_{|v|\leq 2}$ . The parameters  $M, R > 0$  will be tuned later (to be chosen large enough) in order to make  $\beta$  dissipative.

**Proposition 3.5.** *Assume*  $k > 13/2 + 2|\gamma|$  *and define*  $m = \langle v \rangle^k$ *. There are*  $M_0, R_0 > 0$ *large enough such that for any*  $M \geq M_0$  *and*  $R \geq R_0$  *there holds, for any smooth enough function f,*

$$
\langle \mathcal{B}f, f \rangle_{L^2_{x,v}(m)} \lesssim -\|f\|^2_{L^2_x H^{s,*}_v(m)}.
$$

*Proof.* We write

$$
\langle \mathcal{B}f, f \rangle_{L^2_{x,v}(m)} = \langle \mathcal{L} - \mathcal{A}f, f \rangle_{L^2_{x,v}(m)} - \langle v \cdot \nabla_x f, f \rangle_{L^2_{x,v}(m)}.
$$

The second term vanishes in virtue of its gradient structure  $(\nabla_x f) f = \nabla_x (f/2)$ , thus by Proposition 3.1, we have for some constants  $c, C > 0$ 

$$
\langle \mathcal{B}f, f \rangle_{L^2_{x,v}(m)} \leqslant -c||f||^2_{L^2_x H^{s,*}_v(m)} + C||f||^2_{L^2_x L^2_v} - M||\chi_R(v)f||^2_{L^2_x L^2_v(m)} \leqslant -\frac{c}{2}||f||^2_{L^2_x H^{s,*}_v(m)} - \int \left(\frac{c}{2} \langle v \rangle^{\gamma} - Cm^{-2} + M\chi_R(v)\right) |f|^2 m^2 dv dx,
$$

by using that  $||f||_{L_x^2 H_v^{s,*}(m)} \ge ||\langle v \rangle^{\gamma/2} f||_{L_x^2 L_v^2(m)}$ . For large values of  $|v|$ , we have that *c*  $\frac{c}{2} \langle v \rangle^{\gamma} - Cm^{-2} > 0$  by the assumption  $k > |\gamma|/2$ , thus there are  $M_0, R_0 > 0$  large enough such that for all  $M \geq M_0$  and  $R \geq R_0$  we have

$$
\frac{c}{2}\langle v \rangle^{\gamma} - Cm^{-2} + M\chi_R(v) > 0,
$$

from which we deduce the desired estimate.

As an immediate consequence of Proposition 3.5 and the fact that B commutes with  $\nabla_x$ , we obtain the following dissipative estimate for  $\mathcal{B}$  in spaces of the type  $\mathbf{X}(m)$  and  $\mathbf{X}^*(m)$ , recalling the definition in  $(1.10)$  and  $(1.11)$ , respectively:

**Corollary 3.6.** *Assume*  $k > 13/2 + 2|\gamma| + 6s$  *and define*  $m = \langle v \rangle^k$ . *There are*  $M_0, R_0 > 0$ *large enough such that for any*  $M \geq M_0$  *and*  $R \geq R_0$  *there holds, for any smooth enough function f,*

$$
\langle \mathcal{B}f, f \rangle_{\mathbf{X}(m)} \lesssim -\|f\|_{\mathbf{X}^*(m)}^2.
$$

#### 4. Proof of Theorem 1.1

This section is devoted to the proof of Theorem 1.1. Recall that we assume non-cutoff soft potentials  $(1.4)$ – $(1.5)$ . We fix

$$
k > 13/2 + 7|\gamma|/2 + 8s
$$

and define the weight function  $m = \langle v \rangle^k$ . We also consider  $\theta, k_0 > 0$  such that

$$
2 < \theta < \frac{2(k - k_0)}{|\gamma|} \quad \text{and} \quad k_0 > 13/2 + 5|\gamma|/2 + 8s
$$

and define the weight function  $m_0 = \langle v \rangle^{k_0}$ . Finally we define the weight functions  $\underline{m} = m \langle v \rangle^{-2s} = \langle v \rangle^{k-2s}$  as well as  $\underline{m_0} = m_0 \langle v \rangle^{-2s} = \langle v \rangle^{k_0-2s}$ . Observe that we have  $k_0 - 2s > 13/2 + 5|\gamma|/2 + 6s$ 

so that we may apply in the sequel Propositions 2.12 and 2.13 as well as Corollary 3.6 with the weight function  $m_0$ , and consequently also with the weights  $m$ ,  $m_0$  and  $m$ .

