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## **Prediction of DNA rejoining kinetics and cell survival after proton irradiation for V79 cells using Geant4-DNA**

Dousatsu Sakata <sup>a)</sup>,<sup>1,2</sup> Ryoichi Hirayama,<sup>3</sup> Wook-Geun Shin,<sup>4</sup> Mauro Belli,<sup>5</sup> Maria A. Tabocchini,<sup>6,7</sup> Robert D. Stewart,<sup>8</sup> Oleg Belov,<sup>9,10</sup> Mario A. Bernal,<sup>11</sup> Marie-Claude Bordage,<sup>12,13</sup> Jeremy M. C. Brown,<sup>14,15,16</sup> Milos Dordevic,<sup>17</sup> Dimitris Emfietzoglou,<sup>18</sup> Ziad Francis,<sup>19</sup> Susanna Guatelli,<sup>15</sup> Taku Inaniwa,<sup>1</sup> Vladimir Ivanchenko,<sup>20,21</sup> Mathieu Karamitros,<sup>22</sup> Ioanna Kyriakou,<sup>18</sup> Nathanael Lampe,<sup>23</sup> Zhuxin Li,<sup>24</sup> Sylvain Meylan,<sup>25</sup> Claire Michelet,<sup>24</sup> Petteri Nieminen,<sup>26</sup> Yann Perrot,<sup>27</sup> Ivan Petrovic,<sup>17</sup> Jose Ramos-Mendez,<sup>28</sup> Aleksandra Ristic-Fira,<sup>17</sup> Giovanni Santin,<sup>26</sup> Jan Schuemann,<sup>29</sup> Hoang N. Tran,<sup>24</sup> Carmen Villagrasa,<sup>27</sup> and Sebastien Incerti<sup>24</sup>

<sup>1)</sup>*Department of Accelerator and Medical Physics, Institute for Quantum Medical Science, QST, Chiba 263-8555, Japan*

<sup>2)</sup>*Division of Health Sciences, Osaka University, Osaka 565-0871, Japan*

<sup>3)</sup>*Department of Charged Particle Therapy Research, Institute for Quantum Medical Science, QST, Chiba 263-8555, Japan*

<sup>4)</sup>*Department of Radiation Oncology, Seoul National University Hospital, Seoul 03080, Korea*

<sup>5)</sup>*Independent researcher, Italy (formerly Istituto Superiore di Sanità, Rome, Italy)*

<sup>6)</sup>*National Center for Innovative Technologies in Public Health, Istituto Superiore di Sanità (ISS)*

<sup>7)</sup>*Istituto Nazionale di Fisica Nucleare (INFN)-Roma 1, Rome, Italy*

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<sup>a)</sup> Corresponding Author; E-mail : sakata.dousatsu@qst.go.jp, dosatsu.sakata@cern.ch

<sup>8)</sup> *Department of Radiation Oncology, University of Washington, WA 98195-6043,*

*USA*

<sup>9)</sup> *Veksler and Baldin Laboratory of High Energy Physics, Joint Institute for Nuclear Research,*

*141980 Dubna, Russia*

<sup>10)</sup> *Institute of System Analysis and Management, Dubna State University, 141980 Dubna,*

*Russia*

<sup>11)</sup> *Instituto de Física Gleb Wataghin, Universidade Estadual de Campinas, Campinas, SP,*

*Brazil*

<sup>12)</sup> *INSERM, Université Paul Sabatier, UMR 1037, CRCT, Toulouse,*

*France*

<sup>13)</sup> *Université Toulouse III-Paul Sabatier, UMR 1037, CRCT, Toulouse,*

*France*

<sup>14)</sup> *Detection and Imaging, ANSTO, Australia*

<sup>15)</sup> *Centre For Medical Radiation Physics, University of Wollongong, Wollongong,*

*Australia*

<sup>16)</sup> *Department of Radiation Science and Technology, Delft University of Technology,*

*The Netherlands*

<sup>17)</sup> *Vinca Institute of Nuclear Science, University of Belgrade, Belgrade,*

*Serbia*

<sup>18)</sup> *Medical Physics Laboratory, Department of Medicine, University of Ioannina,*

*GR-45110 Ioannina, Greece*

<sup>19)</sup> *Saint Joseph University of Beirut, UR Mathématiques et Modélisation, Beirut,*

*Lebanon*

<sup>20)</sup> *Geant4 Associates International Ltd, Hebden Bridge, UK*

<sup>21)</sup> *Tomsk State University, Tomsk, Russia*

<sup>22)</sup> *Independent researcher, Bordeaux, France*

<sup>23)</sup> *Independent researcher, Victoria, Australia*

<sup>24)</sup> *Univ. Bordeaux, CNRS, LP2I Bordeaux, UMR 5797, F-33170 Gradignan,  
France*

<sup>25)</sup> *Independent researcher, Paris, France*

<sup>26)</sup> *ESA-ESTEC, Noordwijk, The Netherlands*

<sup>27)</sup> *IRSN, Institut de Radioprotection et de Surete Nucleaire, 92262 Fontenay-aux-Roses,  
France*

<sup>28)</sup> *Department of Radiation Oncology, University of California San Francisco,  
San Francisco 94143, CA, United States*

<sup>29)</sup> *Physics Division, Department of Radiation Oncology, Massachusetts General Hospital, Boston,  
MA, USA*

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**Abstract** [Purpose] Track structure Monte Carlo (MC) codes have achieved successful outcomes in the quantitative investigation of radiation-induced initial DNA damage. The aim of the present study is to extend a Geant4-DNA radiobiological application by incorporating a feature allowing for the prediction of DNA rejoining kinetics and corresponding cell surviving fraction along time after irradiation, for a Chinese hamster V79 cell line, which is one of the most popular and widely investigated cell lines in radiobiology.

[Methods] We implemented the Two-Lesion Kinetics (TLK) model, originally proposed by Stewart, which allows for simulations to calculate residual DNA damage and surviving fraction along time via the number of initial DNA damage and its complexity as inputs.

[Results] By optimizing the model parameters of the TLK model in accordance to the experimental data on V79, we were able to predict both DNA rejoining kinetics at low linear energy transfers (LET) and cell surviving fraction.

[Conclusions] This is the first study to demonstrate the implementation of both the cell surviving fraction and the DNA rejoining kinetics with the estimated initial DNA damage, in a realistic cell geometrical model simulated by full track structure MC simulations at DNA level and for various LET. These simulation **and model link** between mechanistic physical/chemical damage processes and these two specific biological endpoints.

