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#### Functional repertoire convergence of distantly related 1 eukaryotic plankton lineages abundant in the sunlit ocean 2

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29 **Summary:** Marine planktonic eukaryotes play critical roles in global biogeochemical 30 cycles and climate. However, their poor representation in culture collections limits our 31 understanding of the evolutionary history and genomic underpinnings of planktonic 32 ecosystems. Here, we used 280 billion Tara Oceans metagenomic reads from polar, 33 temperate, and tropical sunlit oceans to reconstruct and manually curate more than 700 34 abundant and widespread eukaryotic environmental genomes ranging from 10 Mbp to 1.3 35 Gbp. This genomic resource covers a wide range of poorly characterized eukaryotic lineages 36 that complement long-standing contributions from culture collections while better 37 representing plankton in the upper layer of the oceans. We performed the first 38 comprehensive genome-wide functional classification of abundant unicellular eukaryotic 39 plankton, revealing four major groups connecting distantly related lineages. Neither trophic 40 modes of plankton nor its vertical evolutionary history could completely explain the 41 functional repertoire convergence of major eukaryotic lineages that coexisted within 42 oceanic currents for millions of years.

43

44 **Keywords:** Marine eukaryotes, open ocean, plankton, genomics, metagenomics, *Tara* 45 Oceans, anvi'o, single-cell genomics, evolution, phylogeny, functions, ecology

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#### 52 Introduction

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54 Plankton in the sunlit ocean contributes about half of Earth's primary productivity, 55 impacting global biogeochemical cycles and food webs<sup>1,2</sup>. Plankton biomass appears to be dominated by unicellular eukaryotes and small animals<sup>3-6</sup> including a 56 57 phenomenal evolutionary and morphological biodiversity<sup>5,7,8</sup>. The composition of 58 planktonic communities is highly dynamical and shaped by biotic and abiotic 59 variables, some of which are changing abnormally fast in the Anthropocene<sup>9-11</sup>. Our understanding of marine eukaryotes has progressed substantially in recent years 60 61 with the transcriptomic (e.g.,<sup>12,13</sup>) and genomic (e.g.,<sup>14-16</sup>) analyses of organisms isolated in culture, and the emergence of efficient culture-independent surveys 62 63 (e.g.,<sup>17,18</sup>). However, most eukaryotic lineages' genomic content remains 64 uncharacterized<sup>19,20</sup>, limiting our understanding of their evolution, functioning, 65 ecological interactions, and resilience to ongoing environmental changes.

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67 Over the last decade, the Tara Oceans program has generated a homogeneous resource of marine plankton metagenomes and metatranscriptomes from the sunlit 68 69 zone of all major oceans and two seas<sup>21</sup>. Critically, most of the sequenced plankton 70 size fractions correspond to eukaryotic organismal sizes, providing a prime dataset 71 to survey genomic traits and expression patterns from this domain of life. More than 72 100 million eukaryotic gene clusters have been characterized by the 73 metatranscriptomes, half of which have no similarity to known proteins<sup>5</sup>. Most of 74 them could not be linked to a genomic context<sup>22</sup>, limiting their usefulness to gene-75 centric insights. The eukaryotic metagenomic dataset (the equivalent of  $\sim 10,000$ 76 human genomes) on the other hand has been partially used for plankton biogeographies<sup>23,24</sup>, but remains unexploited for the characterization of genes and 77 78 genomes due to a lack of robust methodologies to make sense of its diversity.

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Genome-resolved metagenomics<sup>25</sup> has been extensively applied to the smallest *Tara* 80 Oceans plankton size fractions, unveiling the ecology and evolution of thousands of 81 82 viral, bacterial, and archaeal populations abundant in the sunlit ocean<sup>26-31</sup>. This 83 approach may thus be appropriate also to characterize the genomes of the most 84 abundant eukaryotic plankton. However, very few eukaryotic genomes have been 85 resolved from metagenomes thus far<sup>26,32-35</sup>, in part due to their complexity (e.g., high density of repeats<sup>36</sup>) and extended size<sup>37</sup> that might have convinced many of 86 87 the unfeasibility of such a methodology. With the notable exception of some photosynthetic eukaryotes<sup>26,32,35</sup>, metagenomics is lagging far behind cultivation for 88 89 eukaryote genomics, contrasting with the two other domains of life. Here we fill this 90 critical gap using hundreds of billions of metagenomic reads generated from the 91 eukaryotic plankton size fractions of Tara Oceans and demonstrate that genome-92 resolved metagenomics is well suited for marine eukaryotic genomes of substantial 93 complexity and length exceeding the emblematic gigabase. We used this new 94 genomic resource to place major eukaryotic planktonic lineages in the tree of life 95 and explore their evolutionary history based on both phylogenetic signals from 96 conserved gene markers and present-day genomic functional landscape.

#### 97 Results and discussion

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99 <u>A new resource of environmental genomes for eukaryotic plankton from the</u>
 100 <u>sunlit ocean</u>

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102 We performed the first comprehensive genome-resolved metagenomic survey of 103 microbial eukaryotes from polar, temperate, and tropical sunlit oceans using 798 104 metagenomes (265 of which were released through the present study) derived from 105 the *Tara* Oceans expeditions. They correspond to the surface and deep chlorophyll 106 maximum layer of 143 stations from the Pacific, Atlantic, Indian, Arctic, and Southern Oceans, as well as the Mediterranean and Red Seas, encompassing eight 107 108 eukaryote-enriched plankton size fractions ranging from 0.8 µm to 2 mm (Figure 1, 109 Table S1). We used the 280 billion reads as inputs for 11 metagenomic co-110 assemblies (6-38 billion reads per co-assembly) using geographically bounded 111 samples (Figure 1, Table S2), as previously done for the *Tara* Oceans 0.2–3 µm size 112 fraction enriched in bacterial cells<sup>26</sup>. We favored co-assemblies to gain in coverage and optimize the recovery of large marine eukaryotic genomes. However, it is likely 113 that other assembly strategies (e.g., from single samples) will provide access to 114 115 genomic data our complex metagenomic co-assemblies failed to resolve. In addition, 116 we used 158 eukaryotic single cells sorted by flow cytometry from seven Tara 117 Oceans stations (Table S2) as input to perform complementary genomic assemblies 118 (STAR Methods).

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We thus created a culture-independent, non-redundant (average nucleotide identity 120 121 <98%) genomic database for eukaryotic plankton in the sunlit ocean consisting of 122 683 metagenome-assembled genomes (MAGs) and 30 single-cell genomes (SAGs), 123 all containing more than 10 million nucleotides (Table S3). These 713 MAGs and 124 SAGs were manually characterized and curated using a holistic framework within 125 anvi'o<sup>38,39</sup> that relied heavily on differential coverage across metagenomes (STAR 126 Methods and Supplemental Material). Nearly half the MAGs did not have vertical 127 coverage >10x in any of the metagenomes, emphasizing the relevance of co-128 assemblies to gain sufficient coverage for relatively large eukaryotic genomes. 129 Moreover, one-third of the SAGs remained undetected by Tara Oceans' metagenomic reads, emphasizing cell sorting's power to target less abundant 130 131 lineages. Absent from the MAGs and SAGs are DNA molecules physically associated 132 with the focal eukaryotic populations, but that did not necessarily correlate with 133 their nuclear genomes across metagenomes or had distinct sequence composition. 134 They include chloroplasts, mitochondria, and viruses generally present in multi-135 copy. Finally, some highly conserved multi-copy genes such as the 18S rRNA gene 136 were also missing due to technical issues associated with assembly and binning. 137 following the fate of 16S rRNA genes in marine bacterial MAGs<sup>26</sup>.

