

# First quantitative assessment of the adsorption of a fluorocarbon gas on phospholipid monolayers at the air/water interface

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1	<sup>1</sup> First Quantitative Assessment of the Adsorption of a Fluorocarbon Gas on
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4 5 6	Xianhe Liu, <sup>a</sup> Claire Counil, <sup>a</sup> Da Shi, <sup>a</sup> Estefania E. Mendoza-Ortega, <sup>a</sup> Andrea V. Vela-Gonzalez, <sup>a</sup> Armando Maestro, <sup>b</sup> Richard A. Campbell, <sup>bc</sup> Marie Pierre Krafft <sup>a</sup> *
7 8	<sup>a</sup> University of Strasbourg, Institut Charles Sadron (CNRS), 23 rue du Loess, 67034 Strasbourg Cedex, France
9	<sup>b</sup> Institut Laue-Langevin, 71 Avenue des Martyrs, CS20156, 38042 Grenoble Cedex 9, France
10	<sup>c</sup> Division of Pharmacy and Optometry, University of Manchester, Manchester M13 9PT, United
11 12	Kingdom
13	*Corresponding author:
14	Dr. Marie Pierre Krafft
15	E-mail: krafft@unistra.fr
16	Tel: +33388414060
17	
18	
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20	isotopic contrast
21	
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#### 1 ABSTRACT

Hypothesis: Fluorocarbon gases introduced above monolayers of phospholipids at the air/water interface were recently found to promote the adsorption of diverse molecular compounds, with potential application in drug-loaded microbubble design. Quantitative determination of the fluorocarbon present in the monolayers is strongly needed for the development of such applications. We hypothesized that neutron reflectometry (NR) and ellipsometry experiments would allow quantification of the fluorocarbon trapped in the monolayers.

8 **Experiments:** We report the first quantitative determination of the extents of adsorption of 9 perfluorohexane (*F*-hexane) on different phospholipid monolayers with respect to both their phase 10 and isotopic form. To this aim, we applied an approach based on co-modeling the data obtained 11 from NR and ellipsometry.

12 **Findings:** We found that *F*-hexane adsorbs strongly in monolayers of dipalmitoylphosphatidylcholine (DPPC) when they are both in the liquid expanded (LE) and liquid 13 14 condensed (LC) phases, but to different extents according to the isotopic form of the phospholipid. 15 Kinetic resolution of the interfacial composition from data on both isotopic contrasts (assuming chemical identicality) was therefore not possible using NR alone, so an alternative NR/ellipsometry 16 17 co-modeling treatment was applied to data from each isotopic contrast. F-hexane adsorbs more 18 abundantly on monolayers of hydrogenous DPPC than chain-deuterated DPPC when they are in the 19 LE phase, whilst the opposite was observed when they monolayers are in the LC phase. The extents of adsorption of F-hexane in monolayers of dimyristoylphosphatidylcholine (DMPC, LE phase) and 20 21 distearoylphosphatidylcholine (DSPC, LC phase) concurs with the strong dependence of those with phospholipids of different isotopic contrasts according to the monolayer phase. This new 22 23 methodology can lead to advances in the novel characterization of fluorocarbons interacting with of relevance to applications in 24 phospholipid monolavers such as the shells of fluorocarbon-stabilized medically-oriented microbubbles. 25

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#### 1 1. INTRODUCTION

2 Several products involving fluorocarbons (FCs), such as microbubbles and vaporizable 3 nanoemulsions, are under clinical evaluation for diverse applications in diagnostic and therapy, 4 including ultrasound imaging modes, non-invasive surgical tissue ablation, sonothrombolysis, potentiation of O<sub>2</sub>-dependant cancer treatments such as radio-, chemo- and immunotherapy, 5 blood-brain barrier opening for treatment of central nervous system diseases, etc.[1-7] The 6 7 FC-based colloidal systems most extensively investigated for medical uses comprise FC 8 nanoemulsions, nanoemulsions of volatile FC that can be converted in microbubbles under various 9 stimuli, and FC-stabilized microbubbles. Even so, in spite of the broad interest and applications of FC-induced biophysical interactions, quantification of the composition of interfacial layers formed 10 11 in the presence of FCs, in particular the amount of fluorocarbon that becomes incorporated in the 12 phospholipid monolayer with respect to the phospholipid chain length and hence its phase, has not been achieved to date. Fluorocarbon gases, when introduced in the gas phase above 13 14 dipalmitoylphosphatidylcholine (DPPC) monolayers, penetrate these monolayers and inhibit their liquid expanded (LE) to liquid condensed (LC) phase transition, that is, the formation of 15 quasi-crystalline LC domains.[8, 9] The FC gas can thus restore the re-spreading ability of DPPC 16 17 molecules at the gas/water interface, enabling their use as active components of lung surfactant 18 replacements.[8] Perfluorohexane (F-hexane) has also been shown to induce or accelerate the 19 adsorption at the air/water interface of a range of molecular compounds, including lipids, [10] block 20 co-polymers,[11] proteins,[12] cell biomarkers,[13] cerium oxide,[14] iron oxide nanoparticles,[15] 21 and diamond [16] nanoparticles. This attracting effect of F-hexane allowed the recruitment and 22 immobilization of fluorinated cell hypoxia biomarkers[13] and magnetic nanoparticles[15] in the 23 phospholipid shell of microbubbles through fluorine-fluorine hydrophobic forces, without need for covalent binding, thus providing a potential means for delivering the biomarker or nanoparticles 24 through their ultrasound-mediated destruction. 25

1 Monolayers of phospholipids, self-supported at the air/water interface, are effective models for investigating the recognition, recruitment, and organization of biological and therapeutic water 2 soluble molecules at interfaces.[17-19] Planar air/water interfaces are also convenient platforms for 3 4 the application of various techniques, including spectroscopy, [20] rheology, [21] 5 electrochemistry<sup>[22]</sup> and scattering,<sup>[23]</sup> and interactions in phospholipid monolayers have been investigated as a function of concentration, [24] pH[25] or temperature. [26] Specular neutron 6 7 reflectometry (NR) has long been recognized as an effective tool for investigating the structure and 8 composition of mixed layers adsorbed at interfaces.[19, 27-29] This method typically requires 9 co-refined fitting of data acquired with different isotopic contrasts to a common structural model, an 10 approach that usually requires deuterated compounds.

