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1 Direct Quantitative Characterization of Polymer Brushes Obtained 2 by Surface-Initiated ATRP on Silicon

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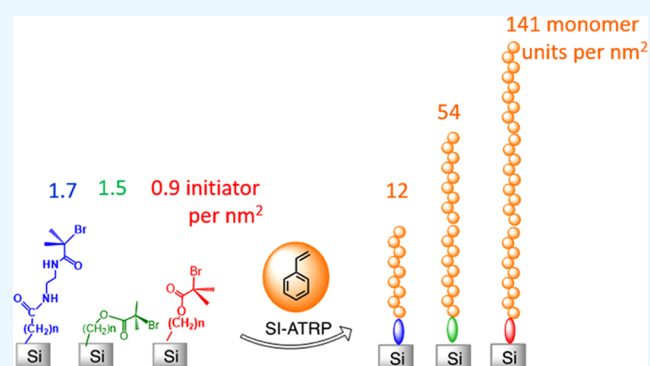
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Supporting Information

6 **ABSTRACT:** With respect to the increasing need for fully
7 characterizing surface-tethered polymer brushes, the capacity of
8 quantitative IR-Fourier transform infrared (FTIR) spectroscopy
9 using a multiple-internal-reflection Si prism as the attenuated total
10 reflection (ATR) element to directly characterize the surface
11 chemical modifications occurring during a surface-initiated
12 controlled polymerization is investigated in the case of high-density
13 polymer brushes. A simple two-step strategy is used involving first
14 the covalent grafting of atom transfer radical polymerization
15 (ATRP) initiators on a hydrogenated silicon surface and the
16 subsequent polymerization of styrene. Three prefunctionalized
17 surfaces designated Si-Br1, Si-Br2, and Si-Br3 are obtained by
18 different procedures. The initiator grafting densities obtained by
19 quantitative IR are $1.7 \pm 0.3 \text{ nm}^{-2}$ for Si-Br1, $1.5 \pm 0.3 \text{ nm}^{-2}$ for Si-Br2, and $0.9 \pm 0.2 \text{ nm}^{-2}$ for Si-Br3. After the polymerization of
20 styrene under the same experimental conditions (grafting from without sacrificial initiators) and a careful Soxhlet rinse to remove
21 physisorbed polymers formed in solution, almost no polymerization is observed using Si-Br1 with a value of the density in
22 polymerized styrene units of $12 \pm 2 \text{ nm}^{-2}$, which is probably due to the chelating effect of the amino linkers used for grafting the
23 initiators in Si-Br1. In contrast, the densities in styrene units are $54 \pm 11 \text{ nm}^{-2}$ using Si-Br2 and $141 \pm 28 \text{ nm}^{-2}$ using Si-Br3. The
24 degree of polymerization (DP) has been evaluated by measuring the polymer thickness (by ellipsometry and atomic force
25 microscopy, AFM) and using a scaling law relating the latter to DP for dry polymer brushes. High DP values of 200 and 1000 are
26 found in the case of Si-Br2 and Si-Br3, respectively. The fraction of active polymerization initiators is found to be 15–18%,
27 independent of the initiator surface density. In contrast, polymerization kinetics appear affected by steric hindrance and
28 conformational disorder among grafted initiators. This approach for determining surface densities of grafted initiators and grafted
29 polymer chains and DPs is fully generalizable to any other polymer system.

30 **KEYWORDS:** SI-ATRP, polymerization, silicon, IR-ATR, surface functionalization



1. INTRODUCTION

31 The preparation of functionalized surfaces from already
32 existing materials is a booming activity in materials sciences
33 as attested by the numerous recent reviews in the field.^{1–5}
34 Thus, using a very modest amount of reactant, it is possible to
35 give properties and a very high added value to a basic and
36 relatively inexpensive material. Often, functionalization with a
37 monolayer is not sufficient to give the material the desired
38 property, hence the need to use a layer of polymers to obtain
39 sufficient thickness. The fields of application concerned are
40 immense and diverse and depend on the type of sought
41 properties and therefore on the type of functional entities
42 attached at the surface of the material.^{6–8} They encompass
43 medical and public health field,^{9–12} antifouling coatings in the
44 marine environment,¹³ self-cleaning day-life textiles,¹⁴ treat-
45 ment of drinking water,¹⁵ recovery of rare metals from

wastewater,¹⁶ friction control,¹⁷ organic electronics,¹⁸ and
supported catalysis.¹⁹

Many methods of surface functionalization have already
been reported. In an industrial environment, dry physical
methods are preferred over wet chemical grafting, as they do
not require the use of solvents. In this work, a chemical route is
chosen to obtain strong covalent bonds at the anchor points of
the targeted chains. Two strategies of chain grafting can be

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distinguished.²⁰ The grafting-to strategy allows for the attachment of chains previously synthesized and functionalized at one end. The functional groups react with the surface and establish the desired covalent bond. The other strategy is grafting-from, which permits the growth of chains after the prior implementation of the initiator on the surface. Depending on the grafting density, the chains tethered on the surface by one end adopt different conformations and different regimes can be defined.²¹ At low grafting densities, “mushroom” or “pancake” conformations are described depending on the solvent quality. The coil dimension of the isolated tethered chain in good or theta solvent conditions will be similar to that of the ungrafted chains. In these conditions, the thickness of the polymer layer is of the order of $2R_G$ with R_G the radius of gyration of the free polymer chain. With increasing graft densities, chains will be obliged to stretch away from the surface forming the brush-like conformation. Polymer brushes are categorized into two groups differing in graft density: the semidilute brush and the concentrated (or high-density) brush. The crossover between the polymer chains in the semidilute regime and the concentrated regime has been observed for $\sigma \sim 0.2\text{--}0.3$ chain nm^{-2} , but for a specific system, the value may depend on the size of the pendent groups.^{22,23} For each solvation regime, specific scaling laws apply between the thickness of the polymer layer, the size a of the monomer, and the chain grafting density.²⁰ The grafting-to methods do not reach high grafting densities to be achieved due to steric hindrance. On the other hand, the grafting-from methods, when carried out under controlled polymerization conditions, i.e., with a progressive and simultaneous growth of the chains, make it possible to obtain a high grafting density.

To achieve high chain grafting density, the grafting method has to be chosen among surface-initiated controlled radical polymerization (SI-PRC) also referred more recently to surface-initiated reversible-deactivation radical polymerization (SI-RDRP).^{7,24} In this work, Surface-initiated atom transfer radical polymerization (ATRP) has been used as it is the most popular for 20 years due to its versatility and its relative ease of use.^{21,25,26} Conventional ATRP typically uses a transition-metal complex as the catalyst with an alkyl halide as the initiator ($R\text{-X}$ with $X = \text{Cl, Br, I}$) and various transition-metal (Mt) complexes (Mt^{n+}/L), namely, those of Cu, Fe, Ru, Ni, Os, etc., (with L : ligand) have been employed as catalysts for ATRP. In an SI-ATRP process, the initiator is grafted onto the surface. Then, the polymerization is conducted in a controlled manner either in the presence of untethered sacrificial initiator or in the absence of untethered sacrificial initiator but with 5–25 mol % of deactivator (Mt^{n+1}/L) with respect to the activator (Mt^{n+}/L).^{27,28} Since the initial ATRP works, many attempts have been made to lower or even suppress the amount of catalyst (often copper) used in ATRP. Among them are the metal-free ATRP^{29,30} or the externally controlled ATRP³¹ such as eATRP^{32–34} or photoATRP^{35–37} being controlled by electrical current or light, respectively.

In the SI-ATRP modification, the main drawbacks are the difficulty of analyzing the length of the polymer chains grafted onto the surface as well as the grafting density. Using the above-mentioned scaling laws, measurements of polymer thickness in dry and solvated states can give access to the degree of polymerization and grafting density.^{38–40} However, the polymer coatings are analyzed by tedious or indirect methods. The grafted polymer chains can indeed be detached from the surface for analysis, after either destruction of the

substrate or chain release by hydrolysis.⁴¹ Another method is the analysis of the polymers obtained in solution, by the activation of a sacrificial initiator introduced into the reaction medium. In that case, it must be assumed that similar mechanism and kinetics take place for the polymerization both in solution and from the surface. This method is usually considered a good check for polymerization control. In the absence of untethered initiator, another possibility to maintain the controlled polymerization is to add a sufficient concentration of deactivator.²⁷ The advantage of this method is the absence of free polymers produced in solution, which could pollute the surface by adhesion. However, the degree of polymerization and chain grafting density have then to be directly determined. A new method requiring characterization of the polymer layer in the dry state only is presented here for that purpose.

