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Cultural filiations between the Virú communities, Early Intermediate Period,

northern coast of Peru: recent contributions from ceramic technology

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16 Abstract

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- 17 The Virú populations are now believed to have formed a centralized polity in the Virú
- Valley, which occasionally settled in neighboring regions, as in Pampa La Cruz in the
- 19 Moche Valley, and Huaca Prieta in the Chicama Valley. Their presence is essentially
- 20 established by their cultural marker: negative ceramic. However, little is known about the
- 21 relations between these populations, and in particular about the technical traditions of
- 22 potters, which would enable us to know if they belong to the same community of practice.
- We propose to test the hypothesis of a movement of Virú communities into adjacent
- valleys by a technological approach that seeks to reconstruct all the steps of the operative
- chain. The analysis of manufacturing traces combined with the petrographic study of
- pastes leads to the definition of a technical tradition shared and perpetuated by these
- 27 communities, each producing its own pottery locally.

Keywords

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- 30 Ceramic technology; Petrography; Operative chain concept; Technical tradition;
- 31 Community of practice; Andean Archaeology; Virú culture.

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1. Introduction

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The Virú culture was first described by Rafael Larco Hoyle (1945), who defined it as an ethnical group independent of the Moche. The frequent discovery of ceramic wares decorated with negative design led him to consider this style as its hallmark. A few years later, after his research at the Gallinazo Group, Wendell C. Bennett (1939) proposed that the ceramic vessels decorated with modelled applications and incisions, today know as Castillo Decorated, could also be conceived as a cultural marker of the Virú culture that he called Gallinazo. These results were further supported by the Viru Valley Project, and in particular the work of William D. Strong and Clifford Evans (1952), James Ford, and Gordon Willey (1953), who have established the importance of the Gallinazo culture in the valley chronological sequence. The latter emphasized that the development of the Gallinazo culture was interrupted by the Moche who conquered the region around the 4th century, as evidenced by the presence of Moche style ceramics at Gallinazo sites (Willey, 1953: 397). Since then, the Virú, Gallinazo, or Virú-Gallinazo culture was believed to have originated in the Virú valley, and to have extended their territory throughout the northern coast during the Early Intermediate Period (200 B.C. – 600 A.D.; Fogel, 1993). Nonetheless, until the beginning of the 20th century, this culture was mainly and solely identified by the Castillo Decorated type, that was most often found in Moche context along with Moche pottery (Chapdelaine et al., 2009; Franco et al., 2009; Ubbelohde-Doering, 1983; Uceda et al, 2009). Researchers then started to question the use of Castillo Decorated as a Virú hallmark, and the limited evidence of negative ceramics outside the Virú Valley has only accentuated doubts about the actual spatial and chronological extension of this culture (Millaire, 2009: 12). Moreover, recent excavations conducted by Bourget (2010) and Millaire (2010a, 2010b) in the Virú Valley have demonstrated the contemporaneity of the Virú and Moche during the whole Early Intermediate Period, and the absence of conquest of the region by the latter. In 2005, a roundtable held in Trujillo led to the definition of a new Virú paradigm (Millaire and Morlion, 2009). Virú is now understood as a polity contemporary to the Moche, mostly developed in the Virú valley, and whose hallmark is the Negative type, produced by highly specialized artisans linked to the elites (Donnan, 2009: 30; Millaire, 2009: 12). As for Gallinazo, it refers to the Castillo Decorated type that belong to a common domestic tradition produced by potters of the Virú and Moche communities (Donnan, 2009: 30; Makowski, 2009: 34; Millaire, 2009: 13).

More recently, in 2016, Jean-François Millaire and colleagues hypothesized that, during the Early Intermediate Period, the inhabitants of the Virú Valley were organized into a centralized polity, with outposts established in neighboring regions. It is believed that these sites were occupied by members of the Virú administration, who would have controlled these new territories (Fogel, 1993; Millaire et al., 2016, p. E6017). Radiocarbon analyses attest to the contemporaneity of the sites, and morpho-stylistic analyses of ceramics suggest the presence of a community closely connected to the Virú polity, who shared the Negative style (Millaire et al., 2016, p. E6019). However, little is known about the relationship between the core of the Virú polity and its outpost, and it is even more challenging to understand the motivations behind this territorial expansion, whether it is to access new natural or human resources or to spread their political and ideological system.

In this article, we propose to document this hypothesis by adopting a new approach that is ceramic technology. The so-called "culture history period" was a significant contribution to the field at a time when no absolute dating techniques were available to organize chronologically ceramic types found in archaeological context (Larco Hoyle, 1948; Strong and Evans, 1952; Willey, 1953). Recently, researchers started to approach the ceramic material differently, through archaeometric analyses, going beyond mere morphological and style descriptions to identify the contexts of production and the networks of circulation of pots (Arrelucea, 2019; Del Solar, 2015; Koons, 2015; Rohfritsch, 2010). Although previous studies have focused on sourcing clays and defining petrogroups, they have not necessarily inquired about the technological dimension of ceramic production, which is required to reconstruct the different steps of the operative chain, and to identify communities of practice. This approach, recently applied for Prehispanic ceramics in Ecuador (Lara, 2017), has never been applied to the Peruvian North Coast. Our study then consists in the first description of the technical traditions of Virú potters, which brings us new insights on their degree of filiation at a macro-regional scale. We believe that by studying the whole range of production, which includes decorated ceramics wares of the Negative and Castillo Decorated types, and undecorated ceramics, we will gain a better understanding of the different techniques mastered by potters. In this case, the study shows that Virú potters shared a common technical tradition to shape both decorated and undecorated pottery, which were not necessarily produced by different production units.

2. Research and theories about the Virú polity

During the Early Intermediate Period (200 B.C – 600 A.D), the Peruvian North Coast witnessed the development of various regional entities, among them the Virú populations. In the Virú Valley, between 200 B.C and at least 700 A.D, they built a significant number of sites, as the Gallinazo Group which includes the Huaca Gallinazo (**Fig. 1**). This center would have controlled an economically and socially integrated territory, linked to midsized administrative and defensive centers such as Huaca Santa Clara and Castillo de Tomaval, and small villages (Millaire, 2010a, p. 6187).

