

Bedrock fault roughness resolves slip increments of large earthquakes: Case studies from Central Italy

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35 Abstract

While the cyclic nature of tectonic strain build-up and release is at least conceptually well understood (known as the earthquake cycle), it is not clear how variable the corresponding earthquake sizes and the time intervals between them are. Additional observations from past earthquakes are required to better constrain these aspects.

40 In this context, we investigate the roughness of bedrock normal fault scarps along the 41 Mt. Vettore fault and Roccapreturo fault (Italy), motivated by field observations that roughness 42 positively correlates with profile height: higher sections of the fault surface are rougher than 43 lower sections, ostensibly because the scarps were created by subsequent exposure events (e.g., 44 earthquakes), exposing the higher sections for longer periods of time to subaerial weathering 45 processes. Using high-resolution topographic models of the two fault surfaces, we calculate 46 local roughness metrics and determine how they change as a function of profile height. In doing 47 so, we are able to identify step-like changes in fault roughness, suggesting that the studied 48 portions of the Mt. Vettore and Roccapreturo fault scarps were formed respectively by at least 49 6 and 4 large earthquakes, with slip increments ranging from 0.2 m to 1.7 m and corresponding 50 magnitudes ranging from M6.1 to M6.8. Comparing our results for the Roccapreturo scarp 51 with a previous cosmogenic radionuclide (CRN) investigation at this site indicates that we were 52 able to a) find previously identified earthquakes and b) find additional, previously unresolved 53 ones. Apparently, fault surface roughness may increase at a higher rate than CRNs accumulate 54 over the same time, providing additional fidelity to resolve a bedrock scarp's exposure history.

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66 Introduction

67 One of the main goals of the active tectonics community is to better resolve the details 68 of the earthquake cycle, which to first order comprises alternating phases of interseismic strain 69 accumulation and coseismic strain release (e.g., Reid, 1910; McCalpin, 2009; Scholz, 2019). 70 Respective studies aim, for example, to determine a fault's geologic slip-rate (variation) and 71 investigate the recurrence of earthquakes that release interseismically accumulated strain, using 72 the tools from paleoseismology and tectonic geomorphology (with augmenting insights from 73 historical accounts of earthquake occurrence and instrumental recordings of the earthquake 74 cycle, as provided by seismic and geodetic data). Paleoseismic studies typically analyze 75 deformed stratigraphic units, exposed via trench excavations, to constrain the time and size of 76 past earthquakes at a specific site along a fault (e.g., Sieh et al., 1989; Grant et al., 1994; Weldon 77 et al., 2004; Zielke and Strecker, 2009; Akciz et al., 2010; Scharer et al., 2014). Tectono-78 geomorphic studies, on the other hand, commonly analyze tectonically displaced or deformed 79 landforms, such as alluvial fans, river terraces, or channel beds, to constrain a fault's slip-rate 80 and its slip accumulation pattern along larger stretches of a fault (e.g., Wallace, 1968; Sieh, 81 1978; McCalpin, 2009; Zielke et al., 2010; Klinger et al., 2011). Utilizing these kinds of 82 approaches, a set of end-member models for earthquake recurrence and along-fault slip 83 accumulation was postulated (e.g., Shimazaki and Nakata, 1980; Schwartz and Coppersmith, 84 1984; Youngs and Coppersmith, 1985; Sieh, 1996) and finds application in seismic hazard assessment. Since then, numerous studies extended on this work and used fault slip and age 85 86 data, spanning many different time scales (from a few thousands to millions of years), to 87 produce fault growth and earthquake recurrence models that reconcile fault slip accumulation 88 over a range of time scales (e.g., Friedrich et al., 2003; Nicol et al., 2005; Mouslopoulou et al., 89 2009; Carafa et al., 2022).

While paleoseismic and tectono-geomorphic techniques dominate the tool set that is used to constrain the details of the earthquake cycle, other techniques exist. For example, measuring the concentration of cosmogenic radionuclides (CRNs) along the free face of bedrock fault scarps (for convenience, we will refer to "free faces of bedrock fault scarps" as "fault surfaces" for the remainder of this text) may indicate the time and size of the scarpforming earthquakes. So far, this approach has been mainly applied on limestone bedrock normal fault scarps, based on the observation that Ca produced ³⁶Cl, an unstable cosmogenic

97 nuclide, when exposed to cosmic radiation. The concentration of these CRNs is, among other factors, a function of exposure intensity and time (e.g., Dunai, 2010; Schimmelpfennig et al., 98 99 2009). Distinct changes in the ³⁶Cl concentration along a fault surface's height may therefore 100 indicate the occurrence of subsequent earthquakes (Fig. 1; e.g., Schlagenhauf et al., 2010; 101 Benedetti et al., 2013; Ren et al., 2018, Tesson and Benedetti, 2019). Another relative dating 102 technique that is aimed at identifying the number of paleoearthquakes on limestone fault 103 surfaces is the REE method. This method measures changes in the geochemistry across a fault 104 surface (identifying the depletion and enrichment of certain elements due to fault surface 105 exhumation and erosion; e.g., Manighetti et al., 2010; Mouslopoulou et al., 2011, 2012). 106 Additional insight is provided by the Schmidt hammer method (Tye and Stahl, 2018; Stahl and 107 Tye, 2020), revealing weathering contrasts in limestone fault scarps via its rebound values (R-108 values).

