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► **To cite this version:**

Yandi Lan, Christel Causserand, Laurie Barthe. Practical insights into ultrasound-assisted heterogeneous Fenton membrane reactors for water treatment. *Journal of Water Process Engineering*, 2022, 45, pp.102523. 10.1016/j.jwpe.2021.102523 . hal-03870616

**HAL Id: hal-03870616**

**<https://hal.science/hal-03870616>**

Submitted on 24 Nov 2022

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1 **Practical insights into ultrasound-assisted heterogeneous Fenton membrane reactors for**  
2 **water treatment**

3  
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6  
7 **Abstract**

8 Cavitation damage to membranes is a major barrier for developing an ultrasound (US)-  
9 assisted membrane water treatment process. In this study, US was integrated to a heterogeneous  
10 Fenton membrane reactor for the treatment of pharmaceutical-containing water with the aims  
11 of i) fouling control, ii) enhancement of heterogeneous Fenton oxidation. In order to prevent  
12 membrane damages due to cavitation, the stable and violent cavitation regions were identified  
13 by quantification and analysis of the cavitation activity axial along the ultrasound transducer  
14 via hydrophone measurements. Results showed the cavitation activities occur in both regions  
15 with US on, while the cavitation damage to membrane materials was only observed when  
16 membranes were placed in the violent cavitation region. On one hand, cracking and physical  
17 erosion of the surface were observed on membrane samples when exposed to violent cavitation.  
18 On the other hand, no such erosion occurred in stable cavitation regions, and membranes kept  
19 their mechanical, chemical and morphological properties, confirming that cavitation damage  
20 was controlled by placing membranes in the identified stable cavitation region. Based on these  
21 results, a heterogeneous Sono-Fenton membrane reactor was designed by placing a scalable  
22 hollow-fibre membrane module in the stable cavitation region. Within this reactor, the  
23 experiments confirmed that the oxidation of organics was enhanced thanks to the US assistance  
24 in the novel designed heterogeneous Sono-Fenton membrane reactor. However, this study also  
25 showed that US accelerated membrane ageing and zeolite-catalysts erosion, leading to more  
26 severe fouling. Overall, this paper introduces a method to control the cavitation damage to  
27 membranes and discusses the issues in US-assisted membrane systems with practical insights.

28 **Keywords:** Ultrasound, Microfiltration, Cavitation damage, Ultrasonic fouling control,  
29 Heterogeneous Sono-Fenton oxidation

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## 33 1. Introduction

34 The production and demand of pharmaceuticals has dramatically increased for high  
35 economic growth and better human health [1]. However, the discharge of pharmaceuticals  
36 contained wastewater, from all kind of sources such as the pharmaceutical industry and hospital  
37 effluents, has become a major challenge to the sustainability of the aquatic system: most  
38 pharmaceuticals are partially- or non-biodegradable and resistant to the conventional activated  
39 sludge process of a wastewater treatment plant (WWTP), releasing into the environment [2].  
40 These refractory pharmaceuticals accumulate in the aquatic ecosystems and pose significant  
41 threats to the ecosystem's sustainability and drinking-water safety [3,4]. It is therefore crucial  
42 to develop new and advanced treatment systems. In particular, integrated treatment systems  
43 combining several techniques have recently become a very interesting approach, providing  
44 additional options for treatments of harsh wastewater or high discharge standard [5–10].

45 Membrane-based oxidation processes are increasingly attracting attention for water  
46 treatment as the integration of membrane filtration and advanced oxidation processes  
47 efficiently remove and eliminate refractory pollutants [5,7,11–14]. In these processes, the role  
48 of membranes can be applied to separate and concentrate pollutants [7,11,12] or complete an  
49 oxidation reactor by retention and reuse of the catalysts [5,14]. However, the process efficiency  
50 is limited by the membrane fouling induced by the components in wastewater matrix or  
51 catalysts in oxidation reactors. To tackle fouling issues, the integration of ultrasound (US) with  
52 membrane filtration has been widely studied during the last two decades [15–20]. US enables  
53 to detach or loosen deposits/particles from membrane surfaces by cavitation mechanism (i.e.,  
54 microjets) and transport foulants away from the surface by acoustic streaming [19]. Indeed, US  
55 enables to promote both physical and chemical processes [21,22]. Besides fouling control, US  
56 furthermore promisingly improves oxidation efficiency in a membrane-based oxidation  
57 process [10,23], for example, US enhanced heterogeneous Fenton oxidation (Sono-Fenton) in  
58 multiple functions [8,24–26]. US improving  $\text{Fe}^{2+}$  regeneration and subsequent formation of  
59 radicals in the heterogeneous Fenton reactor has been proven. Moreover, US assisted the  
60 mineralization of organic pollutants. It was found that the mineralization degree of phenol by  
61 heterogeneous Fenton oxidation was significantly enhanced with up to 90% of TOC removal  
62 after US irradiation [27]. This enhancement of the mineralization of organic pollutants can be  
63 associated to US preventing from the catalyst deactivation, the formation of other reactive  
64 oxidant species, and/or thermal cleavage of volatile compounds, contributing to the acoustic  
65 cavitation which gives rise to extreme conditions inside and around the collapsing bubble - up

66 to 5000 K and 500 bar. The cavitation also contributes to the increase of accessible surface of  
67 catalysts by its mechanical effects.

68 The cavitation activities induced by US irradiation in the water potentially benefits both  
69 membrane fouling control and oxidation efficiency. However, the cavitation damage to  
70 membrane materials is a major concern for the integration of US into membrane-based  
71 processes. It was widely reported that cavitation and bubble implosion may induce physical  
72 and chemical erosion of membrane materials [28–31]. Indeed the damaging effects of US are  
73 highly related to the cavitation type and intensity[32]. During acoustic cavitation, gas bubbles  
74 appear due to acoustic waves. Depending on the bubble size and local acoustic pressure, the  
75 oscillation of a bubble is variable. Schematically, some bubbles violently collapse (transient  
76 cavitation), generating a very strong shock wave, followed by the production of •OH radicals  
77 responsible for mechanical and chemical damage to materials. Other types of bubbles slightly  
78 oscillate and are more stable with a relatively long lifetime (stable cavitation) [33]. This latter  
79 type of cavitation is less active and so less destructive to materials. Therefore, the cavitation  
80 damage to membranes can be potentially controlled by identifying the cavitation intensity and  
81 minimising the effects of violent cavitation activity.