11 Recent advances in instrumentation have led to the provision of much higher neutron flux than 12 was previously available,[30] in particular at low values of the momentum transfer normal to a 13 reflecting air/water interface  $(Q_z)$ .[30] This new capability has opened up the quantitative real-time 14 routine monitoring of adsorption kinetics and other dynamic processes in synthetic and 15 biologically-related systems.[31] A recently developed approach involving two parallel neutron reflectivity measurements only at low  $Q_z$  values allows one to solve the composition of a binary 16 17 mixture much faster and more accurately than was formerly possible.[32, 33] An alternative 18 approach to quantify the composition of a binary mixture, but much less exploited, consists of 19 co-modeling data obtained using a single isotopic contrast of NR with data obtained by 20 ellipsometry.[34] To the best of our knowledge, there has been no attempt yet to quantify the 21 adsorption of fluorocarbons on phospholipid monolayers at the air/water interface. Indeed, even though NR is used routinely to investigate interactions of species in the bulk solution with 22 23 phospholipid monolayers, [35, 36] and has been used to examine the effects on monolayers at the 24 air/water interface from gas phase oxidants, [37, 38] there appears to have been no attempt yet to quantify the adsorption of molecules from the gas phase on monolayers at the air/water interface. 25

1 Our goal in this work is to resolve quantitatively the interactions of fluorocarbon gases with 2 planar model phospholipid membranes present in different phases at the air/water interface, which 3 is an essential step to understanding and developing the potential of FCs as reinforcers of 4 microbubble shells for diagnostics and therapy or as components of lung surfactant substitutes. As 5 the low- $Q_z$  method of NR to quantify the interfacial composition of binary mixtures at the air/water 6 interface requires parallel measurements involving components in their native and deuterated forms, 7 and as F-hexane has no hydrogen protons, we have performed NR and ellipsometry measurements 8 using hydrogenous DPPC (h-DPPC) and its isotopic analogue bearing d<sub>62</sub>-perdeuterated acyl chains 9 (d-DPPC), both in the LE and LC phases. NR and ellipsometry data were combined in order to 10 determine the surface excesses of DPPC and F-hexane. This study is the first quantitative 11 investigation of the adsorption and incorporation of a fluorocarbon in phospholipid monolayers and 12 also, to our knowledge, the first quantitative assessment of a multi-component system involving 13 adsorption of a component originating from the gas phase.

14 The manuscript is organized as follows. The principles of NR and ellipsometry are briefly 15 presented in Section II. In Section III, results are presented and discussed: analysis of ellipsometry data recorded in different isotopic contrasts of the same systems reveals that F-hexane interacts 16 17 differently with h- and d-DPPC (III.1); quantitative analysis of NR data of F-hexane with h- and 18 d-DPPC monolayers using a standard approach confirms that the assumption of equivalent physical 19 interactions of F-hexane with the DPPC isotopic forms is unjustified for this system (III.2); 20 co-modeling NR and ellipsometry data enables quantification of the interfacial composition in both 21 F-hexane/h-DPPC and F-hexane/d-DPPC mixed monolayers (III.3); in Section IV, adjustment 22 coefficients R that were determined for DPPC monolayers in various phases are applied to 23 monolayers of two other phospholipids, dimyristoylphosphatidylcholine (DMPC), which is in the 24 LE phase, and distearoylphosphatidylcholine (DSPC), which is in the LC phase. The results extend our quantification method to different lipid systems while further validating our approach that 25 26 combines NR and ellipsometry.

#### 1 II. MATERIALS AND METHODS

# 2 1. Materials

3 1,2-Dimyristoylphosphatidylcholine (h-DMPC), 1,2-dipalmitoylphosphatidylcholine (h-DPPC), 4 1,2-distearoylphosphatidylcholine (h-DSPC), all >99%, and their chain-deuterated analogues (d<sub>54</sub>-DMPC; d<sub>62</sub>-DPPC; and d<sub>70</sub>-DSPC, all >99%) were purchased from Avanti Polar Lipids 5 6 (Alabaster, AL, USA) and used without further purification. Perfluorohexane came from Fluorochem (>98%). A Hepes (N-2-(hydroxyethyl)piperazine-N'-(2-ethanesulfonic acid), powder, 7 8 99.5%, Corning, NY) buffer (20 mM) in 150 mM NaCl was prepared and adjusted to pH 7.4 using 9 0.1 N NaOH. Chloroform (99.4%) was purchased from VWR (Avantor, Fontenay-sous-Bois). 10 Ultrapure water was obtained from a Milli-Q (Millipore Corp.) system (surface tension: 72.1 mN  $m^{-1}$  at 20°C, resistivity: 18.2 M $\Omega$  cm). For the NR experiments, the Hepes buffer was prepared in air 11 12 contrast matched water (ACMW, containing 8.1% by volume of D<sub>2</sub>O (Sigma Aldrich, >99.9% D) in 13 H<sub>2</sub>O).

#### 14 2. Ellipsometry

Ellipsometry is a fast and precise polarized optical reflectometry technique for the 15 16 characterization of thin films (the film thickness should be smaller than the laser wavelength in the Drude approximation) and the kinetics of adsorption processes.[39, 40] Its footprint is  $< 1 \text{ mm}^2$ , as 17 compared to several cm<sup>2</sup> for NR. Although ellipsometry does not allow direct determination of the 18 19 surface excesses of multiple components at the interface, it has been used along with NR to perform 20 such quantitative analysis.[34] Ellipsometry measures the change of polarization that light undergoes 21 when it is reflected at an interface. The polarization change is quantified by an amplitude ratio  $\Psi$  and a phase shift  $\Delta$  that are related to the reflection coefficients (parallel  $r_p$  and perpendicular  $r_s$  to the 22 plane of incidence) by the relation:  $r_p/r_s = \tan \Psi e^{i\Delta}$ . As  $\Psi$  is relatively insensitive to thin layers 23 24 present at the air/water interface, the quantity measured in the present work is the change in phase shift at the interface  $\Delta = \Delta_{meas} - \Delta_0$ , where  $\Delta_{meas}$  is the value measured after deposition of the 25

