

Microbial sophorolipids inhibit colorectal tumour cell growth in vitro and restore haematocrit in Apc min+/mice

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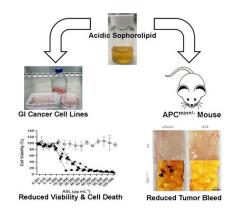
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1	Microb	ial sophorolipids inhibit colorectal tumour cell growth <i>in vitro</i> and restore haematocrit in Apc ^{min+/-}
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31	Abstract

33 Sophorolipids are glycolipid biosurfactants consisting of a carbohydrate sophorose head with a fatty acid tail and 34 exist in either an acidic or lactonic form. Sophorolipids are gaining interest as potential cancer chemotherapeutics 35 due to their inhibitory effects on a range of tumour cell lines. Currently, most anti-cancer studies reporting the 36 effects of sophorolipids have focused on lactonic preparations with the effects of acidic sophorolipids yet to be 37 elucidated. We produced a 94% pure acidic sophorolipid preparation which proved to be non-toxic to normal 38 human colonic and lung cells. In contrast we observed a dose-dependent reduction in viability of colorectal cancer 39 lines treated with the same preparation. Acidic sophorolipids induced apoptosis and necrosis, reduced migration 40 and inhibited colony formation in all cancer cell lines tested. Furthermore, oral administration of 50 mg kg⁻¹ acidic 41 sophorolipids over 70 days to Apcmin+/- mice was well tolerated and resulted in an increased haematocrit, as well 42 as reducing splenic size and red pulp area. Oral feeding did not affect tumour numbers or sizes in this model. This 43 is the first study to show that acidic sophorolipids dose-dependently and specifically reduces colon cancer cell 44 viability in addition to reducing tumour-associated bleeding in the Apc^{min+/-} mouse model. 45 46 Keywords: Sophorolipids, Acidic, Colorectal, Cancer, Apcmin+/-47 48 **Statements and Declarations** 49 50 Competing interests: The authors declare that they have no competing interests. 51 52 Ethical approval: The article does not contain any studies with human participants where ethical was required. 53 Experiments using animals were carried out after obtaining ethical approval and under licence in accordance with 54 the Animals (Scientific Procedures) Act 1986, U.K. Further detail is provided in the material and methods section. 55 56 Data availability statement: The datasets generated during and/or analysed during the current study are available 57 from the corresponding authors on reasonable request. 58

59 Graphical Abstract



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62 Key Points

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64 Acidic sophorolipids are produced by yeast species such as *Starmerella bombicola*.

65 Acidic sophorolipids selectively killed colorectal cells with no effect on healthy gut epithelia.

66 Acidic sophorolipids reduced tumour associated gut bleed in a colorectal mouse model.

67

68 Introduction

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70 Familial Adenomatous Polyposis (FAP) is an autosomal dominant hereditary form of Colorectal cancer was 71 responsible for over 10% of global cancer incidence and 9.4% of global cancer related deaths in 2020 (Ferlay et 72 al. 2020). FAP is characterised by the development of numerous adenomas along the colorectal tract. Currently, 73 the gold standard for treatment of FAP is surgery, followed by adjuvant chemotherapy (O'Connell et al. 2010). 74 Adjuvant chemotherapy does not however discriminate between normal and transformed tissue, which leads to a 75 variety of potentially serious complications including cardiotoxicity, immune dilapidation, and neurotoxicity 76 (Morgan and Rubin 1998; Mazevet et al. 2013). To allay this problem, compounds that are non-toxic, orally 77 tolerated and specifically target epithelial neoplastic cells in the intestinal tract could have great potential in 78 delaying progression of intestinal neoplasms; in particular, those that are associated with progression to these 79 colorectal cancers (Kelloff et al. 1994; D'Incalci et al. 2005).

There is an increasing body of research demonstrating compounds derived from natural sources such as plants and microbial secondary metabolites have preventative or targeted anti-cancer activity (Fridlender et al. 2015; Khalifa et al. 2019). An example that is already in widespread in cancer treatment is Paclitaxel, first derived from the bark of the yew tree (*Taxus baccata*) (Stierle et al. 1993) and is now used for the treatment of several different cancer types including ovarian, oesophageal, breast, lung and pancreatic cancer (Barbuti and Chen 2015).

85 Sophorolipids are a class of naturally occurring glycolipids produced by yeast species such as Starmerella 86 bombicola (Van Bogaert et al. 2007; Roelants et al. 2019). Comprising a hydrophilic sophorose moiety covalently 87 linked to hydrophobic hydroxylated fatty acid tails ranging between 16 and 18 carbons in length, sophorolipid 88 congeners are produced in two main forms either lactonic (LSL) or acidic (ASL) (Fig. S1) (Banat et al. 2010; 89 Marchant and Banat 2012a; Marchant and Banat 2012b). During fermentation yeast species generate mixtures of 90 these structurally different congeners (Van Bogaert et al., 2007). The anti-cancer properties of sophorolipids have 91 received a lot of attention in recent years. Sophorolipids and their synthetically synthesised derivatives have shown 92 in vitro cytotoxic effects in human pancreatic (HPAC), liver (H7402), lung (A549), brain (LN229, HNCG-2), 93 oesophageal (KYSE109, KYSE450), breast, cervivcal (HeLa), leukaemic (HL60, K562) and melanoma (SK-94 MEL-28) cell lines (Chen et al. 2006a; Chen et al. 2006b; Fu et al. 2008; Dhar et al. 2011; Shao et al. 2012; 95 Ribeiro et al. 2015; Li et al. 2017; Ceresa et al. 2021; Adu et al. 2022). Sophorolipids have also shown potential 96 tumour shrinking capability in an in vivo model of cervical cancer (Li et al. 2017). However, to date, very few in 97 vivo bioactivity studies have been reported for sophorolipid mixtures. A small number of toxicology experiments 98 have shown that sophorolipids are non-irritating when topically applied to the skin and eyes of rabbits and non-99 toxic when administered orally to either mice or rats (Ikeda et al. 1986; Callaghan et al. 2016). Sophorolipid 100 mixtures have also been observed to reduce inflammation and reduce mortality rates in a rat model of severe 101 abdominal sepsis as well as decreasing IgE levels in a murine asthma model (Hardin et al. 2007; Bluth et al. 2008). 102 Although sophorolipids show promising anti-cancer activity in vitro, the gross composition and 103 percentage of congeners within the sophorolipid mixture used in most investigations are not fully disclosed. It can 104 be assumed that LSL enriched preparations are more common, due to the preponderance of LSL's in comparison 105 to ASL's within naturally occurring sophorolipid mixtures (Van Bogaert et al. 2011; Marchant and Banat 2012a). 106 The composition of sophorolipid preparations is an important factor to consider when investigating the targeted 107 or preventative anti-cancer effect of these molecules. The reasoning for this is twofold; firstly, to reduce toxicity 108 resulting from contaminants in crude preparations and, natural products being investigated must be composed 109 completely of highly purified biologically active agent (Beutler 2019; Adu et al. 2022). In the case of pactitaxel 110 and other naturally derived agents, this has necessitated the synthetic production in the laboratory of the active 111 agent with targeted anti-cancer properties (Flam 1994). Secondly, and arguably more important, there is 112 significant difference in the functional properties attributed to LSL and ASL congeners. LSL's have strong 113 antimicrobial action that is not seen in ASL's (Van Bogaert et al. 2007; Elshikh et al. 2017). Previously, we have 114 shown that a highly purified preparation of LSL results in exacerbation of adenomatous tumour growth in the

intestinal tract of a colorectal cancer murine model, (Apc^{min+/-} mouse), with secondary consequences including
splenomegaly and reduced haematocrit levels (Callaghan et al. 2016).

