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1	Investigation of the interaction between adsorbed water and various morphologies of
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30 Abstract

Boehmite applications are often subject to specific characteristics in terms of morphologies and surface properties. The versatile continuous supercritical hydrothermal method allows synthesizing various boehmite morphologies from rhombic to hexagonal platelet-like nanoparticles depending on the preferential adsorption of part of the precursor after transformation species on the (020) surface resulting in a preferential growth along [001] and mostly [100] directions. This adsorption on surface free sites plays the role of morphology stabilizer and results in the reduction of adsorbed water.

The interaction between adsorbed water and the boehmite morphology depends on various parameters; 1) the structure order of boehmite as exhibited by XPS analysis associated with ¹H Solid-State NMR spectroscopy and, 2) the number of available free sites, which tend to be filled by the adsorption of nitrates as observed by Raman spectroscopy.

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Keywords: Synthetic boehmite nanoparticles; Water and nitrate adsorption; pH-dependent
morphology; Continuous supercritical hydrothermal synthesis; Supercritical water.

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57 **1. Introduction**

An explosion of research works on nanotechnologies has marked the last decade. In particular, inorganic nanoparticles are of interest in broad domains ranging from electronics to pharmaceuticals. These fields require high performance crystallized materials with controlled sizes and morphologies. Additionally, industrial scale applications need low-price, reproducibility and environmentally friendly conditions to meet society challenges [1]. This can be achieved through continuous supercritical hydrothermal synthesis [2].

Boehmite, an aluminium oxyhydroxide (γ-AlOOH), is widely used as catalyst [3], adsorbent [4–6], coating [7], membrane [8] and fire-retardant [9]. Furthermore, it is an important precursor for the preparation of aluminium oxides such as Υ -, θ -, χ -, κ - and α -Al₂O₃ [10].

Boehmite has an orthorhombic crystal structure (space group *Cmcm*), shown on Figure 1, characterized by double sheets of distorted edge-sharing octahedra with oxygen atoms at the top and aluminium ones at the centre. These double layers are linked together by hydrogen

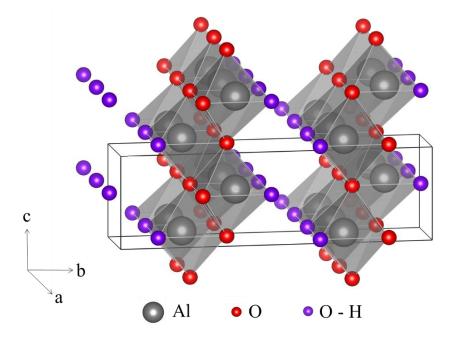


Figure 1: Schematic representation of two unit cells of orthorhombic crystal structure of

boehmite.

70 bonds forming parallel rows of chains in zig-zag perpendicular to the b-axis.

Because of the weak interaction, the crystal surface ends in the interface between two double layers. This produces surfaces full of hydroxyl groups [11,12]. Consequently, boehmite nanoparticles tend to have a large excess of adsorbed water up to 30 wt.%, leading to the name of "pseudo-boehmite" [13]. At first, the literature hypothesized that this adsorbed water was incorporated in the sheet interlayer along the b-axis [14]. The lattice deformation along this specific axis appears to be insufficient to insert water molecules which are considered to be only adsorbed on the boehmite surfaces [15].

The structure tends to show platelet-like particles with a dominant (010) basal surface and less stable (100), (101) and (001) edge facets. A Density Functional Theory (DFT) study of boehmite surface properties exhibited the basal (010) surface forms about 50 % of the total surface [16]. This surface is characterized by the smallest interfacial energy and a weak chemical reactivity with water. That is why water is mainly physisorbed on it.

The relative surfaces of each facet can be modified through the synthesis route, which may 83 influence the adsorbed water content. The morphology of boehmite particles can be easily 84 tuned thanks to the versatility of the continuous supercritical hydrothermal synthesis method. 85 Adschiri et al. have reported for the first time the use of this technique for the preparation of 86 boehmite [17] which, was traditionally synthesized using sol-gel precipitation or 87 hydrothermal synthesis [18,19]. They showed the influence of various parameters such as 88 temperature, pressure, pH and precursor concentration on the particle characteristics. Hakuta 89 et al. were able, with a hydrothermal process, to modify the shape of boehmite particles from 90 hexagonal platelet-like to rhombic shaped by increasing the temperature from 350 °C to 400 91 °C [20]. More recently, Fujii et al. demonstrated the ability of alkylcarboxylic acids to change 92 the aspect ratio of boehmite particles up to 5-fold [21]. They suggested that carboxylic acid 93 inhibits crystal growth along the [001] and [010] axes relative to the [100] direction through 94

95 surface-capping, resulting in the formation of rodlike particles. The variation of the particle 96 morphology necessarily generates an evolution of the active surfaces, the surface properties 97 and thus of the potential quantity of adsorbed water. All of which play a crucial role in the 98 final material properties and thus performance for applications where boehmite is used and 99 need to be investigated.

This paper reports the ability of supercritical boehmite γ-AlOOH surfaces to interact with
 water. Supercritical boehmite particles were synthesized using the continuous supercritical
 hydrothermal process. Microstructures were determined by Rietveld analysis of Powder X Ray Diffraction (PXRD) data and Transmission Electron Microscopy (TEM). Adsorbed water
 was investigated using X-Ray Photoelectron Spectroscopy (XPS), ¹H Solid-State Nuclear
 Magnetic Resonance (NMR) and Raman Spectroscopy.

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107 **2. Experimental**

108 2.1 Materials

Boehmite particles were prepared using the continuous supercritical hydrothermal synthesis. Aluminium nitrate nonahydrate Al(NO₃)₃·9H₂O (> 98 % purity) was selected as precursor. The metal salt was dissolved into distilled water (10-18 MΩ.cm). Sodium hydroxide NaOH (> 98 % purity) was used to increase the pH of the precursor solution from 3.9 up to 6.7. All the chemicals were purchased from Sigma-Aldrich and used as received.