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Figure 1. Schematic representation of a normal fault surface, highlighting its roughness and cosmogenic radionuclide CRN concentration as a function of profile height. A) Qualitative observations find that fault surface roughness increases with height. Numbered squares show the height of paleo-earthquake event horizons, indicating where the ground surface of the hanging wall (gray line) touched the footwall at the time of an earthquake. B) CRN concentration profiles may exhibit distinct breaks, indicative for individual exposure events (e.g., earthquakes). C) Similarly, distinct step-like changes in surface roughness along a scarp profile may indicate exposure events. Bell-shaped curves represent the histogram of roughness observations. D) Note that roughness measurements (like CRN measurements) are taken perpendicular to event horizons (indicated by sub-horizontal dashed lines) and not perpendicular to slip direction (black arrow). Doing the latter would mean to mix fault surfaces with different exposure age if the slip vector is distinctly oblique (gray area indicates this for EQ1).

111 In the present study, we also aim to identify abrupt changes in fault surface exposure 112 time and hence to identify the occurrence of past earthquakes (i.e., their slip increments) along 113 bedrock fault scarps. The approach is motivated by qualitative field observations indicating 114 that fault surface roughness (of exposed normal fault scarps) changes systematically with scarp 115 height. For example, fault surfaces along the Mt. Vettore fault (central Italy) are rougher at higher portions of the fault scarp, relative to its lower portions (Fig. 1A). Similar observations 116 117 were made previously along various faults and quantitatively documented, utilizing lidar or 118 image-based approaches to measure a fault scarp's surface roughness (e.g., Giaccio et al., 2002; 119 Reicherter et al., 2011; Wei et al., 2013; Bubeck et al., 2015; He et al., 2016; Mechernich et 120 al., 2018; Zou et al., 2020; Corradetti et al., 2021).

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Figure 2. Photographs of the two analyzed fault scarps. A) Imaged here is part II of the Mt. Vettore fault scarp (see Methods section) while part I is located a few meters further to the south (white arrow). Distinct color changes along the scarp (black arrows) reveal the event horizons and hence slip increments of the two most recent earthquakes (August and October 2016, ~0.2 m and ~1.2 m respectively). B) A 10-m long profile was analyzed at the Roccapreturo site for CRN concentrations to determine the age and slip of the scarp-forming earthquakes by Schlagenhauf (2009; white downward-pointing arrow shows sample location). A person is shown for scale in the center left of the image (white arrow). Inset map indicates the location of both study sites with respect to the Italian peninsula and its capital, Rome.

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A causal relationship between fault surface roughness and surface age is intuitively plausible,
considering that the fault surface is exposed to persistent physical and chemical weathering.
The underlying assumption of our study is therefore that prolonged exposure to (subaerial)
weathering processes increases the roughness of a fault surface (Fig. 1). Further assuming that

127 roughness was initially homogeneous across the fault surface may enable us to correlate step-128 like changes in fault surface roughness to discrete exposure events (e.g., Coradetti et al., 2021). 129 That is, if an initially homogenously rough fault surface is getting exposed sequentially (e.g., 130 due to the occurrence of subsequent earthquakes), then we may find distinct changes in fault 131 roughness perpendicular to the (paleo-) ground surface if a) a sufficient amount of time has passed between subsequent earthquakes to create measurable changes in fault surface 132 133 roughness, and b) a sufficient amount of slip has occurred during individual earthquakes to 134 produce consistent roughness values along continuous portions of the fault surface (Fig. 1). 135 The assumption of initially homogenous roughness across the fault surface is supported for the 136 Mt. Vettore site, where the newly-exposed fault surface (exposed by the 2016 earthquakes) is 137 indeed homogenously rough, without apparent systematic roughness changes. It is further 138 supported by lithological observations, indicating that the exposed fault surface spans a 139 micritic, compact, and homogeneous Jurassic limestone without observable varying lithologic 140 character (e.g., Pierantoni et al. 2013). Similar observations are made for the Roccapreturo site, 141 where Cretaceous limestone is exposed.