117 To date, the anti-cancer bioactivity of purified ASL's have only been investigated within melanoma cell 118 lines (Adu et al. 2022). In contrast to our previous work with LSL, an ASL specific targeted anti-cancer effect 119 would be advantageous for several reasons. In comparison to LSL congeners, ASL's have reduced production 120 costs as they are the first congeners to be produced in the bioreactor when less favourable conditions that require 121 a reduced energy requirement are present (Casas and García-Ochoa 1999; Sarubbo et al. 2022). The proportion of 122 ASL within enriched preparations can be improved when the medium is supplemented with polyunsaturated fatty 123 acids and this can be further increased via simple hydrolysis of the sophorolipid mixtures which increases the 124 number of open ring structures (Van Bogaert et al. 2011). Alternatively, a modified strain lacking the lactonising 125 enzyme can be applied. Yet, in contrast to the hydrolysis strategy, acetylation of the glucose moieties is still 126 possible (Roelants et al., 2016). ASL's have enhanced solubility when compared to other sophorolipid congeners, 127 which may prove useful for their use as pharmaceuticals, as they can be dissolved in saline instead of potentially toxic solvents such as DMSO (Baccile et al. 2016). Both the solubility as well as enhanced foaming attributes of 128 129 ASL's contribute to their preferred use in the food, cosmetic and the bioremediation industries (Roelants et al. 130 2016; Naughton et al. 2019; Adu et al. 2020).

131 In this study we investigated the targeted therapeutic effect of purified ASL in vitro in human colorectal cancer cell lines and their ability to inhibit tumour growth in Apc^{min+/-} mice. The Apc^{min+/-} mouse model of FAP 132 133 was used as it recapitulates key pathological features of the human disease and provides a useful tool to investigate 134 the effects of genetics, diet, and therapeutic drugs on tumorigenesis in the gastrointestinal tract (Hinoi et al. 2007). Like human FAP, it was first noted that Apc^{min+/-} mice develop adult-onset anaemia with haematocrit levels <45%, 135 136 the passage of bloody stools, and have a reduced life span (Moser et al. 1995). Additionally, post-mortem analysis 137 revealed the growth of numerous tumours along the small intestine (Moser et al. 1995). The Apcmin+/- mouse model 138 has also been widely used to test the effects of chemotherapeutics on tumour growth and development such as NSAIDs (Aspirin) (Reuter et al. 2002). In addition, the Apcmin+/- mouse model has also been used to develop a 139 140 further understanding of clinically used chemotherapeutic drugs such as 5-fluorouracil, which is widely used in 141 the treatment of CRC (Tucker et al. 2002). Therefore, we hypothesize that a highly purified and well characterised 142 preparation of ASL (94% pure diacetylated) will selectively inhibit colorectal tumour growth in vitro and delay disease progression in the Apc^{min+/-} mouse model. 143

145 Material and Methods	145	Material	and	Methods
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147 ASL production and purification.

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149 ASL's used in this study were generated in house from a crude precursor sophorolipid mixture, (Sopholiance S, 150 Batch N°11103A), purchased from Givaudan (Vernier, Switzerland). ASL congeners were obtained according to 151 a method described by Baccile et al. (2013). In brief, sophorolipids were purified from Sopholiance S via liquid 152 phase extraction in acidified ethyl acetate followed by hexane washing. Following purification, the sophorolipid 153 material was subjected to alkaline hydrolysis by diluting in 5 M NaOH (Merck) and heating under reflux to 90°C 154 for 10 min. The material was then acidified to pH 4 using 18.5% (w/v) HCl (Merck). ASL was then recovered via 155 precipitation in a pentanol / hexane medium (Merck) at -18°C (Baccile et al. 2013). Following production and 156 purification, SL congeners were identified by a UHPLC system with RS Diode Array detector (ThermoFisher 157 Scientific) in conjunction with the amaZon SL dual funnel Ion Trap spectrometer LCMS system (Bruker). The 158 percentage relative amounts of each congener were calculated and a ratio of ASL to LSL determined (Smyth et 159 al., 2010). 160 161 Cell culture 162

163 Colorectal cancer cell lines HT29 (ATCC[®] HTB-38), HT115 (ECACC 85061104), HCT116 (ATCC[®] CCL-247),
164 and Caco2 (ATCC[®] HTB-37) as well as colonic epithelium CCD-841-CoN (ATCC[®] CRL-1790) cell lines were
165 used in this study. Cell lines were maintained in DMEM low glucose media or MEM low glucose media
166 (*ThermoFisher Scientific*) supplemented with 10% (v/v) foetal bovine serum (*ThermoFisher Scientific*). All
167 cultures were maintained at 37°C in a humidified atmosphere containing 5% CO₂.

168

169 Cell viability assay

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171 A total of 1 x 10^4 cells per well were seeded in to a 96 well plate (*Sarstedt*) and allowed to attach overnight before 172 being serum starved for 24 hours. Cells were then treated with concentrations of ASL ranging between 0.001 µg 173 mL⁻¹ – 100 µg mL⁻¹ or vehicle-only control (PBS) for 24 hours. Subsequently, 10 µL of a 25 mg mL⁻¹ solution of 174 MTT (3-(4, 5-dimethylthiazol-2-yl) -2, 5-diphenyltetrazolium bromide) (*Merck*) was added to each well and the

175	plate further incubated for 1 hour at 37°C. Formazan crystals were solubilized with 100 µL of DMSO (Merck).
176	Absorbance at 570 nm was measured using a FLUOstar Omega microplate reader (BMG-LABTECH).
177	Experiments were repeated three times with six internal replicates per treatment group. Data are representative of
178	the three independent repeats and presented as mean percentage reduction in absorbance in comparison to vehicle-
179	only controls \pm standard deviation (SD).
180	
181	Visualisation of morphological changes induced by ASL treatment
182	
183	A total of 1×10^4 cells per well were seeded on to a 96 well plate (<i>Sarstedt</i>) and allowed to attach overnight
184	forming a monolayer before being serum starved for 24 hours. Cells were then treated with both 20 and 70 μ g mL ⁻
185	¹ of ASL or vehicle-only control (PBS) for 24 hours. The cells were subsequently imaged with an Axio Scope 1
186	microscope (Zeiss) at $200 \times$ magnification. Images obtained from three random fields were selected and assessed
187	for morphological changes by comparing each cell line to the vehicle-only control, looking for changes in cell
188	shape and confluency. Experiments were plated in triplicate and repeated three times.

190 Quantification of detached cells

191

192 A total of 1×10^4 cells per well were seeded on to a 96 well plate (*Sarstedt*) and allowed to attach overnight 193 forming a monolayer before being serum starved for 24 hours. Cells were then treated with both 40 and 70 µg mL⁻ 194 ¹ of ASL or vehicle-only control (PBS) for 24 hours. 10 µg of supernatant was removed from each well, placed 195 into a 1.5 mL microtube (Sarstedt). Cells were stained with 5 µM of both Syto 9 and propidium iodide (Merck) 196 by adding directly into the microtube and incubating at room temperature for 30 minutes. The cells were then 197 centrifuged at $150 \times g$ for 5 minutes and the supernatant was aspirated. The remaining cells pellet was washed 198 using ice-cold PBS (pH 7.4) (ThermoFisher Scientific) and spun onto a microscope slide using a Shandon 199 cytocentrifuge (*ThermoFisher Scientific*) for five minutes at $176 \times g$. Slides were subsequently imaged with a 200 Axio Scope 1 microscope (Zeiss) at 400 × magnification. Following staining with Syto9 and propidium iodide, 201 fluorescent staining was present in nucleus therefore live cells appeared green while dead cells fluoresced red 202 (Altman et al. 1993) and as such cells were counted. A minimum of 1 cell and a maximum of 250 cells were 203 counted per field of view. Experiments were plated in triplicate and repeated three times, data set shown as mean 204 \pm Standard Error of the Mean (SEM).

206 Acridine orange / ethidium bromide staining and quantification

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208 To determine the mechanism of cell death induced by addition of ASL, cells were stained in situ with acridine 209 orange at 10 mg mL⁻¹ (Merck) and ethidium bromide 1 mg mL⁻¹ (Merck). Morphological changes were assessed by fluorescence microscopy. For assessment of apoptosis, a total of 3×10^4 cells were seeded onto a 10 mm 210 211 coverslip (Agar Scientific) placed within a 6 well plate and the cells were incubated overnight to form a confluent 212 monolayer. Following serum starvation for 24 hours; ASL (at a concentration of either 20 µg mL⁻¹ or 70 µg mL⁻¹ 213 ¹), vehicle control (PBS), or 5 μ M of etoposide (apoptotic control) (*Merck*) was added and the plate incubated for 214 a further 24 hours. To determine the number of live cells remaining on the coverslip, the samples were washed 215 three times with ice-cold phosphate buffered saline at pH7.4 (ThermoFisher Scientific), followed by incubation 216 with 10 µL of a solution of acridine orange / ethidium bromide with a volumetric ratio of 1:1 for 5 minutes. 217 Finally, the cells were washed again three times with ice-cold PBS at pH7.4 (ThermoFisher Scientific). Cells were 218 subsequently imaged with a Axio Scope 1 florescence microscope (Zeiss) at $400 \times$ magnification. A total of 300 219 attached cells/coverslip were morphologically identified and counted as necrotic (red/orange nuclei), apoptotic 220 (green condensed or fragmented nuclei) or live (green non-condensed ovoid or rounded nuclei). The operator was 221 blinded to the experimental groups and random fields were selected. Each experiment was replicated three times 222 with six internal repeats per group. Data are representative of three independent repeats and presented as mean \pm 223 SEM.