Drawing inspiration from [10], we seek a solution to  $(1.6)$  of the form

$$
f(t) = h(t) + g(t) \in \mathbf{X}(m) + \mathbf{E}
$$

where the two parts evolve according to the differential system

(4.1) 
$$
\begin{cases} \partial_t h = \mathcal{B}h + Q(h, h) + Q(g, h) + Q(h, g), \\ \partial_t g = \Lambda g + Q(g, g) + \mathcal{A}h, \\ h(0, x, v) = f_0(x, v), \quad g(0, x, v) = 0. \end{cases}
$$

We will construct a solution to this system by building a sequence of approximate solutions  $(h_N, g_N)_{N=0}^{\infty}$  initialized as  $(h_0, g_0) = 0$  and defined inductively by

(4.2) 
$$
\begin{cases} \partial_t h_{N+1} = \mathcal{B}h_{N+1} + Q(h_N, h_{N+1}) + Q(g_N, h_{N+1}) + Q(h_{N+1}, g_N), \\ \partial_t g_{N+1} = \Lambda g_{N+1} + Q(g_N, g_{N+1}) + \mathcal{A}h_N, \\ h_{N+1}(0, x, v) = f_0(x, v), \quad g_{N+1}(0, x, v) = 0. \end{cases}
$$

To do so, we introduce the functional spaces  $\mathscr{X}(m)$ ,  $\mathscr{Y}(m_0)$  and  $\mathscr{E}$  as the spaces associated to the norms, respectively:

(4.3) 
$$
||h||_{\mathscr{X}(m)}^2 := \sup_{t \geq 0} ||h(t)||_{\mathbf{X}(m)}^2 + \int_0^\infty ||h(t)||_{\mathbf{X}^*(m)}^2 dt,
$$

(4.4) 
$$
||h||_{\mathscr{Y}(m_0)}^2 := \sup_{t \geq 0} (1+t)^{\theta} ||h(t)||^2_{\mathbf{X}(m_0)} + \int_0^{\infty} (1+t)^{\theta} ||h(t)||^2_{\mathbf{X}^*(m_0)} dt,
$$

(4.5) 
$$
||g||_{\mathscr{E}}^2 := \sup_{t \geq 0} ||g(t)||_{\mathbf{E}}^2 + \int_0^\infty ||g(t)||_{\mathbf{E}^*}^2 dt,
$$

where we recall that the norm  $\|\cdot\|_{\mathbf{E}}$  is defined in (2.39) and it is equivalent to  $\|\cdot\|_{\mathbf{E}}$ , and that the spaces  $\mathbf{X}(m)$ ,  $\mathbf{X}^*(m)$ ,  $\mathbf{Y}(m)$ ,  $\mathbf{E}$  and  $\mathbf{E}^*$  are defined respectively in (1.10), (1.11),  $(1.12)$ ,  $(2.20)$  and  $(2.21)$ . Similarly, we also consider the spaces  $\mathscr{X}(m)$  and  $\mathscr{Y}(m_0)$  defined respectively by  $(4.3)$  and  $(4.4)$  but with the weights *m* and  $m_0$ .

4.1. **Stability of the scheme.** In this subsection, we will show by induction that if the initial data satisfies

$$
||f_0||_{\mathbf{X}(m)} \leqslant \varepsilon_0
$$

with  $\varepsilon_0 > 0$  small enough, then the following bound holds for all  $N \geq 0$ :

(4.6) 
$$
||h_N||_{\mathcal{X}(m)} + ||h_N||_{\mathcal{Y}(m_0)} + ||g_N||_{\mathcal{E}} \lesssim ||f_0||_{\mathbf{X}(m)} \leq \varepsilon_0.
$$

This is of course true for  $N = 0$ . Assume this bound for some  $N \geq 0$  and let us deduce it for  $N+1$ .

4.1.1. *Stability of*  $h_{N+1}$  *in norm*  $\mathscr{X}(m)$ . We start with the first equation of (4.2). The weak coercivity estimate on B from Corollary 3.6 gives for some  $\lambda > 0$ 

$$
\langle \mathcal{B}h_{N+1}, h_{N+1} \rangle_{\mathbf{X}(m)} \leqslant -\lambda \|h_{N+1}\|_{\mathbf{X}^*(m)}^2,
$$

and the nonlinear terms are estimated using Proposition 2.12:

$$
\langle Q(h_N, h_{N+1}), h_{N+1} \rangle_{\mathbf{X}(m)} \lesssim ||h_{N+1}||_{\mathbf{X}^*(m)}^2 ||h_N||_{\mathbf{X}(m)} + ||h_{N+1}||_{\mathbf{X}(m)} ||h_{N+1}||_{\mathbf{X}^*(m)} ||h_N||_{\mathbf{X}^*(m)},
$$

$$
\langle Q(g_N, h_{N+1}), h_{N+1} \rangle_{\mathbf{X}(m)} \lesssim \!\! \|h_{N+1}\|_{\mathbf{X}^*(m)}^2 \|g_N\|_{\mathbf{E}} \! + \|h_{N+1}\|_{\mathbf{X}(m)} \|h_{N+1}\|_{\mathbf{X}^*(m)} \|g_N\|_{\mathbf{E}^*},
$$

as well as the bound  $(2.31)$ :

$$
\langle Q(h_{N+1}, g_N), h_{N+1} \rangle_{\mathbf{X}(m)} \lesssim ||h_{N+1}||_{\mathbf{X}^*(m)}^2 ||g_N||_{\mathbf{E}} + ||h_{N+1}||_{\mathbf{X}(m)} ||h_{N+1}||_{\mathbf{X}^*(m)} ||g_N||_{\mathbf{E}^*}.
$$

