

## cIAP1/TRAF2 interplay promotes tumor growth through the activation of STAT3

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29 Abstract

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31 Cellular inhibitor of apoptosis-1 (cIAP1) is a signaling regulator with oncogenic properties. It is involved in the 32 regulation of signaling pathways controlling inflammation, cell survival, proliferation, differentiation and motility. It is 33 recruited into membrane-receptor associated signaling complexes thanks to the molecular adaptor TRAF2. However, the cIAP1/TRAF2 complex exists, independently of receptor engagement, in several subcellular compartments. The 34 35 present work strengthens the importance of TRAF2 in the oncogenic properties of cIAP1. cIAPs-deficient mouse 36 embryonic fibroblasts (MEFs) were transformed using the HRas-V12 oncogene. Re-expression of cIAP1 enhanced 37 tumor growth in a nude mice xenograft model, and promoted lung tumor nodes formation. Deletion or mutation of 38 the TRAF2-binding site completely abolished the oncogenic properties of cIAP1. Further, cIAP1 mediated the clustering 39 of TRAF2, which was sufficient to stimulate tumor growth. Our TRAF2 interactome analysis showed that cIAP1 was 40 critical for TRAF2 to bind to its protein partners. Thus, cIAP1 and TRAF2 would be two essential subunits of a signaling complex promoting a pro-tumoral signal. cIAP1/TRAF2 promoted the activation of the canonical NF-KB and ERK1/2 41 42 signaling pathways. NF-kB-dependent production of IL-6 triggered the activation of the JAK/STAT3 axis in an autocrine 43 manner. Inhibition or downregulation of STAT3 specifically compromised the growth of cIAP1-restored MEFs but not 44 that of MEFs expressing a cIAP1-mutant and treating mice with the STAT3 inhibitor niclosamide completely abrogated 45 cIAP1/TRAF2-mediated tumor growth. Altogether, we demonstrate that cIAP1/TRAF2 binding is essential to promote 46 tumor growth via the activation of the JAK/STAT3 signaling pathway.

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53 Introduction

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55 Cellular Inhibitors of apoptosis 1 and 2 (cIAP1/2) and tumor necrosis factor-associated factors 2 (TRAF2) were purified together in 1995 by Rothe et al. in a pull-down experiment aiming to identify cytoplasmic components of the tumor 56 57 necrosis factor receptor-2 (TNFR2) complex (1). cIAP1/2 are RING containing-E3-ubiquitine ligases belonging to the 58 IAP (Inhibitor of apoptosis) family (2). They catalyze the conjugation of ubiquitin chains of different topologies 59 including K6-, K11-, K27-, K48- and K63-linked chains resulting in modification of the stability, activity or interaction 60 network of protein substrates. Their ubiquitination substrates are specifically recruited thanks to the presence of three conserved protein-protein interacting domains named BIRs (Baculoviral IAP repeats) at the N-terminal extremity. 61 62 More than thirty substrates have been identified so far (3). They comprise cell death regulators such as the IAP 63 antagonist Smac, cell signaling intermediates including receptor-interacting kinases (RIPs), NF-kB-inducing kinase (NIK) 64 and raf proto-oncogene serine/threonine protein kinase (Raf1), regulators of cellular architecture such as some GTPases from the Rho family and transcription factors including E2F1, the Interferon Regulatory Factor 1 (IRF1) and 65 the C/EBP homologous protein (CHOP) (for a review, see(3)). Thus, cIAPs have been involved in the regulation of 66 67 inflammation, cell differentiation, cell death, cell proliferation and cell migration. cIAP1 is more stronger expressed 68 that cIAP2 in most tissues, except in bone marrow and lymphoid tissues in which cIAP2 is predominant (4). Consistent 69 with its functions in promoting cell survival and proliferation, oncogenic properties of cIAP1 have been demonstrated 70 in several mouse models (5-7).

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As cIAP1, TRAF2 is a RING-containing protein. However, its E3-ligase activity seems relatively weak (8, 9). It is considered as a molecular adaptor bridging signaling molecules to receptors (10). It can directly or indirectly bind certain members of TNFR including TNFR1, TNFR2, CD30, CD40 and B-cell activating factor (BAFF-R), toll-like receptor-4 (TLR4), some nucleotide binding-oligomerization domain (NOD)-like receptors (NLRs), retinoic acid-inducible gene I (RIG-1)-like receptors (RLRs) and some cytokine receptors (10). TRAF2 serves as an intermediate for the recruitment of cIAPs into receptor-associated complexes. However, the cIAP1-TRAF2 complex has also been observed in the cytoplasm as well as in the nucleus, independently of receptor engagement (8, 11-14). 79 Here, by using HRas-V12-transformed mouse embryonic fibroblast (MEF) model, we demonstrated that TRAF2 binding 80 was required for cIAP1 pro-tumoral activity. cIAP1 induced the clustering of TRAF2, which was sufficient to engage 81 pro-tumoral signaling pathways independently of receptor engagement. A novel function for cIAP1 is therefore 82 identified that appears essential for TRAF2 to associate with its protein partners. As expected, cIAP1/TRAF2 complex 83 promoted the activation of the canonical NF-κB signaling pathway. Among mitogen-activated protein kinase (MAPK) signaling pathways, it stimulated ERK1/2 whereas it inhibited p38 and did not affect JNK pathway. cIAP1/TRAF2 84 85 promotes NF-kB dependent production of IL-6 that activates JAK2/STAT3 signaling pathway in an autocrine manner. 86 Finally, we demonstrated for the first time that STAT3 activation was critical for the tumor-promoting activity of cIAP1/TRAF2. 87

- 88
- 89
- 90 <u>Results</u>
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1. Binding to TRAF2 is critical for the oncogenic activity of cIAP1.