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This new genomic database for eukaryotic plankton has a total size of 25.2 Gbp and
 contains 10,207,450 genes according to a workflow combining metatranscriptomics,
 *ab-initio*, and protein-similarity approaches (STAR Methods). Estimated completion

142 of the Tara Oceans MAGs and SAGs averaged to ~40% (redundancy of 0.5%) and 143 ranged from 0.0% (a 15 Mbp long Opisthokonta MAG) to 93.7% (a 47.8 Mbp long Ascomycetes MAG). Genomic lengths averaged to 35.4 Mbp (up to 1.32 Gbp for the 144 145 first Giga-scale eukaryotic MAG, affiliated to *Odontella weissflogii*), with a GC-content 146 ranging from 18.7% to 72.4% (Table S3). MAGs and SAGs are affiliated to Alveolata (n=44), Amoebozoa (n=4), Archaeplastida (n=64), Cryptista (n=31), Haptista 147 (n=92), Opisthokonta (n=299), Rhizaria (n=2), and Stramenopiles (n=174). Only 148 149 three closely related MAGs could not be affiliated to any known eukaryotic 150 supergroup (see the phylogenetic section below). Among the 713 MAGs and SAGs, 151 271 contained multiple genes corresponding to chlorophyll *a-b* binding proteins and 152 were considered phytoplankton (Table S3). Genome-wide comparisons with 484 153 reference transcriptomes from isolates of marine eukaryotes (the METdb database<sup>40</sup> 154 which improved data from MMETSP<sup>12</sup> and added new transcriptomes from *Tara* Oceans, see Table S3) linked only 24 of the MAGs and SAGs ( $\sim$ 3%) to a eukarvotic 155 156 population already in culture (average nucleotide identity >98%). These include 157 well-known Archaeplastida populations within the genera *Micromonas*, *Bathycoccus*, 158 Ostreococcus, Pycnococcus, Chloropicon and Prasinoderma and a few taxa amongst 159 Stramenopiles (e.g., the diatom *Minutocellus polymorphus*) and Haptista (e.g., 160 Phaeocystis cordata). Among this limited number of matches, MAGs represented a 161 nearly identical subset of the corresponding culture genomes (Figure S1, Table S4). Overall, we found metagenomics, single-cell genomics, and culture highly 162 163 complementary with very few overlaps for marine eukaryotic plankton's genomic 164 characterization.

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The MAGs and SAGs recruited 39.1 billion reads with >90% identity (average 166 167 identity of 97.4%) from 939 metagenomes, representing 11.8% of the Tara Oceans 168 metagenomic dataset dedicated to unicellular and multicellular organisms ranging 169 from 0.2  $\mu$ m to 2 mm (Table S5). In contrast, METdb with a total size of ~23 Gbp recruited less than 7 billion reads (average identity of 97%), indicating that the 170 171 collection of Tara Oceans MAGs and SAGs reported herein better represents the 172 diversity of open ocean eukaryotes as compared to transcriptomic data from 173 decades of culture efforts worldwide. The majority of Tara Oceans metagenomic 174 reads were still not recruited, which could be explained by eukaryotic genomes that 175 our methods failed to reconstruct, the occurrence of abundant bacterial, archaeal, 176 and viral populations in the large size fractions we considered<sup>41-43</sup>, and the 177 incompleteness of the MAGs and SAGs. Indeed, with the assumption of correct 178 completion estimates, complete MAGs and SAGs would have recruited ~26% of all 179 metagenomic reads, including >50% of reads for the 20-180 µm size fraction alone 180 due in part to an important contribution of hundreds of large copepod MAGs abundant within this cellular range (see Figure 1 and Table S5). 181

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Expanding the genomic representation of the eukaryotic tree of life

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We then determined the phylogenetic distribution of the new ocean MAGs and SAGs
in the tree of eukaryotic life. METdb was chosen as a taxonomically curated
reference transcriptomic database from culture collections, and the two largest

188 subunits of the three DNA-dependent RNA polymerases (six multi-kilobase genes 189 found in all modern eukaryotes and hence already present in the Last Eukaryotic 190 Common Ancestor). These genes are highly relevant markers for the phylogenetic 191 inference of distantly related microbial organisms<sup>44</sup> and contributed to our 192 understanding of eukaryogenesis<sup>45</sup>. They have long been overlooked to study the eukaryotic tree of life, possibly because automatic methods are currently missing to 193 194 effectively identify each DNA-dependent RNA polymerase type prior to performing 195 the phylogenetic analyses. Here, protein sequences were identified using HMMs 196 dedicated to the two largest subunits for the MAGs and SAGs (n=2,150), and METdb 197 reference transcriptomes (n=2,032). These proteins were manually curated and 198 linked to the corresponding DNA-dependent RNA polymerase types for each subunit 199 using reference proteins and phylogenetic inferences (STAR Methods and 200 Supplemental Material). BLAST results provided a novelty score for each of them 201 (STAR Methods and Table S3), expanding the scope of our analysis to eukaryotic 202 genomes stored in NCBI as of August 2020. Our final phylogenetic analysis included 203 416 reference transcriptomes and 576 environmental MAGs and SAGs that 204 contained at least one of the six marker genes (Figure 2). The concatenated DNA-205 dependent RNA polymerase protein sequences effectively reconstructed a coherent 206 tree of eukaryotic life, comparable to previous large-scale phylogenetic analyses 207 based on other gene markers<sup>46</sup>, and to a complementary BUSCO-centric 208 phylogenomic analysis using protein sequences corresponding to hundreds of smaller gene markers (Figure S2). As a noticeable difference, the Haptista were most 209 210 closely related to Archaeplastida, while Cryptista included the phylum Picozoa and 211 was most closely related to the TSAR supergroup (Telonemia not represented here, Stramenopiles, Alveolata and Rhizaria), albeit with weaker supports. This view of 212 213 the eukaryotic tree of life using a previously underexploited universal marker is by 214 no means conclusive by itself but contributes to ongoing efforts to understand deep 215 evolutionary relationships amongst eukaryotes while providing an effective framework to assess the phylogenetic positions of a large number of the Tara 216 217 Oceans MAGs and SAGs. 218

Amongst small planktonic animals, the *Tara* Oceans MAGs recovered one lineage of 219 220 Chordata related to the Oikopleuridae family, and Crustacea including a wide range of copepods (Figure 2, Table S3). Copepods dominate large size fractions of 221 222 plankton<sup>8</sup> and represent some of the most abundant animals on the planet<sup>47,48</sup>. They 223 actively feed on unicellular plankton and are a significant food source for larger 224 animals such as fish, thus representing a key trophic link within the global carbon 225 cycle<sup>49</sup>. For now, less than ten copepod genomes have been characterized by isolates<sup>50,51</sup>. The additional 8.4 Gbp of genomic material unveiled herein is split into 226 227 217 MAGs, and themselves organized into two main phylogenetic clusters that we 228 dubbed marine Hexanauplia clades A and B. The two clades considerably expanded 229 the known genomic diversity of copepods, albeit clade B was linked to few reference 230 genomes (Figure S3). These clades were equally abundant and detected in all 231 oceanic regions. Copepod MAGs typically had broad geographic distributions, being 232 detected on average in 25% of the globally distributed Tara Oceans stations. In

comparison, Opisthokonta MAGs affiliated to Chordata and Choanoflagellatea(Acanthoecida) were, on average detected in less than 10% of sampling sites.