phospholipid in the presence, or absence, of the fluorocarbon, and  $\Delta_0$  the value for the bare 1 2 air/water interface. This subtraction process approximately eliminates the effects of capillary wave 3 roughness, [41] neglecting the relatively minor influence of the surface tension change from sample 4 to sample. The surface excess  $\Gamma$  can be considered proportional to  $\Delta$  for layers at the air/water 5 interface in the thin film limit, provided that the thickness increases with  $\Gamma$  with a uniform density 6 (i.e. oil-like behavior).[42] For hydrocarbon surfactants, the relationship between  $\Gamma$  and  $\Delta$  is 7 approximatively linear.[34] In some cases, however, the linearity is no longer valid, which include LE vs. LC phases of phospholipid monolayers because the technique is sensitive to optical 8 9 anisotropy[43, 44], layers at the air/water interface in the thin film limit where with increasing 10 surface excess the density increases at uniform thickness, [40] and films thicker than ~10 nm. [39] In the present work, we assume linearity of the  $\Gamma(\Delta)$  relationship for fluorocarbon/phospholipid 11 12 systems in a given phase, as the condensation of the fluorocarbon on a monolayer is expected to result in an increase in thickness rather than density, and we follow the approximation that  $\Delta$  is an 13 additive function of the amounts of adsorbed compounds.[34] Thus, the phase shift  $\Delta_x$  of a single 14 component x varies linearly with its surface excess  $\Gamma_x$ ;  $\Delta_x = k_x \times \Gamma_x$ , where  $k_x$  is the slope of 15 16 the linear variation.

17 In the experiments reported here,  $\Delta$  measurements were achieved using a phase modulation 18 ellipsometer (Picometer Light Ellipsometer, Beaglehole Instruments, Wellington, NZ) in the 19 Partnership for Soft Condensed Matter (ILL, Grenoble).[45] The ellipsometer was equipped with a HeNe laser with a wavelength of 632 nm and the incidence angle was 50°. The change in phase 20 21 shift was measured at 5 s time intervals, and then for presentation were averaged over a period of at 22 least 300 s for experiment under air for which stabilization is fast. It took 1.5 h for ellipticity to 23 attain its equilibrium value in the experiments involving the fluorocarbon gas. Data were recorded 24 on h-DPPC and d-DPPC monolayers deposited on the Hepes buffer solutions (11 mL) in Petri dishes (surface area  $\sim 30 \text{ cm}^2$ ) enclosed in a chamber designed at the ILL.[46] The concentrations of 25 26 the DPPC solutions were the same as those used in the NR measurements, and the dispensed

1 volumes were adjusted by proportion of the relative surface areas in order to attain identical 2 experimental conditions as in NR. The experiments were repeated at least three times. The 3 experimental errors on  $\Delta$  measurements were  $\pm 2\%$  for phospholipids exposed to air or *F*-hexane; 4 and  $\pm 3\%$  for experiments involving *F*-hexane alone.

## 5 3. Neutron Reflectometry

## 6 a. Principles of low- $Q_z$ compositional analysis

7 NR is a powerful tool for the investigation of thin films[47], including phospholipid monolayers, 8 due to the possibility of exploiting isotopic contrast variation. [28, 48-52] The use of ACMW, which 9 has the same neutron scattering length density as air, enables the specular reflectivity signal to arise 10 only from any layer at the interface. The neutron reflectivity profiles show the intensity ratio of 11 neutrons in the specular reflection from the sample to those in the incident beam with respect to the momentum transfer  $Q_z$ , as defined by  $Q_z = (4\pi \sin \theta)/\lambda$ . NR profiles can be modeled to obtain 12 13 information about the structure and composition of an interfacial layer from the fitted thickness dand scattering length density  $\rho$ . However, in our case, the NR data were deliberately acquired only 14 on a restricted  $Q_z$  range (0.01–0.03 Å<sup>-1</sup>) and the surface excess of each component at the interface 15 16 was determined using the low- $Q_z$  compositional analysis method.

17 In a single component monolayer at the air/water interface, the surface excess is given by  $\Gamma_x = \frac{\rho d}{b_x N_A}$ , where  $b_x$  is the scattering length of component x and N<sub>A</sub> is Avogadro's constant,  $\rho$ 18 19 is the scattering length density of the component, d is the thickness of the layer. The so-called 20 "scattering excess" of the layer at low  $Q_z$ , which is expressed in terms of the amount of interfacial component with respect to its scattering contrast, is thus described as  $(\rho d) = N_A \Gamma_x b_x$ . The 21 scattering excess of a mixed layer at low  $Q_z$ , expressed in terms of the amount of each interfacial 22 component with respect to its scattering contrast, may be described as  $(\rho d) = N_A \sum \Gamma_x b_x$ .[28] This 23 approach is based on the approximation that the scattering contributions of each component is 24 25 additive as well as the assumption that the interfacial material can be modeled as a single uniform 5 b. Experimental Set-Up

6 NR measurements were performed using FIGARO (Fluid Interface Grazing Angle 7 ReflectOmeter) reflectometer optimized for horizontal surfaces at the Institut Laue-Langevin (ILL, Grenoble, France).[30] A beam of neutrons with  $\lambda = 2-16$  Å impinged upon the samples at  $\theta =$ 8 9 0.62°, although a restricted range of  $\lambda = 4.5-12$  Å was used in the data reduction process to generate reflectivity profiles with a  $Q_z$  range of 0.01–0.03 Å<sup>-1</sup> at a resolution of 8 %. The 10 11 acquisition time for each sample was 5 min. The data analysis was performed using an arbitrary scattering length density  $\rho$  of 5  $\times$  10<sup>-6</sup> Å<sup>-2</sup> with the Motofit program in the Igor software, which 12 allows to obtain the thickness value d.[53] An investigation showed that fitting with refined  $\rho$ 13 14 values instead of the arbitrary value led to small difference (< 3%) in the calculation of the surface excesses of DPPC and F-hexane. (see SI "Fitting parameter in low- $Q_z$  analysis", Table S1). It was 15 also verified that our treatment of the data was valid for layer thicknesses lower than 60 Å (see SI, 16 17 Fig. S1, Table S3, Scheme S3 and Table S8). The layer roughness was set to 3 Å. Six adsorption troughs with sealed lids constructed at the ILL  $(11.9 \times 5.0 \times 0.3 \text{ cm}^3)$  were positioned in parallel on 18 19 a sample changer. ACMW Hepes buffer solutions (18 mL) were deposited in each trough prior to the deposition of a 1-mM solution of the phospholipid (DPPC, DMPC or DSPC) in CHCl<sub>3</sub>. The gas 20 21 phase above the monolayers consisted either of air, or of air saturated with F-hexane. In the latter case, an open flat aluminum dish  $(5.5 \times 8.8 \times 0.5 \text{ cm}^3)$  was filled with 20 mL of liquid *F*-hexane and 22 introduced next to each trough. The measurements were all conducted at  $21 \pm 1$  °C. The saturated 23 vapor pressure and concentration of F-hexane at 25°C are  $2.9 \times 10^4$  Pa ( $2.3 \times 10^4$  Pa at 21°C) and 24 11.66 mol m<sup>-3</sup>, respectively; its water solubility is estimated at  $2.7 \times 10^{-4}$  mol m<sup>-3</sup> at 25°C.[54-56] 25

