

Landslides induced by the 2017 Mw7.3 Sarpol Zahab earthquake (Iran)

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| 2 | Landslides induced by the 2017 Mw7.3 Sarpol-Zahab earthquake |
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| 16 | Abstract. Landslides are the main secondary effects of earthquakes in mountainous areas. The spatial distribution of these |
| 17 | landslides is controlled by the seismic ground motion and the local slope stability. While gravitational instabilities in arid |
| 18 | and semi-arid environments are understudied, we document the landslides triggered by the Sarpol-Zahab earthquake |
| 19 | (November 12, 2017, Mw7.3, Iran/Iraq border), the largest event ever recorded in the semi-arid Zagros Mountains. An |
| 20 | original earthquake-induced landslide inventory was derived, encompassing landslides of various sizes and velocities |
| 21 | (from rapid disrupted rockfalls to slow-moving coherent landslides). This inventory confirms the low level of triggered |
| 22 | landslides in semi-arid environments. It also displays clear differences in the spatial and volumetric distributions of |
| 23 | earthquake-induced landslides, having a 386 rockfalls of limited size triggered around the epicenter, and 9 giant (areas of |
| 24 | ca. 10^{6} m ²) active and ancient rockslides coseismically accelerated at locations up to 180 km from the epicenter. This |

unusual distant triggering is discussed and interpreted as an interaction between the earthquake source properties and the local geological conditions, emphasizing the key role of seismic ground motion variability at short spatial scales in triggering landslides. Finally, the study documents the kinematics of slow-moving ancient landslides accelerated by earthquakes, and opens up new perspectives for studying landslide triggering over different time-scales.

29 1 Introduction

Slope failures are one of the main secondary effects of earthquakes (Marano et al., 2010), with a large part of co- and 30 post-seismic damage caused by landslides in mountainous areas (Fan et al., 2019; Keefer, 2002; Marc et al., 2015). The 31 spatial distributions of earthquake-induced landslides highlight trigger parameters related to the earthquake parameters 32 such as the moment magnitude and proximity to the fault (Rodríguez et al. 1999; Keefer 2002), the position in the 33 hanging/footwall of faults (Gorum et al., 2011), or the fault rupture kinematics (Gorum et al., 2014; Specht et al., 2019). 34 Trigger parameters are also related to the site conditions such as the geology (Bozzano et al., 2008; Roback et al., 2018), 35 the groundwater (Watkinson and Hall, 2019), or the topography (Meunier et al., 2008; Lacroix et al., 2013). Complex 36 37 interactions between these different factors can generate variability in seismic ground motions across a range of spatial scales, which is a key factor influencing landslides spatial distribution in mountainous areas. Subsequent questions arise 38 on the characteristics of the seismic ground motion at the origin of the triggered landslide in relation to the corresponding 39 mechanical behavior of soils and rocks under dynamic loading (e.g. frequency content, amplitudes, duration, Harp and 40 Wilson, 1995; Meunier et al., 2007; Tatard et al., 2010; Harp et al., 2014; Specht et al., 2019; Valagussa et al., 2019). 41 42 Landslides may also be induced at unexpectedly large distances from the epicenter, in relation to slope susceptibility, site effects, and/or antecedent rain (Delgado et al., 2011). These complexities make it difficult to quantify the controlling 43 factors of earthquake-triggered landslides, which still remains an open question. 44

In the literature, a recent successful strategy to address this issue has been to compile and analyze all available coseismic 45 landslide inventories (Tanyas et al., 2017; Marc et al., 2016; Tanyas et al., 2019), albeit with a clear under-representation 46 of arid and semi-arid mountainous regions (e.g. Central Andes, Zagros, Tien Shan, Africa, Central Mountain Ranges), 47 48 even though most of these areas have high seismic activity. This bias can be explained by the low density of population 49 in these regions and the lower level of landslide triggering compared to other tropical or temperate areas for similar earthquake magnitudes (Lacroix et al., 2013, Barlow et al., 2015). Indeed, while shallow disrupted slides in soils and 50 colluvial deposits highly predominate during earthquakes (Harp and Jibson, 1995; Wang et al., 2002; Keefer, 2002) the 51 scarcity of rainfall in arid and semi-arid regions limits weathering and tends to generate hard, thin and dry soils with low 52 organic matter and clay content (Singer., 1991; Dregne, 1976). These properties make soils less sensitive to seismic 53

54 shaking, generating fewer shallow disrupted slides in soils and colluvial deposits, which usually predominate during 55 earthquakes in wet conditions (Harp and Jibson, 1995; Wang et al., 2002; Keefer, 2002). Arid and semi-arid regions are, 56 however, of great interest for the study of factors controlling earthquake-triggered landslides, because the interfering 57 effect of rainfall occurs little or not at all and site effects should be limited.

This study analyzes the distribution of landslides triggered by the Sarpol-Zahab earthquake (Mw7.3, Iran) that occurred 58 on November 12th 2017 in the semi-arid (mean precipitation of 230 mm/yr) northwest region of the Zagros Mountains 59 (Fig. 1). This major earthquake, associated with the rupture of a low angle blind thrust fault at depths of 15-20 km 60 (Gombert et al., 2019), occurred at the end of the dry season in an area that encompasses many giant paleo-landslides, of 61 62 volumes up to 30 km³ (Ghazipour and Simpson, 2016). Following this earthquake, a few coseismic landslides of various types (debris fall, boulder/rock fall) were reported near the epicenter (Miyajima et al., 2018; Vajedian et al., 2018). The 63 earthquake also reactivated the giant Mela-Kabod landslide (4-km-long, 1-km-wide) located ~40 km south of the 64 epicenter (Vajedian et al., 2018; Goorabi, 2020). To our knowledge, no reactivation was reported at other giant landslides 65 mapped by Ghazipour and Simpson (2016) in the region. 66

67 Accordingly, this study, which is based on an exhaustive inventory of the induced landslides, aims at understanding the factors controlling the landslide triggering during this earthquake. Landslide identification relies on a combination of 68 remote sensing techniques, with the objective of detecting landslides of various types and sizes. Following Keefer (1984), 69 we distinguish two main categories: coherent and disrupted slides. A disrupted landslide consists of many semi-70 independent units or granular flow. Co-seismic disrupted landslides generate scars and/or deposits that are mapped by 71 change detection methods using pre/post- earthquake optical images (Guzzetti et al., 2012). The detection of coherent 72 73 slow-moving landslides, activated or accelerated by the earthquake, was based on the complementary use of optical image 74 correlation and InSAR time-series analysis.