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236 Generally occurring in smaller size fractions, MAGs and SAGs corresponding to 237 unicellular eukaryotes considerably expanded our genomic knowledge of known genera within Alveolata, Archaeplastida, Haptista and Stramenopiles (Figure 2, 238 239 Table S3). Just within the diatoms for instance (Stramenopiles), MAGs were 240 reconstructed for Fragilariopsis (n=5), Pseudo-nitzschia (n=7), Chaetoceros (n=11), 241 *Thalassiosira* (n=5) and seven other genera (including the intriguing >1 Gbp long 242 genome of a blooming Odontella weissflogii species), all of which are known to 243 contribute significantly to photosynthesis in the sunlit ocean<sup>52,53</sup>. Among the Archaeplastida, genome-wide average nucleotide identities and distribution 244 245 patterns indicated that the large majority of MAGs correspond to distinct populations, many of which have not been characterized by means of culture 246 247 genomics. Especially, we characterized the genomic content of at least 16 248 *Micromonas* populations (Figure S4), 11 *Chloropicon* populations (Figure S5) and 5 249 Bathycoccus populations (Figure S6). Beyond this genomic expansion of known 250 planktonic genera, MAGs and SAGs covered various lineages lacking representatives 251 in METdb. These included (1) Picozoa as a sister clade to Cryptista (SAGs from this 252 phylum were recently linked to the Archaeplastida using different gene markers and 253 databases<sup>54</sup>), to the class Chrysophyceae, and the genera *Phaeocystis* and *Pvcnococcus*, (2) basal lineages of Oomvcota within Stramenopiles and Myzozoa 254 255 within Alveolata, (3) multiple branches within the MAST lineages<sup>55</sup> (Figure S7), (4) 256 and a small cluster possibly at the root of Rhizaria we dubbed "putative new group" (Figure S8). The novelty score of individual DNA-dependent RNA polymerase genes 257 258 was supportive of the topology of the tree. Significantly, diverse MAST lineages, 259 Picozoa and the putative new group all displayed a deep branching distance from 260 cultures and a high novelty score. In addition, the BUSCO-centric phylogenomic analysis placed the "putative new group" at the root of Haptista (Figure S2), 261 262 supporting its high novelty while stressing the difficulty placing it accurately in the eukaryotic tree of life. In addition, this alternative phylogenomic analysis confirmed 263 264 placement for the sister clade to Phaeocystis but not for the sister clade to 265 Pycnococcus, placing it instead as a stand-alone lineage distinct from any 266 Archaeplastida lineages represented by the MAGs, SAGs and METdb. While different 267 gene markers might provide slightly different evolutionary trends, a well-known 268 phylogenetic phenomenon, here our two approaches concur when it comes to 269 emphasizing the genomic novelty of the MAGs and SAGs as compared to culture 270 references.

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272 One of the most conspicuous lineages lacking any MAGs and SAGs was the 273 Dinoflagellata, a prominent and extremely diverse phylum in small and large 274 eukaryotic size fractions of *Tara* Oceans<sup>8</sup>. These organisms harbor very large and 275 complex genomes<sup>56</sup> that likely require much deeper sequencing efforts to be 276 recovered by genome-resolved metagenomics. Besides, many other important 277 lineages are also missing in MAGs and SAGs (e.g., within Radiolaria and Excavata), 278 possibly due to a lack of abundant populations despite their diversity.

#### A complex interplay between the evolution and functioning of marine eukaryotes

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282 MAGs and SAGs provided a broad genomic assessment of the eukaryotic tree of life 283 within the sunlit ocean by covering a wide range of marine plankton eukaryotes distantly related to cultures but abundant in the open ocean. Thus, the resource 284 285 provided an opportunity to explore the interplay between the phylogenetic signal and functional repertoire of eukaryotic plankton with genomics. With EggNOG<sup>57-59</sup>, 286 287 we identified orthologous groups corresponding to known (n=15,870) and 288 unknown functions (n=12,567, orthologous groups with no assigned function at 289 http://eggnog5.embl.de/) for 4.7 million genes (nearly 50% of the genes, STAR 290 Methods). Among them, functional redundancy (i.e., a function detected multiple 291 time in the same MAG or SAG) encompassed 46.6% to 96.8% of the gene repertoires 292 (average of 75.2% of functionally redundant genes). We then used these gene 293 annotations to classify the MAGs and SAGs based on their functional profiles (Table 294 S6). Our hierarchical clustering analysis using Euclidean distance and Ward linkage 295 (an approach to organize genomes based on pangenomic traits<sup>60</sup>) first split the 296 MAGs and SAGs into small animals (Chordata, Crustacea, copepods) and putative 297 unicellular eukaryotes (Figure 3). Fine-grained functional clusters exhibited a highly 298 coherent taxonomy within the unicellular eukaryotes. For instance, MAGs affiliated 299 to the coccolithophore *Emiliana* (completion ranging from 7.8% to 32.2%), 300 Dictyochophaceae family (completion ranging from 8.6% to 76.9%) and the sister 301 clade to *Phaeocystis* (completion ranging from 18.4% to 60.4%) formed distinct 302 clusters. The phylum Picozoa (completion ranging from 1.6% to 75.7%) was also 303 confined to a single cluster that could be explained partly by a considerable 304 radiation of genes related to dioxygenase activity (up to 644 genes). Most strikingly, 305 the Archaeplastida MAGs not only clustered with respect to their genus-level 306 taxonomy, but the organization of these clusters was highly coherent with their 307 evolutionary relationships (see Figure 2), confirming not only the novelty of the 308 putative sister clade to *Pycnococcus*, but also the sensitivity of our framework to 309 draw the functional landscape of unicellular marine eukaryotes. Clearly, the 310 important functional redundancy of MAGs and SAGs minimized the effect of 311 genomic incompleteness in our efforts assessing the functional profile of unicellular 312 marine eukaryotes.

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314 Four major functional groups of unicellular eukaryotes emerged from the hierarchical clustering (Figure 3), which was perfectly recapitulated when 315 incorporating the standard culture genomes matching to a MAG (Figure S9), and 316 317 when clustering only the MAGs and SAGs >25% complete (Figure S10). Importantly, the taxonomic coherence observed in fine-grained clusters vanished when moving 318 towards the root of these functional groups. Group A was an exception since it only 319 320 covered the Haptista (including the highly cosmopolitan sister clade to *Phaeocystis*). 321 Group B, on the other hand, encompassed a highly diverse and polyphyletic group of 322 distantly related heterotrophic (e.g., MAST and MALV) and mixotrophic (e.g., 323 Myzozoa and Cryptophyta) lineages of various genomic size, suggesting that broad 324 genomic functional trends may not only be explained by the trophic mode of

325 plankton. Group C was mostly photosynthetic and covered the diatoms (Stramenopiles of various genomic size) and Archaeplastida (small genomes) as 326 327 sister clusters. This finding likely reflects that diatoms are the only group with an 328 obligatory photoautotrophic lifestyle within the Stramenopiles, like the 329 Archaeplastida. Finally, Group D encompassed three distantly related lineages of heterotrophs (those systematically lacked gene markers for photosynthesis) 330 331 exhibiting rather large genomes: Oomycota, Acanthoecida choanoflagellates, and 332 Picozoa. Those four functional groups have similar amounts of detected functions 333 and contained both cosmopolite and rarely detected MAGs and SAGs across the Tara 334 Oceans stations. While attempts to classify marine eukaryotes based on genomic 335 functional traits have been made in the past (e.g., using a few SAGs <sup>61</sup>), our resource therefore provided a broad enough spectrum of genomic material for a first 336 337 genome-wide functional classification of abundant lineages of unicellular eukaryotic 338 plankton in the upper layer of the ocean. 339

