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Novel Hybrid Numerical Simulation of the Wave Equation by Combining Physical and Numerical Representation Theorems and a Review of Hybrid Methodologies

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Novel hybrid numerical simulation of the wave equation by combining physical and numerical representation theorems and a review of hybrid methodologies

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Key Points:

- Hybrid wave numerical simulation methods of circumventing the heavy computational cost in the global waveform tomography are reviewed.
- The proposed hybrid method has the flexible local meshing and is highly accurate and memory efficient.
- Only three physical quantities located exactly on the hybrid interface are required to construct the hybrid inputs.

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Abstract

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We present a novel hybrid method to simulate wave propagation through remote regional models. By reviewing and refining the two main existing hybrid categories, the multiple point sources method and direct discrete differentiation method, containing five distinct subcategories, the proposed hybrid method has the following three advantages. (i) The meshing of the local target model is completely independent of that of the global reference model. (ii) Only three physical quantities, i.e., the gradient ∇q , potential q, and second temporal derivative of the potential $\partial_{tt}q$ for the acoustic wave equation (traction, displacement, and acceleration for the elastic scenario) are required to construct the hybrid inputs during the first global simulation. They are located exactly on the twodimensional (2D) hybrid interface, which is highly accurate and memory efficient for threedimensional (3D) hybrid numerical simulations. The required memory of hybrid inputs can be reduced fourfold if the very high polynomial degree spectral element method (SEM) is used for the 3D local hybrid simulation. (iii) An efficient artificial perfectly matched layer (PML) can be adopted naturally without any elements overlapping between the local and PML domains in the second hybrid simulation. We build on theoretical analysis and 2D/3D numerical forward simulations based on the SEM to illustrate this new hybrid method and demonstrate its validity. The proposed hybrid method is promising for efficiently probing key 3D structures anywhere within the Earth using the so-called "box tomography."

1 Plain Language Summary

The seismic structure of the Earth is multi-scale, yet capturing such a broad range of complex heterogeneities using the available global waveform tomography is still computationally prohibitive. It is important to lighten this computational burden by inverting only a small region, namely, the so-called box tomography. As the forward part of box tomography, an efficient implementation of a hybrid numerical simulation is crucial. After reviewing and analyzing the two main existing hybrid methods, including five different subcategories, we propose a new hybrid method, which is highly accurate, memory efficient, and promising for wide use in probing the key 3D structures anywhere within the Earth using the so-called "box tomography.

2 Introduction

Over the past three decades, with the development of effective computer clusters, efficient numerical methods, such as the finite difference method (FDM) and spectral element method (SEM), improved full waveform inversion (FWI) techniques, and exten-

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sive global deployment of stations, the imaging of Earth has achieved unprecedented accuracy (French & Romanowicz, 2015; Bozdag et al., 2016; Fichtner et al., 2018; Lei et al., 2020). Faster and more accurate calculations of the elastic wavefield within heterogeneous media are key to developing and improving accurate imaging techniques relying on full-waveform analysis (Tarantola, 1984; Pratt et al., 1998; Virieux & Operto, 2009; Capdeville & Mtivier, 2018; Tromp, 2019; Lyu, Capdeville, Al-Attar, & Zhao, 2021). Earths structure is multi-scale, yet capturing such a broad range of complex heterogeneities with seismic wave propagation across the observable frequency band (e.g., ≥ 1 s) requires thousands of global numerical simulations of seismic/acoustic wave equations, which are still computationally prohibitive. Therefore, it is important to lighten this computational burden to further increase the resolution and accuracy of imaging. Many research efforts have been devoted to speed up global wave simulations. For example, the real coupling "CSEM" method couples the SEM simulation with normal mode calculations (Capdeville et al., 2003). Another two-step approach is based on the physical domain decomposition, where wave propagation is first performed in the global reference model and the hybrid inputs are calculated and saved only once. Subsequently, they are imposed into the local target model as the equivalent virtual sources to perform the hybrid simulation (Wen & Helmberger, 1998; Bielak et al., 2003; Chevrot et al., 2004; Chen et al., 2005; L. Zhao et al., 2008; Monteiller et al., 2013; Masson et al., 2014; Tong, Chen, et al., 2014; M. Zhao et al., 2016; Lin et al., 2019; Leng et al., 2020; Pienkowska et al., 2021). Hereafter, we focus on such a two-step approach and refer to it as the "hybrid method" (the hybrid simulation between the global reference and local target models). It can be applied for imaging localized multi-scale heterogeneities in the shallow and deep Earth (Monteiller et al., 2015; Y. Wang et al., 2016; Masson & Romanowicz, 2017a, 2017b; Zhang et al., 2018; Beller et al., 2018; Clouzet et al., 2018; K. Wang, Yang, et al., 2021; K. Wang, Wang, et al., 2021), and it is referred to as the "box tomography" (Masson & Romanowicz, 2017a). Because almost all the forward and backward numerical simulations of wave propagation are confined to the smallest computational volume, local high-frequency numerical simulations can be implemented without difficulty. Moreover, the specially selected spatial illumination from remote seismic events and/or stations facilitates higher-resolution imaging. Its principal difficulty results from unknown mediums in the external domain that mask the target structure. Masson and Romanowicz (2017b) demonstrated that an unbiased image can be inverted using box tomography if the initial background model is a homogenized true model (Capdeville et al., 2010) in a two-dimensional (2D) global acoustic model framework. These studies provided an important theoretical foundation for the practical applications of box tomography, initially demonstrating its promising

-3-

application prospects for probing key three-dimensional (3D) structures anywhere within
 the Earth.

As the forward part of box tomography, an efficient implementation of hybrid numerical simulation is crucial. The first step of the global wave simulation in the hybrid wave simulation framework has gradually evolved from the approximated generalized ray theory (GRT) calculations (Wen & Helmberger, 1998; Chen et al., 2005; L. Zhao et al., 2008, 2014, 2015) to the direct solution method (DSM) (Kawai et al., 2006; Monteiller et al., 2013; Y. Wang et al., 2016), planar wave approximation frequencywavenumber (FK) (Zhu & Rivera, 2002; Tong, Chen, et al., 2014; Tong, Komatitsch, et al., 2014; Monteiller et al., 2020), AXISEM (Nissen-Meyer et al., 2014; Beller et al., 2018; Pienkowska et al., 2021; Monteiller et al., 2020), SPECFEM3D_globe (Komatitsch et al., 2002; Clouzet et al., 2018), and AXISEM3D (Leng et al., 2019, 2020), owing to the large computation resources required for 3D high-frequency wave numerical simulations at the global scale. For the second step of the hybrid wave numerical simulation, a unifying theory was introduced in Masson et al. (2014), the representation theorem being their theoretical basis (Wen & Helmberger, 1998; Masson et al., 2014; Lin et al., 2019). Existing hybrid-wave numerical simulation methods consist of two main categories. The first one explicitly approximates the surface integral(s) in the physical representation theorem to build the physical hybrid inputs and is referred to as the "multiple point sources method" (Chevrot et al., 2004; Monteiller et al., 2013; Tong, Chen, et al., 2014; Tong, Komatitsch, et al., 2014; M. Zhao et al., 2016; Y. Wang et al., 2016; Lin et al., 2019). The second category constructs the numerical hybrid inputs using a spatial window function and the discrete wave equation based on the numerical representation theorem and is referred to as the "direct discrete differentiation method" (Bielak et al., 2003; Yoshimura et al., 2003; Masson et al., 2014; Masson & Romanowicz, 2017b, 2017a; Clouzet et al., 2018).

In the research on hybrid wave numerical simulation methods, the following operations are vital: in the first step, reducing the memory requirements of the hybrid inputs in the global simulation; in the second step, accurately performing the hybrid numerical simulations completely independent of the first global simulation (e.g., the irrelevant selections of spatial meshing and temporal steps) and increasing the computation speed of the local hybrid numerical simulations. To the best of our knowledge, no previous hybrid method has combined the two main categories of hybrid simulation. The proposed "new" hybrid method inherits the advantages of both main hybrid methods and simultaneously eliminates their disadvantages. To save hybrid inputs, the memory requirements of the proposed hybrid method and memory-saving multiple point sources method are the same. To use the proposed method in the acoustic scenario, we must know

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-4-

three incident physical quantities: the gradient, potential, and acceleration. Note that the acceleration term can be calculated using the stored potential term during the hybrid simulation; therefore, it does not require storing. It has the advantage of being naturally combined with a perfectly matched layer (PML) for very heterogeneous media without a significant artificial error. The storage ratio between the new hybrid method and the direct discrete differentiation methods based on the numerical representation theorem is approximately $\frac{2}{N+1}$ (N: the polynomial degree in the SEM). Therefore, it is more suitable for the hybrid numerical simulations of wave propagation, particularly for an efficient very high polynomial degree SEM (Lyu et al., 2020).

The remainder of this article is organized as follows. First, we illustrate the detailed expression of the fundamental representation theorem. Subsequently, two main categories of the hybrid simulation, including five different subcategories (Bielak et al., 2003; Monteiller et al., 2013; Masson et al., 2014; M. Zhao et al., 2016; Lin et al., 2019) are analyzed, and the new hybrid method is proposed based on their combination. Thereafter, a series of 2D and 3D hybrid acoustic wave numerical simulations using SEM in homogeneous and heterogeneous models demonstrate its validity. Finally, the discussion and conclusions are presented.

3 Methodology

In this section, we begin with the elastic and acoustic wave equations, and we briefly introduce the principles of the spectral element method and the associated representation theorems. Subsequently, some nomenclature and the overall workflow of the hybrid simulation are introduced. Thereafter, we briefly analyze the widely used hybrid methods, including two main categories and five subcategories, and summarize their respective merits and limitations. Finally, the new hybrid method is proposed based on their combination.

3.1 Elastic and Acoustic Wave Equation

The propagation of seismic waves is governed by the equations of motion:

$$\rho \ddot{\mathbf{u}} = \nabla \cdot \boldsymbol{\sigma} + \mathbf{f}$$

$$\boldsymbol{\sigma} = \mathbf{C} : \boldsymbol{\varepsilon}$$

$$\boldsymbol{\varepsilon} = \frac{1}{2} [\nabla \mathbf{u} + (\nabla \mathbf{u})^T], \qquad (1)$$

where $\mathbf{u}(\mathbf{x}, t)$ is the displacement field vector, $\rho(\mathbf{x})$ is the density, $\boldsymbol{\sigma}(\mathbf{x})$ is the stress tensor, $\boldsymbol{\varepsilon}(\mathbf{x})$ is the strain tensor, and $\mathbf{f}(\mathbf{x}, t)$ are the body forces in the elastic domain Ω ; \mathbf{u} is subject to boundary conditions on $\partial\Omega$ (i.e., traction vanishes at the Earth's surface).

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In the acoustic scenario, considering an acoustic domain Ω , the velocity potential q is the solution of

$$\frac{1}{\kappa}\ddot{q} = \nabla \cdot \dot{\mathbf{u}} + f$$

$$\dot{\mathbf{u}} = \frac{1}{\rho}\nabla q,$$
(2)

where $\kappa(\mathbf{x})$ is the acoustic bulk modulus, $\rho(\mathbf{x})$ is the (mass) density, $\mathbf{u}(\mathbf{x}, t)$ is the displacement vector, and $f(\mathbf{x}, t)$ is a scalar source term. Generally, the acoustic medium is fully described using only two parameters: the density $\rho(\mathbf{x})$ and the speed of sound $V(\mathbf{x})$ such that $\kappa(\mathbf{x}) = \rho(\mathbf{x})V^2(\mathbf{x})$. Note that we only tested our proposed hybrid method in the acoustic scenario in the following numerical experiments.

3.2 Principle of the Spectral Element Method

The SEM is a finite-element type method. Rather than directly using the boundary conditions and equations of motion, it is based on an associated weak form by dotting the wave equation with an arbitrary test function $w(\mathbf{x})$, integrating by parts over the model domain Ω , and imposing the associated boundary conditions. To numerically solve the weak form of the equation, we require a discrete approximation of the continuous problem. For the spatial discretization of the SEM, the 3D model domain Ω is spatially split into N_e non-overlapping spectral elements. For the acoustic wave equation, $q^e(\mathbf{x}) = \sum_{i,j,k=0,N+1} Q^e_{ijk} w^e_{ijk}(\mathbf{x}) \ (e = 1, \dots, N_e), w^e_{ijk}(\mathbf{x})$ is the $i + j \times (N + 1) + k \times (N+1)^2$ th test function in the *e*th spectral element, $q^e(\mathbf{x})$ is $q(\mathbf{x})$ restricted to the *e*th element, the subscript N represents the polynomial degree of spectral element, and Q^e_{ijk} is $q(x^e_{ijk})$ where x^e_{ijk} is the coordinate of the $i+j \times (N+1)+k \times (N+1)^2$ th Gauss-Lobatto-Legendre (GLL) point in the *e*th element. After the numerical integration based on the GLL points is applied to the weak form of the above acoustic wave equation (2), the following ordinary differential equation (ODE) is obtained:

$$\mathbf{M}\ddot{\mathbf{Q}} + \mathbf{K}\mathbf{Q} = \mathbf{F},\tag{3}$$

where \mathbf{Q} is the discrete potential vector, \mathbf{M} is the global diagonal mass matrix, \mathbf{K} is the global stiffness matrix, and \mathbf{F} is the discrete source vector (Komatitsch & Tromp, 1999). Note that \mathbf{KQ} is calculated using the tensor product.