The saturation of the atmosphere in the trough was confirmed for each experiment by the presence of
 some liquid *F*-hexane still left in the dish when the lids were removed.

3 Because the surface pressure  $\pi$  could not be measured in the adsorption troughs during NR 4 measurements, we determined in advance of the neutron experiment the variation of  $\pi$  as a function 5 of the DPPC spread volume, under air or F-hexane exposure in a trough similar to those used on FIGARO and at the same temperature ( $21 \pm 1^{\circ}$ C, SI, Fig. S2a). This procedure allowed 6 7 reconstruction of the  $\pi/A$  isotherms for DPPC monolayers investigated during the NR experiments 8 (SI, Fig. S2b). Various volumes of DPPC solution were deposited in order to provide monolayers in 9 the LE phase (8 and 10  $\mu$ L), in the LE-LC coexistence phase (12 and 14  $\mu$ L) and in the LC phase 10 (16 µL) (SI, Table S4). It was verified that h- and d-DPPC monolayers were in comparable physical 11 state under air and under F-hexane exposure for the same deposited volume, hence same molecular area (SI, Fig. S3a). Appropriate spread volumes of DMPC solutions in CHCl<sub>3</sub> (15 µL) or DSPC (26 12  $\mu$ L) provided monolayers at 35 mN m<sup>-1</sup>, which corresponds to the LE phase for DMPC and to the 13 14 LC phase for DSPC (SI, Fig. S3b,c). The lids of the troughs were closed and the NR measurements 15 were conducted for 6 h. For the experiments in which F-hexane was present, the lids were opened 16 after the 6 h-period of monitoring in order to vent F-hexane out of the system. The measurements 17 were then resumed for another 2 h period. Neutron reflectivity profiles of the adsorption of 18 *F*-hexane at the surface of the Hepes buffer were also measured in the absence of phospholipid. The 19 experiments were repeated at least three times. Experimental errors on the surface excesses of DPPC ( $\Gamma_{\rm DPPC}$ ) are estimated  $\leq 7\%$  ( $\pm 0.1 \ \mu mol \ m^{-2}$  for LE phase and  $\pm 0.2 \ \mu mol \ m^{-2}$  for LC phase), and ~6% 20  $(\pm 1.0 \ \mu \text{mol m}^{-2})$  for *F*-hexane ( $\Gamma_{F-\text{hex}}$ ). 21

#### 22 III. RESULTS AND DISCUSSION

## 23 1. Ellipsometry Reveals a Difference in *F*-hexane Interactions with h-DPPC and d-DPPC

Ellipsometry was initially used in order to examine two isotopic forms of DPPC monolayer both in air and when exposed to *F*-hexane saturated air. The variation of the phase shift  $\Delta_{\text{meas}}$  was monitored

over time for monolayers of h- and d-DPPC in the LE and LC phases and under air or 1 2 F-hexane-saturated air (Fig. 1ab). The adsorption of F-hexane on the surface of the aqueous phase 3 (*i.e.* in the absence of phospholipid) was also monitored (Fig. 1c). In air (Fig. 1a), we observe that 4 1)  $\Delta_{\text{meas}}$  is higher for DPPC monolayers in the LC than in the LE phase, which is expected because the surface excess  $\Gamma$  is higher (spread values correspond to  $\Gamma = 2.8$  vs. 1.7  $\mu$ mol m<sup>-2</sup> for the LC 5 6 and LE phases, respectively); 2)  $\Delta_{\text{meas}}$  does not exhibit a rising or falling trend over time; and 3)  $\Delta_{\text{meas}}$  depends only slightly on the DPPC isotopic form (2.26 ± 0.05° and 2.19 ± 0.05° for h- and 7 8 d-DPPC in the LE phase; and  $3.03 \pm 0.05^{\circ}$  and  $2.82 \pm 0.05^{\circ}$  in the LC phase). By contrast, when the 9 DPPC monolayers are exposed to F-hexane (Fig. 1b), two significant differences are observed. 10 First,  $\Delta_{\text{meas}}$  is no longer constant over time as its adsorption is progressive. This can result from the 11 fact that the adsorption of F-hexane decreases the value of  $\Delta$  because F-hexane has a smaller 12 refractive index than water (1.251 [57] vs. 1.333; Fig. 1c). Second,  $\Delta_{\text{meas}}$  is no longer the same for 13 the two isotopic forms. In both LE and LC states,  $\Delta_{meas}$  is lower for d-DPPC than for h-DPPC 14 throughout the adsorption time period (Fig. 1b). In the LE phase, the  $\Delta_{\text{meas}}$  minimum values were 1.32° and 1.87° for d-DPPC and h-DPPC, respectively (i.e. a difference of 0.55°), indicating higher 15 16 adsorption of F-hexane on d-DPPC monolayer. In the LC phase, the difference was lower  $(0.27^{\circ})$ ; with  $\Delta$  minimum values of 2.29° and 2.02° for d-DPPC and h-DPPC, respectively). 17





Figure 1. Variation of the phase shift  $\Delta_{meas}$  over time, as measured by ellipsometry for DPPC monolayers in the LE phase (black: h-DPPC; red: d-DPPC) and LC phase (blue: h-DPPC; orange:

1 d-DPPC) under a) air and b) *F*-hexane exposure. c) Variation of  $\Delta_{\text{meas}}$  over time for *F*-hexane 2 adsorption on the aqueous phase in the absence of phospholipid. The arrows indicate the time point 3 at which *F*-hexane was vented-off. The experimental errors were  $\pm 2\%$  for DPPC monolayers 4 exposed to air or to *F*-hexane; and  $\pm 3\%$  for *F*-hexane adsorbing at the surface of the aqueous 5 phase.