The approach consists in performing polymerization on crystalline silicon prisms and using quantitative IR spectroscopy in attenuated total reflection (ATR) geometry. Then, with a single measurement of the polymer layer thickness (using ellipsometry or AFM), the grafting density, the length of the polymer chains, their DP, and the fraction of active tethered initiators can be directly obtained. Working with a silicon prism providing multiple internal reflections (typically ~ 20 reflections) gives the requested sensitivity.^{42–45} Silicon prisms are commercially available and many (electro)chemical strategies have been developed to graft organic moieties, such as usual initiators, via Si–C or Si–O–C covalent linkages.^{46–48} Finally, the IR configuration is well known at the prism surface,⁴⁹ allowing for quantitatively analyzing the IR bands and determining the density and the orientation of the molecules grafted to the prism.⁵⁰ Molecular densities as low as ~ 0.15 nm^{-2} have been determined, corresponding to ~ 0.1 equiv of one monolayer, providing the requested sensitivity to determine practical initiator densities.⁵¹

Herein, the method is presented for the case study of high-density polystyrene brushes synthesized using the SI-ATRP procedure after initial grafting of the initiator onto the prism surface. Three different routes of introduction of this initiator via Si–C covalent bonds have been developed to modulate the density of grafted initiators and assess the corresponding impact on the styrene polymerization efficiency. The polymerization of styrene has been conducted in classical ATRP conditions, in the absence of untethered sacrificial initiator. Kinetics studies assessing the control of the polymerization process on silicon surface have been conducted in the initial work of Matyjaszewski et al.²⁷ For polymerization in solution, ethyl 2-bromoisobutyrate has been used as the initiator.^{52,53} In such conditions, the polymerization was well controlled with first-order kinetics, a linear increase of M_n with conversion and molar mass dispersities \bar{D} in the range 1.07–1.10.⁵⁴

2. RESULTS AND DISCUSSION

2.1. Grafting of ATRP Initiator via Three Strategies. In the first approach displayed in Figure 1A, well-defined carboxydecyl-terminated silicon(111) surfaces have been chosen as the starting state for the step-by-step covalent grafting of the polymerization initiator for several reasons. First, they are obtained by photochemical hydrosilylation of 10-undecylenic acid on hydrogenated silicon surfaces, leading to a robust attachment of the alkyl chains via covalent Si–C bonds.⁵⁰ Rather high density of acid chains can be achieved, typically between 2 and 3 acid chains- nm^{-2} , which is a key

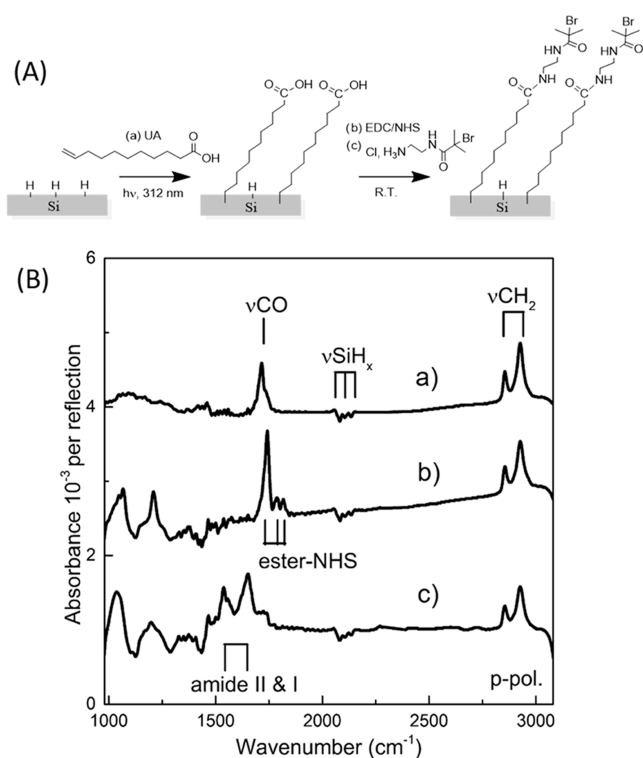


Figure 1. Multistep chemical modification of crystalline silicon Si(111) surface (A) and the corresponding ATR-FTIR spectra in p-polarization after (B): the photochemical hydrosilylation of 10-undecylenic acid on the etched SiH_x surface leading to the carboxy-terminated surface, Si-acid (a); the activation of the acid functions via EDC/NHS (b); the amide bond formation by coupling the ester-NHS functions with initiator 1 in aqueous medium leading to Si-Br1 (c). Absorbance is computed with respect to the hydrogenated Si(111) surfaces.

parameter to obtain brush-like polymers on the surface.²¹
 179 Finally, the terminal carboxyl functions can be modified with
 180 amino linkers in mild conditions using the well-known 1-ethyl-
 181 3-(3-dimethylaminopropyl)carbodiimide/*N*-hydroxysuccini-
 182 mide (EDC/NHS) coupling strategy.^{55–58} In this case, an
 183 aminoethyl-substituted compound bearing an α -bromoisobu-
 184 tyryl moiety has been proposed as a polymerization initiator
 185 (initiator 1) to be grafted on the surface via amide bonds.^{6,59}
 186 Its synthesis as a hydrochloride salt has been realized in three
 187 steps as described in the [Experimental Section](#).⁶⁰
 188 To characterize the immobilization of the initiator 1 directly
 189 on the silicon surface, ATR-FTIR spectroscopy has been
 190 performed using the modified silicon prism as the ATR
 191 element. [Figure 1B](#) represents the IR spectra of the silicon
 192 surface after each chemical modification: 10-undecylenic acid

grafting (a), EDC/NHS activation (b), and amide bond
 193 formation with initiator 1 (c). In either case, the reference
 194 spectra are that of the freshly etched Si(111) surface
 195 (abbreviated as SiH_x). Focusing on the 1500–1900 cm⁻¹
 196 spectral range, the carbonyl stretching mode ν CO of the
 197 acid-terminated surface is clearly seen at 1715 cm⁻¹ and
 198 the triplet band at \sim 1743 cm⁻¹ is characteristic of the ν CO
 199 modes of the ester-NHS function of the activated surface.
 200

After reaction of the ester-NHS groups with initiator 1,
 201 spectrum (c) reveals the complete disappearance of the triplet
 202 band and the presence of the two amide bands at 1650 and
 203 1535 cm⁻¹, confirming the success of the initiator grafting. The
 204 carbonyl peak is still present at a higher wavelength of \sim 1727
 205 cm⁻¹ suggesting unreacted acid or partial hydrolysis of ester-
 206 NHS functions during the aminolysis. To determine the yield
 207 of the chemical modification of the grafted carboxydecyl
 208 chains, we have developed quantitative tools from the
 209 integrated absorbance of the carbonyl peaks measured for
 210 the p- and s-polarization of the IR beam.^{50,61} Briefly, an IR
 211 calibration using similar molecules (decanoic acid in dodecane
 212 and *N*-succinimidyl palmitate in tetrahydrofuran, THF) is
 213 performed at different concentrations to determine the
 214 absorption cross-section of the carbonyl modes of the
 215 carboxylic acid and the ester-NHS functions as explained in
 216 supporting information, [Section S-I](#). By comparing the
 217 integrated areas of characteristic peaks with the corresponding
 218 ones of the grafted molecules, two characteristic quantities N_{\parallel}
 219 and N_{\perp} can be computed. They are equivalent to a surface
 220 concentration of molecules corresponding to the projection of
 221 the dynamic dipole of the considered mode on the surface and
 222 its normal, respectively. The actual areal density of the
 223 carbonyl functions is deduced from the sum $N_{\parallel} + N_{\perp}$, and the
 224 number of grafted acid and ester-NHS chains per nm² can this
 225 way be calculated. [Table S1](#) summarizes the integrated
 226 absorbance of the carbonyl peaks in p- and s-polarization of
 227 the various modified surfaces, and [Table 1](#) shows the
 228 corresponding grafting density. Furthermore, an independent
 229 IR calibration of dodecane has been achieved to determine the
 230 surface concentration of methylene units in the grafted chains
 231 through the analysis of the ν CH₂ band chains. On
 232 carboxydecyl layers, this calibration essentially yields the
 233 same results as that based on the analysis of the ν C=O
 234 band. A density of 2.3 ± 0.4 grafted chains per nm² for the
 235 carboxydecyl-terminated Si(111) monolayer is found, whereas
 236 a density of 2 ± 0.4 ester-NHS chains per nm² is obtained,
 237 which corresponds to an activation yield of \sim 85%. In the case
 238 of the Si-Br1 surface, a residual amount of $\sim 0.6 \pm 0.1$ acid
 239 chains per nm² is calculated, given a total amidation yield of
 240 \sim 70% with 1.7 ± 0.3 bromoisobutyryl-terminated chains per
 241 nm⁻². It means that \sim 20% of the surface Si atoms are linked to 242

Table 1. Molecular Density N (in nm⁻²) at Various Modification Stages of Si(111) Surfaces, According to Strategies 1, 2, and 3

	starting surface (from ν CO or ν_s CH ₂)	initiator-grafted surface (from ν CO)	polymerized surface (number of styrene units from ν CH _{2,ar0})
strategy 1	Si-acid (from ν CO/ ν_s CH ₂)	Si-ester NHS (from ν CO)	Si-PS1
	$2.3 \pm 0.4/2.6 \pm 0.5$	2 ± 0.4	11.6 ± 2.2
strategy 2	Si-ester (from ν_s CH ₂)	Si-Br2	Si-PS2
	1.53 ± 0.3	1.5 ± 0.3	54.2 ± 11
strategy 3	hydrogenated surface	Si-Br3	Si-PS3
	no grafted molecule	0.9 ± 0.2	141 ± 28

^aBased on the determination of the surface concentration of residual acid groups.