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115 2.2 Hydrothermal flow synthesis apparatus and procedure

116 Continuous flow synthesis was carried out in a homemade reactor custom-designed to 117 minimize clogging problems. It is made of a 0.74 m long stainless steel pipe with an internal 118 diameter of 4.78 mm. The set-up consists of a HPLC pump, two ceramic band heaters and a 119 TESCOM back pressure regulator. This equipment has been thoroughly described elsewhere120 [22].

The reactor is first pressurized to 25 MPa at ambient temperature using distilled water and then set to the process temperature of 400 °C. Precursor solution is fed at a flow rate of 3.5 or 6.6 mL.min⁻¹ corresponding to residence times of 60 s and 20 s, respectively. At the outlet of the reactor, the chemical reaction is stopped by ice bath quenching. A stainless steel fritted filter is placed inside the quenching system to collect the produced nanoparticles. The product is recovered as a gel, which is washed several times with distilled water. Produced powders were then dried in an oven at 60 °C overnight.

- 128
- 129 2.3 Material characterization
- 130 2.3.1 Powder X-Ray Diffraction (PXRD)

Powder X-Ray Diffraction (PXRD) patterns were collected at room temperature on a 131 PANalitycal X'pert PRO MPD diffractometer with the Bragg–Brentano θ –2 θ geometry and 132 over a 2 θ angular range from 10 ° to 130 ° (minimum step of 0.008 °). The diffractometer was 133 equipped with a Ge (111) monochromator, which generated a perfectly Cu K α_1 radiation 134 $(\lambda = 1.540598 \text{ Å})$ at 45 kV and 40 mA. A multichannel X'Celerator detector was used to 135 collect the XRD patterns. Prior to measurement, samples were finely ground to break up the 136 aggregates and passed through a 50 µm mesh sieve. Afterward, powder was placed and 137 randomly distributed on a silicone sample holder. 138

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140 2.3.2 Rietveld refinement

141 Data were analysed by Rietveld structural model refinements using the FullProf Suite 142 software package [23]. The Thompson–Cox–Hasting formulation of the pseudo-Voigt 143 function was used to fit the Bragg reflection. Refined parameters were the 8-coefficient polynomial functions of background, instrumental zero, scale factor, unit cell parameters, Gaussian and Lorentzian contributions and microstructural strain parameters. The model included only the Al and O atom positions and isotropic thermal parameters. Crystallite shape anisotropy, specific to boehmite particles, was taken into account through spherical harmonic functions. Up to six harmonics were used to describe size broadening for the orthorhombic structure [24]. Simulated X-ray diffractogram quality was measured with the weighted-profile R-factor (R_{wp}).

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152 2.3.3 Transmission Electron Microscopy (TEM)

The boehmite particle morphology was investigated using Transmission Electron Microscopy (TEM) with a ThermoFisher Talos F200S G2 microscope. Micrographs were captured with an electron beam voltage of 200 keV allowing an accessible range of magnification up to x1,050,000 and a resolution of 0.1 Å. Prior to the observation, less than 2 mg of powder were put in ethanol (\approx 1 mL) and dispersed with ultrasound during 5 min. Then, TEM thin foils were prepared by drop casting the solution made beforehand onto a holey carbon-coated grid and dried at room temperature.

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161 2.3.4 X-Ray Photoelectron Spectroscopy (XPS)

162 XPS spectra of boehmite were collected with a ThermoFisher Scientific K-Alpha 163 spectrometer using a monochromatic Al K α X-radiation (1486.6 eV) and a hemispherical 164 electron energy analyser. Powder was pressed onto indium foil fixed on a metallic support. 165 This technique was used to characterize extreme surfaces of a set of grains (*i.e.* about 3 to 5 166 nm in depth) with a 400 μ m diameter X-Ray spot size. The binding energies reported were 167 referenced with the C_{1s} peak at 284.9 eV. Survey and the high-resolution spectra Al_{2p}, O_{1s}, 168 and C_{1s} are reported. Elemental composition was calculated from areas of high-resolution spectra acquired with a pass energy of 40 eV. Experimental data were curve fitted into
components with Gaussian-Lorentzian peaks using the ThermoFisher Scientific *Avantage*software.

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173 2.3.5 Raman Spectroscopy

The vibrational properties of boehmite were characterized by a Raman spectrometer Horiba 174 175 LaBRAM HR Evolution. Spectra were acquired with a 532 nm laser wavelength and a spot size of 1 µm. Boehmite particles dispersed in ethanol were drop-casted onto a glass slide and 176 air dried during one day. Before each analysis, the spectrometer was calibrated using the 177 520.5 cm⁻¹ peak of Si acquired on a silicon wafer. The measurements were performed with a 178 long working distance x100 objective lens, a 600 lines/mm grating and a CCD detector. 179 Acquisitions were done for an exposure time of 20 s. Each spectrum was accumulated 40 180 times to optimize the signal-to-noise ratio on the 200 - 1200 and 2900 - 3800 cm⁻¹ ranges to 181 visualize the major bands of boehmite with the hydroxyl deformation modes located at 360, 182 495 and 674 cm⁻¹, the hydroxyl stretching bands at 3085 and 3220 cm⁻¹ and any remaining 183 water beyond [25]. The aluminium nitrate band is mainly located at 1049 cm^{-1} [26]. 184

185

186 2.3.6 Solid-State Nuclear Magnetic Resonance Spectroscopy

¹H Solid-State Nuclear Magnetic Resonance (NMR) analyses were carried out on a Bruker Avance III 300 WB spectrometer (B0 = 7.05 T). Experiments were performed with a 2.5 mm solid probe under Magic Angle Spinning (MAS) conditions (30 kHz) with a rotorsynchronized Hahn-echo pulse sequence (90 ° - 180 °) with a 90 ° pulse length of 2 µs and an optimized recycle delay of 5 s. The chemical shift scale was calibrated using H₂O milliQ (δ (¹H) = + 4.7 ppm) as reference. Desummation of the ¹H MAS NMR spectra was performed with *Dmfit* software [27]. 195 **3. Results**

196 *3.1* Influence of the process operating parameters on the grain morphologies

Influence of different operating parameters on the hydrothermal flow synthesis of boehmite was widely reported in the literature [17,20,28]. pH is one of the major parameters to modify the boehmite particle morphology without adding additives. Using aluminium nitrate as precursor, pH variation can be achieved by: 1) adjusting the precursor concentration and, 2) adding NaOH.