6 It is known that the difference between the refractive indices of h- and d-hydrocarbons decreases 7 when their carbon atom number increases, [58] thus resulting in a strong decrease of the 8 proportional change in  $\Delta n = n - n_{water}$  (n and  $n_{water}$  being the refractive indices of a given 9 hydrocarbon and water, respectively) for h-and d-hydrocarbons. It is likely that for long 10 hydrocarbon chains such as those of DPPC, this proportional change becomes negligible. As a 11 consequence, any difference in the ellipsometry data can be attributed to different extents of 12 interaction of F-hexane to h- and d-DPPC. These results show that isotopic effects are observed for 13 DPPC monolayers in LE and LC states, with F-hexane interacting more strongly with d-DPPC than 14 with h-DPPC. It is noted that when F-hexane is vented-off from the adsorption trough,  $\Delta_{\text{meas}}$  returns back to its initial value, that is, to that measured in the absence of the fluorocarbon, which 15 16 demonstrates that the DPPC/F-hexane interactions are reversible. Quantification of these effects was 17 needed, as described in Section 3.

# Quantitative Analysis by Neutron Reflectometry Confirms the Difference in *F*-hexane Interactions with h-DPPC and d-DPPC

To confirm and explore the implication of the isotope effects suggested by the ellipsometry data presented above, neutron reflectivity profiles of h-DPPC and d-DPPC monolayers were measured in air and under *F*-hexane exposure in the low- $Q_z$  range (0.01-0.03 Å<sup>-1</sup>) (Fig. 2).



1

**Figure 2**. Measured (h-DPPC: squares; d-DPPC: triangles) and fitted (red line) variation of  $RQ^4$  as a function of  $Q_z$  of DPPC monolayers exposed to *F*-hexane a) in the LE phase and b) in the LC phase at the adsorption equilibrium (at ~4 h).

First, for reference, the data were modeled using a standard treatment, that is, assuming that *F*-hexane interacts *to the same extent* with h- and d-DPPC monolayers, and that the resulting layers are *homogeneous*. The surface excesses of h-DPPC ( $\Gamma_{h-DPPC}$ ) and d-DPPC ( $\Gamma_{d-DPPC}$ ) and the surface excesses of *F*-hexane when interacting with h-DPPC and d-DPPC, ( $\Gamma_{F-hex/h-DPPC}$  and  $\Gamma_{F-hex/d-DPPC}$ ) are given in the following equations:

11  $(od)_{h=\text{DDD}c}^{F-\text{hex.}} = N_A (\Gamma_{h=\text{DDD}c} \times b_{h=\text{DDD}c} + \Gamma_{H_h} + \Gamma_{$ 

11 
$$(\rho d)_{h-DPPC}^{F-hex.} = N_A (\Gamma_{h-DPPC} \times b_{h-DPPC} + \Gamma_{F-hex/h-DPPC} \times b_{F-hex})$$
 Eq. 1

12 
$$(\rho d)_{d-DPPC}^{F-hex.} = N_A (\Gamma_{d-DPPC} \times b_{d-DPPC} + \Gamma_{F-hex/d-DPPC} \times b_{F-hex})$$
 Eq. 2

where  $(\rho d)_{h-DPPC}^{F-hex}$  and  $(\rho d)_{d-DPPC}^{F-hex}$  are the scattering excesses of the h- and d-DPPC monolayers exposed to *F*-hexane;  $b_{h-DPPC}$ ,  $b_{d-DPPC}$  and  $b_{F-hex}$  are the scattering lengths of h-DPPC (27.55 fm), d-DPPC (673.03 fm) and *F*-hexane (117.772 fm), respectively. Under air,  $\Gamma_{DPPC}$  is calculated with equations 1 and 2 with  $\Gamma_{F-hex/h-DPPC} = \Gamma_{F-hex/d-DPPC} = 0$ . As  $\Gamma_{h-DPPC}$  and  $\Gamma_{d-DPPC}$  are assumed to be equal (same quantities of h-DPPC and d-DPPC were deposited; noted  $\Gamma_{DPPC}$  below) and as  $\Gamma_{F-hex/h-DPPC}$  and  $\Gamma_{F-hex/d-DPPC}$  are also equal (our hypothesis), Eqs. 1 & 2 can be resolved to calculate  $\Gamma_{DPPC}$  and  $\Gamma_{F-hex}$ .

It is observed that for monolayers in the LE phase under *F*-hexane exposure (Fig. 3a), based on the above assumptions,  $\Gamma_{\text{DPPC}}$  would be higher than the value measured under air (2.1 vs. 1.7 µmol m<sup>-2</sup>,  $\pm$  0.2 µmol m<sup>-2</sup>), and also higher than the value that corresponds to the amount of phospholipid deposited (1.7 µmol m<sup>-2</sup>), which is physically unrealistic. In the LC phase (Fig. 3b),  $\Gamma_{\text{DPPC}}$  was found to be lower than the value under air and the deposited value, a result that could be explained by a desorption of DPPC by *F*-hexane, which would be caused either by 3D collapse or solubilization of phospholipid molecules in the sub-phase in the form of vesicles. The latter hypothesis is unlikely as 3D collapse of bilayers on top of a DPPC monolayer has never been observed in previous
investigations by fluorescence microscopy or SAXS.[59, 60] On the contrary, all these previous
investigations demonstrated that the *F*C gas causes a fluidization of the DPPC monolayer, even at
high surface pressure, and dissolution of DPPC liquid condensed phase domains.[59, 60]



**Figure 3.** Variation of DPPC surface excess,  $\Gamma_{\text{DPPC}}$ , over time for DPPC monolayers in a) the LE phase and b) the LC phase, under air (blue) and under *F*-hexane exposure (green).  $\Gamma_{\text{DPPC}}$  is calculated with the assumption that the interactions between *F*-hexane and DPPC isotopic forms are identical. The arrows indicate when *F*-hexane has been vented off from the system. Errors on  $\Gamma_{\text{DPPC}}$ were  $\pm 0.2 \ \mu\text{mol m}^{-2}$ .