224

226

For wound healing scratch assays, 1.6×10^6 cells were plated in each well of a 6 well plate (*Sarstedt*) and allowed 227 to attach overnight. Cells were serum starved for 24 hours and treated with 5 μ g mL⁻¹ of mitomycin C (*Merck*) 228 229 for 2hours prior to the scratch to inhibit proliferation. The "wound" was made by scratching a line in the centre 230 of the confluent monolayer using a sterile toothpick. Cells were rinsed very gently three times with PBS at pH7.4 231 (ThermoFisher Scientific) and cultivated in serum free media supplemented with PBS vehicle-control or 10 - 20 232 µg mL⁻¹ ASL for up to 72 hours. The cell was imaged at various time points using a ELWD TI SCP microscope 233 (Nikon Europe B. V.) at 100 × magnification. To quantify migration of cells into the scratch wound, the area of 234 the gap was measured using ImageJ software (Schneider et al. 2012). After 72 hours, the area of the remaining

²²⁵ Scratch assay

gap was measured and the difference between initial and final areas calculated. Experiments were repeated three
 times using triplicate technical replicates per experimental group. Data are representative of the three independent
 repeats and presented as mean ± SEM.

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239 Chemotactic cell migration assays

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241 Chemotactic cell migration assays were carried out in a modified Boyden chamber, based on a previously described protocol (Chen 2005). 1×10^4 cells per well of CCD-841-CoN, HT29 or HT115 CRC cells were placed 242 243 in the upper compartment of a 96- well FluoroBlok transwell inserts (Analab) which contained 8 µm pore size 244 polyethylene terephthalate filters (Analab) and the cells were allowed to attach overnight. A final concentration 245 of 0, 10 or 50 µg mL⁻¹ of ASL in serum free media was added to the upper chamber, while appropriate culture media supplemented with 10% FBS was added (as a chemoattractant) to the bottom chamber. Cells were left to 246 247 migrate overnight in an incubator at 37°C and at 5% CO₂. Following incubation, all media in the upper chamber 248 was removed and all cells that failed to migrate were removed using a sterile cotton swab. Cells that had migrated 249 through to the lower surface of the filter insert were stained with a 0.1% (w/v) solution of crystal violet (*Merck*) 250 made up in 25% (v/v) methanol (Merck). A total of three random areas were chosen and a minimum of 1 cell and 251 a maximum of 300 cells stained with crystal violet were counted under a Axio Scope 1 light microscope (Zeiss) 252 at $400 \times$ magnification. Migration rates were expressed as total percentage of the control. Data are representative 253 of the three independent repeats and presented as mean \pm SEM.

254

255 Animal model

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257 Prior to the commencement of the study all animal procedures were approved by both the animal care and ethics 258 committee at Ulster University and the UK Home Office. Additionally, all animal procedures were carried out by 259 licensed personnel in accordance with both local animal welfare committee (Ulster University) and national (UK 260 Home Office) guidelines (Workman et al. 2010). For breeding purposes male Apc^{min+/-} mice were housed together 261 with female wild type (wt) mice and all the animals subjected to a 12/12 light cycle, with food and water being 262 available ad libitum. Mice were genotyped as described previously in Callaghan et al., (2016), with both heterozygous Apc^{min+/-} and wt mice (male and female) used in experiments. During the study mice were monitored 263 264 daily for grooming activity, general behaviour, activity levels, food and water intake and general wellbeing. Mice

assessed as exhibiting signs of distress or discomfort were immediately removed from the study and euthanized
 via CO₂ asphyxiation.

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- 268 ASL dosing
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At five weeks of age, both *wt* littermate and Apc^{min+/-} mice were treated via oral gavage every other day with either vehicle-only or a solution containing 50 mg kg⁻¹ (body weight) of ASL suspended in saline for 70 days. During treatment, body weights, general health and behaviour were monitored bi-weekly. Food and water were weighed on a weekly basis to determine the effect of ASL treatment on eating/drinking habits. A cut-off point for body weight reduction of 10% was applied and mice reaching this point were euthanized via CO_2 euthanasia.

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276 Tissue collection and assessment

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278 At the end of the experimental protocol, mice were euthanized with an overdose of pentobarbitone (200 mg kg⁻¹ 279 given IP). A cardiac puncture was performed to collect blood that was stored in EDTA tubes (Aquilant Scientific). 280 An additional blood sample was taken for haematocrit level estimation. For this a blood capillary tube was filled 281 with blood, sealed, and centrifuged (Microcentrifuge with Hematocrit Rotor; Cole-Parmer) at $13,000 \times g$ for 5 282 minutes. Haematocrit levels were calculated using the following calculation: height of RBC/total height of all the 283 components x 100. Internal organs including the intestinal tract, colon, spleen, heart, liver, kidneys and lungs 284 were removed, weighed and immerse fixed in 10% buffered formal saline at pH7.4 (ThermoFisher Scientific). 285 The intestinal tracts were divided into 3 sections according to the description provided by Casteleyn et al., (2010). 286 After identification of the specific intestinal regions, samples were bisected longitudinally, and the total number 287 of polyps was recorded as well as their diameters measured with callipers (Casteleyn et al. 2010). The specimens 288 were then cut into ~ 2 cm strips and placed in cassettes prior to standard wax embedding. To assess qualitative 289 histopathological changes in the intestines and spleen, tissues were cut into 5 µm sections using a Shandon Finesse 290 325 Microtome (ThermoFisher Scientific) placed on glass slides, cleared with xylene, dehydrated in descending 291 grades of ethanol and subsequently stained with Mayer's haematoxylin and eosin (Merck). Stained sections were 292 examined with a Axio Scope 1 light microscope (Zeiss) at a range of objective magnifications.

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294 Statistical analyses

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296 Statistical analysis of cell viability data was determined by two-way ANOVA followed by both Tukey's and 297 Dunnett's post hoc testing. Analysis of live/ dead cell counts was determined by two-way ANOVA followed by 298 Šidák's post hoc testing. Analysis of remaining *in vitro* data was determined by two-way ANOVA followed by 299 both Dunnett's post hoc testing. Comparisons between in vivo groups was assessed using a students' t-test. A 300 value of $p \le 0.05$ was considered statistically significant. All statistical analysis was carried out with the aid of 301 Prism Version 9.3.1 (350) (GraphPad Software). 302 303 **Results** 304 305 Production and purification of ASL 306 307 A purified form of diacetylated ASL was produced for use in this study from a commercially available SL 308 formulation using methodologies outlined by Baccile et al. (2013). Following the recommendation of Twigg et 309 al. 2021, the purified sample was analyzed via HPLC-ELSD and was found to be composed of 94% nonacetylated 310 ASL. A breakdown of the various nonacetylated ASL congeners present in the samples can be seen in Table S1. 311 The most abundant congener present in the sample was acidic C18:1s (75% relative abundance). The acidic C18:1t 312 and C18:2t congeners represented 10% each, while all other ASL congeners were limited to less than 2% relative 313 abundance (Twigg et al. 2021). 314 315 ASL have a selective effect on colorectal cancer cell viability. 316 317 Several colorectal tumour cell lines (HT29, HT115, HCT116 and Caco2), in addition to a colonic epithelial call 318 line (CCD-841-CoN) were treated with ASL to assess the effect on cell viability. ASL at concentrations up to 100 319 µg mL⁻¹ effected no significant decrease in the viability of the CCD-841-CoN cell line after 24 hours of treatment 320 in comparison to cultures treated with a vehicle-only control (PBS) (Fig. 1a). However, 24 hours of treatment with ASL concentrations equal to or above 1 µg mL⁻¹ resulted in significantly reduced viability in the HT115 cell line 321 in comparison to vehicle-only controls (p = 0.0201) (Fig. 1a). In HT29, Caco2 and HCT116 cell lines, treatment 322 323 with ASL concentrations equal to or above 10 µg mL⁻¹ resulted in a significant reduction in cell viability in 324 comparison with cells treated with vehicle-only controls (p = < 0.0001, < 0.0001, and 0.0099 respectively) (Fig.