142 Based on this understanding, we analyze the roughness of bedrock fault surfaces along 143 the Monte Vettore fault and Roccapreturo fault (Fig. 2) to resolve the slip increments of past 144 earthquakes (e.g., Wei et al., 2013; He et al., 2016; Zou et al., 2020). Both faults feature sites 145 with well-developed limestone fault scarps -some of which investigated previously, therefore providing a contextual framework for our own investigation (e.g., Giaccio et al., 2003; 146 Schlagenhauf, 2009; Falcucci et al., 2015; Galli et al., 2019; Coradetti et al., 2021; Goodall et 147 148 al., 2021). The two faults are located in the central Apennines (Italy), a NW-trending, ~400 km 149 long and ~100 km wide mountain range that undergoes NE-SW extension at a rate of 3-4 mm/yr 150 (D'Agostino, 2014). Extension is accommodated by 10-30 km long normal faults (such as the 151 Mt. Vettore and Roccapreturo faults), arranged in several sub-parallel, segmented fault systems 152 that are located along the ridge belt (Galadini and Galli, 2000; Vai and Martini, 2013). This 153 belt has been the host to many destructive earthquakes over the last millennium (Rovida et al., 154 2021). The most recent earthquake episode occurred in 2016, when 9 successive shocks (M5 155 to M6.5) ruptured several portions of a \sim 60 km long fault system in less than half a year (e.g., Perouse et al., 2018 and references there in). Prominent surface ruptures, with maximum throws 156 157 of 80 to 130 cm, were observed along the Mt. Vettore fault after the largest shock of the

sequence (M6.5) occurred on 30th October 2016 (Civico et al., 2018). Our first study site is 158 159 located on this fault, near a place called Scoglio del'Aquila, where the 2016 coseismic surface 160 displacement reached its maximum and where the fault surface (i.e., bedrock fault scarp) is 161 particularly well expressed (Fig. 2A). Paleoseismic studies at other locations along the Mt. 162 Vettore fault system revealed that six surface faulting events (including the most recent ones 163 in 2016) occurred within the past 9 kyrs, with an average return period of 1.8+/-0.3 kyr 164 (Galadini and Galli, 2003; Galli et al., 2019). To our knowledge, no incremental slip amount 165 has been attributed to the individual earthquakes, preceding the most recent one(s).

166 The second study site is located along the Roccapreturo fault. This fault belongs to the Middle Aterno fault system, which is composed of several NW-SE trending, ~10-20 km long 167 168 faults (Galadini and Galli, 2000). A range of morphological features (e.g., triangular facets, 169 channel knickpoints, strong incision and alluvial fan development) along this fault segment 170 indicate the fault's long-term (10^4-10^5 yr) as well as recent (10^3-10^4 yr) tectonic activity. The 171 Quaternary activity of the Roccapreturo fault was shown also by Falcucci et al. (2015) who 172 found early-Pleistocene continental deposits on both sides of the fault, being offset by ~270m. 173 Falcucci et al., (2015) further found paleoseismic evidence for at least two surface rupturing 174 earthquakes since 3.9 to 7.5 kyrs ago, with an accumulated minimum offset of 0.3 m and 1.8 175 m. Additionally, a CRN investigation by Goodall et al. (2021) suggested that the most recent 176 earthquake occurred ~2.6 kyr ago, in agreement with the findings by Falcucci et al. (2015). 177 Following, we describe our data set and analysis approach before presenting our results.

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179 Methodology

180 To quantitatively investigate roughness variations across a fault surface, we adopt the 181 structure-from-motion approach (e.g., Triggs et al., 1999; Lowe, 2004; Brown and Lowe, 2005; 182 Johnson et al., 2014; Corradetti et al., 2017), enabling us to generate very high-resolution 183 surface models by combining a large number of photographs that image the area of interest 184 from many different angles. In total, 271 photos were acquired in November 2016 for the Mt. 185 Vettore site (imaging two separate parts, I and II, of this fault surface) and 213 photos were acquired in 2019 for the Roccapreturo site. Additionally, one portion of the Mt. Vettore fault 186 187 surface (part I) was also photographed in September 2016 (in-between the August and October 188 earthquakes, 143 photos) and in June 2018 (108 photos). The resulting point clouds, covering areas of different sizes, contain more than 37 million data points (Mt. Vettore, part I, 11/2016),
46 million data points (Mt. Vettore, part II, 11/2016), 6 million data points (Mt. Vettore, part I, 09/2016), 8 million data points (Mt. Vettore, part I, 06/2018), and 22 million data points
(Roccapreturo, 2019). The Mt. Vettore data sets, acquired in November 2016, are publicly
available at unavco.org.

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Figure 3. RGB images (top) and combined topography+slope plots (bottom, colors indicate height relative to regression plane) of the investigated fault scarps. Striations across both scarps provide a good indication of respective fault slip direction (red arrows). A) Black arrows indicate the two earthquake event horizons (i.e., the pre-earthquake contact line between hanging wall and footwall) of the August and October 2016 earthquakes. The event horizon of August 2016 earthquake is also shown in the bottom plot (white line). B) The nearly vertical, slot-like feature is due to CRN sampling from Schlagenhauf (2009). Note that the lower portion of the fault surface was dislodged (black arrows indicating trace). The \sim 1 m high, newly exposed fault surface below this trace is not included in the roughness analysis.