Keywords: Geant4-DNA, Monte Carlo simulation, DNA damage, Cell Survival

## **I. Introduction**

As a result of a large amount of effort by researchers in the field of radiobiological simulation, many dedicated track structure Monte Carlo (MC) simulation codes have been developed for the investigation of radiobiological phenomena by simulating the transport of particles and the diffusion of radiolytic species in the cell, as well as the subsequent physical/chemical reactions with biological components of the cell that result in DNA damage<sup>1-4</sup>. Such radiation induced initial DNA damage can be categorized into two types, either direct damage or indirect damage. Direct damage is created by interactions between DNA molecules and primary/secondary carriers of ionizing radiation. Similarly, indirect damage is created by interactions with radiolytic species produced during irradiation (typically reactive oxygen species such as hydroxyl radicals)<sup>5</sup>. Track structure MC codes have achieved successful outcomes for quantitatively investigating radiation-induced initial DNA damage (both direct and indirect damage) within subcellular biological components. In particular, KURBUC<sup>6-8</sup>, PARTRAC<sup>9-12</sup>, RITRACKS<sup>13,14</sup> and Geant4-DNA<sup>15-18</sup> have demonstrated decent simulation performance for estimating initial DNA damage after irradiation of a variety of biological targets, for instance, simple DNA fiber, plasmid, bare cell nucleus, and entire cell as well<sup>19-23</sup>. In addition, TOPAS-nBio<sup>24,25</sup>, which is a Geant4-DNA based MC simulation tool, provides a user-friendly interface to create advanced simulations with a library of biological geometries<sup>26</sup>. Because these MC simulation codes/tools have already acquired the ability to estimate radiation induced initial DNA damage, the current aim of their development has shifted to [link](#) between initial DNA damage and subsequent biological endpoints. For instance, a Geant4-DNA application is currently able to predict the kinetics of proteins associated with DNA repair processes after ionizing radiation delivery<sup>27</sup> using a fully

integrated simulation application across physics, chemistry, and biology<sup>22</sup>. In a previous study, the Geant4-DNA simulation demonstrated good agreement with experimental data on accumulated  $\gamma$ -H2AX (the phosphorylated H2AX protein) yields (*foci*) after gamma irradiation on a normal human fibroblast cell<sup>22</sup>. The *foci* prediction functionality allows us to understand a portion of the radiobiological phenomena in fibroblast cells after irradiation.

As the next step of the development of radiobiological applications, a current challenge is to include a prediction model of cell survival for the investigation of the relation between initial DNA damage and cell death. A typical type of radiobiological endpoint, cell death (usually measured as cell surviving fraction (SF)<sup>28,29</sup>) is mostly triggered by complex DNA damage, as represented by a double-strand break (DSB), in the case such complex damage remains after biological repair processes as residual DNA damage. The probability of cell death depends on the complexity of the initial DNA damage. For this reason, as discussed in many microdosimetric/nanosimetric studies<sup>30-33</sup>, SF is influenced by particle type and energy, since it is to the microscopic energy deposition pattern in the region surrounding DNA molecules that conditions the DNA damage complexity.

The aim of the present study is to extend the Geant4-DNA radiobiological application<sup>22</sup> by adding a feature to predict DNA repair kinetics and corresponding SF along time after irradiation, in particular for the Chinese hamster V79 cell line that is one of the most popular and widely investigated cell lines in radiobiology. For the development of this application, we introduce the Two-Lesion Kinetics (TLK) model originally proposed by Stewart<sup>34</sup>, which allows to calculate residual DNA damage and SF along time using as inputs the number of initial DNA damage and its complexity. Basic aspects of the development of this application are available in the PhD work by Tang<sup>35</sup>. However, in the study by Tang, the agreement of the calculated SF and experimental SF measured by Belli et al<sup>36</sup>

had been demonstrated in only one specific condition ( $\text{LET} \sim 7.7 \text{ keV}/\mu\text{m}$ ). In this study, we integrated a more sophisticated approach into the Geant4-DNA application. We attempted to achieve agreement between the calculated SFs and the experimental SFs in a wider LET range ( $7.7 - 37.8 \text{ keV}/\mu\text{m}$ ) and also to reach agreement with the experimental data when calculating the fraction of unrejoined DNA<sup>37</sup>, simultaneously.

## II. Materials and Methods

The probability of cell death at a specific irradiation condition depends on the irradiated cell line, since the capacity and the speed of the repair process against DNA damage can vary among cell lines. Therefore, in the TLK model, the model parameters that represent the repair speed and the lethality must be optimized for each cell line separately. For the present study, we introduced the parameter-reduced TLK model (six parameters) under the same assumptions as in the original study by Stewart<sup>34</sup>. In this work, we optimize the TLK model parameters for the V79 cell line (described in Appendix A), using simulated DNA damage and its complexity given as inputs in the TLK model.

In the following sections, we first summarize how we estimate the initial DNA damage and its complexity (Section II A). We then briefly describe the TLK model (Section II B). Finally, we address the validation of the integrated application, calculating the fraction of indirect damage in various proton fields of interest (Section II C). Of note, all developments and simulations are based upon Geant4 version 10.4.patch2. This application will be released as an example of the Geant4 code in an upcoming version.

## **A. Simulation configuration and initial DNA damage quantification**

### ***1. Geometrical model***

The basic geometrical models of cell subcomponents, such as DNA fiber and chromatin fiber were originally developed in previous studies<sup>19-21</sup>. Using the basic geometrical models, a cell geometry imitating the V79 cell has been constructed. The DNA fiber model consists of spherical backbone molecules (phosphate and deoxyribose) and ellipsoidal nucleobase molecules (guanine, adenine, cytosine, and thymine), which form a twisted DNA fiber known as a double-helix structure. The DNA fiber wraps around histone octamers (modeled as spheres) and is confined in the ellipsoidal cell nucleus surrounded by cytoplasm. In this work, as shown in Figure 1, the cell model is designed by imitating a V79 cell. At conditions adherent to the experiments, the typical thickness of the cell and cell nucleus are 5  $\mu\text{m}$  and 4  $\mu\text{m}$ , respectively<sup>38</sup>. The total volume of the V79 cell monolayer (attached to a mylar foil) including both the nucleus and cytoplasm is about 1400  $\mu\text{m}^3$ <sup>39</sup>, and the volume of the nucleus is about 589  $\mu\text{m}^3$ <sup>39</sup>. The base pairs (bp) are separately accommodated in 22 chromosomes<sup>40</sup>, and the number of bp is approximately 5.4 Gbp in total (the average bp length per chromosome is about 245 Mbp<sup>40</sup>). Thus, in this work, a 14.6  $\mu\text{m} \times 4 \mu\text{m} \times 14.6 \mu\text{m}$  long ellipsoidal cell nucleus ( $\sim 446 \mu\text{m}^3$ ) is surrounded by an ellipsoid of water (23.2  $\mu\text{m} \times 5 \mu\text{m} \times 23.2 \mu\text{m}$ ,  $\sim 1409 \mu\text{m}^3$ ) modeling the cytoplasm.