We generated a cIAP1-deficient tumor model by transforming immortalized wt, *clap1<sup>-/-</sup>* (SKO) or *clap1<sup>-/-</sup>/clap2*<sup>-</sup> 93 94 <sup>7</sup> (DKO) mouse embryonic fibroblasts (MEFs) (from J. Silke & D. Vaux's laboratories) by the HRas-V12 oncogene (Fig. 95 1A). Deletion of cIAP1 slowed down tumor growth in a xenograft model (Fig. 1B) and decreased the formation of lung 96 cancer foci when the cells were injected into the tail vein (Fig. 1C). Double deletion of cIAP1 and cIAP2 amplified the 97 phenomena (Fig. 1B and 1C). Rescue experiments demonstrated that restoring cIAP1 in single-knockout (SKO) or 98 double-knockout (DKO) cells (Fig. 1D) significantly enhanced tumor cell growth (Fig 1B & 1E) confirming the oncogenic 99 properties of cIAP1. We next stably expressed cIAP1 mutants unable to bind TRAF2 in Hras-V12-transfomed DKO-MEFs (Fig. 1F). The mutants previously validated (11) are cIAP1<sup>ΔBIR1</sup> in which the BIR1 has been deleted, and cIAP1<sup>L47A</sup> mutant 100 in which a point mutation within the BIR1 has been introduced. We checked that these mutants lost their ability to 101 102 bind TRAF2 (Fig S1A) and conserved their full E3-ligase activity by treating the cells with the smac mimetic GDC-0152, 103 known to stimulate the self-ubiquitination and degradation of cIAP1 (Fig S1B). Deletion of the BIR1 as well as mutation 104 of Leucine 47 were sufficient to completely abolish the oncogenic activity of cIAP1, as demonstrated both in our 105 xenograft model (Fig 1G) and in the experimental metastasis model (Fig 1H). Re-expression of cIAP1 into DKO also 106 increased the number of the colonies formed in soft agar medium and deletion of BIR1 abolished the capacity of cIAP1

to stimulate anchorage-independent cell growth (Fig 1I). By comparison, deletion of BIR2 (ΔBIR2) did not significantly alter the clonogenic properties (Fig 1I) of cIAP1. Of note, the mutant ΔBIR1, devoid of oncogenic properties, was strongly expressed compared to the native full length cIAP1 (Fig 1F, S1B). These results demonstrate that TRAF2 binding is required for cIAP1 to stimulate tumor growth. It is worth noting that we were unable to obtain TRAF2 depleted MEFs (either by guide-RNA genome editing or shRNA), suggesting that the deletion of TRAF2 caused cell death as already reported (15).

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#### **2.** Clustering of TRAF2 is sufficient to stimulate tumor growth

An immunofluorescence analysis demonstrated the presence of cytoplasmic TRAF2 clusters in DKO-cIAP1 but 115 not in DKO-cIAP1<sup>ΔBIR1</sup> (Fig 2A). Whereas these clusters did not colocalize with markers of calveola (calveolin-1), golgi 116 117 apparatus (GM130) or lysosomes (LAMP-1), they colocalized with the endoplasmic reticulum marker PDI (Fig 2B). Structural analyzes demonstrated that the BIR1 of cIAPs can bind a TRAF1/2 trimer (16, 17). We hypothesized that 118 119 cIAP1 could trigger the oligomerization of TRAF2 required for partner binding and the activation of downstream signaling pathways (18). We forced the trimerization of TRAF2 by expressing isolated BIR1 (iBIR1) in DKO-MEFs using 120 a retroviral infection (Fig 2C). As expected, we observed the clustering of TRAF2 in iBIR1-expressing cells (Fig 2A). The 121 expression of iBIR1 increased the clonogenic growth of cells, forming large and dense colonies (Fig 2D) and was as 122 efficient as cIAP1 to stimulate the tumor growth in mice (Fig 2E). Expression of iBIR1 in wt MEFs also stimulated tumor 123 growth (Fig S2A), although the presence of iBIR1 strongly sensitized cells to  $TNF\alpha$ -induced cell death (Fig S2B) as a 124 result of a competitive inhibition of cIAP1-TRAF2 association (Fig S2C). These results suggest that TRAF2 clustering is 125 sufficient to engage pro-tumoral signaling pathways, independently of TNFR stimulation. 126

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#### 128 **3.** cIAP1 is critical for TRAF2 interactome.

We then investigated the influence of cIAP1 on TRAF2 protein-interacting network. The TRAF2 interactome was analyzed in cell treated with the smac mimetics GDC0152 that completely depleted cIAP1 whereas it weakly enhanced cIAP2 and XIAP and did not affect TRAF2 protein levels (Fig 3A). TRAF2 partners were identified by performing immunoprecipitation (Fig S3A) followed by mass spectrometry analysis (Table S1). The data were analyzed using the functional enrichment analysis tool (FunRich) and the UniProt database. We detected 419 to 797 hits in the untreated cells (Fig 3B). As expected, we found TRAF1 and cIAP1 (BIRC2), which was the only IAP detected in the screen (Fig 3C).

In accordance with its role as a signaling adaptor, we observed an enrichment of TRAF2 partners in the membrane, 135 protein-containing complex and membrane raft compartments (Fig 3D). However, TRAF2 partners were also enriched 136 137 in the cytoplasm, cytosol and nucleus (Fig 3D, S3B). Concerning biological processes, as expected, TRAF2 partners 138 were enriched among proteins involved in TNF-mediated, NF-kB and MAPK-signaling pathways, apoptosis regulation, 139 proteins ubiguitination and regulation of protein turn-over (protein stabilization and ubiguitin/proteasome system) 140 (Fig 3E, S4). Interestingly, TRAF2 partners were also significantly enriched among proteins involved in epithelial cell differentiation-related pathways (Fig 3E, S4). Use of the FunRich database confirmed a strong enrichment of TRAF2 141 142 partners in the ErbB-mediated signaling network, compared to that observed in the TNFR-signaling pathway (Fig S5). Moreover, TRAF2 partners were enriched in cdc42-associated signaling pathways but not in RhoA or Rac1-related 143 144 signaling events (Fig 3C, S5), which is consistent with our previous work showing that the cytoplasmic cIAP1/TRAF2 145 complex controls the activation cycle of cdc42 RhoGTPase in unstimulated fibroblasts (11).

cIAP1 depletion drastically decreased (about 70%) the number of hits (Fig 3B, 3F). However, it did not affect
 the binding of TRAF2 to TRAF1 (Fig 3C) and it did not significantly modify the distribution of TRAF2 partners in the
 different subcellular compartments, biological processes or signaling pathways (Fig 3D, 3E, S3B, S4, S5). Nevertheless,
 we observed a trend towards increased TRAF2 partners in negative regulation of apoptosis and protein stabilization
 pathways (Fig 3E & S4). Thus, cIAP1 appears critical for the binding of TRAF2 to its protein partners.