3.3 Theoretical Basis of Hybrid Simulation: Representation Theorem

Hybrid methods are often based on the representation theorem (Aki & Richards, 2002; Masson et al., 2014; M. Zhao et al., 2016; Lin et al., 2019). It quantitatively states a manner in which displacement **u** at a certain point **x** consists of three contributions because of the force $\mathbf{f}(\mathbf{x}, t)$ throughout the closed V, as well as contributions owing to

the traction $\mathbf{T}(\mathbf{u}, \mathbf{n})$ and the displacement \mathbf{u} itself on the surface $S = \partial V$.

$$u_{n}(\mathbf{x}, t) = \int_{-\infty}^{\infty} d\tau \int_{V} f_{i}(\mathbf{x}', \tau) G_{ni}(\mathbf{x}, t - \tau; \mathbf{x}', 0) dV(\mathbf{x}') + \int_{-\infty}^{\infty} d\tau \oint_{S} T_{i}(\mathbf{u}(\mathbf{x}', \tau), \mathbf{n}) G_{ni}(\mathbf{x}, t - \tau; \mathbf{x}', 0) dS(\mathbf{x}') - \int_{-\infty}^{\infty} d\tau \oint_{S} u_{i}(\mathbf{x}', \tau) C_{ijkl} n_{j} G_{nk,l}(\mathbf{x}, t - \tau; \mathbf{x}', 0) dS(\mathbf{x}'),$$
(4)

where Green's tensor $G_{ni}(\mathbf{x}, t; \mathbf{x}', \tau)$ denotes the *n*th direction component of the displacement at (\mathbf{x}, t) owing to a unit point force at (\mathbf{x}', τ) in the *i*th direction, $T_i = \sigma_{ij}n_j =$ $C_{ijkl}u_{k,l}n_j$ is the *i*th component of the traction on S, n_j is the *j*th component of the normal vector **n** on S, C_{ijkl} is the fourth-order elasticity tensor, and the notation $G_{nk,l}$ represents $\frac{\partial G_{nk}}{\partial x'_{i}}$. The third integral in Equation (4) indicates the displacement contribution owing to the moment density tensor $m_{kl} = u_i n_j C_{ijkl}$. Note that the Einstein summation convention is used. Equation (4) indicates that if the exciting force $f_i(\mathbf{x}, t)$ is known throughout the volume V, the traction $T_i(\mathbf{x}, \mathbf{n}, t)$ and the wavefield $u_i(\mathbf{x}, t)$ are known on the surface S, then the displacement $u_n(\mathbf{x},t)$ within the volume V can be regenerated, which is the theoretical foundation of the hybrid simulation of the elastic wave equation.

Similar to the elastic scenario, the acoustic pressure can be expressed formally in the temporal domain using the Helmoltz-Kirchhoff representation theorem:

$$q(\mathbf{x},t) = \int_{-\infty}^{\infty} d\tau \int_{V} f(\mathbf{x}',\tau) G(\mathbf{x},t-\tau;\mathbf{x}',0) \, \mathrm{d}V(\mathbf{x}') + \int_{-\infty}^{\infty} d\tau \oint_{S} \frac{1}{\rho} \nabla' q(\mathbf{x}',\tau) G(\mathbf{x},t-\tau;\mathbf{x}',0) \cdot \mathbf{n} \mathrm{d}S(\mathbf{x}') - \int_{-\infty}^{\infty} d\tau \oint_{S} \frac{1}{\rho} q(\mathbf{x}',\tau) \nabla' G(\mathbf{x},t-\tau;\mathbf{x}',0) \cdot \mathbf{n} \mathrm{d}S(\mathbf{x}').$$
(5)

Equation (5) can be transferred into the frequency domain as follows (the frequency dependence of functions is omitted hereafter to avoid complexity for equations):

$$q(\mathbf{x}) = \int_{V} f(\mathbf{x}') G(\mathbf{x}; \mathbf{x}') dV(\mathbf{x}') + \oint_{S} \frac{1}{\rho} \nabla' q(\mathbf{x}') G(\mathbf{x}; \mathbf{x}') \cdot \mathbf{n} dS(\mathbf{x}') - \oint_{S} \frac{1}{\rho} q(\mathbf{x}') \nabla' G(\mathbf{x}; \mathbf{x}') \cdot \mathbf{n} dS(\mathbf{x}').$$
(6)

Note that the same notation is used for quantities in the time and frequency domains. 198 By replacing the surface sources with equivalent body sources (Aki & Richards, 2002), 199 Equation (6) can be rewritten as 200

$$q(\mathbf{x}) = \int_{V} \left[f(\mathbf{x}') + f^{T}(\mathbf{x}') + f^{q}(\mathbf{x}') \right] G(\mathbf{x}; \mathbf{x}') \mathrm{d}V(\mathbf{x}'), \tag{7}$$

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where the two equivalent body forces associated with the gradient $\nabla q(\mathbf{x}, t)$ and the potential $q(\mathbf{x}, t)$ are given by

$$f^{T}(\mathbf{x}') = \int_{S} \frac{1}{\rho} n_{i} \frac{\partial q}{\partial \eta_{i}} \delta(\mathbf{x}' - \boldsymbol{\eta}) \mathbf{d}S(\boldsymbol{\eta})$$

$$f^{q}(\mathbf{x}') = \int_{S} \frac{1}{\rho} \partial_{\eta_{i}} [n_{i}q(\boldsymbol{\eta})\delta(\mathbf{x}' - \boldsymbol{\eta})] \mathbf{d}S(\boldsymbol{\eta}), \tag{8}$$

where the terms $T = \frac{1}{\rho} n_i \frac{\partial q}{\partial x_i}$ and $m_i = n_i q$ are similar to the traction and moment density tensor terms in the representation theorem for elastic waves. Equation (5) indicates that if the exciting force $f(\mathbf{x}, t)$ is known throughout the volume V, the gradient $\nabla q(\mathbf{x}, t)$ and the potential $q(\mathbf{x}, t)$ are known on the surface S, then the pressure $q(\mathbf{x}, t)$ within the volume V can be regenerated. Similar to the elastic scenario, it is also the theoretical foundation of the hybrid simulation of the acoustic wave equation.

3.4 Nomenclature and Workflow of Hybrid Simulations

For a clearer statement, some nomenclature often used in the hybrid simulations are listed below and depicted in Figure 1.

- Global domain Ω_g : the entire physical global domain containing the local domain Ω_l and external domain Ω_e .
- Local domain Ω_l : the local closed box surrounded by the hybrid interface S and located inside the global domain Ω_q .
- External domain Ω_e : the external part of the global domain Ω_g outside the local domain Ω_l .
- Global reference model M_{g0} : the known 1D model (e.g., 1D AK135 (Kennett et al., 1995)) or 3D long-period velocity model (e.g., SEMUCB-WM1 in French and Romanowicz (2014)) from previous studies assigned to the global domain Ω_g , which includes the known external model M_{e0} and local reference model M_{l0} .
- Global target model M_{g1} : the global model also assigned to the global domain Ω_g , which includes the assumed known external model M_{e0} and the unknown local target model M_{l1} .
- Local reference model M_{l0} : the known model assigned to the local domain Ω_l , which is always the starting model for box tomography.
- Local target model M_{l1} : the unknown model to be inverted for and also assigned to the local domain Ω_l .
- **External model** M_{e0} : the known external model of the global reference or target model assigned to the external domain Ω_e .
- Hybrid interface S: the interface separating the external domain Ω_e and local domain Ω_l .

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201

Note that $\Omega_e + \Omega_l = \Omega_g$ for the domains, and $M_{e0} + M_{l0} = M_{g0}$ and $M_{e0} + M_{l1} = M_{g1}$ for the models. As the foundation of box tomography, the forward and backward numerical simulations must be exclusively re-performed in the unknown local target models M_{l1} because the external model M_e is assumed to be unperturbed in the framework of the iterative box tomography.

The key workflow of the hybrid simulation for forward solving the wave equation in box tomography consists of the following three main steps:

- (i) Calculate and record the associated hybrid inputs using global solvers: GRT, FK, DSM, or AxiSEM for the 1D global reference model M_{g0} (e.g., AK135), and SPECFEM3D_globe or AxiSEM3D for the 3D global reference model M_{g0} (e.g., SEMUCB-WM1).
- (ii) Impose the hybrid inputs recorded in the first step into the local target model M_{l1} and perform the local hybrid numerical simulation using a local solver, such as the program RegSEM (Cupillard et al., 2012).
- (iii) Record the residual wavefields on the hybrid interface S and use a wavefield extrapolation method (Robertsson & Chapman, 2000) to obtain the seismic response for the receivers outside the local domain Ω_l (Masson & Romanowicz, 2017a, 2017b).

3.5 Multiple Point Sources Method

The first major category, the multiple point sources method, primarily consists of the "VM" (abbreviation obtained from Monteiller et al. (2013)) and "RP" (abbreviation for the representation theorem) hybrid methods.

3.5.1 VM Hybrid Method

The first popular type of the multiple point sources method is the VM hybrid method (Chevrot et al., 2004; Godinho et al., 2009; Monteiller et al., 2013; Tong, Chen, et al., 2014; Tong, Komatitsch, et al., 2014; Monteiller et al., 2015; Lin et al., 2019). The concept of the local target model M_{l1} associated with the VM method is shown in Figure 1c. The hybrid interface S cannot be selected at will, but it should be the same as the boundary of the local domain $\partial \Omega_l$ indicted by the closed dashed cyan line. The VM hybrid method is only based on a special representation theorem with the traction-free boundary condition, which will cause the second displacement surface integral term equal to be zero, as discussed on page 29 of the 2nd edition of Aki and Richards (2002) and Equation (12)

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265

in Lin et al. (2019):

$$q(\mathbf{x},t) = \int_{-\infty}^{\infty} d\tau \oint_{S} \frac{1}{\rho} \nabla' q\left(\mathbf{x}',\tau\right) G\left(\mathbf{x},t-\tau;\mathbf{x}',0\right) \cdot \mathbf{n} \mathrm{d}S(\mathbf{x}').$$
(9)

The physical hybrid input is the traction ∇q (gradient) recorded in the global reference model M_{g0} . Based on the numerical integration of Equation (9) as implemented in Equation (A3), the physical hybrid input ∇q can be imposed on the boundary $\partial \Omega_l$ of the local target model M_{l1} to implement the hybrid numerical simulation (refer to Appendix A for details).

The VM hybrid method physically operates owing to the effect of the doubling amplitude of the free reflection from the hybrid interface. Note that here, only the first gradient surface integral in Equation (6) is imposed on the hybrid interface S, and the second potential integral term is equal to zero. We can consider that a force source is imposed at a point within the local domain, which is infinitely close to the hybrid interface. According to the source mechanism of the point source, the amplitudes of the two wavefronts propagating in opposite directions are the same. One wave (with the halfamplitude of the hybrid waveform) propagating towards the hybrid interface will have an equivalent free reflection after encountering the interface; it then superimposes the other wave (with the other half-amplitude of the hybrid waveform) propagating away from the hybrid interface S and into the local domain. Thus, the free reflection from the hybrid interface has the effect of doubling the amplitude, with full recovery inside the local domain Ω_l . This hybrid method does not have an external region and loses the ability to use the PML.

For the hybrid simulation using the VM method, when the local target model M_{l1} is selected to be same as the local reference model M_{l0} , the hybrid wavefield (the wavefield of the hybrid numerical simulation in the local domain Ω_l) can be recovered by imposing the physical hybrid inputs, for example, by using the SEM as illustrated in Equation (3):

$$\mathbf{M}_0 \ddot{\mathbf{Q}}_0 + \mathbf{K}_0 \mathbf{Q}_0 = \mathbf{F}_0^T, \tag{10}$$

where \mathbf{Q}_0 is the reference discrete potential vector, \mathbf{M}_0 is the global mass matrix, and \mathbf{K}_0 is the global stiffness matrix assigned to the local reference model M_{l0} . \mathbf{F}_0^T is the imposed hybrid source term, which is constructed using Equation (A3) only with the gradient ∇q_0 of some integration points (e.g., GLL points used in this study) exactly on the hybrid interface S. Please note that this is only applicable to the local reference model. If some perturbations are present in the local domain, then q and ∇q are not actually known on the hybrid interface S because they are different from the global simulation. Appendix B discusses the related hybrid simulation with a limited absorbing boundary

-10-

condition when the selected local target model M_{l1} is different from the local reference model M_{l0} .

Figure 2 shows the 2D wavefield in a global reference model M_{g0} ; note that the snapshots at five different time steps are superposed to simplify the diagram. Figures 4b1 and 4b2 depict the hybrid wavefield and waveforms calculated using the VM hybrid method in the local reference model M_{l0} . We observe that the VM hybrid method is sufficiently accurate. The related error was $\approx 0.01\%$ under the spatial meshing setting when the local reference model M_{l0} was adopted (Section 4.1.1). Note that Figure 4 does not use any absorbing boundary condition outside the hybrid interface.