75 This study reveals an original distribution pattern of the co-seismic landslides in a semi-arid region, which sheds new 76 light on (1) the earthquake-induced effect on gravitational movements at large distances, (2) the role of seismic 77 amplification, and (3) the co-seismic and post-seismic landslide deformation.

79 2 Study site

80 2.1 Geology and landslides of the NW Zagros Mountains

The study area is located in Western Iran, close to the Iraq border, in the local provinces of Kermanshah and Ilam, at the 81 82 northwest extremity of the Zagros Mountains (Fig. 1a). The Zagros fold and thrust belt (ZFTB) formed in response to the 83 collision between the Arabian and Eurasian plates, which initiated at ~35 Ma (McQuarrie and al., 2003) and continues 84 today with a convergence rate of 8-23 mm/yr (Masson et al., 2014). This ~N-S convergence produced a zone of thinskinned deformation with a succession of asymmetrical, NW-trending, inverted folds affecting a 7-12 km thick pile of 85 Palaeozoic and Mesozoic to Cenozoic sedimentary rocks, comprising limestone, siltstone, conglomerate, shale and salt 86 (Fig. 1b). For a detailed geological log, the reader can refer to Alavi (2007). The shortening was accommodated along the 87 northwest-trending major thrust faults, which include the Main Recent Fault (MRF; bounding the Zagros to the northeast), 88 the High Zagros Fault (HZF; in the central Zagros), and the Mountain Front Fault (MFF; bounding the belt to the SW) -89 90 see Fig. 1b. Regional convergence also implied thick-skin shortening, as most of these faults initiate in the crystalline 91 Arabian basement through the reactivation of older normal faults (Barnhart et al., 2018). The northern part of the ZFTB 92 hosts moderate seismicity (M=5–6) with shallow epicenter depths ranging from 4 to 20 km (Talebian and Jackson 2004; 93 Gombert et al. 2019). The last two significant historical earthquakes in the region (Mw 5.9 and 6.4) occurred a thousand 94 years ago (Ambraseys and Melville 2005). The 2017 Sarpol-Zahab earthquake (Mw 7.3) is the largest event ever recorded in the Zagros mountains. 95

96 The topography of the northern ZFTB is strongly controlled by large wavelength folds affecting a succession of stiff 97 calcareous units (Sarvak, Ilam and Asmari Formations), shales (Surgah, Gurpi Formations), or conglomerates (Kashkan 98 Formation), forming ridges and valleys along the anticline and syncline axes, respectively (Alavi, 2007; Homke et al., 99 2009; Saura et al., 2011). Erosion and fluvial incision have carved this geological structure, revealing the contrast in 100 competence between the stratigraphic units through morphological features such as typical cuesta landforms, breached 101 anticlines, and secondary valleys that developed in less competent units.

This semi-arid zone receives about 230 mm/yr of annual rainfall, which occurs mostly between November and May. Despite the semi-arid conditions, several giant landslides were reported in the ZFTB, including the Seymareh rocksliderock avalanche that was probably seismically triggered ten thousand years ago (Roberts and Evans 2013). The latter is considered to be the largest terrestrial slope failure (volume 44 km³) ever known on Earth (Delchiaro et al. 2019). Ghazipour and Simpson (2016) provide a general inventory of large landslides for the ZFTB based on a review of geological mapping, complemented by manual mapping with Google Earth and Digital Elevation Models (DEMs) and were able to identify about three hundred large paleo landslides with areas ranging between 10^3 m^2 and 10^8 m^2 . The landslides reported by Ghazipour and Simpson (2016) and located in our study area are plotted in Fig. 1b. Nonetheless, Ghazipour & simpson didn't give clear explanation for the existence of these slides or showed signs of recent activities.

111



Fig. 1 (a) Study area location. (b) Geological map of the Northwestern Zagros adapted from the 1:2,500,000 tectonic map of the National Iranian Oil Company (Huber, 1976). The yellow beachball is the moment tensor estimated by the US Geological survey (USGS) for the 12 November 2017 earthquake. Earthquakes (Mw>5) are also reported from the USGS for the period of 2017-2018 and presented with empty circles. The empty triangles correspond to the giant landslides mapped by Ghazipur and Simpson (2016) while the red triangle shows the location of the Mela-Kabod landslide (Vajedian et al., 2018; Goorabi, 2020). The main faults are from Hessami et al. (2003). MRF: Main Recent Fault; HZF: High Zagros Fault; MFF: Main Frontal Fault.

120 2.2 The 2017 Sarpol Zahab earthquake and coseismic induced landslides

The Mw7.3 Sarpol-Zahab earthquake occurred on the 12/11/2017. The EQ epicenter was located at ca. $35^{\circ}N - 46^{\circ}E$, 121 about 60 km north to Sarpol-Zahab city, close to the lineament of the HZF fault (Fig. 1b). It killed 620 people and caused 122 considerable damage, especially in the city of Sarpol-Zahab (Miyajima et al., 2018). Several recent studies (e.g. Barnhart 123 et al., 2018; Chen et al., 2018; Nissen et al., 2019; Gombert et al., 2019) investigated the earthquake source properties, 124 and fault rupture process, using a combination of radar interferometry (InSAR) and seismology (body waveform 125 inversion), and discussed the tectonic context of this earthquake. These studies reached mainly the following conclusions: 126 (1) the fault rupture did not reach the surface, the earthquake occurred along a blind thrust fault dipping (east) 10-20° and 127 located at a depth of about 14-20 km in the crystalline basement; (2) afterslip may have propagated aseismically up-dip 128 of the earthquake epicenter onto a nearly horizontal basal decollement of the thin-skinned ZFTB; (3) the fault rupture was 129 about 50 km long and 30 km wide with a maximum coseismic slip of 5.5 ± 0.5 m; (4) a high impulsive source with a 130 southward rupture directivity is inferred, and produced the largest peak ground acceleration (PGA = 0.700 cm/s^2) in the 131 city of Sarpol-Zahab (~60 km south of the epicenter); (5) high horizontal peak ground accelerations (~100 cm/s2) were 132 also recorded up to 150 km south of the source (Babaie Mahani and Kazemian, 2018). 133