17 Whilst it would be intuitive on the basis of the ellipsometry results above to attribute these 18 physically unrealistic results to limitations of the analysis method applied in that F-hexane is 19 interacting with h-DPPC and d-DPPC to different extents, we went on to scrutinize whether our 20 assumption of modeling the low- $Q_z$  data as a single, uniform layer could instead explain, or at least 21 contribute, to the unphysical nature of the results above. The possible effects of non-uniformity in the 22 direction normal to the interface (i.e. different locations of F-hexane either in a layer above or mixed 23 in with the lipid chains, themselves in a layer above solvated headgroups), or non-uniformity in the 24 direction lateral to the interface (i.e. the possibility of domains of *F*-hexane sitting in between regions 25 of lipid monolayer on a length scale above the coherence length of the neutrons) were examined. These tests scrutinized the insensitivity to the interfacial structure of the low- $Q_z$  analysis method and 26 indeed went beyond lengths taken to validate the approach in any such previous study. The tests were 27 performed by simulating neutron reflectivity data of the mixed F-hexane/lipid systems in different 28

1 isotopic contrasts using a structural model and parameters that had been validated using data recorded 2 in 4 isotopic contrasts over the fully accessible  $Q_z$ -range,[33] before applying the low- $Q_z$  analysis 3 method to the same restricted  $Q_z$ -range using the same approach as described herein. More 4 information can be found in the Supporting Information (SI p. S5 "Simulation of the Effect of 5 Vertical Separation" and p. S9 "Simulation of the Effect of Lateral Separation").

6 The results of the simulations revealed that a vertical separation of the scattering length density 7 profile resulting from stratification of the F-hexane (of different locations), lipid chains and solvated 8 lipid headgroups normal to the interface results in a maximum uncertainty in the resulting interfacial 9 composition of 3% for DPPC and 6% for F-hexane, and that use of a heterogeneous model to mimic 10 lateral separation made the unphysical nature of the resulting DPPC surface excess even more 11 pronounced. These simulations also serve to validate the use of the low- $Q_z$  analysis method with a single, uniform layer model in our case, as there is no indication that a laterally-heterogeneous model 12 is more appropriate, uncertainties introduced from the additivity approximation about the scattering 13 14 from different components are minimal, and fits to the experimental data were significantly poorer 15 when the lateral domain thickness of F-hexane was consistent with full lateral separation of the interfacial components. 16

17 We thus conclude that the physically unrealistic data above are not the result of vertical or lateral heterogeneity that would invalidate use of a single uniform layer in the low- $Q_z$  data analysis, but 18 19 instead that F-hexane indeed interacts to different extents with the two isotopic forms of DPPC, as 20 indicated by ellipsometry in Section 1. It is interesting to note that phospholipid monolayers do not 21 generally exhibit strong isotopic effects, as lipophilic attraction between hydrocarbon chains is the 22 main driving force of monolayer dynamics.[61] However, the interaction energies associated with 23 H-bonds and D-bonds are different, which has pronounced effect on the phase transitions in phospholipid mono- and bilayers, [48, 62-65] and may help to explain the present results. 24

#### 1

#### 3. Co-modeling Ellipsometry and NR Data

2 In Section 2, we have observed that, owing to the difference in interactions of F-hexane with the 3 two isotopic forms of DPPC, the low- $Q_z$  analysis method of NR alone – i.e. solving the surface 4 excess of two components by making measurements in two different isotopic contrasts using Eqs 1 5 and 2 - is not sufficient to resolve the interfacial composition of the system because the assumption 6 that the interfacial composition is the same in the two measurements is not valid. An alternative 7 approach to resolve quantitatively the composition of a binary mixture is to co-model data from one 8 NR measurement in a single isotopic contrast and one ellipsometry measurement, as described by 9 Bain *et al.*[34]

We have applied this approach for NR and ellipsometry data recorded for h- and d-DPPC under air
and *F*-hexane-saturated air in order to treat the systems of different isotopic contrasts individually.
The phase shifts of h- and d-DPPC monolayers under *F*-hexane exposure, as measured by
ellipsometry, are given by Eq. 3 & 4:

14 
$$\Delta_{h-DPPC}^{F-hex} = k_{h-DPPC} \times \Gamma_{h-DPPC} + k_{F-hex} \times \Gamma_{F-hex/h-DPPC}$$
 Eq. 3

15 
$$\Delta_{d-DPPC}^{F-hex} = k_{d-DPPC} \times \Gamma_{d-DPPC} + k_{F-hex} \times \Gamma_{F-hex/d-DPPC}$$
 Eq. 4

16 where  $\Delta_{h-DPPC}^{F-hex}$  and  $\Delta_{d-DPPC}^{F-hex}$  are the phase shifts of h- and d-DPPC monolayers under *F*-hexane 17 as measured by ellipsometry (average of values over 1 h taken after equilibrium reached after 1.5 18 h).  $k_{h-DPPC}$ ,  $k_{d-DPPC}$  and  $k_{F-hex}$  are the slopes of the linear variations of  $\Delta$  as a function of  $\Gamma$ , 19 were obtained in the single-components systems using the equation  $k_x = \Delta_x / \Gamma_x$ , where  $\Delta_x$  was 20 measured by ellipsometry and  $\Gamma_x$  by NR.

Results, collected in Table 1, show that the *k* values are influenced mainly by the phase of the monolayer, LE versus LC, as reported earlier and ascribed to monolayer optical anisotropy.[43, 44, G6] On the other hand, the values are not much influenced by the isotopic form.

**Table 1.** Phase shift  $\Delta$  (from ellipsometry) and surface excess  $\Gamma$  (from NR) of hydrogenous and deuterated DPPC monolayers under air, and of an adsorbed layer of *F*-hexane in the absence of phospholipids; *k* values are the slope of the linear variation of  $\Delta$  as a function of  $\Gamma$ .