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# 4. cIAP1/TRAF2 induces the activation of NF-κB and ERK1/2 signaling pathways in H-Ras-V12 transformed MEFs.

We analyzed the signaling pathways activated in HRas-V12-transformed MEFs. As expected, cIAP1-expressing 154 MEFs displays a downregulation of I $\kappa$ B- $\alpha$  (Fig 4A) that characterizes the activation of the canonical NF- $\kappa$ B signaling 155 pathway. This marker was not observed in the mutant cIAP1-expressing cells (Fig 4A). Accordingly, an RT-PCR array 156 showed a general upregulation of NF-κB-target genes in wt cIAP1-expressing MEFs compared to cIAP1<sup>ΔBIR1</sup>-expressing 157 158 cells (Fig 4B). On the other hand, the p52 NF-κB active-subunit that is mainly expressed in the nuclei-enriched fraction in DKO cells was found in the cytoplasm in cIAP1-expressing MEFs and even more in cIAP1<sup>ΔBIR1</sup>-expressing MEFs 159 demonstrating that cIAP1 or its mutant inhibited the non-canonical NF-KB activating pathway (Fig 4C). Among MAPK 160 signaling pathways, expression of cIAP1 but not cIAP1 mutants simulated ERK signaling characterized by ERK1/2 161 162 phosphorylation, decreased phosphorylation of p38 and did not affect the c-Jun N-terminal kinase (JNK) signaling

pathway (Fig 4A). Of note, the expression of cIAP1 or its ΔBIR1 mutant did not significantly modify the expression level
 of TRAF2 and RIP1 (Fig 4A).

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# 166 5. cIAP1/TRAF2 promotes NF-κB-dependent production of IL-6 leading to an activation of JAK/STAT3 167 signaling pathway in an autocrine manner.

We observed the activation of JAK2 and STAT3 characterized by their phosphorylation at Y1008 and Y705 168 residue respectively in cIAP1-expressing MEFs but not in cells expressing cIAP1 mutants (Fig 5A & B). Silencing of TRAF2 169 decreased cIAP1-mediated STAT3 phosphorylation, confirming the importance of TRAF2 in this process (Fig 5C). We 170 then analyzed the contribution of NF-κB and ERK signaling pathway in STAT3 activation. Inhibition of the NF-κB 171 172 signaling pathway by Bay-11-7082 prevented STAT3 phosphorylation while inhibition of the MEK/ERK axis by 173 trametinib did not modify it (Fig 5D), demonstrating a NF-кB-dependent activation of STAT3 pathway. Conversely, the 174 STAT3 inhibitor niclosamide did not modify ERK and weakly decreased IκB-α phosphorylation (Fig 5D). Trametinib did 175 not interfere with IκB-α phosphorylation and Bay-11-7082 did not modify ERK phosphorylation (Fig 5D), showing that the 2 pathways were activated independently. JAK2/STAT3 signaling axis can be activated by stimulation of cytokine-176 or growth factor receptors such as IL-6R or EGFR. Consistent with the activation of NF-κB, cIAP1 expression induced 177 upregulation of the NF-κB target gene *IL-6* which is inhibited by the NF-κB inhibitor Bay-11-7082 but not by the MEK 178 inhibitor Trametinib (Fig 5E). In contrast, the expression of cIAP1<sup>ΔBIR1</sup> was not able to stimulate *IL-6* expression (Fig 5E). 179 Inhibition of IL-6 by an anti-IL-6 neutralizing antibody completely blocked STAT3 phosphorylation in cIAP1-restored 180 MEFs (Fig 5F). Altogether, these results demonstrated that the expression of cIAP1 stimulated the NF-KB-dependent 181 182 production of IL-6 that induced an autocrine activation of the JAK2/STAT3 signaling pathway. By analyzing available cancer genome atlas (TCGA) dataset of the national cancer institute (NIH) using the gene expression profiling 183 interactive analysis software (GEPIA)(19), we observed a significant correlation between the cIAP1-encoding BIRC2 184 and IL6 gene expression in lung adenocarcima (LUAD), breast invasive carcinoma (BRCA) and thyroid carcinoma (THCA) 185 186 (Fig 5G). In contrast, no correlation between TNF and BIRC2 expression was detected (Fig S6). We then investigated the role of cIAP1 and TRAF2 in JAK/STAT3 signaling in the lung adenocarcinoma cell line A549 (Fig 5H & I). Silencing of 187 cIAP1 or TRAF2 inhibited basal JAK2 and STAT3 phosphorylation (Fig 5H). Similarly, depletion of cIAP1 by GDC-0152 188 inhibited JAK2 and STAT3 phosphorylation in a dose-dependent manner (Fig 5I). 189

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The JAK/STAT3 signaling pathway is involved in cIAP1-mediated tumor growth.

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We then evaluated the contribution of STAT3 and MEK/ERK signaling pathways in cIAP1/TRAF2 mediated-tumor 193 194 growth using the STAT3 inhibitor niclosamide and the MEK inhibitor trametinib. Niclosamide or trametinib were daily 195 injected intraperitoneally when the tumors reached a volume of approximatively 100 mm<sup>3</sup>. Niclosamide treatment selectively slowed down the growth of DKO-cIAP1 MEFs in mice, neutralizing the growth advantage given by cIAP1 196 expression, whereas it did not modify the growth of cIAP1<sup>ΔBIR1</sup> expressing MEFs (Fig 6A & B). In contrast, trametinib 197 inhibited the growth of both MEFs expressing cIAP1 and the ΔBIR1 mutant (Fig 6C & D), which is consistent with the 198 199 role of MEK/ERK signaling pathway in the transforming activity of HRas-V12. We then confirmed the importance of 200 STAT3 in the growth/survival of cIAP1-expressing MEFs in a clonogenic and crystal violet assay (Fig 6E-G). Inhibition 201 of STAT3 by niclosamide abolished the clonogenic advantage of cIAP1-reconstituted MEFs (Fig 6E) and siRNAmediated-silencing of STAT3 did not affect the survival of DKO but selectively decreased cIAP1-expressing DKO MEFs 202 203 count (Fig 6G).