To sum up, we have the following energy estimate for  $h_{N+1}$ :

$$
\frac{1}{2}\frac{\mathrm{d}}{\mathrm{d}t}\|h_{N+1}\|_{\mathbf{X}(m)}^{2}+\lambda\|h_{N+1}\|_{\mathbf{X}^{*}(m)}^{2}\lesssim\|h_{N+1}\|_{\mathbf{X}^{*}(m)}^{2}(\|g_{N}\|_{\mathbf{E}}+\|h_{N}\|_{\mathbf{X}(m)})+\|h_{N+1}\|_{\mathbf{X}^{*}(m)}(\|h_{N}\|_{\mathbf{X}^{*}(m)}+\|g_{N}\|_{\mathbf{E}^{*}}).
$$

Integrating in time and simplifying by  $||h_{N+1}||_{\mathscr{X}(m)}$ , we obtain using the bounds (4.6)

$$
||h_{N+1}||_{\mathscr{X}(m)} \lesssim \varepsilon_0 ||h_{N+1}||_{\mathscr{X}(m)} + ||f_0||_{\mathbf{X}(m)},
$$

which, assuming  $\varepsilon_0 > 0$  small enough, simplifies as

(4.7) 
$$
||h_{N+1}||_{\mathcal{X}(m)} \lesssim ||f_0||_{\mathbf{X}(m)} \leq \varepsilon_0.
$$

This concludes this step.

4.1.2. *Stability of*  $h_{N+1}$  *in norm*  $\mathscr{Y}(m_0)$ . As for the estimates with the weight *m*, we have for some  $\lambda > 0$ 

$$
\frac{1}{2} \frac{d}{dt} \|h_{N+1}\|_{\mathbf{X}(m_0)}^2 + \lambda \|h_{N+1}\|_{\mathbf{X}^*(m_0)}^2 \n\lesssim \|h_{N+1}\|_{\mathbf{X}^*(m_0)}^2 (\|g_N\|_{\mathbf{E}} + \|h_N\|_{\mathbf{X}(m_0)}) \n+ \|h_{N+1}\|_{\mathbf{X}(m_0)} \|h_{N+1}\|_{\mathbf{X}^*(m_0)} (\|h_N\|_{\mathbf{X}^*(m_0)} + \|g_N\|_{\mathbf{E}^*}),
$$

which, using the bounds  $(4.6)$  and assuming  $\varepsilon_0 > 0$  small enough in order to absorb the first term in the right-hand side by the left-hand side, simplifies as

$$
\frac{1}{2}\frac{\mathrm{d}}{\mathrm{d}t}||h_{N+1}||_{\mathbf{X}(m_0)}^2 + \frac{\lambda}{2}||h_{N+1}||_{\mathbf{X}^*(m_0)}^2
$$
  
\$\lesssim ||h\_{N+1}||\_{\mathbf{X}(m\_0)}||h\_{N+1}||\_{\mathbf{X}^\*(m\_0)} (\|h\_N||\_{\mathbf{X}^\*(m\_0)} + ||g\_N||\_{\mathbf{E}^\*}),\$

Moreover, since we have  $\|\langle v \rangle^{\gamma/2} h\|_{\mathbf{X}(m_0)} \leqslant \|h\|_{\mathbf{X}^*(m_0)}$ , the following interpolation inequality holds for any  $R > 0$ :

$$
\langle R \rangle^{-|\gamma|} ||h||^2_{\mathbf{X}(m_0)} \leq ||h||^2_{\mathbf{X}^*(m_0)} + \langle R \rangle^{-|\gamma|-2(k-k_0)} ||h||^2_{\mathbf{X}(m)},
$$

thus, taking  $\langle R \rangle = \left( \frac{\lambda}{2R} \right)$  $\frac{\lambda}{2\theta}$ )<sup>1/| $\gamma$ |</sup> (1 + *t*)<sup>1/ $\gamma$ |</sup>, we have

$$
\frac{2\theta}{\lambda}(1+t)^{-1}||h||_{\mathbf{X}(m_0)}^2 \le ||h||_{\mathbf{X}^*(m_0)}^2 + \frac{2\theta}{\lambda}(1+t)^{-1-\frac{2(k-k_0)}{|\gamma|}}||h||_{\mathbf{X}(m)}^2.
$$

We now plug this control in the energy estimate:

$$
\frac{1}{2} \frac{d}{dt} \|h_{N+1}\|_{\mathbf{X}(m_0)}^2 + \frac{\lambda}{4} \|h_{N+1}\|_{\mathbf{X}^*(m_0)}^2 + \frac{\theta}{2} (1+t)^{-1} \|h_{N+1}\|_{\mathbf{X}^*(m_0)}^2
$$
  

$$
\lesssim (1+t)^{-1-\frac{2(k-k_0)}{|\gamma|}} \|h_{N+1}\|_{\mathbf{X}(m)}^2
$$
  

$$
+ \|h_{N+1}\|_{\mathbf{X}(m_0)} \|h_{N+1}\|_{\mathbf{X}^*(m_0)} (\|h_N\|_{\mathbf{X}^*(m_0)} + \|g_N\|_{\mathbf{E}^*}),
$$

and then multiply both sides by  $(1 + t)^{\theta}$ :

$$
\frac{\mathrm{d}}{\mathrm{d}t} \Big\{ (1+t)^{\theta} \|h_{N+1}\|_{\mathbf{X}(m_0)}^2 \Big\} + \frac{\lambda}{2} (1+t)^{\theta} \|h_{N+1}\|_{\mathbf{X}^*(m_0)}^2
$$
\n
$$
\lesssim (1+t)^{\theta-1-\frac{2(k-k_0)}{|\gamma|}} \|h_{N+1}\|_{\mathbf{X}(m)}^2
$$
\n
$$
+ (1+t)^{\theta} \|h_{N+1}\|_{\mathbf{X}(m_0)} \|h_{N+1}\|_{\mathbf{X}^*(m_0)} \left( \|h_N\|_{\mathbf{X}^*(m_0)} + \|g_N\|_{\mathbf{E}^*} \right).
$$