243 an initiator-terminated chain, a figure to be compared to the
244 grafting of ~50% of the surface Si atoms in the most dense
245 alkyl layers.⁶² The water contact angle of the three surfaces was
246 also measured: it rises from $61 \pm 1^\circ$ for the acid surface to 67
247 and $74 \pm 1^\circ$ after the NHS activation and amidation reaction,
248 respectively, confirming the grafting of nonpolar terminal
249 groups on the silicon surface.

250 As a second strategy, the commercially available α -
251 bromoisobutyryl bromide (initiator 2) has been immobilized
252 on the Si surface via an ester linkage as depicted in Figure 2A.

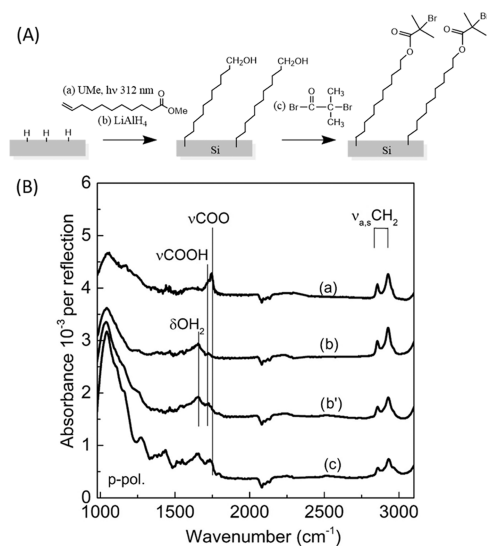


Figure 2. Multistep strategy for the attachment of α -bromoisobutyryl initiator (initiator 2) via ester bonds (A) and their corresponding ATR-FTIR spectra in p-polarization at various stages of the attachment procedure (B): photochemical hydrosilylation of methyl 10-undecenoate on the SiH_x surface, Si-ester (a); reduction of the ester functions with LiAlH₄ (b) followed by a vacuum drying at 80 °C, Si-OH (b'); esterification of the hydroxyl functions with α -bromoisobutyryl bromide, Si-Br2 (c). Absorbance is computed with respect to the hydrogenated SiH_x surface.

253 Similar strategies have proven to be efficient for the growth of
254 brush-like polymer chains by SI-ATRP on various substrates
255 like silicon,^{27,63,64} polymers,^{65,66} and nanoparticles.^{7,24} The
256 surface modification involves three steps. The photochemical
257 hydrosilylation of methyl-10-undecenoate on SiH_x leads to the
258 formation of the ester-decyl-terminated monolayer Si-ester.
259 Then, the ester groups are reduced in the presence of LiAlH₄
260 to form the hydroxyl-terminated surface Si-OH; the latter is
261 finally esterified by reaction with initiator 2. Figure 2B shows
262 the IR-ATR spectra of the three different monolayers. From
263 spectrum (a), a broad peak with a maximum at 1747 cm^{-1} is
264 attributed to the stretching mode of the ester function together
265 with a shoulder at 1724 cm^{-1} related to the ν_{CO} mode of the
266 carboxylic acid, suggesting the partial hydrolysis of the ester
267 groups into acid groups. Since the two carboxyl and ester peaks
268 overlap, their fitting is very sensitive to the width of their
269 bands. The quantification has therefore been focused on the
270 quantification of the $\nu_{\text{s}}\text{CH}_2$ mode from the alkyl chain to
271 extract an areal density of $\sim 1.53 \pm 0.3$ grafted chains per nm².
272 After reduction with LiAlH₄, spectrum (b) reveals the
273 complete disappearance of the acid and ester functions and
274 the appearance of a broad peak at 1653 cm^{-1} maybe due to
275 entrapped water molecules ($\delta_{\text{H}_2\text{O}}$ scissor mode) interacting by

hydrogen bonding on the hydroxylated surface. Heating at 80
°C under vacuum does not remove the presence of this peak
but favors the oxidation of the surface as unveiled on the IR
spectrum (b') with a broad peak at 1040 cm^{-1} corresponding
to the silicon oxide vibrations. In the last spectrum (c), the
peak related to the ν_{CO} of the ester becomes again visible and
shifted to 1739 cm^{-1} , attesting to the successful attachment of
initiator 2. The surface Si-Br2 is further oxidized. The oxide
thickness can be estimated from the intensity of the band at
 1040 cm^{-1} related to the transverse optical phonon vibration in
s-polarization (in this case, 2.3 mAbs, data not shown). As 1
mAbs corresponds to a thickness of $\sim 1.5 \text{ \AA}$, a 3.4 \AA -thick oxide
film is estimated.⁶⁷ To avoid interference with the residual
peak at 1720 cm^{-1} present after the vacuum heating treatment,
the quantitative analysis of the ester peak was performed on
spectrum (c) by taking the spectrum (b') as the reference
spectrum (Figure S6). For the quantification of grafting density
of the Si-Br2 surface, IR calibration of undecenyl isobutyrate
(initiator 3) has been realized as explained above. A density of
 1.49 ± 0.3 initiator-terminated chains per nm² is calculated,
yielding to a quantitative conversion of the initial ester chains
within the accuracy of the molecular density determination.
From ellipsometry analysis, the thickness of the layer amounts
to 1.4 nm, close to the calculated thickness of 1.48 nm with a
tilt angle of $30\text{--}35^\circ$ (determined by Chemdraw software).
However, the actual geometry of the chains appears to depart
from this ideal picture, in which the orientation of the C=O
bond is expected to be $\sim 60^\circ$ off the surface normal. Identifying
the C=O bond direction to the orientation of the dynamic
dipole of the stretching C=O bond, the corresponding
average orientation of the C=O can be experimentally
determined as $\tan^{-1}[(N_{\parallel}/N_{\perp})^{1/2}]$, which yields a value of
 $\sim 38^\circ$. Infrared data therefore suggest the presence of
conformational defects like gauche conformers in the grafted
layer. A possible explanation of this departure from an ideal
configuration can be thought of as arising from the
intermediate SiOH surface. The presence of hydroxyl
termination could induce some chain pairing through the
mediation of water molecules, to favor the buildup of an
energetically favorable hydrogen-bond network. The presence
of strongly bound water molecules evidenced in the infrared
spectra supports this hypothesis. The induced chain pairing
favors the presence of gauche conformation in typically half of
the grafted chains. By assuming that this conformation does
not change in the final esterification step, an average
orientation of the C=O bonds of $\sim 30^\circ$ with respect to the
surface normal is expected, in typical agreement with the low
value experimentally determined. In any case, infrared data
reveal the presence of a significant conformational disorder on
top of the grafted layer.

The last strategy consists in grating initiator 3 (an alkenyl
chain terminated with a bromoisobutyrate head) on a
hydrogenated silicon surface. Initiator 3 was synthesized in
one step with excellent yield from the esterification of 10-
undecenol with α -bromoisobutyryl bromide (initiator 2).⁵⁷
The hydrosilylation reaction was performed thermally to avoid
competitive homolytic C-Br bond cleavage when using UV
irradiation. Figure 3 represents the ATR-IR spectrum of
initiator 3 grafted on Si(111), Si-Br3. The presence of the
stretching modes of the alkyl chains at 2860 and 2925 cm^{-1}
together with their bending mode at 1465 cm^{-1} and the
stretching mode of the ester link at 1735 cm^{-1} confirms the

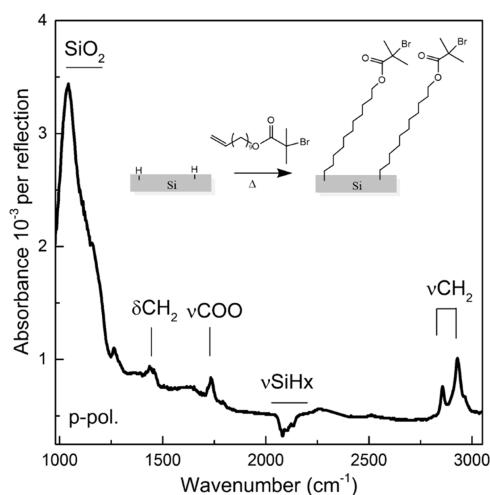


Figure 3. ATR-FTIR spectrum in p-polarization after the direct thermal hydrosilylation of initiator 3 on the SiH_x surface. Absorbance is computed with respect to the hydrogenated SiH_x surface.

grafting of initiator 3 on the surface. The stretching and the bending mode of the methyl groups are also observed at 2965 and 1265 cm^{-1} , respectively. In parallel, the surface Si-Br_3 is quite oxidized with an oxide peak absorbance of 2.6 mAbs in s-polarization at $\sim 1050 \text{ cm}^{-1}$, corresponding to an equivalent oxide thickness of 3.9 Å.