82 To promote US assisted membranes and advanced oxidation processes for water treatment,  
83 the present study integrated sonication with the heterogeneous Fenton membrane reactor which  
84 was investigated in our previous study [14]. A heterogeneous Sono-Fenton membrane reactor  
85 (HSoFM) was then developed for the degradation of pharmaceuticals in an aqueous solution.  
86 The oxidation ability of this process is attributed to highly reactive hydroxyl radicals (•OH)  
87 generated from Fenton-like reactions involving hydrogen peroxide as the oxidant and iron-  
88 containing micro-sized zeolites as catalysts [34]. The role of membrane filtration in the HSoFM  
89 reactor is to retain the zeolite catalysts in the effluent compartment during the continuous water  
90 treatment, overcoming the issue of difficult iron recovery in the Fenton oxidation process [35].  
91 The further integration of the sonication in this process is of great interest to control membrane  
92 fouling induced by the zeolite catalysts and to enhance the degradation of pharmaceuticals.

93 In this present paper, the practical aspects of the coupling of US with membranes and  
94 advanced oxidation processes for water treatment have been discussed. Firstly, a stable  
95 cavitation strategy for controlling cavitation damage to membrane materials was investigated.  
96 Secondly, the violent and stable cavitation regions were identified by quantification and  
97 analysis of the cavitation activity axial along with an ultrasound transducer via hydrophone

98 measurements. The control of cavitation damage to membranes in the stable cavitation region  
99 was then verified, following the analysis of the role of US on membrane ageing in a Sono-  
100 Fenton aggressive media. Moreover, since the chemical effects of ultrasound on membrane are  
101 rarely investigated in the literature [36], the impact of both the chemical and physical effects  
102 will be discussed in this study. The model system, a bench-scale HSoFM reactor with a scalable  
103 hollow-fibre membrane module, was then designed by placing the membrane module in a  
104 stable cavitation region. Two potential benefits of US in water treatment processes, fouling  
105 control and oxidation enhancement, were then evaluated in the HSoFM reactor.

## 106 **2. Materials and methods**

### 107 **2.1 Chemicals and membrane**

108 All aqueous solutions were prepared using deionized (DI) water generated by a  
109 PURELAB maxima system (ELGA labwater's, UK). Ibuprofen (IBP,  $C_{13}H_{18}O_2$ , purity 99.99%)  
110 was purchased from BASF Corporation and used as received. Hydrogen peroxide ( $H_2O_2$ , 30%  
111 w/w), sulphuric acid (1 mol/L), titanium tetrachloride solution (0.09 mol/L in 20% HCl) for  
112  $H_2O_2$  concentration analysis, monopotassium phosphate ( $KH_2PO_4$ ) and sodium phosphate  
113 dibasic dehydrate ( $Na_2HPO_4 \cdot 2H_2O$ ) for preparing the buffer solution were purchased from  
114 Sigma-Aldrich. A commercial iron-containing zeolite of ZSM-5 structure (provided by  
115 Clariant<sup>TM</sup>) was used as Fenton's reagents. This zeolite exhibited a Si/Al ratio of 13 and an iron  
116 content of 3.5 wt%. The average particle size of this catalyst is approximately 8  $\mu m$  [35].

117 MF0808XS Polysulfone/Polyvinylpyrrolidone (PSU/PVP) hollow-fibre membrane module  
118 (provided by Polymem<sup>TM</sup>) was tested. PVP was blended (5%, w/w) into the PSU matrix to  
119 increase the hydrophilicity of the membranes. The membrane pore size was 0.1  $\mu m$   
120 (microfiltration membrane). In this study, membrane filtration was only intended to maintain  
121 the catalyst (approximately 8  $\mu m$ ) in the oxidation reactor during the continuous treatment  
122 rather than to selectively reject the pharmaceutical and by-products. The membrane module for  
123 the HSoFM reactor contained 200 fibres. Its total active membrane surface was approximately  
124 0.07  $m^2$ .

### 125 **2.2 Cavitation activity analysis method**

#### 126 **2.2.1 Cavitation activity and acoustic pressure**

127 The aim of cavitation activity analysis was to estimate the intensity of axial cavitation  
128 activity along the US transducer. In the region where the major group of cavitation bubbles

129 was transient, the cavitation activity was intensive and violent. The violent collapse of  
130 (transient) cavitation bubbles most likely led to membrane erosion. Conversely, where the  
131 dominate cavitation bubbles was relatively stable (or long lifetime), the cavitation activity was  
132 considered stable and expectedly less destructive to membrane materials.

133 The intensity of cavitation activity can be related to the spectral components of the  
134 acoustic wave. The US spectrum includes a ray at the fundamental frequency  $f$  (emitted by the  
135 ultrasonic generator), possibly harmonics of this fundamental frequency ( $k.f$ ), sub-harmonics  
136 ( $f/n$ ) and ultra-harmonics ( $kf/n$ ). Between all the rays there is a broadband noise. It was  
137 proposed that the fundamental frequency is associated with violent collapses of cavitation  
138 bubbles (potentially transient cavitation) that lead to mechanical and chemical damage to  
139 membranes. Conversely, harmonics induce a relatively long lifetime of the cavitation bubble.

140 Indeed, the intensity of the cavitation activities is highly dependent on the acoustic  
141 pressure [37]. For this reason, the cavitation activity can be estimated by measuring the acoustic  
142 pressure by means of a hydrophone (section 2.2.2). The hydrophone determines the frequency  
143 band for the majority of the signal power [38].

144 Therefore, by analysing the acoustic pressure axial along US transducer, the acoustic  
145 cavitation, due to the fundamental frequency, can be identified from the total US power. A  
146 stable cavitation region is identified where the majority of the cavitation bubbles are relatively  
147 stable. Conversely, in a violent cavitation region, the cavitation activities are violent and  
148 intense. In the stable cavitation region, the cavitation activities expectedly remain but intensive  
149 cavitation due to the fundamental frequency is minimized.