Integrating in time and using (4.6), we get

$$
||h_{N+1}||_{\mathcal{Y}(m_0)}^2 \lesssim ||h_{N+1}||_{\mathcal{X}(m)}^2 + \varepsilon_0 ||h_{N+1}||_{\mathcal{Y}(m_0)}^2 + ||f_0||_{\mathbf{X}(m_0)}^2,
$$

where we used the fact that  $\theta - 1 - \frac{2(k - k_0)}{|\gamma|} < -1$  so that  $(1 + t)^{\theta - 1 - \frac{2(k - k_0)}{|\gamma|}}$  is integrable. Assuming  $\varepsilon_0 > 0$  small enough and plugging in (4.7), we finally get

(4.8) 
$$
||h_{N+1}||_{\mathscr{Y}(m_0)} \lesssim ||f_0||_{\mathbf{X}(m_0)} \leq \varepsilon_0.
$$

This concludes this step.

4.1.3. *Stability of*  $g_{N+1}$  *in norm*  $\mathscr E$ . We now turn to the second equation of (4.2). The weak coercivity estimate from Proposition 3.4 gives us for some  $\lambda > 0$ 

$$
\langle\!\langle \Lambda g_{N+1}, g_{N+1}\rangle\!\rangle_{\mathbf{E}} \leqslant - \lambda \|g_{N+1}\|_{\mathbf{E}^*}^2.
$$

The nonlinear term is estimated using  $(2.41)$ :

$$
\langle \! \langle Q(g_N,g_{N+1}), g_{N+1} \rangle \! \rangle_{\mathbf{E}} \lesssim \|g_N\|_{\mathbf{E}} \|g_{N+1}\|_{\mathbf{E}^*}^2 + \|g_{N+1}\|_{\mathbf{E}^*} \|g_{N+1}\|_{\mathbf{E}} \|g_N\|_{\mathbf{E}^*}.
$$

The coupling term is estimated using (2.42):

$$
\langle\!\langle Ah_N, g_{N+1} \rangle\!\rangle_{\mathbf{E}} \lesssim \|h_N\|_{\mathbf{X}(m_0)} \|g_{N+1}\|_{\mathbf{E}}.
$$

Putting these bounds together et recalling that  $\|\cdot\|_E$  and  $\|\cdot\|_E$  are equivalent, we obtain the following energy estimate for  $g_{N+1}$ :

$$
\begin{aligned}\n\frac{1}{2} \frac{d}{dt} \|g_{N+1}\|_{\mathbf{E}}^2 + \lambda \|g_{N+1}\|_{\mathbf{E}^*}^2 \lesssim & \|g_N\|_{\mathbf{E}} \|g_{N+1}\|_{\mathbf{E}^*}^2 + \|g_{N+1}\|_{\mathbf{E}^*} \|g_{N+1}\|_{\mathbf{E}} \|g_N\|_{\mathbf{E}^*} \\
&+ \|h_N\|_{\mathbf{X}(m_0)} \|g_{N+1}\|_{\mathbf{E}},\n\end{aligned}
$$

which, using (4.6) and assuming  $\varepsilon_0 > 0$  small enough in order to absorb the first term in the right-hand side by the left-hand sid, simplifies into

$$
\frac{1}{2}\frac{\mathrm{d}}{\mathrm{d}t}||g_{N+1}||_{\mathbf{E}}^2 + \frac{\lambda}{2}||g_{N+1}||_{\mathbf{E}^*}^2 \lesssim ||g_{N+1}||_{\mathbf{E}^*}||g_{N+1}||_{\mathbf{E}}||g_N||_{\mathbf{E}^*} + ||h_N||_{\mathbf{X}(m_0)}||g_{N+1}||_{\mathbf{E}}.
$$

Integrating in time and using (4.6) and simplifying by  $||g_{N+1}||_{\mathscr{E}}$ , we finally get

$$
||g_{N+1}||_{\mathscr{E}}^2 \lesssim ||g_N||_{\mathscr{E}} ||g_{N+1}||_{\mathscr{E}}^2 + ||h_N||_{\mathscr{Y}(m_0)} ||g_{N+1}||_{\mathscr{E}}\lesssim \varepsilon_0 ||g_{N+1}||_{\mathscr{E}}^2 + ||f_0||_{\mathbf{X}(m)} ||g_{N+1}||_{\mathscr{E}},
$$

which implies, assuming  $\varepsilon_0 > 0$  small enough, that

(4.9) 
$$
||g_{N+1}||_{\mathscr{E}} \lesssim ||f_0||_{\mathbf{X}(m)} \leq \varepsilon_0.
$$

This concludes this step.

We therefore deduce  $(4.6)$  for  $N+1$  by gathering estimates  $(4.7)$ ,  $(4.8)$  and  $(4.9)$ , which completes the stability part of the proof.

