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# Highlights

# Identification of microscale fracture models for mortar with in-situ tests

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- *In situ* flexural tests on notched mortar beams monitored via X-ray tomography
- Damage simulations on microstructure-based mesh with DVC measured boundary conditions
- Forces and fracture paths well reproduced using phase-field model
- Adding cohesive elements at matrix-aggregate interfaces altered predicted crack path
- This study shows the potential of microscale simulations for model identification and validation at the microscale

# Identification of microscale fracture models for mortar with in-situ tests

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#### **Abstract**

The microscale fracture modeling of concrete requires explicit descriptions of the microstructure and the fracture properties of its constituents. The identification of fracture properties of mortar was carried out by numerical analyses of two in situ (via X-ray tomography) mesoflexural tests on small-scale specimens. A realistic mesh was created for mechanical simulations to be run. The experimental kinematic fields were measured by processing the reconstructed volumes via Digital Volume Correlation. The measured displacements were used as kinematic boundary conditions to simulate three-point flexural tests. The full dataset consisting of realistic geometry and experimental boundary conditions, allowed for full simulations of the performed tests. A phase-field model for brittle materials was selected to describe damage of the cement paste, and debonding at the matrix-aggregate interfaces was modeled with a cohesive zone model. The fracture paths and ultimate forces simulated with the phase-field model were consistent with the experiment thanks to representative microstructure and boundary conditions. In both tests, the predicted crack path changed because of interface debonding. The introduction of cohesive interface elements did not significantly improve the faithfulness of the path prediction. This study demonstrates the potential of microscale simulations of in situ tests for model identification, validation and development at the microscale of mortar.

*Keywords:* Cohesive zone model, damage, digital volume correlation, microscale, phase-field model, X-ray tomography

#### 1. Introduction

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Fracture in civil engineering structures is a problem of primary importance, and damage prediction approaches are being developed at both structural [1; 2; 3] and lower scales [4; 5; 6]. Cementitious materials have a complex heterogeneous microstructure at meso- and microscales. As a consequence, the fracture process depends on the granular assembly and its size, shape and spatial distributions, as well as on the fracture properties of constituents. Cracking in heterogeneous materials is a complex phenomenon consisting of microcrack initiation at Interfacial Transition Zones (ITZs) and in the bulk cement paste, subsequent propagation, with potential branching and coalescence of macrocracks. Homogeneous damage models may not always predict the exact crack path as they do not account for material heterogeneity and corresponding differences of mechanical properties of individual phases. Microscale modeling has become a promising method for the simulation of complex damage patterns and for gaining a better understanding of fracture processes at lower scales as it allows the influence of different phases such as cementitious matrix, aggregates, ITZs and voids to be investigated [7; 8; 9]. Besides mechanical aspects, mesoscale models may be coupled with viscous, diffusive and thermal models, which may also assign different behavior to specific phases [9; 10]. 19

Initially, two groups of methods were used to model microstructures. In direct methods, the generated aggregates of different shapes and sizes were randomly packed into a closed volume and embedded within cementitious or mortar matrices to form digital specimens [11; 12; 13; 14; 15; 16; 17]. In indirect methods, the different phases are not explicitly modeled. Instead, heterogeneous material properties are simulated as spatially-varying random fields assigned to FE meshes [18; 19; 20; 21]. However, these techniques lack realistic aggregate morphologies. The introduction of imaging via X-ray Computed Tomography (XCT) in material sciences made it possible to obtain accurate and detailed microstructure characterization that could be used as input for microscale finite element models [22]. The random spatial distribution techniques could also be combined with real aggregate morphology obtained by XCT [23]. In recent years, studies on fracture in concrete with realistic aggregate distributions were carried out using lattice models [24; 25], cohesive elements [26; 27], damage/plasticity models [28], phase field models (PFMs) [29; 30], material point methods (MPMs) [6] and localizing gradient damage models (LGDM) [31]. In addition to heterogeneous cementitious materials, image-based modeling was performed to study the failure of the pure cement paste [32].

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Beyond static imaging of microstructures, XCT was used to monitor initiation and growth of damage via in situ tests [33; 34; 35]. Simulations of in situ tests performed in X-ray scanners allowed models to be calibrated and validated not only by fitting them to macroscopic force-deflection curves, but also by direct comparisons of simulated fracture patterns with experimental observations [36; 37]. It was concluded that among several sets of parameters leading to similar force-deflection responses, the most reliable was the one that most accurately reproduced real fracture. When microscale modeling was considered, attention was given to the influence of ITZs on crack patterns and material strength; direct simulations were carried out to study the effect of mortar-aggregate interfaces in three-point flexure [36; 37], Brazilian tests [38], uniaxial tension [39; 40], and uniaxial compression [39; 41]. Another step toward a better representation of complex fracture patterns, besides the use of image-based meshes, was the introduction of experimental Boundary Conditions (BCs). Kinematic BCs measured via Digital Image Correlation (DIC) or Digital Volume Correlation (DVC) [42; 43] allow realistic mixed-mode fracture patterns to be simulated [44].

A PFM was selected herein. The first version of PFMs was formulated for brittle fracture [45; 46]. Besides the initial formulation, extensions for cohesive fracture [47; 48; 49; 50; 29], interfacial cohesive fracture [51], ductile fracture [52], mixed tensile and compressive shear fracture [53; 54; 55] and interface fracture in hybrid structures [56] were developed. The need for preexisting cracks and a priori defined paths were overcome with such models with an energy minimization approach [57] and the introduction of an auxiliary phase-field variable [58]. The PFM has become an attractive solution for fracture modeling since it could predict complex crack patterns including initiation, propagation and coalescence with no need to algorithmically handle these changes in crack topology [59]. The model was implemented in several ways. Monolithic schemes were proposed [46], where the mechanical and phase-field equations are fully coupled and solved simultaneously. Staggered schemes [45], where the two problems are solved independently and sequentially, were also implemented via explicit [45; 60; 61], implicit [62; 60] and semi-implicit formulations [63]. The stress degradation caused by tensile cracking was introduced using spectral decomposition [45] or spherical-deviatoric split [62].

Phase-field models are usually associated with high computational costs, which are due to the extremely fine mesh necessary for crack resolution. Several modifications have been proposed to alleviate this issue, where the PFM

is coupled with mesh refinement algorithms that operate near the vicinity of cracks, such as adaptive mesh refinement [64; 65], or local refinements with non-conforming meshes [66]. In more recent developments, PFMs were coupled with Physics Informed Neural Networks (PINN) [67], which allowed discretization issues to be avoided and computational costs to be significantly reduced.

Microscale fracture modeling of mortar calls for explicit descriptions of the microstructure and the fracture behavior of individual phases. This type of information cannot be obtained by means of conventional laboratory destructive tests that give access to global properties (e.g., strength, fracture energy, elastic modulus) for heterogeneous materials. For these reasons, in this study, the identification of microscopic fracture properties of mortar was carried out by numerical analyses of in situ mesoflexural tests on small-scale (i.e.,  $5 \times 5 \times 20$  mm) mortar specimens. The microstructure was characterized on high-quality XCT scans acquired before each test, thereby resulting in better segmentation quality. The segmented microstructures were used in the Finite Element (FE) discretization, which consisted of a microstructure-based mesh in the crack propagation zone and homogeneous zones for intact parts of the beam. Furthermore, experimental kinematic fields were measured by processing the reconstructed volumes via DVC [68]. The measured displacements were used as kinematic BCs to simulate two three-point flexural tests.

Phase-field models for brittle materials led to good predictions of crack morphology in simulations with experimental BCs measured via DIC in 2D macroscopic homogeneous analyses [69] and via DVC in 3D mesoscopic computations [70]. In view of its successful application in these works, the staggered PFM for brittle fracture with operator split [45] was selected to describe damage in hydrated cement paste in this study. The description of ITZs as small-thickness elements may lead to too fine meshes and prohibitive computational costs. Representing the matrix-aggregate interfaces as zero-thickness cohesive elements may allow this limitation to be overcome [7; 8; 71]. Interface debonding was simulated with the Cohesive Zone Model (CZM) based on the proposition of [71] and implemented in the Mfront code generator (www.tfel.sourceforge.net) for simulations in the FE code Cast3m (www-cast3m.cea.fr). One originality of the present approach lies in using the PFM to represent cracking in the pure cement paste matrix and combining it with CZM to take into account weaker interfaces between the cementitious matrix and inclusions.

The full dataset, consisting of realistic geometry and experimental BCs, allowed for full simulations of the performed tests and for the calibration of material parameters for the chosen damage models that may realistically reproduce the

observed crack pattern. Figure 1 summarizes the different steps of the present study. To the authors' knowledge, such experimental and numerical framework allowed, for the first time, the fracture in mortar to be studied at the microscale in three dimensions. Carrying out the simulations on the whole sample with DVC-based boundary conditions gave more accurate representations of the experiment, which was crucial for good reproducibility of the results.