### 150 **2.2.2 Acoustic pressure measurements with hydrophone and data analysis**

151 The acoustic pressure measurements were performed using a hydrophone (model 8103)  
152 provided by Brüel & Kjær. The piezoelectric ceramic was encapsulated in a rubber cylinder 3  
153 cm long and 0.7 cm in diameter. It was calibrated by the manufacturer who provided the  
154 evolution of the sensitivity in volts versus the acoustic frequency. This calibration curve relates  
155 the amplitude of the acoustic pressure to which the sensor is subjected to the resulting electric  
156 charge.

157 The measurement system then comprises three devices provided by Brüel & Kjær: an  
158 amplifier (model 1704) intended to simulate an infinite input impedance and whose gain is set  
159 to the unit value, a signal converter (model 2647 A) connected upstream of the amplifier 1704,  
160 and finally a load attenuator (model WB0779) connected to the hydrophone to remain in the  
161 voltage range compatible with the amplifier 1704 and thus avoid saturation at very high

162 acoustic pressures. At 20 kHz, this setup has a resulting sensitivity of 0.896 V/bar. It should be  
163 noted that this configuration had a cutoff frequency located at 50 kHz.

164 For data acquisition, the measurement system was connected to a digital oscilloscope (Pico  
165 Technology). This device makes it possible to measure and digitize the output voltage of the  
166 measuring device so a computer can register it. The whole measurement system involved in  
167 this study is represented in Figure 1.

168 The signal is processed with MATLAB software. After pretreatment of the signal, a spectral  
169 analysis was performed by Discrete Fourier Transform (DFT). The data processing is already  
170 detailed in a previous article [38]. Thus, a spectrum was obtained which determines the  
171 frequency bands where there is the majority of the power contained in the signal. It is then  
172 possible to determine the contribution to the total US power of the different elements of the  
173 spectrum (fundamental frequency, harmonics and broadband noise) by calculating the RMS  
174 (Root Mean Square value) pressure. According to the discussion in section 2.1, the RMS  
175 acoustic pressure contributions to the total US power and to the fundamental frequency were  
176 determined and analyzed to estimate the stable and violent cavitation regions axial along the  
177 ultrasonic probe.

178

## 179 **2.3 Experimental set-up and protocol**

### 180 **2.3.1 Ultrasound generator and identification of the stable cavitation region**

181 The ultrasound generator (SinapTec NexTgen Inside 500), connected with a Cup-Horn  
182 type transducer, was used for both the membrane stability tests set-up and HSoFM reactors.  
183 The operating ultrasound frequency is 20 kHz, which is commonly used for the integration of  
184 membrane-based processes since lower frequencies had higher cleaning efficiencies than  
185 higher frequencies [19]. The US power is fixed at 50 W because previous studies determined  
186 that membrane erosion was insignificant for US power less than 60 W [31].

187 To analyse cavitation activity axial along the US transducer, the acoustic pressure  
188 (section 2.2) was measured every 0.5 cm along US transducer using the hydrophone in a water-  
189 filled glassware. The stable and violent cavitation regions were estimated by quantification and  
190 analysis of acoustic pressure due to fundamental frequency of the total US power.

### 191 **2.3.2 Membrane stability and ageing tests under US**

192 The membrane stability under US was measured in a 1L jacketed thermostat glassware  
193 shown in [Figure 2](#). The US transducer was located at the bottom of the glass reactor and  
194 membranes were fixed in a frame. By moving this frame up or down, the distance between  
195 membranes and the US transducer could be adjusted from 1.5 to 4 cm. This axial distance  
196 between membranes and the transducer tip is referred to as tip height. By adjusting the tip  
197 height, membranes can be exposed to identified stable or violent cavitation region.

198 For US exposure, the PSU/PVP membranes were immersed in DI water in the glassware.  
199 The tests were conducted for 10 hours under continuous 50 W US power by placing membranes  
200 in both stable and violent cavitation regions. Then the membranes were sampled and  
201 characterized.

202 Furthermore, to understand the effect of US on membrane ageing while exposed to  
203 oxidative media, PSU/PVP membranes were immersed into a heterogeneous Fenton reactive  
204 suspension containing 4.8 g L<sup>-1</sup> of ZSM-5 zeolite and 6.4 mM H<sub>2</sub>O<sub>2</sub> under stable cavitation.  
205 The concentration of catalysts and H<sub>2</sub>O<sub>2</sub> was chosen according to selected conditions in a  
206 previous study on the heterogeneous Fenton membrane reactor [14]. The H<sub>2</sub>O<sub>2</sub> concentration  
207 in the suspension was maintained at 6.4mM by continuous H<sub>2</sub>O<sub>2</sub> injection using a syringe pump  
208 (Harvard PHD 2000). The US power was maintained at 50 W. For comparison, other groups  
209 of PSU/PVP membranes were submerged into Fenton reactive suspension with the same  
210 concentration of ZSM-5 zeolite catalyst and H<sub>2</sub>O<sub>2</sub> under silent conditions. All the soaking tests  
211 were conducted for 30 hours. Membrane samples were taken periodically during the  
212 experiment for analysis.

### 213 **2.3.3 Heterogeneous Sono-Fenton hollow-fibre membrane reactor**

214 The HSoFM reactor was designed by placing the membrane module in the identified  
215 stable cavitation region for the control of cavitation damage ([Figure S1 in supplementary  
216 information](#)). The experimental solution was introduced in a 6.5 L thermo-regulated glass  
217 reactor. The membrane module reactor retains the catalyst in the glass reactor. The filtrations  
218 were performed in the outside-in filtration mode. The permeate was recycled back into the  
219 reactor to simulate a continuous process from a hydrodynamic point of view. The US  
220 transducer was located on the side wall of the reactor within the designed distance to the  
221 membrane module with on and off options.