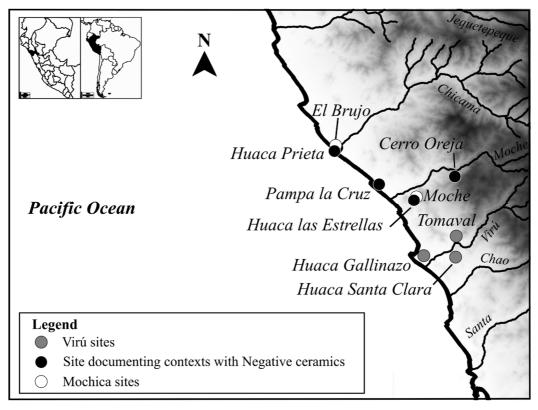


Fig. 1. Map of the study area showing the sites that are studied (Huaca Gallinazo, Huaca Santa Clara, Pampa La Cruz, Huaca Prieta) and mentioned in this work. ASTER GDEM is a product of METI and NASA.

Since the Virú Valley Project in the 1940's, evidence of Virú polity territorial expansionism has been noted (Fogel, 1993). This hypothesis was further supported by the discovery of Castillo Decorated ceramics from the valley of Piura in the north to the valley of Casma and Huarmey in the south. However, outside the Virú Valley, few sites present contexts with Negative ceramics (Millaire, 2009, p. 12; **Fig. 1**), which are considered to represent the cultural hallmark of this political entity (Bennett, 1939; Ford, 1949; Larco

Hoyle, 1945; Strong and Evans, 1952; **Fig. 2**). The negative decoration is made by applying a removable material, which protects the surface during the smoking of the vessel. This material is then removed, revealing geometric designs on a black background.



Fig. 2. Examples of the Virú ceramic types from Huaca Santa Clara included in the study. a. Jar decorated with negative designs; b. Castillo Decorated: effigy vessel corresponding to the Castillo Modeled subtype; c. Castillo Decorated: cooking pots decorated with a series of geometric impressions that corresponds to the Castillo Incised subtype. d. Castillo Plain type (plainware).

For Millaire et al. (2016, p. E6022), the presence of negative ceramics in the Moche and Chicama Valleys (**Fig. 1**) is evidencing the establishment of communities politically and economically linked to the Virú polity. These sites highlight the expansionist dynamics of the Virú polity, throughout the establishment of outposts in strategic locations (Millaire et al. 2016, p. E6023). Since these outposts were identified on the basis of negative ceramic, one is therefore entitled to wonder whether the presence of negative ceramics is the result of a population movement or just of elites, of trade or even of local production by potters imitating the Virú style. Traditional typological

studies are not adequate to characterize the technological complexity of an assemblage, nor to identify the production groups and the relations between communities sharing the same types. Ethnoarchaeological investigations have indeed shown that a given morphological type can be produced according to different technical traditions, and that different types can be produced according to the same tradition (Gallay, 2011, p. 326; Roux and Courty, 2005, p. 201), which makes it impossible to say that negative ceramics were produced by the same social group.

Based on cursory analysis of Virú ceramics uncovered in different regions, we hypothesize that members of the Virú populations moved from the Virú valley to settle in neighboring regions, which would include members of the elite but also potters. To test this hypothesis, we use a novel approach based on the operative chain concept, which identifies technical traditions by studying the diagnostic features visible on ceramic vessels (Roux, 2016; Roux and Courty, 2005). In the following sections, we will describe the contexts and materials, then focus on the description of the technical traditions, and finally in a discussion, we will highlight the keys results that improve our understanding of the cultural filiations between the Virú communities on the Peruvian North Coast.

3. Contexts and materials

For this study, we analyzed 9407 ceramic fragments and complete pots (minimum number of individuals: 6308; **Table 1**), which includes Negative type ceramic vessels, but also Castillo Decorated, Gallinazo Broad-Line-Incised, and plainwares as the Castillo Plain type. These collections come from two recently excavated sites in the Virú Valley, and two Virú contexts outside of this region. Huaca Gallinazo and Huaca Santa Clara are two of the most important Virú settlements, occupied between 200 B.C and 700 A.D. These collections are stored in the warehouses of the Ministry of Culture of Peru in Trujillo (La Libertad), and were examined in 2019 following the submission of a collection study project. Huaca Gallinazo is a large platform mound that comprises residential and civico-ceremonial structures, located in the lower Virú Valley (Millaire, 2010a, p. 6187). This mound is part of the Gallinazo Group, a large agglomeration of mounds and residential areas. The ceramics studied were collected by the *Proyecto Arqueológico Virú*, directed by Millaire and his team between 2008 and 2014.

Site	Valley	Part of valley	Total	MNI	Sherds	Complete pots	Negative	Castillo Decorated	Gallinazo Broad- Line-Incised	Plainwares	Other	Slides
Huaca Gallinazo	Virú	Lower	501	177	485	16	117	79	29	221	55	11
Huaca Santa Clara	Virú	Middle	7179	4892	7150	29	702	315	60	5768	334	25
Pampa La Cruz	Moche	Lower	1197	715	1176	21	60	77	0	1060	0	33
Huaca Prieta	Chicama	Lower	530	524	294	6	35	76	0	417	2	0
	Total		9407	6308	9105	72	914	547	89	7466	391	69

Table 1. Characteristics of the ceramic collection studied.

Huaca Santa Clara is an administrative center located in the middle Virú Valley, and is characterized by a set of residential, administrative and ceremonial structures built on the slopes of the *Cerro Cementerio* (Millaire, 2010b, p. 228). The collection comes from the contexts investigated by the *Programa Arqueológico Huaca Santa Clara* between 2002 and 2003, conducted by Millaire and his team.

Pampa La Cruz is located on the Huanchaco bay in the lower Moche Valley. It was recently excavated by Gabriel Prieto and his team as part of the *Programa Arqueológico Huanchaco*. The material analyzed comes from the Virú occupation levels (100 to 400 A.D), which resulted in the construction of numerous residential structures and plazas (Parker et al., 2018; Prieto, 2018, p. 98-220). The technological study was conducted at the *Universidad Nacional de Trujillo* between 2016 and 2017.

The collections of Huaca Prieta are housed at the *American Museum of Natural History* in New York, where we made the analysis in 2018. There were integrated into the Department of South American Archaeology by Junius Bird following his excavations at Huaca Prieta between 1946 and 1947 as part of the Virú Valley Project (Bird et al., 1985). The site is an artificial mound located in the lower Chicama Valley, which belongs to the El Brujo Archaeological Complex, occupied by the Mochica from the 3rd to 7th centuries A.D (Millaire et al., 2016, p. E6017; Mujica et al., 2007). The Virú ceramics come from test pits 1 and 3 to the north of the mound, and from test pit 4 on the northern slope where several disturbed funerary contexts were identified (Bird et al., 1985, p. 29, 48, 271; Millaire et al., 2016, p. E6017). These contexts are dated to 111 BC to 380 A.D (Millaire et al., 2016, p. E6019).