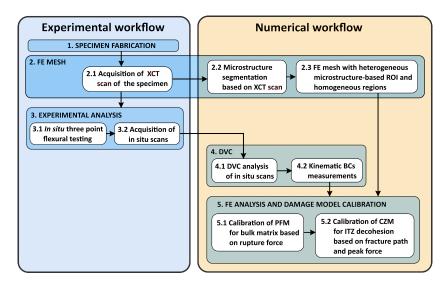


FIGURE 1 – Experimental and numerical workflow implemented herein for the identification and validation of microscopic damage models.

#### 2. Material and Method

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In this section, the mortar formulation and sample preparation procedure are presented. The experimental protocol of the two *in situ* mesoflexural tests are then introduced along with the results. Realistic finite element meshes of mortar samples were then constructed. DVC analyses were carried out to measure kinematic fields, analyze the cracking process and determine boundary conditions for the subsequent simulations. Last, detailed descriptions of the phase-field model for brittle fracture of hydrated cement paste and the cohesive zone model for ITZ debonding are provided.

#### 2.1. Material and Specimen

The mortar formulation is given in Table 1. The batch was mixed using a CEM I Portland cement with a water-to-cement ratio of 0.525. A low sand-to-binder ratio was used (sand-to-cement = 0.5, sand volume fraction 18%). Sand

was sieved to obtain a granulometric distribution within the range 200  $\mu m$  to 2 mm in diameter. The reduction of the sand volume fraction and elimination of fine particles can be considered as a compromise between making the resulting microstructure more suitable for efficient image processing, as less geometric details would be distorted or lost, and the representativeness of the obtained material relative to real mortar.

TABLE 1 - Mortar constituents.

Constituents	Proportion, kg	Mass density, kg/m <sup>3</sup>	
Cement CEM I 52.5 N CE CP2 NF	320	3.100	
Gaurain	320	3,100	
Sand 0/4 REC LGP1 (from 200 μm	160	2.600	
to 2 mm in diameter)	100	2,000	
Total water	171.8	1,000	

A thin mortar plate with dimensions  $65 \times 35 \times 8$  mm was cast in a silicon mold and demolded after 1 day. By means of X-ray radiography, the mortar plate was checked for the absence of microcracking and defects. After control, the plate was selected for further fabrication steps. First, the top surface was ground and polished until a 5 mm thickness was reached with simultaneous control of parallelism of the top and bottom faces. The thickness deviation was of the order 0.1 mm, which corresponded to a  $\angle 1.5\%$  inclination between the top and bottom surfaces. Second, the plate width was adjusted to 20 mm with a wire saw. Third,  $5 \times 5 \times 20$  mm beams were cut from the plate with a diamond disk saw to ensure planar parallel sides. Fourth, the notch was machined with a saw equipped with a fine (0.1 mm) wire and low tension to avoid sample degradation. The notch width was approximately 0.15 mm, and its height 1.5 mm. The samples were stored at 100% air humidity until testing. During preparation, the specimen surfaces were rewetted to prevent them from drying induced cracking.

### 2.2. In situ mesoflexural test

The *in situ* flexural tests were performed with the LMPS *in situ* Tension - Compression (TC) testing machine designed at MATEIS laboratory [33], and an adapted set-up for three-point flexural tests [68]. The load capacity was ±1kN. The *in situ* tests consisted of several loading steps, and CT scans were performed at sustained constant load. Thin adhesive tape was attached to the top and bottom faces of the beam for maintaining the sample and improving its stability during testing. A schematic representation of CT scans, taken during the test, are shown in Figure 2.

The *in situ* scans with 8.8 µm / vx resolution were acquired with the Phoenix v|tome|x m scanner. First, two successive scans were performed on the undeformed specimen for uncertainty quantification. A small preload of 1 N was applied to keep the specimen stable. Then, axial displacements were applied with  $0.5 \,\mu\text{m/s}$  rate until sample failure. The experimental configuration along with the specimen geometry did not allow for a fine control of crack initiation and its initial propagation. Failure was brittle, followed by substantial force drop, and very fast crack propagation on the major part of the ligament (Figure 3). It can be noted that the crack stability in three-point flexure depends on the sample geometry and notch depth, as well as the stiffness of the testing setup [72]. Therefore, control of fracture propagation may be improved in further studies by modifying the beam dimensions. Further, since the aggregate volume fraction in mortar was reduced, it may result in a more brittle behavior. The second scan was carried out immediately after fracture. After each loading, a 10 min dwell was applied before the next scan (i.e., until sufficient decrease of the force relaxation rate). More details on the experimental set-up, protocol and XCT acquisition parameters are provided in Ref. [68].

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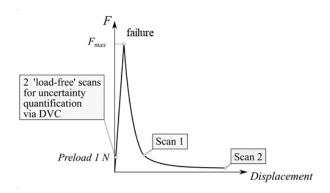


FIGURE 2 – Schematic representation of the force-displacement response of mesoflexural tests with indications when the CT scans were performed.

In this study, two *in situ* mesoflexural tests designated as A and B were used for model identification purposes. The two tests represent different cases of fracture propagation due to the loading conditions in combination with the granular assembly and its features around the notch root (Figure 3). In test A, the load was applied off-axis and several large aggregates were in the plane passing through the notch root and loading pin. It resulted in an asymmetric crack with bifurcation of the fractured surface into two main cracks (Figures 3(a), 5(a), and 12(d,h,l)).

In test B, the load was centered and the inclusions in the notch-loading pin plane were relatively small. The crack did not deviate significantly from a flat path (Figures 3(b), 5(b), and 13(d,h,l)). In both tests, the fractured surfaces crossed the cement paste, ITZs and a few limestone aggregates. Cracking of limestone aggregates was observed [73], and was attributed to high fracture toughness of the limestone-cement matrix interface.

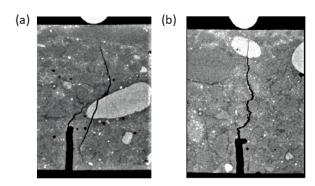


Figure 3 – Post-mortem tomographic sections of fractured samples : (a) test A with asymmetric and bifurcated crack (cross-section at x=1.5 mm depth), and (b) test B with single and essentially vertical fracture (x=1.2 mm). The height of the samples was 5 mm.

The experimental set-up did not allow for a measurement system for mesobeam deflection and Crack Mouth Opening Displacement (CMOD). For this reason, apart from DVC measurements, the information about sample deformation before and at failure onset was not available. After fracture, the residual forces did not reduce below 5%  $F_{max}$  in all conducted tests. It is likely that the tension of the adhesive tape applied to the specimens and friction of the aluminum parts of the bending set-up may have induced additional forces that were of the order of 5 N (significant at this scale). Therefore, the measured peak force does not correspond to the failure load. For modeling purposes, the peak force corrected by the residual force at the end of the test was used as the maximum force.

The measured peak forces and estimated flexural strength are reported in Table 2. The corrected flexural strength of mortar with reduced sand fraction (sand-to-cement 0.5) was  $8.6\pm0.5$  MPa, which is higher than the tensile strength of standard mortar 6.6 MPa (sand-to-cement 2.127) measured by Brazilian tests on 30-mm in diameter disks [74].

Table 2 – Experimental forces measured in the two mesoflexural tests and corresponding flexural strength.

Test	Measured	Residual	Corrected	Corrected
	peak force, N	force, N	peak force, N	flexural
				strength, MPa
A	28.4	7.5	20.9	8.1
В	29.6	1.8	27.8	9.1

# 2.3. Meshes for finite element simulations

Before the *in situ* test, a high quality scan of the central part of the microbeam was acquired with a  $5.3~\mu m$  / vx resolution using the same CT system. Then, the procedures of microstructure segmentation and heterogeneous FE mesh generation, which are detailed in [68], were applied to samples A and B. In order to model the entire specimen in mechanical simulations, homogeneous regions with four-noded tetrahedral elements were added to the microstructure-based mesh, denoted as Region Of Interest (ROI), with the open-source python library Combs [75] based on the tools of Salome® platform (Figure 4).

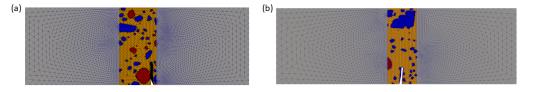


Figure 4 – Side view of three-dimensional FE meshes for fracture simulations. (a) Mesh A (corresponding to the microstructure shown in Figure 3(a)), (b) mesh B (corresponding to the microstructure shown in Figure 3(b)). Gray: homogeneous undamaged zone, orange: cementitious matrix, blue: siliceous aggregates, red: limestone aggregates.

The element size gradation (*i.e.*, maximum ratio between the lengths of two adjacent edges) in the homogeneous regions was set to 1.05. The mesh characteristics are listed in Table 3. The equivalent element size is defined as the cube root of the volume element, and  $h_{el}$  denotes the average size of the elements in the mesh.

TABLE 3 – FE mesh characteristics.