222 The water treatment performance of HSoFM reactor was assessed by the degradation  
223 of the pharmaceutical solution. Ibuprofen (IBP), a widely used drug, was selected as the target  
224 pollutant for the reactor assessment, because it was found in effluents from various wastewater  
225 treatment plants and groundwater up to 95 µg/L [39]. IBP was dissolved in deionized water  
226 with an initial concentration of 20 mg L<sup>-1</sup>. The stoichiometric amount of H<sub>2</sub>O<sub>2</sub> (6.4 mM) was  
227 applied twice to avoid extensive scavenging of hydroxyl radicals. For effective production of  
228 hydroxyl radicals, the [H<sub>2</sub>O<sub>2</sub>]/[Fe] molar ratio was maintained at 10, and the catalyst  
229 concentration at 4.8 g/L. The concentration of H<sub>2</sub>O<sub>2</sub> was maintained at 6.4mM due to its  
230 continuous injection using a syringe pump (Harvard PHD 2000) during oxidation. The water  
231 treatment experiments were conducted at a controlled temperature of 25 ± 2 °C. The rotation  
232 rate of the stirrer inside the reactor was 300 rpm.

233 In this preliminary test of HSoFM reactor, the objective was to understand the  
234 membrane fouling phenomenon and Fenton oxidation under sonication. Therefore, the water  
235 treatment process was conducted in two stages.

236 **Stage one with US off.** Filtration flux was maintained at 30 L h<sup>-1</sup> m<sup>-2</sup> for fouling  
237 development with US off and the variation of transmembrane pressure was monitored during  
238 the process. The filtration was performed at 30 L h<sup>-1</sup> m<sup>-2</sup> because this flux value was above the  
239 critical flux value (flux below which a decline of flux over time, due to irreversible fouling,  
240 does not occur, and above which irreversible fouling is observed [14]). Oxidation was started  
241 by injecting H<sub>2</sub>O<sub>2</sub>, when the adsorption of IBP by catalysts and membranes had reached  
242 equilibrium.

243 **Stage two with US on.** Filtration and oxidation were conducted following the same protocol  
244 as in stage one whilst US was switched on in this stage.

245 Since the HSoFM reactor is a constant permeate flux system, the development of  
246 fouling causes the increase in transmembrane pressure (TMP). In these two stages,  
247 transmembrane pressure was monitored to investigate fouling development. Solution samples  
248 were taken at regular intervals in the reactor for analysis and immediately treated by phosphate  
249 buffer (a mixture of KH<sub>2</sub>PO<sub>4</sub> 0.05M and Na<sub>2</sub>HPO<sub>4</sub>·2H<sub>2</sub>O 0.05 M) to reach a neutral pH after  
250 the removal of catalysts using a 0.45 µm RC syringe filter to stop the oxidation reaction.

## 251 **2.4 Analysis**

### 252 **2.4.1 Solution analysis**

253 To evaluate the water treatment efficiency, the total organic carbon (TOC) of solution  
254 samples from the reactor was analysed. The TOC value was calculated from the difference  
255 between Total Carbon (TC) and Inorganic Carbon (IC), measured by a TOC-L analyser  
256 (Shimadzu). The TC of all samples was measured three times and the coefficient of variation  
257 was less than 2 %. Calibration curves were established using commercially available standard  
258 solutions (Shimadzu).

### 259 **2.4.2 Membrane characterization**

260 **SEM-EDX** Scanning electron microscope with energy-dispersive X-ray spectroscopy.  
261 Morphology and elemental information on the membrane surface were examined with a SEM  
262 (Hitachi Tabletop Microscope TM-1000) interfaced with an EDX spectroscopy system  
263 (Thermo-Fisher). Samples were coated with a thin layer of gold before SEM analysis for better  
264 contrast. EDX analyses were carried out at the same time. EDX measurements were performed  
265 at different locations of the membrane surface to obtain a comprehensive elemental  
266 composition.

267 **ATR-FTIR** Attenuated Total Reflection-Fourier Transform InfraRed spectroscopy was  
268 obtained with a Thermo-Nicolet Nexus 670 apparatus (USA). The sample was placed on  
269 diamond crystal substrates and the analytical depth was approximately 2  $\mu\text{m}$ . Membrane  
270 samples after soaking in the reactive medium were firstly dried at 40  $^{\circ}\text{C}$  for 48 h. Then they  
271 were examined using ATR-FTIR spectra to identify chemical membrane changes. All the  
272 recorded PSU/PVP IR spectra were corrected by adjusting the 1587  $\text{cm}^{-1}$  band to an arbitrary  
273 chosen absorbance value of 1. This band corresponds to an aromatic in-plane ring bend  
274 stretching vibration of the PSU and considered to be invariable during membrane degradation  
275 [14]. The corrected absorbance is mentioned as relative absorbance. To facilitate the reader's  
276 understanding, when monitoring changes of specific IR bands upon degradation, normalization  
277 by dividing the relative absorbance by the one of the pristine membranes was performed.

278 **Tensile testing** Tensile tests were performed to obtain the stress-strain curve, reflexing  
279 membrane's mechanical property. The measurements were performed on wet membranes  
280 using an INSTRON 4281 series tensile apparatus. Tailor-made grips for the hollow-fibre  
281 geometry were fitted to the device to avoid stress concentration. The initial distance between  
282 grips was fixed at 50mm and samples were extended at a constant elongation rate of 100

283 mm/min. Ultimate elongation at breakpoint was calculated from the experimental stress-strain  
284 curves. A set of five samples were analysed and averaged for each tested condition.

## 285 **3. Results and discussion**

### 286 **3.1 Determination of the stable cavitation region**

287 This section aims to determine a stable cavitation region where the cavitation bubbles  
288 are relatively stable (or long lifetime) and less violent to membrane materials. As discussed in  
289 section 2.2.1, the fundamental frequency is usually associated with violent collapses of  
290 cavitation bubbles, while harmonics induce the relatively long lifetime cavitation bubble [38].  
291 Therefore, by means of a hydrophone (section 2.2), the fundamental frequency from the  
292 ultrasonic spectrum was identified and its associated cavitation activity characterized by the  
293 RMS acoustic pressure was determined. The stable cavitation region was identified. In this  
294 region, cavitation activities (by RMS acoustic pressure) remain, but RMS acoustic pressure  
295 associated to the fundamental frequency are minimized.

296 To identify the frequencies of the ultrasonic wave, the time-frequency signal was  
297 measured by a hydrophone, followed by a Fourier transform spectral analysis. The spectrum  
298 obtained from the signal measurement at 1.5 cm tip height is presented in [Figure 3a](#). The  
299 frequencies were identified by indicating their amplitude. Two peaks were detected in [Figure](#)  
300 [3a](#), corresponding to the fundamental frequency (20 kHz) and the first harmonic (40 kHz) with  
301 the 50 kHz frequency cut-off of the measuring system.