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205 Discussion:

TRAF2 serves as an adaptor protein to bring the cIAP1 E3-ubiquitine ligase closer to its substrates. It can also stabilize cIAP1 protein by blocking its autoubiquitination and degradation (20) and, in some situations, it can activate its E3-ubiquitine ligase activity through K63-linked ubiquitination (21). Conversely, cIAP1 can regulate TRAF2 stability by controlling its ubiquitin-proteasome system (UPS)-mediated degradation (14, 22, 23). In the present work, we extend our understanding of cIAP1's hold over TRAF2 by showing that cIAP1 is critical for TRAF2 binding to its protein partners.

The function of TRAF2 as a molecular adaptor allowing the recruitment of signaling molecules into receptorassociated signaling complexes has been well reported. However, there is converging evidences pointing at the existence of a cIAP1-TRAF2 complex in the cytoplasm and nucleus of unstimulated cells. Shu *et al.* demonstrated in 1996 that cIAP1-TRAF2 binding occurs in the cytoplasm and that they are recruited together to TNFR1-associated complex in TNFα-stimulated cells (24). The TRAF2 protein has a mushroom-like shape. The cap is formed by the Cterminal half of the conserved TRAF domain (called TRAF-C) that mediates binding to receptors, and the stem is formed

by the coiled-coiled N-terminal half of the TRAF domain (TRAF-N), the zing fingers and a N-terminal RING domain 218 219 required for downstream signaling (18, 25). Whereas the mechanisms of interaction of TRAF2 with receptors have 220 been the subject of numerous studies, the binding of TRAF2 with signaling molecules is much less clear. The trimeric 221 form of TRAF2 binds to activated trimeric receptor (26-28). By contrast, a TRAF2 trimer interacts with only one cIAP1 222 molecule (16, 17). The oligomerization of the amino-terminal half of TRAF2 is also necessary for the recruitment of downstream signaling effectors (18). The oligomerization site is located in the coiled-coiled TRAF-N domain (26). Of 223 interest, cIAP1 binds a motif called CIM (cIAP1/2-interacting motif) found at the N-terminal extremity of the TRAF-N 224 (16). We may hypothesize that cIAP1 primes TRAF2 for its binding to partners, whether receptors or signaling effectors, 225 by maintaining it in a proficient oligomerized form. Accordingly, we show here that a forced oligomerization of TRAF2 226 227 induced by expressing the cIAP1-BIR1 domain is sufficient to engage tumor promoting signaling pathways. Our 228 proteomic analysis revealed that, in the presence of cIAP1, TRAF2 could bind proteins found in different subcellular 229 compartments and involved in different cellular processes. The large number of partners found in the screen suggest 230 that many proteins could potentially be substrates of the cIAP1-TRAF2 E3-ubiquitine ligase complex. Among the TRAFs, we found TRAF1, which is known to bind TRAF2 to form a TRAF1: (TRAF2)<sub>2</sub> heterotrimer displaying a higher affinity for 231 cIAP2-BIR1 than the TRAF2 homotrimer (17). Interestingly, depletion of cIAP1 did not affect TRAF2-TRAF1 interaction. 232 233 Since the analysis has been performed in unstimulated cells, we did not detect receptors in the screen. As expected, TRAF2 partners included proteins involved in TNFR-mediated signaling pathways such as NF-kB, MAPK or apoptosis 234 regulation. In accordance with the E3-ubiquitine ligase activity of the cIAP1/TRAF2 complex, we also found an 235 enrichment of TRAF2 partners involved in ubiquitination-related processes. Interestingly, we observed a strong 236 237 enrichment of TRAF2 partners among proteins of signaling networks of the ErbB receptors which are activators of the STAT3 axis. Although an interaction of TRAF2 with ErbB receptors has never been observed, a role for TRAF2 in EGF-238 239 mediated tumor growth has been reported (29).

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We demonstrated that TRAF2 is required for the oncogenic activities of cIAP1 in HRas-V12 expressing cells. The expression of cIAP1 appeared altered in a number of human tumor samples and its overexpression has been correlated with advanced progressive disease, aggressiveness and poor prognosis or low response to treatment (for review, see (30)). Its oncogenic properties have been clearly demonstrated in murine models. In 2006, Zender *et al.* demonstrated that the overexpression of cIAP1 facilitated the growth of p53<sup>-/-</sup>, c-myc-expressing hepatocarcinoma cells in

immunodeficient recipient mice. Inversely downregulation of cIAPs in hepatocarcinoma cells that display a recurrent 246 247 amplification at the chromosome region containing cIAP1, cIAP2 and Yap1-encoding genes (9qA1 amplicon) selectively slowed down tumor growth (5). Similarly, Ma et al. showed that the down-regulation of cIAP1 or cIAP2 selectively 248 affected the growth rate of 9A1 amplicon-harboring, p53<sup>-/-</sup> osteosarcoma transplanted into nude mice (6). In 2010, 249 250 Cheng et al. demonstrated a high level of expression of cIAP1 and cIAP2 in mammary carcinoma and that the silencing of cIAP1 and/or cIAP2 resulted in decreased tumor growth in an orthotopic breast transplantation model (7). The 251 molecular mechanisms driving these oncogenic activities have not been clearly determined and they probably depend 252 on the oncogenic contexts. The various signaling pathways controlled by cIAPs may account for their tumorigenic 253 254 functions. The ability of cIAP1 to regulate NF-kBs, a major pro-survival, oncogenic signaling pathway is undeniably of 255 importance in its transforming potential (13, 31, 32). The c-myc repressor Mad1 is an ubiquitination target of cIAP1(33, 256 34). Thus, the oncogenesis-promoting activity of c-myc can be amplified by cIAP1-mediated downregulation of Mad1. In the present work, we highlighted the importance of the interaction cIAP1 with its protein partner TRAF2 in HRas-257 258 V12-driven tumorigenesis. Indeed, preventing the interaction with TRAF2 by deletion or mutation of the BIR1 domain 259 completely abolished the oncogenic potential of cIAP1.