	Mesh A	Mesh B
ROI dimensions	$4.7 \times 4.73 \times 2.3$	$4.5 \times 4.8 \times 1.8$
(width $\times$ height $\times$ length), mm		
ROI mean element size $h_{el}$ , $\mu \mathrm{m}$	32	32
Number of elements in ROI	$1.5 \times 10^{6}$	$1.19 \times 10^{6}$
Full mesh dimensions	$4.7 \times 4.73 \times 13.95$	$4.51 \times 4.84 \times 15.31$
(width $\times$ height $\times$ length), mm		
Total number of elements	$1.9 \times 10^{6}$	$1.5 \times 10^{6}$

### 2.4. DVC-based boundary conditions

DVC measures the displacement field  ${\bf u}$  in selected ROIs by registering the deformed volume g and the reference volume f assuming gray level conservation [42]. In finite element based DVC (FE-DVC), the sought degrees of freedom (DOFs) are the nodal displacements of a finite element discretization, and the trial fields are the corresponding shape functions [76]. The gray level residual field  $\rho({\bf x}) = f({\bf x}) - g({\bf x} + {\bf u}({\bf x}))$  represents the difference between the volume in the reference configuration and in the deformed state corrected by the measured displacement field  ${\bf u}$ . The DVC solution is based on the minimization of the global residual  $\Phi_c$ , which is equal to the root mean square (RMS) of the residual field  $\rho$ . Therefor,  $\Phi_c$  serves as an indicator of the consistency of the solution, namely, a smaller global residual means a more trustworthy solution. Local high absolute residuals may mark, for example, the presence of discontinuities in displacements, which in particular cases correspond to cracks [77; 78; 79].

The initially formulated correlation problem may become ill-posed for very fine meshes, and may require the introduction of a penalty term to make it well-posed. In regularized DVC, the linear elastic behavior is enforced locally by the introduction of a mechanical penalty  $\Phi_m$  term based on the equilibrium gap [80; 81; 82]. The global minimization is then performed on the weighted sum of the normalized cost functions  $\tilde{\Phi}_c^2 + w_m \tilde{\Phi}_m^2$  (for more details, see [68]). The weight  $w_m$  is proportional to a regularization length raised to the fourth power  $\ell_{reg}^4$ . If the chosen  $\ell_{reg}$  is greater than the element size, the mechanically inadmissible displacement fluctuations, which may correspond to cracks or localized plastic strains, are smeared over a spatial domain whose size depends on  $\ell_{reg}$  [82; 79]. DVC calculations were performed within the Correli 3.0 framework developed at LMPS [83].

The *in situ* scans were binned to lower the computational costs. The resulting physical voxel size was 17.6  $\mu$ m/vx. The mechanical regularization was based

upon homogeneous, isotropic and linear elasticity [80; 82]. The finite element meshes used for DVC analyses were uniform. They were reconstructed based on the external surfaces of the samples, obtained by segmentation in Avizo®. Surface meshes were then exported in .stl format and used to generate volumetric meshes via Gmsh (http://gmsh.info/) with four-noded tetrahedra. The mean element size was 7 vx, and the number of elements was in the range  $186-199\times10^3$ .

Uncertainty quantifications were carried out by performing DVC analyses on the two scans acquired on undeformed specimens. A relaxation procedure was performed for both tests starting with a regularization length of  $\ell_{reg}=120~\rm vx$  down to  $30~\rm vx$ . During relaxation, the displacement field obtained after convergence at higher  $\ell_{reg}$  was used then to initialize the next step with lower regularization weight. Such step-by-step process allowed most of the uncertainties to be filtered out in the first steps and then iteratively converge to a good solution. The baseline RMS residuals of tests A and B at  $\ell_{reg}=30~\rm vx$  were close (i.e., 1, 360 and 1, 420 gray levels, respectively). The displacement uncertainty was several times higher for case B (i.e., 2.2 µm) than for test A (0.6 µm).

Then, the DVC procedure was run between the undeformed and post-mortem scans. The relaxation procedure was performed again for both tests starting with a regularization length of  $\ell_{reg}=120~\rm vx$  down to  $30~\rm vx$ . Regularization lengths less than  $30~\rm vx$  did not bring significant changes in displacements at the contact zones between the samples and the flexural set-up where BCs were measured. The residual fields are shown in Figure 5. The highest absolute levels correspond to the fractured surfaces. Well defined residuals around cracks and the absence of high levels in intact areas demonstrate the trustworthiness of the DVC analyses. The RMS residuals wrt. the baseline levels were a bit higher for test B (i.e., 1, 700 gray levels) than for test A (i.e., 1, 475 gray levels).

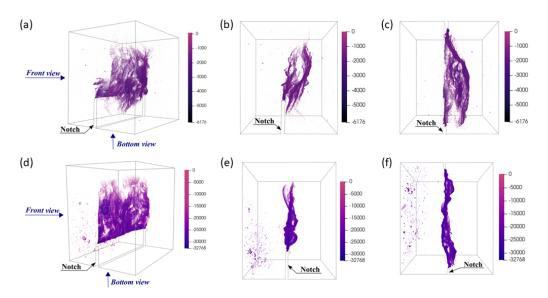


Figure 5 – Thresholded gray level residual fields  $\rho$  from DVC analyses ( $\ell_{reg} = 30$  vx) showing the fractured surfaces. (a-c) Test A, (d-f) test B. (a,d) 3D views, (b,e) front views, and (c,f) bottom views.

The deformed meshes and maximum principal strain fields are shown in Figure 6 for both tests. The maximum principal strains are localized in the damaged zones.

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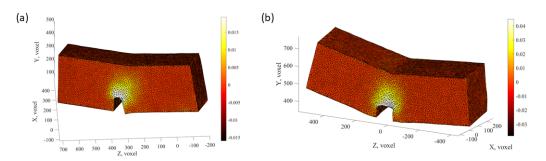


Figure 6 – Meshes used in the DVC analyses, deformed according to the measured kinematic fields (amplified  $20\times$ ) displaying maximum principal strain  $\epsilon_1$  fields for tests A (a) and B (b).

The kinematic fields measured by DVC with  $\ell_{reg}=30$  vx were interpolated on the FE meshes. The BCs were determined by interpolating all three displacement components on three straight lines corresponding to the contact zones between the mesobeam and the flexural set-up (Figure 7). The two bottom left

and right edges of meshes were defined as the support contact lines and the BCs were prescribed directly on these nodes.

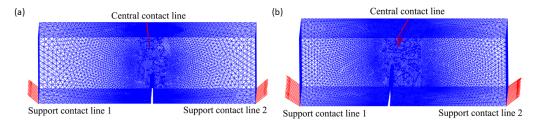


Figure 7 – DVC-based boundary conditions for the simulations of tests A (a) and B (b). The displacement vectors are amplified  $40\times$ .

Two points at the top front and back edges of the mesh with the maximum negative vertical displacement components were defined as the ends of the central contact line. This contact line was then discretized with 60 nodes for interpolating the kinematic boundary conditions. The averages of absolute displacements of nodes belonging to different contact zones are reported in Table 4.

Table 4 – Average ( $\pm$  standard deviation) displacements of nodes belonging to different contact zones (Figure 7).

BCs	Support contact line 1	Support contact line 2	Central contact line
Test A	$28.0\pm0.7~\mu m$	$30.2\pm0.7~\mu m$	$16.8\pm0.3~\mu\text{m}$
Test B	$49.8 \pm 0.4~\mu\text{m}$	$52.9\pm0.9~\mu m$	$31.6\pm0.4~\mu\text{m}$

#### 2.5. Damage modeling

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In this study, the PFM for brittle materials [45; 46] implemented with an implicit and staggered scheme was selected to model damage in the bulk cementitious matrix and limestone aggregates. To study the influence of ITZs on crack formation, debonding of matrix-aggregate interfaces was introduced using a modified version of the cohesive zone model proposed by Wu et al. [71], which takes into account imperfect interfaces [84]. The models are detailed in the following sections.

# 2.5.1. Phase-field model for a brittle material

In PFMs, sharp crack topologies are regularized by introducing a diffuse cracked zone. The crack surface inside the solid body  $\mathcal B$  is described by a functional

 $\Gamma_l$  dependent upon an auxiliary variable d (crack phase field or damage) and a characteristic length  $\ell$ 

$$\Gamma_l(d) = \int_{\mathcal{R}} \gamma_l(d) dV \tag{1}$$

oo with

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$$\gamma_l(d) = \frac{d^2}{2\ell} + \frac{\ell}{2} \|\boldsymbol{\nabla} d\|^2 \tag{2}$$

where  $\gamma_l$  is the cracked surface density (with d=0 characterizing the unbroken state and d=1 the fully broken state of the material). The characteristic length  $\ell$  governs the size of the diffuse cracked zone. When  $\ell$  tends to zero, the material response approaches brittle fracture. The consistency of the variational methods was proven by  $\Gamma$ -convergence to the Griffith theory as the length scale  $\ell$  tends to zero [85].

The minimization problem for the cracked surface  $d=\arg\{\inf_{\delta}\Gamma_l(\delta)\}$  in domain  $\mathcal B$  gives the crack phase field

$$d(x) = e^{-|x|/\ell} \tag{3}$$

The total energy is equal to the sum of the bulk deformation energy  $E_e$  and the energy required for crack propagation  $E_d$ 

$$E_d = \int_{\mathcal{B}} \psi_d dV = \int_{\mathcal{B}} G_f \gamma_l(d) dV \tag{4}$$

where  $\psi_d$  is the energy density related to  $E_d$ , and  $G_f$  the fracture energy.