1a). The viability of colorectal tumour cell lines HT29, HT115 and Caco2 were significantly reduced when compared to the colonic epithelial cell line CCD-841-CoN when treated with ASL concentrations equal to or above 1 μ g mL⁻¹ (p = 0.0146, < 0.0001, and 0.0017 respectively). For cell line HCT116 a significant reduction in viability when compared to CCD-841-CoN was observed when cells were treated with ASL concentrations equal to or above 10 μ g mL⁻¹ (p = 0.0046) (Fig. 1a).

- 330
- 331 ASL induces cell rounding, detachment and cell death in colorectal cancer cells
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333 To observe potential effects on cell morphology, colorectal cancer cell lines (HT29, HT115, HCT116 and Caco2) 334 and the colonic epithelial cell line (CCD-841-CoN) were treated with ASL and assessed by microscopic 335 examination. CCD-841-CoN cells treated with a vehicle-only control (PBS) grew as a confluent monolayer with 336 a bipolar morphology (Fig. S2). Following treatment with either 20 or 70 µg mL⁻¹ ASL no morphological 337 differences of cells in the monolayer were observed when compared to vehicle-only treated CCD-841-CoN cells 338 (Fig. S2). HT29, HT115, HCT116 and Caco2 treated with the vehicle-only control displayed a densely packed, 339 cobblestone-like monolayer morphology (Fig. S2). When treated with ASL, disruption to the monolayer and 340 detachment of HT-29, HT115, HCT116 and Caco-2 cell lines was observed. Partially detached and rounded cells 341 were conspicuous within HT29, HT115 and Caco2 cell lines treated with 20 µg mL⁻¹ (Fig. S2). HT29, HT115, 342 HCT116 and Caco2 cells exposed to 70 µg mL⁻¹ ASL, demonstrated extensive monolayer disruption with 343 distinctive cell free areas within the cultures (Fig. S2).

As cellular detachment was observed in all tumour cell cultures, cells were isolated from the supernatant and stained with syto9 and propidium iodine to determine if detachment resulted in cell death. Only a small number of CD-841-CoN cells treated with either concentration of ASL were observed to detach and there was no significant difference in the proportion of these detached cells that were either alive or dead (Fig. 1b). In contrast the number of detached HT29, HT115, HCT116 and Caco2 cells was higher when treated with either concentration of ASL when compared to vehicle-only treated cells, and there was a significant increase in the proportion of these detached cells that were found to be dead (Fig. 1b).

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352 ASL induces cell death *in vitro* via both apocopic and necrotic pathways.

354 As treatment with ASL resulted in a significant loss of cell viability, and induced detachment and cell death in 355 colorectal cancer cell lines, the mechanism of cell death was investigated. Colorectal cancer (HT29, HT115 and 356 HCT116 and Caco2) and colonic epithelial (CCD-841-CoN) cells lines were treated with ASL and stained with 357 acridine orange and ethidium bromide to determine whether cell death was morphologically identifiable as a result of apoptosis or necrosis. Treatment of CCD-841-CoN cells with 20 µg mL⁻¹ of ASL did not result in a significant 358 359 increase in the numbers of either apoptotic or necrotic cells. However, treatment with 70 µg mL⁻¹ ASL resulted 360 in 10% cell death within the culture that was identified morphologically as apoptosis (p < 0.05) (Fig. 1c). A dose-361 dependent increase in cell death in all four human colorectal cancer cell lines was observed following ASL treatment (Fig. 1C). In HT29, HT115 and HCT116 cell lines treated with 20 µg mL⁻¹ ASL, there were equivalent 362 363 numbers of apoptotic and necrotic cells. However, there was a higher number of apoptotic cells in Caco2 cells treated with 20 μ g mL⁻¹ ASL (p < 0.01) (Fig. 1c). Exposure of cultures to 70 μ g mL⁻¹ ASL, resulted in a markedly 364 365 reduced number of adherent colorectal cancer cells available for quantification. HT29 and HT115 cultures treated 366 with 70 µg mL⁻¹ showed a significant increase in the numbers of apoptotic and necrotic cells (p < 0.001, p < 0.01respectively) while HCT116 cells were predominantly necrotic (p < 0.0001), and Caco-2 cells had a higher number 367 368 of apoptotic cells (p < 0.001) compared to vehicle-only controls (Fig. 1c).

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370 ASL reduces motility and anchorage-independent growth of tumour cells.

371

372 To assess the effect of ASL on cell migration, a scratch was made across a monolayer of colorectal cell lines HT29 373 and HCT115, and the colonic epithelial cell line CCD-841-CoN. Treatment with 10 μ g mL⁻¹ ASL had no 374 significant effect on CCD-841-CoN cell migration, with 90% of the total scratch area being covered after 72 hours 375 (Fig. 2a). In contrast, treatment with 10 μ g mL⁻¹ ASL resulted in a highly significant decrease in the proportion 376 of the total scratch area covered in HT-29 (10%; p < 0.0001) and HT115 cells (22%; p < 0.0001) after 72 hours 377 (Fig. 2a). To test the effect ASL had on chemotaxis, the same cell lines were plated in the upper portion of a 378 Boyden chamber and the number of cells migrating in response to FCS were counted after 24 hours. Media 379 supplemented with either 10 or 50 μ g mL⁻¹ ASL did not significantly affect the migration of CCD-841-CoN cells. The addition of 10 μ g mL⁻¹ ASL to media did however result in a significant reduction in migration of HT29 380 (33%) and HT115 (29%) compared to control values (p < 0.001) (Fig. 2b). The addition of 50 µg mL⁻¹ ASL 381 382 reduced migration of HT29 and HT115 to 8.3% and 10.4% of control values respectively (p < 0.0001) (Fig. 2b).

384 In vivo experiments: wt and Apc^{min+/-} mice tolerate the oral administration of ASL

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386 To determine palatability and potential toxicity of ASL, a pilot study was performed with both wt and Apc^{min+/-} 387 mice which were fed either a vehicle-only control solution consisting of 10% sucrose water or vehicle containing 388 either 0.5, 5 or 50 mg kg⁻¹ ASL for a period of 5 weeks (n = 3/group). Both *wt* and Apc^{min+/-} mice gained weight 389 at a similar rate and there was no change in food or water intake between any of the treatment groups (Fig. S3) 390 On completion of this oral feeding tolerance study, the major organs (liver, stomach, kidneys, lungs, heart, spleen 391 and pancreas) were removed, weighed and a gross inspection of morphology was carried out. There were no 392 significant differences in organ weights (Table S2) or gross organ morphology observed between mice fed on the 393 vehicle only control diet or on ASL.

394

ASL reduces polyp-associated bleeding and increases haematocrit levels but does not affect tumour size or
 numbers in Apc^{min+/-} mice.

397

398 The gross morphological appearances of unfixed, flat-mounted ilea from wt mice treated with either vehicle-only 399 control or 50 mg kg⁻¹ ASL was characterised by a flattened, uniformly smooth mucous epithelium with prominent patent blood vessels (Fig. 3a). In vehicle-only treated Apc^{min+/-} mice, there was clear evidence of polyp-associated 400 bleeding within the ileal segment (Fig. 3a). In contrast, Apc^{min+/-} mice treated with 50 mg kg⁻¹ ASL for 70 days, 401 402 showed little evidence of bleeding from these intestinal polyps (Fig. 3a). However, the number of intestinal polyps in Apcmin+/- mice was not significantly different following treatment with 50 mg kg-1 ASL for 70 days in 403 404 comparison the vehicle-only control treated cohort (vehicle = 48 ± 2 vs ASL = 45 ± 4 ; p < 0.1) (Fig. 3b). ASL 405 treatments also had no effect on the modal size distribution of the polyps in comparison to vehicle-only treatment 406 (vehicle- 4 mm vs ASL 4 mm; p > 0.05) (Fig. 3c).