4.2. **Convergence of the scheme.** Consider the successive differences of  $(h_N)_{N=0}^{\infty}$  denoted by  $d_{N+1} := h_{N+1} - h_N$ , that of  $(g_N)_{N=0}^{\infty}$  by  $e_{N+1} := g_{N+1} - g_N$ , and consider the equation satisfied by  $d_{N+1}$ 

(4.10) 
$$
\begin{cases} \partial_t d_{N+1} = \mathcal{B} d_{N+1} + Q(h_N, d_{N+1}) + Q(d_N, h_N) \\qquad \qquad + Q(g_N, d_{N+1}) + Q(e_N, h_N) \\qquad \qquad + Q(d_{N+1}, g_N) + Q(h_N, e_N), \\d_{N+1}(0, x, v) = 0, \end{cases}
$$

as well as the one satisfied by  $e_{N+1}$ :

(4.11) 
$$
\begin{cases} \partial_t e_{N+1} = \Lambda e_{N+1} + Q(g_N, e_{N+1}) + Q(e_N, g_N) + \mathcal{A}d_N, \\ e_{N+1}(0, x, v) = 0. \end{cases}
$$

In this subsection, we shall establish that for  $\varepsilon_0 > 0$  small enough the following bound holds, for some  $C_0 > 0$  and all  $N \geq 0$ :

(4.12) 
$$
\|e_N\|_{\mathscr{E}} + \|d_N\|_{\mathscr{X}(\underline{m})} + \|d_N\|_{\mathscr{Y}(\underline{m}_0)} \lesssim (C_0 \varepsilon_0)^{N/2}.
$$

4.2.1. *Recursive estimates for*  $d_{N+1}$  *in norm*  $\mathscr{X}(\underline{m})$ . Let  $N \in \mathbb{N}$ . We start by considering the first equation (4.10). The estimates of Proposition 2.12 give the following control:

$$
\label{eq:q} \begin{aligned} &\langle Q(h_N,d_{N+1}),d_{N+1}\rangle_{\mathbf{X}(\underline{m})}\lesssim& \|d_{N+1}\|_{\mathbf{X}^*(\underline{m})}^2\|h_N\|_{\mathbf{X}(\underline{m})}\\&+ \|h_N\|_{\mathbf{X}^*(\underline{m})}\|d_{N+1}\|_{\mathbf{X}(\underline{m})}\|d_{N+1}\|_{\mathbf{X}^*(\underline{m})}, \end{aligned}
$$

and also

$$
\langle Q(g_N, d_{N+1}), d_{N+1} \rangle_{\mathbf{X}(m)} \lesssim ||d_{N+1}||_{\mathbf{X}^*(m)}^2 ||g_N||_{\mathbf{E}} + ||g_N||_{\mathbf{E}^*} ||d_{N+1}||_{\mathbf{X}(m)} ||d_{N+1}||_{\mathbf{X}^*(m)}.
$$

Moreover, estimate (2.31) gives

$$
\label{eq:Q} \begin{aligned} &\langle Q(d_{N+1},g_N),d_{N+1}\rangle_{\mathbf{X}(\underline{m})}\lesssim& \|d_{N+1}\|_{\mathbf{X}^*(\underline{m})}^2\|g_N\|_{\mathbf{E}}\\ &+\|d_{N+1}\|_{\mathbf{X}^*(\underline{m})}\|g_N\|_{\mathbf{E}^*}\|d_{N+1}\|_{\mathbf{X}(\underline{m})}, \end{aligned}
$$

as well as

$$
\langle Q(h_N, e_N), d_{N+1} \rangle_{\mathbf{X}(m)} \lesssim ||d_{N+1}||_{\mathbf{X}^*(m)} ||h_N||_{\mathbf{X}^*(m)} ||e_N||_{\mathbf{E}} + ||d_{N+1}||_{\mathbf{X}^*(m)} ||e_N||_{\mathbf{E}^*} ||h_N||_{\mathbf{X}(m)} + ||d_{N+1}||_{\mathbf{X}(m)} ||e_N||_{\mathbf{E}^*} ||h_N||_{\mathbf{X}^*(m)}.
$$

Finally, estimates (2.32) and (2.30) give respectively the following bounds, which force to work in the larger space  $\mathbf{X}(m)$  instead of  $\mathbf{X}(m)$ :

$$
\langle Q(e_N, h_N), d_{N+1} \rangle_{\mathbf{X}(m)} \lesssim ||d_{N+1}||_{\mathbf{X}^*(m)} ||e_N||_{\mathbf{E}^*} ||h_N||_{\mathbf{X}(m)} + ||d_{N+1}||_{\mathbf{X}^*(m)} ||h_N||_{\mathbf{X}^*(m)} ||e_N||_{\mathbf{E}},
$$

and

$$
\langle Q(d_N,h_N),d_{N+1}\rangle_{\mathbf{X}(m)}\lesssim ||d_{N+1}||_{\mathbf{X}(m)}||d_N||_{\mathbf{X}^*(m)}||h_N||_{\mathbf{X}^*(m)}\\+||d_{N+1}||_{\mathbf{X}^*(m)}||h_N||_{\mathbf{X}^*(m)}||d_N||_{\mathbf{X}(m)}.
$$