Unilateral effects and the degradation of elastic bulk energy in tension are taken into account by decomposing the elastic energy into positive and negative contributions [45]

$$E_e(d, \epsilon) = \int_{\mathbb{R}} \psi_e dV \tag{5}$$

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$$\psi_e(d, \epsilon) = (g(d) + k)\psi_0^+(\epsilon) + \psi_0^-$$
(6)

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$$g(d) = (1 - d)^2 (7)$$

where  $\psi_0^+(\epsilon)$  is the extensional energy (*i.e.*, dependent on positive strains),  $\psi_0^-(\epsilon)$  the contractional energy (*i.e.*, dependent on negative strains), g(d) the degradation function. As g(d) is specifically associated with the extensional energy, it

allows stiffness recovery to be modeled in contraction due to crack closure. The small positive parameter  $k \approx 0$  circumvents the full degradation of the energy by leaving an "artificial" elastic energy density  $k\psi_0^+(\epsilon)$  at fully broken states for keeping the problem well-posed.

The extensional and contractional energies read

$$\begin{cases} \psi_0^+(\boldsymbol{\epsilon}) = \frac{\lambda}{2} \{ \langle tr(\boldsymbol{\epsilon}) \rangle_+ \}^2 + \mu tr\{(\boldsymbol{\epsilon}_+)^2\} \\ \psi_0^-(\boldsymbol{\epsilon}) = \frac{\lambda}{2} \{ \langle tr(\boldsymbol{\epsilon}) \rangle_- \}^2 + \mu tr\{(\boldsymbol{\epsilon}_-)^2\} \end{cases}$$
(8)

where  $\lambda$  and  $\mu$  are the Lamé coefficients,  $\epsilon$  the elastic strain tensor with spectral decomposition into positive  $\epsilon_+$  and negative  $\epsilon_-$  parts

$$\epsilon_{+} = \sum_{a=1}^{3} \langle \epsilon_{a} \rangle_{+} \boldsymbol{n}_{a} \otimes \boldsymbol{n}_{a} \qquad \epsilon_{-} = \sum_{a=1}^{3} \langle \epsilon_{a} \rangle_{-} \boldsymbol{n}_{a} \otimes \boldsymbol{n}_{a}, \qquad \|\boldsymbol{n}_{a}\| = 1$$
 (10)

Here,  $\epsilon_a$  are the elastic tensor eigenvalues and  $n_a$  the eigen vectors. The operators  $\langle\blacksquare\rangle_+$  and  $\langle\blacksquare\rangle_-$  are defined as

$$\langle \blacksquare \rangle_{+} = \begin{cases} 0, & \blacksquare < 0 & \text{(11)} \\ \blacksquare, & \blacksquare \ge 0 & \text{(12)} \end{cases} \qquad \langle \blacksquare \rangle_{-} = \begin{cases} \blacksquare, & \blacksquare < 0 & \text{(13)} \\ 0, & \blacksquare \ge 0 & \text{(14)} \end{cases}$$

The variational derivative of the potential energy for irreversible processes reads

$$\boldsymbol{\sigma} : \dot{\boldsymbol{\epsilon}} = \dot{\psi} + \phi, \quad \phi \ge 0 \tag{15}$$

where  $\sigma$  is the Cauchy stress tensor,  $\dot{\epsilon}$  the strain rate tensor,  $\dot{\psi}$  the Helmholtz free power, and  $\phi$  the dissipated power. The stress tensor  $\sigma$  for an isotropic elastic medium is written as

$$\boldsymbol{\sigma} = \frac{\partial \psi}{\partial \boldsymbol{\epsilon}} = (\lambda \langle tr\boldsymbol{\epsilon} \rangle_{+} \boldsymbol{I} + 2\mu \boldsymbol{\epsilon}_{+})[(1-d)^{2} + k] + \lambda \langle tr\boldsymbol{\epsilon} \rangle_{-} \boldsymbol{I} + 2\mu \boldsymbol{\epsilon}_{-}$$
(16)

with  $m{I}$  the second order identity tensor. Thermodynamic consistency is ensured by prescribing a non-negative growth of the crack phase field

$$\dot{d} \ge 0 \tag{17}$$

The Helmholtz free power is expressed as

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$$\dot{\psi} = \dot{\psi}_d + \dot{\psi}_e = \frac{\partial \psi}{\partial d} \cdot \dot{d} + \frac{\partial \psi}{\partial \epsilon} \cdot \dot{\epsilon}$$
 (18)

The minimization of Equation (15) with Equation (17) as constraint, yields a thermodynamically consistent phase-field equation for the damage variable d

$$\begin{cases}
\left(\frac{G_f}{\ell} + 2\mathcal{H}\right)d - G_f\ell\Delta d = 2\mathcal{H} & in \mathcal{B} \\
\mathcal{H} = \max_t(\psi^+)
\end{cases} \tag{19}$$

The temporal changes of the phase field are driven by a local history field  $\mathcal{H}$ , which is equal to the maximum extensional energy obtained in the history of  $\psi_0^+(\epsilon)$ . The introduction of  $\mathcal{H}$  in the phase-field governing equations allows a simplified system of equations to be derived (for more details see [45]).

The PFM was implemented in Cast3m and MFront with an implicit and staggered scheme [63]. In this algorithm, the coupled problem consisting of the displacement field  $\boldsymbol{u}$  and phase-field d equations is solved by iterative calculations. First, a displacement increments  $\Delta \boldsymbol{u}$  is estimated for the iteration step and then mechanical equilibrium is checked. A modified Newton-Raphson scheme is used to iteratively update the displacement corrections. Once the latter ones are known, other variables (i.e.,  $\boldsymbol{\epsilon}$ ,  $\boldsymbol{\sigma}$ ,  $\boldsymbol{\mathcal{H}}$ ) are deduced. They are then used to calculate  $\Delta d$  from the phase-field equation (19). An iterative fixed-point method is followed to achieve convergence on both displacement and phase field equations. Convergence is reached when the maximum difference between two successive phase fields d is less than a given threshold.

#### 2.5.2. Cohesive zone model

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The cohesive zone model used in this study was proposed by Wu et al. [71], and modified to reduce to the so-called Linear Spring Model (LSM) [84; 86; 16] for undamaged interfaces. The model was applied to zero-thickness interface elements connecting the faces of two adjacent elements. In the case of tetrahedral elements, interface elements are triangular surfaces. When the interface is thin and compliant with respect to the inclusion, namely,

$$h_{itz} \ll R_{aq}, \ E_{itz} \ll E_{aq}, \ \mu_{itz} \ll \mu_{aq}$$
 (21)

where  $E_{itz}$  and  $\mu_{itz}$  are the Young's and shear moduli of the material composing the interface, respectively,  $E_{ag}$  and  $\mu_{ag}$  those of the aggregate,  $R_{ag}$  the radius of the spherical inclusion, and  $h_{itz}$  the interface thickness, then the elastic properties of the interface are estimated with the LSM

$$k_n = \frac{2\mu_{itz}(1 - \nu_{itz})}{h_{itz}(1 - 2\nu_{itz})}$$
(22)

and

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$$k_t = \frac{\mu_{itz}}{h_{itz}} \tag{23}$$

where  $k_n$  and  $k_t$  are the interface elastic parameters in the normal and tangential directions, respectively, and  $\nu_{itz}$  the Poisson's ratio of the interphase. The ITZs in cementitious materials conform partially to the conditions of Equation (21) (i.e., the second requirement is not perfectly satisfied).

The jump conditions of the traction  $\llbracket \sigma \rrbracket \cdot n$  and displacement vector  $\llbracket u \rrbracket$  across the interface for the LSM read [86]

$$\llbracket \boldsymbol{\sigma} \rrbracket \cdot \boldsymbol{n} = 0, \qquad k \cdot \llbracket \boldsymbol{u} \rrbracket = \boldsymbol{\sigma} \cdot \boldsymbol{n}$$
 (24)

where n is the normal vector to the interface, k the second-order tensor representing the interface elastic parameters, and  $\llbracket \cdot \rrbracket$  the jump across the interface.