In the experimental study that measured SF and the fraction of unrejoined DSBs (fraction of activity released (FAR)), the incident proton energies at the cell entrance were estimated<sup>36,37,41</sup>. The initial energies of the simulated protons were chosen to be 5.04, 3.24, 1.49, 0.88, 0.77, and 0.70 MeV, and the corresponding unrestricted LET values were 7.7, 11.0, 20.0, 30.5, 34.6, and 37.8 keV/ $\mu\text{m}$  at cell midplane (3  $\mu\text{m}$ ), as estimated in the ref-

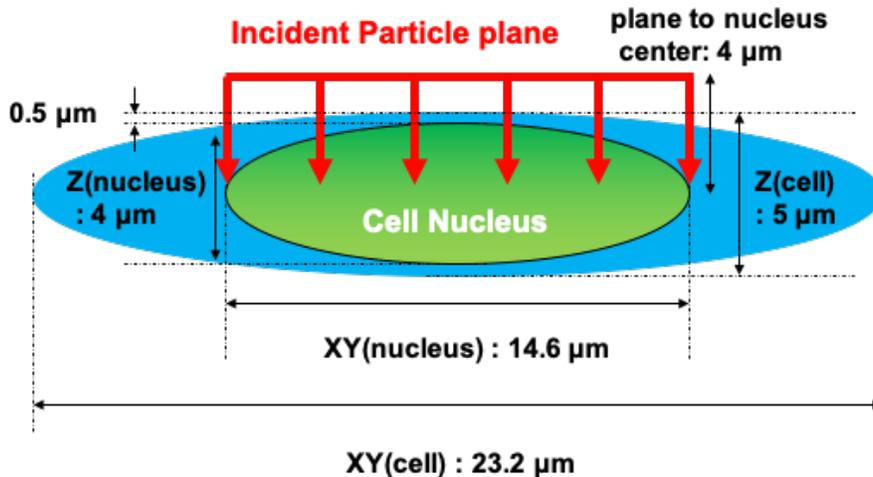


FIG. 1. Geometrical model of a cell nucleus imitating a Chinese hamster V79 cell in the simulations. The number of base pairs wrapped in the cell nucleus is about 5.4 Gbp. The subgeometries of the cell nucleus have been described in the previous studies<sup>22</sup>.

ences study by Belli et al<sup>36</sup>. In addition, although a substrate should usually be placed upstream (or downstream) of the beam line in irradiation experiments, in the present study, no substrate materials were placed surrounding the cell. The incident protons are irradiating directly the cell, as shown by red arrows in Figure 1. The radiation source was distributed homogeneously on a 14.6  $\mu\text{m}$  diameter circle, corresponding to the two-dimensional profile of the cell nucleus.

## 2. Particle transport model and chemistry diffusion-reaction model

For particle transport in cells and reactions with the cell subcomponent, G4EmDNAPhysics\_option4<sup>42-46</sup> was primarily used (above the high energy limit of the model, G4EmDNAPhysics\_option2 is alternatively used, as done in previous studies<sup>22</sup>). These physics models are based on track structure approach and are developed to simulate the transport of protons, electrons,

and photons. As in previous studies<sup>21,22</sup>, we have used the independent-reaction time (IRT) method, to simulate the reaction and diffusion of radiolytic chemical species<sup>47</sup>. The parameters of the IRT method have been evaluated in a previous study<sup>22</sup>. As a result, the chemical diffusion time was limited up to 5.0 ns<sup>22</sup>, and all radiolytic species were killed when produced at a distance  $>9.0$  nm away from any DNA molecule centres<sup>22</sup>, because OH radicals cannot reach DNA molecules within 5.0 ns. The histones placed in the cell model were modeled as perfect scavengers for all radiolytic species. In other words, any free radiolytic species that entered a histone region will be stopped and excluded from the simulation. This leads to a 5% reduction in DSB yield<sup>22</sup>.

### ***3. Initial DNA damage scoring and damage classification***

The model parameters scoring both direct and indirect damage were also evaluated in the previous study<sup>22</sup>. Direct damage was calculated using the proportional probability model, originally proposed by PARTRAC<sup>10</sup>, with accumulated deposited energy of both primary and secondary particles in the region surrounding the DNA molecule (which consists of sugar and phosphate) during one incident particle event. If the accumulated deposited energy in the scoring volume ( $3.5 \text{ \AA}$  from the center of the DNA molecule of interest<sup>22</sup>) is less than 5 eV, the accumulated deposition has no chance of resulting in direct damage. In addition, if the accumulated deposited energy is larger than 37.5 eV, all accumulated energy will result in direct damage. Between 5 and 37.5 eV, the probability of direct damage increases proportionally with the energy deposition. Indirect damage by a hydroxyl radical was determined using a probability of 40.5% to induce a chemical reaction creating a damage when the radical is at the DNA molecule.<sup>22</sup>

In this work, we classify damage types when scoring DNA damage to quantify yields of

simple DSB and complex DSB, which are used as input to the TLK model to calculate the cell SF. The damage classification method used in this study was originally proposed by Nikjoo et al<sup>8</sup>, and was introduced in the Geant4-DNA application in previous studies<sup>21,22</sup>. DSBs can be considered as being two strand breaks (SB) on opposite strands within a short distance (typically within 10 bp). A DSB<sub>+</sub> requires a DSB and at least one additional SB within 10 bp along the chromatin fibre segment, whereas a DSB<sub>++</sub> requires at least two DSBs. In the classification by Nikjoo, the most complex damage type was always assigned. Thus, even if a damage cluster was found more complex than DSB<sub>++</sub>, the cluster was categorized as DSB<sub>++</sub>. A minimum distance of 100 consecutive interval was defined to separate individual damage cluster. Nikjoo et al<sup>8</sup> also proposed the classification scheme of DSB breaks by direct/indirect damage source. DSBs composed by two indirect damage are classified as DSB<sub>ind</sub>, and those only by direct damage are classified as DSB<sub>dir</sub>. DSB<sub>hyb</sub> requires that the DSB does not occur in the absence of indirect damage when a segment contains both indirect and direct DSBs. Otherwise, a break caused by indirect and direct sources is classified as DSB<sub>mix</sub> (the DSB occurs in the absence of indirect damage or direct damage).

## **B. Calculation of DNA rejoining kinetics and cell surviving fraction**

The TLK model proposed by Stewart<sup>34</sup> represents the kinetic processes of first- and second- order DNA repair linking the initial DNA damage to the residual DNA damage and subsequent cell death. First-order repair considers simple rejoining of break ends at the same position through the corresponding repair processes, in which the terms of the single order are proportional to  $L_{DSB}(t)$ , the yield of DSBs at the time  $t$ . Likewise, binary DSB repairs (second-order repair), which can easily lead to a complex aberration (the incorrect rejoining

of the break ends with two different DSBs, the so-called as binary misrepair) is considered as given with the terms which are proportional to  $L_{DSB}(t)L_{DSB}(t)$ . In these considerations, differential equations represent the kinetics of the DNA rejoin, listed as Eq. 1 and Eq. 2 below,

$$\frac{dL_1(t)}{dt} = D(t)Y\Sigma_1 - \{\epsilon_1 + \lambda_1\}L_1(t) - L_1[\eta_1 L_1(t) + \eta_{1,2}L_2(t)], \quad (1)$$

$$\frac{dL_2(t)}{dt} = D(t)Y\Sigma_2 - \{\epsilon_2 + \lambda_2\}L_2(t) - L_2[\eta_{1,2}L_1(t) + \eta_2 L_2(t)]. \quad (2)$$