1 2 3		Phase shift ⊿ (°)	Surface excess $\Gamma$ (µmol m <sup>-2</sup> )	k
4	h-DPPC (LE)	$2.26\pm0.05$	$1.6 \pm 0.1$	1.4
5 6	d-DPPC (LE)	$2.19\pm0.05$		1.4
7	h-DPPC (LC)	$3.03\pm0.05$	$28 \pm 0.2$	1.1
8	d-DPPC (LC)	$2.82\pm0.05$	$2.8 \pm 0.2$	1.0
10	<i>F</i> -hexane	$-0.7\pm0.02$	$19.0\pm1.0$	-0.04

11 These *k* values were used for the calculation of surface excesses in DPPC/*F*-hexane monolayers. 12 Co-modeling NR and ellipsometry data for F-hexane adsorption on h- and d-DPPC monolayers in LE and LC phases, led to the values of  $\Gamma_{h-DPPC}$ ,  $\Gamma_{d-DPPC}$ ,  $\Gamma_{F-hex/h-DPPC}$ , and  $\Gamma_{F-hex/d-DPPC}$  (using 13 Eqs. 1 & 3 for h- DPPC and Eqs. 2 & 4 for d-DPPC). It is seen in Figure 4a that, for each physical 14 state,  $\Gamma_{h-DPPC}$  and  $\Gamma_{d-DPPC}$  are approximately identical (difference within the experimental error), 15 and constant over time, whether the DPPC monolayers are exposed to air or to F-hexane. This shows 16 that the co-modeling method, independent of the isotopic effect, permits accurate calculation of 17 18 DPPC surface excesses. The surface excesses correspond well to the quantities deposited, which 19 endorses the fact that the extent of the interactions of F-hexane depends on the isotopic form. It is also 20 observed that F-hexane always adsorbs strongly on DPPC monolayers. The surface excess of the 21 fluorocarbon is higher on d-DPPC than on h-DPPC monolayers in the LE phase (20.0 vs. 16.8 µmol m<sup>-2</sup>, respectively;  $\pm$  1.0 µmol m<sup>-2</sup>), while it is lower in the LC phase (13.5 vs. 20.8 µmol m<sup>-2</sup>, 22 respectively,  $\pm 1.0 \text{ }\mu\text{mol }\text{m}^{-2}$ ) (Fig. 4b). This confirms that the interactions of the fluorocarbon are 23 24 different with the hydrogenous or deuterated phospholipid, and suggests that the extents of 25 interaction are also influenced by the phase of the DPPC monolayers. The adjustment coefficient  $\Gamma_{F-\text{hex/h-DPPC}}/\Gamma_{F-\text{hex/d-DPPC}}$  ratio, *R*, is ~0.84 in the LE phase, and ~1.73 in the LC phase. 26



1

2

3 Figure 4. a) Variation of DPPC surface excesses,  $\Gamma_{h-DPPC}$  (dotted lines) and  $\Gamma_{d-DPPC}$  (solid lines) over time for monolayers in the LE phase in air (orange) and under F-hexane (blue), and in the LC 4 phase in air (black) and under F-hexane (red). b) Variation of  $\Gamma_{F-hex}$  adsorbed over time on h-DPPC 5 6 (dotted line) and d-DPPC monolayers (solid line) in the LE (blue) and LC (red) phases. The arrow 7 indicates when F-hexane has been vented off from the system. Errors on  $\Gamma_{\text{DPPC}}$  and  $\Gamma_{F-\text{hex}}$  were  $\pm$ 0.2 and  $\pm$  1.0 µmol m<sup>-2</sup>, respectively. 8 9 Based on the result that the surface excesses of DPPC under F-hexane and under air are close, as

calculated by co-modeling ellipsometry and NR data, the values of  $\Gamma_{F-\text{hex}/\text{h-DPPC}}$  and  $\Gamma_{F-\text{hex}/\text{d-DPPC}}$ , 10

11 and hence the values of R for various surface pressures were calculated using the NR data, by fixing

 $\Gamma_{\text{DPPC}}$  to its value in air. The results, collected in Figure 5, show the variation of R as a function of 12

13 molecular area.





50 60 70 80 90 100 110



19 Figure 5. Variation of the adjustment coefficient R for DPPC monolayers under F-hexane as a 20 function of molecular area A.

Molecular area A (Å<sup>2</sup>)

LE

120 130

21 We observe a clear trend that R is dependent on the physical state of DPPC layers: in the LE 22 phase, R < 1, reflecting the weaker interactions developed between F-hexane with h-DPPC than with d-DPPC; whereas in the LC phase, R > 1, reflecting that the interactions of F-hexane with 23 24 h-DPPC are stronger. Different strengths of F-hexane interactions with hydrogenous and deuterated 25 lipids in both the LE and LC phases were thus evidenced. Deuteration results in a decrease of the 26 intermolecular interactions among phospholipids, which is the reason why gel-to-fluid transition 27 phase temperatures are depressed in bilayers.[67] As a consequence, F-hexane can more easily accommodate in d-DPPC monolayers than in h-DPPC ones in disorganized LE phase and in the coexistence region. The reverse tendency observed in the organized LC phase may be related to the fact that the interactions between C-F and C-H or C-D bonds can differ. For example, it has been found that plasticization of a semi-crystalline polymer (poly(vinyl alcohol) by glycerol in the presence of a surfactant was dependent on the isotopic form of the plasticizer.[68]

#### 6 4. Extension to Other Phospholipids

7 In order to investigate the breath and robustness of the methodology and extend the results 8 obtained with DPPC to other systems, we investigated the interactions of F-hexane with two other 9 phospholipids, dimyristoylphosphatidylcholine (DMPC) and distearoylphosphatidylcholine (DSPC). 10 By contrast with DPPC, DMPC and DSPC monolayers do not experience phase transitions at room temperature, and are in the LE and LC states, respectively, at the chosen surface pressure (35 mN m<sup>-1</sup>; 11 12 Fig. S2b,c). As for DPPC, the assumption that the fluorocarbon gas interacts similarly with the two isotopic forms led to phospholipid surface excesses that were higher than the values measured under 13 14 air for the DMPC monolayers (LE phase), and lower for the DSPC monolayers (LC phase) (Fig. 6ab), 15 which is physically unrealistic in the case of DMPC, and would mean, in the case of DSPC, that the phospholipid desorbs from the surface, which is unlikely for the reasons discussed above. 16



**Figure 6.** Variation of surface excesses  $\Gamma_{\text{DMPC}}$  and  $\Gamma_{\text{DSPC}}$  as a function of time for a) a DMPC monolayer (LE phase, 35 mN m<sup>-1</sup>) and b) a DSPC monolayer (LC phase, 30 mN m<sup>-1</sup>) under air (dotted line) and under *F*-hexane exposure (solid line). In both cases, the surface excesses are calculated with the assumption of identical interactions between *F*-hexane and the two isotopic phospholipid forms.