To govern interface debonding, an equivalent interface opening displacement  $\lambda_{itz}$  is introduced to account for the relative displacement between two adjacent nodes of a cohesive interface element [71]

$$\lambda_{itz} = \sqrt{\langle u_n \rangle_+^2 + \frac{\sum_{i=1}^2 u_{t,i}^2}{\delta_t}}$$
 (25)

with

$$\delta_t = \frac{k_n}{k_t} \tag{26}$$

where  $u_n$  is the normal displacement jump,  $u_t$  the tangential displacement jump. The nonlinear traction-separation law is formulated as

$$\sigma_c(\lambda_{itz}) = \begin{cases} k_n \lambda_{itz} & \text{if } \lambda_{itz} < \lambda_0 = \frac{f_t^{itz}}{k_n} \\ f_t^{itz} \exp\left(-\frac{f_t^{itz}(\lambda_{itz} - \lambda_0)}{G_f^{itz}}\right) & \text{otherwise} \end{cases}$$
 (27)

where  $\sigma_c$  is the equivalent traction in the interface element,  $\lambda_0$  the yield traction,  $f_t^{itz}$  the tensile strength of the interface, and  $G_f^{itz}$  the fracture energy of the interface. The potential  $\Phi$  of the deformed body  $\mathcal B$ , in which the debonded interface is situated, reads

$$\Phi = \int_{\mathcal{B}} \sigma_c(\lambda_{itz}) dV \tag{29}$$

The traction  $oldsymbol{t}_c$  has normal  $t_{cn}$  and tangential  $t_{ct,i}$  components

$$\{\boldsymbol{t}_c\}^{\top} = \{t_{cn} \quad t_{ct,1} \quad t_{ct,2}\}$$
 (30)

that are formulated as partial derivatives of the potential  $\Phi$  with respect to normal and tangential displacement jumps

$$t_{cn} = \frac{\partial \Phi}{\partial u_n} = \sigma_c(\lambda_{itz}) \frac{u_n}{\lambda_{itz}}$$
(31)

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$$t_{ct,i} = \frac{\partial \Phi}{\partial u_{t,i}} = \sigma_c(\lambda_{itz}) \frac{u_{t,i}}{\delta_t \lambda_{itz}}$$
(32)

Equations (27)-(28) only apply in mode I opening (i.e.,  $u_n > 0$ ). To simulate crack closure with restoration of the load-bearing capacity in normal direction in compression ( $u_n < 0$ ), the normal traction becomes

$$t_{cn} = k_n u_n \tag{33}$$

#### 87 3. Results and Discussion

The identification procedure was divided into several steps. First, only the PFM was used to simulate fracture in the cementitious paste and limestone inclusions, whereas ITZs were undamaged. The PFM was initially calibrated based on experimental peak forces. Second, imperfect interfaces were added with the Linear Spring Model (LSM), and the influence of the interphase stiffness on crack propagation was addressed. Further, a CZM was applied to simulate ITZ debonding, and its effect was analyzed by comparing the fracture paths with experimental observations. As mentioned above, the numerical simulations were carried out using the Cast3m FE code and the MFront generator.

#### 3.1. Damage modeling with PFM

First, the ITZs were not taken into account and damage was only represented with the PFM for calibration purposes. The damage model was applied to the matrix and the limestone aggregates. The characteristic length  $\ell$  has a nonnegligible influence on the material response in the brittle version of the PFM and is commonly regarded as a material property [87]. The phase-field problem was

analytically solved for a homogeneous stress state in a one-dimensional setting, deriving the expression for the critical stress  $\sigma_{cr}$  [60]

$$\sigma_{cr} = \frac{9}{16} \sqrt{\frac{EG_f}{6\ell}} \tag{34}$$

which is equivalent to the tensile strength  $f_t$ . Thus, the characteristic length  $\ell$  can be estimated from the knowledge of the tensile strength  $f_t$ , fracture energy  $G_f$  and Young's modulus E of the material. Based on the macroscopic properties of the Hardened Cement Paste (HCP), measured on 30-mm samples [88], namely, E=13.3 GPa,  $f_t=2.8$  MPa,  $G_f=4.7-6.6$  N/m, the characteristic length deduced from Equation (34) is in the range  $\ell=0.42-0.59$  mm. This estimation is less than one order of magnitude lower than the dimensions of the ROIs (i.e., 2.3 and 1.86 mm) and therefore may lead to very large damaged zones. In microscale simulations, it would result in a crack path no longer dependent on the spatial distribution of aggregates. Moreover, the tensile strength and fracture energy of cementitious materials are dependent on sample dimensions [89]. Since the properties of cementitious matrices at the micrometer scale are not precisely known, the analytical estimation of  $\ell$  was not possible.

Numerical simulations of three-point flexural tests on notched homogeneous samples showed that high values of  $\ell$  induced more pronounced pre-peak nonlinearity in force-CMOD curves and decreased the ultimate load [88]. However, the experimental force-CMOD curves could not be acquired in this study. Thus, the length  $\ell$  could not be calibrated that way either. Mesoflexural tests on HCP showed that the material exhibited a perfectly brittle behavior at micrometer scale [90]. Therefore, in this study, the cementitious matrix was assumed to be mostly brittle at the mesoscale. In the present version of the PFM, the material response becomes brittle as  $\ell \to 0$  [45]. Further, the parameter must satisfy the requirement  $\ell > 2h_{el}$  to obtain mesh-independent results [91; 87]. Therefore, the characteristic length  $\ell_m$  was assumed to be small while satisfying the previous condition (i.e.,  $> 2h_{el} = 64 \, \mu m$ ). The characteristic length  $\ell_m$  was set to 80  $\mu$ m for the cementitious matrix.

The damage parameters of limestone aggregates were assumed to be equal to those of the matrix (i.e.,  $\ell_{ag,Ca} = \ell_m$ ,  $G_{ag,Ca} = G_{f,m}$ ). The fracture energy  $G_{f,m}$  of the matrix elements adjacent to homogeneous zones of the beam was set to a very high value (i.e., 200 N/m) to prevent non-physical damage that may arise due to strain concentrations between heterogeneous and homogeneous regions with different mechanical properties. The siliceous aggregates and homogeneous zones away from the crack were considered to remain linear elastic. The Young's

modulus of the siliceous aggregates was set to  $E_{ag,Si}=70$  GPa [92], and that of limestone inclusions was assumed to be equal to  $E_{ag,Ca}=50$  GPa [93]. The Young's modulus and Poisson's ratio for the cementitious paste were equal to experimentally measured values [88].

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The Young's modulus of the homogeneous zones (Figure 4) was obtained by running linear elastic compressive simulations on prismatic heterogeneous meshes of ROIs excluding the region with the notch (Figure 8).

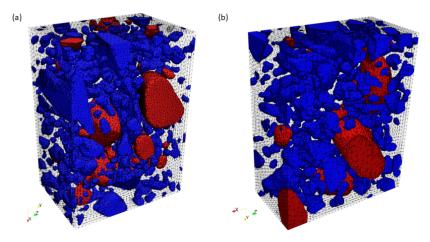


FIGURE 8 – Image-based meshes for the determination of the Young's modulus of mortar for the homogeneous zones (Figure 4) based on (a) ROI A ( $4.54 \times 3.29 \times 2.3$  mm) and (b) ROI B ( $3.65 \times 4.51 \times 1.86$  mm). Blue : siliceous aggregates, red : limestone inclusions, black wireframe : matrix.

To estimate upper and lower bounds of the homogenized elastic modulus, two types of BCs were used, namely, uniform strain and uniform stress boundary conditions, since the first type tends to overestimate the effective properties and the latter to underestimate actual levels [94]. The resulting bounds of the effective elastic modulus were 19.7-21.3 GPa for case A, and 20.0-22.1 GPa for case B. The homogenized modulus  $E_{homo}$  was set to 21 GPa, which was approximately the average value in both cases. The Poisson's ratio of homogeneous regions was set to  $\nu_{homo}=0.2$ , which corresponds to that of mortar [74]. All the selected parameters are gathered in Table 5.

Table 5 – Models and parameters for the meshed phases.

Phase	Cementitious matrix	Limestone aggregates	Siliceous aggregates	Homogeneous regions
Constitutive model	Phase Field Model		Linear Elastic Isotropic	
Young's modulus, GPa	$E_m = 13.3$	$E_{ag,Ca} = 50$	$E_{ag,Si} = 70$	$E_{homo} = 21$
Poisson's ratio, -	$\nu_m = 0.25$	$\nu_{ag,Ca} = 0.2$	$\nu_{ag,Si} = 0.2$	$\nu_{homo} = 0.2$
Characteristic length, µm	$\ell_m = 80$	$\ell_{ag,Ca} = 80$	_	-

The boundary conditions defined in Section 2.4 were interpolated linearly over an arbitrary time interval t=0-1. In test B, crack propagation occurred mainly before t=0.5. Then, the PFM simulations slowed down and suffered from difficult convergence. Therefore, the analyses of test B were terminated at t=0.5. The fracture energy  $G_{f,m}$  was varied in the range 20-35 N/m in the simulations of both tests. The corresponding force-CMOD curves are shown in Figure 9. For test A, the best fit was obtained with  $G_{f,m}=35$  N/m, yet  $G_{f,m}=30$  N/m also gave very close ultimate forces. In test B, the best approximation was obtained when  $G_{f,m}=25$  N/m. The compromise between both tests was  $G_{f,m}=30$  N/m, which gave the closest approximations of both peak forces.

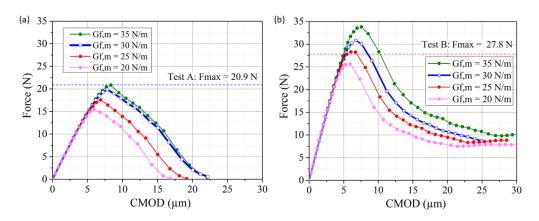


Figure 9 – Force-CMOD curves for various  $G_{g,m}$  parameters. (a) Test A, and (b) test B. The variations of peak forces between the two tests could be reproduced thanks to microstructure-based meshes and experimental BCs. The best compromise between both tests was achieved when  $G_{f,m}=30~\mathrm{N/m}$ .