A total of 2,588 known and 680 unknown functions covering 1.94 million genes 340 341 (~40% of the annotated genes) were significantly differentially occurring between the four functional groups (Welch's ANOVA tests, p-value <1.e-05, Table S6). We 342 343 displayed the occurrence of the 100 functions with lowest p-values in the 344 hierarchical clustering presented in Figure 3 to illustrate and help convey the strong 345 signal between groups. However, more than 3,000 functions contributed to the basic partitioning of MAGs and SAGs. They cover all high-level functional categories 346 identified in the 4.7 million genes with similar proportions (Figure S11), indicating 347 348 that a wide range of functions related to information storage and processing, 349 cellular processes and signaling, and metabolism contribute to the partitioning of 350 the groups. As a notable difference, functions related to transcription (-50%) and 351 RNA processing and modification (-47%) were less represented, while those related 352 to carbohydrate transport and metabolism were enriched (+43%) in the differentially occurring functions. Interestingly, we noticed within Group C a 353 354 scarcity of various functions otherwise occurring in high abundance among 355 unicellular eukarvotes. These included functions related to ion channels (e.g., extracellular ligand-gated ion channel activity, intracellular chloride channel 356 357 activity, magnesium ion transmembrane transporter activity, calcium ion 358 transmembrane transport, calcium sodium antiporter activity) that may be linked to 359 flagellar motility and the response to external stimuli<sup>62</sup>, reflecting the lifestyle of 360 true autotrophs. Group D, on the other hand, had significant enrichment of various 361 functions associated with carbohydrate transport and metabolism (e.g., alpha and 362 beta-galactosidase activities, glycosyl hydrolase families, glycogen debranching enzyme, alpha-L-fucosidase), denoting a distinct carbon acquisition strategy. 363 Overall, the properties of thousands of differentially occurring functions suggest 364 that eukaryotic plankton's complex functional diversity is vastly intertwined within 365 366 the tree of life, as inferred from phylogenies. This reflects the complex nature of the genomic structure and phenotypic evolution of organisms, which rarely fit their 367 368 evolutionary relationships.

370 To this point, our analysis focused on the 4.4 million genes that were functionally 371 annotated to EggNOG, which discarded more than half of the genes we identified in the MAGs and SAGs. Our current lack of understanding of many eukaryotic 372 373 functional genes even within the scope of model organisms<sup>63</sup> can explain the limits 374 of reference-based approaches to study the gene content of eukaryotic plankton. Thus, to gain further insights and overcome these limitations, we partitioned and 375 376 categorized the eukaryotic gene content with AGNOSTOS<sup>64</sup>. AGNOSTOS grouped 5.4 million genes in 424,837 groups of genes sharing remote homologies, adding 2.3 377 378 million genes left uncharacterized by the EggNOG annotation. AGNOSTOS applies a 379 strict set of parameters for the grouping of genes discarding 575,053 genes by its 380 quality controls and 4,264,489 genes in singletons. The integration of the EggNOG annotations into AGNOSTOS resulted in a combined dataset of 25,703 EggNOG 381 382 orthologous groups (singletons and gene clusters) and 271,464 AGNOSTOS groups of genes, encompassing 6.4 million genes, 45% more genes that the original dataset 383 384 (STAR Methods). The genome-wide functional classification of MAGs and SAGs 385 based on this extended set of genes supported most trends previously observed 386 with EggNOG annotation alone (Figure S12; Table S7), reinforcing our observations. 387 But most interestingly, classification based solely on 23,674 newly identified groups 388 of genes of unknown function (Table S8, a total of 1.3 million genes discarded by 389 EggNOG) were also supportive of the overall trends, including notable links between 390 diatoms and green algae and between Picozoa and Acanthoecida (Figure S13). Thus, 391 we identified a functional repertoire convergence of distantly related eukaryotic 392 plankton lineages in both the known and unknown coding sequence space, the latter 393 representing a substantial amount of biologically relevant gene diversity.

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#### 395 <u>Niche and biogeography of individual eukaryotic populations</u>

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397 Besides insights into organismal evolution and genomic functions, the MAGs and 398 SAGs provided an opportunity to evaluate the present and future geographical 399 distribution of eukaryotic planktonic populations (close to species-level resolution) 400 using the genome-wide metagenomic read recruitments. Here, we determined the 401 niche characteristics (e.g., temperature range) of 374 MAGs and SAGs (~50% of the resource) detected in at least five stations (Table S9) and used climate models to 402 403 project world map distributions (https://gigaplankton.shinyapps.io/TOENDB/) 404 based on climatologies for the periods of 2006-2015 and 2090-2099<sup>24</sup> (STAR 405 Methods and Supplemental Material).

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Each of these MAGs and SAGs was estimated to occur in a surface averaging 42 and 407 408 39 million km<sup>2</sup> for the first and second period, respectively, corresponding to  $\sim 12\%$ 409 of the surface of the ocean. Our data suggest that most eukaryotic populations in the 410 database will remain widespread for decades to come. However, many changes in 411 biogeography are projected to occur. For instance, the most widespread population 412 in the first period (a MAST MAG) would still be ranked first at the end of the century 413 but with a surface area increasing from 37% to 46% (Figure 4), a gain of 28 million 414 km<sup>2</sup> corresponding to the surface of North America. Its expansion from the tropics

415 towards more temperate oceanic regions regardless of longitude is mostly explained 416 by temperature and reflects the expansion of tropical niches due to global warming, 417 echoing recent predictions made with amplicon surveys and imaging data<sup>65</sup>. As an 418 extreme case, the MAG benefiting the most between the two periods (a copepod) 419 could experience a gain of 55 million km<sup>2</sup> (Figure 4), more than the surface of Asia and Europe combined. On the other hand, the MAG losing most ground (also a 420 421 copepod) could undergo a decrease of 47 million km<sup>2</sup>. Projected changes in these 422 two examples correlated with various variables (including a notable contribution of 423 silicate), an important reminder that temperature alone cannot explain plankton's 424 biogeography in the ocean. Our integration of genomics, metagenomics, and climate 425 models provided the resolution needed to project individual eukaryotic population 426 niche trajectories in the sunlit ocean.

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#### 428 Limitations of the study:

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430 Genome-resolved metagenomics applied to the considerable environmental DNA 431 sequencing legacy of the Tara Oceans large cellular size fractions proved effective at 432 complementing our culture portfolio of marine eukaryotes. Nevertheless, the 433 approach failed to cover lineages (1) containing very large genomes (e.g., the 434 Dinoflagelates<sup>56</sup>), (2) only found in low abundance, (3) or found to be abundant but with unusually high levels of microdiversity, challenging metagenomic assemblies 435 (e.g., the prominent *Pelagomonas* genus<sup>66</sup> for which we only recovered high latitude 436 437 MAG representatives). Deeper sequencing efforts coupled with long read 438 sequencing technologies will likely overcome many of these limitations in years to 439 come.

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441 Our functional clustering of marine eukaryotes took advantage of a wide range of 442 genomes manually characterized with the platform anvi'o, and also considered numerous gene clusters of unknown function using the AGNOSTOS framework. 443 444 However, this methodology also contains noticeable limitations. For instance, clustering methodologies can influence the observed trends. Furthermore, 445 446 integration of additional taxonomic groups that currently lack genomic 447 characterizations might impact functional clustering, similar to what is often 448 observed with phylogenomic analyses. Thus, we anticipate that follow-up investigations might identify functional clusters slightly differing from the four 449 450 major groups we have identified, refining our understanding of the functional 451 convergence of distantly related eukaryotic lineages identified in our study.