As in the step of stability in section 4.1.1, we put these bounds together and integrate the resulting energy estimate to obtain the following control:

$$
||d_{N+1}||^2_{\mathcal{X}(\underline{m})}\lesssim \varepsilon_0||d_{N+1}||^2_{\mathcal{X}(\underline{m})}+\varepsilon_0||d_{N+1}||_{\mathcal{X}(\underline{m})}||e_N||_{\mathcal{E}}+\varepsilon_0||d_{N+1}||_{\mathcal{X}(\underline{m})}||d_N||_{\mathcal{X}(\underline{m})}
$$

where we used the stability estimates (4.6). Assuming  $\varepsilon_0 > 0$  small enough, this simplifies as

(4.13) 
$$
\|d_{N+1}\|_{\mathscr{X}(\underline{m})} \lesssim \varepsilon_0 \|e_N\|_{\mathscr{E}} + \varepsilon_0 \|d_N\|_{\mathscr{X}(\underline{m})}.
$$

4.2.2. *Recursive estimate for*  $d_{N+1}$  *in norm*  $\mathscr{Y}(m_0)$ . Let  $N \in \mathbb{N}$ . Arguing as in the step of stability in section 4.1.2, we have

$$
\frac{d}{dt}\Big\{(1+t)^{\theta}\|d_{N+1}\|_{\mathbf{X}(\underline{m}_{0})}^{2}\Big\} + \frac{\lambda}{2}(1+t)^{\theta}\|d_{N+1}\|_{\mathbf{X}^{*}(\underline{m}_{0})}^{2}
$$
\n
$$
\lesssim (1+t)^{\theta}\|d_{N+1}\|_{\mathbf{X}(\underline{m}_{0})}\|d_{N+1}\|_{\mathbf{X}^{*}(\underline{m}_{0})}\left(\|h_{N}\|_{\mathbf{X}^{*}(m_{0})}+\|g_{N}\|_{\mathbf{E}^{*}}\right)
$$
\n
$$
+(1+t)^{\theta}\|d_{N+1}\|_{\mathbf{X}^{*}(\underline{m}_{0})}\|h_{N}\|_{\mathbf{X}^{*}(m_{0})}\left(\|e_{N}\|_{\mathbf{E}}+\|d_{N}\|_{\mathbf{X}(\underline{m}_{0})}\right)
$$
\n
$$
+(1+t)^{\theta}\|d_{N+1}\|_{\mathbf{X}^{*}(\underline{m}_{0})}\|h_{N}\|_{\mathbf{X}(\underline{m}_{0})}\left(\|e_{N}\|_{\mathbf{E}^{*}}+\|d_{N}\|_{\mathbf{X}^{*}(\underline{m}_{0})}\right)
$$
\n
$$
+(1+t)^{\theta}\|d_{N+1}\|_{\mathbf{X}(\underline{m}_{0})}\|h_{N}\|_{\mathbf{X}^{*}(\underline{m}_{0})}\|e_{N}\|_{\mathbf{E}^{*}}
$$
\n
$$
+(1+t)^{\theta-1-\frac{2(k-k_{0})}{|\gamma|}}\|d_{N+1}\|^{2}_{\mathbf{X}(\underline{m})}.
$$

After integrating and using the bounds (4.6) from the stability estimate, we are left with

$$
||d_{N+1}||^2_{\mathscr{Y}(\underline{m_0})} \lesssim \varepsilon_0 ||d_{N+1}||^2_{\mathscr{Y}(\underline{m_0})} + \varepsilon_0 ||d_{N+1}||_{\mathscr{Y}(\underline{m_0})} \left( ||e_N||_{\mathscr{E}} + ||d_N||_{\mathscr{Y}(\underline{m_0})} \right) + ||d_{N+1}||^2_{\mathscr{X}(\underline{m})}
$$
  

$$
\lesssim \varepsilon_0 ||d_{N+1}||^2_{\mathscr{Y}(\underline{m_0})} + \varepsilon_0 \left( ||e_N||_{\mathscr{E}}^2 + ||d_N||_{\mathscr{Y}(\underline{m_0})}^2 \right) + ||d_{N+1}||^2_{\mathscr{X}(\underline{m})},
$$

where we used Young's inequality in the last line. Assuming  $\varepsilon_0 > 0$  small enough and plugging (4.13) in, this bound simplifies as

(4.14) 
$$
\|d_{N+1}\|_{\mathscr{Y}(\underline{m_0})} \lesssim \varepsilon_0 \|e_N\|_{\mathscr{E}} + \varepsilon_0 \|d_N\|_{\mathscr{X}(\underline{m_0})} + \varepsilon_0 \|d_N\|_{\mathscr{Y}(\underline{m_0})}.
$$