The syntheses were performed at 25 MPa, 400 °C and for different pH values 2.9, 3.5, 3.9 by varying the nitrate concentration and at pH = 6.7 by adding NaOH. In this article, these samples are labelled S2.9, S3.5, S3.9 and S6.7, respectively. Table 1 summarizes process operating parameter variations we used. The residence time was fixed at 20 s except for S3.5

Table 1: Process operating parameters for the boehmite syntheses performed at 25 MPa and 400 °C.206with 60 s as residence time.

Sample name	S2.9	\$3.5	S3.9	S6.7
Residence time (s)	20	60	20	20
Precursor concentration (mol.L ⁻¹)	1.10 ⁻¹	2.10 ⁻²	5.10 ⁻³	2.10^{-2}
рН	2.9	3.5	3.9	6.7

Morphology of the as-synthesized particles was investigated using TEM analysis. As shown in Figure 2, boehmite particles are anisotropic. S2.9 and S3.5 powders exhibit strongly aggregated hexagonal particles of about a few hundred nanometers in length (Figure 2a) and b)). The particles are very thin, less than 15 nm as observed on TEM images of S3.5 and S3.9 (Figure 2b) and c)). The increase of the residence time from 20 to 60 s does not seem to favour the particle growth. This has already been observed by Hakuta *et al.* who assumed the

- 213 particles grow only at the very early stage of the reaction (< 2 s) at 25 MPa and 400 °C in
- acidic conditions [20].

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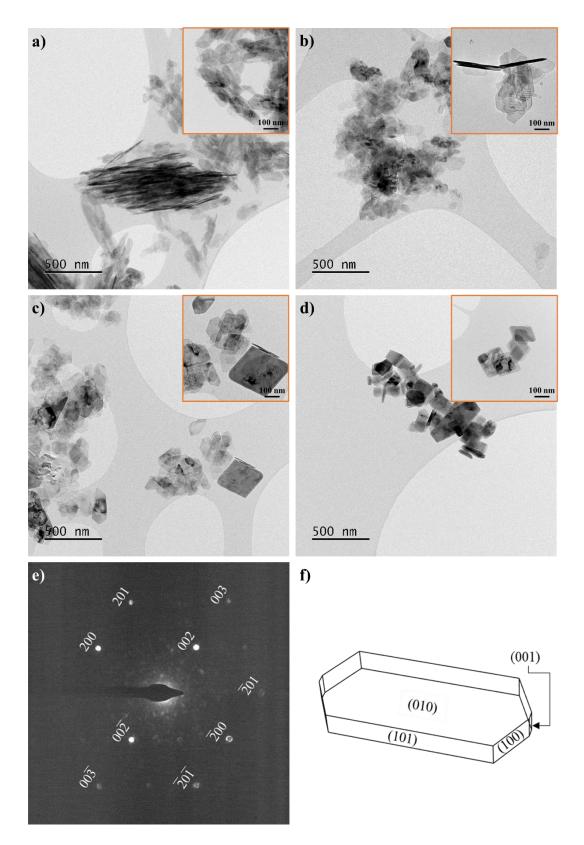


Figure 2: TEM images of boehmite particles: a) S2.9, b) S3.5, c) S3.9 and d) S6.7 associated with the electron diffraction pattern of a hexagonal particle of S3.9 on e) and a scheme of a hexagonal platelet grain on f).

A further increase of the pH value results in the formation of rhombic particles. S3.9 powder 217 218 shows both aggregated hexagonal and rhombic particles. Moving to a near neutral pH allows the synthesis of perfectly rhombic boehmite particles. Alkaline pH of 9.3 and 12.0 were also 219 220 tested, but are not presented here. Slightly basic conditions gave a mix of boehmite and bayerite, an aluminium hydroxide α -Al(OH)₃ whereas strong basic ones did not produce any 221 particles. This is in agreement with Hakuta et al. observations [20]. They explained the 222 particle disappearance by a redissolution of very small particles during cooling. However, 223 recent research brings new hypotheses with the discovery of Hydrothermal Molten Salts 224 (HyMOS) [29]. The precipitation at a higher temperature than their melting point *i.e.* between 225 226 318 and 323 °C for NaOH is instantaneously followed by the fusion of the salts. It produces a unique system composed of supercritical water at low density with a fraction of dissolved salt 227 and a molten salt phase with a fraction of water [30]. The high polarity and density of molten 228 229 salts results in dissolving a large amount of inorganic particles that could be the case of boehmite. Voisin et al. [29] demonstrated the formation of NaOH molten salts in supercritical 230 231 water at 400 °C and 25 MPa. One of the conditions for observing molten salt is the prior NaOH precipitation (before melting) meaning that a salt concentration above its solubility 232 (133 ppm at 400 °C and 25 MPa) is necessary. In this study, this condition is achieved with a 233 NaOH concentration of 400 ppm approximately at a pH of 12. Although molten salts have 234 never been observed under these specific conditions, all conditions required to obtain them 235 are met and could also explain the redissolution of boehmite nanoparticles. Zhang et al. 236 discussed the effect of pH on the growth of boehmite using traditional hydrothermal synthesis 237 based on sol-gel process. In their study, a pH higher than 12 is required to obtain rhombic 238 grains [31]. The [010] zone axis electron diffraction pattern in Figure 2e) reveals diffraction 239 spots of (100), (001) and (101) planes. Such a result is consistent with a hexagonal grain with 240

a dominant (020) surface and (100), (001) and (101) edge surfaces as represented on thescheme shown in Figure 2f).