452

#### 453 <u>Conclusion</u>

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Similar to recent advances that elucidated viral, bacterial and archaeal lineages, microbiology is experiencing a shift from cultivation to metagenomics for the genomic characterization of marine eukaryotes *en masse*. Indeed, our cultureindependent and manually curated genomic characterization of abundant unicellular eukaryotic populations and microscopic animals in the sunlit ocean

460 covers a wide range of poorly characterized lineages from multiple trophic levels 461 (e.g., copepods and their prey, mixotrophs, autotrophs, and parasites) and provided 462 the first gigabase-scale metagenome-assembled genome. Our genome-resolved 463 survey and parallel efforts by others<sup>67,68</sup> are not only different from past transcriptomic surveys of isolated marine organisms but also better represent 464 eukaryotic plankton in the open photic ocean. They represent innovative steps 465 466 towards using genomics to explore in concert the ecological and evolutionary 467 underpinnings of environmentally relevant eukaryotic organisms, using 468 metagenomics to fill critical gaps in our remarkable culture porfolio<sup>21</sup>.

469

470 Phylogenetic gene markers such as the DNA-dependent RNA polymerases (the basis 471 of our phylogenetic analysis) provide a critical understanding of the origin of 472 eukaryotic lineages and allowed us to place most environmental genomes in a comprehensible evolutionary framework. However, this framework is based on 473 474 sequence variations within core genes that in theory are inherited from the last 475 eukaryotic common ancestor representing the vertical evolution of eukaryotes, 476 disconnected from the structure of genomes. As such, it does not recapitulate the 477 functional evolutionary journey of plankton, as demonstrated in our genome-wide 478 functional classification of unicellular eukaryotes in both the known and unknown 479 coding sequence space. The dichotomy between phylogeny and function was 480 already well described with morphological and other phenotypic traits and could be 481 explained in part by secondary endosymbiosis events that have spread plastids and 482 genes for their photosynthetic capabilities across the eukaryotic tree of life<sup>69-72</sup>. 483 Here we moved beyond morphological inferences and disentangled the phylogeny of gene markers and broad genomic functional repertoire of a comprehensive 484 485 collection of marine eukaryotic lineages. We identified four major genomic 486 functional groups of unicellular eukaryotes made of distantly related lineages. The 487 Stramenopiles proved particularly effective in terms of genomic functional diversification, possibly explaining part of their remarkable success in this biome<sup>8,73</sup>. 488 489

490 The topology of phylogenetic trees compared to the functional clustering of a wide 491 range of eukaryotic lineages has revealed contrasting evolutionary journeys for 492 widely scrutinized gene markers of evolution and less studied genomic functions of 493 plankton. The apparent functional convergence of distantly related lineages that 494 coexisted in the same biome for millions of years could not be explained by neither a 495 vertical evolutionary history of unicellular eukaryotes nor their trophic modes 496 (phytoplankton versus heterotrophs), shedding new lights into the complex 497 functional dynamics of plankton over evolutionary time scales. Convergent 498 evolution is a well-known phenomenon of independent origin of biological traits such as molecules and behaviors<sup>74,75</sup> that has been observed in the morphology of 499 500 microbial eukaryotes<sup>76</sup> and is often driven by common selective pressures within 501 similar environmental conditions. However, an independent origin of similar 502 functional profiles is not the only possible explanation for organisms sharing the 503 same habitat. Indeed, one could wonder if lateral gene transfers between 504 eukaryotes<sup>77,78</sup> have played a central role in these processes, as previously observed between eukaryotic plant pathogens<sup>79</sup> or grasses<sup>80</sup>. As a case in point, secondary 505

506 endosymbiosis events are known to have resulted in massive gene transfers 507 between endosymbionts and their hosts in the oceans<sup>69,70</sup>. In particular, these 508 events involved transfers of genes from green algae to diatoms<sup>81</sup>, two lineages 509 clustering together in our genomic functional classification of eukaryotic plankton. 510 However, lineages sharing the same secondary endosymbiotic history did not always fall in the same functional group. This was the case for diatoms, Haptista and 511 512 Cryptista that have different functional trends yet originate from a common ancestor that likely acquired its plastid from red and green algae<sup>69,70,82</sup>. Surveying 513 514 phylogenetic trends for functions derived from the  $\sim 10$  million genes identified 515 here will likely contribute to new insights regarding the extent of lateral gene transfers between eukaryotes<sup>83,84</sup>, the independent emergence of functional traits 516 517 (convergent evolution), as well as functional losses between lineages<sup>85</sup>, that 518 altogether might have driven the functional convergences of distantly related 519 eukarvotic lineages abundant in the sunlit ocean.

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521 Regardless of the mechanisms involved, the functional repertoire convergences we 522 observed likely highlight primary organismal functioning, which have fundamental 523 impacts on plankton ecology, and their functions within marine ecosystems and 524 biogeochemical cycles. Thus, the apparent dichotomy between phylogenies (a 525 vertical evolutionary framework) and genome-wide functional repertoires (genome 526 structure evolution) depicted here should be viewed as a fundamental attribute of 527 marine unicellular eukaryotes that we suggest warrants a new rationale for 528 studying the structure and state of plankton, a rationale also based on present-day 529 genomic functions rather than phylogenetic and morphological surveys alone.

530

#### 531 Acknowledgments

532

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566 This article is contribution number XX of *Tara* Oceans.

567

#### 568 Author contributions

569

570 Damien D. Hinsinger, Morgan Gaia, Eric Pelletier, Patrick Wincker, Olivier Jaillon and 571 Tom O. Delmont conducted the study. Tom O. Delmont and Morgan Gaia 572 characterized the MAGs and SAGs and RNA polymerase genes, respectively. Damien D. Hinsinger (analysis of the  $\sim 10$  million genes), Morgan Gaia (phylogenies), Paul 573 574 Fremont (climate models and world map projections), Eric Pelletier (METdb database, mapping results) and Tom 0. Delmont performed the primary analysis of 575 576 the data. Artem Kourlaiev, Leo d'Agata, Quentin Clayssen and Jean-Marc Aury assembled and annotated the single cell genomes and helped processing 577 metagenomic assemblies. Emilie Villar, Marc Wessner, Benjamin Noel, Corinne Da 578 Silva, Damien D. Hinsinger, Olivier Jaillon and Jean-Marc Aury identified the 579 eukaryotic genes in the MAG assemblies. Antonio Fernandez Guerra and Chiara 580 581 Vanni characterized the repertoire of functions in the unknown coding sequence 582 space. Tom O. Delmont wrote the manuscript, with critical inputs from the authors.

583

#### 584 Main figure titles and legends

585

#### 586 Figure 1. A genome-resolved metagenomic survey dedicated to eukaryotes in the sunlit ocean.

587 The map displays Tara Oceans stations used to perform genome-resolved metagenomics, 588 summarizes the number of metagenomes, contigs longer than 2,500 nucleotides, and eukaryotic 589 MAGs characterized from each co-assembly and outlines the stations used for single-cell genomics. 590 ARC: Arctic Ocean; MED: Mediterranean Sea; RED: Red Sea, ION: Indian Ocean North; IOS: Indian 591 Ocean South; SOC: Southern Ocean; AON: Atlantic Ocean North; AOS: Atlantic Ocean South; PON: 592 Pacific Ocean North; PSE: Pacific South East; PSW: Pacific South West. The bottom panel summarizes 593 mapping results from the MAGs and SAGs across 939 metagenomes organized into four size 594 fractions. The mapping projection of complete MAGs and SAGs is described in the STAR Methods and 595 Supplemental Material.