4.2.3. *Recursive estimates for*  $e_N$  *in norm*  $\mathscr{E}$ . Let  $N \in \mathbb{N}$ . We now considering the second equation  $(4.11)$ . Using  $(2.41)$  we have

$$
\langle \! \langle Q(g_N, e_{N+1}), e_{N+1} \rangle \! \rangle_{\mathbf{E}} \lesssim \|g_N\|_{\mathbf{E}} \|e_{N+1}\|_{\mathbf{E}^*}^2 + \|e_{N+1}\|_{\mathbf{E}^*} \|e_{N+1}\|_{\mathbf{E}} \|g_N\|_{\mathbf{E}^*},
$$

as well as

$$
\langle\!\langle Q(e_N,g_N),e_{N+1}\rangle\!\rangle_{\mathbf{E}}\lesssim \|e_{N+1}\|_{\mathbf{E}^*}\left(\|e_N\|_{\mathbf{E}^*}\|g_N\|_{\mathbf{E}}+\|e_N\|_{\mathbf{E}}\|g_N\|_{\mathbf{E}^*}\right),
$$

and using  $(2.42)$  we get

$$
\langle \!\langle \mathcal{A}d_N,e_{N+1}\rangle\!\rangle_{\mathbf{E}}\lesssim \|d_N\|_{\mathbf{X}(m_0)}\|e_{N+1}\|_{\mathbf{E}}.
$$

Arguing as in section 4.1.3, we gather these estimates and integrate the resulting energy estimate in time to obtain the following bound:

$$
||e_{N+1}||_{\mathscr{E}} \lesssim ||g_N||_{\mathscr{E}} ||e_{N+1}||_{\mathscr{E}} + ||g_N||_{\mathscr{E}} ||e_N||_{\mathscr{E}} + ||d_N||_{\mathscr{Y}(m_0)},
$$

which, using the stability estimates (4.6) and assuming  $\varepsilon_0 > 0$  small enough, simplifies as

(4.15) 
$$
\|e_{N+1}\|_{\mathscr{E}} \lesssim \varepsilon_0 \|e_N\|_{\mathscr{E}} + \|d_N\|_{\mathscr{Y}(m_0)}.
$$

4.2.4. *Proof of convergence.* We first prove (4.12) by using previous estimates. It is clearly true for  $N = 0$ , so we assume that  $(4.12)$  holds for all integers up to some  $N \geq 0$ , and we shall deduce it for  $N + 1$ . Thanks to estimates  $(4.13)$ ,  $(4.14)$  and  $(4.15)$  we have obtained, for all  $N \geqslant 0$ ,

$$
||d_{N+1}||_{\mathcal{X}(\underline{m})} \lesssim \varepsilon_0 (||d_N||_{\mathcal{X}(\underline{m})} + ||e_N||_{\mathcal{E}})
$$
  

$$
||d_{N+1}||_{\mathcal{Y}(\underline{m}_0)} \lesssim \varepsilon_0 (||d_N||_{\mathcal{X}(\underline{m})} + ||d_N||_{\mathcal{Y}(\underline{m}_0)} + ||e_N||_{\mathcal{E}})
$$
  

$$
||e_{N+1}||_{\mathcal{E}} \lesssim \varepsilon_0 ||e_N||_{\mathcal{E}} + ||d_N||_{\mathcal{Y}(m_0)}.
$$

This implies that

 $||d_{N+1}||_{\mathscr{X}(m)} + ||d_{N+1}||_{\mathscr{Y}(m_0)} + ||e_{N+1}||_{\mathscr{E}} \lesssim \varepsilon_0(||d_{N-1}||_{\mathscr{X}(m)} + ||d_{N-1}||_{\mathscr{Y}(m_0)} + ||e_{N-1}||_{\mathscr{E}})$ and thus, using  $(4.12)$  for  $N-1$ , we deduce

$$
||d_{N+1}||_{\mathscr{X}(\underline{m})} + ||d_{N+1}||_{\mathscr{Y}(\underline{m_0})} + ||e_{N+1}||_{\mathscr{E}} \lesssim \varepsilon_0 (C_0 \varepsilon_0)^{\frac{N-1}{2}} \lesssim (C_0 \varepsilon_0)^{\frac{N+1}{2}},
$$

which proves  $(4.12)$ .

Therefore, assuming  $\varepsilon_0 > 0$  small enough, the sequence  $(h_N, g_N)_{N>0}$  is a Cauchy sequence in  $\mathscr{X}(m) \times \mathscr{E}$  and thus converges to some limit  $(h, g)$  in  $\mathscr{X}(m) \times \mathscr{E}$ . In virtue of the stability estimates, the limit thus satisfies the bounds

$$
\sup_{t\geqslant 0} \|h(t)\|_{\mathbf{X}(m)}^2 + \int_0^\infty \|h(t)\|_{\mathbf{X}^*(m)}^2 dt \lesssim \|f_0\|_{\mathbf{X}(m)}^2,
$$
  
\n
$$
\sup_{t\geqslant 0} (1+t)^{\theta} \|h(t)\|_{\mathbf{X}(m_0)}^2 + \int_0^\infty (1+t)^{\theta} \|h(t)\|_{\mathbf{X}^*(m_0)}^2 dt \lesssim \|f_0\|_{\mathbf{X}(m)}^2,
$$
  
\n
$$
\sup_{t\geqslant 0} \|g(t)\|_{\mathbf{E}}^2 + \int_0^\infty \|g(t)\|_{\mathbf{E}^*}^2 dt \lesssim \|f_0\|_{\mathbf{X}(m)}^2.
$$