407 No significant difference in hematocrit level were observed in *wt* mice fed with either vehicle-only 408 control or 50 mg kg⁻¹ ASL for 35 or 70 days (p = 0.8132 and p = 0.9685 respectively) (Fig. 3d and Fig. 3e). 409 Hematocrit levels in *wt* mice were also significantly higher than those of Apc^{min+/-} mice, irrespective of treatment 410 with either vehicle-only control or ASL (p = > 0.05) (Fig. 3d and Fig. 3e). After 35 days feeding with 50 mg kg⁻¹ 411 ASL, no significant differences in haematocrit levels were observed in Apc^{min+/-} mice compared to those fed with 412 vehicle-only control (vehicle-only = 41.2 ± 0.8 vs ASL = 40.0 ± 1.1 ; p = 0.9906) (Fig. 3d). However, following 413 70 days of administration with 50 mg kg⁻¹ ASL, haematocrit levels were found to be significantly higher in 414 Apc^{min+/-} mice fed in comparison to those fed with the vehicle-only control (vehicle-only = $36.0 \pm 0.9 \text{ vs ASL} =$ 415 42 ± 1.9 ; p = 0.012) (Fig. 3e).

416

417 ASL effects the splenic weight, proportion of spleen red pulp in Apc^{min+/-} mice

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The spleens from vehicle-only control fed Apc^{min+/-} mice were significantly heavier than their *wt* littermates (0.58 419 420 ± 0.2 g vs 0.15g ± 0.5 g; p < 0.0001). A dose of 50 mg kg⁻¹ ASL had no effect on splenic weight in wt mice after 70 days of treatment. However, administration of 50 mg kg⁻¹ ASL for 70 days to Apc^{min+/-} mice resulted in a 421 422 statistically significant decrease in splenic weight (0.58 ± 0.2 g vs 0.40 ± 0.4 g; p < 0.001) (Fig. 4a). Following 423 treatment of the Apc^{min+/-} mice with 50 mg kg⁻¹ ASL there was a significant reduction in the proportion of red pulp 424 as compared with vehicle-only controls (62 ± 3.2 vs 48 ± 1.4 ; p < 0.001), no significant difference was observed 425 in the wt mice (Fig. 4b). Histological examination of sections from wt mouse spleen revealed conspicuous and 426 intensely basophilic areas of white pulp; these were separated by less dense regions of red pulp; areas that are 427 responsible for removal of old or damaged erythrocytes. In vehicle-only control treated Apc^{min+/-} mice there was 428 obvious clumping of the red pulp, and the proportion of this tissue was significantly increased when compared to wt mice (Fig. 4c). Apc^{min+/-} mice fed with ASL showed reduced clumping of red pulp and an increase in the white 429 430 pulp regions, like that of the *wt* mice (Fig. 4c).

431

432 Discussion

433

434 The ability of chemotherapeutic agents to selectively target cancerous cells while sparing normal tissue is a highly 435 desirable trait, as it may mitigate against the common side effects associated with these toxic therapeutics such as 436 epithelial cell damage in the gastrointestinal tract, immunosuppression (in the bone marrow) and hair loss (Carey 437 and Burish 1988; McQuade et al. 2014). Biosurfactant compounds such as sophorolipids are naturally produced 438 agents that may possess the ability to differentially affect cancer and normal epithelial cells and as such their anti-439 cancer activities are a growing area of research. Here we investigated the *in vitro* effects of a 94% pure preparation 440 of ASL on a non-transformed intestinal epithelial cell line (CCD-841-CoN) and 4 colorectal cancer cell lines 441 (HT29, HT115, Caco2, and HCT116). Colonic epithelia (CCD-841-CoN) treated with ASL showed no sign of 442 toxicity as evidenced by maintenance of their viability at doses ranging between 0.001 and 100 μ g mL⁻¹. However, at doses of 20 µg mL⁻¹ and above, ASL's potently reduced cell viability in all the colorectal cancer cell lines 443

examined. Although the cytotoxic potency of sophorolipid mixtures has been reported against cancer cell lines
previously, (Chen et al. 2006b; Fu et al. 2008; Shao et al. 2012; Ribeiro et al. 2015; Callaghan et al. 2016; Adu et
al. 2022), this is the first time an anti-cancer effect has been reported from a purified and well characterised ASL
on a range of cell lines from the same tissue of origin (i.e. colorectal cancer cells).

448 Importantly, the ASL preparation utilised here exhibited a differential effect in vitro on non-transformed 449 cell lines compared to CRC cells, with a dose-dependent cytotoxic response in all five CRC cell lines. A 450 differential effect has been reported in previous studies where SL were cytotoxic to pancreatic, liver and melanoma 451 cancer cell lines but with no demonstrated toxicity to non-transformed cell lines (Fu et al. 2008; Adu et al. 2022). 452 However, Fu et al. 2008 utilised non-adherent circulating blood monocytes (PBMC) as a control for adherent 453 transformed cells making conclusions from this study difficult to interpret. Therefore, other than Adu and 454 colleagues work on melanoma cell lines, a directly comparable description of the specificity of a pure preparation 455 of ASL that uses a normal adherent cell line from the same tissue of origin has not been carried out.

456 It has been hypothesised that SL can intercalate into the cytoskeleton of cells resulting in membrane 457 disruption. Changes in cell morphology consistent with disruption of membrane cytoskeletal protein distribution 458 have previously been shown to occur with SL doses as low as 30 µg mL⁻¹ in pancreatic H7402 and lung cancer 459 A549 cell lines (Chen et al. 2006b). Our study demonstrated that a preparation dominated by a single congener of 460 ASL at low concentrations (40 µg mL¹) induced cell rounding, cytoplasmic condensation and cell detachment in 461 all the CRC cancer cell lines tested, with the adenocarcinoma cell lines HT29 and Caco2 being most susceptible. 462 At doses of $70 - 100 \,\mu g \,\mathrm{mL^{-1}}$, ASL caused a significant increase in the numbers of detached cells with all cells in 463 the supernatant from treated cultures showing morphological features consistent with apoptosis or necrosis. 464 Similar results have been observed in HepG2 liver cancer cells treated with LSL preparations (Wang et al. 2021).

465 ASL's used at the doses described in our study, induced a reduction of tension at the interfacial region 466 of the bilayer resulting in phospholipid dehydration which affected lipid stability and ultimately resulted in cell 467 death (Maget-Dana et al. 1989; Shah et al. 2005; Ortiz et al. 2009). To assess cell death mechanisms caused by 468 ASL's, ethidium bromide/acridine orange staining was carried out allowing the morphological identification of 469 the type of cell death. We have conclusively demonstrated that ASL induces both apoptosis and necrosis in these 470 cancer cell lines in a dose-dependent manner. The ability of ASL to induce either apoptosis or necrosis may be 471 cell-line specific, as high proportions of apoptotic cells have been reported following the addition of diacetylated 472 LSL to liver (H7402) and lung cancer (H7402) cell cultures, while necrosis is primarily observed in a pancreatic

473 carcinoma cell line (HPAC) treated with sophorolipid mixtures, LSL or methyl ester derivative SL (Shao et al.
474 2012).