3.5.2 RP Hybrid Method

The second type of the multiple point sources hybrid method is the RP hybrid method, which is based on the normal representation theorem (Masson et al., 2014; M. Zhao et al., 2016; Lin et al., 2019) with two nonzero surface integrals. The associated concept of the local target model M_{l1} is depicted in Figure 1d. The hybrid interface S can be freely selected, and the green part corresponds to the PML boundary domain for the local simulation. The required physical hybrid inputs include the gradient ∇q and potential q exactly on the hybrid interface for the acoustic scenarios, as shown in Equation (5). The implementation is similar to the VM method but with the full imposing of two surface integrals in Equation (6). In the local target model M_{l1} , the hybrid wavefield can be calculated using the hybrid numerical simulation:

$$\mathbf{M}_1 \ddot{\mathbf{Q}}_1 + \mathbf{K}_1 \mathbf{Q}_1 = \mathbf{F}_0^T + \mathbf{F}_0^q, \tag{11}$$

where \mathbf{Q}_1 is the discrete potential vector, \mathbf{M}_1 is the global mass matrix, and \mathbf{K}_1 is the global stiffness matrix assigned to the local target model M_1 . \mathbf{F}_0^T and \mathbf{F}_0^q are the imposing hybrid source terms, which can be constructed using Equations (A2), (A3), and (A4) with the gradient ∇q and potential q of integration points on a closed arbitrary hybrid interface S, as plotted in the dashed cyan line or red dashed circle in Figure 1d, similar to the red dashed circle in Figure 1a–b. In this study, we selected the GLL points exactly on a rectangular hybrid interface S (the cyan dashed line surrounding the white box depicted in Figure 1d) as the numerical integration points, whose coordinates were determined using only the meshing of the local reference model M_{l0} .

Through the theoretical proof, for a given closed local domain Ω_l , the derivation of Equation (20) in Masson et al. (2014) indicates that the two surface integrals on the hybrid interface S in the RP hybrid method will have zero contribution (exact cancellation) outside of the local target domain, because u_i^M in Equation (20) is defined in the

-11-

entire global domain Ω and not the local domain Ω_l . Physically, imposing a traction boundary condition such as Equation (A3) is equivalent to applying forces on the hybrid interface, whereas imposing a displacement boundary condition such as Equation (A4) is equivalent to applying moment displacement tensor sources along the hybrid interface (Lin et al., 2019).

The superimposed contribution of these two surface integrals with the \pm symbols is $\pm 100\%$ inside (full recovery) and zero outside (exact cancellation). Masson et al. (2014) numerically proved it using the FDM in a local reference model M_{l0} with the spherical shaped hybrid interface, and they indicated that the contributions of the traction and displacement surface integrals are +50% and -50% outside the local domain Ω_l and +50%and +50% inside the local domain Ω_l , respectively. Slightly different from their conclusion, in Figure 3, we numerically prove this using the SEM in a local reference model M_{l0} but with a rectangular shaped hybrid interface. In the global domain, we observe that the two waves in Figures 3a and 3b outside the local domain individually generated using the two surface integrals have opposite polarities but the same absolute amplitudes; thus, they can cancel each other outside the local domain (Figures 3c and 3d1). However, the two waves in Figures 3a and 3b inside the local domain generated using the two surface integrals are complicated. Only the phases that are the same as the original P wave in Figures 3a and 3b have the same polarities and absolute amplitudes (both +50%contribution of the original P wave), but the other phases have opposite polarities and the same absolute amplitudes (Figures 3c and 3d2). The sum of the two wavefields in Figure 3a-b can recover the hybrid wavefield, as shown in Figure 3c. Therefore, the RP hybrid method can obtain the full recovery inside the local domain and with a possible external domain, has a natural ability to combine the PML.

Figures 4a1 and 4a2 depict the hybrid wavefield and waveforms calculated using the RP method also in the local reference model M_{l0} . We can observe that the errors of the wavefield and waveforms in the elements are almost the same as those in the VM hybrid method except for the hybrid interface elements (red elements in Figure 4a1) for the same local reference model M_{l0} . To fairly compare the simulated accuracy of the different hybrid methods as shown in Figure 4, we do not add any absorbing boundary condition outside the local hybrid domain Ω_l because hybrid simulations in a local reference domain can theoretically recover the hybrid wavefield the same as the global wavefield. If the PML boundary condition is used, the hybrid interface can coincide with one side of the PML boundary domain, as indicated by the cyan dashed line for the RP hybrid method in Figure 1d.

-12-

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331

3.5.3 Merits and Limitations

From the above analysis, multiple point sources methods such as the VM and RP methods only require the respective physical hybrid inputs of some integral points (e.g., GLL points around the local mesh) exactly on the hybrid interface S, which is memorysaving and suitable for the practical 3D hybrid simulations. The meshing of the local target model M_{l1} is completely independent of the meshing of the global reference model M_{q0} . The associated local hybrid simulation is flexible, resulting in the possibility of using a more efficient SEM with very high polynomial degrees (e.g., degrees 12 to 24) (Lyu et al., 2020) to reduce memory requirements, speed up the forward simulation, and use the upscale non-periodic homogenization (Capdeville & Mtivier, 2018; Lyu, Capdeville, Al-Attar, & Zhao, 2021) to improve the ability of FWI. Although classic SEM applications mostly rely on degrees 4–8 in each direction, higher degrees are often not adopted, primarily owing to the explicit meshing of mechanical discontinuities and exceedingly small available time steps. Note that in the recent homogenization method in seismology to smoothen the internal mechanical discontinuity (Capdeville et al., 2010), the smooth models used in forward/backward simulations using FWI (Lyu, Capdeville, Al-Attar, & Zhao, 2021), the computational complexity analysis of code-independent features for SEM, and the actual computation time benchmarks all make very high polynomial degrees SEM attractive and competitive (Lyu et al., 2020).

For the VM hybrid method, the adopted absorbing boundary condition (ABC) is effective in the local target model M_{l1} with small structural perturbations (Monteiller et al., 2013; Tong, Chen, et al., 2014), but it is not sufficiently accurate for the scattered tangential incidence waves in complicated local target models in the hybrid calculation (Clayton & Engquist, 1977; Xie et al., 2014). It cannot adopt the PML absorption because \mathbf{F}_{0l}^T can only be imposed on the boundary of the local domain $\partial\Omega$ but not freely inside the local target model M_{l1} in Equation (11) owing to the required doubling amplitude of the free reflection from the hybrid interface.

Although the RP method can be naturally combined with the PML absorbing condition owing to the full consideration of the representation theorem, the resultant hybrid wavefield is intrinsically inaccurate in the hybrid interface elements (red elements in Figure 4a1) in which the hybrid inputs are imposed. This is because the wavefields in the elements containing the sources are not accurate for SEM (Nissen-Meyer et al., 2007). In the framework of normal numerical simulations using SEM, recent effective sources can be used to address this problem (Capdeville, 2021). In the hybrid simulation framework, an additional layer of elements can be used to bypass its influence (Lin et al., 2019).

-13-

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3.6 Direct Discrete Differentiation Method

The second major category, namely, the direct discrete differentiation method, is based on the numerical representation theorem and focuses on the discrete wave equation, primarily consisting the "BY" (abbreviation expression from Bielak et al. (2003)), "YM" (abbreviation expression from Masson et al. (2014)), and "MYM" (the modified YM) hybrid methods.

3.6.1 BY Hybrid Method

Unlike the multiple point sources method, which is explicitly based on the representation theorem, Bielak et al. (2003) and Yoshimura et al. (2003) directly operated on the discrete wave equation to obtain the numerical hybrid inputs using the FEM in the global reference model M_{g0} . Hereafter, we call it the BY hybrid method, and the associated concept of the local target model M_{l1} is depicted in Figure 1e. The detailed formulae of the equivalent seismic forces (similar to the numerical hybrid inputs) in the SEM framework are as follows (refer to Bielak et al. (2003) and Yoshimura et al. (2003) for more details):

$$\mathbf{F}_0^{\text{eff}} = -\mathbf{K}_0^{se} \mathbf{Q}_0^e + \mathbf{K}_0^{es} \mathbf{Q}_0^s, \tag{12}$$

where **K** is the stiffness matrix, the subscript 0 means that the relevant calculations are performed in the global reference model M_{g0} , and the superscripts e and s represent GLL points located inside the external domain Ω_e and on the hybrid interface S, respectively. The key property of this numerical hybrid inputs $\mathbf{F}_0^{\text{eff}}$ involve only the submatrices \mathbf{K}_0^{se} and \mathbf{K}_0^{es} , which vanish everywhere except in a single layer (the blue part in Figure 1e and the blue elements in Figure 2) in the external domain Ω_e adjacent to the hybrid interface S. Thus, the wavefield required to calculate $\mathbf{F}_0^{\text{eff}}$ is associated with all the GLL points in the single-layer elements (referred to as the "hybrid elements"), but not only on the hybrid interface S.

The BY hybrid method is an innovative and attractive method in that a teleseismic event can be replaced equivalently by forces loaded on all the GLL points in the hybrid elements in which the numerical hybrid inputs are imposed. Note that in the BY hybrid simulation, after imposing the numerical hybrid inputs $\mathbf{F}_0^{\text{eff}}$, the remaining wavefields going outside owing to the existence of unknown heterogeneities in the local target model M_{l1} can be naturally eliminated using a suitable absorbing boundary condition (e.g., the PML boundary domain corresponding to green elements in Figure 1e). This numerical expression can obtain numerical hybrid inputs from a 3D background model for 3D local imaging with a higher resolution, namely, box tomography. However, the expression of the hybrid inputs in Equation (12) implies storing the global potentials of

-14-

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all the GLL points in the single layer elements, and this requires an excessive disk usage, particularly for 3D numerical simulations (Yoshimura et al., 2003), and it might be even worse if people use the very high polynomial degrees SEM, as in Lyu et al. (2020). Note that physical quantities such as the potential/displacement of the GLL points in the hybrid elements can be interpolated from the global mesh to the local mesh, but $\mathbf{F}_0^{\text{eff}}$ cannot be precomputed in the global simulation and interpolated into a mesh different from the global mesh, because the internal force term **KQ** is discontinuous (not smooth) between connected elements and along the hybrid interface.

3.6.2 YM Hybrid Method

Masson et al. (2014) further developed the BY method and proposed a more compact numerical hybrid method, which can accurately perform the hybrid simulation in the local target model with arbitrary shapes. Hereafter, we call it the YM method, and the associated concept of local target model M_{l1} is depicted in Figure 1e. Note that only the best scenario (the same meshing used in local and global domain, described in Section Appendix C) is plotted here to illustrate the arbitrary hybrid interface; please refer to Masson et al. (2014) for more details. The YM method can construct the mirror excitation $\mathbf{F}_0^{\mathbf{M}}$ (the same as the numerical hybrid inputs) with two different schemes, which relies on the spatial window function and discrete wave equation. For a local target model M_{l1} with arbitrary shapes, in the global elements crossed by the hybrid interface S, in which most GLL nodes belong to the closed local target domain Ω_l , the inner scheme, with fewer storage capacity of numerical hybrid inputs than the outer scheme, can be used to construct the numerical hybrid inputs using

$$\mathbf{F}_{0}^{\mathbf{M}} = \sum_{e} \left(\mathbf{W}^{e} \cdot \mathbf{f}^{e} - \mathbf{W}_{e} \cdot (\mathbf{K}_{0}^{e} \cdot \mathbf{Q}_{0}^{e}) + \mathbf{K}_{0}^{e} \cdot (\mathbf{W}_{e} \cdot \mathbf{Q}_{0}^{e}) \right) \\
= \mathbf{W} \cdot \mathbf{f} - \mathbf{W} \cdot (\mathbf{K}_{0} \cdot \mathbf{Q}_{0}) + \mathbf{K}_{0} \cdot (\mathbf{W} \cdot \mathbf{Q}_{0}).$$
(13)

In contrast, in the global elements crossed by hybrid interface S, in which most GLL nodes belong to the external domain Ω_e , the outer scheme can be used to construct the numerical hybrid inputs using

$$\mathbf{F}_{0}^{\mathbf{M}} = \sum_{e} \left(\mathbf{W}^{e} \cdot \mathbf{f}^{e} + (\mathbf{I} - \mathbf{W}^{e}) \cdot (\mathbf{K}_{0}^{e} \cdot \mathbf{Q}_{0}^{e}) - \mathbf{K}_{0}^{e} \cdot [(\mathbf{I} - \mathbf{W}^{e}) \cdot \mathbf{Q}_{0}^{e}] \right) \\
= \mathbf{W} \cdot \mathbf{f} + (\mathbf{I} - \mathbf{W}) \cdot (\mathbf{K}_{0} \cdot \mathbf{Q}_{0}) - \mathbf{K}_{0} \cdot [(\mathbf{I} - \mathbf{W}) \cdot \mathbf{Q}_{0}].$$
(14)