The solution thus constructed to the original perturbation equation  $(1.6)$  is given by letting  $f := h + g \in L^{\infty}(\mathbf{R}_+; \mathbf{X}(m)) \cap L^2(\mathbf{R}_+; \mathbf{Y}(m))$ , which thus satisfies

$$
\sup_{t\geqslant 0}||f(t)||^2_{\mathbf{X}(m)} + \int_0^\infty \left(||f^\perp(t)||^2_{\mathbf{X}^*(m)} + ||\nabla_x \pi f(t)||^2_{H^2_xL^2_v}\right) dt \lesssim ||f_0||^2_{\mathbf{X}(m)}.
$$

4.3. **Uniqueness of the solution.** Consider two solutions  $f, \tilde{f} \in L^{\infty}(\mathbb{R}_{+}; \mathbf{X}(m))$  $L^2(\mathbf{R}_+; \mathbf{Y}(m))$  to (1.6) with the same initial condition  $f_0$  and verifying

$$
\label{eq:4.1} \begin{split} &\sup_{t\geqslant 0}\|f(t)\|^2_{\mathbf{X}(m)}+\int_0^\infty\|f(t)\|^2_{\mathbf{Y}(m)}\,\mathrm{d} t\lesssim \|f_0\|^2_{\mathbf{X}(m)}\leqslant \varepsilon_0^2,\\ &\sup_{t\geqslant 0}\|\widetilde{f}(t)\|^2_{\mathbf{X}(m)}+\int_0^\infty\|\widetilde{f}(t)\|^2_{\mathbf{Y}(m)}\,\mathrm{d} t\lesssim \|f_0\|^2_{\mathbf{X}(m)}\leqslant \varepsilon_0^2, \end{split}
$$

with  $\varepsilon_0 > 0$  small enough. Denote  $d := f - \tilde{f}$  the difference of these solutions, which satisfies

$$
\partial_t d = \mathcal{B}d + \mathcal{A}d + Q(d, f) + Q(f, d).
$$

Arguing as in the previous steps, one gets for some  $\lambda > 0$  the control

$$
\begin{aligned}\frac{1}{2}\frac{\mathrm{d}}{\mathrm{d}t}\|d\|_{{\mathbf{X}}(\underline{m})}^2+\lambda\|d\|_{{\mathbf{X}}^*(\underline{m})}^2\lesssim&\|d\|_{{\mathbf{X}}(\underline{m})}^2+\|d\|_{{\mathbf{X}}^*(\underline{m})}\|f\|_{{\mathbf{X}}(\underline{m})}+\|d\|_{{\mathbf{X}}^*(\underline{m})}\|d\|_{{\mathbf{X}}(\underline{m})}\|f\|_{{\mathbf{Y}}(\underline{m})}\\ &+\|d\|_{{\mathbf{X}}^*(\underline{m})}\|\widetilde{f}\|_{{\mathbf{X}}(\underline{m})}+\|d\|_{{\mathbf{X}}^*(\underline{m})}\|d\|_{{\mathbf{X}}(\underline{m})}\|\widetilde{f}\|_{{\mathbf{X}}^*(\underline{m})},\end{aligned}
$$

which, once integrated from  $t = 0$  to  $t = T < \infty$ , gives (with obvious notation)

$$
||d||_{\mathcal{X}(\underline{m};T)}^2 \lesssim \left(T + ||f||_{L^{\infty}([0,T];\mathbf{X}(m))} + ||f||_{L^2([0,T];\mathbf{X}^*(m))} + ||\widetilde{f}||_{L^{\infty}([0,T];\mathbf{X}^*(m))} + ||\widetilde{f}||_{L^2([0,T];\mathbf{X}^*(m))}\right) ||d||_{\mathcal{X}(\underline{m};T)}^2.
$$

Observing that

 $\|\phi\|_{\mathbf{X}^*(m)} \lesssim \|\pi\phi\|_{H^3_xL^2_v} + \|\phi^\perp\|_{\mathbf{X}^*(m)} \lesssim \|\pi\phi\|_{L^2_xL^2_v} + \|\phi\|_{\mathbf{Y}(m)} \lesssim \|\phi\|_{\mathbf{X}(m)} + \|\phi\|_{\mathbf{Y}(m)}$ we have

$$
||f||_{L^2([0,T]; \mathbf{X}^*(m))} \lesssim \sqrt{T}||f||_{L^{\infty}([0,T]; \mathbf{X}(m))} + ||f||_{L^2([0,T]; \mathbf{Y}(m))} \lesssim \sqrt{T}\varepsilon_0 + \varepsilon_0
$$

and similarly for  $\tilde{f}$ . Using the uniform bounds on  $f$  and  $\tilde{f}$ , this becomes

$$
||d||_{\mathcal{X}(\underline{m};T)}^2 \lesssim \left(T + \varepsilon_0 + \sqrt{T}\varepsilon_0\right) ||d||_{\mathcal{X}(\underline{m};T)}^2.
$$

Assuming  $T > 0$  small enough and  $\varepsilon_0 > 0$  small enough, we have (for instance)

$$
||d||_{\mathcal{X}(\underline{m};T)}^2 \leqslant \frac{1}{2}||d||_{\mathcal{X}(\underline{m};T)}^2,
$$

which means that  $d = 0$ , or equivalently  $f = \tilde{f}$ , on interval [0, T]. By continuing this argument, we deduce that  $f_0$  gives rise to a unique (global) solution, namely,  $f$ . This concludes the proof of Theorem 1.1.

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