243 The aggregation makes particle size determination complex. To overcome this issue,244 boehmite particles are then defined by their Average Crystallite Size (A.C.S). X-ray

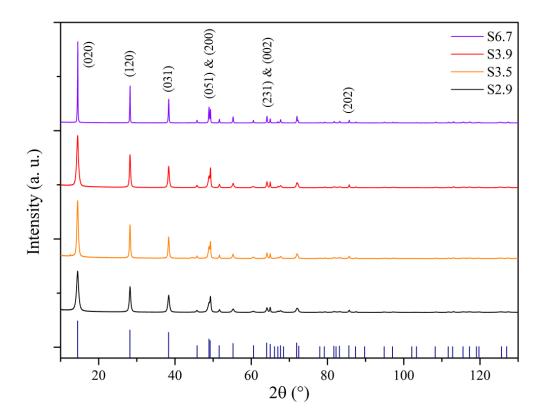


Figure 3: X-ray diffraction patterns of the four studied samples. In blue, boehmite peak positions and their theoretical intensities from the PDF file # 00-021-1307.

diffraction patterns of the as-synthesized powder are shown in Figure 3. They confirmed the synthesis of well-crystallized boehmite powders independently of the pH value. Using the PDF # 00-021-1307 file for an orthorhombic boehmite (space group *Cmcm*), plan identification of boehmite is assigned in Figure 3. Crystallized boehmite is described by a most intense (020) peak ($2\theta = 14.5^{\circ}$) followed by (021) ($2\theta = 28.2^{\circ}$) and (130) ($2\theta = 38.4^{\circ}$) peaks of half the intensity. A deep study of the crystal structure is proposed by simulating the experimental X-ray diffraction patterns thanks to the Rietveld refinement method. An example for the S2.9 sample is displayed in Figure 4. The accuracy of the Rietveld refinement presented is relatively good with a weighted-profile R-factor of 9%. Refined crystal parameters for all the studied powders are reported in Table 2.

Refined lattice parameters, and especially along the b-axis, do not show significant discrepancies showing that no atom or water molecule could be inserted within the structure. Guzmán-Castillo *et al.* have also made this observation [15]. As expected for anisotropic particles, peak broadening varies from peak to peak. In agreement with the scheme of a hexagonal grain and the electron diffraction pattern (Figure 2e) and f)), boehmite particles can be characterized by the (200), (020), (002) and (202) diffraction peaks observed at 49.3°, 14.5°, 65.0° and 85.7°, respectively.

The assumption of a parallelepipedic grain is done by taking into account the crystallite dimensions only along the [100], [010] and [001] directions to facilitate the study. Table 2

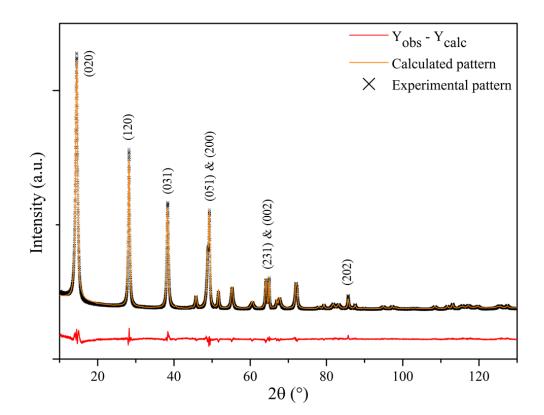


Figure 4: Rietveld refinement plot performed for the S2.9 X-ray diffraction pattern.

discloses estimated average crystallite dimensions using full width at half maximum (FWHM) from refinement output labelled l_{200} , l_{020} and l_{002} along the [100], [010] and [001] directions.

A.C.S. reported through Rietveld refinement are smaller than qualitative observations performed on the TEM images, which confirmed the polycrystalline nature of the powders. In contrast, the l_{020} length corresponds approximately to the measurements done on the crystallite thicknesses shown in Figure 2b) and c) for S3.5 and S3.9, respectively. These particles oriented parallel to the b-axis exhibit thicknesses less than 15 nm fitting with the 12 and 10 nm values estimated from Rietveld refinement. It suggests that polycrystalline particles are made of a unique layer of crystallites perpendicularly aligned to the b-axis.

S6.7 powder presents relatively large crystallites compared to the three others (at least twice the size along all crystallographic directions). For instance, S2.9 has l_{200} , l_{020} and l_{002} of 28 ± 5 nm, 10 ± 2 nm and 23 ± 6 nm while those of S6.7 are 47 ± 4 nm, 39 ± 4 nm and 47 ± 4 nm, respectively. The ratios between these particular distances report on specific growth rate. From acidic to near neutral conditions at a constant residence time, the ratio l_{200} / l_{020} is reduced from 2.8 to 1.2, l_{002} / l_{020} from 2.3 to 1.2 whereas l_{200} / l_{002} shows a slight decrease

Table 2: Refined parameters and residuals from the Rietveld refinement using sphericalharmonic peak shape functions. Calculation error values are mentioned in brackets.

Sample name	S2.9	S3.5	S3.9	S6.7
a (nm)	0.2868 (1)	0.2869 (1)	0.2868(1)	0.2868 (1)
b (nm)	1.2225 (1)	1.2221 (2)	1.2222 (1)	1.2219 (1)
c (nm)	0.3693 (1)	0.3694 (1)	0.3693 (1)	0.3694 (1)
R _{wp} (%)	9	8	10	11
l ₂₀₀ (nm)	28 (5)	19 (4)	17 (3)	47 (4)
l ₀₂₀ (nm)	10 (2)	12 (2)	10 (2)	39 (4)
l ₀₀₂ (nm)	23 (6)	18 (4)	17 (3)	47 (4)
l ₂₀₀ / l ₀₂₀	2.8	1.6	1.8	1.2

280 from 1.2 to 1.0.

l ₂₀₀ / l ₀₀₂	1.2	1.1	1.0	1.0
l ₀₀₂ / l ₀₂₀	2.3	1.5	1.8	1.2

Considering only a decrease of the pH, a preferential growth may be underlined along the [100] and [001] directions compared to the [010] one. In addition, crystallite dimensions, measured on TEM images (figure 2), of S3.5 powder with l_{200} , l_{020} and l_{002} of 19 ± 4 nm, $12 \pm$ 2 nm and 18 ± 4 nm, respectively, agreed with a non-significant impact of the residence time on particle growth.