597 Figure 2: Phylogenetic analysis of concatenated DNA-dependent RNA polymerase protein 598 sequences from eukaryotic plankton. The maximum-likelihood phylogenetic tree of the 599 concatenated two largest subunits from the three DNA-dependent RNA polymerases (six genes in 600 total) included Tara Oceans MAGs and SAGs and METdb transcriptomes and was generated using a 601 total of 7,243 sites in the alignment and LG+F+R10 model; Opisthokonta was used as the outgroup. 602 Supports for selected clades are displayed. Phylogenetic supports were considered high (aLRT>=80 603 and UFBoot>=95), medium (aLRT>=80 or UFBoot>=95) or low (aLRT<80 and UFBoot<95) (STAR 604 Methods). The tree was decorated with additional layers using the anvi'o interface. The novelty score layer (STAR Methods) was set with a minimum of 30 (i.e., 70% similarity) and a maximum of 60 (i.e., 605 606 40% similarity). Branches and names in red correspond to main lineages lacking representatives in 607 METdb.

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609 Figure 3. The genomic functional landscape of unicellular eukaryotes in the sunlit ocean. The 610 figure displays a hierarchical clustering (Euclidean distance with Ward's linkage) of 681 MAGs and 611 SAGs based on the occurrence of ~28,000 functions identified with EggNOG<sup>57-59</sup>, rooted with small 612 animals (Chordata, Crustacea and copepods) and decorated with layers of information using the 613 anvi'o interactive interface. Layers include the occurrence in log 10 of 100 functions with lowest p-614 value when performing Welch's ANOVA between the functional groups A, B, C and D (see nodes in the 615 tree). Removed from the analysis were Ciliophora MAGs (gene calling is problematic for this lineage), 616 two less complete MAGs affiliated to Opisthokonta, and functions occurring more than 500 times in 617 the gigabase-scale MAG and linked to retrotransposons connecting otherwise unrelated MAGs and 618 SAGs.

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620 Figure 4. World map distribution projections for three eukaryotic MAGs during the periods of 621 **2006-2015 and 2090-2099.** The probability of presence ranges from 0 (purple) to 1 (red), with 622 green corresponding to a probability of 0.5. The bottom row displays first-rank region-dependent 623 environmental parameters driving the projected shifts of distribution (in regions where  $|\Delta P| > 0.1$ ). 624 Noticeably, projected decreases of silicate in equatorial regions drive 34% of the expansion of 625 TARA\_PSW\_MAG\_00299 while driving 34% of the reduction of TARA\_PSE\_93\_MAG\_00246, possibly 626 reflecting different life strategies of these copepods (e.g., grazing). In contrast, the expansion of 627 TARA\_IOS\_50\_MAG\_00098 is mostly driven by temperature (74%).

#### 629 **STAR Methods**

#### 631 **RESOURCE AVAILABILITY**

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633 Lead contact: 634

- Further information and requests for resources and analyses should be directed to and will be fulfilled by the lead contact, Tom O. Delmont (Tom.Delmont@genoscope.fr).
- 639 Materials availability:
- 640 641

This study did not generate new materials. •

#### 642 643 Data and code availability:

644 All 645 data our study generated are publicly available at 646 http://www.genoscope.cns.fr/tara/. The link provides access to the 11 raw

647 metagenomic co-assemblies, the FASTA files for 713 MAGs and SAGs, the ~10 648 million protein-coding sequences (nucleotides, amino acids and gff format), 649 and the curated DNA-dependent RNA polymerase genes (MAGs and SAGs and 650 METdb transcriptomes). This link also provides access to the supplemental 651 figures and the supplemental material. Finally, code development within 652 anvi'o for the BUSCO single copy core genes is available at 653 https://github.com/merenlab/anvio.

- Original code has been deposited at Zenodo and is publicly available. The accession number is listed in the key resources table.
  - Any additional information required to reanalyze the data reported in this paper is available from the lead contact upon request.

#### 661 METHOD DETAILS

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- Tara Oceans metagenomes. We analyzed a total of 939 Tara Oceans • available PRIEB402 metagenomes at the EBI under project (https://www.ebi.ac.uk/ena/browser/view/PRJEB402). 265 of these metagenomes have been released through this study. Table S1 reports accession numbers and additional information (including the number of reads and environmental metadata) for each metagenome.
- 670 **Genome-resolved metagenomics.** We organized the 798 metagenomes • 671 corresponding to size fractions ranging from 0.8 µm to 2 mm into 11 'metagenomic sets' based upon their geographic coordinates. We used those 672 673 0.28 trillion reads as inputs for 11 metagenomic co-assemblies using 674 MEGAHIT<sup>86</sup> v1.1.1, and simplified the scaffold header names in the resulting anvi'o<sup>38,39</sup> 675 assembly outputs using v.6.1 (available from http://merenlab.org/software/anvio). Co-assemblies yielded 78 million 676 677 scaffolds longer than 1,000 nucleotides for a total volume of 150.7 Gbp. We performed a combination of automatic and manual binning on each co-678 679 assembly output, focusing only on the 11.9 million scaffolds longer than 2,500 nucleotides, which resulted in 837 manually curated eukaryotic 680 681 metagenome-assembled genomes (MAGs) longer than 10 million nucleotides. 682 Briefly, (1) anvi'o profiled the scaffolds using Prodigal<sup>87</sup> v2.6.3 with default 683 parameters to identify an initial set of genes, and HMMER<sup>88</sup> v3.1b2 to detect 684 genes matching to 83 single-copy core gene markers from BUSCO<sup>89</sup> 685 (benchmarking is described in a dedicated blog  $post^{90}$ ), (2) we used a 686 customized database including both NCBI's NT database and METdb to infer 687 the taxonomy of genes with a Last Common Ancestor strategy<sup>5</sup> (results were imported as described in http://merenlab.org/2016/06/18/importing-688 taxonomy), (3) we mapped short reads from the metagenomic set to the 689 690 scaffolds using BWA v0.7.15<sup>91</sup> (minimum identity of 95%) and stored the 691 recruited reads as BAM files using samtools<sup>92</sup>, (4) anvi'o profiled each BAM

692 file to estimate the coverage and detection statistics of each scaffold, and 693 combined mapping profiles into a merged profile database for each 694 metagenomic set. We then clustered scaffolds with the automatic binning 695 algorithm CONCOCT<sup>93</sup> by constraining the number of clusters (thereafter 696 dubbed metabins) per metagenomic set to a number ranging from 50 to 400 depending on the set. Each metabin  $(n=2.550, \sim 12 \text{ million scaffolds})$  was 697 698 manually binned using the anvi'o interactive interface. The interface 699 considers the sequence composition, differential coverage, GC-content, and 700 taxonomic signal of each scaffold. Finally, we individually refined each eukarvotic MAG >10 Mbp as outlined in Delmont and Eren<sup>94</sup>, and renamed 701 702 scaffolds they contained according to their MAG ID. Table S2 reports the 703 genomic features (including completion and redundancy values) of the 704 eukaryotic MAGs. For details on our protocol used for binning and curation of 705 metabins, see Methods S1, supplemental methods, Related to the STAR 706 Methods. 707

- 708 A first Gigabase scale eukaryotic MAG. We performed targeted genome-• resolved metagenomics to confirm the biological relevance and improve 709 710 statistics of the single MAG longer than 1 Gbp with an additional co-assembly 711 (five Southern Ocean metagenomes for which this MAG had average vertical 712 coverage >1x) and by considering contigs longer than 1,000 nucleotides, 713 leading to a gain of 181,8 million nucleotides. To our knowledge, we describe 714 here the first successful characterization of a Gigabase-scale MAG (1.32 Gbp 715 with 419,520 scaffolds), which we could identify using two distinct 716 metagenomic co-assemblies.
- MAGs from the 0.2-3 μm size fraction. We incorporated into our database
   20 eukaryotic MAGs longer than 10 million nucleotides previously
   characterized from the 0.2-3 μm size fraction<sup>26</sup>, providing a set of MAGs
   corresponding to eukaryotic cells ranging from 0.2 μm (picoeukaryotes) to 2
   mm (small animals).