134 Miyajima et al. (2018) reported several coseismic rockfalls and landslides that affected roads and houses around the Sarpol Zahab town, including the triggering of a massive landslide (4×1 km) named Mela-Kabod and located a few 135 kilometers south-east of Sarpol Zahab (Fig. 1b). Coseismic interferometric measurements reveal decorrelation along or 136 close to pre-existing faults, suggesting surface processes (Vajedian et al., 2018) that were not precisely mapped. Authors 137 also estimated that the surface motions at the Mela-Kabod landslide reached over 30 and 10 meters in the horizontal and 138 vertical directions, respectively (Vajedian et al., 2018; Goorabi, 2020). However, to our knowledge, no comprehensive 139 inventory of Sarpol Zahab earthquake-induced landslides has been made, and the possible coseismic effects on the many 140 large paleo landslides identified in this region have not been studied. 141

142

143 **3 Data and Methods**

The working strategy aimed at detecting the maximum possible number of earthquake-induced landslides in the study area, extending more than 200 kilometers along the Iran-Iraq border using different remote sensing methods (Fig. 2). First, the scars and deposits of disrupted coseismic landslides were mapped by a visual comparison of pre- and post-seismic Planet satellite images. Second, the slow-moving coherent landslides (velocities ranging from m/yr to mm/yr) were

- 148 detected by deriving the ground deformation from optical image correlation (Planet and Spot6/7 images) and from radar
- 149 satellite images (Sentinel-1 Interferometric Synthetic Aperture Radar) for the co-seismic period. Finally, once slow-
- 150 moving landslide sites were identified and mapped, their kinematics was studied by deriving time-series of landslide
- 151 displacements over a 20-month period surrounding the Sarpol Zahab earthquake from InSAR data (Table 1).

| Data type / satellites (resolution) | | Dates of Acquisition | | | | | | |
|--|-------------------------|--|--|--|--|--|--|--|
| | | Pre-earthquake | Post-earthquake | | | | | |
| Optical | Planet (3-4 m) | 19-Oct-2017 (N*) | 13-Nov-2017 (N*) | | | | | |
| | | 07-Nov-2017 (S*) | 17-Nov-2017 (S*) | | | | | |
| | SPOT6/7 (1.5 m) | 13-Oct-2013 (N*), 24-Apr-2014 (N*), 04- May-2014 (N*), 14-Aug-2014 (N*), 09- Nov-2014 (S*) | 29-Nov-2017 (N*), 12-Dec-2017 (N*) | | | | | |
| Radar | Sentinel-1 (~4x15 m) | 10-Jan-2017 to 06-Nov-2017 (acquisition every 12 days) | 12-Nov-2017 to 27-Aug-2018 (acquisition every 6 days) | | | | | |

153

- 154 **Table.1** Synthesis of satellite data used in this study and their characteristics. N* and S* correspond respectively to the
- areas around the epicenter and in the Southern part.



158 Fig. 2 Footprints of the satellite data used in this study. See Table 1 for the data characteristics.

160 **3.1 Inventory of disrupted landslides**

A detailed inventory of coseismic disrupted landslides was conducted by the visual comparison of multispectral Planet satellite images (3-4 m resolution) acquired before and after the earthquake (19/10/2017 and 13/11/2017) covering an area of 12000 km² centered on the epicenter (see footprint on Fig. 2). The available pre- and post-earthquake orthorectified Planet images were compared and analyzed in ArcGIS software using available toolsets, DEMs, and Earth view base maps. The analysis was complemented by geological maps of unequal resolution: the 1:250,000 llam province geological map from Llewellyn (1974) and the 1: 2,500,000 lran tectonic map from the National Iranian Oil Company (Huber, 1976). As shown, for instance, on Fig. 3, we typically detected and mapped new rockfall scars and their associated debris deposits 168 induced by the earthquake as polygons. To extract the slope of each detected disrupted landslide, a slope map was derived 169 from the high-resolution post-earthquake DEM using arcmap slope (3D analysis) tool (see section 3.2.1). We ascribed to 170 each landslide the steepest slope found within a buffer zone of 50 m around the highest altitude point (i.e. headscarp) of 171 each mapped polygon.



- 173 Fig. 3 Typical example of coseismic disrupted landslide deposits mapped from Planet imagery. (a) pre and (b) post Sarpol-
- 174 Zahab earthquake Planet imagery showing the same area. (c) Post-earthquake Google Earth view.

175

176 **3.2 Inventory of coherent slow-moving landslides**

177 **3.2.1 High resolution DEMs**

Two 4 m resolution pre- and post-earthquake DEMs were generated in the region of the epicenter (Fig. 2) from tri-stereo pairs of SPOT6/7 images, acquired in 2014 and November-December 2017 (Table 1). Ames Stereo Pipeline (Beyer et al., 2018) was used for the DEM generation, following the iterative method proposed by Lacroix (2016). A 4 m resolution pre-earthquake DEM was similarly generated with Ames Stereo Pipeline using tri-stereo SPOT7 images (acquired in 2014, Table 1) covering the southern part of the study area (Fig. 2). These DEMs were used both for geomorphological purposes and for precise orthorectification of optical images (see following section).