The simulated crack paths are displayed in Figure 10. It is noted that all fracture parameters predicted the same crack path in both tests. It is concluded that the variation of fracture energy  $G_{f,m}$  did not alter the fracture path when the other parameters were kept constant. The variation of the experimental ultimate forces was significant (*i.e.*, 27.8 N and 20.9 N). However, these differences could be rather well reproduced using the bulk damage model with representative microstructures and boundary conditions. Inaccuracies in flexural strength predictions could be due to variations of mechanical properties at lower scales and omitted details of the microstructure. However, the agreement between the numerical and experimental results is very encouraging given the fact that only one parameter was adjusted.

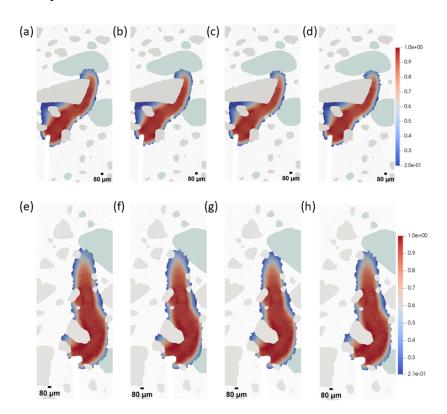


FIGURE 10 – Simulated crack propagation in mesoflexural tests with PFM. Damage fields in the central zones (Figure 4) for test A (a-d) : (a)  $G_{f,m}=20$  N/m, (b) 25 N/m, (c) 30 N/m, (d) 35 N/m; and test B (e-h) : (e)  $G_{f,m}=20$  N/m, (f) 25 N/m, (g) 30 N/m, (h) 35 N/m. Cross-sections at x=2.57 mm depth. Gray wireframe : matrix, gray : siliceous aggregates, light green : limestone aggregates. The variations in  $G_{f,m}$  did not alter the crack patterns.

The calibrated fracture energy of the cementitious matrix is much higher than the level measured in macroscopic tests, namely, 4.7-6.6 N/m [88]). In the PFM for brittle materials, the tensile strength of the material is directly proportional to the fracture energy (Equation (34)). According to statistical size-effects, a decrease of sample volume leads to a higher strength [95; 96]. Therefore, this effect may explain the increase in the numerical tensile strength of the cementitious matrix, and consequently, in the fracture energy of PFM in simulations on small samples. Conversely, the calibrated value of  $G_{f,m}$  is close to levels measured with micro-indentation tests (i.e., 2.51-37.82 N/m [97]) and micro-flexural tests (i.e., 4.4-20 N/m [90]). Microscale measurements vary over a wide range as they correspond to different HCP phases (e.g., calcium silicate hydrate, portlandite, clinker), while in the numerical simulations the cementitious matrix was represented as a homogeneous medium. Moreover, the calibrated fracture energy is valid for the selected characteristic length  $\ell_m$ , since according to Equation (34), a decrease in  $\ell_m$  for constant  $\ell_m$  leads to a decrease in  $\ell_m$ .

Three-dimensional views of the predicted diffuse crack zones are presented in Figure 11 at the end of the simulations. The predicted fracture paths are compared with experimental observations in Figures 12 and 13. Since the interface elements were not considered, the choice of the PFM parameters did not significantly influence the crack path. The simulated fracture surfaces followed the plane passing through the notch root and the loading pin, but their actual path was affected by the spatial distribution of aggregates.

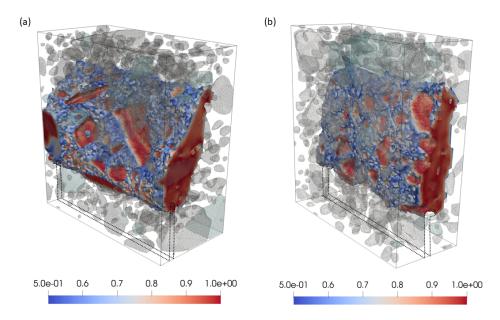


Figure 11 – 3D views of thresholded damaged regions of the central zones (Figure 4) predicted by the PFM applied to tests (a) A (t=1.0) and (b) B (t=0.5). Gray : siliceous aggregates, light green : limestone aggregates.

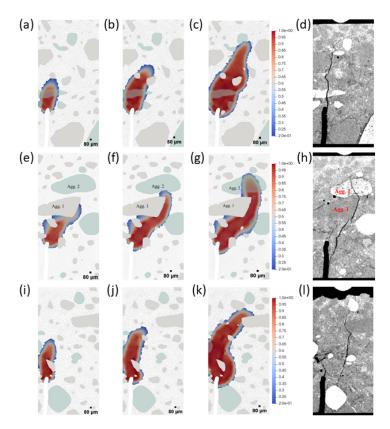


Figure 12 – Simulated crack propagation for test A. (a,e,i) t=0.5, (b,f,j) t=0.58, (c,g,k) t=1.0, (d,h,l) XCT sections (t=1.0). Cross-sections at x=0.46 mm depth (a-d), x=2.57 mm (e-h), x=4.82 mm (i-l). The final time step corresponds to t=1.0. Gray wireframe: matrix, gray: siliceous aggregates, light green: limestone aggregates. The crack pattern is well reproduced, especially in the middle of the sample, but crack bifurcation was not replicated. The damage zone grew continuously not only vertically but also horizontally.

From the damage fields it is observed that, despite the small characteristic length, the size of the damage zones could grow to rather large sizes. Moreover, the developed damaged zone spanned across the distance between neighboring aggregates in the direction perpendicular to the crack propagation path. Thus, it is concluded that the fractured zone propagated preferably in matrix regions that were not reinforced by inclusions. In a situation where small inclusions are sparsely located in the matrix, the simulated cracked zone may significantly grow in size. Moreover, as the fractured zone spread, it continued to increase not only in length, but also in width. At later stages, the vertical crack propagation slowed down and the damage zone could expand more because of horizontal displace-

ments prescribed to the supports. It should be noted that the selected version of the PFM did not include any threshold for damage initiation, meaning that damage was induced under any nonzero extensional strain. The absence of an elastic domain in the current version of the PFM was assumed to be a reason that leads to thick diffuse damage patterns [87].

In experiment A, the fractured surface contained one (Figure 12(d)) or two (Figure 12(h,l)) cracks depending on the selected cross-section. One of the fractured surfaces started to propagate from the notch root. Figure 12(h) shows a large aggregate with a vertical interface (Agg.1) that caused a major deviation of the crack path with subsequent bifurcation. After branching, the fractured surface, which initiated at the notch root, went upward until  $\approx$ 0.3 times the ligament height, while the new branch, located below the loading pin, spanned over the major part of the specimen height (Figure 12(l)).

There was no information about the order in which the fractured branches were formed. Therefore, it cannot be concluded what was the exact cause of this phenomenon (e.g., dynamic effects, microstructural details, pre-existing and undetected microcracking). This cracking pattern was partially reproduced. The simulated fracture path had only one continuous surface that initiated at the notch root, and propagated upward. The crack changed its trajectory to bypass the siliceous inclusion Agg.1 (Figure 12(g)), but with no bifurcation. Crack propagation slowed down when the crack began to cross the limestone particle Agg.2.

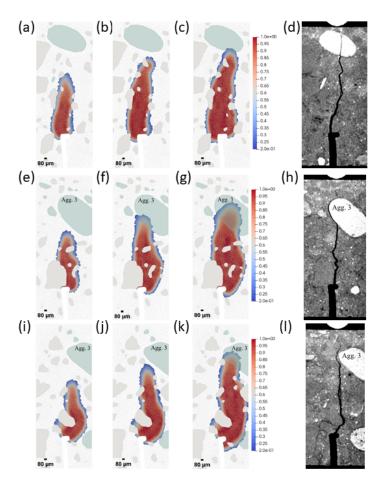


FIGURE 13 – Simulated crack propagation in test B. (a,e,i) t=0.29, (b,f,j) t=0.4, (c,g,k) t=0.5, XCT sections (t=1.0). Cross-sections at x=1.15 mm depth (a-d), x=2.85 mm (e-h), x=3.35 mm (i-l). The final time step corresponds to t=1.0. Gray wireframe: matrix, gray: siliceous aggregates, light green: limestone aggregates. The simulated crack path was mostly vertical and flat, which was consistent with experimental observation. At later time steps, the crack grew not only upward but also in width.

Test B represented a simpler case since most of the aggregates in the notch-load pin plane were relatively small and did not significantly influence the fracture path. Thus it remained essentially straight and flat. In rare instances, the path bypassed larger aggregates (Figure 13(l)). In Figure 13(h), a large limestone inclusion (Agg. 3) was not crossed by the crack, unlike in the other cases, but it propagated along its interface. Since weaker interface elements were not introduced in the model and the fracture energies of the matrix and limestone aggre-

gates were equal, the diffuse crack propagated inside aggregate 3 (Figure 13(g-k)).
Overall, the simulated fracture path was consistent with the experiment.