For the quantification of the ester peak, an IR calibration of initiator 3 has been realized in CDCl_3 at various concentrations (cf. Section S-I). A density close to 0.9 ± 0.2 initiator-terminated chains per nm^2 is calculated. This value is low (3 times lower than that of closely packed alkyl layers on $\text{Si}(111)$) and significantly lower than the value obtained using the first strategy). The successful grafting was also evidenced by X-ray photoelectron spectroscopy (XPS). The C 1s core-level spectrum (Figure 4A) is separated into four main contributions at 284.3 eV related to a carbon linked to Si (C-Si), at 285.1 eV attributed to sp^3 carbon only linked to C or H atoms (Csp3), at 286.6 eV to carbon linked to bromine or the

terminal carbon of an alkyl chain linked to the oxygen atom from an ester group, and finally at 289.1 eV to the carbon of an ester function (C-ester). From the quantitative analysis of the normalized areas of these contributions (Table S4), the ratio of Csp3/C-ester can be determined and is perfectly equal to 11, as expected for surface species $\text{Si-CH}_2\text{-(CH}_2\text{)}_9\text{-CH}_2\text{-OOC-(CH}_3\text{)}_2\text{Br}$. However, the ratio C-ester/C-Si is twice smaller as expected (~ 0.47 instead of 1). Part of this low value can actually arise from the attenuation of the photoemitted electrons by the surface organic layer since the Si-C bonds are located at the bottom of the layer, whereas ester groups are located at the top. On the basis of the attenuation of a $\text{C}_{16}\text{H}_{33}$ layer,⁶⁸ a transmission coefficient of ~ 0.67 can be estimated for the organic layer, which might account for the about half of the discrepancy with the expected value of the Si-C contribution to the C 1s band. Another possible origin for this discrepancy can be paralleled with the value of the C-Br, C-O/C-ester contribution ratio, which is equal to 2.5 instead of 2. Since the surface is strongly oxidized with a characteristic contribution at $\sim 103.0 \text{ eV}$ in the Si 2p XPS spectrum (Figure S7), it can be suggested that part of the initiator 3 molecules have reacted with surface SiOH species generated during the reaction upon surface oxidation by residual water. Even though the detailed mechanism remains to be clarified, the generation of some $\text{Si-O-(CH}_2\text{)}_{11}\text{-OOC(CH}_3\text{)}_2\text{Br}$ species at the surface is consistent with a decrease in the expected Si-C contribution and an increase of that of C-Br, C-O to the C 1s band. To put in another way, some grafting may also occur via Si-O-C linkage. The overlap of the various contributions to the C 1s band makes uncertain the accurate determination of the respective proportions of the chains grafted through a Si-C bond to that grafted through a Si-O-C bond. The data are consistent with a proportion of molecules grafted through Si-O-C bonds up to 50%. Finally, the Br 3d core-level spectrum is fitted using two spin-orbit splitting doublet located at $\sim 70.5 \text{ eV}$ (Br $3d_{5/2}$) and $\sim 71.4 \text{ eV}$ (Br $3d_{3/2}$), attributed to Br-C bonds and a second at $\sim 69.4 \text{ eV}$ (Br $3d_{5/2}$) and $\sim 70.4 \text{ eV}$ (Br $3d_{3/2}$) given by negatively charged Br, which forms during exposure to the X-ray beam (Figure 4B).⁶⁹ From the

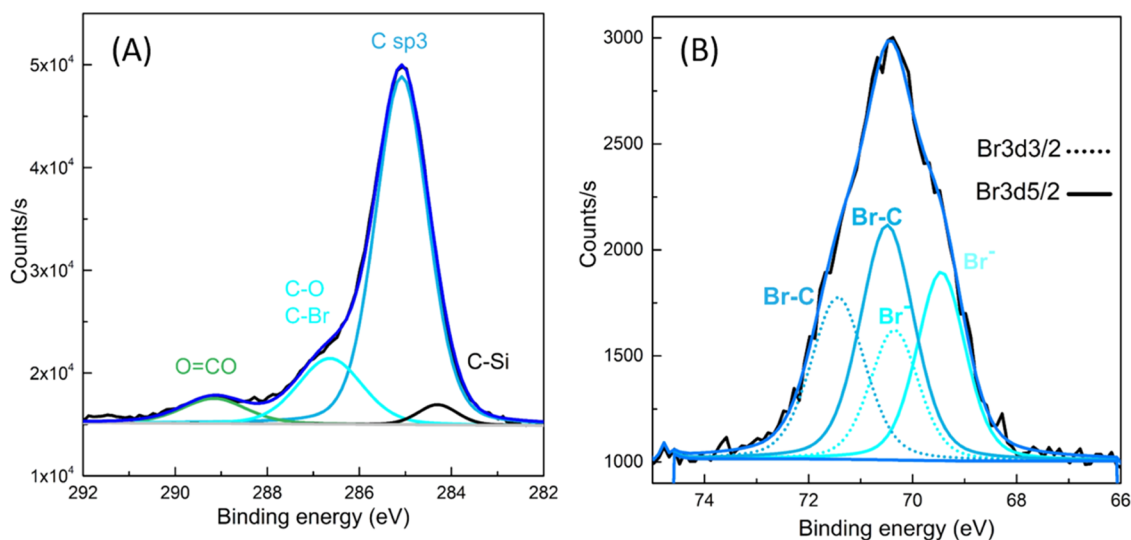


Figure 4. XPS spectra of the direct thermal hydrosilylation of initiator 3 on SiH_x surface: in the C 1s (A) and Br 3d (B) regions. The normalized areas of the contributions to C 1s are 3.2% for O=C-O , 8.2% for C-Br/C-O , 35.3% for Csp3, and 1.5% for Si-C (see Section S-II and Table S4).

395 ellipsometry analysis, the thickness of the film is evaluated to
 396 1.7 nm, a much higher value than those obtained in the
 397 indirect strategy for the preparation of Si-Br1 and Si-Br2.
 398 However, this value is close to those reported for monolayers
 399 with such types of initiators.^{24,70} The contact angle of Si-Br3 is
 400 $75 \pm 1^\circ$, which is close to the value of Si-Br1. Noteworthy, the
 401 infrared data give an average orientation of the C=O bond
 402 (determined from the N_{\parallel}/N_{\perp} ratio) close to 53° off the surface
 403 normal. This is compatible with a geometry of the grafted
 404 chains close to an ideal all-trans conformation (which would
 405 yield a value of $\sim 60^\circ$), regardless of the attachment to the
 406 surface achieved through Si-C or Si-O-C bonds.