185 **3.2.2** Correlation of optical images

The earthquake-induced horizontal ground displacement was calculated from the correlation of pre/post-earthquake 186 orthorectified optical satellite images (Leprince et al., 2007), using SPOT6/7 images for the northern area, and Planet 187 satellite images for the southern area where immediate post-earthquake SPOT6/7 images were not available (Table 1). 188 The pre- and post-earthquake panchromatic bands of the SPOT6/7 images from the northern area (Fig. 2) were first 189 orthorectified using the high resolution concurrent DEMs and then mosaicked. The COSI-Corr phase correlator was then 190 191 used to measure the horizontal ground motion (Leprince et al., 2007). The correlation was conducted in the frequency domain using a sliding window of 64×64 pixels, which was chosen after several trials to maximize the signal to noise 192 ratio on the Mela-Kabod landslide. In a similar manner, the Planet images were correlated (Fig. 2). For that, the green 193 bands were utilized for correlation due to artifacts observed in the other bands. 194

The EW and NS displacement fields were post-processed to correct them from classical artifacts (e.g. Leprince, et al., 2007; Bontemps et al., 2018): a 2D linear ramp was removed from the data to detrend them and pixels of low correlation coefficient (CC<0.65 for SPOT6/7 and CC<0.7 for Planet images) were masked. Finally, to eliminate a potential constant bias of georeferencing, the median of the displacement in each direction was removed from the entire EW and NS displacement fields (e.g. Lacroix et al. 2018).

These displacement fields were then inspected visually to detect landslide-like patterns of displacement with magnitudes larger than the uncertainty of the displacement (calculated as the standard deviation of the EW and NS components over the whole area), oriented in the direction of the slope. The detected patterns are then plotted on the google-earth image to validate the landslide origin of this signal.

204

205 3.2.3 InSAR processing

InSAR data were used to detect and monitor slow coherent landslides. We derived ground motion time-series from InSAR
using three Sentinel-1 SAR tracks spanning a 20-month time period centered on the earthquake (Table 1) with acquisitions
of 12 and 6 days before and after the earthquake respectively. differential interferograms were generated using the NSBAS
(New Small BAseline Subset) (Doin et al., 2011) processing chain based on the ROI_PAC software (Rosen et al., 2004).
Two ascending (tracks 174 and 72, subswath iw1 for both) and one descending (track 6, subswath iw2) tracks of Sentinel
1A and 1B covering an area of 33500 km2 (see footprint on Fig. 2 and Table 1) were used for this purpose. Initially, all
secondary SLC (Single Look Complex) images in a single reference SLC geometry were resampled and co-registered to

reference using precise orbits and an ASTER digital elevation model (30 m resolution), combined with empirical offsets between secondary and reference images. Then, a small baseline subset was defined using temporal and perpendicular baseline constraints (Doin, et al., 2011). After calculating differential interferograms we corrected them from atmospheric delays using ERA-5 ECMWF (European Center for Median-Range Weather Forecast) reanalysis (Doin et al., 2009). Finally, we made an empirical correction for topographically-correlated atmospheric-delays (Doin et al., 2011).

The coseismic interferograms were then inspected for landslide-like patterns characterized by InSAR fringes with sharp limits delineating spatially small anomalies located on slopes (e.g. Dini et al., 2019). Special attention was paid to the giant paleo-slides identified by Ghazipour and Simpson (2016) to detect potential signs of activity. When a landslide-like pattern is detected, we then inspected the Google Earth images and the generated DEMs to check for typical geomorphological signs of gravitational deformation (i.e. scarps, depletion zones, debris deposits, slope bulging, river deflection, etc.).

The displacement time-series were then retrieved for the detected landslide sites. As the coseismic signals on landslides 224 225 were too large to be unwrapped, due to phase ambiguities across landslide boundaries, the pre- and post-earthquake time-226 series were analyzed independently to determine the pre- and post- seismic landslide kinematics. After dividing the interferograms into pre- and post-earthquake groups, phase delays of the unwrapped interferograms were inverted pixel 227 by pixel in order to solve the total phase delay, relative to the first date (Doin et al., 2011). Time-series for each landslide 228 were then constructed using the cumulative deformation maps obtained from the inversion (one map at each date of the 229 Sentinel-1 images). The mean displacement (μ) was calculated over a selected window, of about 15x15 pixels on the 230 landslide body at each date, relative to a mean displacement extracted from a surrounding buffer zone of several hundreds 231 232 of meter width. The displacement deviation (σ) was then estimated from the mean absolute deviation of the displacement 233 in the reference area.

In a next step, the pre- and post-seismic landslide mean velocities and their associated uncertainties was calculated. Each point "*i*" of the time-series was considered as a random variable of normal distribution (μ_i , σ_i). 10,000 realizations of this random variable were randomly picked at each point of the time series, and the associated 10,000 pre and post velocities were calculated by a linear regression of these time-series. The mean and standard deviation of these 10,000 velocities gave us an estimate of the mean velocity and its uncertainty.

4. Results

241 **4.1 Disrupted landslides**

386 coseismic disrupted landslides were mapped (Fig. 4) with areas ranging from 200 to 20000 m². These events are 242 spread over 140 km along the fault in the mountain ranges, with the more distant one located 90 km south of the epicenter. 243 244 They are mostly rockfalls that affect mainly limestone and flysch formations. Approximately 85% of these landslides are 245 located within 40 km of the epicenter, with the highest concentration northwest of the epicenter (Fig. 4). This clustering does not correspond to the coseismic slip of the fault that occurred preferentially southward from the epicenter (Fig. 4). 246 As the fault is blind with a low dip angle, the majority of the disrupted landslides are located some distance to the fault, 247 ranging from 14 to 30 km. As seen in Fig. 4 and Fig. 5, landslide locations present a clear relationship with the topography, 248 with most landslides occurring on slopes between 40° and 80°, which are significantly steeper than the mean ~18° slope 249 of the area (Fig. 5). 250



Fig. 4 Disrupted slides and falls inventory, and corresponding density map. White isolines indicate cumulative fault-slip
(in meters) 12 seconds after earthquake reported from Gombert et al. (2019).



Fig. 5 Normalized number of landslides per slope category, and comparison with the slope distribution in the area ofstudy.

255

259 4.2 Coherent landslides

260 4.2.1 Detection and characterization

261 Nine coseismically coherent landslides were detected, their locations and properties are summarized in Table 2.

262 One site located ~40 km south of the epicenter was detected by optical image correlation: the Mela-Kabod landslide (Fig.