475 An important characteristic of malignant growth is the ability of tumour cells to leave their restricted 476 compartment and gain access to blood vessels to initiate the first phase of metastasis (Hanahan and Weinberg 477 2011). The movement of cells across tissues therefore plays an important role in this progression thus highlighting 478 the need for an agent that can counteract the migratory and diapedesis properties of colorectal cancer cells 479 (Dianzani et al. 2014). It has been postulated that the amphiphilic properties of sophorolipids permit their 480 incorporation into the mammalian cellular membrane disrupting cellular functions such as proliferation and 481 migration (Zhao et al. 2013; Haque et al. 2021; Adu et al. 2022). We assessed the ability of ASL's to inhibit 482 migration of the CRC cell lines HT29 and HT115. At a low dose (10 µg mL⁻¹) of ASL there was no effect on 483 normal colonic epithelial cells (CCD-841-CoN) and scratch coverage after 72 hours. However, the same dose of 484 ASL applied to colorectal cancer cell lines HT29 and HT115 cultures reduced the total percentage area of scratch 485 covered to between 12-25%. An inhibition of migration induced by sophorolipid (as measured in the scratch assay) 486 has been documented. In 2015, Riberiro and co-workers showed that 5 µg mL⁻¹ of a 93% pure, diacetylated LSL 487 resulted in significantly reduced migration of MDA-MB-231 breast cancer cells (Ribeiro et al. 2015), although to 488 our knowledge no reports are available for a study that solely utilises purified ASL. Adu et al. 2022 showed that 489 the migration of a melanoma cell line SK-MEL-28 was significantly reduced when treated with LSL and ASL 490 preparations in comparison with cells treated with a vehicle-only control, and immortalized keratinocytes (HaCaT) 491 treated with the same sophorolipid preparations (Adu et al. 2022). The use of both migration and invasion assays 492 incorporating the Boyden chamber are widely used to test candidate chemotherapeutics with the HT29 and HT115 493 cell lines commonly employed to test anti-invasive properties of potential chemotherapeutics in vitro (Li and Zhu 494 1999). Currently the only studies investigating the potential anti-metastatic properties of biosurfactants are limited 495 to the inhibitory effects on breast cancer cell lines MCF and MDA-MB-231 in vitro invasion mediated by the 496 lipopeptide Surfactin produced by Bacillus subtilis (Park et al. 2013). Addition of 10 µM (equivalent to approx. 497 660 µg mL⁻¹ of ASL in this study) of Surfactin had the ability to reduce the migration of MCF-7 and MDA-MB-498 231 through an extracellular matrix by 68% and 84% respectively (Park et al. 2013). The Surfactin used by Park 499 et al. (2013) also reduced the colony forming ability of both cell lines by 70% and 61%. To investigate the 500 migration inhibitory properties of ASL, HT29 and HT115 cells were plated on a porous membrane and allowed 501 to migrate through a septum in response to a FBS stimulus in the chamber below. ASL had no effect on the migration of normal colonic CCD-841-CoN cells, however following treatment with 10 µg mL⁻¹ and 50 µg mL⁻¹ 502

ASL, migration was significantly decreased in HT29 cell lines by 65% and 72% respectively, and 86% and 81%
in HT115 cell lines.

505 The anti-tumour activities of sophorolipid preparations in vivo are unclear. In a study by Li and co-506 workers, an intragastric administered mixture composed of 6 di-acetylated LSL congeners was shown to reduce 507 the size of tumours in a murine HeLa xenograft model (Li et al. 2017). Whereas a purified form of LSL 508 exaggerated the growth of neoplasm along the intestinal tract and increased intestinal blood loss in the Apc^{min+/-} mouse (Callaghan et al. 2016). In this study, we use the Apcmin+/- mouse model of FAP, to evaluate the 509 chemotherapeutic potential of purified ASL in the treatment of solid neoplasms. Apc^{min+/-} mice develop intestinal 510 511 adenomatous neoplasms (polyps), and animals typically present with enlarged spleens and reduced haematocrit 512 by 4 months of age (Yekkala and Baudino 2007). This is an acute model with a life span of <150 days, where the 513 primary cause of death is not directly attributable to the development of numerous polyps but rather as a result of 514 extensive intestinal bleeding and anaemia (Hinoi et al. 2007). When administered orally, topically or via *i.v.* 515 injection sophorolipid mixtures are well tolerated (Ikeda et al. 1986). Similarly, in our study, both wt and Apc^{min+/-} 516 mice tolerated the oral administration of ASL with no measurable gross anatomical or behavioural differences 517 noted. Post-mortem analysis also revealed no effects on gross measures of peritoneal organs (size and dimensions) after ASL treatment. However, oral feeding of ASL to Apc^{min+/-} for 70 days resulted in a reduction of spleen size 518 519 and a significant increase in haematocrit, consistent with decreased intestinal bleeding and improvement in the 520 associated anaemia characteristic of this model (Perkins et al. 2002; Hinoi et al. 2007). This is a potentially 521 significant finding, as rectal bleeding and anaemia are reported in over 30% of CRC patients and it is a contributing factor in reduced lifespan in both humans as well as Apcmin+/- mice (Ronnekleiv-Kelly and Kennedy 2011). In 522 523 humans, laser ablation encourages coagulation of tumours demonstrating significant blood loss and this technique 524 has shown to be effective after 2 - 5 treatments with a success rate of 80% (Kimmey 2004). However recurrent 525 bleeding episodes result in surgical intervention in 2 - 15% of patients (Rao et al. 2005). The oral administration 526 of a well-tolerated non-toxic, pro-thrombotic agents to reduce intestinal blood loss in patients with haemorrhagic 527 colorectal tumours may be a useful addition to the therapeutic treatment of these conditions.

In conclusion, the purified ASL mixture we utilised in this study differentially affects non-transformed in comparison to colorectal cancer cell lines, resulting in a significant and dose-dependent decrease in their viability, migration, and anchorage-independent growth characteristics. While ASL does not change either the size or number of intestinal polyps in Apc^{min+/-} mice, both spleen size and tumour-associated bleeding was reduced.

532	This warrants further investigation of this orally available biosurfactant as a chemotherapeutic for delaying disease			
533	progression in pre-cancerous colorectal neoplasms.			
534				
535	Author Contributions			
536				
537	BC and MST contributed equally to this work. INAVB, RM, CM and IMB conceived and designed the research.			
538	BC and NB conducted experiments. BC and MST analysed data and wrote the manuscript. All authors read and			
539	approved the manuscript.			
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- 722
- 723 Fig. Legends
- 724

Fig. 1. Treatment with ASL results in a detrimental effect on colorectal cancer cell lines *in vitro*. Compared to
normal colonic epithelial cells, the viability of four colorectal cancer cell lines was significantly reduced when
treated with ASL (a). Treatment with ASL also resulted in increased cell detachment in colorectal cancer cell lines
compared to normal colonic epithelial cells (b). Colorectal cancer cell lines treated with either 20 or 70 µg mL⁻¹
ASL showed indications of both apoptosis and necrosis driven cell death (c).

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Fig. 2. Treatment with ASL significantly reduces cell migration in colorectal cancer cell lines. In a scratch assay ASL treated colorectal cancer cells showed significantly less migration 72 h post treatment than these treated with vehicle-only controls (**a**). Cell migration across a Boyden chamber in response to a stimulus was significantly reduced in colorectal cancer cells treated with ASL in comparison vehicle-only control treated cells (**b**). Two-way ANOVA (** p < 0.01 and *** p < 0.001).

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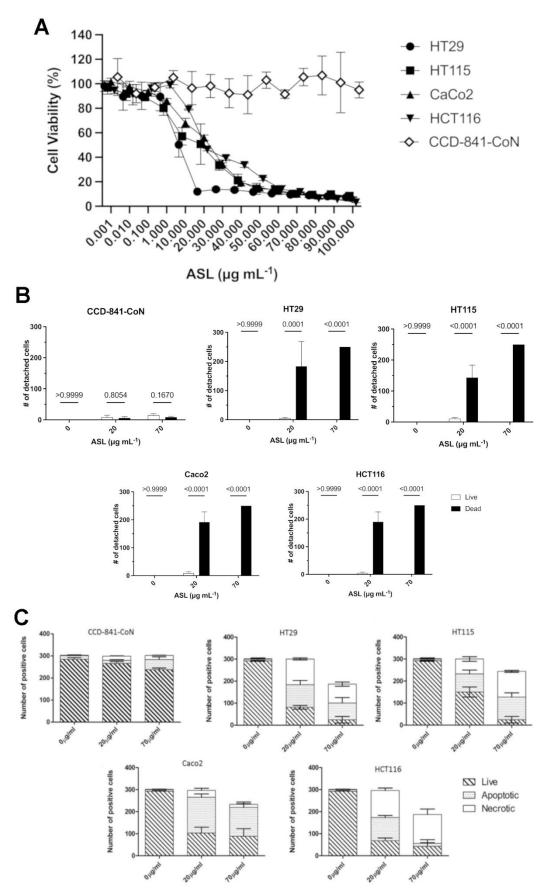
Fig. 3. An ASL supplemented diet reduced intestinal bleed in a mouse mode of colorectal cancer. No morphological differences were observed in ileal sections of either wt or Apc^{min+/-} mice fed with either vehicleonly controls or ASL, however vehicle-only control fed Apc^{min+/-} mice showed evidence of intestinal bleeding from polyps (arrows), whereas ASL fed Apc^{min+/-} mice showed no evidence of intestinal bleeding (**a**). No significant difference in intestinal polyp number (**b**) or polyp sizes (**c**) was observed in Apc^{min+/-} mice fed on either ASL or vehicle-only control. Following 35 days no significant difference was observed in haematocrit in Apc^{min+/-}