407 **2.2. SI-ATRP of Styrene.** For the polymerization of styrene
 408 on the surface, no sacrificial initiator is needed but an excess of
 409 deactivator CuBr_2 was added with (monomer)/(CuBr)/
 410 (CuBr₂)/(PMDETA) = 100/1/1/1.2 molar ratio. The same
 411 polymerization conditions were used on the three types of
 412 initiator functionalized surfaces: Si-Br1 and Si-Br2 afforded
 413 from the two-step strategy using acid or ester-terminated
 414 monolayer as primary anchoring layer, respectively, and Si-Br3
 415 obtained by the direct grafting of initiator 3 on the pristine
 416 SiH_x surface. A final rinse using a Soxhlet in dichloromethane
 417 for 24 h is mandatory to remove physisorbed polystyrene
 418 formed in solution. Figure 5 displays the ATR-FTIR spectra in

contribution of a semicircle stretch mode of the benzene ring 428
 and that of the in-plane deformation mode of the methylene 429
 units of the polymer chain.^{71,72} Other less-intense vibrations 430
 related to the polystyrene chains are revealed in spectra (b) 431
 and (c): the symmetric and asymmetric stretching νCH_2 432
 modes of the methylene chains at 2850 and 2924 cm^{-1} , the 433
 overtone/combination bands of the monosubstituted benzene 434
 ring in the 1800–1945 cm^{-1} range. Further contributions at 435
 1330 cm^{-1} (sextant stretch mode of the ring mixed with a CH 436
 in-plane bending mode) and 1311 cm^{-1} (centrosymmetric CH 437
 in-plane bending mode) are also found. By comparing spectra 438
 (b) and (c), the IR band intensities are much larger in the case 439
 of the Si-Br3 surface (spectrum c) than in the case of the Si- 440
 Br2 surface (spectrum b), by a factor of 2.5–2.8 for the two 441
 sharp peaks at 1455 and 1493 cm^{-1} . New peaks are also 442
 revealed at 1028, 1155, and 1180 cm^{-1} , a mode mixing ring 443
 quadrant stretch and in-plane CH bending, the in-plane 444
 bending mode of the CH group in para position, and a 445
 combination band involving out-of-plane CH deformation 446
 modes, respectively. On the contrary, the two bands related to 447
 Si-O-Si vibrations are rather similar in all spectra, arising 448
 from an oxide layer whose thickness is estimated to be close to 449
 ca. 6.5–7.5 Å. This strong oxidation may be induced by the 450
 presence of the copper metal at 100 °C on the silicon 451
 surface.⁷³ 452

From this analysis, the styrene polymerization seems to be 453
 much more efficient when starting from Si-Br3, than from Si- 454
 Br2 and even more than from Si-Br1. At first glance, this result 455
 may be related to the different densities of the grafted initiator 456
 chains (0.9 nm^{-2} for Si-Br3 vs 1.5 nm^{-2} for Si-Br2 and 1.7 457
 nm^{-2} for Si-Br1), a lower steric hindrance favoring the growth 458
 of PS chains on the surface. In either case, the initiator density 459
 is much higher than the density of brush polymers typically up 460
 to 0.4 nm^{-2} .^{22,38} Other factors are also plausible. For instance, 461
 it has been seen that the chain conformation is more ordered 462
 on SiBr3 than on SiBr2; the conformational disorder on SiBr2 463
 could be less favorable to polymerization. Moreover, though 464
 the density of grafted initiator is rather similar between Si-Br1 465
 and Si-Br2, the polymerization is inefficient on the Si-Br1 466
 surface. Indeed, a slight increase of the two $\nu_{s,a}\text{CH}_2$ bands 467
 corresponding to the methylene ($\text{CH}_2\text{-CH}_2$) groups is visible 468
 when the spectrum of the Si-Br1 surface is taken as a reference 469
 (cf. Figure S8). Their intensities are quite low (<0.5 mAbs) 470
 compared to those of the carbonyl and alkyl peaks, suggesting 471
 the growth of a few polystyrene chains at the surface 472
 corresponding to a surface concentration in styrene units of 473
 a few monolayers only. Although many optimizations were 474
 attempted (i.e., increase of the reaction time, temperature 475
 change, use of various ligands...), styrene cannot be efficiently 476
 polymerized by SI-ATRP on Si-Br1 surface. A possible 477
 explanation is that the two nitrogen atoms present in Si-Br1 478
 may complex with the Cu catalyst inhibiting the ATRP 479
 polymerization. 480

The morphology of Si-PS2 and Si-PS3 polystyrene layers 481
 were then investigated by AFM (Figure 6) and their thickness 482 66
 was measured by locally removing the organic layer. In both 483
 cases, the images reveal a homogeneous coverage of the surface 484
 by a dense and homogeneous layer (Figure 6a,b) but with 485
 different thicknesses: ~ 11 nm for the Si-PS2 layer and ~ 29 nm 486
 for Si-PS3 (Figure 6c,d). These values are in good agreement 487
 with those deduced from ellipsometry measurements (9 nm for 488
 Si-PS2 and 27 nm for Si-PS3). They are furthermore consistent 489
 with the relative intensity of the polystyrene IR bands 490

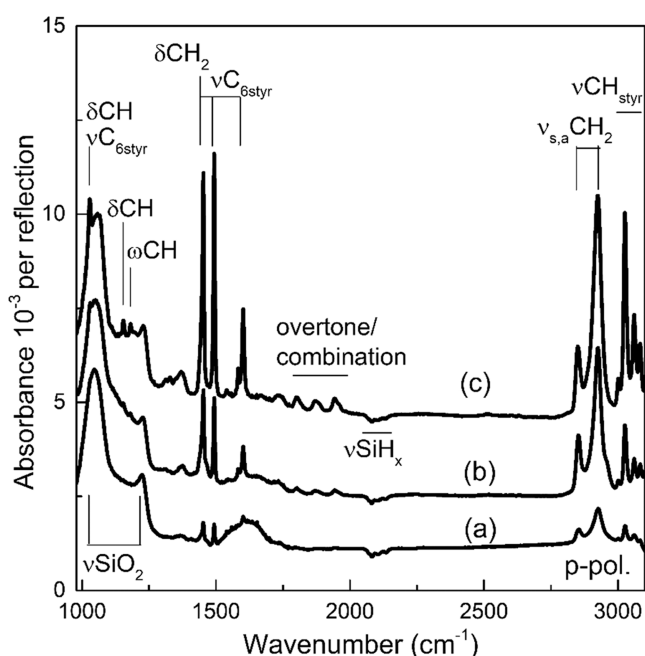


Figure 5. ATR-FTIR spectra in p-polarization of Si(111) surfaces modified with polystyrene chains, Si-PS1 (spectrum a) starting from Si-Br1, Si-PS2 (spectrum b) starting from Si-Br2, and Si-PS3 (spectrum c) starting from Si-Br3. Absorbance is computed with respect to the hydrogenated SiH_x surfaces.

419 p-polarization of three polystyrene-modified Si surfaces, (a) Si-
 420 PS1 (b) Si-PS2, and (c) Si-PS3 obtained from Si-Br1, Si-Br2,
 421 and Si-Br3, respectively. In either case, the main peaks related
 422 to the polystyrene formation are observed: the stretching
 423 modes of the C-H from the benzene ring between 3003 and
 424 3084 cm^{-1} , the strong IR active ring stretch vibrations for
 425 which the CH group moves essentially as a unit at 1604 cm^{-1}
 426 (quadrant stretch mode), 1492 cm^{-1} (semicircle stretch
 427 mode); at 1453 cm^{-1} the observed band results from the