263 6), already reported by Miyajima et al. (2018) and Vajedian et al. (2018). This massive landslide (area 4.5 km²) affects

the red conglomerates of the Kashkan formation underlying the stiff limestone of the Asmari Formation (Valkaniotis et

al., 2018). It showed southwestward movement with a maximum horizontal coseismic displacement of about 30 m, in

- agreement with the value found by Vajedian et al. (2018) using SAR interferometry. The detection threshold was found
- to be 0.5-1.6 and 1.5-2.2 for SPOT6/7 and Planet image correlation respectively. With this detection threshold, no other
- 268 earthquake-induced landslides were found in the targeted area.



Fig. 6 Spot6/7 image (Table S1) correlation results for the Mela-Kabod landslide obtained using the COSI-Corr tool,
showing the 3 yr cumulated displacement in meters including the coseismic period of the Sarpol-Zahab earthquake. The

black contour shows the limit of the horizontal displacement field while the displacement direction and magnitude are presented by the red arrows.

Analyzing the coseismic SAR interferogram over the study area (Fig. 7a), eight landslides characterized by sharp phase 275 discontinuities and 3-4 fringes were detected (Fig. 7b and Fig. 7c; Table 2). One landslide; the Bezmir-Abad with an area 276 of 15 km² (Fig. 7b) is located 36 km south of the epicenter, close to the Mela Kabod landslide, and also affects the Kashkan 277 278 and Asmari formations. The other seven landslides are clustered between 140-180 km south of the epicenter (Fig. 7c, Table 2), in a region where no disrupted landslides were detected with the Planet satellite imagery. The size of the affected 279 areas varies between 2 and 7.8 km² (see Table 2). Four of these sites (Mehr, Marbera-1, Marbera-3 and Bezmir-Abad) 280 coincide with the location of paleo landslides reported by Ghazipour and Simpson (2016), two are pointed on the 281 geological map (Marbera-1 and Delgosha; see Fig. S1 in supplementary information), while the other two (Sarney-1 and 282 283 Sarney-2) were previously unknown.



Fig. 7 (a) Coseismic SAR interferogram computed over the study area from the ascending track 72 between 11/11/2017 and 17/11/2017. (b) and (c) close-ups show the 8 landslides detected with InSAR near the earthquake and in the southern far-field, respectively. The location of the Mela-Kabod landslide, detected with optical images, is identified with a red triangle.

Assessment of the geomorphology and the geology of each site revealed clear evidence for pre-existing gravitational slope deformations such as (1) headscarps of pluri decametric height bounding the top of the zones (Table2), (2) slope bulging and river deflection in some cases, and (3) screes and old landslide deposits.

As an example, the geomorphological analysis of the Mehr landslide, located 170 km south of the epicenter, is shown in Fig. 8. The coseismic displacement extent, clearly visible in the interferogram (Fig. 8a), delineates a 3 km long by 2.5 km wide region. It is bounded to the SW by a ~160 m high headscarp and to the NE by the toe of debris deposits that propagate ~600 m over the valley floor (see DEM in Fig. 8b). The geological map (Fig. 8c) and the cross-section (Fig. 8d) indicate that the landslide initiated at the contact between Ilam limestones and Surgah shales, along the northern flank of a NW-SE-striking anticline. The mechanism is a rockslide consisting of stiff limestone blocks sliding over a large distance on a shale layer dipping 5 to 15° NE, and probably eroding it throughout the movement. From Google Earth images, the mass in motion is destructured with a mixing of limestone blocks, entrained clayey material, and debris deposits probably generated by secondary rockfalls. Comparison between a topographic profile extracted along the rockslide and one taken along the non-disrupted slope suggests a maximum depth of 200 m for the slip surface (Fig. 8d), implying a rockslide volume of ~0.5 km³.

We found similar geomorphological and geological characteristics for the other sites (Fig. S1 and Table 2) that can be summarized as follows: (1) the detected interferometric patterns match the positions of pre-existing giant rockslides with a volume range from 0.16 to 2.2 km³, as estimated from the empirical surface/volume law from Ghazipur and Simpson (2016); (2) all rockslides occurred in a geological structure with a limestone layer (Ilam or Asmari formations) overlying a softer rock (shale, marl or conglomerate of the Surgah, Pabdeh and Kashkan formations, respectively); (3) for the seven sites located furthest from the epicenter, the rockslides developed on the flanks of anticlines; (4) as revealed by the headscarp height and the debris propagation, most rockslides exhibit a total displacement of several hundred meters.

311



Fig. 8 The Mehr giant rockslide (see location in Fig. 7c) presented from (a) a coseismic interferogram computed along the Sentinel-1 ascending track-72 between 11/11/2017 and 17/11/2017, (b) a pre-seismic SPOT6-7 hillshaded DEM (4 m resolution, 09/09/2014), (c) a geological map adapted from Llewellyn (1974), (d) a cross-section built along the profile "ab" (see location on Fig. 8c). The pre-rockslide topography is presented from the reference profile a'b'.

317 4.2.2 Co-seismic motion

The precise quantification of the coseismic motion with InSAR data is not possible due to (1) the sharp limits of the patterns in the coseismic interferograms (Fig. 7), which prevent extraction of the phase value during the unwrapping process, and (2) the absence of a displacement signal in the correlation of optical images (Fig. S1). Accordingly, the upper and lower limits of the coseismic motion was bracket using the optical image correlation uncertainty and fringes numbers of the interferogram respectively. The LOS coseismic displacement at the 8 sites previously affected by rock avalanches was estimated to be between a few centimeters and a few tens of centimeters (Table 2).