Acidic conditions have led to hexagonal platelets whereas rhombic grains are produced in near neutral solutions. Morphology modification is correlated to pH and so is the variation of nitrates in the solution.

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290 *3.2* Adsorption of nitrates

Modification of boehmite morphology can be obtained through anisotropic adsorption of 291 292 chemical species, nitrates for instance. He et al. characterized sulfates adsorption on boehmite particles using Raman spectroscopy [32]. In the case of a sulfate H-bonded complex, a sulfate 293 would not form a direct chemical bond with the surface and the vibrational spectrum is very 294 similar to the spectra of sulfate in solution. Raman spectroscopy appears to be a suitable 295 technique to analyse chemical bonds of adsorbed species thanks to its high sensitivity to 296 molecule vibration. The low wavenumber region Raman spectra of the different boehmite 297 samples is reported in Figure 5. The 400 - 800 cm⁻¹ region consists of the hydroxyl 298 translation modes. In particular, the most intense peak at 359 cm⁻¹ corresponds to the Ag fully 299 symmetric mode due to the movement of aluminium and oxygen atoms parallel to the [010] 300 direction [33]. The AlO₆ octahedron structure causes two peaks at 494 and 674 cm⁻¹ [34,35]. 301 The small and sharp peak at 1049 cm⁻¹ which is slightly intense for S2.9 and S3.9 and almost 302 extinct for S6.7 is attributed to NO_3^{-1} vibrations [26,33]. Although Raman spectroscopy gives 303

304 qualitative information, this peak confirmed the presence of nitrates at the boehmite surfaces,

so especially on S2.9 and S3.9.

306 A further study at high wavenumber was performed. Results are presented in Figure 6. This

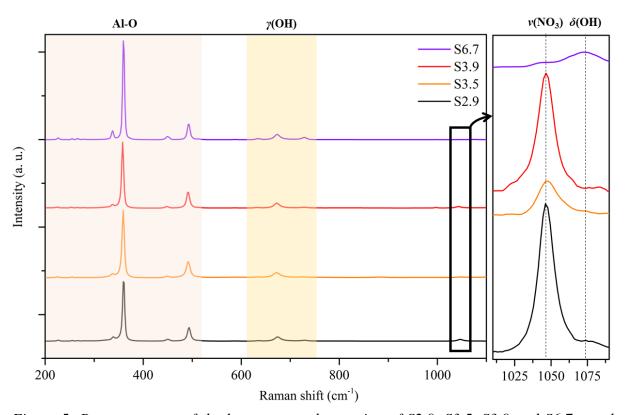


Figure 5: Raman spectra of the low wavenumber region of S2.9, S3.5, S3.9 and S6.7; on the left, from 200 to 1200 cm⁻¹; on the right, a focus on the 1020 - 1080 cm⁻¹ region. Each part of the spectra is described with its corresponding assignment.

region exhibited up to four bands at 3078, 3219, 3340, 3515 cm⁻¹ and eventually a shoulder at 3561 cm^{-1} . The two first peaks characterized the hydroxyl stretching vibrations within boehmite structure and are observable for all the samples [25,34,35].

The assignment above 3300 cm⁻¹ is extremely complex because of the intricate hydrogen bonding network [36]. This region is associated with the stretching vibrations of surface OH groups or residual water on the surfaces [25,37]. Bands at 3340, 3515 and 3561 cm⁻¹ are displayed for S2.9 and more particularly for S3.5. On the top of Figure 6, a spectrum of a boehmite sample synthesized in a batch reactor using gibbsite Al(OH)₃ as a precursor and so

without nitrates is depicted. This spectrum shows the structural hydroxyl stretching vibrations 315 between 3000 and 3300 cm⁻¹ but does not display any bands at higher frequency. 316 Consequently, bands above 3300 cm^{-1} may have a link with surface hydroxynitrates. 317 Observations done by Herzog-Cance et al. who studied the nitric acid autoprotolysis using 318 Raman spectroscopy confirmed the substantial correlation between these bands and nitrates 319 [38]. Thus, the band at 3340 cm^{-1} may correspond to the stretching contribution of weakly 320 bonded HNO₃ also named as "free" nitric acid. The greatest band at 3515 cm^{-1} could be 321 attributed to the stretching vibration of water associated with nitric acid molecules through the 322

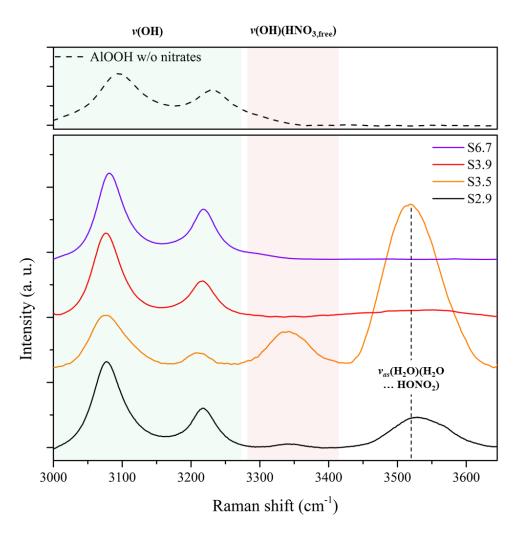


Figure 6: Raman spectra of the high wavenumber region from 3000 to 3650 cm⁻¹ of; on the top, a boehmite sample synthesized without nitrates; on the bottom, S2.9, S3.5, S3.9 and S6.7. Each part of the spectra is described with its corresponding assignment.

molecular association H_2O ... $HONO_2$ produced from the dissociation of the HNO_3 molecule [38]. The shoulder at 3561 cm⁻¹ may assimilate to differently bonded water molecules. Assignments of the Raman spectra indicated a strong adsorption of nitrate species, especially in acidic conditions. Bands correlated to nitrate signatures almost disappear for S3.9 and are inexistent for S6.7. Raman spectroscopy of S3.5 at a longer residence time has demonstrated many signatures of nitrates under various forms.