302 [Figure 3b](#) shows the RMS acoustic pressure of fundamental frequency (20 kHz) and  
303 total power as a function of the axial distance to the transducer tip (referred to as tip height).  
304 Each value in the graph is obtained from an average of 32 spectra. One can observe that the  
305 RMS acoustic pressure of fundamental frequency dramatically decreased from 0.2 bar with the  
306 increase in tip height until reached the lowest value (0.02 bar) at 3.5 cm. On the other hand,  
307 RMS acoustic pressure of total power was 0.28 bar at the initial point (1.5 cm tip height). After  
308 approximately 50% decline, its value relatively stabilized at around 0.15 bar from 2 cm to 4  
309 cm tip height. [Figure 3c](#) highlights the ratio of RMS acoustic pressure of fundamental  
310 frequency to total power. It was found that the percentage of RMS acoustic pressure of  
311 fundamental frequency decreases from 1.5 cm to 3.5 cm tip height then increases.

312 The estimation of the intensity of cavitation activity depends on both the magnitude of RMS  
313 acoustic pressure induced by the fundamental frequency as well as its percentage in the RMS

314 acoustic pressure of total US power. Therefore, for a tip height of 1.5 cm, the RMS acoustic  
315 pressure induced by the fundamental frequency is high (0.2 bar) and accounts for 73% of the  
316 RMS acoustic pressure of total power. The violent collapse of the cavitation bubbles associated  
317 with fundamental frequency likely occurred there, thus this region was referred as ‘violent  
318 cavitation’. In contrast, at 3.5 cm tip height, the RMS acoustic pressure of the fundamental  
319 frequency is much lower (0.02 bar, 10 % of that at 1.5 cm) and only takes account for the 23%  
320 of RMS acoustic pressure of total power. Considering the remaining 0.12 bar (~40 % of that at  
321 1.5 cm) of the RMS acoustic pressure induced by total power, this region remains the cavitation  
322 activity, whilst most of the cavitation bubbles induced by the harmonics should be less violent  
323 to membranes. This region was referred to as a “stable cavitation”, where membrane rupture  
324 by US expectedly was minimized whilst the cavitation-cleaning capacity of US remains.

### 325 **3.2 Membrane stability in water under violent and stable cavitation**

326 In this section, membrane stability was compared in the determined stable cavitation  
327 region and in the violent cavitation region (3.5 cm and 1.5 cm to transducer tip, respectively).  
328 In this comparison objective, membranes were placed in the test system (section 2.3.2) filled  
329 with water. The surface morphology, as well as mechanical and chemical properties of  
330 membranes were monitored.

331 Cracks were observed on the membranes exposed to violent cavitation for 10 h. From  
332 SEM images in [Figure 4b](#), irregular-section cracks were found. The surface of the membranes  
333 was eroded ([Figure 4d](#)), as demonstrated by the disappearance of the membrane selective skin  
334 by comparing to the surface of the pristine membrane ([Figure 4a](#)). On the other hand, no such  
335 crack and no significant changes in membrane micro-surface morphology were found on the  
336 membranes exposed to stable cavitation ([Figure 4c](#)). Moreover, the stress-strain curve obtained  
337 by tensile tests on membrane samples subjected to stable cavitation is presented in [Figure S2](#)  
338 [in supplementary information](#). The curve shapes of these membranes remain the same as that  
339 of pristine membranes, indicating that membranes mechanical strength is maintained.

340 The chemical changes of membranes in stable and violent cavitation regions were  
341 analysed by EDX and FTIR. It’s worth noting that the PSU/PVP membranes used in this study  
342 were made from PSU blended with 5% PVP. The fraction of PVP on the membrane material  
343 can be monitored by the N/S atomic ratio, because repeat units of PSU and PVP carry only one  
344 sulphur atom (S) and one nitrogen atom (N), respectively. From the EDX analysis, the N/S  
345 atomic ratio of membranes submitted to stable cavitation maintained the same value of the

346 pristine membrane N/S atomic ration ( $4.53 \pm 0.6$ ), whilst the ratio decreased from  $4.53 \pm 0.6$   
347 to  $1.88 \pm 0.4$  upon exposure to violent cavitation. Moreover, according to FTIR analysis ([Figure](#)  
348 [S3 in supplementary information](#)) of membranes exposed to both violent and stable cavitations,  
349 no new absorbance band appears in their FTIR spectra comparing to that of the pristine  
350 membrane. The band at  $1674 \text{ cm}^{-1}$  corresponding to the stretching vibration of the amide unit  
351 of the PVP, and the one at  $1145 \text{ cm}^{-1}$  associated with symmetric  $\text{SO}_2$  stretching vibration of  
352 PSU [40] were analysed. The ratio of these two absorbance's (-N- of PVP /  $\text{SO}_2$  of PSU) on  
353 membranes submitted to violent cavitation is around 0.08, which is below the ratio obtained  
354 for pristine membranes (0.18), and for membranes exposed to stable cavitation (0.16). These  
355 results are in accordance with the EDX analysis. They enable the conclusion that, under violent  
356 cavitation, the PVP fraction on the membrane material decreases mainly by PVP dislodgement  
357 from the membrane rather than by its chemical degradation (no new band observed by FTIR).  
358 This is in accordance with MEB observations: the membranes exposed to violent cavitation  
359 dominantly suffered from physical erosion under 50 W ultrasonic irradiation when this erosion  
360 is negligible for membranes submitted to stable cavitation

361         These results confirmed that the exposure of membranes to stable cavitation effectively  
362 controls their cavitation damage. In these conditions, membranes maintained their mechanical  
363 and chemical properties as well as their surface structure. Exposing membranes to violent  
364 cavitation, although with a relatively low US power of 50W, led to severe damage, or even  
365 cracking.