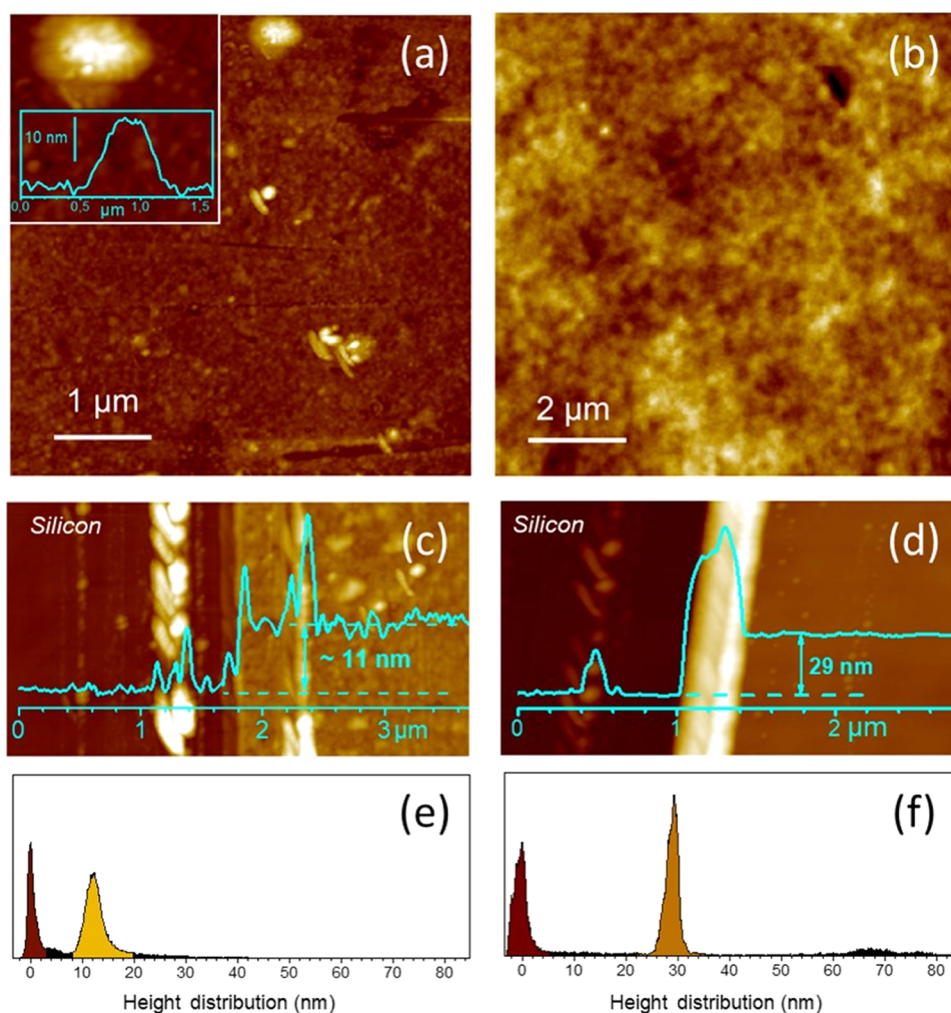


Figure 6. AFM characterization of the Si-PS2 (left column) and Si-PS3 (right column) polystyrene layers. (a, b) Top-view images of the layers. The inset in (a) shows a higher-magnification one of the 3D aggregate found atop the layer. Note its characteristic ~ 15 nm height corresponding to twice the thickness of the layer and its structure corresponding to an assembly of smaller structures. (c, d) Top-view images and cross sections at the frontier between the silicon substrate and the polystyrene layer. (e, f) Height distributions corresponding to images (e) and (f) give the mean thickness of the layers: ~ 11 nm for Si-PS2 and ~ 29 nm for Si-PS3.

491 corresponding to these two layers (greater intensity of the IR
492 bands for the thicker Si-PS3 layer). In the case of the Si-PS2
493 layer, the images also reveal the presence of a low density
494 ($\sim 10^8/\text{cm}^2$) of three-dimensional (3D) aggregates with
495 characteristic sizes (~ 15 nm height and a few hundred nm
496 width) atop the layers (Figure 6a, inset). These aggregates look
497 like assemblies of smaller structures with size comparable to
498 those found in the underneath layer. Their height, about twice
499 as large as the layer thickness, suggests they might be also
500 polystyrene chains aggregated atop the layer. From their
501 volume (assuming a spheroid shape) and their density, the
502 amount of matter corresponding to these aggregates may be
503 roughly calculated. An equivalent thickness of ca. 3–4 nm is
504 found. Such aggregates are not observed for the Si-PS3 layer.

505 **2.3. Quantification of the Polymer Brushes.** To get
506 quantitative information about the number of grafted PS
507 chains, an IR calibration of polystyrene in solution was
508 performed to convert the absorbance of the peaks character-
509 istic of PS into the areal density of the PS chains. For this,
510 ATR-FTIR spectra of polystyrene were measured at different
511 concentrations in CDCl_3 in s-polarization as presented in
512 Figure S9 and the magnitude of the sharp peak at 1493 cm^{-1} is

used for determining the areal density of styrene units, as
513 detailed in Sec S-I and S-III. From these results, the surface
514 concentration of styrene units was derived: $11.6 \pm 2.2\text{ nm}^2$ for
515 Si-PS1, $54.2 \pm 11\text{ nm}^2$ for Si-PS2, and $141 \pm 28\text{ nm}^2$ for Si-
516 PS3. To obtain the density of grafted PS chains, the degree of
517 polymerization (DP) is needed. This piece of information can
518 be derived from the thickness of the polymer layer. Polymer
519 chains densely grafted at a surface (polymer brushes) usually
520 adopt a collapsed and less organized structure where the chains
521 are not so well oriented and fully extended, in contrast to
522 ordered monolayers of grafted molecules such as that of the
523 SiBr₃ surface. Considering a brush without solvent (bulk
524 state), the thickness L of the polymer brush only depends on
525 DP and obeys a scaling law $L \sim \text{DP}^{2/3}$.²⁰ In this case, the
526 thickness of the polymer brushes is normalized to the average
527 distance a between two monomer units along a polystyrene
528 chain (~ 0.25 nm), leading the following scaling law $L/a =$
529 $\text{DP}^{2/3}$. Starting from a polymer thickness of 8.6 nm (SiPS2) or
530 26.3 nm (SiPS3), a DP of ~ 200 (SiPS2) or ~ 1000 (SiPS3) is
531 derived from the scaling law. This yields a fraction of active
532 initiators of 18 and 15% for SiPS2 and SiPS3, respectively. We
533 did not attempt a similar analysis for SiPS1 since, as mentioned
534

535 above, the polymerization efficiency is poor for this
536 configuration.

537 The initiation efficiency then appears similar for SiPS2 and
538 SiPS3, in spite of the distinct features of the SiBr2 and SiBr3
539 surfaces in terms of initiator areal density and conformational
540 ordering. On the contrary, the conversion obtained by starting
541 from SiBr3 appears significantly higher than that obtained
542 when starting from SiBr2, as reflected by the differences in the
543 areal density of styrene units measured by infrared spectroscopy.
544 Since in either case polymerization is performed in the
545 same experimental conditions, this difference reveals that
546 polymerization kinetics are hindered to a larger extent when
547 starting from SiBr2 than when starting from SiBr3. This kinetic
548 limitation likely comes from steric hindrance (higher areal
549 concentration of active initiators for SiPS2), or from the
550 conformational disorder of the SiBr2 surface, as revealed by
551 infrared spectroscopy, or from both origins. Experiments using
552 mixed layers obtained by grafting of 10-undecen-1-yl-2-bromo-
553 2-methylpropionate diluted in 1-decene at variable concentrations
554 are under consideration for addressing this issue.

555 These results point to the fact that differences in the density
556 or conformation of tethered initiators at the surface do have
557 consequences in terms of polymerization efficiency. Such
558 differences would not appear in the usual approach where the
559 bulk polymers resulting from the addition of untethered
560 initiators are analyzed. Therefore, a method such as that
561 proposed here offers a much more relevant and reliable picture
562 of the tethered polymer. In addition, the fraction of active
563 initiators is also obtained.

3. CONCLUSIONS

564 For the first time, ATR-FTIR quantification together with
565 AFM imaging offers an alternative to the indirect classical
566 methods (ie. using sacrificial initiators or detaching the grafted
567 polymers) to directly evaluate the DP of grafted brush
568 polymers and the surface density and the proportion of active
569 initiators. Three high-density polymer brushes were grafted
570 onto ATR silicon prisms and quantitative IR spectroscopy was
571 used to monitor the grafting of ATRP initiators and compare
572 the polymerization efficiency. Grafting densities of polymerization
573 initiators ranged 0.9–1.7 molecules nm^{-2} . To obtain
574 polymer brushes, the ATRP polymerization of styrene was
575 then carried out without sacrificial initiators in the presence of
576 CuBr_2 and a constant monomer concentration. Polymerization
577 efficiency was assessed by measuring the areal concentration of
578 polymerized styrene units. Obtained values fell in the range of
579 12–141 styrene units nm^{-2} . The lowest value corresponds to
580 the grafting of polymerization initiators through amino linkers.
581 The complexing affinity of these linkers with the reaction
582 catalyst plausibly favors the dormant species at the expense of
583 the active radical species in the ATRP process. In the absence
584 of such an effect, polymerization is efficient and DP in the
585 range of 200–1000 is obtained from the measurement of the
586 polymer thickness and a scaling law (valid for a brush without
587 solvent) relating it to DP. The efficiency of the grafted
588 initiators is found to be in the range of 15–18%, independently
589 of the initiator grafting density. In contrast, the polymerization
590 kinetics are lowered by steric hindrance or conformational
591 disorder in the layer of grafted initiators. The present approach
592 of combining ATR-FTIR quantification together with AFM
593 imaging to obtain a full characterization of polymer brushes is
594 directly generalizable to any polymer system and any ATRP
595 methods. It appears more relevant than classical methods

based on the analysis of bulk polymers obtained by adding
untethered initiators to the polymerization solution. It offers an
alternative to the analysis of the brush swelling ratio and
further provides the proportion of active initiators. We aim at
using such a full characterization of such polymer brushes for
applications in asymmetric catalysis.^{54,74} Such a detailed
characterization is of paramount importance to optimize the
loading of active species and finely tune their further chemical
reactions in a controlled way. Moreover, for the purpose of
multicatalysis, the extension of the present method to
copolymers is forecasted, notably to obtain information on
the rate of incorporation of different monomer units. On this
basis, a composition-property study can be seriously
considered.