4. Methodology: the definition of technical traditions

We use ceramic technology as an approach to identify and compare the Virú technical traditions, through the analysis of collections from secured and documented contexts. This approach is rooted in the anthropology and archaeology of techniques by relying on the operative chain concept (Balfet et al., 1983; Lemonnier, 1983; Leroi-Gourhan, 1973). For ceramic production, this concept is defined as "a series of operations that transforms a raw material into a finished product" (Cresswell, 2010 [1976], p. 26). Ceramic technology offers a theoretical and methodological framework to reconstruct the production process, which can be divided into six main stages: paste selection and

preparation, shaping, finishing, surface treatments, decoration and firing (Balfet et al., 1983; Roux, 2016: 31; Shepard, 1980 [1956]: 49-94).

This anthropological reading of the material leads us to understand the potters' choices among the set of potential techniques, which vary according to natural factors, constraints linked to the properties of the materials and techniques involved, the function or morphology of the manufactured vessels, the historical, economic and socio-political context, or social beliefs and representations (Druc, 2009, pp. 94-95; Gosselain, 2002, p. 10; Roux, 2016, pp. 18-19; Skibo, 2013, pp. 39, 53). Each operative chain is conceived as an inherited and transmitted way of doing things, and thus constitutes a technical tradition which is the expression of a social group (Druc, 2009, pp. 94-95; Roux, 2016, p. 21).

To define technical traditions, one needs to follow certain steps, starting with the definition of technical entities and groups that are characterized by specific traditions of shaping, finishing and firing. During this stage, we attempt to recognize the techniques, methods, tools and gestures exercised on the material, by observing the traces visible on the surfaces and cross-sections with the naked eye or using a binocular microscope. Their interpretation is based upon experimental, ethnographic and ethnoarchaeological reference collections that document a set of diagnostic features for each technique (Roux, 2016, pp. 165-253).

Particular attention has been given to variants, which may, for example, be linked to the use of distinct tools for the same operation (Roux and Courty, 2005, p. 202). Their definition is indeed critical for distinguishing social groups sharing a common technical tradition on a large scale (Roux, 2016, p. 18).

These groups are then linked to techno-petrographic groups that define the variability of the paste for each entity and technical group. A first classification was made on the basis of the properties of the clay matrix (texture, color) and the inclusions (abundance, distribution, color, shape, size) using a binocular on fresh cuts. We then selected 69 thin sections for petrographic analysis (**Tab. 2**), carried out by Isabelle Druc, to provide insights on the origin of raw materials and the context of production. The definition of the petrofacies, based on the properties of the fine mass, coarse fraction and pore system, was achieved by qualitative and semi-quantitative analysis of the thin sections observed with a petrographic microscope (polarizing, transmitted-light

microscope). Semi-quantitative examination was done on a comparative basis with visual charts to estimate percentage of inclusions (Matthew et al., 1991) as well as by point couting, to obtain percentages of the different minerals and rock fragments (Stoltman, 1989). The thin sections of Huaca Gallinazo and Huaca Santa Clara are representative of the different types produced, both decorated and undecorated. The thin sections from Pampa La Cruz come only from Castillo Decorated, Gallinazo Broad-Line-Incised and undecorated sherds, since Negative ceramics have been previously studied by Arrelucea (2019) and Isabelle Druc, who compared their results to ours.

Petrogroups highlight particular choices in the modes of acquisition and preparation of the pastes, but they do not necessarily reflect distinct production units. General supply areas are proposed by comparison with the geologic maps issued by the *Instituto Geológico, Minero y Metalúrgico* of Peru (Cossío and Jaén, 1967). The coastal environment and formation are quite similar in fact from one valley to the next, with some variations in amount of certain rocks. It is important to also mention the presence of the coastal Batolith that runs parallel to the coast and present similar rock formations, at least in the area of study. The sediments are also constantly reshaped by aeolian deposits, and the heavy rains generated by the El Niño–Southern Oscillation (ENSO) phenomenon.

These groups are finally associated to techno-morpho-stylistic groups, which assess the categories of forms produced according to each operative chain. This approach allows to characterize the variability of the assemblage, which may be of a functional order when each tradition is associated with a particular shape, or of a cultural order (Roux, 2016, p. 272).

	slide 1	51101 0	Sector Context Shape		Type	vessel	Technical tradition	Petrogroup	
		102-13	Ambiente 4	Recint 3	Jar (small to medium size)	Castillo Decorated	Body	Hammering	1a
		310-5	Ambiente 4	Recinto 2	Jar (small to medium size)	Castillo Decorated	Neck	Hammering	3d
	3	313-2	Ambiente 4	Recinto 2	Jar (small to medium size)	Castillo Decorated	Neck	Hammering	2e
-	4	268-3	Ambiente 3	Level 3	Jar (small to medium size)	Castillo Decorated	Neck	Hammering	1f
	5	140-1	Ambiente 3	Fill level 3	Jar (small to medium size)	Castillo Decorated	Neck	Hammering	1a
	6	247-2	Ambiente 3	Level 3	Jar (small to medium size)	Castillo Decorated	Body	Hammering	3a
	7	313-5	Ambiente 4	Fill level 2	Jar (small to medium size)	Castillo Decorated	Body	Hammering	2a
	8	243-35	Ambiente 4	Fill level 1	Jar (small to medium size)	Castillo Decorated	Body	Hammering	1b
- - - Pampa La Cruz	9	274-3	Ambiente 4	Fill level 2	Jar (small to medium size)	Castillo Decorated	Body	Hammering	3d
	10	243-35	Ambiente 4	Fill level 1	Jar (small to medium size)	Castillo Decorated	Body	Hammering	3b
	11	64-6	Ambiente 3	Surface	Undefined	Castillo Decorated	Body	Hammering	2a
	12	28-56	Ambiente 3	Surface	Jar (small to medium size)	Castillo Decorated	Neck	Hammering	1a
	13	252-12	Ambiente 4	Level 2	Jar (small to medium size)	Castillo Decorated	Body	Hammering	2a
	14	245-3	Ambiente 3	Fill level 2	Cooking pot	Castillo Decorated	Neck	Hammering	1b
	15	290-19	Ambiente 4	Fill level 2	Jar (small to medium size)	Castillo Decorated	Neck	Hammering	1a
	16	247-7	Ambiente 3	Level 3	Undefined	Castillo Decorated	Neck	Hammering	3e
	17	134	Ambiente 3	Fill level 3	Storage jar	Plainware	Neck	Hammering	1b
-	18	134-4	Ambiente 3	Fill level 3	Jar (small to medium size)	Castillo Decorated	Neck	Hammering	3a
	19	401-8	Ambiente 4	Fill level 4	Cooking pot	Plainware	Neck	Hammering	3b
	20	134-14	Ambiente 3	Fill level 3	Jar (small to medium size)	Plainware	Neck	Hammering	3g
	21	67-1	Ambiente 3	Fill level 2	Cooking pot	Plainware	Neck	Hammering	1a
	22	398-9	Ambiente 4	Fill level 4	Cooking pot	Plainware	Neck	Hammering	2e
	23	398-13	Ambiente 4	Fill level 4	Cooking pot	Plainware	Neck	Hammering	1a
-	24	84-2	Ambiente 3	Fill level 2	Undefined	Plainware	Body	Hammering	3f
	25	80-2	Ambiente 3	Fill level 2	Cooking pot	Plainware	Body	Hammering	1b
	26	398-11	Ambiente 4	Fill level 4	Undefined	Plainware	Body	Hammering	2f
	27	102-14	Ambiente 3	Fill level 3	Jar (small to medium size)	Plainware	Neck	Hammering	2f
	28	174-13	Ambiente 3	Fill level 3	Undefined	Plainware	Body	Hammering	3b