The role of cIAP1-TRAF2 in regulating signaling pathways has been extensively analyzed in the context of stimulation 260 261 of receptors from the TNFR, IL-R or PRR families (recently reviewed in a special issue of Frontiers in Immunology (35)). Although we cannot rule out that some membrane receptors could be activated by tumor- or host-produced soluble 262 factors in an autocrine or paracrine manner, the oligomerization of TRAF2 by cIAP1 or isolated cIAP1-BIR1 seems 263 sufficient to stimulate tumor-promoting signaling pathways, independently of the stimulation of receptors. 264 265 Accordingly, Baud et al. demonstrated that the overexpression of TRAF2 or oligomerization of its amino-terminal domains was sufficient to activate downstream signaling pathways (18). TRAF2 can directly bind, via its N-terminal half 266 that includes the zing fingers and a N-terminal RING domain, several MAP3Ks including the mixed-lineage protein 267 kinase 3 (MLK3) (36, 37) and ASK1 (38, 39) that drive the activation of SAPK/JNK and p38-MAPK axes, and MEKK1 that 268 269 induces the activation of downstream SAPK/JNK and NF-κB (18, 40). The ubiquitin chains conjugated by the cIAP1/TRAF2 E3-ubiquitin ligase complex (to RIP1 or via a self-ubiquitination process) are scaffolds for the recruitment 270 of TAK1 and IKKy that lead to MAPK and canonical NF-kB activation (41). Moreover, cIAP1 can control the activation 271 of the RAF-MEK-ERK1/2 cascade by ubiquitinating cRAF (42). In the present work, we observed that the tumor-272 273 promoting activity of cIAP1/TRAF2 was associated with an activation of the canonical NF-KB and ERK1/2 whereas JNK and p38MAPK signaling pathways were unaffected or inhibited. More investigations will be required to determine the
downstream effectors. Expressing cIAP1 induced a decreased in the non-canonical activation of NF-κB, in accordance
with its capacity to target NIK to UPS-mediated degradation (21, 43). The deletion of the BIR1 domain amplified the
phenomena. Lee *et al.* identified an IBM (IAP-binding motif) at the N-terminus of NIK protein sequence (43). The NIKIBM is recognized by the BIR2 domain of cIAP1 and constitutes a substrate recognition signal for cIAP1-mediated
ubiquitination and degradation (43). We may hypothesize that the deletion of BIR1 could improve the accessibility to
the BIR2 domain leading to an enhanced recruitment and degradation of NIK.

Last, we demonstrate here that cIAP1/TRAF2 promotes the activation of the JAK/STAT3 axis. The activation of this 281 signaling pathway results from an NF-KB-dependent production of IL-6 and autocrine activation of IL-6 signaling 282 283 pathway. The presence of IL-6 in the tumor environment and hyperactivation of the JAK/STAT3 signaling pathway in 284 cancer cells have been found in different kind of tumors (44). The analysis of TCGA dataset revealed a positive correlation between cIAP1-encoding gene and IL-6 in lung, breast and thyroid carcinoma. The smac mimetic GDC-0152 285 286 as well as downregulation of cIAP1 or TRAF2 were able to decrease the activation of STAT3 in A549 lung carcinoma cells suggesting that cIAP1/TRAF2 could account for the activation of STAT3 in these cells. Interestingly, we show here 287 that inhibition of STAT3 by niclosamide completely abrogated the tumor growth advantage given by expressing cIAP1 288 289 demonstrating that the activation of the STAT3 axis is required for the tumor-promoting activity of cIAP1.

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The current model supports that TRAF2 acts solely as an adaptor protein recruiting the cIAP1/cIAP2 E3-ligase 291 in the receptor-associated complex. Our work demonstrates that (i) oligomerisation of TRAF2 is sufficient to stimulate 292 293 pro-tumoral signaling pathways, (ii) TRAF2 is a critical regulator of the oncogenic activity of cIAP1 and (iii) cIAP1 is essential for TRAF2' capacity to bind signaling molecules, independently of receptor activation. The cIAP1-encoding 294 BIRC2 gene as well as TRAF2 are found recurrently amplified in human epithelial cancers (30, 45). IAPs constitute 295 promising target for anticancer therapy and a number of IAP antagonists have been developed and are currently under 296 297 clinical investigation (30). Most of them are Smac mimetics that block the anti-apoptotic activity of XIAP and induce the degradation of cIAP1 and, in some cases, other members of the IAP family. Considering our results, it could be 298 299 interesting to develop molecules able to inhibit the oligomerisation of TRAF2 or the cIAP1-TRAF2 interaction.

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301 Materials and methods:

#### 302 <u>Cell culture and treatments:</u>

SV40 immortalized wt, cIAP1<sup>-/-</sup> (single Knock out, SKO) and cIAP1<sup>-/-</sup>/cIAP2<sup>-/-</sup> (double KO, DKO) mouse embryonic 303 304 fibroblasts (MEFs) (J. Silke & D. Vaux, Melbourne, Australia), A549 human lung carcinoma cell line, HeLa cervical carcinoma cell line and Phoenix-Eco 293T cells (Invitrogen, Carlsbad, USA) were cultured in DMEM (Dulbecco's 305 modified Eagle's medium, Dominique Dutscher, Brumath, France) high glucose medium supplemented with 10% fetal 306 307 bovine serum (Dominique Dutscher) at 37°C, 5% CO<sub>2</sub> environment. MEFs were transformed by HRas-V12 as previously 308 described (11). MEFs were treated with 1-2µM niclosamide, 2µM Bay-11-7082, 2µM Trametinib (Euromedex, 309 Souffelweyersheim, France) or 100 ng/mL anti-IL-6 neutralizing antibody (Invivogen, San Diego, USA). GDC0152 (Euromedex) was used at 1 to 5µM. 310

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#### 312 Plasmid constructs, siRNAs, cell transfection and viral transduction:

Plasmids used were: pMSCV-control, pMSCV-cIAP1, pMSCV-cIAP1ΔBIR1, pMSCV-cIAP1ΔBIR2, pMSCV-cIAP1-L47A,
pMSCV-iBIR1 (cIAP1). Cells were transfected using Jet PEI transfection reagent (Polyplus transfection, Ozyme, France)
for plasmids or Lipofectamine<sup>®</sup> RNAimax (Thermofisher Scientific, Waltham, USA) for siRNAs against human cIAP1
(#SI02654442), human TRAF2 (#SI00129619), mouse TRAF2 (#SI01454544) or human STAT3 (#1: SI01435294 and #2:
SI01435287) from Qiagen (Hilden, Germany).Phoenix-Eco 293T cells used for viral production were transfected using
Jet PEI. For the infection of MEFs, retrovirus-containing supernatant was supplemented with 1µg/mL of polybrene
(Sigma-Aldrich, St-Louis, USA). Cells were selected with 2.8µg/mL of puromycine.