# 3.2. Fracture modeling with the PFM and cohesive interfaces

# 3.2.1. Influence of interface stiffness on crack path

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In a second step, the bulk damage model was enriched with CZMs applied to matrix-aggregate interfaces in order to study the influence of stiffness and fracture properties of interfaces on crack propagation. Zero-thickness interface elements were generated between aggregate and matrix elements in the numerical model. The elastic modulus of the interface  $E_{itz}$  was assumed to be equal approximately to half of that of cementitious matrix ( $E_{itz} \approx 0.5E_m = 7.0$  GPa) [98]. The interface Poisson's ratio was assumed to be equal to that of the cementitious paste ( $\nu_{itz} = 0.25$ ). The relationship between the tensile strength in the normal direction  $f_t^{itz}$  and the maximum traction in the tangential direction  $t_t^{max}$ , based on the choice of the interface elastic properties, was  $t_t^{max} = 0.58 f_t^{itz}$ . The bulk elastic and phase-field parameters were taken constant from Section 3.1. First, only the interface stiffness was considered (Table 6). The fracture parameters of the CZM (i.e.,  $G_f^{itz}$  and  $f_t^{itz}$ ) were set to very high values to prevent any damage from occurring in ITZs (i.e., simplifying the CZM model into an LSM model).

Table 6 – Initial parameters of the CZM (with no damage).

Parameter	Young's modulus $E_{itz}$ , GPa	Poisson's ratio $ u_{itz}$ , -	Fracture energy $G_f^{itz}$ , N/m	Tensile strength $f_t^{itz}$ , MPa
Value	$\frac{D_{itz}, \text{Gra}}{7}$	0.25	$\infty$	$\infty$

In Test A, the inclusions had a greater impact on the crack path. Therefore, this test was chosen for the initial parametric study of the CZM. In an LSM, increasing the thickness  $h_{itz}$  while keeping the Young's modulus  $E_{itz}$  constant leads to more compliant interfaces. From a stiffness point of view, this trend is interpreted equivalently as inversely varying the Young's modulus at constant interface thickness (see Equations (22)-(23)). In the following, the ITZ thickness  $h_{itz}$  was varied in the range  $0-20~\mu m$  [99].

The damage fields simulated for different interface thicknesses are presented in Figure 14, and the corresponding force-CMOD curves are shown in Figure 15. As expected, the damage field for  $h_{itz}\approx 0~\mu\mathrm{m}$  was similar to the results in which the interfaces were not taken into account (Figure 12). The same remark applies

to the corresponding force-CMOD curve. With an increase of the interface thickness, the crack path changed toward a vertical flat surface. The change of crack path influenced the force-CMOD response when  $h_{itz}>10~\mu{\rm m}$  in the post-peak part. A flatter segment appeared in the  $15-20~\mu{\rm m}$  range where fracture propagation was impeded by an inclusion (Agg. 1). As the crack began to bypass the aggregate, the force continued to decrease.

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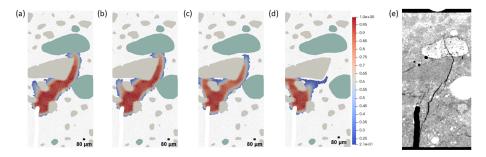


FIGURE 14 – Prediction of crack propagation at t=0.625. (a)  $h_{itz}\approx 0$  µm, (b)  $h_{itz}=2$  µm, (c)  $h_{itz}=10.5$  µm, (d)  $h_{itz}=20$  µm, (e) XCT section at t=1.0. Cross-section at x=2.57 mm depth. Gray wireframe: matrix, gray: siliceous aggregates, light green: limestone aggregates. The final time step corresponds to t=1.0. A higher  $h_{itz}$  changed the crack path toward a vertical and flat surface.

Compliant and undamageable interfaces modified the crack path as they allowed for normal displacement and slip between the matrix and inclusions. This effect led to stress and strain redistributions in the matrix, which changed the crack path. It is concluded that high compliances of the undamageable interfaces may lead to a less pronounced dependence of the crack path on the spatial position of the aggregates. In addition, a lower interface stiffness reduced the sample global stiffness and flexural strength (Figure 15).

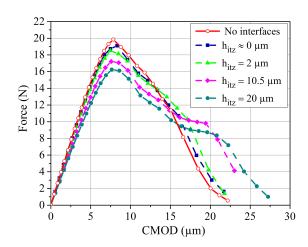


Figure 15 – Numerical force-CMOD curves simulated with the PFM ( $G_{f,m}=30$  N/m,  $\ell_m=80$  µm) and undamaged cohesive interfaces with different thicknesses. A larger  $h_{itz}$  reduced the sample stiffness and flexural strength.

# 3.2.2. Influence of interface fracture properties on crack propagation

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In the following step, different fracture properties were combined with various interface thicknesses. Based on simulation results, two cases were distinguished, namely, compliant interfaces (i.e.,  $h_{itz} \geq 2$  µm) and rigid interfaces (i.e.,  $h_{itz} \approx 0$  µm).

Compliant interfaces. The interface thickness was  $h_{itz}=20~\mu m$  and the fracture energy and tensile strength were varied in the following ranges 1-20~N/m and 1-20~MPa, respectively. The simulated damage fields are shown in Figure 16 and the corresponding force-CMOD curves in Figure 17. If sufficiently high fracture properties were attributed (i.e.,  $f_t^{itz} \geq 20~MPa$  and  $G_f^{itz} \geq 20~N/m$ ), the interfaces located far from the crack propagation zone did not undergo significant degradation (Figure 16(a)). ITZ debonding occurred only in the immediate vicinity of the diffuse damaged zone, which, in turn, facilitated crack propagation. In test A, compliant interfaces with high fracture properties resulted in a vertical flat fracture surface.

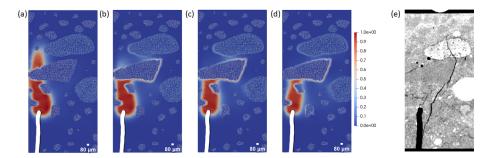


FIGURE 16 – Damage fields with compliant and damageable interfaces ( $h_{itz}=20~\mu m$ ). (a)  $f_t^{itz}=20~M$ Pa,  $G_f^{itz}=20~N$ /m. (b)  $f_t^{itz}=10~M$ Pa,  $G_f^{itz}=10~N$ /m. (c)  $f_t^{itz}=5~M$ Pa,  $G_f^{itz}=5~N$ /m. (d)  $f_t^{itz}=1~M$ Pa,  $G_f^{itz}=1~N$ /m. (e) XCT scan. Cross-section at x=2.57~mm depth. Gray wireframe : siliceous aggregates, light green wireframe : limestone aggregates. High values of  $G_f^{itz}$  and  $f_t^{itz}$  resulted in a vertical and flat crack surface. Low values of  $G_f^{itz}$  and  $f_t^{itz}$  increased the dependence of the crack path on spatial distributions of aggregates.

When lower fracture properties were selected (i.e.,  $f_t^{itz} < 20$  MPa,  $G_f^{itz} < 20$  N/m), interfaces located far from the diffuse damage zone were debonded during crack propagation, which modified the strain and stress fields, and caused the crack path to deviate. Compliant interfaces with low fracture properties resulted in fracture paths being more dependent on the internal microstructure. The crack path simulated in these cases (Figure 16(b-d)) was closer to that observed in the experiment compared to the previous case (Figure 16(a)). However, it was less accurate than the case when interfaces were not considered at all (Figure 12).

In the force-CMOD curves (Figure 17), changes in the post-peak region are observed compared to undamageable and compliant ITZs. Crack propagation was not impeded by aggregates due to interfacial debonding, thus the loss of strength was faster. Additionally, low interfacial fracture properties led to more pronounced pre-peak nonlinearity. Interface debonding in the area around the main crack can be compared to the formation of FPZs that induce quasibrittleness in heterogeneous materials [100]. The choice of fracture parameters for ITZs conditions the size of simulated FPZs and, consequently, the quasibrittleness of the sample.

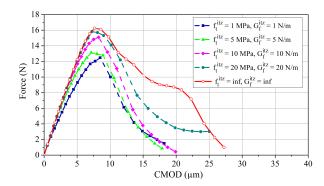


FIGURE 17 – Force-CMOD curves simulated with the PFM ( $G_{f,m}=30$  N/m,  $\ell_m=80$  µm) and damageable compliant interfaces ( $h_{itz}=20$  µm) with different fracture properties. Low values of  $G_f^{itz}$  and  $f_t^{itz}$  resulted in more pronounced pre-peak nonlinearity and reduce the flexural strength.

Next, simulations with constant and low fracture parameters (i.e.,  $f_t^{itz}=5$  MPa and  $G_f^{itz}=5$  N/m) and different interfaces thicknesses ( $h_{itz}=2$  and 20 µm) were performed. In two cases, the same fracture path was reproduced (Figure 18(a,b)). The differences in the corresponding force-CMOD histories (Figure 18(c)) were only due to different sample rigidity, which depends on the interface stiffness.