Here  $L_1(t)$  is the expected number of DSBs in fast repair per cell at the time from the start of irradiation  $t$ ; similarly,  $L_2(t)$  is the expected number of DSBs in slow repair per cell at time from the start of irradiation  $t$ .  $D(t)Y\Sigma_1$  and  $D(t)Y\Sigma_2$  are the lesion production terms for DSBs in fast and slow repair, respectively, which are proportional to the dose rate  $D(t)$  multiplied by number of lesions ( $\Sigma$ ) per unit of dose and bp ( $\text{Gy}^{-1} \text{ Gbp}^{-1}$ ) and number of bp in a cell  $Y$ . In this work, the instantaneous lesions are defined as  $\Sigma_1 = N_{\text{DSB}}$  and  $\Sigma_2 = N_{\text{DSB}+} + 2N_{\text{DSB}++}$  as classified by the definition of Nikjoo et al<sup>7</sup>. In general, simple DSB tends to be repaired through the fast-repair process, and complex DSB is repaired through a slow-repair process.  $\lambda_1$  and  $\lambda_2$  are the DSB rejoining rates ( $\text{h}^{-1}$ ) for simple and complex DSBs, respectively.  $\eta_1$ ,  $\eta_2$  and  $\eta_{1,2}$  are the DSB-DSB binary rejoining rates ( $\text{h}^{-1}$ ) for simple-simple, complex-complex and simple-complex rejoining combinations, respectively. Similarly,  $\epsilon_1$  and  $\epsilon_2$  account for the rates by physiochemical fixation ( $\text{h}^{-1}$  for simple and complex DSBs, respectively).

In the TLK model, two types of model parameters involved in cell death are introduced to calculate the probability of cell death: probability of misrepair and lethality of residual DNA damage. Here, we regard misrepaired DNA damage that remains after the repair processes; however, in reality, alternative repair processes are activated once nonhomologous end joining (NHEJ) repair failed via microhomology-mediated end joining (MMEJ), also

known as alternative nonhomologous end-joining (Alt-NHEJ)<sup>48</sup>. Simple sublethal lesions (i.e., a first-order repair) can be removed from the DNA through biochemical processes that repair individual lesions without any chance for killing the cell, unless an important DNA component (such as a necessary gene) cannot be successfully repaired as a simple aberration. On the other hand, complex sublethal lesions can easily lead to a high chance of killing the cell. In particular, the aberrations (such as dicentrics, acentric rings, and binary-misrepair) are crucial for cell survival. Hence, to [link](#) between initial DNA damage and cell death, it is important to first estimate the probability of misrepair that can potentially lead to cell death as well as the lethality that is a fraction of misrepair leading to cell death.

Accounting for the probability of correctly repaired damage ( $a_1$  and  $a_2$ ) and the lethality of residual DSBs ( $\beta_1, \beta_2, \gamma_1, \gamma_2, \gamma_{1,2}$ ), the yield of lethal lesions can be calculated as,

$$\begin{aligned} \frac{dL_f(t)}{dt} = & [(1 - a_1)\beta_1\lambda_1 + \epsilon_1]L_1(t) + [(1 - a_2)\beta_2\lambda_2 + \epsilon_2]L_2(t) \\ & + \gamma_1\eta_1L_1(t)L_1(t) + 2\gamma_{1,2}\eta_{1,2}L_1(t)L_2(t) + \gamma_2\eta_2L_2(t)L_2(t), \end{aligned} \quad (3)$$

where  $a_1$  and  $a_2$  represent the probabilities of correctly repaired damage in simple and complex DSBs, respectively. The probabilities  $\beta_1, \beta_2, \gamma_1, \gamma_2$  and  $\gamma_{1,2}$  describe the partitioning of misrepaired damage into lethal and nonlethal genetic alterations for each repair type. For example,  $\beta_1 = 1$  means that if the DSB was not repaired correctly in the fast-repair process regarded as misrepair, the DSB always produces a lethal lesion. As in the original study by Stewart<sup>34</sup>, in order to reduce the number of adjustable parameters, the following additional (ad-hoc) equality conditions (i–iv) are imposed in this work: (i) the probability of correctly repaired damage is assumed to be 0 as  $(1-a)\beta = \beta$ , (ii) the rates of DSB fixation is set to  $\epsilon_1 = \epsilon_2 = 0$ , (iii) the rate of binary repair is identical to  $\eta_1 = \eta_2 = \eta_{1,2} = \eta$  and (iv) the lethality of binary misrepair is identical to  $\gamma_1 = \gamma_2 = \gamma_{1,2} = \gamma$ . Under these conditions,

Eqs.(1–3) are simplified to

$$\frac{dL_1(t)}{dt} = D\dot{(t)}Y\Sigma_1 - \lambda_1 L_1(t) - \eta L_1[L_1(t) + L_2(t)], \quad (4)$$

$$\frac{dL_2(t)}{dt} = D\dot{(t)}Y\Sigma_2 - \lambda_2 L_2(t) - \eta L_2[L_1(t) + L_2(t)], \quad (5)$$

and

$$\frac{dL_f(t)}{dt} = \beta_1 \lambda_1 L_1(t) + \beta_2 \lambda_2 L_2(t) + \gamma \eta [L_1(t) + L_2(t)]^2. \quad (6)$$

Finally, these yields are numerically integrated to calculate the SF,

$$\text{SF} = \ln(-L_f). \quad (7)$$

The differential equation has been solved numerically by means of the fourth-order Runge-Kutta method in the boost/numerical C++ library.

To calculate the DNA unrejoined fraction and SF, we set model parameters of the TLK model for the experimental condition as described in the reference study by Belli et al<sup>37</sup>. The delivered dose for the unrejoined DNA fraction was 45 Gy at a dose rate of 20 Gy/min. For the SF calculations, the dose rate was set to 1 Gy/min, and the SFs were scored 16 days after irradiation. Given that the number of colonies was counted after 7 days from irradiation in the reference experimental study<sup>36,49</sup>, we calculated the SF at  $t = 168$  hours. The time step of the integration was set to  $1 \times 10^{-4}$  hour. We note that the half-repair time  $\tau$  of the rejoining can be calculated by  $\tau = \ln 2/\lambda$ , if the repair process is not saturated.

The fraction of activity released (FAR) measured by gel-electrophoresis methods is used to quantify the number and size of fragments obtained by breaking of the DNA fiber, such as that induced by DSBs<sup>50,51</sup>. Thus, calculating the ratio between FAR and initial FAR, we can estimate the quantity representing the fraction of unrejoined DSBs. According to the random-breakage model<sup>37,52,53</sup>, the relation between FAR and the number of the unrejoined

DSBs  $((L_1(t) + L_2(t))/Y)$  can be calculated using the following equation:

$$\text{FAR}(t) = F_{max} \left\{ 1 - \left[ 1 + K(L_1(t) + L_2(t))/Y \left( 1 - \frac{K}{M_0} \right) \right] \exp^{-K(L_1(t)+L_2(t))/Y} \right\}, \quad (8)$$

where  $F_{max}$  is the maximum fraction of the DNA that can enter the gel plug,  $M_0$  is the average DNA length in a chromosome, and  $K$  is the detection limit length. In this study,  $F_{max}$  was set to 1,  $M_0 = 180$  Mbp, and  $K = 4.9$  Mbp as estimated by Belli et al<sup>37</sup>. Finally, the fraction of unrejoined DSBs is calculated as the relative FAR, and the FAR values were scaled by applying  $\text{FAR}(t_0)$ , where  $t_0$  is the time when the irradiation was stopped.