Nitrate adsorption occurred more under acidic conditions as shown in Raman spectroscopy results, leading to the formation of hexagonal particles. The next part will deal with the interaction between these specific morphologies and the adsorption of water molecules.

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333 *3.3* Adsorbed water determination

Nguefack *et al.* developed a model to investigate adsorbed water content on boehmite crystallites based on free sites available on each facet [39]. They obtained the maximum adsorption capability by expanding the coordination number of surface aluminium atoms to six and surface oxygen atoms to four. Stoichiometric water content adsorption x is calculated by dividing the number of free sites by the total number of atoms in the crystal. To estimate the free site number, the model uses the A.C.S. and lattice parameters refined from the

Table 3: Determination of the relative facet areas of the three mains faces (100), (010) and (001)

and of the overall adsorbed water calculated using the model of Nguefack et al. [38].

Rietveld method. The corresponding results are summarized in Table 3.

Sample name	S2.9	S3.5	S3.9	S6.7
S ₍₁₀₀₎ (%)	20	26	30	31
S ₍₀₁₀₎ (%)	56	45	41	38
S ₍₀₀₁₎ (%)	24	28	29	31
AlOOH.xH ₂ O	0.16	0.18	0.21	0.07

As stated above, the (010) facet is dominant with a relative surface area between 56 % and 38

342 % for S2.9 and S6.7, respectively. However, the basal surface decreases when the pH

becomes more neutral to the benefit of the (100) and (001) facets. This is in agreement with the observation of the Rietveld refinement with the inhibition of (100) and (001) facets in acidic conditions. Stoichiometric water content increased from 0.16 to 0.21 following the inverse of the (010) relative facet area.

S3.5 sample follows the same tendency and does not show a significant effect of residence
time. Bigger crystallites obtained for S6.7 have less adsorption sites in proportion to the total
number of atoms in the crystal and so less water is adsorbed following this model.

To sum up, a raise of the pH from 2.9 to 3.9 is followed by a slight increase of water content whereas a further increase of the pH to 6.7 generated a drastic reduction of water content.

As explained in the introduction, two possibilities have been suggested for the location of water. The first assumption was inside the structure along the b-axis. However, the Rietveld refinement revealed a restricted variation of the crystal dimension along the b-axis, insufficient to insert any atoms within the structure. Consequently, the excess of water is probably adsorbed on the crystal surfaces.

357 3.4 Investigation of surface species and their chemical environment

Table 4: Summary of the C_{1s} , Al_{2p} and O_{1s} spectra description with the full width at half maximum (FWHM), the atomic proportions of each environment and the ratio between

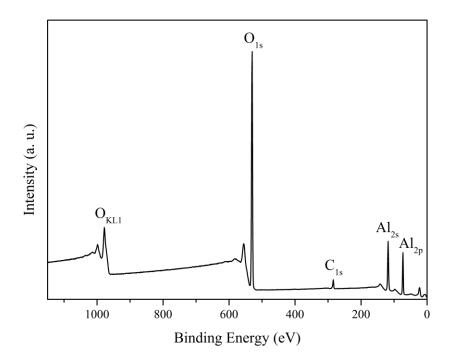


Figure 7: XPS survey spectrum of the boehmite S2.9 sample.

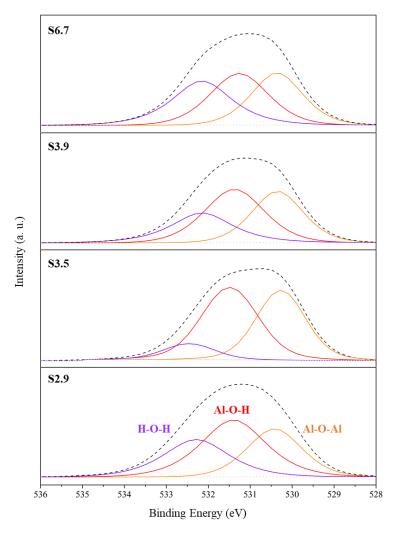
and the adventitious C between 4.2 and 9.7 at. %. This is confirmed by the Al/O ratio equal to 0.5. In addition, no significant shift of the Al_{2p} binding energies is observed with a position at approximately 74.0 and 74.1 eV meaning that the oxidation state of Al atoms is stable for all the samples.

Sample name		S2.9	S3.5	S3.9	S6.7
FWHN	A (± 0.1 eV)				
Al_{2p}		1.8	1.4	1.5	1.5
	Al-O-Al	1.6	1.4	1.5	1.5
O_{1s}	Al-OH	1.9	1.6	1.7	1.8
	Ads. H_2O	1.9	1.4	1.7	1.8
Atomi	c proportions (±	0.1 %)			
C _{1s}		6.2	4.2	6.5	9.7

Al_{2p}		31.3	33.3	31.3	29.9
	Al-O-Al	18.3	25.7	19.8	20.5
O_{1s}	Al-OH	26.6	30.7	22.1	24.8
10	Ads. H_2O	17.7	6.1	20.4	15.1
Ratio					
$\overline{\mathrm{Al}_{2p}}/\mathrm{O}$	1s	0.5	0.5	0.5	0.5
$O_{1s}(Ads. H_2O/Al-O-Al)$		1.0	0.2	1.0	0.7
O _{1s} (Al-OH/Al-O-Al)		1.5	1.2	1.1	1.2

363 Figure 8 exhibits the high-resolution O_{1s} spectra of the four samples fitted into three

364 components. The first contribution at 530.4 ± 0.1 eV is attributed to the Al-O-Al structure, the



*Figure 8: Fitted XPS O*_{1s} spectra of S2.9, S3.5, S3.9 and S6.7 into three contributions: Al-O-Al structure in orange, structural hydroxyls in red and adsorbed water in purple.