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#### 321 In vivo experiments:

Nude (NMRI-Foxn1nu/Foxn1nu) mice (Charles River Laboratories, Saint-Germain-Nuelles, France) (female between 6 and 8 weeks old) were housed in pathogen free conditions in zootechny center (certification n°C21 464 04 EA). All studies were approved by the Ethics Committee for Animal Welfare of the University of Burgundy and the French Ministry of Higher Education and Research (APAFIS#5438-201611301622214). For subcutaneous tumors, mice were

randomized and inoculated subcutaneously with 500 000 or 750 000 HRas-V12-transformed MEFs. When the tumors 326 reached 100mm<sup>3</sup>, mice were allocated into experimental groups so that the mean +/- SD was equivalent in each of the 327 groups and daily treated intraperitoneally with niclosamide (30 mg/Kg), trametinib (1mg/kg) or vehicle. Tumor volume 328 329 was measured with calipers and calculated as proposed by Laval University (Québec, Canada): (4/3)\*3.14159\*(length/2)\*(width/2)<sup>2</sup>. For artificial metastasis model, HRas-V12-transformed MEFs were injected into 330 the tail vein (10<sup>6</sup>) in a volume of 100µL. Mice were sacrificed 36 days after intravenous injection of the cells. Lung 331 nodes were counted by macroscopic analysis. The experiments were performed at least twice n=6-10 per group. 332 Statistical analyses were performed using the Mann Whitney test. 333

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#### 335 Cell extracts, subcellular fractionation, immunoprecipitation and Western Blot:

Cells were washed in cold 1X DPBS (Dulbecco's Phosphate Buffer Saline, Dominique Dustcher, Brumath, France) and 336 lysed in RIPA buffer (50mM Tris-HCl pH 8,0; 150mM NaCl; 1% NP-40; 0.5% Sodium deoxycholate, 0.1% SDS, 1mM 337 338 sodium orthovanadate, 1mM PMSF) supplemented with a proteases inhibitor cocktail (PIC, Sigma-Aldrich, St-Louis, USA) and phosphatase inhibitor cocktail PhosSTOP<sup>™</sup> (Merck, Darmstadt, Germany). The subcellular fractionation was 339 performed by lysing cells in 10mM Hepes, 10mM KCl, 0.1mM EDTA, 0.1mM EGTA, 1mM DTT (DiThioThreitol) for 15 340 minutes on ice. NP-40 (0.6% final) was added to the lysate which then subjected to centrifugation at 1200g for 5 341 342 minutes at 4°C. The supernatant containing the cytoplasmic fraction was collected. The pellet was lysed in 100µL of 343 50mM Tris-HCL pH8.0, 150mM NaCl, 1%NP-40 for 15 minutes on ice. The solution was centrifuged at 16000g for 15 minutes at 4°C and the supernatant containing the nuclear fraction is collected. Proteins were quantified using the 344 345 Precision Red Advanced Protein Assay ADV02 kit (Cytoskeleton, Denver, USA).

For Immunoprecipitation, cells were lysed in IP buffer (50mM Tris HCL ph7.4, 150mM NaCl, 20mM EDTA, 0.5% NP40, Protease inhibitor cocktail 1X). Cell lysates were incubated with anti-TRAF2 (#ab126758, Abcam, Cambridge, UK)
antibodies overnight at 4°C. The lysates were then incubated 2 hours with G Sepharose beads (Merk-Millipore,
Fontenay-sous-bois, France). Proteins were eluted by heating the mix to 95°C for 10 minutes in 1X Laemmli buffer
(Tris-HCl 150 mM pH 6,8 ; β-mercaptoethanol 15 %; SDS 6 %; glycerol 30 %; Bromophenol blue). For the Western blot
analysis, proteins were separated on SDS-PAGE and electro-transferred onto polyvinylidene difluoride or nitrocellulose

membranes (GE Healthcare, Dominique Dutscher, Brumath, France). Blots were probed with the following antibodies: 352 anti-cIAP1 (#AF8181, R&D systems, Minneapolis, USA) and anti-pan-cIAPs (#MAB3400, R&D systems, Minneapolis, 353 USA), anti-TRAF2 (#ADI-AAP-422, Enzo Life Sciences, Villeurbanne, France), anti-RIP1 (#610459, BD biosciences, USA), 354 anti-IkBa (#4812S), anti-p-IkBa (S32, #2859S), anti-ERK1/2 (#4695T), anti-p-ERK1/2 (Y202/204, #4370T), anti-JNK 355 356 (#9252T), anti-p-JNK (T183/Y185, #81E11), anti-p38 (#D13E1), anti-p98 (T180/Y182, #D3F9), anti-STAT3 (#D3Z2G), anti-p-STAT3 (Y705, #D3A7), anti-JAK2 (#D2E12) and anti-p-JAK2 (Y1008, #D4A8) and anti-p100/p52 NFkB (#4882) 357 from Cell signaling technologies (Danvers, USA), anti-HSC70 (sc-7298, Santa Cruz Biotechnology Inc, Dallas, USA) and 358 anti-β-actin (#MA1-140 Invitrogen, Waltham, USA), Anti-XIAP (AF8221, R&D systems) and anti-Ras (sc-520, Santa cruz 359 360 Technology Inc). Detection was performed using peroxidase-conjugated secondary antibodies and chemiluminescence detection kit (Clarity<sup>™</sup> or Clarity Max<sup>™</sup> western ECL substrate, Bio-Rad, Marnes-la-Coquette, France). GST-pull down 361 362 assays were performed as previously described (11).