Where \sum_{e} denotes the assembly of all element hybrid inputs. \mathbf{Q}_{0}^{e} , \mathbf{K}_{0}^{e} and \mathbf{Q}_{0} , \mathbf{K}_{0} are the element and assembled potential vectors and stiffness matrices, respectively, assigned to the global model M_{g0} associated with the global domain Ω_{g} . The element and assembled diagonal matrices \mathbf{W}^{e} and \mathbf{W} are discrete window functions used to fetch the local wavefield in the local domain from the global wavefield. For example, $\mathbf{W}^{e} \cdot \mathbf{Q}_{0}^{e}$ and

-15-

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435

 $\mathbf{W} \cdot \mathbf{Q}_0$ are related to the element and assembled potential vectors, respectively, in the local domain Ω_l . The diagonal entries of $\mathbf{W}^e \neq 0$ represent the GLL points in the global elements crossed by the hybrid interface S, and they simultaneously belong to the local target domain Ω_l . The diagonal entries of $\mathbf{W}^e = 0$ represent all the other GLL points. Both the inner and outer schemes must record the local internal force $\mathbf{W}^e \cdot (\mathbf{K}_0^e \cdot \mathbf{Q}_0^e)$ or the complement $(\mathbf{I} - \mathbf{W}^e) \cdot (\mathbf{K}_0^e \cdot \mathbf{Q}_0^e)$ and the potential $\mathbf{W}^e \cdot \mathbf{Q}_0^e$ or the complement $(\mathbf{I} - \mathbf{W}^e) \cdot (\mathbf{K}_0^e \cdot \mathbf{Q}_0^e)$ and the potential $\mathbf{W}^e \cdot \mathbf{Q}_0^e$ or the complement $(\mathbf{I} - \mathbf{W}^e) \cdot \mathbf{Q}_0^e$ in the same hybrid elements crossed by the hybrid interface S during the first global simulation. The only elements that contribute to the numerical hybrid inputs $\mathbf{F}_0^{\mathbf{M}}$ are the hybrid elements, for which the value of the window function \mathbf{W}^e evaluated at the GLL nodes is not constant. When the hybrid inputs are imposed into the blue part (the hybrid elements in SEM) shown in Figure 1e, the inner scheme adds the saved displacement first before calculating the internal force, and then subtracts the saved internal force, while the imposition of the outer scheme subtracts the displacement first and then adds the internal force (refer to Masson et al. (2014) for the detailed derivation).

For the YM method, on the outside of the hybrid interface S, an additional layer of elements is always required to impose the hybrid inputs (e.g., Figure 12b in Masson et al. (2014) and Figure 5 in Clouzet et al. (2018)). The full wavefield in the local domain Ω_l , including the hybrid interface S, can be recovered after imposing the hybrid inputs, but only the remaining scattered waves are simulated in the hybrid elements. Note that in the best scenario, as discussed in Section Appendix C, the numerical hybrid inputs of YM method are the same as those of the BY method, but their proposed methods of imposing the hybrid inputs are different. Less memory is required for the YM method because it is only related to the internal force $\mathbf{W}^e(\mathbf{K}_0^e, \mathbf{Q}_0^e)$ and potential $\mathbf{W}^e \mathbf{Q}_0^e$ of the GLL points exactly on the green line in Figure 2; not the \mathbf{Q}_0^e of all the GLL points in all the hybrid elements must be stored as suggested for the BY method. However, when the local meshing differs from the corresponding global meshing, spatial interpolation is used to obtain all the displacements of the GLL points required in the hybrid elements during the first global simulation.

3.6.3 MYM Hybrid Method

However, we observe that in the YM method, the additional layer of elements surrounding the local target model (the blue region in Figure 1e and blue elements in Figure 2) is not necessary. Analogously, a new Heaviside window function can be adopted such that the diagonal values $\mathbf{W}^e = 1$ are only in the local domain Ω_l , but $\mathbf{W}^e = 0$ are both on the hybrid interface S and in the external domain Ω_e . Hereafter, we call it

-16-

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465

the MYM hybrid method, and the concept of local target model M_{l1} associated with the MYM method is depicted in Figure 1f. Note that the hybrid elements of the MYM method are located inside the local domain, but the hybrid elements of the YM method are outside the local domain selected using different window functions, as indicated by the red and blue elements, respectively, in Figure 2. We can utilize the internal forces $(\mathbf{I}-\mathbf{W}^e)$. $(\mathbf{K}_0^e \cdot \mathbf{Q}_0^e)$ and $(\mathbf{I} - \mathbf{W}_0^e) \cdot \mathbf{Q}_0^e$ at the GLL points exactly on the hybrid interface S in the hybrid elements to implement the hybrid simulation, according to the outer scheme of the YM method with a new Heaviside window function, depending on the equation (14). It is not an inner scheme compared to the YM method even the hybrid elements are inside the local domain, but more like an outer scheme of the YM method with a new Heaviside window function. Fewer elements can be used to perform hybrid numerical simulations without overlapping the scattered and full wavefields. Note that the red part in Figure 1f and red elements in Figure 4c1 are the hybrid elements that are selected using the new window function \mathbf{W}^e to locate inside the local target domain, and the green part corresponds to the PML domain. In the best scenario, the associated numerical hybrid inputs are only imposed on the GLL points exactly on the cyan dashed line in Figure 1f and on the green line in Figure 4c1 between the PML and hybrid elements.

Figures 4c1 and 4c2 depict the hybrid wavefield and waveforms of the MYM method. The resultant hybrid waveforms are perfectly accurate even when enlarged by the factor of 10⁴⁰ when the local meshing is the same as the global meshing surrounded by the green line as shown in Figure 2. The reason for the preciseness is that the MYM hybrid method depends on the numerical hybrid inputs to implement the local hybrid simulation. In the local simulation using the MYM method, all the potentials of the GLL points required by the equation (14) are calculated and saved during the global simulation, then a new local equation fully equivalent to the global equation inside the local reference model is compactly reconstructed. A similar numerical approach operating on the matrices of the solvers is shown in Bielak et al. (2003). We fetch the required local part of the global matrix solver; thus, they should be exactly the same. However, when the local meshing is different from the corresponding global meshing, as in the YM method, we must also use the spatial interpolation to obtain all the potentials of GLL points in the hybrid elements during the first global simulation. The related spatial-interpolation error will affect the results of the hybrid simulations to an extent.

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3.6.4 Merits and Limitations

From the above analysis, the BY, YM, and MYM methods have certain advantages: (i) In the best scenario, only the numerical hybrid inputs of GLL points exactly on the

-17-

hybrid interface S are required, which is also memory-saving and very suitable for 3D hybrid simulation and is the same as the multiple point sources method. (ii) The PML can be applied naturally to the remaining scattered wave going outside, which results in an accurate hybrid simulation. The MYM method does not require an additional layer of elements outside the local target domain Ω_l . However, they are required by the BY and YM methods to impose the hybrid inputs and with only the scattered waves inside. Therefore, for the MYM method, the scattered and full wavefield do not co-exist.

However, the direct discrete differentiation method has a disadvantage. 1D global solvers such as the DSM tending to offer physical hybrid inputs without spatial interpolation errors are relatively difficult to apply with the BY, YM, and MYM methods because all the displacement at the GLL nodes in the hybrid elements are required to calculate the internal force term. For 3D global solvers such as SPECFEM3D_globe that can offer numerical hybrid inputs, for an accurate implementation of numerical hybrid inputs, the meshing of the local target model M_{l1} connected with the hybrid interface should be exactly the same as the meshing of the global reference model M_{g1} . However, when the local meshing is different from the global meshing, we must calculate all the corresponding displacements of all GLL points in the hybrid elements of the local meshing using spatial interpolation and then obtain part of the hybrid inputs by calculating the tensor product \mathbf{KQ} inside the hybrid elements. These hybrid methods are all based on the numerical representation theorem; they require a large amount of storage, and they are not suitable for the efficient very high polynomial degree spectral element method. Because numerous GLL points of the hybrid elements are required to construct the numerical hybrid inputs (approximately $\frac{N+1}{2}$ times memory as the multiple sources method, N is the polynomial order of the adopted SEM; refer to the discussion section for detailed derivation), and the spatial interpolation introduces some errors, limiting its use to an extent.

3.7 New Hybrid Method

As the above analysis shows, the RP method can naturally adopt the PML, and their meshing connected with the hybrid interface of the local and reference model can differ from the global meshing, resulting in the possibility of adopting the more efficient SEM with very high polynomial degrees, but with the intrinsic inaccuracy in the elements where the hybrid inputs are imposed. The MYM method is capable of natural PML absorption, but the memory requirement is significantly larger than the multiple point sources method particularly for the very high polynomial degrees SEM. For the VM method, the local meshing is independent of the global meshing, but it cannot adopt efficient PML

-18-

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535

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absorption. To obtain the essence of fewer memory requirements, flexible meshing, and natural PML absorption, and discard the dregs, such as only absorption with ABC or inaccurate simulation of the elements with sources, we develop a new hybrid method by combining the multiple point sources and direct discrete differentiation methods.

First, we combine the VM method in Equation (10) and MYM method in Equation (14).

$$\mathbf{F}_{0}^{T} = \mathbf{M}_{0} \ddot{\mathbf{Q}}_{0} + \mathbf{K}_{0} \cdot \mathbf{Q}_{0}$$

$$\mathbf{F}_{0}^{M} = \mathbf{W} \mathbf{f} - (\mathbf{I} - \mathbf{W}) \cdot (\mathbf{K}_{0} \cdot \mathbf{Q}_{0}) - \mathbf{K}_{0} \cdot [(\mathbf{I} - \mathbf{W}) \cdot \mathbf{Q}_{0}].$$
(15)

After replacing the internal force term $\mathbf{K}_0 \cdot \mathbf{Q}_0$ in the second equation with the first equation, we can obtain a new formula for the numerical hybrid inputs:

$$\mathbf{F}_0^M = \mathbf{W}\mathbf{f} - (\mathbf{I} - \mathbf{W}) \cdot (\mathbf{F}_0^T - \mathbf{M}_0 \ddot{\mathbf{Q}}_0) - \mathbf{K}_0 \cdot [(\mathbf{I} - \mathbf{W}) \cdot \mathbf{Q}_0].$$
(16)

We circumvent the requirement for q at all GLL points in the hybrid elements to calculate the internal force term and instead use the difference between the external force term (traction term \mathbf{F}_0^T) and the inertial force term (acceleration term $\mathbf{M}_0\ddot{\mathbf{Q}}_0$). Therefore, we can utilize only three physical terms on the hybrid interface to obtain hybrid inputs. Using Equation (16), to obtain the new combined hybrid inputs \mathbf{F}_0^M , we first require the gradient ∇q to construct the traction vector \mathbf{F}_0^T , as mentioned in Section 3.5.1. In addition, we require the potential q and the second temporal derivative of the potential $\partial_{tt}q$ to build the numerical hybrid inputs during the first global simulation in the reference model M_{g0} . Note that in practice, we can compute \ddot{q} directly from the saved q field instead of saving them during the global simulation. These three physical quantities are located at the integration points (e.g., GLL) exactly on the hybrid inputs into the integration points on the hybrid interface regardless of whether the internal structure is changed. We can conclude that the proposed hybrid method has the following advantages:

- The three physical quantities used to construct the hybrid inputs can be obtained flexibly using the global solver (e.g., DSM, SPECFEM3D_globe, or AxiSEM3D), and the meshing of the local target model is completely independent of the meshing of the global reference model.
- Only the associated three physical quantities of the points exactly located on the 2D hybrid interface are required, which is highly accurate and memory efficient

-19-

for the 3D hybrid numerical simulation and can increase the computational efficiency of the box tomography.

• The efficient PML can be adopted naturally without any elements overlapping between the local and PML domains, which can further increase the imaging accuracy of the box tomography.

The concept of the local target model M_{l1} associated with the new hybrid method is depicted in Figure 1f. Figures 4d1 and 4d2 show the hybrid wavefield and waveforms of the new hybrid method, and we can observe that the error of the new method is almost the same as the VM method in Figure 4b2 for the same local reference model M_{g0} . However, as discussed in Section 3.5.1 and the numerical simulations benchmark in Section 4.1.3, if the local target model is strongly heterogeneous, the VM method is not effective because it cannot be combined with the PML absorbing condition. The RP hybrid method would be a better alternative compared with the proposed method when the traditional SEM with NGLL = 5 is used for the box setting with the source outside and receiver inside (SORI). However, if the very high polynomial degree SEM is used in the hybrid simulation, and the acceleration \ddot{q} is calculated using the stored potential q during the hybrid simulation, the new method will have a more efficient computation cost of the local simulation and fewer memory requirements of the hybrid inputs. Note that combining the new and RP hybrid methods is very useful for the hybrid simulations in the box settings with the source inside and receiver outside (SIRO) and the source outside and receiver outside (SORO). Note that a special hybrid method for separately solving the PML domain and full wavefield domain was implemented in Xie et al. (2014). Their key concept of their hybrid method was to separate the entire domain into the diffracted waveform domain and full waveform domain, and to solve them separately in two different ordinary differential equations, while our proposed method solved them in one ordinary differential equation same as the traditional solver using the spectral element method. Note that the RP hybrid method theoretically results in zero contributions on the hybrid interface S when the hybrid simulation is performed in the local reference model M_{l0} . We must consider the known saved potentials and the scattered potentials obtained by the hybrid simulation on the hybrid interface when calculating the hybrid waveforms of the stations located within the elements containing the hybrid interface. It is the same for the MYM and "new" hybrid methods, but it is not required for the VM, BY, and YM hybrid methods.