4. EXPERIMENTAL SECTION

4.1. Materials. All purchased chemicals were of reagent grade or
higher (for all modifications on silicon substrate) and, if not
mentioned explicitly, used without further purification. Undecylenic
acid (99%) and undecylenic acid methyl ester (99%) were purchased
from Acros Organics. 10-Undecen-1-ol (98%), *N*-(3-dimethylamino-
propyl)-*N'*-ethylcarbodiimide (EDC, 98%), *N*-hydroxysuccinimide
(NHS, commercial grade), ethyl 2-bromoisobutyrate (EBiB, 99%), α -
bromoisobutyryl bromide (98%), *N,N,N',N'',N'''*-pentamethyldiethyl-
lenetriamine (98%) (PMDETA), Bipy (2,2'-bipyridyl, 99%), pyridine,
copper(II), and copper(I) bromide (98%), and styrene (99%) were
purchased from Sigma-Aldrich. The cleaning reagents [hydrogen
peroxide 30%, sulfuric acid 96%, glacial acetic acid, tetrahydrofuran
(THF), dichloromethane (DCM), acetonitrile (ACN)] and the
etching reagent (hydrofluoric acid, HF, 50%) were of RSE quality and
supplied by Carlo Erba. Diethylether was distilled over LiAlH_4 ,
methanol was distilled from Mg, THF was distilled from sodium/
benzophenone, and dichloromethane and hexane were distilled from
 CaH_2 before use. Molecular sieves 4 Å used in reaction were dried
overnight at 500 °C then placed in a Schlenk tube under argon.
Copper(I) bromide (CuBr , 98%) was purified with glacial acetic acid,
washed with ethanol and diethylether, and stored under argon.
Styrene was passed through a basic alumina gel column to remove
traces of inhibitor. Ultrapure water UPW (Milli-Q, 18.2 $\text{M}\Omega\cdot\text{cm}$, at
25 °C) was used to prepare aqueous solutions and for rinsing. The
silicon samples were cleaved from double-side-polished n-type silicon
(111), FZ, 30–40 Ωcm , Siltronix, France). They were glued with wax
between two 45° machined aluminum blocks (see the [Supporting
Information](#), SI for more details), then both sample edges were
mechanically polished with carborundum abrasive paper (from grit
240 to 1200). In a last step, both faces were polished with diamond
paste of decreasing grain sizes (3 and 1 μm) until shining bevels are
obtained. Each prism is accurately measured for the quantitative
analysis. The typical size of a silicon prism is 20 × 13 × 0.5 mm and a
bevel angle of 46°, giving ~25 reflections.⁴⁵

4.2. Syntheses of Initiators. **4.2.1. Initiator 1: *N*-(2-Aminoethyl)-2-bromo-2-methylpropanamide Hydrochloride.** The initiator
is obtained in three steps: (1) Synthesis of *tert*-butyl (2-aminoethyl)-
carbamate: A solution of di-*tert*-butyl dicarbonate (5.45 g, 25 mmol)
in 1,4-dioxane (7 mL) was added dropwise into a solution of
ethylenediamine (5 mL, 74.8 mmol) in 1,4-dioxane (50 mL). The
solution was stirred at room temperature for 17 h. A white precipitate
was filtered and the solution was concentrated under reduced
pressure. The solid was solubilized in water (110 mL) and the white
precipitate was filtered off. The solution was saturated with NaCl and
extracted with DCM (3 × 60 mL). The compound was isolated as a
pale yellow oil (1.9 g, 48%). ¹H NMR (300 MHz, CDCl_3): δ = 1.35
(s, 9H, *t*Bu), 1.57 (s, 2H, NH_2), 2.66 (t, *J* = 6.0 Hz, 2H, CH_2NH_2),
3.04 (q, *J* = 6.0 Hz, 2H, CH_2NHBoc), 5.45 (brs, 1H, NH); (2)
Synthesis of *tert*-butyl 2-(2-bromo-2-methylpropanamido)-
ethylcarbamate: α -bromoisobutyryl bromide (1.8 mL, 14.6 mmol)
was added dropwise to a cooled (0 °C) solution of *tert*-butyl-(2-
aminoethyl)carbamate (1.57 g, 9.8 mmol) in CH_2Cl_2 (25 mL) and 661

662 triethylamine (5.8 mL). The reaction solution was stirred at room
663 temperature overnight and then poured into crushed ice (100 mL).
664 The compound was extracted with DCM (3 × 100 mL). The
665 combined organic phases were washed with water, aqueous saturated
666 NaHCO₃ solution, and water, dried over anhydrous MgSO₄, and
667 concentrated by vacuum. The solid was purified by flash
668 chromatography on silica gel with ethyl acetate/petroleum ether
669 (1/2.5) as elution solvent and the product was isolated as an orange
670 powder (2 g, 56%). ¹H NMR (250 MHz, CDCl₃): δ = 1.34 (s, 9H,
671 tBu), 1.86 (s, 6H, BrC(CH₃)₂), 3.26 (m, 4H, CH₂CH₂), 5.42 (brs,
672 2H, NH); (3) Synthesis of *N*-(2-aminoethyl)-2-bromo-2-methylpro-
673 panamide hydrochloride: *tert*-butyl 2-(2-bromo-2-
674 methylpropanamido)ethylcarbamate (330 mg, 1.07 mmol) was
675 dissolved in a 1 M solution of HCl in ether (10 mL). The solution
676 was stirred at room temperature overnight. The white precipitate was
677 filtered off and washed with cold diethylether. The hydrochloride was
678 afforded (150 mg, 57%) as a white powder. ¹H NMR (300 MHz,
679 D₂O): δ = 1.86 (s, 6H, BrC(CH₃)₂), 3.10 (m, 2H, CH₂NH₃⁺), 3.47
680 (m, 2H, CH₂NH).

681 **4.2.2. Initiator 3: 10-Undecen-1-yl-2-bromo-2-methylpropio-**
682 **nate.** 10-Undecen-1-yl-2-bromo-2-methylpropionate was synthesized
683 from 10-undecen-1-ol (8.3 mL, 41 mmol in 41 mL of dry THF)
684 cooled at 0 °C, by the addition of α-bromoisobutyryl bromide (5.1
685 mL, 41 mmol) and pyridine (3.5 mL, 43 mmol). The reaction mixture
686 was stirred for 3 h under argon, then *n*-hexane (25 mL) was added to
687 the reaction. The resulting solution was washed with HCl (2 M) (2 ×
688 50 mL) and H₂O (2 × 50 mL) and dried over anhydrous Na₂SO₄.
689 The solvent was removed under reduced pressure to give the product
690 as a colorless liquid (13 g, 94%). ¹H NMR (250 MHz, CDCl₃): δ =
691 1.20–1.44 (m, 12H, CH₂), 1.62–1.75 (m, 2H, CH₂-CH₂O); 1.94 (s,
692 6H, BrC(CH₃)₂); 2.05 (q, *J* = 7.0 Hz, 2H, CH₂-CH₂=CH); 4.17 (t, *J*
693 = 9.0 Hz, 2H, CH₂CH₂O); 4.9–5.05 (m, 2H, CH₂=CH); 5.72–5.9
694 (m, 1H, CH₂=CH).

695 **4.3. Silicon Surface Modifications.** **4.3.1. Preparation of**
696 **Hydrogenated Silicon Surfaces (SiH_x).** The silicon prism was cleaned
697 in a piranha solution (1:3 H₂O₂/H₂SO₄) at 100 °C for at least 2 h.
698 After thorough rinsing with UPW, the prism was immersed for 5 s in a
699 poly(tetrafluoroethylene) (PTFE) beaker filled with a 50% hydro-
700 fluoric acid solution, then rinsed with UPW in a beaker for 5 s. The
701 SiH_x surface was dried in a flow of N₂.

702 **4.3.2. Photochemical Grafting of Acid- or Methyl Ester-**
703 **Terminated Alkyl Monolayers on the SiH_x Surfaces.** Undecylenic
704 acid (UA) or 10-methyl undecenoate (MeU) was outgassed under
705 argon in a Schlenk tube at 100 °C for 30 min and then cooled to
706 room temperature. The freshly prepared SiH_x prism was then
707 transferred into the Schlenk tube with continuous argon bubbling for
708 15 min. The Schlenk was hermetically closed and irradiated for 3 h in
709 a UV reactor (6 mW·cm⁻², 312 nm). The functionalized acid-
710 terminated surface (Si-acid) was cleaned twice with glacial acetic acid
711 under argon bubbling at 75 °C for 15 min in a Schlenk tube and,
712 finally dried under N₂. For the ester-terminated surface (Si-ester), the
713 prism was washed thoroughly with copious amounts of acetone to
714 remove the residual ω-unsaturated alkyl ester and dried under N₂.