| Landslide name | | Mehr | Marbera- 1 | Marbera- 2 | Marbera- 3 | Sarney- 1 | Sarney2 | Delgosha | Bezmir- Abad | Mela- Kabod |
|--------------------------------------|------------------------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---|--|
| Location | Longitude | 46.43909 | 46.36963 | 46.28953 | 46.32007 | 46.12247 | 46.0821 | 46.49005 | 46.10286 | 45.906 |
| (°° .dd) | Latitude | 33.4379 | 33.5133 | 33.5103 | 33.5446 | 33.6532 | 33.6291 | 33.3525 | 34.6157 | 34.535 |
| Ghazipur | Name | L21 | L22 | NR | L23 | NR | NR | NR | L56 | NR |
| Simpson (2016) | Area (m ²) | 5.2x10 ⁶ | 3.9x10 ⁶ | NR | 6.3x10 ⁵ | NR | NR | NR | 1.6x10 ⁶ | NR |
| Area (m ²) | | 5.8x10 ⁶ | 5.2x10 ⁶ | 2.7x10 ⁶ | 4.9x10 ⁶ | 2.6x10 ⁶ | 7.8x10 ⁶ | 4.4x10 ⁶ | 15x10 ⁶ | 4.5x10 ⁶ |
| Width (m) | | 2500 | 3100 | 1000 | 2400 | 1600 | 3600 | 2000 | 6100 | 3800 |
| Length (m) | | 3100 | 2000 | 1800 | 2300 | 2500 | 2500 | 2100 | 2500 | 1700 |
| Volume (m ³) | | 5.2x10 ⁸ | 4.5x10 ⁸ | 1.7x10 ⁸ | 4.1x10 ⁸ | 1.6x10 ⁸ | 7.8x10 ⁸ | 3.4x10 ⁸ | 2.2x10 ⁹ | 3.5x10 ⁸ |
| ΔH (m) | | 410 | 425 | 340 | 550 | 370 | 520 | 520 | 510 | 560 |
| Maximum height of headscarp (m) | | 160 | 130 | 30 | 120 | 100 | 160 | 100 | 300 | > 30 |
| Slope average (°) | | 9 | 10 | 8 | 12 | 9 | 8 | 8 | 10 | 14 |
| Landslide orientation | | North- East | North- East | South- West | North- Northeast | North- East | South- West | South- West | North- East | South- West |
| Distance from epicenter (km) | | 169.7 | 159.7 | 158.8 | 155.5 | 140.1 | 142.4 | 179.6 | 35.8 | 41.9 |
| | Pre- earthquake period | 25.4 ± 3.4 | 11.8 ± 3.9 | 0.3 ± 2.3 | 24.5 ± 4.2 | 2.6 ± 2.9 | 2.5 ± 2.3 | 23.2 ± 6.6 | 14.6 ± 12.5 | $\begin{array}{cc} 0.2 & \pm \\ 2.0 \end{array}$ |
| LOS velocity (mm/yr) | Post- earthquake rain period | 25.9 ± 8.5 | 38.1 ± 7.9 | 6.2 ± 5.6 | 46.5 ± 7.8 | 5.9 ± 6.1 | 9.5 ± 4.5 | 28.9 ± 6.7 | 9.1 ± 12.5 | 13.7 ± 2.0 |
| | Post- earthquake dry period | 16.7 ± 16.7 | 14.8 ± 17.4 | 2.6 ± 11.7 | 2.9 ± 16.2 | 2.5 ± 13.6 | 10.6 ± 10.6 | 34.3 ± 11.2 | $\begin{array}{rrr} 18.1 & \pm \\ 32.1 \end{array}$ | 28.9 ± 6.7 |
| Range of coseismic displacement (cm) | | 9 to 40 | 11.4 to 36 | 5 to 42 | 2.9 to 42 | 5.7 to 75 | 8.6 to 75 | 5.7 to 67 | 11.4 to 50 | 100 to 3500 |

325 Table 2: Characteristics of the giant slow-moving rockslides detected from Sentinel-1 interferograms and optical image correlation. The area of the rockslides already identified by Ghazipur and Simpson (2016) is given for comparison. NR 326 stands for landslides that were Not Reported in the database of Ghazipur and Simpson (2016). The area deduced from 327 this study corresponds to the area affected by co-seismic displacement as seen in the interferograms. The volume is 328 estimated from the empirical law proposed in the study of Ghazipur and Simpson (2016) for the Zagros region. The 329 elevation difference ΔH , average slope and length are calculated from the headscarp top to the landslide toe. Landslide 330 orientation gives the direction toward which the material moves. Line-of-sight (LOS) velocities are calculated by linear 331 332 interpolation of cumulative displacements from time series over several months (see §3.2.3 for details).

333

334 4.2.3 Pre- and post-seismic time-series

The cumulative LOS displacement time-series show different kinematic patterns for the coherent landslides before and 335 336 after the earthquake (Fig. 9). In the pre-seismic period, landslide velocities range between 0 to 25 mm/yr, with either dormant (almost zero velocity within the error limits: Fig. 9c, d, e, h) or slightly active landslides of constant velocities 337 338 (Fig. 9b, f, g, i, j). After the coseismic motion, we observe a transient relaxation over 20 days of about 2-5 mm clearly 339 seen at several sites: Mela-Kabod, Marbera-3, Marbera-1 and Mehr (Fig. 9c, f, g, i). Then, three different post-seismic patterns emerge with landslides showing: (1) a constant post-seismic velocity indistinguishable, within uncertainties, of 340 the pre-seismic one (Fig. 9b, d, h, i), (2) a constant post-seismic velocity higher than the pre-seismic one (Fig. 9e, j), and 341 (3) a transient increase in velocity of several months before returning to their pre-seismic rates (Fig. 9c, f, g). In this latter 342 case, the transient increase and its subsequent lower velocity phase coincide with rainy and dry seasons, respectively, as 343 344 shown by the comparison with rainfall time-series (Fig. 9a). Finally, the displacement observed for the last acquisition date of the Bezmir Abad time series (Fig. 9b) may correspond to the coseismic effect of a Mw 6.0 earthquake that occurred 345 in the vicinity (~13 km) of this site (Fig. S4). 346





Fig. 9 (a) Cumulative rainfall and rainfall intensity collected at the Ilam meteorological station (Fig. 1b; National Climatic Data Center). (b) to (j) Cumulative LOS displacement time-series with the error bars, computed for all the detected rockslides from InSAR over 20 months spanning the Mw 7.3 Sarpol-Zahab earthquake. The coseismic motion (Δ) is fixed for all time-series. The pre-seismic InSAR time-series for the Delgosha rockslide (j) extends back only 6 months before the earthquake due to unavailable data. The purple horizontal double arrow indicates the post-seismic relaxation period observed for some of the landslides.