	29	403-1	Ambiente 4	Fill level 4	Bowl	Plainware	Neck	Hammering	3a
Danuara	30	100-4	Ambiente 3	Level 3	Storage jar	Plainware	Neck	Hammering	3g
Pampa - La Cruz -	31	89-7	Ambiente 3	Level 3	Jar (small to medium size)	Plainware	Neck	Hammering	2g
La Cruz	32	311-12	Ambiente 4	Fill level 2a	Jar (small to medium size)	Plainware	Neck	Hammering	3g
-	33	78-1	Ambiente 3	Fill level 2	Cooking pot	Plainware	Neck	Hammering	1a
-	1 393		South Platform	A5	Bowl	Gallinazo Broad-	Neck	Hammering	V-PG1
						Line-Incised (GBI)			
	2	390	South Platform	A5	Bowl	GBI	Neck	Hammering	V-PG1
-	3	89	Sector B	CA-A1 Fill	Jar (small to medium size)	to medium size) Castillo Decorated		Hammering	V-PG2
_				Below unit 9					
-	4	273	Sector B CA-A1 Fill Jar (small to medium size) Castill		Castillo Decorated	Neck	Hammering	V-PG1	
Huaca Gallinazo -				Below unit 9					
	5	305	Sector A	Sondage 3 Below	Jar (small to medium size)	Negative	Body	Hammering	V-PG1
				level 4					
	6	63	Sector B	CA-A1 Surface	Jar (small to medium size)	Castillo Decorated	Neck	Hammering	V-PG1
	7	1310	Sector A	South Platform		Castillo Decorated	Body	Hammering	V-PG1
_				Fill Below level 1	Jar (small to medium size)				
	8	1198	Sector A	South Platform	Jar (small to medium size)	Plainware	Body	Hammering	V-PG2
_				Fill Below level 1					
_	9	102	Sector E	Surface	Jar (small to medium size)	Plainware	Neck	Hammering	V-PG2
	11	1288	Sector A	South Platform	Storage jar Plainware		Body	Hammering	V-PG3
				Fill Below level 1					
	1	7752	Sector 6	Below level 1	Cooking pot	Plainware	Neck	Hammering	V-PG3
-	2	6702	Sector 6	Surface	Jar (small to medium size)	Negative	Body	Hammering	V-PG3
Huaca	3	6582	Sector 6	Surface	Jar (small to medium size)	Plainware	Body	Hammering	V-PG3
Santa	4	7779	Sector 6	Below level 1	Jar (small to medium size)	Plainware	Neck	Hammering	V-PG3
Clara	_ -	6502	Sector 6	Below level 5	Cooking pot	Plainware	Neck	Hammering	V-PG3
-	6	7764	Sector 6	Below level 1	Cooking pot	Plainware	Neck	Hammering	V-PG3
-	7	7603	Sector 6	Surface	Jar (small to medium size)	Huancaco	Body	Hammering	V-PG2
	8	6559	Sector 6	Below level 5	Undefined	Negative	Body	Hammering	V-FG2
	<u> </u>	0557	Sector 0	Delow level 3	Ondermed	regative	Бойу	Transmicing	V-1 UZ