743 mice fed with ASL or vehicle-only control (d) however, after 70 days a significant increase in haematocrit in

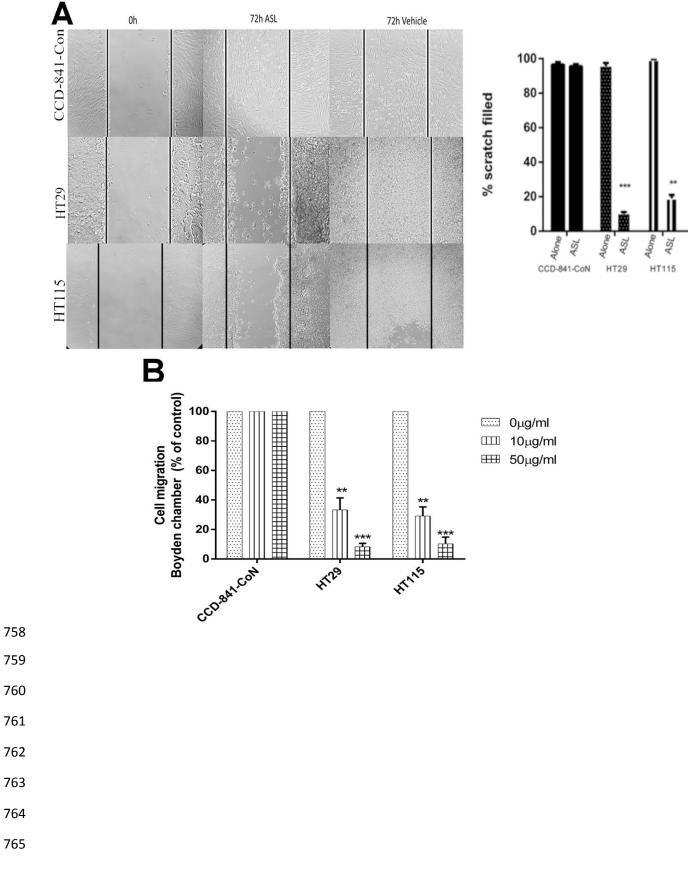
- Apc^{min+/-} mice fed with ASL was observed compared to those fed with vehicle-only control (e). One-way ANOVA,
- 745 *p* values displayed on graphs.
- 746
- 747Fig. 4. Following 70 days, both splenic weight (a) and percentage of red pulp regions (b) in Apc^{min+/-} mice fed748with ASL were significantly reduced in comparison to those fed with vehicle-only control (p < 0.001 and p < 0.05749respectively). Apc^{min+/-} mice fed with vehicle-only control had increased red pulp with a loss reticular structure750compared to wild-type mice. Splenic morphology (particularly in white pulp) after feeding Apc^{min+/-} mice with751ASL more closely resembled that seen in wild-type mice (c).
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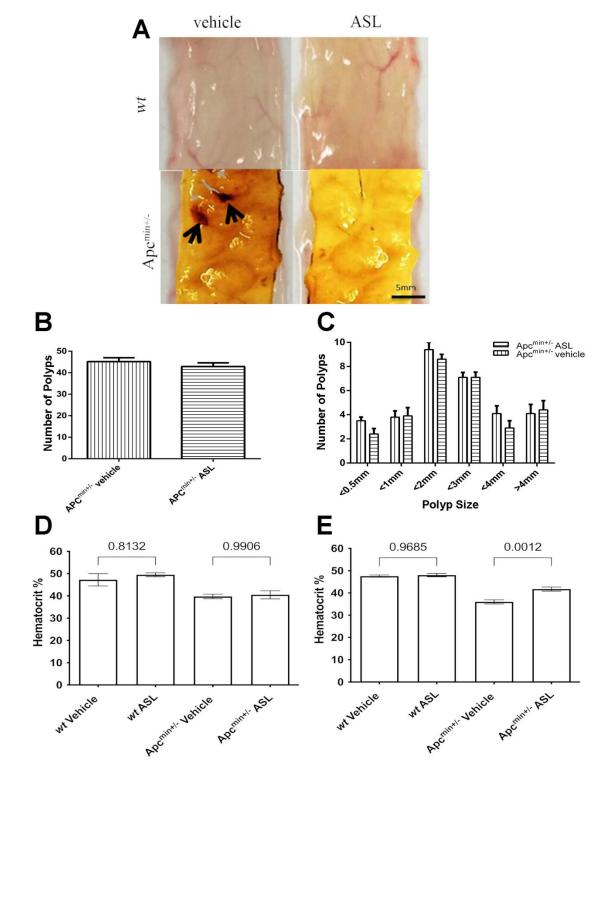




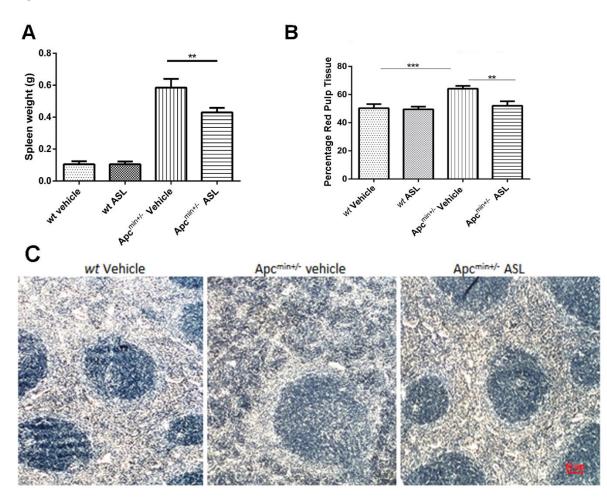
757 Fig. 2.