715 **4.3.3. Activation of Si-Acid with EDC/NHS.** Two solutions of 10
716 mM EDC and NHS were separately prepared in UPW at 4 °C. A
717 Schlenk tube was immersed in a water bath with a temperature
718 between 15 and 18 °C. An equal volume (5 mL) of EDC and NHS
719 was added into the Schlenk tube and flushed with argon for 10 min
720 before adding the acid-terminated silicon surface (Si-acid). For 90
721 min, the Schlenk tube with the prism was bubbled with argon, while
722 the temperature was controlled to be maintained in the range
723 mentioned above. Next, the prism Si-ester NHS was cleaned for 5 min
724 in a beaker with UPW, then rinsed copiously with UPW, and dried
725 under N₂.

726 **4.3.4. Aminolysis of Initiator 1 on Si-Ester NHS.** A fresh solution
727 of initiator *N*-(2-aminoethyl)-2-bromo-2-methylpropanamide hydro-
728 chloride at a concentration of 20 mM in UPW was prepared; the pH
729 was adjusted to 8.5–9 with 0.1 M NaOH solution. The solution was
730 then bubbled in a Schlenk tube with argon for 5 min before the freshly
731 activated prism was added. The argon bubbling was continued for 10

more minutes before the valves of the Schlenk were closed. After 3 h, 732
733 the prism was cleaned for 2 min with THF and 2 min with DCM
734 followed by a final short rinse in UPW before drying the prism Si-Br1
735 under a flow of N₂.

736 **4.3.5. Reduction of Si-Ester with LiAlH₄.** Diethylether (100 mL) 736
737 was added to a dried Schlenk tube, previously purged with argon.
738 About 5 g of LiAlH₄ powder was added slowly into the tube under
739 stirring. The Si-ester prism was then immersed in the solution. The
740 reaction mixture was kept at room temperature for 2 h. The modified
741 prism was taken out from the reaction mixture and washed thoroughly
742 with acetone, 0.5 M HCl solution, acetone, and UPW, sequentially.
743 The prism Si-OH was then dried by pumping under reduced pressure
744 for about 10 h and also at 80 °C under reduced pressure.

745 **4.3.6. Esterification of Si-OH with α-Bromoisobutyryl Bromide.** 745
746 The prism Si-OH was placed in a solution of 1.5 mL of pyridine in 50
747 mL of dry diethylether, followed by dropwise addition of 2 mL of α-
748 bromoisobutyryl bromide in 30 mL of dry diethylether over a period
749 of 30 min. The reaction mixture was gently stirred at 0 °C for 2 h and
750 then at room temperature overnight. The prism was taken out and
751 washed with ethanol and water followed by a Soxhlet in DCM
752 overnight. The prism Si-Br2 was then dried under N₂.

753 **4.3.7. Grafting of Initiator 3 (10-Undecen-1-yl-2-bromo-2-**
754 **methylpropionate) on Silicon Surface.** The freshly HF-etched 754
755 silicon prism was transferred into the Schlenk containing neat 10-
756 undecen-1-yl-2-bromo-2-methylpropionate, previously bubbled with
757 argon in a Schlenk tube for 30 min. Bubbling was maintained for 15
758 min. The Schlenk is hermetically closed and heated at 180 °C
759 overnight. After the reaction, the prism Si-Br3 was washed
760 ultrasonically with CH₂Cl₂, anhydrous ethyl alcohol, and UPW
761 sequentially, each with 60 mL of solution for 3 min, respectively, and
762 dried N₂.

763 **4.3.8. Surface-Initiated Polymerization of Styrene on Silicon**
764 **Surface.** Polymerizations were performed using a mix of (monomer)/
765 (CuBr)/(CuBr₂)(PMDETA) = 100/1/0.05/1.2 molar ratio in a
766 Schlenk tube at 100 °C. In a typical experiment for the preparation of
767 PS brushes, the following amounts were used: styrene (4.36 mL, 38
768 mmol, 100 equiv), PMDETA (91 μL, 1.2 equiv), CuBr (55 mg, 1
769 equiv), and CuBr₂ (4.25 mg, 5 mol %), then the initiator-modified
770 surface (Si-Br) was immersed in the medium, and the solution was
771 degassed (three freeze–pump–thaw cycles) and back-filled with
772 argon, and the reaction was allowed to proceed for 17 h at 100 °C.
773 After polymerization, the silicon prism was purified by multiple
774 washings with toluene, acetonitrile, and CH₂Cl₂, and a Soxhlet was
775 carried out for 24 h in dichloromethane, then the prism was dried
776 under nitrogen.

777 **4.4. Instrumentation.** **4.4.1. Nuclear Magnetic Resonance**
778 **(NMR).** ¹H NMR spectra were recorded on a Bruker (AM 250 or
779 300 MHz) instrument with samples dissolved in CDCl₃. Chemical
780 shifts (δ) are given in parts per million (ppm) with the signal of the
781 residual CHCl₃ of the solvent as reference (7.24 ppm for ¹H NMR).
782 The following abbreviations were used to describe the multiplicities: s
783 (singlet), d (doublet), t (triplet), q (quadruplet), quint (quintet), m
784 (multiplet), brs (broad singlet). All multiplicities were approximated
785 to the first order; coupling constants, *J*, are reported in hertz and with
786 an accuracy of 0.5 unit of the last digit.

787 **4.4.2. Fourier Transform Infrared Spectroscopy in Attenuated**
788 **Total Reflection (ATR-FTIR).** The ATR-FTIR spectra are recorded on a
789 Bruker Equinox FTIR spectrometer, coupled to a nitrogen-purged
790 external ATR compartment, including a liquid-nitrogen-cooled MCT
791 detector. All spectra are recorded in *s*- and *p*-polarization in the
792 spectral range of 900–4000 cm⁻¹ (150 scans, 4 cm⁻¹ resolution). The
793 spectra are presented in absorbance (computed using natural
794 logarithm) and are normalized to the reflection number (around
795 26). The reference spectra are that of the hydrogenated SiH_x surfaces.
796 The calibration was performed in a homemade PTFCE IR cell of ~2
797 mL volume. On the top and the bottom of the cell, a PTFE tube (0.8
798 mm diameter) is connected allowing for the addition of different
799 solutions without breaking the spectrometer purge. On the side, there
800 is a 9 mm diameter opening against which the Si prism (sample) is
801 pressed via a nitrile O-ring seal.

802 4.4.3. *X-ray Photoelectron Spectroscopy (XPS)*. These measure-
803 ments were performed on a K-Alpha spectrometer from Thermo
804 Fisher, equipped with a monochromatic X-ray Source (Al K α , 1486.6
805 eV). For all measurements, a spot size of 400 nm was employed. The
806 hemispherical analyzer was operated in CAE (Constant Analyzer
807 Energy) mode, with a pass energy of 200 eV and a step of 1 eV for the
808 acquisition of surveys spectra, and a pass energy of 50 eV and a step of
809 0.1 eV for the acquisition of high-resolution spectra. A “dual-beam”
810 flood gun was used to neutralize the charge buildup. The recorded
811 spectra were processed by means of Avantage software provided by
812 Thermo Fisher using a peak fitting routine with Shirley background
813 and symmetrical Gaussian–Lorentzian lineshapes. The quantification
814 was performed after normalization of the peak areas with Scofield
815 sensitivity factors. The calibration in binding energy was made by
816 considering that the C 1s component of C 1s lies at 285 eV.

817 4.4.4. *Contact Angle*. Static contact angle measurement was
818 performed using a DSA100 Kruss analyzer. The water contact angle
819 was measured by depositing a 3 μ L droplet of UPW on the surface,
820 the average contact angle was calculated from three droplets for each
821 surface, and errors were calculated as standard deviation.

822 4.4.5. *Atomic Force Microscopy*. Images were recorded under N₂,
823 atmosphere using AFM operating in AC-mode (PicoSPM 5500,
824 Agilent) and silicon tips (HQ-NSC16S cantilevers from Mikro-
825 Masch). To measure the thickness of the organic layers, part of the
826 layer was locally removed by scratching using a syringe needle. The
827 layer thickness was deduced from cross sections and histograms of the
828 height distribution on images captured at the frontier between the
829 bare silicon (inside the scratch) and the top of the organic layer.

830 4.4.6. *Ellipsometry*. Thickness measurements were carried out
831 using an Accurion nanofilm EP3 ellipsometer. The thickness is
832 obtained by measuring the change in the polarization of light (at fixed
833 λ = 658 nm) as it is reflected off the surface over a range of angles
834 (from 50 to 75° with 1 step number). Thicknesses are obtained with
835 an accuracy of up to a fraction of nanometer.

836 ■ ASSOCIATED CONTENT

837 ■ Supporting Information

838 The Supporting Information is available free of charge at
839 <https://pubs.acs.org/doi/10.1021/acsapm.2c01632>.

840 IR quantification of the chemical groups on crystalline Si
841 (SI-I); surface characterization of grafted initiators (SI-
842 II); and calibration of the polystyrene IR absorption (SI-
843 III) (PDF)

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895 Notes

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