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724 Single-cell genomics: We used 158 eukaryotic single cells sorted by flow • 725 cytometry from seven Tara Oceans stations as input to perform genomic assemblies (up to 18 cells with identical 18S rRNA genes per assembly to 726 optimize completion statistics, see Supplementary Table 2), providing 34 727 728 single-cell genomes (SAGs) longer than 10 million nucleotides. Cell sorting, 729 DNA amplification, sequencing and assembly were performed as described 730 elsewhere<sup>18</sup>. In addition, manual curation was performed using sequence 731 composition and differential coverage across 100 metagenomes in which the 732 SAGs were most detected, following the methodology described in the 733 genome-resolved metagenomics section. For SAGs with no detection in Tara 734 Oceans metagenomes, only sequence composition and taxonomical signal 735 could be used, limiting this curation effort's scope. Notably, manual curation 736 of SAGs using the genome-resolved metagenomic workflow turned out to be

- highly valuable, leading to the removal of more than one hundred thousand
  scaffolds for a total volume of 193.1 million nucleotides. This metagenomicguided decontamination effort contributes to previous efforts characterizing
  eukaryotic SAGs from the same cell sorting material<sup>18,61,95-97</sup> and provides
  new marine eukaryotic guidelines SAGs. For details on our protocol used for
  curation of eukaryotic SAGs, see Methods S1, supplemental methods, Related
  to the STAR Methods.
- 745 Characterization of a non-redundant database of MAGs and SAGs. We • 746 determined the average nucleotide identity (ANI) of each pair of MAGs and 747 SAGs using the dnadiff tool from the MUMmer package<sup>98</sup> v.4.0b2. MAGs and SAGs were considered redundant when their ANI was >98% (minimum 748 749 alignment of >25% of the smaller MAG or SAG in each comparison). We then selected the longest MAG or SAG to represent a group of redundant MAGs 750 751 and SAGs. This analysis provided a non-redundant genomic database of 713 752 MAGs and SAGs.

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- 754 • Taxonomical inference of MAGs and SAGs. We manually determined the 755 taxonomy of MAGs and SAGs using a combination of approaches: (1) 756 taxonomical signal from the initial gene calling (Prodigal), (2) phylogenetic 757 approaches using the RNA polymerase and METdb, (3) ANI within the MAGs 758 and SAGs and between MAGs and SAGs and METdb. (4) local blasts using 759 BUSCO gene markers, (5) and lastly the functional clustering of MAGs and 760 SAGs to gain knowledge into very few MAGs and SAGs lacking gene markers 761 and ANI signal. In addition, Picozoa SAGs<sup>54</sup> were used to identify MAGs from this phylum lacking representatives in METdb. For details on METdb, see 762 763 Methods S1, supplemental methods, Related to the STAR Methods. 764
- Protein coding genes. Protein coding genes for the MAGs and SAGs were characterized using three complementary approaches: protein alignments using reference databases, metatranscriptomic mapping from *Tara* Oceans and *ab-initio* gene predictions. While the overall framework was highly similar for MAGs and SAGs, the methodology slightly differed to take the best advantage of those two databases when they were processed (see the two following sections).
- 773 **Protein-coding genes for the MAGs. Protein alignments:** Since the • 774 alignment of a large protein database on all the MAG assemblies is time 775 greedy, we first detected the potential proteins of Uniref90 + METdb that could be aligned to the assembly by using MetaEuk<sup>99</sup> with default 776 777 parameters. This subset of proteins was aligned using BLAT with default 778 parameters, which localized each protein on the MAG assembly. The exon/intron structure was refined using genewise<sup>100</sup> with default 779 780 parameters to detect splice sites accurately. Each MAG's GeneWise 781 alignments were converted into a standard GFF file and given as input to

- 782 gmove. Metatranscriptomic mapping from Tara Oceans: A total of 905 783 individual Tara Oceans metatranscriptomic assemblies (mostly from large 784 planktonic size fractions) were aligned on each MAG assembly using 785 Minimap2<sup>101</sup> (version 2.15-r905) with the "-ax splice" flag. BAM files were 786 filtered as follows: low complexity alignments were removed and only alignments covering at least 80% of a given metatranscriptomic contig with 787 788 at least 95% of identity were retained. The BAM files were converted into a 789 standard GFF file and given as input to gmove. *Ab-initio* gene predictions: A 790 first gene prediction for each MAG was performed using gmove and the GFF 791 file generated from metatranscriptomic alignments. From these preliminary 792 gene models, 300 gene models with a start and a stop codon were randomly 793 selected and used to train AUGUSTUS<sup>102</sup> (version 3.3.3). A second time, 794 AUGUSTUS was launched on each MAG assembly using the dedicated 795 calibration file, and output files were converted into standard GFF files and 796 given as input to gmove. Each individual line of evidence was used as input 797 for gmove (http://www.genoscope.cns.fr/externe/gmove/) with default 798 parameters to generate the final protein-coding genes annotations. 799
- 800 Protein coding genes for the SAGs. Protein alignments: The Uniref90 + • 801 METdb database of proteins was aligned using BLAT<sup>103</sup> with default 802 parameters, which localized protein on each SAG assembly. The exon/intron structure was refined using GeneWise<sup>100</sup> and default parameters to detect 803 splice sites accurately. The GeneWise alignments of each SAG were converted 804 into a standard GFF file and given as input to gmove. Metatranscriptomic 805 806 mapping from *Tara* Oceans: The 905 *Tara* Oceans metatranscriptomic with 807 individual fastq filtered files were kfir 808 (http://www.genoscope.cns.fr/kfir) using a k-mer approach to select only 809 reads that shared 25-mer with the input SAG assembly. This subset of reads 810 was aligned on the corresponding SAG assembly using STAR<sup>104</sup> (version 2.5.2.b) with default parameters. BAM files were filtered as follows: low 811 812 complexity alignments were removed and only alignments covering at least 813 80% of the metatranscriptomic reads with at least 90% of identity were 814 retained. Candidate introns and exons were extracted from the BAM files and given as input to gmorse<sup>105</sup>. *Ab-initio* gene predictions: *Ab-initio* models 815 were predicted using SNAP<sup>106</sup> (v2013-02-16) trained on complete protein 816 817 matches and gmorse models, and output files were converted into standard 818 GFF files and given as input to gmove. Each line of evidence was used as 819 input for gmove (http://www.genoscope.cns.fr/externe/gmove/) with 820 default parameters to generate the final protein-coding genes annotations. 821
- BUSCO completion scores for protein-coding genes in MAGs and SAGs.
   BUSCO<sup>89</sup> v.3.0.4 with the set of eukaryotic single-copy core gene markers (n=255). Completion and redundancy (number of duplicated gene markers) of MAGs and SAGs were computed from this analysis.
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827 • Biogeography of MAGs and SAGs. We performed a final mapping of all 828 metagenomes to calculate the mean coverage and detection of the MAGs and 829 SAGs (Table S5). Briefly, we used BWA v0.7.15 (minimum identity of 90%) 830 and a FASTA file containing the 713 non-redundant MAGs and SAGs to 831 recruit short reads from all 939 metagenomes. We considered MAGs and 832 SAGs were detected in a given filter when >25% of their length was covered 833 by reads to minimize non-specific read recruitments<sup>26</sup>. The number of 834 recruited reads below this cut-off was set to 0 before determining vertical 835 coverage and percent of recruited reads. Regarding the projection of mapped 836 reads, if MAGs and SAGs were to be complete, we used BUSCO completion 837 scores to project the number of mapped reads. Note that we preserved the 838 actual number of mapped reads for the MAGs and SAGs with completion 839 <10% to avoid substantial errors to be made in the projections.