767 Fig. 3.



773 Fig. 4.



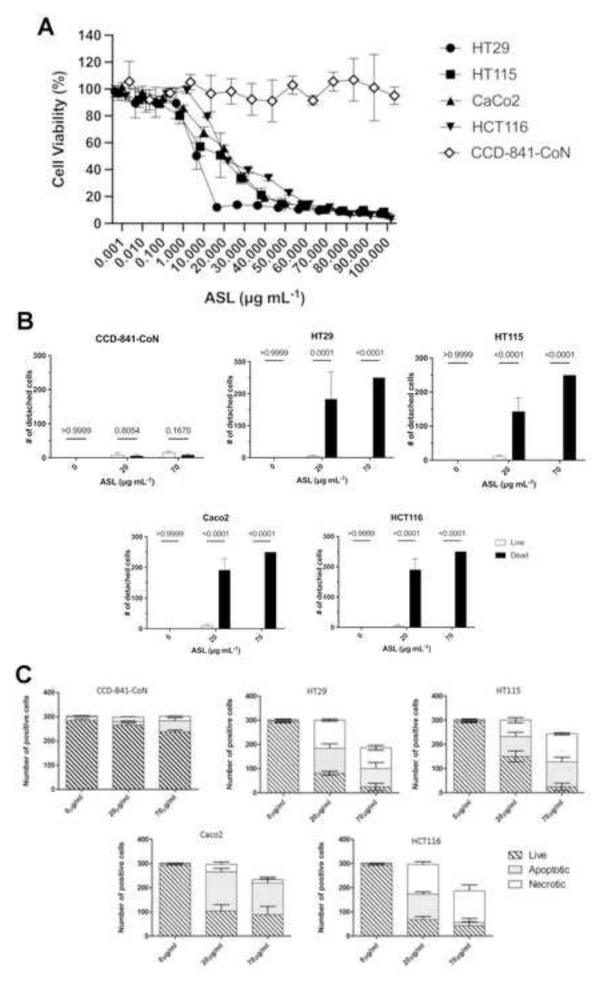
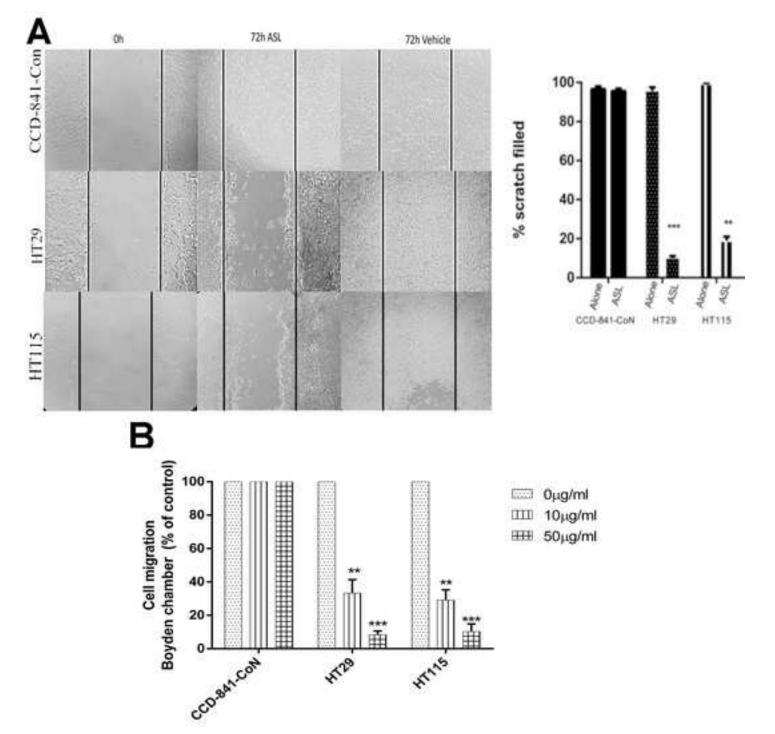
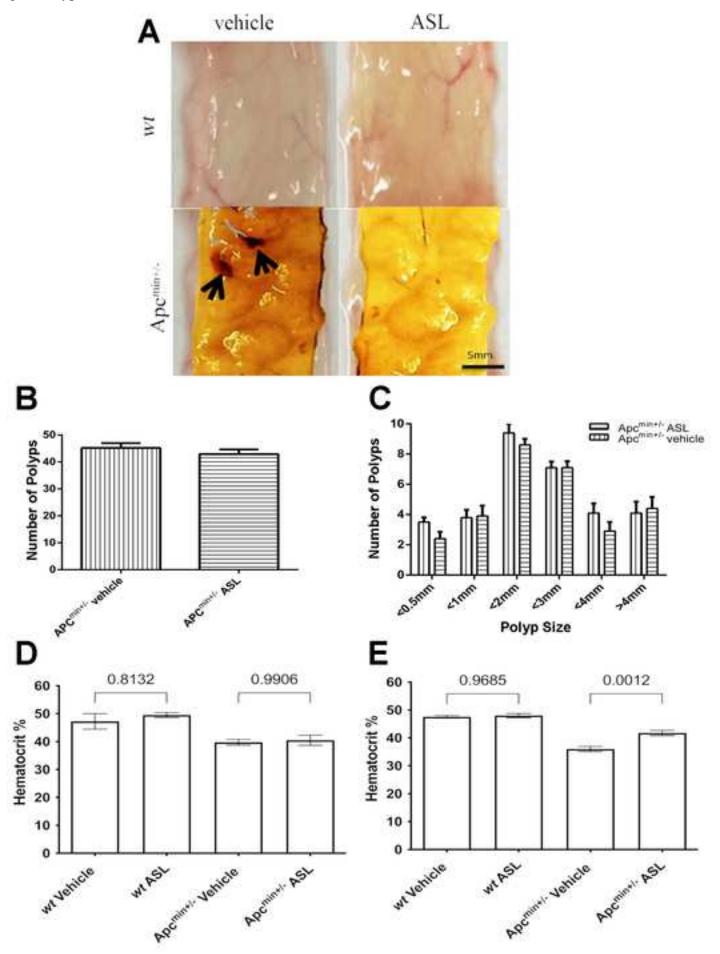
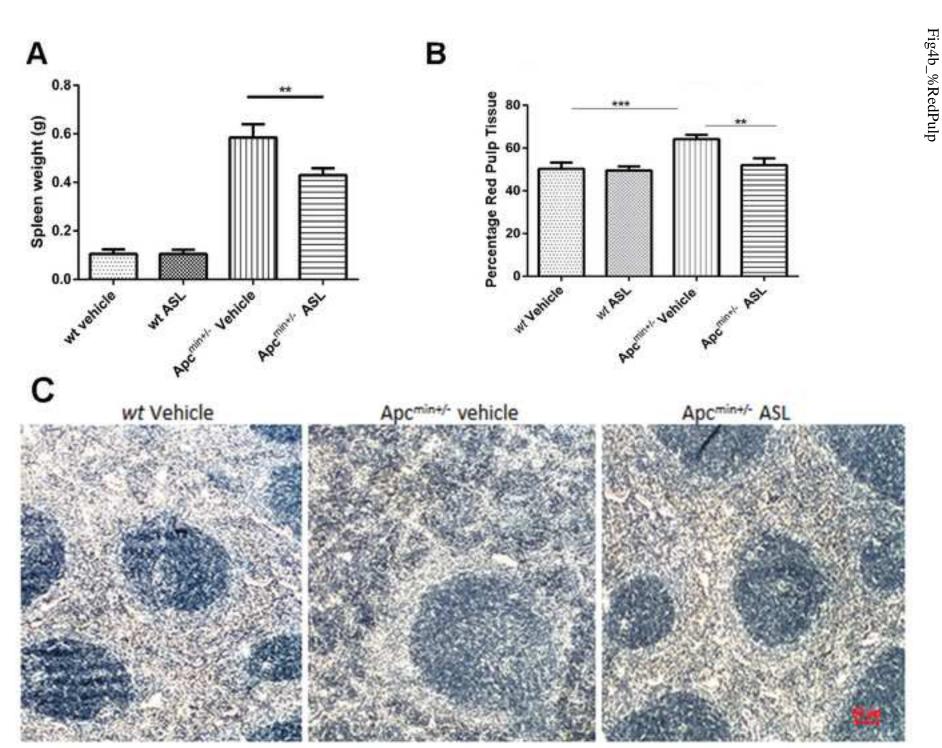


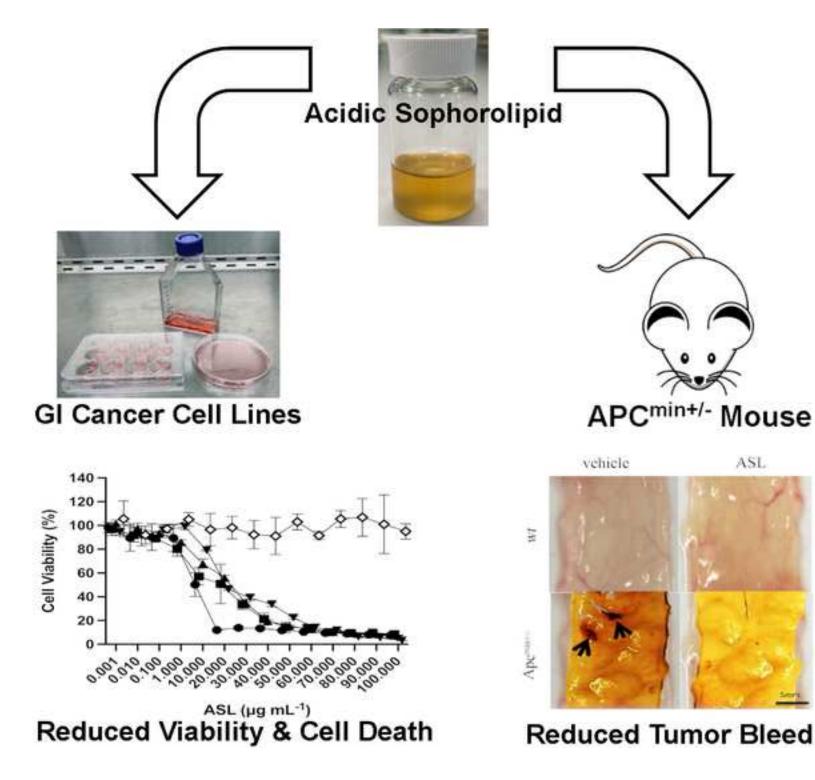
Fig2b_BoydenChamber







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Supplementary Material

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