In summary, in this section, we analyze in detail the two main hybrid categories and five subcategories (RP, VM, BY, YM, and MYM) of hybrid methods, and we propose a new hybrid method. The new hybrid method inherits the advantages of the VM

-20-

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and MYM methods and eliminates their disadvantages. In Table 1, we compare these six hybrid methods in four criteria under 3D hybrid simulations as in Sections 4.3 and 4.4: i) physical or numerical representation theorems; ii) stored quantities in the best scenario or when the local and global meshings are different; iii) the required storage size in a 3D hybrid simulation in the best scenario or when the local and global meshings are different; iv) whether the absorbing boundary condition can be used. In the next section, we discuss a series of numerical experiments to benchmark and verify these hybrid methods.

4 Numerical Experiments

To numerically validate the proposed hybrid method and benchmark its numerical accuracy with other existing hybrid methods, we set up a series of 2D and 3D numerical experiments in homogeneous and heterogeneous acoustic models. For the homogeneous models, we utilized $V_{P0} = 3750$ m/s for the P-wave velocity and $\rho_0 = 2000$ kg/m³ for the density. For the heterogeneous scenario, we kept the density constant, and $\kappa(\mathbf{x})$ was in the form of a Gaussian or monochromatic oscillatory function. The detailed definition of heterogeneity was defined by a spatial function $f(\mathbf{x})$ as follows:

$$\kappa(\mathbf{x}) = \kappa_0 f(\mathbf{x}), \tag{17}$$

where $\kappa_0 = \rho_0 V_{P0}^2$.

For the descriptions of the model size and the time duration in the acoustic scenario, the maximum frequency and P-wave velocity defined the minimum wavelength of the propagation wavefield as

$$\lambda_{\min} = \frac{V_P}{f_{\max}}.$$
(18)

Subsequently, we measured the spatial scale as a function of λ_{\min} and the temporal scale as a function of

$$t_{\min} = \frac{1}{f_{\max}}.$$
(19)

The source was a point force located in the middle of the free surface, and its time wavelet was a Ricker function (the second derivative of a Gaussian) with a central frequency of $f_0 = 2$ Hz for 2D scenarios and $f_0 = 0.4$ Hz for 3D scenarios. Thus, λ_{\min} was approximately 625 and 3125 m in 2D and 3D scenarios, respectively. Thirteen receivers were evenly located in the local models, as shown in Figures 2 and 10a for the 2D and 3D scenarios, respectively. In the global numerical simulations, the boundary conditions were free normal stress conditions all around the domains to fully exclude imperfect absorption, and the selected domains were sufficiently wide to ensure that no reflected waves

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635

	Representation theorem	Stored quantities same/different meshing	Required storage size same/different meshing	Absorbing condition
VM	physical	traction+velocity same	$2 \times 3V_1 \times nt$ $2 \times 3V_1 \times nt$	ABC type
RP	physical	traction+potential same	$2 \times 3V_4 \times nt$ $2 \times 3V_4 \times nt$	any
BY	numerical	potential same	$\begin{array}{c} 3V_3 \times nt \\ 3V_3 \times nt \end{array}$	any
YM	numerical	internal force+potential only potential	$\begin{array}{c} 2 \times 3 V_1 \times nt \\ 3 V_3 \times nt \end{array}$	any
MYM	numerical	internal force+potential only potential	$2 \times 3V_1 \times nt$ $3V_2 \times nt$	any
NEW	combination	traction+potential (acceleration) same	$2 \times 3V_1 \times nt$ $2 \times 3V_1 \times nt$	any

Table 1. Benchmarks of the VM, RP, BY, YM, MYM, and new hybrid methods. For a given 3D local target model buried in the deep earth with nex, ney, and nez elements with NGLL points in each direction, $nax_0 = (nex - 2) \times N - 1$, $nay_0 = (ney - 2) \times N - 1$, $naz_0 = (nez - 2) \times N - 1; nax_1 = nex \times N + 1, nay_1 = ney \times N + 1, naz_1 = nez \times N + 1;$ $nax_2 = nex \times N - 1, nay_2 = ney \times N - 1, naz_2 = nez \times N - 1; nax_3 = (nex + 2) \times N + 1,$ $nay_3 = (ney+2) \times N+1, \ naz_3 = (nez+2) \times N+1; \ nax_4 = (nex+2) \times N-1, \ nay_4 = (ney+2) \times N$ $naz_4 = (nez + 2) \times N - 1$. Where N = NGLL - 1. The number of GLL points in a closed 2D hybrid interface is $V_1 = (nax_1 \times nay_1 \times naz_1 - nax_2 \times nay_2 \times naz_2)$. When the local and global meshings are different, the number of GLL points used to construct the numerical hybrid inputs using the MYM method is $V_2 = (nax_1 \times nay_1 \times naz_1 - nax_0 \times nay_0 \times naz_0)$; the number of NGLL points used to construct the numerical hybrid inputs using the YM method is $V_3 = (nax_3 \times nay_3 \times naz_3 - nax_2 \times nay_2 \times naz_2)$, and the number of GLL points used to construct the physical hybrid inputs using the RP method is $V_4 = (nax_3 \times nay_3 \times naz_3 - nax_4 \times nay_4 \times naz_4)$, because one layer of elements inside the hybrid interface are needed to ensure simulated accuracy. nt is the number of time steps in the simulation without temporal interpolation. For example, the storage size of the VM is 2 \times $3V_1 \times nt$, where 2 represents the items including traction and velocity, and 3 indicates three directions in 3D. For the absorbing condition, ABC is a type of absorbing boundary-type condition, e.g., Clayton and Engquist (1977). Note that for the new method, \ddot{q} is calculated directly from the saved q field to decrease the amount of storage.

from boundaries affected the results. The simulated duration and temporal step Δt were 90 t_{\min} (15 s), 0.002 s for 2D scenarios, and 24 t_{\min} (20 s), 0.01 s for 3D scenarios.

One of the key parameters when using the SEM is G (the number of grid points per minimum wavelength). Classic SEM applications mostly rely on degrees 4–8 spectral elements in each tensorial direction, and the G for a high degree 20 is approximately half of that necessary for a degree 4 (Lyu et al., 2020). The commonly admitted G to obtain sufficient accuracy in a constant-velocity medium is approximately $G \approx 5$ for one element with degree 8 (NGLL = 9, number of GLL points per element per direction) (Basabe & Sen, 2007; Seriani & Oliveira, 2008; Lyu et al., 2020). A low G can be important in the FWI context owing to the extensive operations on forward and adjoint wavefields (Komatitsch et al., 2016; Lyu, Capdeville, Al-Attar, & Zhao, 2021). In our study, the meshing based on NGLL = 8 was adopted for the first global simulations in the global reference model M_{g0} . A different meshing with NGLL = 20 was used for the VM, RP, and new hybrid simulations in the local reference models M_{l0} and target models M_{l1} on account of the efficiency of very high polynomial degree SEM (Lyu et al., 2020).

4.1 2D Homogeneous Global Reference Model

For 2D homogeneous scenarios, the size of the global reference model M_{g0} was 160 \times 80 λ_{\min}^2 , which was assigned to a rectangular global domain Ω_g . The domain Ω_g and wavefields overlapping at five time steps associated with the 160 \times 80 structural spectral elements with NGLL = 8 (G = 8) are plotted in Figure 2.

4.1.1 Hybrid Simulation for a Local Reference Homogeneous Model

The size of the adopted local reference model M_{l0} was $80 \times 40 \lambda_{\min}^2$, which was assigned to the rectangular local domain Ω_l surrounded by the closed green line in Figure 2 (hybrid interface S), and it was located at the center of the global reference model. The related wavefield snapshots at the same time steps as the global simulation associated with 20×10 elements with NGLL = 20 (G = 5) are shown in Figures 4a1, b1, and d1, which correspond to the RP, VM, and new hybrid methods, respectively. They all had the characteristics of flexible meshing, namely, the number of elements or/and the internal degree of the local meshing for the hybrid simulation differed from the related global meshing of the local domain Ω_l . In comparison, the MYM method adopted local meshing with 80×40 elements with NGLL = 8 (G = 8), which was identical to the global meshing (Figure 4c1). A comparison of wavefields and waveforms indicated that the MYM method had no error relative to the global simulation shown in Figures 4c1 and 4c2. The relative error of the RP method in the elements in which hybrid inputs were

-23-

imposed was very large ($\approx 10\%$) owing to the intrinsic inaccuracy of the source elements in the SEM (Figures 4a1 and 4a2). The relative errors of the VM and new methods were almost the same ($\approx 0.01\%$) (Figures 4b1, 4b2, 4d1, and 4d2), which originated from the boundary reflection of the spatial-dispersion error difference of the global and local meshing. Through the hybrid numerical simulations using the RP, VM, MYM, and new methods in the same 2D global and local homogeneous reference model, we initially demonstrated that the accuracy of the new method is the same as that of the VM method, but as discussed in Section 3.5.1, if the local target model is strongly heterogeneous, the VM method is not effective because it cannot be combined with the PML boundary condition. Here, the MYM method is more accurate.

4.1.2 Hybrid Simulation for a Local Weak Gaussian Heterogeneous Model

To validate the applicability of the new hybrid method in the 2D weak heterogeneous model, the combined hybrid inputs obtained in the 2D homogeneous reference model were imposed into the local target model M_{l1} with a Gaussian heterogeneity inside. The adopted 2D Gaussian spatial function $f(\mathbf{x})$ was

$$f(\mathbf{x}) = 1 + ae^{-\frac{\mathbf{x}^2}{2\sigma^2}},\tag{20}$$

where a is the amplitude value that controls the range of $\kappa(\mathbf{x})$, and σ is the spatial scale parameter. Here, we used a = -0.2 and $\sigma = 2 \lambda_{\min}$ to construct a global Gaussian model, and the Gaussian heterogeneity existed only in the local domain Ω_l . The external model M_e was the same as the homogeneous reference model M_{q0} (Figure 5a).

Figure 5b shows the hybrid wavefield by imposing the hybrid inputs calculated in the 2D homogeneous reference model into the global Gaussian (target) model M_{g1} . The hybrid inputs can be considered new equivalent sources to replace the remote source to obtain the same wavefield in the local domain. Note that the meshing used in Figure 5b was constructed using 64 × 32 elements with NGLL = 20 (G = 5), different from the global meshing (160 × 80 elements with NGLL = 8 (G = 8)), which was used for calculating the global waveforms in the Figure 5d. In this scenario, the remaining scattered waves going outside were observed owing to the presence of the local Gaussian heterogeneous anomaly. Because these waves were not part of the original reference wavefield, they were not cancelled out when they crossed the hybrid interface S, and some residual wavefield leaked out of the local target model M_{l1} (Figure 5b). We could shrink our model and utilize the PML to absorb the scattered waves (Figure 5c). In the hybrid simulations shown in Figures 5b and 5c, after the wavefront across the Gaussian heterogeneity with lower velocity than the reference, it propagated more slowly than the reference wavefront in the 2D homogeneous model. Additionally, it could be observed from the

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waveforms of the three center receivers (Figure 5d). Note that the global waveforms in Figure 5d were calculated using the 160 × 80 elements meshed with NGLL = 8 (G = 8). Figure 5d shows that the global waveforms calculated in the model of Figure 5a and the first hybrid waveforms obtained in Figure 5b without PML absorbing condition were almost equal. The relative error was $\approx 0.01\%$, the same level as the homogeneous scenario. The consistency of waveforms between the first hybrid simulation (dashed red) in Figure 5b and the second hybrid simulation (solid blue) with PML absorption in Figure 5c indicated that the new hybrid method can be combined with the PML absorbing condition naturally to absorb the remaining scattered waves going outside with a local meshing different from the global one. Please note that the structure of the PML elements should be selected to be the same as the one around the local reference domain in the global reference model M_{g0} , and it could not be changed during the box tomography.

4.1.3 Hybrid Simulation for a Local Strong Gaussian Heterogeneous Model

To validate the applicability of the new hybrid method in the 2D strongly heterogeneous model, the hybrid inputs that were obtained in the 2D homogeneous reference model were imposed into the local target model M_{l1} with a strong Gaussian heterogeneity inside. Here, we used a = -0.8 and $\sigma = 2 \lambda_{\min}$ to construct a global Gaussian model. The parameters of the meshing were the same as those in the weak scenario. Figure 6a shows the hybrid wavefield using the VM hybrid method. The hybrid inputs were calculated in the 2D homogeneous reference model. It was clear that the wavefront was severely deformed after passing through the low velocity. The relative waveform error of using the VM method was approximately 7.5% (Figure 6b), and the relative waveform error of using the new method was approximately 0.89% (Figure 6c) but with more calculation time owing to the use of the PML absorbing condition.

To further verify the applied scope of the proposed hybrid method, we further tested it in 2D heterogeneous, 3D homogeneous, and heterogeneous reference models M_{g0} .