### C. Protectable damage fraction

By integrating the TLK model into the Geant4-DNA application, we calculated the fraction of the damage produced via indirect action (protectable damage). Experimentally, the protectable damage fraction can be measured from the maximum degree of protection (DP) at an infinite dimethylsulfoxide (DMSO) concentration<sup>56,57</sup>. DMSO has been used as scavenger of radiolytic free radical species, in particular hydroxyl radical. DMSO is permeated among the cells before irradiation at various concentrations. The experimental DP is defined as follows:

$$\text{DP}_{\text{exp}} = \frac{\ln \text{SF}_0 - \ln \text{SF}_{\infty}}{\ln \text{SF}_0}, \quad (9)$$

where  $\text{SF}_0$  and  $\text{SF}_{\infty}$  are the measured SF at 0 mol of DMSO and the assumed SF at infinite DMSO concentration, respectively. Moreover, the maximum DP can be obtained as the value at the point of intersection of the regression where the concentration of DMSO is infinite ( $1/x = 0$ ), with the equation given by:

$$\frac{1}{\text{DP}_{\text{exp}}} = k \frac{1}{x} + y_{\infty}, \quad (10)$$

where  $x$  is the density of DMSO,  $k$  is the slope, and  $y_\infty$  is the intersection (at the limit of infinite DMSO concentration). Similarly, the DP can be calculated with the SFs of the Geant4-DNA application as follows:

$$DP_{\text{method1}} = \frac{\ln SF_{\text{wChem}} - \ln SF_{\text{woChem}}}{\ln SF_{\text{wChem}}}, \quad (11)$$

where  $SF_{\text{wChem}}$  and  $SF_{\text{woChem}}$  are the calculated SFs with the initial DSB yields, which were simulated with- and without- chemistry simulations, respectively. In this study,  $DP_{\text{method1}}$  is calculated with  $SF_{\text{wChem}}$  and  $SF_{\text{woChem}}$  at 1 Gy, where it is not affected by the SF enhancement known as the stochastic effect<sup>33</sup>.

By using Nikjoo's classification, as it was attempted in the previous study<sup>22</sup>, it was also possible to calculate the fraction of protectable DSBs that cannot be classified as DSB without indirect damage by

$$DP_{\text{method2}} = \frac{N_{\text{DSB}_{\text{ind}}} + N_{\text{DSB}_{\text{hyb}}}}{N_{\text{DSB}_{\text{dir}}} + N_{\text{DSB}_{\text{mix}}} + N_{\text{DSB}_{\text{ind}}} + N_{\text{DSB}_{\text{hyb}}}}, \quad (12)$$

where  $N_{\text{DSB}_{\text{dir}}}$ ,  $N_{\text{DSB}_{\text{ind}}}$ ,  $N_{\text{DSB}_{\text{mix}}}$  and  $N_{\text{DSB}_{\text{hyb}}}$  are the numbers of  $\text{DSB}_{\text{dir}}$ ,  $\text{DSB}_{\text{ind}}$ ,  $\text{DSB}_{\text{mix}}$  and  $\text{DSB}_{\text{hyb}}$ , respectively. We neglected the contribution of  $\text{DSB}_{\text{mix}}$  to the protectable damage fraction, because it cannot be clearly classified as protectable DSB or not. Because, in the reference<sup>56,57</sup>, the scavengable fractions on the V79 cell line are measured by ion irradiation, the proton-induced  $DP_{\text{method1}}$  and  $DP_{\text{method2}}$  are shown as a function of unrestricted LET ( $\text{LET}_\infty$ ) at the midplane of cell as evaluated by Belli et al<sup>37,41</sup>. We quote the DP value based on method2 evaluated for human fibroblast cells in the previous work. These quoted DP values are shown as a function of unrestricted LET at the cell entrance, which is recommended by the ICRU90<sup>58</sup>.

### **III. Results**

#### **A. Number of initial DSBs after irradiation**

Figure 2 shows the yield of DSBs categorized as DSB, DSB<sub>+</sub>, and DSB<sub>++</sub> expressed as the number of breaks per unit absorbed dose (Gy) and per unit of DNA fiber length (Gbp). The yield of DSB<sub>++</sub> increases with the decrease in the incident proton energy, whereas the yield of DSB and DSB<sub>+</sub> is slightly decreasing. We repeated the same simulations without the chemistry interface to calculate the same yields created by direct action. All yields created by direct action were simply increasing with the decrease of the incident proton energy. The total yield of DSBs (DSB+DSB<sub>+</sub>+2DSB<sub>++</sub>) was almost consistent with the previous simulations<sup>22</sup>. For instance, the total yield of DSBs at 5.04 MeV in this study is 7.02 Gbp<sup>-1</sup>Gy<sup>-1</sup> and was about 7.3 Gbp<sup>-1</sup>Gy<sup>-1</sup> at 7.0 MeV, although the geometry of the simulations was slightly different.

#### **B. Optimized model parameters of the TLK model**

The optimized parameters of the TLK model for V79 cell line are listed in Table I. The repair rate of the fast-repair process was about 1.19 h<sup>-1</sup>, and its half-repair time was about 35 minutes. Similarly, the repair rates were approximately  $2.51 \times 10^{-3}$  and  $3.62 \times 10^{-6}$  h<sup>-1</sup>, for the slow repair and binary repair, respectively. In addition, the corresponding half-lives were 12 days and 22 years, respectively. The probability of the misrepair in the slow repair leading to cell death was approximately 16 %. The probability for fast-repair ( $\beta_1$ ) was fixed at 0 % and that for binary-repair ( $\gamma$ ) at 100 % at the maximum probability as the result of the optimization.