9	6910	Sector 6	Below level 1	Jar (small to medium size)	Negative	Body	Hammering	V-PG2
10	7776	Sector 6	Below level 1	Bowl	Plainware	Neck	Hammering	V-PG3
11	7762	Sector 6	Below level 1	Bowl	Plainware	Neck	Hammering	V-PG3
12	6875	Sector 6	Below level 4	Cooking pot	Plainware	Neck	Hammering	V-PG3
13	6876	Sector 6	Below level 4	Bowl	GBI	Body	Hammering	V-PG2
15	7784	Sector 6	Below level 1	Jar (small to medium size)	Sarraque Cream	Neck	Hammering	V-PG2
16	6929	Sector 6	Below level 1	Jar (small to medium size)	Plainware	Neck	Hammering	V-PG2
17	8330	Sector 6	Below level 6	Bouteille	Negative	Body	Hammering	V-PG2
18	741.A	Sector 2	Fill	Jar (small to medium size)	Negative	Neck	Hammering	V-PG3
19	741.B	Sector 2	Fill	Jar (small to medium size)	Negative	Neck	Hammering	V-PG3
20	741.C	Sector 2	Fill	Jar (small to medium size)	Negative	Body	Hammering	V-PG2
21	8326	Sector 6	Test pit 2 Level 1	Bowl	GBI	Neck	Hammering	V-PG2
23	6615	Sector 6	Below level 1	Storage jar	Plainware	Neck	Hammering	V-PG2
24	6859	Sector 6	Fill	Jar (small to medium size)	Castillo Decorated	Body	Hammering	V-PG2
25	6533	Sector 6	Below level 5	Jar (small to medium size)	Castillo Decorated	Neck	Hammering	V-PG3
26	6581	Sector 6	Surface	Gratter bowl	Plainware	Body	Hammering	V-PG2
	10 11 12 13 15 16 17 18 19 20 21 23 24 25	10 7776 11 7762 12 6875 13 6876 15 7784 16 6929 17 8330 18 741.A 19 741.B 20 741.C 21 8326 23 6615 24 6859 25 6533	10 7776 Sector 6 11 7762 Sector 6 12 6875 Sector 6 13 6876 Sector 6 15 7784 Sector 6 16 6929 Sector 6 17 8330 Sector 6 18 741.A Sector 2 20 741.B Sector 2 20 741.C Sector 2 21 8326 Sector 6 23 6615 Sector 6 24 6859 Sector 6 25 6533 Sector 6	10 7776 Sector 6 Below level 1 11 7762 Sector 6 Below level 1 12 6875 Sector 6 Below level 4 13 6876 Sector 6 Below level 4 15 7784 Sector 6 Below level 1 16 6929 Sector 6 Below level 1 17 8330 Sector 6 Below level 6 18 741.A Sector 2 Fill 19 741.B Sector 2 Fill 20 741.C Sector 2 Fill 21 8326 Sector 6 Below level 1 23 6615 Sector 6 Below level 1 24 6859 Sector 6 Below level 5	10 7776 Sector 6 Below level 1 Bowl 11 7762 Sector 6 Below level 1 Bowl 12 6875 Sector 6 Below level 4 Cooking pot 13 6876 Sector 6 Below level 4 Bowl 15 7784 Sector 6 Below level 1 Jar (small to medium size) 16 6929 Sector 6 Below level 1 Jar (small to medium size) 17 8330 Sector 6 Below level 6 Bouteille 18 741.A Sector 2 Fill Jar (small to medium size) 19 741.B Sector 2 Fill Jar (small to medium size) 20 741.C Sector 2 Fill Bowl 21 8326 Sector 6 Test pit 2 Level 1 Bowl 23 6615 Sector 6 Below level 1 Storage jar 24 6859 Sector 6 Below level 5 Jar (small to medium size) 25 6533 Sector 6 Below level 5	107776Sector 6Below level 1BowlPlainware117762Sector 6Below level 1BowlPlainware126875Sector 6Below level 4Cooking potPlainware136876Sector 6Below level 4BowlGBI157784Sector 6Below level 1Jar (small to medium size)Sarraque Cream166929Sector 6Below level 1Jar (small to medium size)Plainware178330Sector 6Below level 6BouteilleNegative18741.ASector 2FillJar (small to medium size)Negative19741.BSector 2FillJar (small to medium size)Negative20741.CSector 2FillJar (small to medium size)Negative218326Sector 6Test pit 2 Level 1BowlGBI236615Sector 6Below level 1Storage jarPlainware246859Sector 6FillJar (small to medium size)Castillo Decorated256533Sector 6Below level 5Jar (small to medium size)Castillo Decorated	107776Sector 6Below level 1BowlPlainwareNeck117762Sector 6Below level 1BowlPlainwareNeck126875Sector 6Below level 4Cooking potPlainwareNeck136876Sector 6Below level 4BowlGBIBody157784Sector 6Below level 1Jar (small to medium size)Sarraque CreamNeck166929Sector 6Below level 1Jar (small to medium size)PlainwareNeck178330Sector 6Below level 6BouteilleNegativeBody18741.ASector 2FillJar (small to medium size)NegativeNeck19741.BSector 2FillJar (small to medium size)NegativeNeck20741.CSector 2FillJar (small to medium size)NegativeBody218326Sector 6Test pit 2 Level 1BowlGBINeck236615Sector 6Below level 1Storage jarPlainwareNeck246859Sector 6FillJar (small to medium size)Castillo DecoratedBody256533Sector 6Below level 5Jar (small to medium size)Castillo DecoratedNeck	107776Sector 6Below level 1BowlPlainwareNeckHammering117762Sector 6Below level 1BowlPlainwareNeckHammering126875Sector 6Below level 4Cooking potPlainwareNeckHammering136876Sector 6Below level 4BowlGBIBodyHammering157784Sector 6Below level 1Jar (small to medium size)Sarraque CreamNeckHammering166929Sector 6Below level 1Jar (small to medium size)PlainwareNeckHammering178330Sector 6Below level 6BouteilleNegativeBodyHammering18741.ASector 2FillJar (small to medium size)NegativeNeckHammering19741.BSector 2FillJar (small to medium size)NegativeNeckHammering20741.CSector 2FillJar (small to medium size)NegativeBodyHammering218326Sector 6Test pit 2 Level 1BowlGBINeckHammering236615Sector 6Below level 1Storage jarPlainwareNeckHammering246859Sector 6FillJar (small to medium size)Castillo DecoratedNeckHammering256533Sector 6Below level 5Jar (small to medium size)Castillo DecoratedNeckHammering </td

Table 2. Description of the samples made for petrographic analysis.

5. Results

5.1. The Virú technical tradition from Huaca Gallinazo and Huaca Santa Clara (Virú Valley)

A clear technical homogeneity has been identified for the collections of Huaca Gallinazo and Huaca Santa Clara, since the hammering tradition respectively accounts for 90,8% (N = 434) and 96,3% (N = 6837) of the diagnostic wares (fragments or pots bearing diagnostic features; **Tab. 3**). This technical tradition coexisted with other, more rarely used traditions, such as molding and modeling, which are not presented here (**Tab. 3**; see Espinosa, 2021a). In the following description, we describe the hammering tradition in the order of group definition.

Site -	Hamm	ering	Moul	ding	Modelling		
Site -	NR	%	NR	%	NR	%	
Huaca Gallinazo	434	90,8	34	7,1	10	2,1	
Huaca Santa Clara	6837	96,3	236	3,3	26	0,4	
Pampa La Cruz	1197	100	0	0	0	0	
Huaca Prieta	530	100	0	0	0	0	
Total	8998	96,7	270	2,9	36	0,4	

Table 3. Representativity of the technical traditions. Calculations are made on the basis of the diagnostic wares.