365 second one at 531.4 \pm 0.1 eV to the Al-O-H within the crystal and the third one between 532.2 \pm 0.1 eV and 532.5 \pm 0.1 eV to the adsorbed water on the surface [40]. Peak broadening 366 provides information on the structure disorder. The wider is the peak broadening, the more 367 disordered the local atom environment is. Al_{2p} and O_{1s} peak broadenings follow the same 368 trend and decrease with pH. For instance, Al_{2p} peak broadening changes from 1.8 eV to 1.5 369 370 eV for S2.9 and S6.7, respectively. S3.5 sample exhibits the more ordered structure with the lowest peak broadening for aluminium. This means that an increase in the residence time to 371 60 s does not promote the particle growth but improves the local structure order. The 372 contribution of ads. H₂O revealed some variations of the adsorbed water on the boehmite 373

surfaces. Indeed, S3.9 has the highest adsorbed water contribution with 20.4 at.%. In comparison, the lowest is contained on S3.5 surfaces with only 6.1 at.%. The study of the ratios between these contributions makes it possible to get rid of the impurity effects such as carbon. The adsorbed water content is quite similar for both S2.9 and S3.9 with a ratio $\frac{O_{1s}(Ads. H_2O)}{O_{1s}(Al=O-Al)}$ close to 1 and is reduced down to 0.7 for S6.7 at high pH.

However, an increase in the residence time with \$3.5 proposed to reduce significantly this 379 ratio and so the adsorbed water content to 0.2. These observations are almost in agreement 380 with the adsorption site calculation (Table 3) except for S3.5. The ratio $\frac{O_{1s}(Al-OH)}{O_{1s}(Al-O-Al)}$ is 381 expected to be nearly 1 according the AlOOH chemical formula [40]. This ratio is about 1.2 382 383 for S3.5, S3.9 and S6.7 and raised to 1.5 for S2.9, highlighting an excess of surface hydroxyls in these conditions. The depth probed by XPS (≈ 3 to 5 nm) coupled with crystallite thickness 384 near 10 nm for S2.9, S3.5 and S3.9 likely might overestimate the adsorbed water and surface 385 hydroxyl compared to thicker crystallites of S6.7. 386

Even if the assignment of ¹H resonance lines is very challenging, ¹H Solid-State NMR spectra
of the S2.9 and S3.5 samples confirmed the observations done using XPS and summarized in
Figure 8.

In Figure 9, the ¹H MAS NMR spectrum of S3.5 can be described as the sum of four main 390 resonance lines centered at 1.2, 3.5, 7.1 and 10.4 ppm. Peaks at 7.1 and 10.4 ppm are 391 tentatively assigned to structural octahedral Al₂OH sites which involve different strength of 392 bonds while the one located at 3.5 ppm is attributed to octahedral AlOH sites [41]. The 393 narrow resonance line at 1.2 ppm is often referred as another contribution of octahedral AlOH 394 sites. The slight variation in ¹H NMR shifts between different authors is likely due to 395 difference in morphology of boehmite particles [42]. In comparison, an additional peak at 4.7 396 ppm is exhibited for the sample S2.9. This contribution counting for 25 % of the overall 397

resonance area coincides undoubtedly to the protons of "physisorbed" water on boehmite particles [41,42].

400 As stated previously, A.C.S. plays an important role but are insufficient to explain all the 401 involved surface reactions. Especially, the local environment order would appear to have a 402 major impact.

403

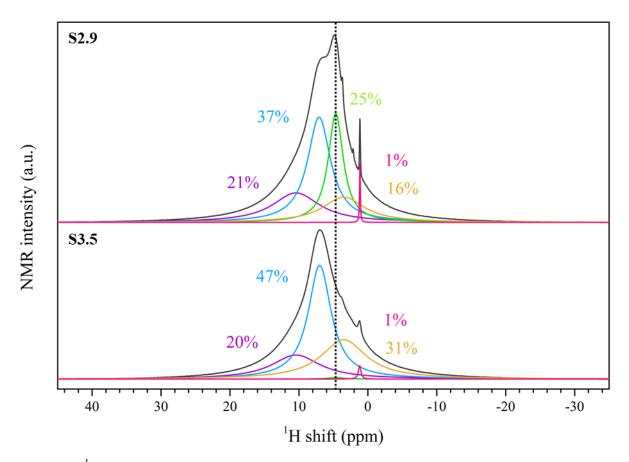


Figure 9: ¹H MAS NMR spectra of boehmite samples S2.9 and S3.5. Fitted curves with the respective contributions in percentage are presented. The dashed line corresponds to the weakly bonded water.

404

405 **4. Discussion**

Firstly, the discussion is focused on the effect of pH considering the samples S2.9, S3.9 andS6.7. pH has a great impact on the fluctuation of the boehmite particle morphology

synthesized using the continuous supercritical hydrothermal process. Indeed, a highly acidicpH or a neutral one will give anisotropic hexagonal-plate and rhombic particles, respectively.