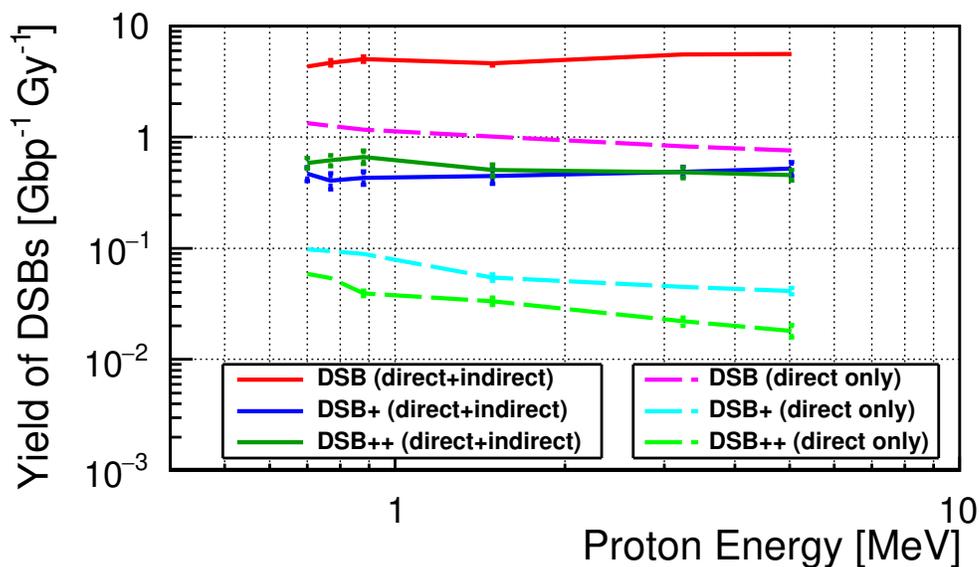


FIG. 2. The average yield of DSBs for each damage type, as categorized by Nikjoo’s definition<sup>7</sup>. The results with the full simulation were labeled as “direct+indirect”, and the results of the simulations without the chemistry interface were labeled as “direct only”.

TABLE I. Optimized repair parameters for V79 cell line

$\lambda_1$ (h <sup>-1</sup> )	$\lambda_2$ (h <sup>-1</sup> )	$\eta$ (h <sup>-1</sup> )	$\beta_1$	$\beta_2$	$\gamma$
1.19	$2.51 \times 10^{-3}$	$3.62 \times 10^{-6}$	0.0	0.16	1.0

### C. DNA rejoining kinetics

The fractions of unrejoined DSBs are shown in Figure 3. As shown in the left panel of the figure, the TLK model was able to reproduce the time evolution of the measured relative FAR (fraction of unrejoined DSBs). This is not surprising, as the data set is involved in the optimization of the TLK model parameters. On the contrary, the fractions with 0.88 MeV protons at the cell entrance level were not in agreement with the measured data that are not

involved in the optimization, as shown in the right panel. This is caused by the limitation of the TLK model, which will be discussed in Section IV. It is worth noting that even if we include the data set for 0.88 MeV proton in the model parameter optimization process, it is not possible to obtain agreement with both measured data sets. For this reason, if we include both data sets in the optimization, the predicted model curve will be almost at the center in between these two data sets.

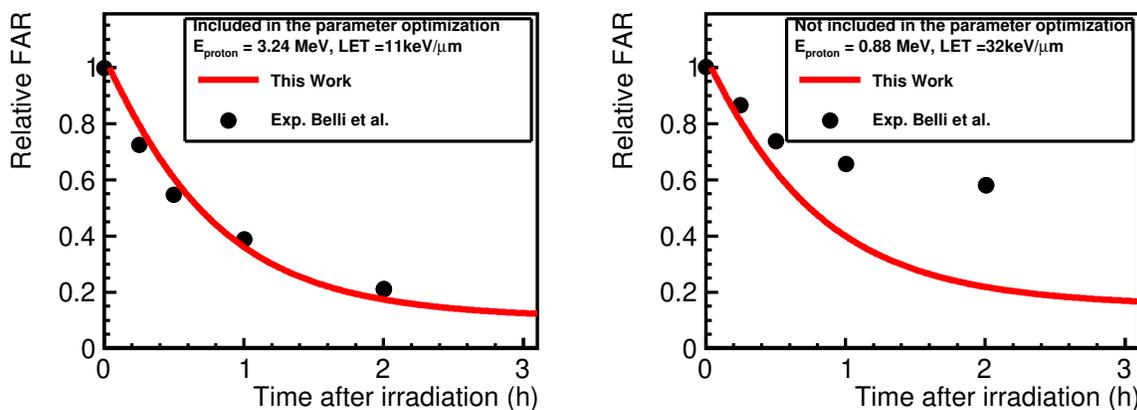


FIG. 3. Relative FAR (fraction of unrejoined DSBs) for the V79 cell line as a function of time after irradiation. Left: The incident energy of a proton at the cell entrance is 3.24 MeV, and the experimental data were involved in the TLK model parameter optimization. Right: The incident energy of a proton at the cell entrance is 0.88 MeV, and the experimental data were not involved in the optimization.

#### D. Cell surviving fraction

Figure 4 shows the cell SF as a function of the delivered dose for each proton energy at the cell entrance (and the unrestricted LET value at cell midplane). Using the optimized

parameters listed in Table I, the calculated survival curves are in a reasonable agreement with the measured fraction, although the calculated value underestimated the SFs at the highest energy (5.02 MeV). In addition, at the lowest energy (0.70 MeV), the calculations also underestimated the SFs in the high-dose domain ( $>3$  Gy). This underestimation in the high-dose domain is well known as a “stochastic effect”<sup>33</sup>.

### **E. Fraction of indirect damage (protectable damage fraction)**

The fraction of indirect damage (protectable damage fraction) is shown in Figure 5. The fractions calculated by both method1 and method2 (see the definition in Section. II C) are in reasonable agreement with the experimental fractions for C-ions measured by Ito et al<sup>56</sup>, despite the fact that the fractions were calculated and simulated for proton irradiation. In addition, both calculated protectable damage fractions are close to the calculated protectable damage fraction for human fibroblast cells, shown by Geant4-DNA(2020)<sup>22</sup>, even though the geometrical model of the cell is slightly different (the total number of bp is about 6.4 Gbp in a human fibroblast cell model used in the Geant4-DNA(2020)<sup>22</sup>).

The fractions calculated by method1 tended to be 5 % – 10 % lower than that calculated by method2. A reason for this is that the contribution of protectable damage categorized as  $DSB_{\text{mix}}$  is ignored in this study. Throughout the study, the protectable damage fraction was fully validated using experimental data from equivalent methods (the definitions of method1 and the experimental method were sufficiently close to one another), although the source of the irradiation particle was different. Thus, it is now more evident that the developed integrated simulation application allows for the adequate estimation of direct and indirect damage.