1 The arrows indicate when *F*-hexane has been vented off from the system. Errors on  $\Gamma_{\text{DPPC}}$  were  $\pm$  2 0.2 µmol m<sup>-2</sup>.

We have therefore used the ratio *R* determined in the DPPC LE phase and LC phase, respectively, that was found to be sensitive to the physical state of the monolayers to determine the adjusted surface excesses of DMPC and DSPC according to:

6 
$$(\rho d)_{h-PL}^{F-hex.} = N_A (\Gamma_{PL} \times b_{h-PL} + R \times \Gamma_{F-hex/d-PL} \times b_{F-hex})$$
 Eq. 5

7 
$$(\rho d)_{d-PL}^{F-hex.} = N_A (\Gamma_{PL} \times b_{d-PL} + \Gamma_{F-hex/d-PL} \times b_{F-hex})$$
 Eq. 6

8 where  $(\rho d)_{h-PL}^{F-hex.}$  and  $(\rho d)_{d-PL}^{F-hex.}$  are the scattering excesses of h- and d-phospholipid monolayers 9 (DMPC or DSPC) exposed to *F*-hexane.  $\Gamma_{PL}$  is the surface excess of phospholipid.  $\Gamma_{F-hex/d-PL}$  and 10  $\Gamma_{F-hex/d-PL}$  are the surface excesses of *F*-hexane interacting with h- or d-phospholipid.

The results show that, once adjusted, the phospholipid surface excesses are again close to the 11 deposited values and to the values measured under air within the experimental error ( $\pm 0.2 \ \mu mol \ m^{-2}$ ) 12 13 for both DMPC and DSPC (Fig. 7ab). Enhanced interactions of F-hexane with d-phospholipid were 14 observed for DMPC in the LE phase, while interactions with the h-phospholipid were higher for 15 DSPC in the LC phase. These results further validate our approach based on co-modeling data of NR 16 and ellipsometry. They also indicate that the isotopic effect of the interaction of F-hexane and 17 phospholipids, is strongly influenced by the phase of the monolayers, which is quantified directly 18 herein for the first time.



**Figure 7.** a) Variation of  $\Gamma_{\text{DMPC}}$  over time for DMPC monolayers (LE phase) under air (orange) or under *F*-hexane (blue), and of  $\Gamma_{\text{DSPC}}$  for DSPC monolayers (LC phase) under air (black) or under *F*-hexane (red). b) Variation of  $\Gamma_{F-\text{hex}}$  adsorbed over time on h-DMPC (blue, dotted line) and d-DMPC monolayers (blue, solid line) in the LE phase; and on h-DSPC (red, dotted line) and d-DSPC monolayers (red, solid line) in the LC phase. The arrow indicates when *F*-hexane was vented off from the system. Errors on  $\Gamma_{\text{DPPC}}$  and  $\Gamma_{F-\text{hex}}$  were  $\pm 0.2$  and  $\pm 1.0$  µmol m<sup>-2</sup>, respectively.

8

1

# 9 IV. CONCLUSIONS AND PERSPECTIVES

We have combined neutron reflectometry/ellipsometry data to quantify, for the first time, the extents of interaction of a fluorocarbon gas with different phospholipid monolayers with respect to their phase and isotopic contrast. This is also the first time the interfacial composition of a mixed system in which vapor adsorbs to a monolayer at the air/water interface has been resolved directly to our knowledge. The systems under investigation were DMPC, DPPC and DSPC monolayers exposed to a saturated atmosphere of *F*-hexane, and the interactions were resolved in real time.

16 The *a priori* hypothesis that the interactions of *F*-hexane with two isotopic forms of the phospholipids are the same was shown not to be valid. Differences in the extent of phospholipid 17 18 monolayer/fluorocarbon gas interactions with respect to the isotopic contrast therefore could not be 19 resolved in real time using an establish approach of neutron reflectometry alone, and instead was quantified by co-modeling neutron reflectometry and ellipsometry data. We were thus able to 20 21 calculate phospholipid surface excesses during the F-hexane interactions that not only matched the 22 deposited amounts but also matched the values determined under air. We also scrutinized the physical 23 basis of the low- $Q_z$  analysis method of neutron reflectometry to demonstrate its suitability for purpose in the present work to a greater depth than in any other study since its development a few years 24 ago.[28, 32] The co-modeling methodology also allowed us to quantify the strong F-hexane 25 26 adsorption on different phospholipid monolayers. The extents of interaction depend primarily on the 27 isotopic form of the phospholipid and on the phase of the monolayers. Monolayers of DMPC and 28 DPPC in the liquid expanded phase experience the highest fluorocarbon adsorption when they are in 29 deuterated form, which can be explained by the fact that the monolayers of deuterated phospholipids are less cohesive. On the other hand, monolayers of DPPC and DSPC in the liquid condensed phase experience the highest fluorocarbon adsorption when they are in their native hydrogenous form, a difference in behavior that may be related to the difference in energies of interactions between C-F and C-H and C-D bonds. This inference is in keeping with pronounced isotopic effects on the phase transitions in phospholipid mono- and bilayers [48, 62-65], and is a matter that warrants further investigation.

7 More generally, these results open up quantification of the attraction to monolayers exerted by 8 supernatant fluorocarbon gases vis-à-vis molecular compounds such as drugs, surfactants, 9 copolymers, and others, present in the aqueous sub-phase, which has not been studied to date. We 10 envisage that this quantification will be applicable in the case of medical microbubbles, which have 11 a shell of phospholipids and are stabilized by fluorocarbons. The nature of the fluorocarbon, and hence its concentration in the bubble shell, may be key to control microbubble size and stability 12 characteristics, as well as the ability of the microbubble shell to accommodate actives and drugs. 13 The new methodology established in the present work can therefore lead to the development and 14 15 optimization of emerging medical applications that has not been possible to contemplate until now.

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