410 Boehmite synthesis, described by the chemical reaction below, produces also HNO₃ [43].

$$Al(NO_3)_3 + 2H_2O = AlOOH + 3HNO_3 \#(1)$$

These HNO₃ molecules may adsorb on boehmite particle surfaces. Raman spectroscopy 411 underlined the strong presence of nitrates on the hexagonal-plate boehmite powders (Figure 5 412 413 and Figure 6). In acidic conditions, the anion adsorption is favored due to the positive charges at the boehmite surface determined with a zeta potential study considering an isoelectric point 414 415 of boehmite between 7 and 9.2 depending on the morphology and the particle size [44,45]. On the contrary, at near neutral pH, there is no adsorbed anions leading to no or a little 416 preferential growth and so to large rhombic particles. The less acidic the solution is, the less 417 anion adsorption will occur. Furthermore, the anion adsorption may be surface-dependent. 418 Nitrates seem to adsorb preferentially on (020) surface resulting in a preferential growth along 419 the [001] and mostly [100] directions. Consequently, the relative (020) surface is increased 420 compared to the edge surfaces (Table 3). 421

According to the model developed by Nguefack et al., the less prominent the (020) relative 422 surface is, the less water is adsorbed on the boehmite surfaces. The XPS results tend to this 423 conclusion too with a strong reduction of the adsorbed water content for pH from 2.9 to 6.7. 424 This is directly linked to the crystal structure of boehmite. As the octahedral double layer 425 interactions are weak in the boehmite structure, the crystal is easily cleaved between these 426 layers causing (010) surfaces to be covered by hydroxyl groups [11,12]. However, the 427 difference is not significant between pH values equal to 2.9 and 3.9, which could be explained 428 by a reduction of the available sites for water adsorption. Even if the sample S2.9 has more 429 adsorption sites, they are probably mostly filled with nitrate species. In addition, a very low 430

pH seems to promote a dissociative adsorption of water resulting in more surface hydroxylgroups.

Secondly, the residence time does not significantly influence the morphology. In acidic 433 conditions, hexagonal-plate particles with the same range of size were synthesized at longer 434 residence time (60 s instead of 20 s). Surprisingly, this sample exhibits a very small adsorbed 435 water content, lower than the one of sample done at pH 6.7, which is totally at odds with the 436 model of Table 3. The increase of the residence time implies a real improvement of the local 437 structure environment (Table 4, see FWHM). In this case, oxygen with a free orbital will form 438 a ligand between an aluminium atom and the non-reacted nitrates in solution. Thus, nitrate 439 hydroxyls such as Al₂O₂(OH)NO₃ can be formed at the surfaces preventing further adsorption 440 of water [46]. These species may have the time to be stabilized and so to reduce the local 441 disorder. 442

Surfaces may be fully adsorbed by H-bonded liquid HNO₃. During the synthesis, produced nitric acid in the fluid will react with the surface of the particles and especially at low pH. The strong peak at 3515 cm⁻¹ in Figure 6 may prove that the molecular association H₂O ... HONO₂ is weakly bonded at the surface of boehmite. The intensity of this peak differs from the small content of adsorbed water observed using XPS and ¹H MAS NMR spectroscopy. As a result, the residence time increase may promote the adsorption of hydroxynitrates combined with the one of liquid HNO₃ leading to the full filling of the free adsorption sites of boehmite.

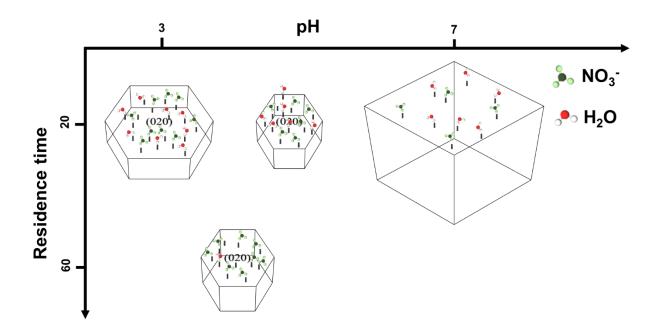


Figure 10: Schematic representation of the adsorption phenomena on different morphologies of boehmite.

450 The scheme displayed in Figure 10 summarizes the discussion.

From the pH variation, two factors are pointed out on the adsorbed water modification. A
weakly alkaline pH will give rhombic grains with a little relative (020) surface. This will lead
to a reduced adsorbed water content validated by XPS results (Figure 8).

In the case of an acidic environment, it is more complex. The decrease of the pH will allow the production of hexagonal-plate grains associated with an increase of the relative (020) surface area (Table 3). Nevertheless, the more acid the environment is, the more nitrates will be adsorbed (Figure 5). These molecules may block the further adsorption of water at the surface of boehmite particles.

459 Decrease of the available adsorption sites at high residence time is due to the improvement of460 the intricate structure with the hydroxynitrates and of the HNO₃ content at the surface.

461 Finally, the prediction of the adsorbed water quantity is very tricky. Indeed, it involves

462 complex interdependent phenomena linking the growth along specific directions, anisotropic

463 surface reactions of chemical species such as anions and available adsorption free sites.

464

465 **5.** Conclusion

This study investigated the adsorption of water on various morphologies of boehmite particles synthesized using the continuous supercritical hydrothermal synthesis. The use of this process allowed to synthesize pure and crystalline submicrometer boehmite particles. pH modification from 2.9 to 6.7 offered a way to tune the particle morphologies from hexagonal platelets to rhombic grains and the sizes of the particles. In fact, the anisotropic nature of boehmite results in a surface-dependent anion adsorption. Nitrates were adsorbed preferentially on the (020) surface leading to a preferential growth along [001] and mostly [100] directions.

Our results showed that the adsorption of water cannot be characterized only by a morphological analysis. It depends substantially on two phenomena. First, the more ordered the crystal structure is, the less the adsorbed water will be. XPS analysis combined with ¹H Solid-State NMR experiments have effectively highlighted the very small amount of adsorbed water on well-ordered boehmite particles. Secondly, in acidic conditions, anions such NO₃⁻ are attracted to the boehmite surfaces leading to the diminution of the adsorption sites as shown by Raman spectroscopy.

480 Then interaction between the boehmite morphology and the adsorption of water is very 481 difficult to be predicted because it is correlated with different parameters such as crystallite 482 size, structural order and other adsorbed species such as nitrates.

483

484 **Declaration of Competing Interest**

The authors declare that they have no known competing financial interests or personalrelationships that could have appeared to influence the work reported in this paper.

487

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- 493

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