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Immunofluorescence: Cells were washed in cold 1X DPBS and fixed for 10 min in 4% paraformaldehyde/PBS, 364 permeabilized using 0.1% triton X-100 (10 minutes) and saturated for 20 minutes in PBS with 1% bovine serum albumin 365 366 and 1% of fetal bovine serum. Samples were incubated overnight at 4°C in PBS-1%BSA with primary antibodies anti-GM130 (#610823, BD Bioscience), anti-Caveolin-1 (#MA3-600, Invitrogen), anti-LAMP-1 (#ab25245, Abcam) or anti-367 PDI (#3501, Cell Signaling), washed and incubated with secondary antibodies goat anti-rat Alexa568, goat anti-mouse 368 alexa568 or donkey anti-rabbit Alesa568 (Invitrogen) diluted in PBS-1%BSA at room temperature for 1h. Samples were 369 then incubated with Alexa488 labeled anti-TRAF2 antibody (Abcam ab198971) diluted in PBS-1%BSA overnight at 4°C. 370 371 Samples were washed for 10 minutes three times, then mounted using ProLong™ Gold Antifade Mountant with DAPI 372 (Thermofisher Scientific, Waltham, USA). Sample images were acquired using an Axio Imager 2 using an Apotome.2 module, HXP 120C illumination, Axiocam MRm using a 64x 1.4NA objective. 373

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<u>RNA extraction and RT-qPCR:</u> Total RNA of MEF DKO expressing or not cIAP1 or its mutants were extracted with Trizol
 reagent (TRizol Reagent <sup>®</sup>, Invitrogen) according to manufacturer's protocol. Tumors from in vivo experiments were
 crushed in 1mL of Trizol to extract their RNA. cDNA was produced from 1µg of RNA with iScript cDNA synthesis kit

- (Biorad, Hercules, USA). Quantitative real-time PCR was performed with ViiA 7 Real-Time PCR System (Applied
   Biosystem, ThermoFisher Scientific, Waltham, USA) using Master Mix Applied Biosystems<sup>™</sup> PowerUp<sup>™</sup> SYBR<sup>™</sup> Green
   (Thermofisher Scientific, Waltham, USA) and the following primers: β-Actin Forward : CGG-TTC-CGAT-GCC-CTG-AGG-
- 381 CTC-TT, Reverse : CGT-CAC-ACTTCA-TGA-TGG-AAT-TGA ; IL-6 (Interleukine 6) Forward : TGA-TGC-ACT-TGC-AGA-AAA-
- 382 CA, Reverse : ACC-AGA-GGA-AAT-TTT-CAA-TAG-GC.

For the qPCR microarray, cDNA from MEF DKO-cIAP1 and MEF DKO-cIAP1ΔBIR1 RNA were analyzed using Qiagen NF κB Signaling Pathway RT<sup>2</sup> Profiler PCR Array according to manufacturer's protocol.

<u>Clonogenic assay:</u> Five thousand MEFs were seeded in soft-agar medium for 3 weeks at 37°C in a 5% CO<sub>2</sub> atmosphere.
 They were treated with 1-2 μM Niclosamide before plating. Colony number and size were analyzed under microscope

387 (Zeiss, Oberkochen, Germany), and images were taken using AxioVision software.

- <u>Cristal violet assay</u>: One hundred thousand were plated in 6-wells plate. Seventy-two hours later, cells were fixed and
   stained with a 0.5% Crystal violet in methanol solution. Crystal violet was eluted with 37% acetic acid, and the OD at
   620nm was measured with a UVM 340 plate reader (Biochrom, Cambourne, UK).
- Mass-spectrometry: A549 cells were treated or not with 5µM of GDC-0152 for 6 hours. TRAF2 was immunoprecipitated
   and eluted. ESI-TRAP mass spectrometry was performed by the CLIPP platform as described previously (46) Data were
   analyzed with FunRich software, version 3.1.3 (Functional enrichment analysis tool)(47), using the human Uniprot or
   FunRich database.

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Statistical analysis: the correlation analysis of the cIAP1, IL-6 and TNF-α expression in tumor samples was done using
 the publicly available TCGA dataset using gene expression profiling interactive analysis (GEPIA), using the Pearson
 Correlation Coefficient. The non-parametric Mann–Whitney test was used for the analysis of tumor growth in animals
 and RT-qPCR and Wilcoxon test for clonogenic and western blot analyses.

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410 Author contributions. BD and AZ performed most of the experiments and analyzed the data. JB initiated the project,

411 established the tumor model and performed some *in vivo* experiments. SC performed immunofluorescence analysis.

412 JA, PB and FC helped in performing western blots, clonogenicity assays and *in vivo* experiments. CR and CP brought

413 expertise in in vivo model and performed IV injection. CG provided scientific expertise and corrected the paper and LD

414 conceived and supervised the project, conducted the GEPIA analysis, analyzed the data and interpreted the results,

- 415 wrote the paper with input from all authors.
- 416
- 417
- 418 **References**

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524 Figure legends

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Figure 1. TRAF2 binding is critical for the oncogenic activity of cIAP1. Wild type (wt), cIAP1 -/- (SKO) or cIAP1-/-/cIAP2-526 <sup>/-</sup> (DKO) mouse embryonic fibroblasts (MEFs) were transformed by Hras-V12 oncogene and reconstituted with cIAP1, 527 or cIAP1 mutants cIAP1<sup>ΔBIR1</sup> (ΔBIR1), cIAP1<sup>L47A</sup> (L47A) or cIAP1<sup>ΔBIR2</sup> (ΔBIR2). **A**, **D**, **F**. Western blot analysis of cIAPs and 528 Ras. HSC70 was used as loading control. B, E, G. Cells (500 000) were injected subcutaneously in nude NMRI mice. 529 Tumor size was measured every two days (B), 17 (E) or 3 weeks (G) post-injection. Statistical analyses were performed 530 using the Mann Whitney test. Mean ± SEM (B) or Mean ± SD (E, G), n=10-30. In B, the statistical analyses compared 531 SKO or DKO with cIAP1-expressing SKO or DKO respectively. C, H. Cells (10<sup>6</sup>) were injected in the caudal vein in nude 532 NMRI mice. The number of lung foci was determined 36 days after intravenous injection. Statistical analyses were 533 534 performed using the Mann Whitney test. Mean ± SD, n=8-20. I. Clonogenic growth of cells in soft agar medium. Statistical analyses were performed using the Wilcoxon test. Mean  $\pm$  SD, n=3-5. 535