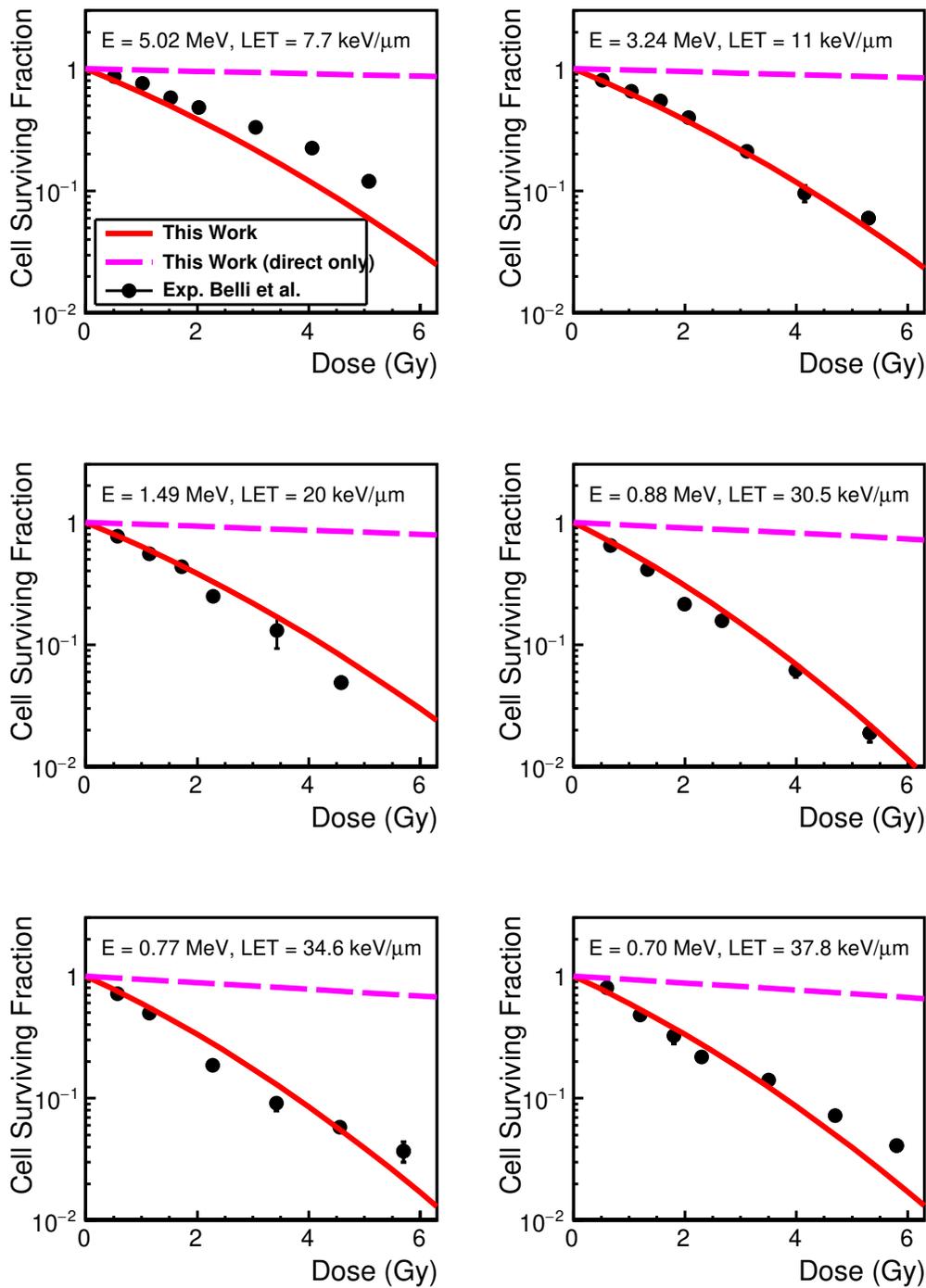


FIG. 4. Cell surviving fraction of V79 cell line as a function of delivered dose. The results of the calculations using simulations without the chemistry interface are labeled as “direct only”.

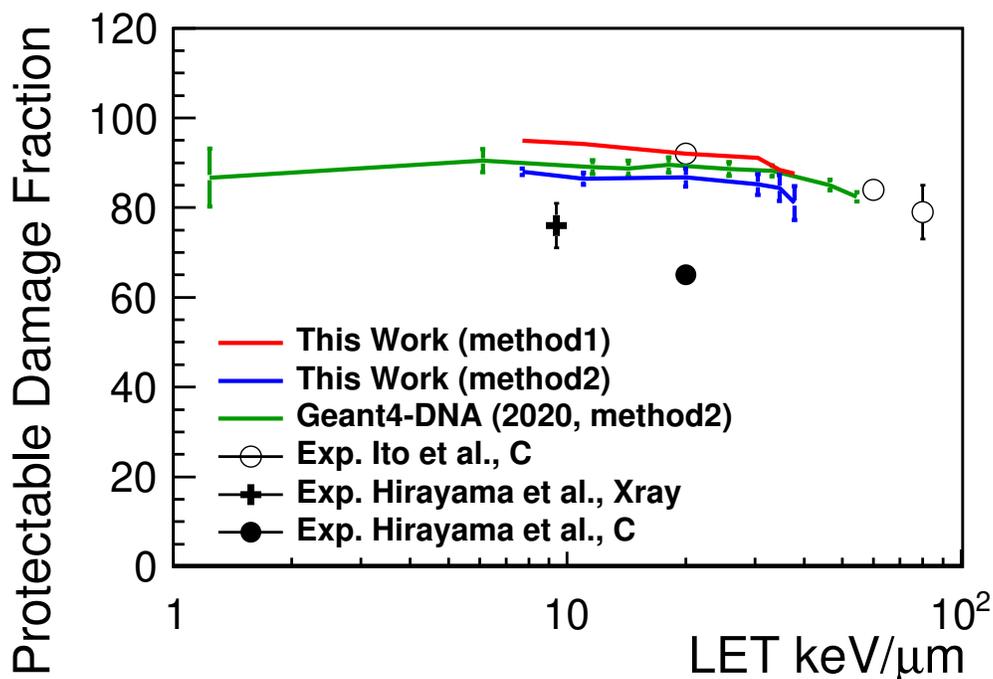


FIG. 5. Protectable damage fraction (fraction of indirect damage) as a function of LET. Method1 is result of the calculation based on SF. Method2 is result of the calculation based on the damage classification. The experimental data on the V79 cell line are estimated as protectable damage fraction at the infinite DMSO concentration<sup>56,57</sup>.

#### IV. Discussion

As shown in Figure 2, excluding the chemical step, the yield of initial DSBs was clearly increasing with the decrease in incident proton energy (in other words, with an increase of LET value). This is consistent with the results of the protectable damage fraction as shown in Figure 5. The simulated DSBs that were categorized as the most complex DSBs in Nikjoo's classification increased with the increase of LET. This is the reason why, at low proton energy range, the yield of  $DSB_+$  and  $DSB_{++}$  can increase while the yield of

DSB decreases. And this is the primary reason why the SF values at a high LET were decreasing with an increase in the LET, because in this study, we consider only complex DNA damage (complex DSBs and binary DSBs) as lethal damage ( $\beta_2$  and  $\eta$  are assigned a nonzero value). We succeeded in optimizing the TLK model parameters in such a way that the calculated fraction of the unrejoined DSBs was consistent with the experimental data at a proton energy of about 3.24 MeV. However, using the same parameters, the calculated fraction did not agree with the experimental data at proton energy of about 0.88 MeV. This result indicates the limitation of the TLK model. In the TLK model, the second repair processes are not considered for the damage that cannot be repaired in the first repair. In nature, once the first repair process fails (e.g., in the NHEJ process), the DNA lesion will attempt to be repaired via different repair processes (Alt-NHEJ is a candidate for the second process for the lesion failure in NHEJ<sup>48</sup>). Subsequently, the cell attempts to repair the lesion more carefully than a first-repair process, which also means, that the second-repair process is usually slower than the first-repair. Moreover, if the lesion is impossible to repair, the cell gives up on repairing the lesion, and the lesion thus remains after repair failure. This could be the reason for the disagreement between the fraction of unrejoined DSBs at high LET. To obtain global agreement on the fraction of unrejoined DSBs for a wide LET range, we need to consider the handling of these lesions which is a failure in the first-repair process as in the studies by McMahon et al<sup>59</sup> and by Belov et al<sup>27</sup>. It must be noted that, in the current study, we set  $\beta_1$  equal to zero as in the original study by Stewart et al. This is the reason why even the fast-repair kinetics was not well demonstrated, although the error did not seem to propagate toward cell survival prediction.