### 366 **3.3 Role of US on membrane ageing during exposure to oxidative media**

367         Membrane ageing, the degradation of the membrane over time, was evaluated during  
368 exposure to oxidative media, resulting in performance decline or complete membrane failure  
369 [41,42]. Therefore, besides the control of cavitation damage to membranes, it is important to  
370 understand the membrane ageing process in the heterogeneous Sono-Fenton reactor. With this  
371 aim, PSU/PVP hollow-fibre membranes were placed under stable cavitation and submerged in  
372 Fenton reactive medium ( $4.8 \text{ g L}^{-1}$  catalyst and  $6.4 \text{ mM H}_2\text{O}_2$ ). To identify the role of US on  
373 membrane ageing, another group of membranes was soaked in a Fenton reactive medium under  
374 silent conditions (US off). Changes in mechanical and chemical properties of the membrane  
375 material were then monitored.

376         Mechanical properties of membranes in Sono-Fenton and Fenton media were monitored as  
377 a macroscale view of changes in material performances. By comparing the stress-strain curves

378 of membranes sampling at different exposure times, it was found that the shapes of the elastic  
379 domain and early stages of the plastic domain were generally unchanged (results not shown),  
380 while the ultimate elongation value (at break) appeared to be affected. The ultimate elongation,  
381 therefore, was the most sensitive parameter compared, for example, to Young's Modulus. For  
382 this reason, the ultimate elongation was presented to demonstrate the change in mechanical  
383 properties of the membranes. The variation of ultimate elongation of membranes over exposure  
384 time is shown in [Figure 5a](#). One can observe that, without US, ultimate elongation of  
385 membranes remained constant. On the other hand, when membranes were submitted to US,  
386 their ultimate elongation slightly decreased, before reaching a plateau at around 80% of the  
387 initial value after a 20-hour exposure. At the same time, SEM images of these last membrane  
388 samples after a 30-hour exposure presented a similar surface to that of the pristine membrane,  
389 indicating no significant change in membrane surface morphology ([Figure S4 in supplementary](#)  
390 [information](#)).

391 The change in chemical properties of 30h-aged membranes was then investigated by FTIR  
392 and EDX analysis. FTIR spectra of PSU/PVP membranes after 30-hour exposure to Fenton  
393 (US off) and Sono-Fenton (under stable cavitation) were presented in [Figure 5c](#). The major  
394 distinction among the spectra appeared from 1700 to 1630  $\text{cm}^{-1}$ . The intensity of the IR band  
395 at 1674  $\text{cm}^{-1}$  characteristic of PVP, decreased in samples submitted to Sono-Fenton medium  
396 compared to the pristine membranes. At the same time, a sharp absorbance peak appeared at  
397 1735 $\text{cm}^{-1}$ , associated with the formation of non-cyclic imide groups, characteristic of  
398 degradation products of PVP resulting from hydroxyl radical attack [43]. On one hand, these  
399 results revealed that the chain scission of PVP occurred when membranes are submitted to  
400 Sono-Fenton conditions. Furthermore, the absorbance intensity at 1735  $\text{cm}^{-1}$  increased with  
401 exposure time and then stabilized after a 20-hour exposure to Sono-Fenton conditions (panel  
402 of [Figure 5c](#)). It is likely that the PVP chain scission developed more quickly at the early stage  
403 of exposure, then stabilised. On the other hand, previous studies [14] showed that the chain  
404 scission of PSU evidenced with absorbance peaks at 1638  $\text{cm}^{-1}$ , caused a dramatic decrease in  
405 membrane mechanical properties. Herein, no such peaks were observed in the FTIR spectra of  
406 aged membranes. These results show that US induced chain scission of the PVP additive when  
407 the PSU is not oxidated. The chemical properties stabilization after a 20-hour exposure  
408 (stabilized PVP chain scission and absence of PSU chain scission) is in accordance with the  
409 behaviour in mechanical properties: decrease in ultimate elongation of aged membranes,  
410 followed by stabilisation after a 20-hour exposure ([Figure 5a](#)).

411 As discussed in section 3.2, the N/S atomic ratio from the EDX analysis represents the  
412 fraction of PVP on the membrane surface. [Figure 5b](#) compares the N/S atomic ratio of pristine  
413 membrane and samples exposed to Fenton (US off, 10, 30-hour exposure) and Sono-Fenton  
414 media (5, 10, 20, 30-hour exposure). One can find after a 5-hour exposure to Sono-Fenton, the  
415 N/S atomic ratio on the surface of membranes decreases to 75% of the pristine value. This ratio  
416 was then maintained until the end of the experimental period (30-hour exposure). That is to  
417 say, the release of PVP additive from the membranes mainly occurred at the early stage of  
418 exposure. Combining the FTIR analysis (spectra shown in [Figure 5c](#)), it was found that the  
419 membrane ageing in Sono-Fenton began with the release of a certain amount (~25%) of PVP  
420 additive at the early stage (<5-hour exposure). After, the further membrane ageing involved  
421 the chain scission of PVP (chemical properties change) until reaching stabilisation after a 20-  
422 hour exposure.

423 By comparing the FTIR spectra and N/S atomic ratio of aged membranes in Fenton medium  
424 (US off), no significant change in IR absorbance was observed and the N/S atomic ratio kept  
425 90% of that of pristine membranes. These results indicated that membrane ageing was  
426 accelerated under US radiation. Although the acceleration of membrane ageing by US radiation  
427 is likely to a controllable degree: membranes properties stabilisation and no significant  
428 degradation of backbone material, one can suggest the application of a pulsed mode ultrasound,  
429 reducing the US exposure time, in a US-assisted membrane process for a sustainable system.

### 430 **3.4 HSoFM reactor design and preliminary test for water treatment**

431 Placement of membranes in a stable cavitation region is evident in order to prevent  
432 cavitation damage of membranes while keeping the cavitation-cleaning capacity of US.  
433 According to the study in section 3.1, a 6.5L bench-scale HSoFM reactor ([Figure S1 in  
434 supplementary information](#)) was designed, where a scalable hollow-fibre membrane module  
435 was placed in a stable cavitation region (~3.5 cm to the transducer tip). In this preliminary test  
436 of HSoFM reactor, the objective was to evaluate over time the oxidation of organic pollutants  
437 and the evolution of membrane fouling when US are applied. Therefore, the heterogeneous  
438 Fenton oxidation was conducted in the reactor in two stages (section 2.3.3): stage one under  
439 silent conditions (with US off) to preform fouling induced by zeolite catalysts; stage two with  
440 US on for treatment of membrane fouling.