4.2 2D Global Heterogeneous Reference Model

For the 2D heterogeneous scenario, the size of the 2D global heterogeneous reference model M_{g0} was the same as that of the 2D homogeneous scenario. The adopted 2D cosine spatial function $f(\mathbf{x})$ was defined as follows:

$$f(\mathbf{x}) = 1 + a \left(\cos\left(\frac{2\pi}{\lambda_{h}} \mathbf{k}_{a} \cdot \mathbf{x}\right) + \cos\left(\frac{2\pi}{\lambda_{h}} \mathbf{k}_{b} \cdot \mathbf{x}\right) \right)$$

$$\mathbf{k}_{a} = \left(\cos(t_{1}), \sin(t_{1}) \right)$$

$$-25-$$

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733

$$\mathbf{k}_b = \left(\cos(t_2), \sin(t_2)\right) \tag{21}$$

where *a* is the amplitude value, and t_1 , t_2 are the included angles between the two heterogeneities and the x-axis. In this scenario, we used $a = \frac{1}{20}$ and $t_1 = \frac{\pi}{4}$, $t_2 = \frac{3\pi}{4}$ (in radians) related to two orthogonal directions (Figure 7a); $\lambda_{\rm h} = \lambda_{\rm min}$ corresponds to a typical geological model (Capdeville et al., 2010).

Figure 7b shows the global domain Ω_g and the wavefield snapshot at 67.2 t_{\min} associated with 240 × 120 elements meshed with NGLL = 8 (G = 12, 1.5 times as the homogeneous scenario based on the empirical value in Lyu et al. (2020). The local domain Ω_l and wavefield snapshot at the same time step associated with 30 × 15 elements meshing with NGLL = 20 (G = 7.5), for the scenario $\lambda_h = \lambda_{\min}$, are depicted in Figure 7c. The agreement between the hybrid and reference waveforms from the 13 receivers is shown in Figure 7d, demonstrating the effectiveness of the new hybrid method in the 2D heterogeneous global reference model M_{g0} .

We know that in actual hybrid applications, there are three types of source and receiver settings: the first one is the box with the SORI, the second one is the reverse with the SIRO, and the third is a box with the SORO. All the above-mentioned 2D hybrid simulations corresponded to the first SORI setting. In the following, we discuss how to perform the other two types of hybrid simulations by adopting the suitable hybrid method(s).

4.2.1 Hybrid Simulation with a Source Inside and a Receiver Outside

For a local hybrid simulation in the SIRO setting, the workflow has three steps: i) perform a local numerical simulation by loading the internal source in the local target model, and record the physical quantities gradient and potential terms (physical quantities needed by the representation theorem) of the GLL points on the hybrid interface; ii) perform a global numerical simulation using a single force at the location of the receiver, and record the Green's function and its gradient of the GLL points on the hybrid interface; iii) apply a quadrature rule to discretize the two surface integrals in the representation theorem (Equation 5), perform the convolution at each integral point (e.g., GLL points), and sum the contributions from all the integral points as follows.

$$q(\mathbf{x},t) = \int_{-\infty}^{\infty} d\tau (\oint_{S_f} - \oint_S) \frac{1}{\rho} \nabla' q(\mathbf{x}',\tau) G(\mathbf{x},t-\tau;\mathbf{x}',0) \cdot \mathbf{n} dS(\mathbf{x}') - \int_{-\infty}^{\infty} d\tau (\oint_{S_f} - \oint_S) \frac{1}{\rho} q(\mathbf{x}',\tau) \nabla' G(\mathbf{x},t-\tau;\mathbf{x}',0) \cdot \mathbf{n} dS(\mathbf{x}') = 0 - \Delta t \sum_{e} \sum_{p=1}^{N_s} \alpha_{e,p} \left(conv(\frac{1}{\rho_p} n_j \partial_j q(\mathbf{x}_p,t), G(\mathbf{x}_p,t)) - conv(\frac{1}{\rho_p} q(\mathbf{x}_p,t), n_j \partial_j G(\mathbf{x}_p,t)) \right).$$
(22)

-26-

Where the first 0 means the zero contribution from the free surface S_f ($\nabla' q$ and $\nabla' G$ are equal to zero), the first summation is assembled over all the surface elements e of the hybrid interface S, and the second one is over all the quadrature points \mathbf{x}_p^e ($p = 1, \dots, N_s$), N_s is the total number of quadrature points on one surface element with the related quadrature weight α_p , and conv(f(t), g(t)) is the convolution between two time series f(t) and g(t).

The ordering of steps i) and ii) can be changed. It is worth noting that, in this SIRO setting, the stations are always located far from the hybrid interface, and we can explicitly adopt the representation theorem by using the traction and potential terms obtained from the local simulation from the source side and using the Green's function and its gradient obtained from the global simulation from the receiver side. We propose not to adopt the new hybrid method in this SIRO setting.

Figure 8a shows an example of the 2D hybrid wavefield related to the calculation of Green's function at time step 72 t_{\min} from the receiver side, corresponding to step ii). Note that there are some stripes in Figures 8a and 9a (setting SORO); these are because the adopted spatial meshing could not accurately simulate the wavefield with the delta source time function for the calculation of the Green's function. Please note that high frequency stripes abovementioned can be implicitly filtered out by the convolution between the Green's function with unlimited frequency band and the forward field with limited frequency band obtained from the banded source. In Figure 8b, the waveform benchmarks are listed. The waveform was explicitly calculated using the convolution of the representation theorem, and it had a very good consistency with the waveform calculated in the global reference model.

4.2.2 Hybrid Simulation with a Source Outside and a Receiver Outside

For a remote local target domain in the SORO setting, the workflow has the following four steps: i) perform a numerical simulation in the global reference model from the remote source side, as in the first step in the SORI setting, and record the traction, potential, and acceleration terms of the GLL points on the hybrid interface required by the new hybrid method; ii) perform a numerical simulation in the global reference model from the remote receiver side using a single force, and record the Green's function and its gradient of the GLL points on the hybrid interface; iii) perform a hybrid numerical simulation using the new hybrid method in the local target model by imposing the hybrid inputs recorded in step i), and record the residual traction and residual potential of the GLL points on the hybrid interface; iv) apply a quadrature rule to discretize the two surface integrals in the representation theorem (Equation 5), perform the convolu-

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tion at each integral point (GLL points), and sum the contributions from all the integral points. Note that the convolutions are explicitly calculated between the residual traction and potential from step iii) and Green's function and its gradient from step (ii). We propose adopting the new hybrid method and representation theorem together in the SORO setting.

Figure 9a shows the 2D hybrid wavefield related to the calculation of Green's function in step ii) at time step 72 t_{\min} for the SORO setting. Because the distance between source and receiver was larger, the duration was 17 s (102 t_{\min}) in this scenario. In Figure 9b, the waveforms benchmark are listed. The black line is the waveform difference between the global waveforms respectively calculated in the global weak Gaussian model in Figure 5 and the reference model in Figure 2, and it had a very good consistency with convoluted waveform. Note that the residual traction and potential were nonzero owing to the local Gaussian heterogeneity. It is also worth noting that in the SORO setting when the local model contained the nonzero local perturbation, the combined contribution of the two surface integrals to the waveform of the remote receiver were nonzero, and it is only the scattered waveform; and when the local target model was the same as the local reference model M_{l0} without any local perturbation, the combined contribution of the two surface integrals to the waveform of the remote receiver were zero, not the full waveform.

4.3 3D Global Homogeneous Reference Model

For the 3D homogeneous scenario, the size of the 3D global homogeneous reference model M_{g0} was $32 \times 32 \times 16 \lambda_{\min}^3$. Figure 10a shows the global domain Ω_g and the wavefield snapshot at time step 15.6 t_{\min} associated with $32 \times 32 \times 16$ elements meshed with NGLL = 8 (G = 8). The local domain Ω_l for the hybrid simulation was also a parallelogram located at the center of the global reference domain with a half-size of 16×16 $\times 8 \lambda_{\min}^3$. The hybrid wavefields at the same time step associated with $4 \times 4 \times 2$ elements with NGLL = 20 (G = 5) are depicted in Figure 10b. The agreement between the hybrid and reference waveforms from the 13 receivers is depicted in Figure 10c, initially presenting the effectiveness of the new hybrid method in the 3D global homogeneous reference model M_{g0} .

4.4 3D Global Heterogeneous Reference Model

Finally, we performed a hybrid numerical simulation using the 3D cosine heterogeneous model shown in Figure 11a. The size of the global heterogeneous model M_{g0} was the same as in the 3D homogeneous scenario. The 3D cosine spatial function $f(\mathbf{x})$ was

-28-

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826

adopted as follows:

$$f(\mathbf{x}) = 1 + a \left(\cos\left(\frac{2\pi}{\lambda_{h}} \mathbf{k}_{a} \cdot \mathbf{x}\right) + \cos\left(\frac{2\pi}{\lambda_{h}} \mathbf{k}_{b} \cdot \mathbf{x}\right) + \cos\left(\frac{2\pi}{\lambda_{h}} \mathbf{k}_{c} \cdot \mathbf{x}\right) \right)$$

$$\mathbf{k}_{a} = \left(\cos(t_{11})\cos(t_{12}), \ \cos(t_{11})\sin(t_{12}), \ \sin(t_{11}) \right)$$

$$\mathbf{k}_{b} = \left(\cos(t_{21})\cos(t_{22}), \ \cos(t_{21})\sin(t_{22}), \ \sin(t_{21}) \right)$$

$$\mathbf{k}_{c} = \left(\cos(t_{31})\cos(t_{32}), \ \cos(t_{31})\sin(t_{32}), \ \sin(t_{31}) \right), \qquad (23)$$

where a is the amplitude value, and $(t_{11}, t_{12}), (t_{21}, t_{22}), (t_{31}, t_{32})$ are the included angles between the three heterogeneities along the x- and y-axes, respectively. Here, we used $a = \frac{1}{30}$ and $(t_{11} = 0, t_{12} = 0), (t_{21} = 0, t_{22} = \frac{\pi}{2}), (t_{31} = \frac{\pi}{2}, t_{32} = \frac{\pi}{2})$ corresponding to three orthogonal directions shown in Figure 11a, and $\lambda_{\rm h} = \lambda_{\rm min}$ was adopted, corresponding to a typical geological model (Capdeville et al., 2010). The global domain Ω_g and the wavefield snapshot at time 15.6 $t_{\rm min}$ associated with $48 \times 48 \times 24$ elements meshed with NGLL = 8 (G = 12, 1.5 times of the 3D homogeneous scenario based on the empirical value in Lyu et al. (2020)) are depicted in Figure 11b. The local reference model M_{l0} was located at the center of the global domain Ω_g with a half-size of 16 \times $16 \times 8 \lambda_{\min}^3$. The hybrid wavefield at the same time step and the local domain Ω_l associated with $6 \times 6 \times 3$ elements with NGLL = 20 (G = 7.5, 1.5 times the 3D homogeneous scenario) are depicted in Figure 11c. The agreement between the hybrid and reference waveforms shown in Figure 11d demonstrated the effectiveness of the new hybrid method in the 3D global heterogeneous reference model, indicating a significant application prospect in probing the key 3D structures in the deep earth using box tomography.

5 Discussion

5.1 Spatial and Temporal Interpolations

In the 3D numerical simulations of wave propagation, dividing the minimum sampling points per minimum wavelength G by 2 implies a storage requirement of the hybrid inputs divided by 4 because of the 2D planar distribution, which is significant for decreasing the memory requirements. For example, for the spatial interpolation in our 3D homogenous scenario, G = 8 in the local simulation resulted in the storage of the hybrid inputs being 1.6^2 times that of G = 5 in the local simulation (the 3D homogeneous scenario). Moreover, the computation time with degrees 12-24 can be up to twice as fast as the classic degree 4 (Lyu et al., 2020). Considering a 3D hybrid local simulation, the corresponding hybrid inputs are a 2D planar distribution; thus, the storage demand of the hybrid inputs of degree 20 (G = 2.5) is a quarter of that of degree 4 (G = 5).

-29-

889

A low G can also be very important in the FWI context because of the kernel operation on the partial, compressed, or full storage of the forward and adjoint wavefields (Komatitsch et al., 2016; Boehm et al., 2016; Fichtner et al., 2009; Lyu, Capdeville, Al-Attar, & Zhao, 2021). For the proposed hybrid method, during the first global simulation, the physical quantities ∇q , q, and $\partial_{tt}q$ are calculated and recorded to construct the combined hybrid inputs for the hybrid simulation. The flexible meshing in the local target model can differ from the meshing of the global model, resulting in the possibility of using a low G of the hybrid inputs and the associated hybrid simulation with a very high polynomial degree SEM. When the local and global meshings are different, spatial Lagrange interpolation can be adopted to obtain the physical quantities of GLL points exactly on the hybrid interface during the first global simulation. If the global or local meshing is not sufficiently accurate, different spatial dispersion errors will be introduced into the local simulation, resulting in inaccurate waveforms. Note that physical quantities such as the potential/displacement of the GLL points for constructing the hybrid inputs can be interpolated from the global to the local mesh. However, the internal force term KQ cannot be pre-computed in the global simulation and interpolated on a different mesh from the global mesh, because the internal force term is discontinuous between hybrid elements. Note that Monteiller et al. (2020) also describes that the spatial and temporal interpolation schemes are key to increasing the efficiency of the algorithms in the global hybrid simulation.