5.1.1 Shaping

Hammering consists in forming a hollow volume by pounding with a hammer a homogeneous clay mass against a concave support (Gosselain, 2002, pp. 90-91; Roux, 2016, pp. 87, 96). It was used to form the bases and bodies of the vessels, where we identified several features that are clearly diagnostic of the hammering technique and not paddling. We note in particular the absence of flat areas on the outer surfaces, corresponding to the traces of the beater (Roux, 2016, p. 217).

Macroscopical scale (Fig. 3)

- Regular profile from top to bottom and uniform external topography, resulting in the use of a support when hammering; irregular internal topography, marked by

a series of rounded depressions, which are the print of the hammer left during the percussion work (Roux, 2016, p. 210; Van Doosselaere, 2005, p. 191); alternately smooth, fluid and irregular microtopography, associated with numerous microtearings and ridges, indicating a percussion work on a wet paste with a hammer regularly humidified (Roux, 2016, p. 212).



Fig. 3. Diagnostic features of hammering on Negative (a.), Plain (b.), Castillo Decorated (c.) and Gallinazo Broad-Line-Incised ceramic types.

a. Regular profile from top to bottom with a thickness of 0.7 cm (Huaca Santa Clara); b. Rounded depressions left by the hammer (Huaca Santa Clara); c. Microtopography alternately smooth, fluid and irregular, associated with numerous micro-tearings and crests (Huaca Santa Clara); d. Combination of sub-parallel fissures with a vertical orientation of the coarse fraction (Huaca Gallinazo). Photography taken with digital microscope with reflected light on a thin section.

Microscopical scale (cross-section; Fig. 3)

- Combination of sub-parallel fissures with a vertical orientation of the inclusions (Roux, 2016, pp. 212-213; Van Doosselaere, 2005, pp. 191-192).

The necks are shaped with coils, vertically superimposed on the upper part of the body. The coils are placed on the inner faces of vessels, which leads to the formation of oblique internal joints. Coils are then progressively preformed by discontinuous pressure with the fingers, but they are slightly stretched and compressed.

Macroscopical scale

- Preferential fractures at the junction between the body and the neck and shift in thickness between the hammered and coiled parts (Roux, 2016, pp. 203, 210; Van Doosselaere, 2005, p. 192); concentric fissures and over-thicknesses corresponding to the junction between coils that were not erased by the subsequent smoothing operations (Roux, 2016, p. 200).
- Discontinuous horizontal depressions associated with the fissures and over-thicknesses, left by the fingers during the shaping of the coils by discontinuous pressure (Roux, 2016, p. 214).

The rims are preformed by continuous pressure with the moistened palm of the hand, placed on top of the rim with the fingers folded against the inner side, and exerting a rotating movement.

- Smooth and fluid microtopography combined to a surface with partially exposed protruding grains, and concentric parallel striations on the rim, suggesting the rotary movement (Roux, 2016, p. 237).

5.1.2 Finishing

The inner and outer faces of the vessels are all smoothed by discontinuous pressure with the moistened palm of the hand in a wet state, following a multidirectional gesture.

Macroscopical scale

- Smooth and fluid microtopography, combined with partially exposed protruding grains, ribbed striations organized in parallel and criss-crossed bands, reticulated striations showing the use of the moistened palm of the hand, and numerous ridges indicating a regular supply of water (Roux, 2016, p. 237).

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Some vessels were burnished, by rubbing with a pebble-hard tool the external surfaces of open-forms and the internal and external surfaces of closed-forms (Roux, 2016, p. 240; Shepard, 1980 [1956], p. 66).

Macroscopical scale

- Shiny appearance; smooth and compact microtopography with embedded grains; facets organized in vertical or horizontal bands (Roux, 2016, p. 240).

5.1.4 Firing

The atmosphere of firing is predominantly oxidizing with a short oxidation phase. Although no potter's kiln has been identified at the sites, based on the color of surfaces and cross-sections, we can assume that firing was carried out in an open area, and that the ceramics were cooling off in the open air (Rohfritsch, 2010, p. 403).

5.1.5 Technical variant: scraping

The main variation consists in scraping with the finger the inner surface of some jars at the midpoint of the body to form a hull.

Macroscopic scale (inner sides)

- Scraping grooves with rounded borders associated with nail and fingerprints on the circumference of the hull, as well as threaded striations following the same orientation as the grooves (Jadot, 2015, pp. 383, 390; **Fig. 5**).

5.1.6 The techno-petrographic groups

The petrographic analyses made on 35 thin sections from the two sites of the Virú valley led to the definition of three petrogroups that are characterized by a mixed lithological composition (**Fig. 4**). All appear to be local since they contain numerous fragments of volcanic rocks, which is a characteristic of the Virú Valley geological environment (Cossío and Jaen 1967). In this valley, the volcano-detritic Casma Formation is indeed more extended (**Figure 5.a**). In comparison with the Moche valley, the Casma

Formation is much less widespread, resulting in a lower concentration of volcanic rocks in the slides we describe latter (**Figure 5.b**). For Huaca Gallinazo and Huaca Santa Clara, the materials are sieved or decanted clays, to which a sandy sediment has sometimes been added. The sands are also sieved or sorted, to retain only the fine, medium or coarse to very coarse fraction depending on the type of container. The presence of iron in these clays probably served as a fluxing agent, allowing the melting point of the paste to be lowered during firing. The point couting analyses shows that each slide has a slightly different composition, indicating the use of numerous sources of material, or sources whose composition varies over short distances, which illustrates the changing character of the local geology. As we previously stated, on the northern coast the composition or the sediments is indeed constantly reshaped or remodeled by aeolian and alluvial deposits, and periodically by the ENSO phenomenon. The composition of the clays and rocks mined by potters thus change over short period of time and distance.

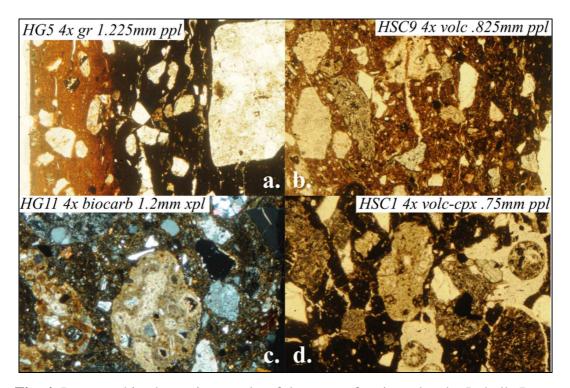


Fig. 4. Petrographic photomicrographs of the coarse fraction taken by Isabelle Druc, in transmitted light with cross nicols (xpl) or plane polarized light (ppl).

a. Petrogroup 1 with inclusions of granodiorite (gr); b. Petrogroup 2 with inclusions of volcanic rocks (volc); c. Petrogroup 3 with biocarbonates (biocarb); d. Petrogroup 3 with inclusions of volcanic rocks (volc) and clinopyroxenes (cpx).