441 The HSoFM reactor was filled with 4.8 g L<sup>-1</sup> zeolite catalysts. The oxidation of  
442 ibuprofen in water was started by continuous injection of H<sub>2</sub>O<sub>2</sub>. [Figure 6a](#) shows that the TOC  
443 of the solution in the reactor decreased from the beginning of oxidation and reached a plateau



444 from 180 min at stage one (US off). No significant decrease of TOC was found from 180 min  
445 to 300 min of oxidation time. These results indicate that the heterogeneous Fenton oxidation  
446 (US off) contributed little to oxidate organic pollutants until total mineralisation, which is in  
447 accordance with other studies [44,45]. **Since the FeZSM-5 catalysts are stable over several**  
448 **sequential uses in oxidation reactions [44], the plateau of TOC from 180 min oxidation is likely**  
449 **due to the formation of refractory oxidation intermediates.** With US on (Sono-Fenton oxidation,  
450 stage two), the TOC value of the solution in the reactor decreased again in 40 min with  
451 statistical significance ( $p < 0.05$ ), confirming that US integration enhanced the Fenton oxidation  
452 in the HSoFM reactor. **According to previous studies [8,27], the enhancement of mineralization**  
453 **degree by US (TOC decrease) is probably attributed to 1) additional powerful oxidants,  $\bullet\text{OH}$**   
454 **radicals, generated by water sonolysis (US on), 2) US improving  $\text{Fe}^{2+}$  regeneration and**  
455 **subsequent formation of radicals.**

456 For the fouling control aspect, since the HSoFM reactor is a constant permeate flux  
457 system, the development of fouling causes an increase in transmembrane pressure (TMP). A  
458 higher increase rate of TMP reflected a faster fouling rate. [Figure 6b](#) presents the evolution of  
459 TMP and the permeate flux from the beginning of oxidation treatment. At stage one (US off),  
460 the operating flux is constant at  $30 \text{ L h}^{-1} \text{ m}^{-2}$ . According to the value of critical flux for  
461 irreversible fouling in the HSoFM reactor ( $23 \text{ L h}^{-1} \text{ m}^{-2}$ ), the operating flux is above this  
462 threshold value [14]. The fouling induced by the catalysts is then expected to be irreversible,  
463 which means the foulants persisted on the membrane even if the TMP decreases [14]. From  
464 [Figure 6b](#), the TMP increased due to the fouling occurrence. During a 3-hour filtration (US  
465 off), the irreversible fouling was further developed with an average rate of  $8.7 \times 10^{-5} \text{ bar min}^{-1}$ .  
466 Thereafter, the experiment turned into stage two with US on. The TMP unexpectedly increased  
467 faster than for stage one with an average rate of  $2.3 \times 10^{-4} \text{ bar min}^{-1}$ . Rather than mitigating  
468 fouling, applying US likely led to a more rapid formation of fouling. To understand this  
469 phenomenon, membrane samples were taken from the module and SEM analysis were  
470 performed. From the SEM images in [Figure 6c](#), one can observe that many fine catalyst  
471 fragments were firmly adhesive to the membrane surface, blocking the membrane pores. The  
472 reduction of catalyst size under US was confirmed by particle-size distribution test ([Figure S5](#)  
473 [in supplementary information](#)). The size decreases from  $D_{90} = 13.5 \mu\text{m}$  for initial zeolite  
474 catalysts to  $D_{90} = 11.4 \mu\text{m}$  and  $6.51 \mu\text{m}$  for catalysts after 10 and 30-hour exposure to US  
475 respectively. Hence, it appears that the catalysts were eroded under US, the fine catalyst  
476 fragments leading to severe membrane fouling. Similar phenomena were reported in a study



477 using US control of fouling by sludge flocs. US radiation cracking the sludge flocs caused the  
478 deterioration of membrane fouling condition [16]. In their study, the performance of US for  
479 fouling control was improved by optimising the radiation length and by integrating a flush of  
480 the membrane in the process. Therefore, applying the pulse-mode US (reducing the US  
481 exposure time), and/or integrating backwashing could be some solutions to this issue. It's worth  
482 noting that the pulse-mode US also benefits membrane stability under US as discussed before.  
483 For these reasons it can be suggested that pulse-mode radiation be used in a US-assisted  
484 membrane and oxidation process and optimize the HSoFM reactor with a pulse-mode US in  
485 further study.

486

487

#### 488 **4. Conclusion**

489 This study discussed the practical aspects of the integration of ultrasound with  
490 membrane and advanced oxidation process for water treatment. A model system integrating  
491 ultrasound with heterogeneous Fenton membrane reactor was designed for pharmaceutical  
492 oxidation where a scalable hollow-fibre membrane module was applied. US was integrated in  
493 the system to potentially enhance heterogeneous Fenton oxidation and control fouling induced  
494 by zeolite catalysts.

495 A stable cavitation strategy was first investigated for the control of cavitation damage  
496 to membranes, a major concern for US-assisted membrane-based processes. The intensity of  
497 cavitation activity axial along ultrasound transducer was quantified by hydrophone  
498 measurements and spectrally analysed. The stable cavitation located at 3.5 cm to the transducer  
499 tips was identified, where cavitation activities were in a minority generated by harmonics and  
500 so less violent to membrane materials. After tests of membrane stability in both stable and  
501 violent cavitation regions confirmed that membranes placed in a stable cavitation region kept  
502 their mechanical and chemical properties and surface morphology. Exposing membranes to the  
503 violent cavitation region, albeit with a relatively low US power of 50W, led to severe physical  
504 damage of the membranes and even cracking.

505 Considering the sustainable aspect of the US-membrane system, the role of US on  
506 membrane ageing was then studied in a stable cavitation region. US radiation was found to  
507 accelerate membrane ageing in the oxidative Sono-Fenton medium, but likely in a controllable  
508 degree. On one hand, the membrane ageing involved the decrease in PVP additive content (5%

509 in the virgin membrane material) partly by dislodgment from the membrane structure, and  
510 partly by chain scission. However, this PVP degradation reached a stable state under the  
511 experimental period. On the other hand, absence of degradation of the backbone material (PSU)  
512 of membranes was observed.