355

356 5. Discussion

5.1. Uncertainty on the detection of landslides

358 The Sarpol-Zahab earthquake induced coseismic displacements for two types of landslides: 386 small disrupted slides 359 clustered in a radius of a few tens of kilometers around the epicenter, and 9 giant rockslides, 7 of which are located in the far-field (up to 5 times the fault length). The validation of this inventory is complex due to the lack of accurate field 360 observations, except for the well-detected Mela-Kabod giant landslide (Vajedian et al., 2018; Miyajima et al., 2018; 361 Goorabi, 2020). The smallest disrupted slide detected has an area of 200 m² but smaller scale events certainly occurred, 362 as suggested by field observations (Miyajima et al., 2018), which can therefore not be captured by our inventory. Also, 363 the complex coseismic InSAR images makes the detection of landslide-like patterns difficult in the area affected by the 364 strongest earthquake deformation (Fig. 7b). Thereof, there is a possibility that coherent landslides with small 365 displacements (< 0.9 m) in this area were not identified. On the other hand, landslides with large coseismic displacement 366 such as the Mela Kabod landslide cannot be detected by InSAR due to phase decoupling (Goorabi, 2020). Finally, small 367 coherent landslides (area less than ~10^4 m²) with displacement less than one meter are not detected by our methodology 368 due to the limited resolution of the Sentinel-1 SAR data (~4x15m original pixel size, 30x30m after multi-looking). 369

370 5.2 Controlling factors of disrupted landslides

Disrupted landslides are spread over ca. 140 km all along the fault plane with a higher concentration around the epicenter (Fig. 4), as commonly observed during earthquakes (e.g. Gorum et al., 2011; Gorum et al., 2014). The highest concentration is located northwest of the epicenter, and the farthest disrupted landslide was triggered 90 km south of the epicenter. The landslide concentration observed to the northwest is not consistent with the southward directivity of the source and the co-seismic slip displacement inferred from (Gombert et al. 2019), contrary to what was observed for other earthquakes (e.g. Gorum et al., 2011; Specht et al., 2019). Only few seismological stations were installed near the epicenter, 377 making it challenging to resolve the spatial distribution of the seismic ground motion in this area. Five seismic stations available within 50 of the 378 are km epicenter (https://earthquake.usgs.gov/earthquakes/eventpage/us2000bmcg/shakemap/pga). In the area of high landslide 379 concentration, 28 to 35 km north of the epicenter, three stations recorded PGA values between 0.27g and 0.57g, whereas 380 the highest ground motion is recorded 40 km south of the epicenter in Sarpol-Zahab city (in an area with little topography 381 and few landslides) with a peak ground acceleration of 0.76g. This strong asymmetry of the ground motion associated 382 with the fault rupture directivity (Babaie Mahani and Kazemian, 2018; Gombert et al., 2019) is not reflected in the spatial 383 384 distribution of landslides. Instead, the cluster of disrupted landslides northwest of the epicenter may be explained by the steep topography of the area (Fig. 4 and Fig. 5). Finally, although some small landslides may have been missed in our 385 inventory (see discussion above), the number of recorded landslides (about 400) is still very low for a Mw7.3 earthquake, 386 which would be expected to trigger a few thousand landslides in such a mountainous area (Keefer, 2002, Tanyas et al., 387 2017). This low number of landslides is, however, consistent with other observations from arid and semi-arid 388 389 environments (Lacroix et al., 2013; Barlow et al., 2015). For this earthquake, we suggest that it can be explained by (1) the aridity of the region, which limits weathering and soil production (Singer, 1991; Dregne, 1976), and (2) relatively 390 391 deep (and blind) thrust faulting, which induces lower ground motions than surface rupturing earthquakes (Aki, 1987).

392

393 **5.3 Far field forcing of coherent landslides**

The striking feature of this earthquake resides in the coseismic motion of the giant paleo-rockslides located at epicentral distances of up to 180 km (Fig. 7 and S1). For such a high magnitude earthquake (Mw 7.3), this distance corresponds to the upper bound, as can be inferred from worldwide data base of seismically induced landslides (Keefer, 2002; Delgado et al. 2011). The concentration and the huge size (volumes up to 2 km³) of the rockslides triggered by this earthquake is however intriguing and, to our knowledge, has never been reported so far from the epicenter (4-5 times the fault length).

All these giant rockslides were pre-existing features with very large slide displacements accumulated in the past (a few hundred meters), and for most of them still active before the earthquake (Fig. 8, Table 2) highlighting their unstable character. All these rockslides are situated south of the epicenter in the direction of the rupture. As emphasized by several authors (Chen et al., 2018; Gombert et al., 2019) the directivity of the rupture toward the south led to stronger ground motion south compared to north of the rupture (Babaie Mahani and Kazemian, 2018). The recorded PGA closest to these rockslides reached 0.13g at the Ilam station (146 km far from the epicenter) and is significantly higher than the PGA recorded north of the epicenter at approximately the same distance (0.03g in Mahabad or Bukan stations).

406 The ground shaking can also be amplified by both local topographic and geologic effects (e.g. Murphy, 2015), more specifically as several rockslides developed on flanks of anticline ridges and in mechanically heterogeneous lithologies. 407 Indeed, the five more distant giant landslides affected the same 200 m thick Ilam formation (limestone) overlying a 100 408 m thick shale layer (Surgah formation). This two-layer structure constitutes a dynamic oscillator on the thick underlying 409 carbonate of the Sarvak formation, generating resonance and favoring rock sliding initiation along the weak shale layer. 410 During the long-distance slide of the rockslides, the limestone blocks progressively disintegrated and dragged part of the 411 Surgah formation, creating a highly destructive deposit with a maximum thickness (t) of around 150 m, consisting mainly 412 413 of a mixture of shale and limestone. The amplification of the seismic waves during the earthquake is due to the seismic 414 impedance contrast (product of the density ρ and shear wave velocity Vs) between this deposit and the underlying calcareous substratum. For vertically incident SH waves in a 1D elastic structure, the resonance frequency f_0 and the 415 corresponding amplification Af₀ are given by (Kramer, 1996): 416

$$f_0 = \frac{V s_D}{4t} \tag{1}$$

$$Af_0 = \frac{\rho_B V s_B}{\rho_D V s_D}$$
⁽²⁾

419 where V_{S_D} and V_{S_B} are the shear wave velocities of the rockslide deposit and the bedrock, respectively, and ρ_D and ρ_B are 420 the corresponding densities.