In addition to the spatial interpolation using different local meshing with a low G, we can further adopt different temporal interpolations. The hybrid inputs of the proposed hybrid method are entirely based on the physical quantities ∇q , q, and $\partial_{tt} q$ of the integration points (for example, here we used GLL points), which are localized exactly on the hybrid interface S of the local target model M_{g1} . During the first global simulation, we should be able to record them at the Nyquist sampling time steps, and then the physical quantities can be recovered (interpolated) according to the time step dynamically determined using the local structures after imposing the recorded physical quantities and before starting the hybrid simulation. Thus, combining the spatial and temporal interpolations should significantly reduce the memory required for hybrid numerical simulations by several tens of orders of magnitude. If different global and local time steps are adopted, different temporal dispersion errors will be introduced into the local simulation, resulting in inaccurate waveforms to an extent. Note that the spatial and temporal dispersion errors have been proven to be irrelevant (Koene et al., 2018; Lyu, Capdeville, Lu, & Zhao, 2021), which provides a theoretical basis for eliminating the temporal dispersion errors in the global and hybrid simulations.

-30-

5.2 Numerical cost

To use the proposed method, we must know three incident fields (the gradient, potential, and acceleration), with the advantage of being able to use the PML in the scenario of very heterogeneous media without much artificial error owing to incomplete absorption. From the benchmark of different hybrid methods in Table 1, the storage between the new hybrid method and VM or RP hybrid methods is the same whether the local and global meshings are the same, because the \ddot{q} can be obtained from the stored q field. Generally, the local and global meshings are always different because the local and global solvers are different, and the corresponding storage ratio between the new hybrid method and BY or YM hybrid methods is expressed as follows:

$$\frac{2 \times 3V_1}{3V_3} = \frac{2 \times V_1}{V_3} \approx <\frac{2}{N+1},$$
(24)

because $V_3 \approx (N+1) \times V_1$. The ratio between the new hybrid way and MYM hybrid methods is expressed as follows:

$$\frac{2 \times 3V_1}{3V_2} = \frac{2 \times V_1}{V_2} \approx > \frac{2}{N+1},$$
(25)

because $V_2 \approx \langle (N+1) \times V_1$. Thus, for the very high polynomial degrees SEM such as N = 20 in the 3D scenarios, the proposed hybrid method requires significantly fewer memory requirements than the BY, YM, and MYM hybrid methods.

To build a clear work flow, we always must i) determine the coordinates of the hybrid GLL points in the local domain required using different hybrid methods; ii) calculate and record the physical quantities of the recorded hybrid GLL points during the first global forward simulation, such as the potential/displacement for YM method; iii) impose the hybrid inputs into the local model to perform the local hybrid simulation at each time step. According to the expressions of different hybrid inputs of the six listed hybrid methods (Table 1), the methods of imposing the hybrid inputs are different. At each time step, the VM method explicitly implements the traction surface integral with the integrated GLL points on the hybrid interface based on Equation (A3). The RP method requires an additional term by further explicitly implementing the second potential/displacement surface integral based on Equation (A4). The new method first implements the traction surface integral as the VM method, then directly add the potential/displacement before calculating the internal force terms, and finally directly subtracts the recorded reference acceleration from the acceleration in the target local model based on Equation (16). The BY, YM, and MYM hybrid methods are performed almost the same. The MYM hybrid first directly adds the potential/displacement to the hybrid GLL points on the hybrid interface before calculating the internal force, then calculates the internal force using the elemental stiffness matrix and the potential/displacement of all the GLL points in the

-31-

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hybrid elements, and finally subtracts the calculated internal force from the internal force in the target model based on Equation (14). The YM and BY methods use opposite steps based on Equation (13). The different hybrid methods were designed to perform the hybrid simulation; however, the numerical complexity of the SEM solvers is primarily dominated by the calculation of the internal forces (the product between the elemental stiffness matrix and displacement vector) (Deville et al., 2002), and the imposing of hybrid inputs using different hybrid methods into the local SEM solver does not change the main computation part, because the number of hybrid elements is significantly lower than the total number of elements in the local domain.

5.3 Hybrid Inputs Obtained from Programs SPECFEM3D_globe and AxiSEM3D for Box Tomography

Box tomography has been used to image the upper-mantle shear velocity structure beneath the North American continent down to a 40 s period (Clouzet et al., 2018). Their hybrid inputs are calculated by the program SPECFEM3D_globe (Komatitsch et al., 2002) for the seismic events outside the box based on the YM hybrid method. There is nothing that prevents one from using the new hybrid method to obtain the hybrid inputs in the framework of SPECFEM3D_globe. Alternatively, with two to three orders of magnitude faster, the program AxiSEM3D (Leng et al., 2019) is an excellent and efficient candidate solver for calculating the hybrid inputs in an existing 3D global reference model. Subsequently, hybrid simulations can be implemented with a local solver (e.g., RegSEM (Cupillard et al., 2012)). It is also worth emphasizing that the global solver, which is used to provide the hybrid inputs, only requires to calculate the physical quantities displacement, acceleration, and strain (for the elastic scenario) of the integration (e.g., GLL) points located exactly on the hybrid interface. The local solvers used for the hybrid numerical simulations only require to read in these physical hybrid inputs as equivalent virtual sources. There are no other connections between these two programs; therefore, the proposed hybrid method is highly accurate and memory efficient for implementing hybrid simulations in actual applications. Note that the proposed hybrid method, similar to Monteiller et al. (2013) and Masson et al. (2014), cannot recover second- or higher-order scattered waves, but first-order scattered waves are always stronger and more important for imaging.

6 Conclusion

By analyzing and combining the respective advantages of the existing two main categories and five subcategories of hybrid methods, a new hybrid method is proposed. Only

-32-

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958

three physical quantities, including ∇q , q, and $\partial_{tt}q$, must be obtained during the first global simulation to construct the hybrid inputs, and the meshing of the local target model is not limited by global meshing. Furthermore, only the associated physical quantities of the integration points that are located exactly on the hybrid interface are required, which is highly accurate and memory efficient for the 3D local hybrid simulation. The required memories of hybrid inputs can be further reduced fourfold if the very high polynomial degree spectral element method is used for the local hybrid simulation. Finally, the more efficient absorbing boundary condition PML can be adopted naturally without any elements overlapping between the local and PML domains. All these characters can increase the imaging resolution and accuracy of box tomography. After theoretical analysis and numerical experiments, the consistencies of the wavefield and waveform between the global and hybrid numerical simulations in 2D/3D homogeneous and heterogeneous models validate our proposed hybrid method, indicating a significant application prospect in probing the key 3D structures in the deep Earth using box tomography.

It should also be noted that this study focused only on the acoustic scenario by SEM. However, the proposed concept is general and can be adopted by other numerical methods such as FDM or FEM, and it can be directly applied to studying the hybrid numerical simulation of both the elastic wave equation and solid–fluid coupling, or Maxwell's equations.

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-33-

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1027

Appendix A Discretization and Interpolation of Traction and Moment-Density Tensor Sources in SEM in the Acoustic Model

Let us assume a quadrature rule applied to discretize the surface integral for a function $g(\mathbf{x})$:

$$\int_{S} g(\mathbf{x}) dS \approx \sum_{e} \sum_{p=1}^{N_s} \alpha_p g(\mathbf{x}_p^e), \tag{A1}$$

where the first summation is assembled over all the surface elements e of the hybrid interface S, and the second one is over all the quadrature points \mathbf{x}_p^e $(p = 1, \dots, N_s)$, N_s is the total number of quadrature points on one surface element with the related quadrature weight α_p . Subsequently, after introducing the discretization of Equation (A1) into the two surface integrals in Equation (8), the equivalent body force expression of equation (7) can be discretized as

$$q(\mathbf{x}) = \sum_{e} \sum_{p=1}^{N_s} \alpha_p \int_V \{T(\mathbf{x}_p^e) \delta(\mathbf{x}' - \mathbf{x}_p^e) + \partial_i [m_i(\mathbf{x}_p^e) \delta(\mathbf{x}' - \mathbf{x}_p^e)] \} G(\mathbf{x}; \mathbf{x}') dV(\mathbf{x}').$$
(A2)

In weak-form methods (such as the finite element method (FEM) or SEM), the traction source term in the weak form becomes

$$\int_{V} w(\mathbf{x}) T(\mathbf{x}_{p}^{e}) \delta(\mathbf{x} - \mathbf{x}_{p}^{e}) dV(\mathbf{x}) = w(\mathbf{x}_{p}^{e}) T(\mathbf{x}_{p}^{e}),$$
(A3)

where $w(\mathbf{x})$ is the abovementioned test function introduced in the second hybrid simulation. The moment-density tensor source term becomes

$$\int_{V} w(\mathbf{x})\partial_{i}[m_{i}(\mathbf{x}_{p}^{e})\delta(\mathbf{x}-\mathbf{x}_{p}^{e})]dV(\mathbf{x}) = -\partial_{i}w(\mathbf{x}_{p}^{e})m_{i}(\mathbf{x}_{p}^{e}),$$
(A4)

which is similar to the moment tensor implementation in Komatitsch and Tromp (1999).

Appendix B Absorbing Boundary Condition implementation of the VM hybrid method

When the hybrid inputs are imposed on the local target model M_{l1} , the resultant potential q_1 on the hybrid interface S is

$$q_1 = q_0 + q_{\text{scatter}},\tag{B1}$$

where q_{scatter} is the scattered potential owing to the existence of heterogeneity in the local target model M_{l1} . The ABC (Clayton & Engquist, 1977) can be used to absorb the scattered potential $\nabla q_{\text{scatter}}$ on the hybrid interface S as follows:

$$\mathbf{n} \cdot \nabla q_{\text{scatter}} = -\sqrt{\frac{\rho}{\kappa}} \partial_t q_{\text{scatter}},\tag{B2}$$

-34-

where **n** is the unit outward normal of the boundary $\partial\Omega$. With the hybrid inputs ∇q_0 and $\partial_t q_0$, the second-order explicit Newmark time-marching scheme (Newmark, 1959) can be used to perform the hybrid simulation in the local target model M_{l1} in the framework of SEM:

$$\mathbf{M}_1 \mathbf{Q}_1 + \mathbf{C}_1 (\mathbf{Q}_1 - \mathbf{Q}_0) + \mathbf{K}_1 \mathbf{Q}_1 = \mathbf{F}_0^T,$$
(B3)

where the C_1 vector is obtained from the ABC, and \dot{Q}_1 and \dot{Q}_0 are the temporal derivatives of the potential vector calculated in the local target and reference models. M_1 is the global mass matrix, and K_1 is the global stiffness matrix assigned to the local target model M_{l1} . Note that Equation (B2) is used to absorb only the scattered waves and not the total wavefield. This boundary treatment naturally combines the forward wavefield with DSM or FK solutions and is the key part of the VM hybrid implementation.

Appendix C Best Scenario for the YM Method

In the best scenario, when the hybrid interface S completely follows the boundary of elements, namely, a Heaviside window function is adopted such that the diagonal value W of all the points in the local domain Ω_l and on the hybrid interface S (e.g., the closed green line in Figure 2) is nonzero. The conceptual illustration of local target model M_{l1} associated with the YM method in this best scenario is depicted in Figure 1e, the blue part is where the hybrid input is imposed and the green part corresponds to the PML domain. Note that the blue part in Figure 1e for imposing the numerical hybrid inputs is outside the local target model M_{l1} . Masson et al. (2014) suggests that only part of values of the internal forces $\mathbf{W}^{e}(\mathbf{K}_{0}^{e}\cdot\mathbf{Q}_{0}^{e})$ and $\mathbf{W}^{e}\mathbf{Q}_{0}^{e}$ at the GLL nodes exactly on the hybrid interface S must be recorded according to the inner scheme in Equation (13) corresponding to the closed green line in the blue elements (hybrid elements in the BY hybrid method) in Figure 2. Because only the hybrid elements contribute to the numerical hybrid inputs, $\mathbf{W}^e(\mathbf{K}_0^e, \mathbf{Q}_0^e)$ and $\mathbf{W}^e \mathbf{Q}_0^e$ are related to only the GLL points on the hybrid interfaces under the selection of the element window function matrix \mathbf{W}^{e} . Note that in the best scenario, as in Figure 1e, although the blue domain is outside the hybrid interface S, it is an inner scheme as defined in Masson et al. (2014).