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- 841 Identifying the environmental niche of MAGs and SAGs. Seven physicochemical parameters were used to define environmental niches: sea 842 843 surface temperature (SST), salinity (Sal), dissolved silica (Si), nitrate (NO<sub>3</sub>), 844 phosphate ( $PO_4$ ), iron (Fe), and a seasonality index of nitrate (SI NO<sub>3</sub>). Except 845 for Fe and SI NO3, these parameters were extracted from the gridded World Ocean Atlas 2013 (WOA13)<sup>107</sup>. Climatological Fe fields were provided by the 846 biogeochemical model PISCES-v2<sup>108</sup>. The seasonality index of nitrate was 847 848 defined as the range of nitrate concentration in one grid cell divided by the 849 maximum range encountered in WOA13 at the Tara sampling stations. All 850 parameters were co-located with the corresponding stations and extracted at 851 the month corresponding to the Tara sampling. To compensate for missing 852 physicochemical samples in the Tara in situ data set, climatological data 853 (WOA) were favored. For details on the environmental niches, see Methods 854 S1, supplemental methods, Related to the STAR Methods. 855
  - **Cosmopolitan score.** Using metagenomes from the Station subset 1 (n=757), MAGs and SAGs were assigned a "cosmopolitan score" based on their detection across 119 stations. For details on metagenomic subsets, see Methods S1, supplemental methods, Related to the STAR Methods.
- 861 A database of manually curated DNA-dependent RNA polymerase genes. • A eukaryotic dataset<sup>109</sup> was used to build HMM profiles for the two largest 862 863 subunits of the DNA-dependent RNA polymerase (RNAP-a and RNAP-b). These two HMM profiles were incorporated within the anvi'o framework to 864 identify RNAP-a and RNAP-b genes (Prodigal<sup>87</sup> annotation) in the MAGs and 865 SAGs and METdb transcriptomes. Alignments, phylogenetic trees and blast 866 results were used to organize and manually curate those genes. Finally, we 867 868 removed sequences shorter than 200 amino-acids, providing a final collection of DNA-dependent RNA polymerase genes for the MAGs and SAGs 869 870 (n=2,150) and METdb (n=2,032) with no duplicates. For details on this

- protocol, see Methods S1, supplemental methods, Related to the STAR Methods.
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883

- 874 Novelty score for the DNA-dependent RNA polymerase genes. We • 875 compared both the RNA-Pol A and RNA-Pol B peptides sequences identified 876 in MAGs and SAGs and MetDB to the nr database (retrieved on October 25. 877 2019) using blastp, as implemented in blast+ $^{110}$  v.2.10.0 (e-value of 1e<sup>-10</sup>). 878 We kept the best hit and considered it as the closest sequence present in the 879 public database. For each MAG and SAG, we computed the average percent 880 identity across RNA polymerase genes (up to six genes) and defined the 881 novelty score by subtracting this number from 100. For example, with an average percent identity is 64%, the novelty score would be 36%. 882
- 884 Phylogenetic analyses of MAGs and SAGs. The protein sequences included • 885 for the phylogenetic analyses (either the DNA-dependent RNA polymerase 886 genes we recovered manually or the BUSCO set of 255 eukaryotic single-887 **copy core gene markers** we recovered automatically from the ~10 million 888 protein coding genes) were aligned with MAFFT<sup>111</sup> v.764 and the FFT-NS-i 889 algorithm with default parameters. Sites with more than 50% of gaps were 890 trimmed using Goalign v0.3.0-alpha5 891 (http://www.github.com/evolbioinfo/goalign). The phylogenetic trees were 892 reconstructed with IQ-TREE<sup>112</sup> v1.6.12, and the model of evolution was estimated with the ModelFinder<sup>113</sup> Plus option: for the concatenated tree, the 893 LG+F+R10 model was selected. Supports were computed from 1,000 894 895 replicates for the Shimodaira-Hasegawa (SH)-like approximation likelihood ratio (aLRT)<sup>114</sup> and ultrafast bootstrap approximation (UFBoot)<sup>115</sup>. As per IO-896 897 TREE manual, we deemed the supports good when SH-aLRT >= 80% and 898 UFBoot  $\geq$  95%. Anvi'o v.6.1 was used to visualize and root the phylogenetic 899 trees. 900
- EggNOG functional inference of MAGs and SAGs. We performed the functional annotation of protein-coding genes using the EggNog-mapper<sup>58,59</sup> v2.0.0 and the EggNog5 database<sup>57</sup>. We used Diamond<sup>116</sup> v0.9.25 to align proteins to the database. We refined the functional annotations by selecting the orthologous group within the lowest taxonomic level predicted by EggNog-mapper.
- Eukaryotic MAGs and SAGs integration in the AGNOSTOS-DB. We used the AGNOSTOS workflow to integrate the protein coding genes predicted from the MAGs and SAGs into a variant of the AGNOSTOS-DB that contains 1,829 metagenomes from the marine and human microbiomes, 28,941 archaeal and bacterial genomes from the Genome Taxonomy Database (GTDB) and 3,243 nucleocytoplasmic large DNA viruses (NCLDV) metagenome assembled genomes (MAGs)<sup>64</sup>.
- 915

- AGNOSTOS functional aggregation inference. AGNOSTOS partitioned 916 917 protein coding genes from the MAGs and SAGs in groups connected by 918 remote homologies, and categorized those groups as members of the known 919 or unknown coding sequence space based on the workflow described in 920 Vanni et al. 2020<sup>64</sup>. To combine the results from AGNOSTOS and the EggNOG 921 classification we identified those groups of genes in the known space that 922 contain genes annotated with an EggNOG and we inferred a consensus 923 annotation using a quorum majority voting approach. AGNOSTOS produces 924 groups of genes with low functional entropy in terms of EggNOG annotations 925 as shown in Vanni et al. 2020<sup>64</sup> allowing us to combine both sources of 926 information. We merged the groups of genes that shared the same consensus 927 EggNOG annotations and we integrated them with the rest of AGNOSTOS 928 groups of genes, mostly representing the unknown coding sequence space. 929 Finally, we excluded groups of genes occurring in less than 2% of the MAGs and SAGs. 930
  - **Functional clustering of MAGs and SAGs.** We used anvi'o to cluster MAGs and SAGs as a function of their functional profile (Euclidean distance with ward's linkage), and the anvi'o interactive interface to visualize the hierarchical clustering in the context of complementary information.
- 937 QUANTIFICATION AND STATISTICAL ANALYSIS
- Differential occurrence of functions. We performed a Welch's ANOVA test followed by a Games-Howell test for significant ANOVA comparisons to identify EggNOG functions occurring differentially between functional groups of MAGs and SAGs. All statistics were generated in R 3.5.3. Results are available in the table S6.
- 944 **Declaration of interest**
- 946 The authors declare no competing interests.

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**PO<sub>4</sub> \mumol/L <b>NO**<sub>3</sub>  $\mu$ mol/L **SI**  $\mu$ mol/L

## **TARA\_IOS\_50\_MAG\_00098 (MAST-4)**

## TARA\_PSW\_86\_MAG\_00299 (Copepod)

Fe µmol/L

SI NO<sub>3</sub>

## TARA\_PSE\_93\_MAG\_00246 (Copepod)



### **Temperature°C**

Sal g/kg