We rotate the point clouds into local coordinate systems where the x-/y-/z-axis correspond to the along-strike-/along-dip-/out-of-plane direction. Next, we linearly interpolate the rotated point clouds to a grid, providing a uniformly spaced data set. We follow Hu et al.'s (2003) suggestion regarding the recommended grid size *s* which is informed by the data set's average observation point density in the area of interest (observations *n* per area *A*) and calculated as s = sqrt(A/n). For all data sets, we have observation point densities in exceedance of 80 cm⁻², indicating a permissible grid size of ~1 mm (Fig. 3).

203 To measure changes in fault surface roughness, we define a circle that is moved across 204 the fault surface in 0.5 cm steps in strike- and dip direction (Fig. 4A). We use circles of three 205 different sizes (2, 4, and 6 cm diameter) to ensure measurements consistency. For each step 206 that the circle is moved across the fault surface, we collect all data points that fall within the 207 circle. Hence, the 2-cm circle contains approximately 300 data points while the 4-cm and 6-cm circles contain ~1,200 and ~2,800 data points respectively. Next, we perform a principal 208 209 component analysis on this circular subset to remove linear trends from its topography. This 210 step requires for the circle's dimension to be distinctly larger than the topographic signal of the 211 circular subset, indicating the existence of a lower limit for the circle's dimension, relative to 212 the surface's roughness. If this requirement is met, then the first and second principal 213 components define two in-plane coordinate axes, while the third principal component, being 214 perpendicular to the first two, defines the local, detrended topography z of the circular subset.

215 We measure the circle's roughness by calculating roughness metrics from topography z. In correspondence to choosing different circle sizes, we use three different local roughness 216 217 metrics (namely the L₂-norm, L₄-norm, and the peak-to-valley method; Gadelmawla et al., 218 2002; Santos and Julio, 2013) to ensure measurement consistency. The peak-to-valley P2V 219 roughness metric measures the absolute height difference between the highest and lowest point 220 (value of z) within the circular subset, normalized by the circle's diameter D (EQ1; e.g., 221 Gadelmawla et al., 2002). The L₂-norm refers to the common root-mean-square approach for roughness quantification (EQ2; e.g., Power and Tullis, 1991; Santos and Julio, 2013). The L₄-222 223 norm (EQ3) differs from the L₂-norm only in the power that is used, making the L₄-norm in 224 principle more sensitive to outliers i.e., more sensitive to roughness variations (Menke, 2012). 225

$$P2V = \frac{1}{p}(z_{max} - z_{min})$$

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$$L_2norm = \frac{1}{D}\sqrt{\frac{1}{N}\sum_{i=1}^N z_i^2}$$

$$L_{4}norm = \frac{1}{D} \sqrt[4]{\frac{1}{N} \sum_{i=1}^{N} z_{i}^{4}}$$
(3)

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230 By moving the circle across the fault surface in small steps (0.5 cm), we typically 231 generate hundreds of roughness measurements for each profile height. Given our assumption 232 that subaerial weathering processes gradually increase fault surface roughness over time, we 233 group the roughness measurements by profile height and then take a low-number percentile to 234 represent the minimum fault roughness at that height (Fig. 4). As a result, we obtain low-235 roughness vs. height profiles (e.g., 1st and 5th percentile of L₄-norm, Fig. 4B), smoothed with 236 a causal (down-dip looking) moving average filter of 10-cm window size. The smoothed 237 roughness profiles are then normalized to range from 0 to 1, respectively representing its 238 minimum and maximum values. In correspondence to approaches taken in CRN studies, we 239 compute histograms from the smoothed and normalized roughness profiles (Figure 4B, bar 240 plot). Here, histogram peaks indicate profile sections that share the same roughness character 241 while histogram valleys between them indicate profile sections where roughness changes 242 abruptly. The profile heights at which roughness begins to deviate away from the histogram 243 peaks in the up-dip direction constitute event horizons, separating portions of the profile that 244 were exposed to subaerial weathering before and after an exposure event (i.e., surface-rupturing 245 earthquake; Fig. 4B, dashed lines and arrows). To better resolve the event horizons heights, 246 we stack all the roughness profiles we obtained for a given circle size (stacking normalized 247 profiles from different percentile values and roughness metrics) and analyze them collectively, 248 along with the corresponding stacked histogram. This further reduces the data set's noise and 249 helps focusing on signals (e.g., event horizons) that are consistent throughout our observations. 250 Not all parts of the imaged surface represent the initial bedrock fault scarp (i.e., 251 slickensides) whose degradation by persistent, gradual weathering processes we aim to resolve.