As shown in Figure 4, the TLK model with the optimized parameters successfully reproduced as a whole the measured SF in an energy range between 0.70 and 5.02 MeV. However,

at the proton energy of 5.02 MeV, the disagreement was observed for doses  $>3$  Gy. The energy range of interest in the study by Belli et al<sup>36,37</sup> was narrow and focused on measurements in the low-energy domain. This might be the cause of the bias in the parameters on the low-energy side. Thus, we suggest that it is better to have a larger experimental data set for higher energy incident protons ( $>5.02$  MeV). The TLK model also does not consider the “stochastic effect”. At high LET, the delivered dose to a part of the irradiated cells can be small due to the large variance of the dose distribution in the cell nucleus<sup>33,60</sup>. This is the reason for the disagreement in the high-dose domain at high LET. To apply this model at such high LET, further development is required for inclusion of the stochastic effect. At this stage, we need to evaluate the limit of overkill effect and consider the distribution of DSB yields. Furthermore, we emphasize that there is no guarantee that the optimized parameters can represent the repair performance of V79 cells under other experimental conditions. Indeed, the condition of the irradiated cells varies considerably among the studies; for example, cell cycle and cell confluency show numerous changes.

As a validation of the model, we have calculated the fraction of indirect damage in the experimental energy range. Experimentally, the fractions are estimated as the SFs with/without DMSO. In the previous study, we have calculated the fraction by using the yield of DSBs, categorized by Nikjoo’s classification. However, in this study, we succeeded in calculating the fraction with an equivalent definition in order to compare with the measured DP fraction. We found that the estimated fractions were close to both the measured fractions and the fractions by DSB classification. One of the reasons  $DP_{\text{method1}}$  shows higher value than  $DP_{\text{method2}}$ , is that  $DP_{\text{method2}}$  underestimates the protectable fraction due to neglecting  $DSB_{\text{mix}}$ . In conclusion, this Geant4-DNA radiobiological application was rather reliably validated.

It should be noted that the optimized model parameters can describe rather well the cell's characteristic repair performance. However, the experimental data are not sufficient to optimize the parameters for slow and binary processes sensitively, as we mentioned in Appendix A. In this study, we were able to determine the parameter set for calculating the fraction of unrejoined DSBs and SFs simultaneously, but the parameters might have large systematic uncertainties. To evaluate repair performance (or even to evaluate the systematic uncertainty), we need the measured fraction of unrejoined DSBs until a time which is equivalent with the half-life of the slow-repair process (a few dozen hours at least).

## V. Conclusion

By integrating the TLK model into a Geant4-DNA radiobiological application, we successfully reproduced both the DNA rejoining kinetics at low LET and cell SF, simultaneously. This is the first study to develop a mechanistic model correlating both the cell SF and DNA rejoining kinetics with the estimated initial DNA damage in a realistic cell geometry simulated by track structure MC simulations at DNA level for various LET, thus, **linking** mechanistic physical/chemical damage processes and biological endpoints. We note three limitations of this study, which should be considered for further investigation. (1) We need to consider the second-repair process (and/or aborting repair) after the first-repair process fails. (2) To evaluate the cell's repair performance, the maximum time range should be extended until a time at least comparable to the half-life of the slow-repair process. (3) To reduce biasing of the optimization, it is preferable to have more measured data obtained with higher energies protons.

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### **A. Appendix: Model parameter optimization**

The model parameters in Eqs.(4–6) were optimized for the V79 cell line in a manner consistent with the six experimental SF curves and one relative FAR curve. To optimize the parameters and solve the optimization problems, we used Ceres Solver<sup>54</sup>, which is an open-source C++ library based on a nonlinear least-squares method for solving optimization problems. In general, to solve the linear least squares problem form for the parameters  $x$ , we need to determine the minimum of the key computational cost using the following formula:

$$\arg \min_{\Delta x} \frac{1}{2} |J(x)\Delta x + F(x)|^2, \quad (\text{A1})$$

where  $F(x)$  is a matrix of an  $n$ -dimensional vector of variables (the number of data points), and  $m$ -dimensional function of  $x$  (the number of optimized parameters), whereas  $J(x)$  is the

Jacobian. We select SPARSE\_NORMAL\_CHOLESKY as the algorithm of the Jacobian factorization, given that the optimization problem is usually sparse. The residual cost for each data point was calculated as  $V_{calc} - V_{exp}$  with the same weight for all configurations of both the SF and relative unrejoined DSB, where  $V_{calc}$  was calculated as the value with simulated DSBs.

For the optimization, we had limitations on both the model side and experimental data side. On the model side, the parameter-reduced TLK model considered fast-, slow-, and binary-repair processes. All the damage were rejoining, once the damage was repaired through one of these repair processes (regardless the repair has succeeded or not), even when the repair failed. Additionally, the model did not consider second repair processes for the damage that could not be repaired during the first repair. Given the limitation, one unrejoined DSB curve was excluded from the optimization, although, in the experimental study by Belli et al.<sup>37</sup>, two unrejoined DSB curves were available. This has been discussed in Section IV. On the data set side, the maximum time range of the unrejoined DSB curve was about 2 hours from the irradiation. However, the speed of slow- and binary-repair was much slower (for instance, it takes dozens of hours to repair DNA damage via the HR process<sup>55</sup>). Thus, the reference data are not so sensitive toward the parameter optimization for the slow repair processes. Because of these limitations, we restricted the value range of all model parameters and set  $\beta_1$  equal to 0.0, similar to that in the original study by Stewart<sup>34</sup>. In this study, the parameters were adjusted for optimization, subject to the following constraints:  $0.5 < \lambda_1 < 10.0 \text{ h}^{-1}$  (equivalent to a 83 minutes and 4 minutes half-life, respectively),  $10^{-4} < \lambda_2 < 0.5 \text{ h}^{-1}$  (equivalent to a half-life of 17 years and 83 minutes, respectively),  $10^{-8} < \eta < 10^{-4} \text{ h}^{-1}$  (equivalent to a half-life of  $5 \times 10^5$  years and 17 years, respectively),  $\beta_1$  set to 0.0,  $0.0 < \beta_2 < 1.0$ , and  $0.0 < \gamma < 1.0$ .

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