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Figure 2. Forced clustering of TRAF2 stimulated tumor growth, independently of cIAP1. cIAP1, cIAP1<sup>ABIR1</sup> or the 537 isolated cIAP1-BIR1 domain (iBIR1) were expressed in HRas-V12-transformed DKO MEFs. A. Immunofluorescence 538 analysis of TRAF2 in DKO, DKO-cIAP1, DKO-cIAP1<sup>ΔBIR1</sup> (ΔBIR1) or DKO-iBIR1. Scale bars: 10µm. **B.** Immunofluorescence 539 540 analysis of TRAF2 and indicated organelle markers in DKO-cIAP1. Scale bars: 7µm. C. Western blot analysis of cIAP1. β-actin was used as loading control. **D.** Colonies formed in soft-agar medium. Scale bars: 100μm. **E.** DKO, DKO-cIAP1 541 and DKO-iBIR1 MEFs (500 000) were subcutaneously injected into nude mice. Tumor volume 24 days after injection 542 is represented. Statistical analyses comparing the DKO group and cIAP1 or iBIR1-expressing group were performed 543 using the Mann Whitney test. Mean ± SEM, n=12-13. 544

545 Figure 3. TRAF2 interactome. A549 cells were incubated for 6 hours with vehicle (NT) or 5µM GDC-0152 (GDC).

546 TRAF2 was immunoprecipitated and its interactome was analyzed by ESI-TRAP mass spectrometry. Analysis was

- 547 performed using the functional enrichment analysis tool (FunRich) using the FunRich (B, F) or UniProt (D, E)
- 548 databases. A. Western blot analysis of cIAP1, cIAP2, XIAP and TRAF2. HSC70 was used as loading control. B, F.
- 549 Number of partners of TRAF2 in untreated (NT) or GDC-0152-treated cells. Mean ± SD, n=3 (NT) or 2 (GDC-0152). C.
- 550 Peptides identified by mass spectrometry after TRAF2 immunoprecipitation. D, E. Enrichment of TRAF2 partners in

subcellular compartments (D) and biological processes (E). Mean ± SD, n=3 (NT) or 2 (GDC-0152). F. Venn diagram.

552 One representative experiment is shown.

553

Figure 4. TRAF2 binding is essential for the activation of the canonical NF-κB and ERK1/2 signaling pathways. A.
Western blot analysis of proteins involved in the canonical NF-κB, p38-MAPK, ERK1/2 and JNK signaling pathways. βactin or HSC70 were used as loading control. B. NF-κB target genes were analyzed in MEF DKO-cIAP1 and DKOcIAP1<sup>ΔBIR1</sup> by RT-qPCR array (Qiagen NFkB Signaling Pathway RT2 Profiler PCR Array). C. Western blot analysis of the
NF-κB p52 subunit in cytoplasmic and nuclear-enriched fractions. XIAP was used as cytoplasmic fraction control and
HSC70 as a loading control.

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Figure 5. cIAP1 and TRAF2 are critical for the activation of the JAK/STAT3 signaling pathways. A. Western blot 561 analysis of the proteins involved in the JAK/STAT3 signaling pathway in MEF DKO expressing cIAP1, cIAP1<sup>ΔBIR1</sup> or 562 cIAP1<sup>L47A</sup>. HSC70 is used as loading control **B.** p-STAT3 was quantified using the Image J software. Mann-Whitney 563 statistical analysis, mean ± SD of 3 independent experiments is shown. C. MEFs were transfected with TRAF2-564 565 targeting siRNAs. TRAF2 and STAT3 phosphorylation were analyzed by western blot. β-actin was used as loading control. Sc: scramble siRNA. D. cIAP1-expressing DKO MEFs (DKO-cIAP1) were treated for 4hrs with 2µM Bay-11-566 7082, 2µM trametinib or 2µM niclosamide. Western blot analysis of indicated proteins. HSC70 is used as loading 567 control. E. RT-qPCR analysis of IL-6 mRNA level in MEFs DKO, DKO-cIAP1 and DKO-cIAP1<sup>ΔBIR1</sup> or DKO-cIAP1 treated for 568 4 hrs with 2µM Bay-11-7082 or 2µM trametinib. Mann Whitney test. Mean ± SD, n=3. F. Western blot analysis of the 569 570 phosphorylation of STAT3 in DKO and DKO-cIAP1 MEFs incubated in the presence of neutralizing anti-IL-6 antibody (100ng/mL) for 24hrs. G. Correlative analysis of the expression of IL6 and cIAP1-encoding BIRC2 genes in lung 571 adenocarcinoma (LUAD), breast invasive carcinoma (BRCA) and thyroid carcinoma (THCA) using the publicly available 572 TCGA dataset and gene expression profiling interactive analysis (GEPIA) tools. H, I. Western blot analysis of the 573 proteins involved in the JAK2/STAT3 signaling pathway in A549 lung carcinoma cells transfected with siRNAs directed 574 against cIAP1 or TRAF2 (H) or treated for 6 hours with the indicated concentration of the smac mimetic GDC-0152 (I). 575 576 HSC70 was used as loading control.

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#### 578 Figure 6. STAT3 signaling pathway is required for cIAP1-mediated tumor cell growth.

A-D. DKO-cIAP1 or DKO cIAP1<sup>ΔBIR</sup> MEFs (750 000) were subcutaneously injected into nude mice. When the tumor 579 reached 100 mm<sup>3</sup> (indicated by the narrow), mice were daily treated by intraperitoneal injection of vehicle (NT group) 580 or 30 mg/Kg niclosamide (A, B) or 1mg/kg trametinib (C, D). Tumor size was measured every two days (A, C) or 25-26 581 days after cell challenge (B, D). The results of the statistical analyzes comparing the vehicle with niclosamide or 582 583 trametinib-treated DKO-cIAP1 mice groups are indicated above the graphs while those comparing vehicle with niclosamide or trametinib-treated DKO cIAP1<sup>ΔBIR</sup> are indicated below. Mann Whitney test, mean ± SEM (A,C) or mean 584 ± SD (B,D), n=12-14. E. Clonogenic growth of DKO-cIAP1 and DKO-cIAP1<sup>ΔBIR1</sup> MEFs incubated in the presence of vehicle 585 (0) or indicated concentrations of niclosamide. The Wilcoxon test was used for statistical analysis, Mean ± SD, n=3. F, 586 G. DKO or cIAP1-expressing DKO MEFs were transfected with control (Ctl) or STAT3-directed siRNAs (#1, #2). Western 587 588 blot analysis of STAT3 (F) and cell count 72hrs after transfection (G). The Wilcoxon test was used for statistical analysis,

589 Mean ± SD, n=3.













DKO: Ctl clAP1