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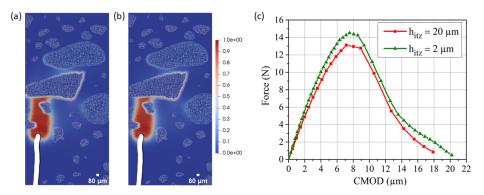


FIGURE 18 – Predicted damage fields with  $f_t^{itz}=5$  MPa,  $G_f^{itz}=5$  N/m. (a)  $h_{itz}=2$  µm, (b)  $h_{itz}=20$  µm, (c) corresponding force-CMOD curves. Cross-section at x=2.57 mm depth. Gray wireframe : siliceous aggregates, light green wireframe : limestone aggregates. Crack patterns in both cases (a-b) were the same. The force-CMOD curves (c) indicated a reduction of the sample rigidity with an increase of  $h_{itz}$ .

**Rigid interfaces.** In this subsection, the interface thickness was set to a very low value ( $h_{itz} \approx 0$  µm). The fracture energy and tensile strength were

varied in the ranges of 5-30 N/m and 5-30 MPa, respectively. In all performed runs, the simulations suffered from convergence issues at different stages of crack propagation. The damage fields obtained at the last converged time steps are reported in Figure 19 (a-b). When lower fracture properties were assigned (i.e.,  $f_t^{itz} = 5$  MPa and  $G_f^{itz} = 5$  N/m), divergence in the calculations occurred at earlier stages of crack propagation. In the other cases ( $f_t^{itz} = 30$  MPa,  $G_f^{itz} = 30$  N/m), the crack could propagate farther, and it was observed that it was growing in the vertical direction. A similar fracture path was modeled in the previous section with compliant interfaces (Figure 16).

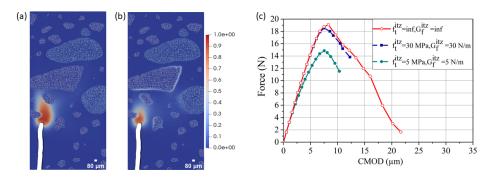


FIGURE 19 – Predicted damage fields with rigid interfaces ( $h_{itz}\approx 0~\mu\mathrm{m}$ ): (a)  $f_t^{itz}=30~\mathrm{MPa}$  and  $G_f^{itz}=30~\mathrm{N/m}$ , (b)  $f_t^{itz}=5~\mathrm{MPa}$  and  $G_f^{itz}=5~\mathrm{N/m}$ . Cross-section at  $x=2.57~\mathrm{mm}$  depth. (c) Corresponding force-CMOD curves. The simulations stopped prematurely due to convergence issues. The latest damage fields showed the onset of vertical cracks. Low values of  $G_f^{itz}$  and  $f_t^{itz}$  led to a significant deterioration of the interfaces, resulting in a decrease of flexural strength.

The corresponding force-CMOD curves are shown in Figure 19(c). For  $f_t^{itz}=30~\mathrm{MPa}$ ,  $G_f^{itz}=30~\mathrm{N/m}$ , the force-CMOD curves did not exhibit significant differences in terms of flexural strength and pre-peak nonlinearity in comparison to the case with undamageable interfaces. When  $f_t^{itz}=5~\mathrm{MPa}$  and  $G_f^{itz}=5~\mathrm{N/m}$ , a degradation of a large number of interfaces was induced around the main crack, thereby resulting in pronounced pre-peak stiffness degradation and reduced strength.

Influence of interface properties on the propagation of the straight crack (test B). Simulations with different thicknesses and fracture properties for the interfaces were performed for test B for which the damage pattern was less dependent on the aggregate distribution (Figures 20-21). When the interfaces were undamaged, the simulation with regular interface stiffness ( $h_{itz}=20~\mu m$ )

reproduced the same crack path as when the CZM was not implemented (Figures 20 (a,b)). Interface debonding changed the fracture path (Figures 20(c,d)). However, the latter was weakly dependent on the choice of fracture parameters. The crack path simulated with the CZM was less accurate than the one modeled with bulk damage alone.

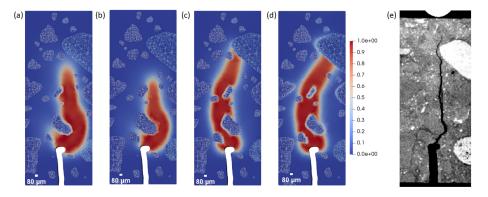


FIGURE 20 – Damage pattern for test B. (a) Perfectly bonded interfaces. (b-d)  $h_{itz}=20~\mu \mathrm{m}$ . (b)  $f_t^{itz}=\infty$  and  $G_f^{itz}=\infty$ . (c)  $f_t^{itz}=20~\mathrm{MPa}$  and  $G_f^{itz}=20~\mathrm{N/m}$ . (d)  $f_t^{itz}=5~\mathrm{MPa}$  and  $G_f^{itz}=5~\mathrm{N/m}$ . (e) XCT section at  $x=2.57~\mathrm{mm}$  depth. Gray wireframe: siliceous aggregates, light green wireframe: limestone aggregates. The predictions with undamageable and compliant interfaces (b) led to similar results as those obtained with perfectly bonded interfaces (a). Damageable and compliant interfaces with varying fracture properties (c-d) gave a rather different and less consistent crack pattern.

Regarding the force-CMOD curves (Figure 21), the simulations of test B showed similar effects as observed in test A. The introduction of compliant interfaces reduced the flexural strength and made the pre-peak nonlinearity more pronounced. The introduction of ITZ debonding amplified these effects, which became more important with decreasing interface fracture properties.

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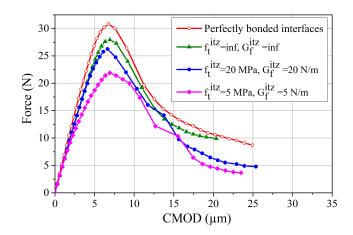


Figure 21 – Numerical force-CMOD curves (test B) simulated with the PFM ( $G_{f,m}=30$  N/m,  $\ell_m=80$  µm) and compliant interfaces ( $h_{itz}=20$  µm) with different fracture properties. As observed in Figures 15-19, an increase of  $h_{itz}$  reduced the sample stiffness and flexural strength, and increased the pre-peak nonlinearity. Further addition of ITZ debonding made these effects more pronounced.

## 4. Conclusion and Perspectives

In this study, several models were tested to represent fracture in cementitious bulk matrix (PFM) and at matrix-inclusion interfaces (LSM, CZM) through direct simulations of two *in situ* flexural tests on mesoscale samples made of mortar. The microscale simulations were based on meshes constructed from CT scans. The boundary conditions were obtained by DVC measurements. The fracture energy of the matrix was calibrated considering both tests having two different types of fractured surfaces (*i.e.*, asymmetric with branching, and symmetric and flat). The predicted post-mortem damage patterns were then compared to their imaged counter-parts as further validation. The effectiveness of the investigated approaches are detailed below.

First, the phase field model for brittle materials provided satisfactory results in microscopic fracture modeling. The fracture energy of the matrix was effectively calibrated to retrieve the experimental peak forces in *both* tests. The simulated fracture paths were consistent with the experiments thanks to representative microstructure and boundary conditions. However, it could not reproduce complicated fracture patterns such as bifurcations. The predicted force-CMOD curves showed strain hardening before fracture and steady force decrease, characteristic of quasi-brittle materials, despite the fact that the cementitious matrix

was calibrated for brittle failure. Overall, the selected PFM provided satisfactory results in microscopic fracture modeling.

Second, the introduction of interfacial elements did not significantly improve the faithfulness of fracture path predictions. Applied to a complex asymmetric crack path, undamageable interfaces (corresponding to an LSM model) with higher prescribed thickness, which were more compliant, led to less-dependent crack paths on the spatial distribution of aggregates, and were less consistent with the experimental crack path. Conversely, in the case of a flat fractured surface, compliant and imperfect interfaces did not induce significant changes in the fracture path. In general, the interfaces with smaller thickness gave better results in terms of predicted crack path.

Third, in both tests, the predicted crack path changed when accounting of ITZ debonding. The asymmetric path depended on the choice of fracture parameters. For a flat fracture surface, it was a lot less sensitive to the selected fracture parameters. It is worth noting that even without the introduction of interface elements, the diffuse damage zone modeled with the PFM could effectively represent crack propagation through ITZs. This observation explains the fact that supplementing LSM with CZM at matrix-aggregate interfaces could not improve the crack propagation predictions since it was already well reproduced by the PFM alone. Regarding the force-CMOD response, the introduction of compliant interfaces reduced the flexural strength and made the pre-peak nonlinearity of the force-CMOD curve more pronounced. ITZ debonding caused further decrease of flexural strength and pre-peak strain hardening.

In further studies, damage models based on the same approach may be applied for cementitious bulk matrix and matrix-aggregate interfaces for crack propagation modeling in mortar, as was performed on concrete [37]. The damaged region simulated with the PFM tended to be more diffuse perpendicular to the crack mouth. In future studies, using phase-field models with elastic domain [101; 102] or phase-field regularized cohesive zone models (PF-CZMs), which demonstrated lower length scale sensitivity [48; 103], may improve the localization of damage.

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## 6. Conflict of interest

The authors declare that they have no conflict of interest.

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