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-42-

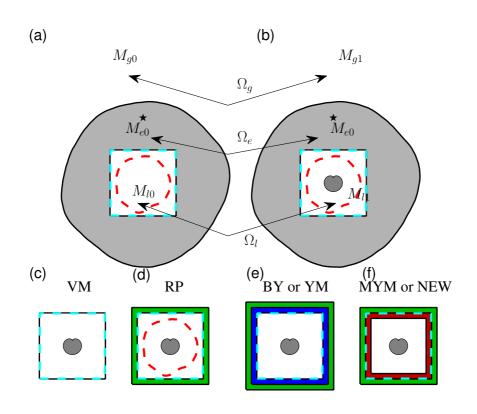


Figure 1. Nomenclatures of the hybrid simulation. (a) The global reference model M_{g0} contains the gray external model M_e and the white local reference model M_{l0} , which are assigned to the global domain Ω_g , external domain Ω_e , and local domain Ω_l , respectively. The red and cyan dashed lines represent hybrid interfaces S. (b) The global target model M_{g1} contains the gray external model M_e and the white local target model M_{l1} , which are also assigned to Ω_g , Ω_e , and Ω_l . The gray cartesian circle represents local target heterogeneity. (c) Local target model M_{l1} and its hybrid interface S (cyan dashed line) of the VM hybrid method. (d) Local target model M_{l1} and its hybrid interfaces S (red and cyan dashed lines) of the RP hybrid method, and the green part represents the PML domain. (e, f) The local target model M_{l1} of the BY, YM, MYM, and new hybrid methods with the green PML part the hybrid elements in SEM (blue part for BY and YM methods, red part for MYM and new methods), and their hybrid interfaces S (cyan dashed lines).

-43-

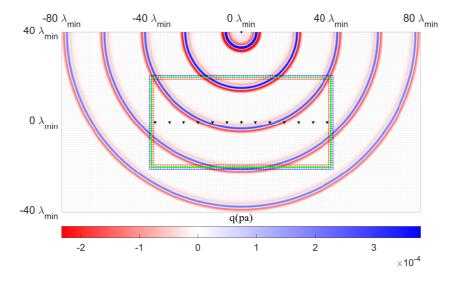


Figure 2. 2D global homogeneous domain Ω_g and wavefields of the potential in the unit of Pascal (pa). The source and 13 receivers are plotted as black star and inverse triangles, respectively. The 160 × 80 element meshing associated with NGLL = 8 are depicted. The wavefields at 13.2 t_{\min} , 31.2 t_{\min} , 49.2 t_{\min} , 67.2 t_{\min} , and 84 t_{\min} are superposed. The green line is the hybrid interface ∂S , the blue elements are the elements used to implement the hybrid injection in Masson et al. (2014), and the red elements are the hybrid elements used in this study.

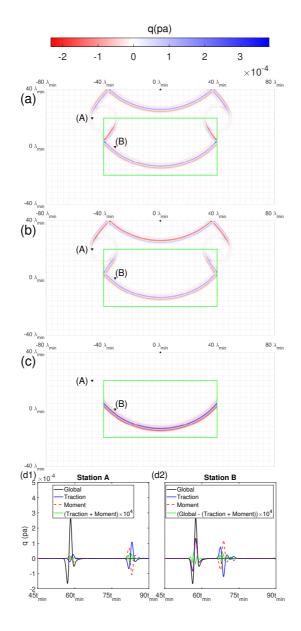


Figure 3. Different contributions of the two surface integrals using the physical representation theorem to perform the hybrid simulation (RP hybrid method). (a) Potential wavefield at 60 t_{min} generated by imposing only the traction surface integral onto the hybrid interface S (green line). (b) Potential wavefield at 60 t_{min} generated by imposing only the moment surface integral onto the hybrid interface. (c) Sum of the wavefields in (a) and (b). (d) Benchmark of waveforms of stations A (d1) and B (d2) as marked in (c). The black, blue, and dashed red waveforms are calculated using the global simulation, hybrid numerical simulation only with the traction surface integral, and hybrid numerical simulation only with the moment surface integral, respectively. The green waveform in (d1) is the enlarged sum of the blue and red dashed waveforms, and the sum of the blue and red dashed waveforms.

-45-

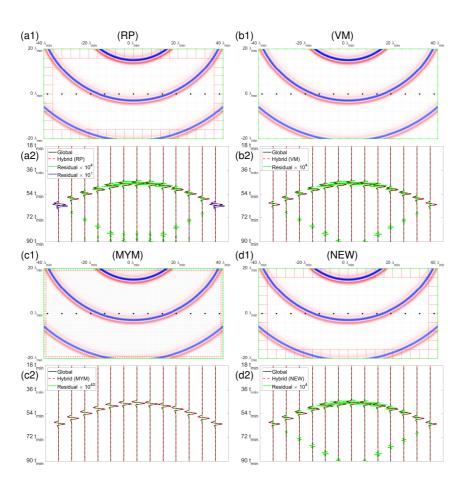


Figure 4. 2D local homogeneous domain Ω_l , hybrid local wavefields (same colorbar as in Figure 2) and waveforms calculated using the four methods. (a1, b1, c1, d1): Superposed wavefields at the same time steps as in Figure 2. The green line is the hybrid interface S, and the red elements are the elements used to implement the associated hybrid method. (a2, b2, c2, d2): Global (solid black lines), hybrid (dotted red lines), and enlarged residual (solid green lines) waveforms. (a1, a2) is from the RP method. (b1, b2) is from the VM method. (c1, c2) is obtained from the MYM method. (d1, d2) is from the new method.

-46-

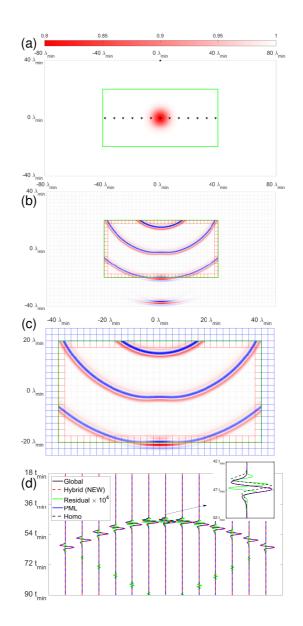


Figure 5. Hybrid simulation in the 2D Gaussian heterogeneous global model using the proposed method. (a) 2D global Gaussian model and hybrid interface S (green line). (b) and (c): Two different hybrid simulations and the associated superposed wavefields (same colorbar as in Figure 2) at the same time steps as in Figure 2, respectively, in the global domain Ω_g and in the local target domain Ω_l with PML absorbing condition. (d) Comparison of different waveforms. The solid black lines represent the global waveforms calculated in the global target model (a). The dotted red lines are the first hybrid waveforms obtained in the global target model, but by imposing the hybrid inputs as shown in (b). The enlarged residuals between the global and first hybrid waveforms are depicted by the solid green lines. The second hybrid waveforms obtained in the local target model (c) with PML in the shrunk domain are depicted as solid blue lines, and the waveforms computed in the 2D homogeneous model are plotted as dotted black lines. Zoomed-in waveforms of the middle station are placed in the upper-right corner of (d).

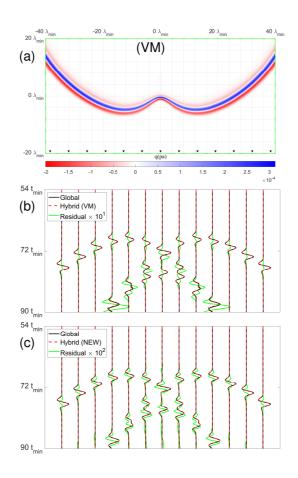


Figure 6. Hybrid simulation in a strong 2D Gaussian heterogeneous model using the VM and new hybrid methods. (a) 2D hybrid wavefield at time step 54 t_{min} by the VM hybrid method. (b, c) Waveform benchmark of VM and new hybrid methods. The solid black lines are the global waveforms calculated in the strong global target model, the dotted red lines are the hybrid waveforms using the VM and new hybrid method, and the dashed green lines are their enlarged residuals; the magnification is 10 for the VM hybrid and 100 for the new hybrid method.

-48-

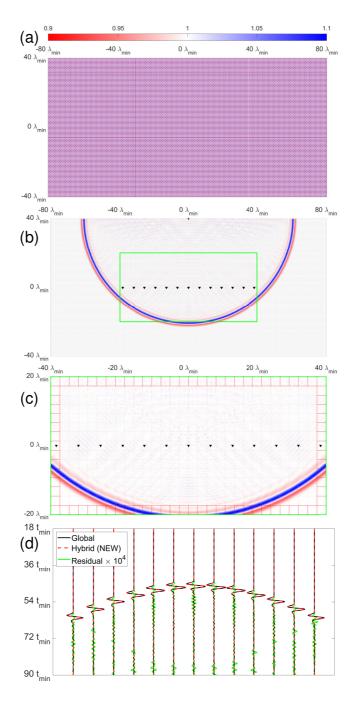


Figure 7. Hybrid simulation in the 2D cosine heterogeneous reference model using the proposed method. (a) 2D cosine heterogeneous model. (b) Global wavefield at 67.2 t_{\min} and the 240 × 120 element meshing associated with NGLL = 8 are depicted. (c) Local wavefield at the same time step and the 30 × 15 element meshing associated with NGLL = 20 are depicted. (d) Comparison of the reference waveforms in the solid black lines, hybrid waveforms in the dotted red lines, and enlarged residuals in the solid green lines.

-49-

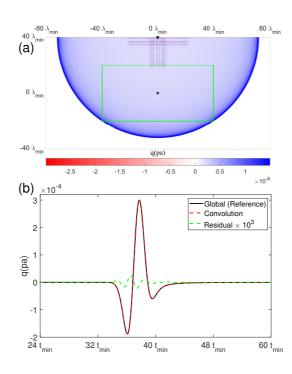


Figure 8. Hybrid simulation in a local reference model using the representation theorem with the source inside and receiver outside. (a) 2D hybrid wavefield related to the calculation of Green's function at time step 72 $t_{\rm min}$. The reverse black triangle is the receiver located outside the box surrounded by the green line, and the black star is the source inside the box. (b) Waveform benchmark. The solid black line is the waveform calculated in the global reference model in Figure 2, the dotted red line is the waveform obtained by the convolution based on the explicit representation theorem, and the dashed green line is the enlarged residual.

-50-

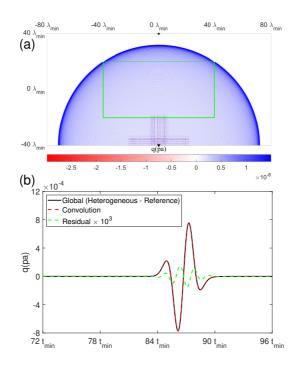


Figure 9. Hybrid simulation in a weak 2D Gaussian heterogeneous model using the new method with the source and the receiver both outside the box. (a) 2D hybrid wavefield at the time step 72 $t_{\rm min}$. (b) Waveform benchmark. The solid black line is the waveform difference between the global waveforms calculated in the global weak Gaussian model in Figure 5 and the global reference model in Figure 2, the dotted red line is the hybrid waveform calculated using the convolution based on the explicit representation theorem, and the dashed green line is the enlarged residual.

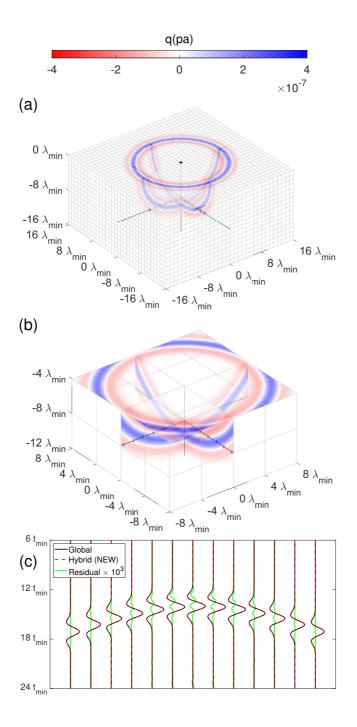


Figure 10. Hybrid simulation in the 3D homogeneous reference model using the proposed method. (a) 3D potential wavefield of global simulation at time step 15.6 t_{\min} in the global reference model. (b) Hybrid numerical simulation in the local model. (c) Comparison of the reference waveforms in the solid black lines, hybrid waveforms in the dotted red lines, and enlarged residuals in the solid green lines.

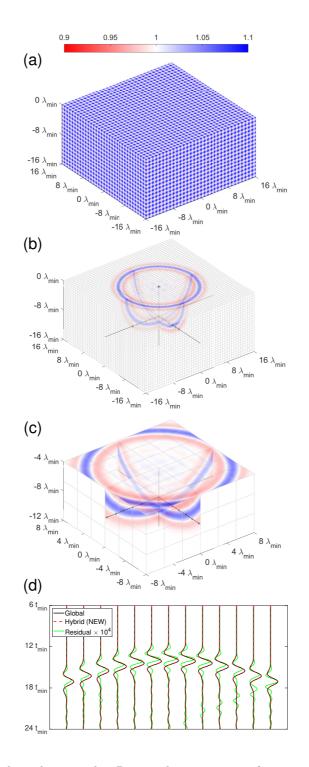


Figure 11. Hybrid simulation in the 3D cosine heterogeneous reference model using the proposed method. (a) 3D cosine heterogeneous model. (b) Potential wavefield of global simulation at time step 15.6 $t_{\rm min}$ in the global model (with the same colorbar as in Figure 10a). (c) Hybrid simulation in the local model at the same time step. (d) Comparison of the reference waveforms in the solid black lines, hybrid waveforms in the dotted red lines, and enlarged residuals in the solid green lines.