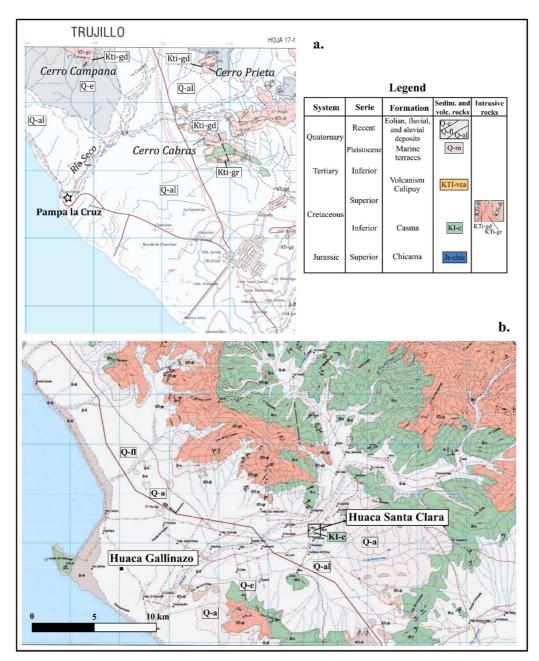


Fig.5. Geological maps of the Moche (a.) and Virú valley (b.) showing the location of sites related to potential supply areas. The maps are modified after INGEMMET, National geologic map, Lima, Peru, Hugo Jaen and Luis Vargas, 1998 digital version.

5.1.7 Techno-morpho-stylistic groups

A wide variety of forms, both decorated and undecorated, were produced by hammering, since we identified 70% (N = 6302). This includes small to large jars (from 15cm to 40cm and more in height), cooking pots, bottles, as well as bowls and cups.

5.2 Virú technical traditions beyond the Virú Valley

The same technologies have been identified for Pampa La Cruz and Huaca Prieta (**Tab. 3**). Nonetheless, we isolated a few technological variations that reflects local adaptations of the hammering tradition. One of these variations is related to scraping tools. From the morphology of the grooves on Pampa La Cruz ceramics, we hypothesize that they used a scraper instead of their fingers (Roux, 2016, p. 214; **Fig. 6**).



Fig. 6. Diagnostic features of scraping on Negative and undecorated potteries a. Carinated pots and sherds from Huaca Gallinazo (a.) and Pampa La Cruz (b.); c. to e. Scraping with the fingers: scraping grooves with rounded borders (c.), nail and fingerprints on the circumference of the hull (d.), threaded striations following the same orientation as the grooves (e.); f. Scraping with a scraper: deep grooves organized in sub-parallel bands around the hull.

The only tool found at Pampa La Cruz that could have been used as a scraper is a sherd with rounded contours and very blunt edges. Its use could be the result of a local innovation or borrowing from neighboring communities in the Moche Valley, rather than an influence of the Salinar potters of Pampa La Cruz, since at the site the use of ceramic scrapers has not been identified until the Virú phase (Comeca et al., 2021; Espinosa 2021b). The Virú occupation phase of Pampa La Cruz is contemporary with the site of Moche, in particular the urban area around Huaca de la Luna, where in some contexts ceramic vessels are coiled and preformed with ceramic scrapers (Espinosa, 2021b, pp. 322-324). Some of these tools have moreover been identified in domestic complexes of the urban area (Bernier, 2005, p. 198). The hypothesis of a borrowing technology thus seems likely, although traceological analyses are necessary to confirm it.

The petrographic analyses for Pampa La Cruz also provide significant data. The petrogroups are numerous and diversified, and ceramics pastes are mostly tempered with a mixed sandy sediment. These sediments are alluvial and aeolian, and characterized by a high frequency of carbonate and bioclasts. Although the thin sections of Huaca Gallinazo and Huaca Santa Clara are also of mixed composition, the pastes are more homogeneous. These differences are explained by the location of Pampa La Cruz on the coastline, where the sediments are the most mix. As hypothesized by Arrelucea (2019, pp. 78-79) from the X-ray diffraction made on 20 fragments of negative decorated ceramics vessels from Pampa La Cruz, compared to clay samples of the area in Huanchaco, at least two sources of acquisition of raw materials would have existed: the *Rio Seco* area and the *Cerro Campana* (**Fig. 5**).

Potters have therefore adapted their strategies to the materials present in their environment, but their choices were also made according to tradition. They share the same knowledge for choosing and preparing the raw materials. These materials are coluvial clays tempered with a sandy sediment, sorted according to the same preferential granulometry. The clays could be extracted from the hills present in each micro-region, and the sands mined from the aeolian deposits around the hills. Although no evidence of pottery production was identified at Pampa La Cruz and elsewhere, we can then assess the local character of each site based on the mineralogical composition of the slides. In the case of Pampa La Cruz, however, the strategy is different since the *Cerro Campana* is located about eleven/ten kilometers from the site. Potters could therefore move over

this distance to find raw materials with properties similar to those they used in the Virú Valley.

Other regional variations are noticeable, especially for the shapes of the ceramic vessels which are much more diversified in the Virú Valley, with specific shapes like bowls decorated with excised grooves (**Fig. 7.a**). Some shapes are also distinctive of Huaca Prieta like double spouts and bridge bottles (**Fig. 7.b**). However, there seems to have been a programmatic coherence in the shapes of plainwares and decoration of the Castillo Decorated type present in the three valleys.



Fig. 7. Spatial variability of shapes and decorations. a. Bowl decorated with excised grooves (Gallinazo Broad-Line-Incised type, Huaca Santa Clara); b. Double spouts and bridge bottle from Huaca Prieta, decorated with negative designs (41.2.4749, American Museum of Natural History of New York).