251 Succensides) whose degradation by persistent, gradual weathering processes we aim to resolve. 252 Our surface models may contain areas where snow or plants cover the fault surface or where 253 rocks have been plucked and dislodged from it, removing the original slickensides (e.g., upper 254 left part of Fig. 3A, top and bottom part of Fig. 3B). We exclude these non-representative areas

(1)

(2)

from the roughness analysis by defining polygons that outline the data set sections that weanalyze (see auxiliary online material, Fig. S1).

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Figure 4. Measurement approach for fault roughness determination. A) A circular sample area is swept across the investigated fault surface. The roughness metric (e.g., L_4 -norm) is calculated for each circle position. B) Exemplary, this metric's 1st and 5th percentile are plotted as a function of profile height, smoothed with a 10-cm moving average window (see Methods section). The profile lines and the corresponding histograms indicate which roughness values are more common than others, implicitly revealing profile portions with similar exposure time and the height of event horizons that separate them (black arrows).

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259 <u>Results</u>

260 Following the outlined approach, we analyze how fault roughness (i.e., its lowpercentile representation) changes as a function of profile height at the Mt. Vettore and 261 262 Roccapreturo sites. In total, we analyzed 5 sections of the fault surface at the Mt. Vettore site 263 and 1 section at the Roccapreturo site. As noted before, three of the Mt. Vettore sections are 264 spatially overlapping, capturing part I of the fault surface (Fig. 3A) at three different times 265 (09/2016, 11/2016, and 06/2018; our measurements on the 09/2016 and 06/2018 data sets may be found in the auxiliary online material; Fig. S2). The other sections are spatially separated, 266 covering different parts of the fault surface as documented by the 11/2016 data set. Performing 267 268 the analysis on sections that cover different parts of the fault surface (i.e., the same part at 269 different times) further ensures measurement consistency. Unfortunately, we were not able to

take multiple measurements for the Roccapreturo site, where only one fault surface model is available. For each analyzed fault surface section, we provide three subplots (respectively for a 2-, 4-, 6-cm circle size), each of them containing 15 roughness profiles and a stacked roughness histogram (1st to 5th percentile for 3 roughness metrics; Figs. 5 and 6). Profile lines are semi-transparent to emphasize where they share similar values and overlap.

For section 1 of the Mt. Vettore fault surface, we identified five histogram peaks and 275 276 hence five exposure event horizons (Fig. 5A). Three of them, at 0.0 m, 0.7 m, and 1.5 m, are 277 distinctly larger than the other two at 0.9 m and 1.1 m. The smaller size of the latter two 278 histogram peaks (the one at 0.9 m being the smallest) is due to the short profile sections (~20 279 cm) that exhibit this roughness character, which makes them presumably less reliable. 280 However, our analysis of section 1, based on the 09/2016 and 08/2018 data sets (Fig. S2), 281 indicates consistency between measurements -even the weakly developed histogram peaks at 282 0.9 m and 1.1 m are found in the 09/2016 and 06/018 data sets, albeit at slightly shifted heights 283 of 1.0 m and 1.3 m and 0.9 m and 1.2 m respectively (Fig. 5A and S2). This slight shift in 284 height is caused by differences in polygon outlines (Fig. S1), combined with the curviness of 285 the event horizons which is well exemplified for the most recent earthquakes (e.g., Figs. 2A 286 and 3A). Further note that the 09/2016 data set (Fig. S2A) also revealed a histogram peak at 287 1.8 m, which is not fully resolved in Fig. 5A because of this profile's limited length. The 288 identified event horizon heights, reported relative to height of the August 2016 event horizon, 289 are listed in Table 1.

290 For section 2 of the Mt. Vettore fault surface (Fig. 5B), we find four well developed 291 histogram peaks, located at 0.0 m, 0.9 m, 1.2 m, and 1.7 m. The changes between profile 292 sections with similar roughness character are overall sharp and discrete (i.e., step-like), 293 especially for the smaller circle sizes (Fig 5B, left and middle). Given the clear expression of 294 the 0.9 m event horizon in section 2 (i.e., its histogram peak), relative to the corresponding one 295 in section 1 (Fig. 5A), leads us to suggests that they are not related to the same exposure event. 296 Instead, we suggest that the 0.9 m event horizon in section 2 correlates to the 0.7 m event 297 horizon in section 1 and further suggest that the 0.9 m event horizon in section 1 is not resolved 298 in section 2 (otherwise, the 0.7 m event horizon from section 1 is not resolved here). Likewise, 299 we suggest that the 1.7 m event horizon in section 2 correlates to the 1.5 m event horizon in 300 section 1.