421 Rockslide deposit and bedrock Vs values at these sites are not available, but plausible values can be taken from a similar 422 rockslide comprising limestone and marls (e.g. Socco et al., 2010), and bedrock (e.g. Telford et al., 1990): V_{SD} = 600 m/s; 423 V_{SB} = 3000 m/s; ρ_D = 2; ρ_B = 2.5. Considering these values, a resonance frequency $f_0 \approx 1$ Hz with a 1D amplification over 424 6 has been obtained. This resonance frequency, around 1Hz, is compatible with the frequency content of the earthquake 425 source at such large distances (Babaie Mahani and Kazemian, 2018), suggesting a potential amplification effect that can 426 thus favor landslide triggering.

Interestingly, several other ancient landslides previously mapped in this region (Ghazipour and Simpson, 2016) were not reactivated during the Sarpol-Zahab earthquake (Fig. 1b). Some explanations can be given for this phenomenon: (1) these landslides were inactive during the whole period of monitoring, thus indicating their higher stability; (2) they mostly occurred in different lithologies (Oligocene and Eocene units) with a structure (absence of shale layer) less sensitive to sliding and seismic amplification; (3) these non-reactivated landslides are mostly northwest oriented while the reactivated 432 ones are oriented northeast or southwest. Stronger seismic ground motions have been recorded perpendicular to the fault

433 for long-period waves (Babaie Mahani and Kazemian, 2018), favoring the initiation of NE-SW oriented landslides.

434

435 **5.4 Post-seismic motion of coherent landslides**

436 The 9 giant rockslides present a co-seismic motion of at least 10 cm, 4 of them (Fig. 9c, f, g, i) being affected by a transient 437 increase of the post-seismic velocity of a few mm in the 20 days following the earthquake. This co-seismic and relaxation process is consistent with previous observations (Lacroix et al., 2014), although the ratio between co- and post-seismic 438 motion is far greater here, with most of the motion released during the co-seismic phase (against 3 to 10 times more post-439 seismic than co-seismic motion released on the Maca landslide, Peru - see Lacroix et al., 2014; Bontemps et al., 2020). 440 Five of the landslides do not even present a post-seismic relaxation phase. These observations suggest that the rheology 441 of the sliding surface does not follow strain-weakening friction laws (Lacroix et al., 2014), a rheology which can be 442 443 caused by undrained loading of the landslide sliding surface during the shaking (Wang and Sassa, 2002) and progressive release of excess pore-water out of the loaded sliding surface. 444

Time-series of landslide kinematics also reveal an increase of the post-seismic annual velocity compared to the pre-445 seismic annual velocity for at least 5 of the studied rockslides (Fig. 9c, e, f, g, j). For 3 of the rockslides (Mela-Kabod, 446 Marbera-1, Marbera-3) we document a higher velocity during the 2018 rainy season (Fig. 9c, f, g) followed by a 447 448 subsequent decrease in velocity, eventually returning to the pre-earthquake velocity during the dry season. This observation may suggest a seasonal motion and threshold rainfall effects on the landslide kinematics (Zerathe et al., 2016). 449 450 Furthermore, moderate earthquakes (Mw5-6) that occurred close to the landslides (Fig. 1b) may have contributed to the 451 transient velocity increase by damaging rocks, thus promoting water infiltration (Bontemps et al., 2020) up to the impermeable formation (e.g. Surgah). However, exploring these issues are beyond the scope of this study, as this will 452 require longer time-series and detailed field measurements of hydrologic and kinematic parameters (e.g. Schulz et al., 453 2009). 454

455

456 **6.** Conclusions

In this paper we provide an inventory of landslides induced by the Mw 7.3 Sarpol-Zahab earthquake which struck the northern Zagros Mountains on the 12th November 2017. To detect a maximum of triggered landslides of various sizes, typologies, and co-seismic displacements, we performed: (1) a visual change detection comparing orthorectified Planet

460 optical satellite images (3-4 m resolution) spanning the earthquake, (2) optical image correlations using SPOT6/7 (1.5 m resolution) and Planet images spanning the earthquake, and (3) InSAR detection and monitoring using Sentinel-1 images. 461 With this strategy, 395 triggered landslides of different sizes and kinematics were detected, including 386 rockfalls 462 concentrated within a radius of 40 km around the epicenter and 9 pre-existing giant rockslides (area range 3-30 km²) 463 distributed at distances between ~40 km and up to 180 km from the epicenter. Results revealed the exceptionally low 464 number of landslides recorded during this Mw 7.3 earthquake, which is attributed to the semi-arid conditions of the Zagros 465 Mountains that limit weathering and soil production. On the other hand, the striking element revealed by this earthquake-466 467 induced landslide inventory was the co-seismic displacement of 9 pre-existing giant rockslides, with minimum displacements ranging between 10 and 90 cm. Seven of these coherent landslides are clustered in the far field at distances 468 of 140-180 km from the epicenter (4-5 times the fault length), and affect old deposits generated by the slide of a thick 469 limestone layer over a shale formation on limbs of anticlinal structures striking NW-SE. We propose that the co-seismic 470 forcing of these rockslides may be related to a combined effect of the southward directivity of the source, the NE-SW 471 polarization of the ground motions, their sensitivity to low-frequencies (~1 Hz), the site effect due to the seismic 472 impedance contrast on the flanks of the anticlines, and their pre-existing activity. From the InSAR time-series 473 474 documenting the rockslide displacements (covering 20 months centered on the earthquake date), we observed that half of those giant rockslides were already slowly moving before the earthquake (velocity < 25 mm/yr). 475

Finally, most of these giant coherent rockslides have certainly been initiated several millennia ago, as shown by the dating of the giant Seymareh landslide (Roberts and Evans, 2013). The aridity of the area helped to preserve their geomorphology, and open the possibility to date the scarp evolution (e.g. LeRoux et al., 2009) for a long-term study of the landslide kinematics. The recent activity documented here shows the interest of these objects for landslide forcing studies over both short and long term of arid environments.

481

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