6. Discussion

Our analyses highlight a strong homogeneity of the Virú technical tradition, whose stability in time and space is linked to the processes of learning and transmission of skills on an individual and collective scale (Gosselain, 2002, p. 11; Roux, 2016, p. 18). Hammering is a tradition specific to the Virú, that was not shared with their contemporaries the Moche, who produce their decorated potteries by moulding (Donnan, 2004). As shown in previous papers (Espinosa, 2021a; Espinosa et al., 2019), in the contexts studied at the Moche valley center Huacas de Moche, potters indeed shaped their decorated wares by moulding, and their undecorated wares by coiling. There is in fact no filiation between these potters, but contacts could have been maintained, as evidenced by the potential borrowing of ceramic scrapers at Pampa La Cruz.

Hammering also has to be differentiated from paddling, a technique that dominated the northern coast of Peru, and in particular in the Sechura and Lambayeque regions, from the Middle Horizon to the Late Intermediate Period (Cleland and Shimada 1998). Hammering consist in beating the internal face of the pot with a hammer, while during paddling the internal and external faces are simultaneously beaten with a paddle and an anvil. Further technical studies would help define the chronological depth of the hammering technique during earlier periods, and its permanence during the Middle Horizon and the Late Intermediate Period. Based on a first technological study of ceramic undertaken by Gianina Comeca, it appears that the potteries from the Salinar occupation of Pampa La Cruz (400 B.C. – 100 A.D.) were shaped by modelling, and that hammering only appears during the Virú phase (Comeca et al., 2021). Therefore, there would be no filiation between these potters who belong to different communities of practice. Then, the appearance of hammering along with morpho-stylistic types at Pampa La Cruz site can be seen as the result of a replacement of production units, or to changes in the consumption habits of local populations. Nonetheless, further evidences are needed to better understand the relationship maintained by the Salinar and Virú populations at the site.

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What characterizes the Virú potting tradition is therefore the hammering technique, and the succession of operations allowing the elaboration of ceramic vessels of very diverse morpho-stylistic ranges. These results suggest a technical, and thus historical and demographic kinship between the communities of potters from the Virú, Moche and Chicama valleys, rather than an assimilation of local populations. However, the identification of regional adaptations at the sites of Huaca Gallinazo, Huaca Santa Clara, Pampa La Cruz and Huaca Prieta, led us to differentiate social groups sharing this tradition. These variations reveal that in these regions, potters have adjusted their knowledge according to local resources, as well as adapted their shapes and designs to consumer demands. These results also suggest that each site produced its own ceramics, or that at least the producers lived in the same micro-region as the inhabitants of the sites. Contrary to what might have been expected, the ceramics, and in particular the ones decorated with negative designs, are not finished products transported from the Virú Valley. They are actually local productions, made by potters belonging to the same potter's community. But each community followed its own trajectory, leading to potential borrowings and adaptations following contacts with previous and contemporary groups, as the Salinar and the Moche.

This technical and techno-stylistic homogeneity demonstrates that there is a technical kinship between the Virú communities of the Virú, Chicama and Moche valleys. From 200 BC to 300/400 A.D, at least three central areas are present, understood as "a geographical area in which the tradition is produced and distributed" (Roux, 2016, p. 334): Pampa La Cruz, Huaca Gallinazo and Huaca Santa Clara. For Huaca Prieta, as no petrographic analyses have been made, it is not possible to establish whether or not the production was local. In these central areas, the populations consume potteries only manufactured by potters using the Virú technical tradition and local materials (Roux, 2016, p. 334). In the Virú Valley, this central area lasted until the 7th century, while in the Moche and Chicama valleys these occupations did not last long, as they fell under the influence of the Moche, who occupied the sites or their surroundings for a long time. The Virú occupation of Huaca Prieta (111 BC to 380 A.D) is in fact part contemporary with the first phases of construction of the Huaca Cao Viejo, which becomes one of the most important Moche civic-ceremonial center of the region (Millaire et al. 2016, p. E6021; Mujica et al., 2007, p. 109). In the case of Pampa La Cruz, the Virú domestic occupation (100 to 400/550 A.D) is followed by a Moche III/IV occupation around 450-550 A.D (Prieto, 2018, p. 214). Although, how these contemporary populations coexisted and interacted remains to be investigated in the Moche and Chicama Valleys, even if we can assess that potters linked to the Virú and Moche populations were not rooted in the same communities of practice (Espinosa, 2021; Espinosa et al., 2019).

Now, how can we understand the mechanisms underlying this technical homogeneity and the distribution of Virú ceramics on the north coast? What our results suggest is that the communities of Huaca Prieta and Pampa La Cruz consumed ceramic vessels made by production units belonging to the same social group as the potters of the Virú Valley. Nonetheless, interpreting this technical homogeneity remains complex: is it the result of displacement of part of the Virú social group from the Virú Valley in order to settle in the adjacent valleys, or are we facing a case of itinerant pottery production? The first hypothesis seems more likely, since itinerancy is mostly documented in the *Sierra*, in areas where there are no production centers, and generally responds to a punctual need of the communities, and not to a continuous demand (I. Druc, personal communication, May 2018; Ramón, 2013). The Virú Valley would therefore be the center from which part of the Virú population, including potters, moved to settle in other communities, even though it is not possible in the current state to assess if these sites are

outposts. These groups were probably guided by members of the Virú elite, such as the individual buried in Tomb 126 at Pampa La Cruz with a double-bottle depicting a warrior in arms (Millaire et al., 2016, p. E6022).

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7. Conclusion

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The present study shows that hammering is the most distinctive Virú technical tradition for producing ceramic vessels, a technique that was shared and perpetuated throughout the Early Intermediate Period. The variability observed, both from the point of view of the common operative chain and its variants, correspond to adjustments made by potters who mastered the entire technical process, and the choices to be made in order to adapt to the shapes produced. However, we have noted several regional variations that allow us to affirm that, although Virú potters were present outside the Virú Valley between 200 B.C and 400-500 A.D, they constitute distinct production units. For example, petrographic analyses show that in each region, potters have adapted to the materials present in their environment, while sharing the same recipe for preparing their paste. This study also demonstrates that the presence of Negative ceramics is not the result of trade from the Virú Valley, nor of local imitation, but of local production made by potters of Virú affiliation, as it is probably the case for the other ceramic vessels (Espinosa, 2021b). However, we do not exclude that some vessels were also imported from the Virú Valley. Unfortunately, it is still difficult to see whether this mobility is part of the political strategies displayed by the Virú populations to extend their territory. These results finally illustrate the significant contribution of the technological approach to characterize the social identity of Virú potters. At the same time, they open up many future perspectives in order to retrace the networks of circulation of potters and pots outside the Virú Valley.

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