Figure 5. Fault surface roughness as function of profile height for three sections of the Mt. Vettore data set (acquired in 11/2016). See auxiliary online material for section outlines (Fig. S3). Profile heights are relative to the August 2016 event horizon (Fig. 3A). Each subplot contains 15 smoothed and normalized roughness profiles and a stacked roughness histogram (see Methods sections). Dashed lines and black arrows indicate histogram peaks and the corresponding event horizon height.

For section 3 of the Mt. Vettore fault surface (Fig. 5C), we find three well developed histogram peaks, located at 0.0 m, 0.8 m, and 1.3 m, separated by sharp and step-like changes in roughness. Note that this profile is ~0.5 m shorter than those of section 1 and 2, therefore not capturing the higher event horizon at 1.5 m (i.e., 1.7 m). Following the same approach as for section 2 (based on relative expression of the step-like change in roughness and size of the associated histogram peak), we suggest that the 0.8 m event horizon in section 3 correlates to the 0.7 m event horizon in section 1.

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Mt. Vettore site	event horizon height (m) section 1 (11/2016)	event horizon height (m) section 1 (09/2016)	event horizon height (m) section 1 (06/2018)	event horizon height (m) section 2 (11/2016)	event horizon height (m) section 3 (11/2016)	Average height of event horizon (m)	Apparent slip increment (m)
EQ 1	0.0	0.0	0.0	0.0	0.0	0.0	0.2, 1.2
EQ 2	0.7	0.7	0.6	0.9	0.8	0.7	0.7
EQ3	0.9	1.0	0.9	-	-	0.9	(0.2)
EQ4	1.1	1.3	1.2	1.2	1.3	1.2	0.5 (0.3)
EQ 5	1.5	1.6	-	1.7	-	1.6	0.4
EQ 6	-	1.8	_		_	1.8	0.2

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Table 1. Identified event horizon heights (meters) and corresponding earthquake as derived for
the different fault surface sections of the Mt. Vettore site. Italic font indicates weakly expressed
event horizons. Also shown are the average heights of event horizons and apparent slip
increments. The reported slip increments for EQ1 (the August and October 2016 earthquakes)
are from the literature (e.g., Perouse et al., 2018).

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Overall, we find that the event horizon heights for the Mt. Vettore site (Table 1) are markedly similar across the different roughness metrics, percentile values, circle sizes, and analyzed fault surface sections, attesting for the consistency of these measurements. Including the 2016 earthquake sequence, we identified 6 exposure event horizons, respectively at 0.0 m, 0.7 m, 0.9 m, 1.2 m, 1.6 m, and 1.8 m profile height (relative to the 08/2016 event horizon height). Assuming that these event horizons represent individual earthquakes, the 323 corresponding slip increments range from 0.2 m to 1.2 m (Table 1). That said, we want to note 324 that a direct correlation between exposure events, as identified from distinct changes in 325 roughness metric, and individual earthquakes is likely not permissible. Multiple surface 326 rupturing earthquakes may contribute to a single exposure event if these earthquakes occurred 327 shortly after one another, in which case it is better to attribute event horizons to phases of 328 substantial fault activity (potentially encompassing multiple surface ruptures). We will address 329 this point in more detail in the following section.

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Figure 6. Fault surface roughness as function of profile height for the Roccapreturo data set. See auxiliary online material for section outline (Fig. S4). Profile heights are relative to the base of a 10-m spanning CRN analysis profile (Schlagenhauf, 2009; Fig. 3B). Each subplot contains 15 smoothed and normalized roughness profiles and a stacked roughness histogram (see Methods sections). Dashed lines and black arrows indicate histogram peaks and the corresponding event horizon height.

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While positioned along another fault, our findings for the Roccapreturo site are broadly 332 consistent with our findings for Mt. Vettore site (Fig. 6): Fault roughness and its variability 333 334 increases with profile height, exhibiting profile portions of similar roughness that are separated 335 by sharp, step-like roughness changes. For the Roccapreturo site, we find 3 well developed histogram peaks (i.e., event horizons) at 4.2 m, 5.9 m, and 7.0 m as well as 1 weakly developed 336 337 peak at 6.3 m. The latter peak is more apparent in the roughness profiles from which the 338 histograms are derived -the corresponding profile section being bracketed by sharp changes in 339 roughness metric (Fig. 6). Overall, the identified histogram peaks are separated by distinct 340 valleys in the roughness histogram, indicative of sharp, step-like changes in roughness metric

341 when moving from one histogram peak to the next (i.e., moving from one exposure event to 342 the next). Hence, we identified 4 exposure events for the Roccapreturo site with slip increments 343 ranging from 0.4 m to 1.7 m (Table 2). Conveniently, the Roccapreturo site was also the 344 location of a CRN investigation that aimed to determine the exposure history of this fault scarp. 345 This investigation identified event horizons at \sim 4.4 m and \sim 7.1 m, with corresponding ages of 2.9 kyrs and 4.5 kyrs (Schlagenhauf, 2009). Our roughness analysis is therefore able to recover 346 347 these events (EQ1 and EQ4; Table 1; Fig. 6) and presumably able to resolve additional events that were not found in the CRN analysis (at 5.9 m and 6.3 m profile height), highlighting the 348 349 added value that may come from the roughness analysis. Apparently, the fault surface 350 roughened more quickly than cosmogenic radionuclides accumulated, therefore enabling to 351 resolve smaller variations thereof. Because of lacking data overlap, we were not able to 352 correlate our findings to the paleoseismic study by Falcucci et al. (2015) or the CRN study by 353 Goodall et al., (2021) that were also conducted along the Roccapreturo fault.

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Roccapreturo	section 1 (2019)	Apparent slip increment (m)
EQ 1	4.2	-
EQ 2	5.9	1.7
EQ 3	6.3	(0.4)
EQ 4	7.0	1.1 (0.7)

355

Table 2. Identified event horizon heights (meters) and corresponding earthquake number as 356 357 derived for the different fault surface sections of the Roccapreturo site. Italic numbers indicate 358 weakly expressed event horizons. Also shown are the average heights of event horizons and apparent slip increments. If EQ3 does not represent a separate exposure event, then its slip 359 increment would be accommodated by EQ4 (bracketed values indicate case where EQ3 is 360 361 assumed to be an exposure event).

362

363 **Discussion**

364

Our investigation along the Mt. Vettore and Roccapreturo bedrock fault scarps indicates 365 that fault surface roughness changes systematically along profile height, alternating between 366 a) profile sections with similar roughness characteristics and b) approximately step-like 367 changes in roughness over short profile sections. We suggest that these step-like roughness

368 changes constitute event horizons, separating profile sections that were exposed to subaerial 369 weathering processes for different time periods. In our analysis, we were able to identify 6 370 event horizons for the Mt. Vettore site and 4 event horizons for the Roccapreturo site, 371 respectively spanning a combined profile height of 3.2 m and 2.8 m (for the Mt. Vettore site, 372 this includes the 2016 earthquakes). Intuitively, we may assume a direct correlation between 373 these event horizons and individual earthquakes. However, this correlation is not sensible as is 374 indicated by observational evidence and was noted before. For example, for the Mt. Vettore 375 site, we know that the ~1.4 m offset from 2016 (EQ1 in Table 1; Fig. 2A) contains the slip 376 contribution of two successive events (August 2016 earthquake with ~0.2 m and October 2016 377 earthquake with ~1.2 m of slip at the site; Perouse et al., 2018). The slip amount of the August 378 2016 earthquake and importantly the time interval between these two earthquakes (~3 month) 379 is small, prohibiting to distinguish these events through surface roughness analysis. Hence, the 380 reported histogram peaks and event horizons should not be correlated to individual earthquakes 381 but rather to phases of substantial fault activity, potentially spanning more than one surface-382 rupturing earthquake. The reported offset increments (Tables 1 and 2) therefore represent the 383 combined slip amount of these phases, potentially containing slip contributions from more than 384 one earthquake (e.g., Grant Ludwig et al., 2010) as is also inferred for the record obtained from 385 the ³⁶Cl exposure dating (Beck et al., 2018, Tesson and Benedetti, 2019).

386 This understanding raises the question how many earthquakes contributed to each offset 387 increment (i.e., activity phase) that we identified and how variable their respective offsets are. 388 Unfortunately, we are not able to answer this question because we are lacking the necessary 389 observational data (e.g., knowing the event horizon ages to correlated them with paleoseismic 390 studies; e.g., Galli et al., 2019). That said, earthquake physics, rupture simulations, and 391 observational constraints suggest that slip accumulation along faults is dominated by large, 392 full-rupture earthquakes (e.g., Schwartz and Coppersmith, 1984; Youngs and Coppersmith, 393 1985; Pacheco et al., 1992; Sieh, 1996; Manighetti et al., 2005; Zielke and Arrowsmith, 2008; 394 Akciz et al., 2010; Schlagenhauf et al., 2011; Zielke et al., 2015). With this conceptual 395 framework, we can provide a lower-bound estimate for the number of earthquakes that 396 contributed to fault scarp formation (i.e., the portions we analyzed here) while further assuming 397 that other (non-tectonic) mechanisms that may expose a fault surface can be neglected (e.g., 398 Giaccio et al., 2002; Wiatr et al., 2015; Mechernich et al., 2018). That is, the 3.2-m high scarp

399 at the Mt. Vettore site was formed by at least 6 surface-rupturing earthquakes, with maximum 400 offsets ranging from 0.2 m to 1.2 m. If these offsets are indeed due to the occurrence of a single 401 earthquake, then the corresponding magnitudes would range from M6.1 to M6.7 (Manighetti 402 et al., 2007; Thingbaijam et al., 2017) –plausible values in comparison to the 2016 earthquake 403 sequence. Likewise, if the offset increments at the Roccapreturo site (ranging from 0.4 to 1.7 404 m) are caused by the occurrence of single, full-rupture earthquakes, then the corresponding 405 magnitudes would range from M6.3 to M6.8. Note that these magnitude estimates for the Mt. 406 Vettore and Roccpreturo faults are consistent with rupture length to average slip scaling 407 relationships, implying corresponding rupture lengths of 20 to 30 km -comparable to the 408 reported length of these faults systems (Manighetti et al., 2007; Thingbaijam et al., 2017).

409 Going one step further with this line of thinking, we might correlate the 6 event horizons 410 that we identified for the Mt. Vettore scarp (spanning 3.2 m of fault scarp) with the 6 surface-411 rupturing earthquakes that occurred within the last 9 kyrs along the Mt. Vettore fault (Galli et 412 al., 2019), implying a throw-rate of ~0.3 mm/yr. This rate is consistent with the minimum rate 413 of 0.26-0.38 mm/yr as derived from a single splay fault of a wide zone of deformation (Galli 414 et al., 2019; Cinti et al., 2019; Pucci et al., 2021). However, it is distinctly lower than the fault's 415 long-term rate of 0.7-1.6 mm/yr (Pizzi et al., 2002; Puliti et al., 2020), indicating that either a) 416 the throw-rate slowed down over time, b) the 3.2-m high scarp we investigated was formed by 417 less than 6 earthquakes, c) more than 6 surface-rupturing earthquakes occurred during the last 418 9 kyrs, or d) a combination of these possibilities. To begin resolving this discrepancy, we would first need to know how old the event horizons (from our roughness analysis) are. 419

420 The Roccapreturo site gives us the opportunity to validate our analysis by comparing it 421 to a previous CRN investigation along this scarp (Schlagenhauf, 2009). We were able to 422 reproduce these earlier findings and further to identify additional surface-rupturing events, 423 implying that fault roughness measurements, under the right conditions, may provide a higher 424 resolution of bedrock scarp exposure events. It appears that fault roughness may change more 425 quickly than CRN can accumulate and hence enable to identify and resolve smaller changes in 426 surface exposure time. However, as noted before, one important missing aspect when it comes 427 to constraining the slip accumulation history at these two sites by solely using roughness 428 measurements is to determine the age of the identified exposure events. At this time, we do not 429 know when these events occurred. Incorporating CRN analysis along these fault scarps to determine the exposure ages directly above the identified event horizons would improve our
understanding, as was possible for the Roccapreturo site. However, defining these ages directly
from our roughness measurements requires a) to quantify the relative importance of all acting
physical and chemical weathering processes that are responsible for roughness modification,
and b) to determine their respective rates, along with the parameters that control them. While
this endeavor is beyond the scope of the present study, we anticipate extending in this direction.

436

437 <u>Conclusion</u>

438 In the present study, we analyze high-resolution surface models of exposed fault 439 surfaces from two sites along two faults in Central Italy to determine how fault roughness varies 440 as function of profile height and if it can be used to resolve slip increments of successive 441 earthquakes. In doing so, we can identify 6 and 4 event horizons, respectively spanning a 442 combined height of 3.2 m and 2.8 m for the Mt. Vettore and Roccapreturo site. Making the 443 simplifying assumption that the corresponding slip increments, ranging from 0.2 to 1.7m, were 444 caused by individual subsequent surface-rupturing earthquakes, suggests the repeated 445 occurrence of M6.1 to M6.8 earthquakes along these faults.

446 Our findings indicate that, under the right conditions, fault roughness measurements 447 along exposed fault surface provide the means to identify event horizons of fault exposure, 448 indicating distinct phases of fault activity. This analysis approach likely performs better for slow-moving faults, providing more time in between successive earthquakes to form and 449 450 increase fault surface roughness. We suggest that a combination of surface roughness analysis, 451 as we performed it here (to identify event horizons), and CRN analysis (to assign an age to 452 these event horizons) may further improve our ability to resolve details of the earthquake cycle 453 along bedrock fault scarps.

454

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- 458
- 459 Data Availability

460 The point cloud data for the Mt. Vettore site may be found at
461 <u>https://tls.unavco.org/projects/U-067/PS01/SV02/</u>. Other data are contained in this manuscript
462 and the auxiliary online material.
463

403

464 <u>Appendices</u>

The auxiliary online material contains two additional figures that a) show the sampling locations for the Mt. Vettore and Roccapreturo sites and b) additional results from the Mt. Vettore site, section 1 (results from the « in-between » data set of 09/2016 and from two years after the 2016 earthquake sequence).

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