513 A heterogeneous Sono-Fenton membrane (HSoFM) reactor was designed by placing a  
514 hollow-fibre membrane module in a stable cavitation region for bench-scale testing. The  
515 preliminary tests confirmed that US integration enhanced the heterogeneous Fenton oxidation  
516 of organic pollutants in the HSoFM reactor: TOC reduction by Fenton oxidation in the reactor  
517 reached a plateau, but, when US applied, TOC value decreased again. However, US  
518 unexpectedly eroded the catalysts and the fine catalyst fragments caused severe fouling. A  
519 pulsed mode US was proposed for further optimisation of HSoFM reactor and control of the  
520 membrane ageing under US.

521

## 522 **Acknowledgment**

523 This work was supported by ANR (French National Research Agency) through ANR project  
524 “SOFENcoMEM” (ANR 14 CE04 0006).

525

## 526 **Reference**

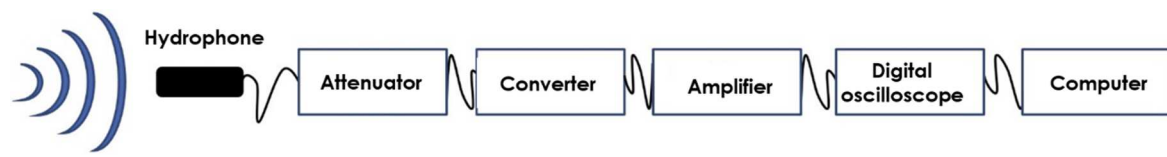
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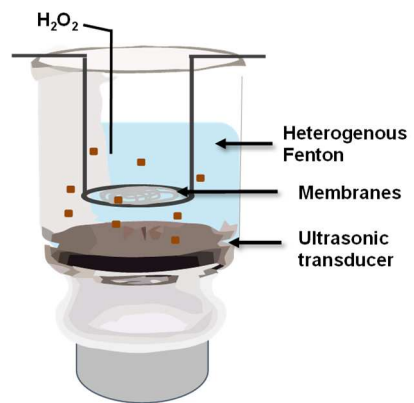


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671 Figure 1: Pressure measurement system

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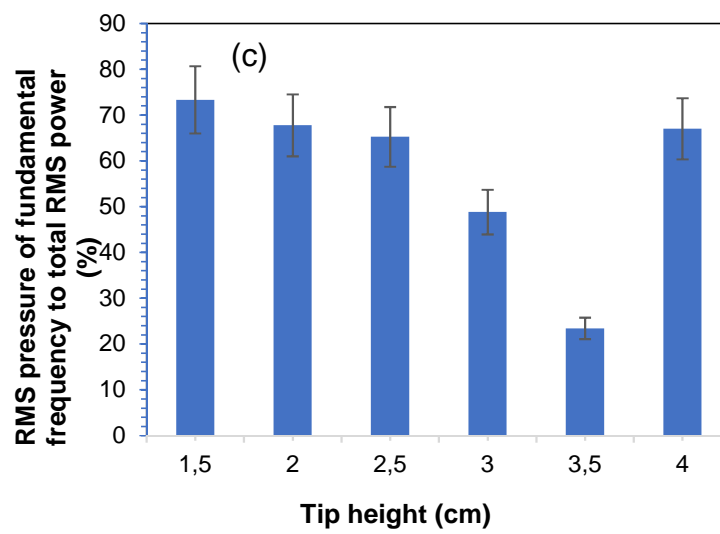
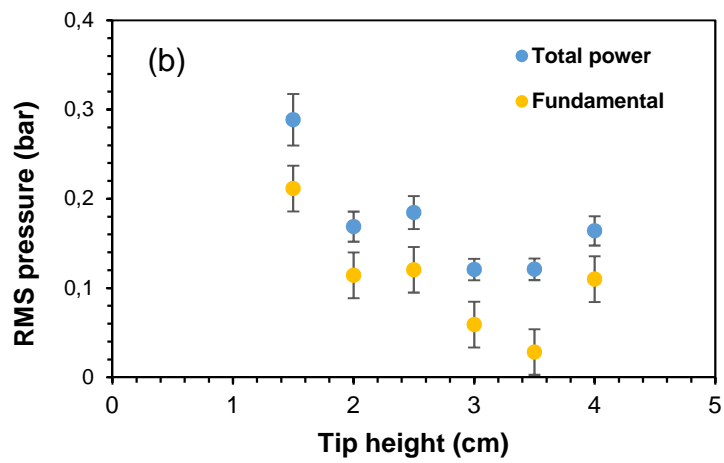
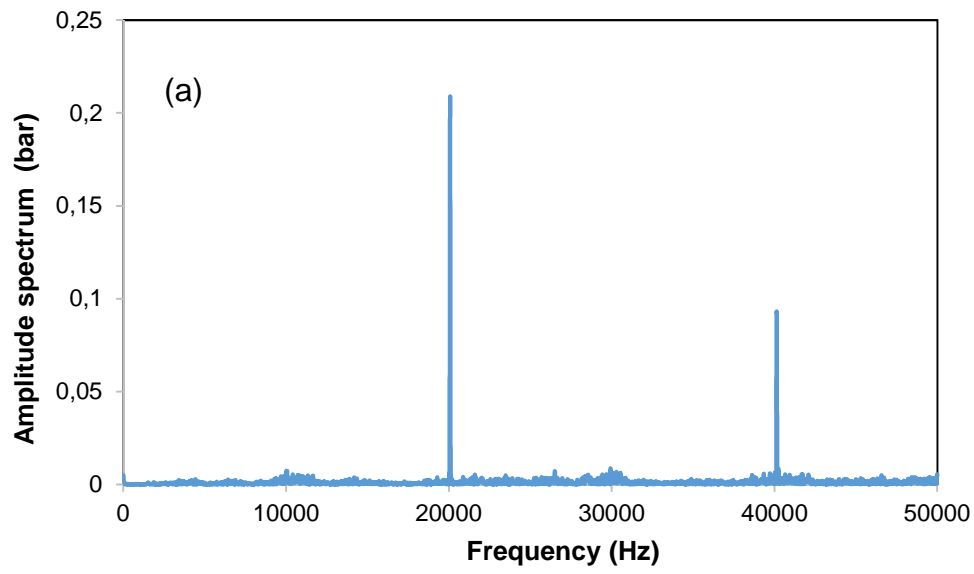
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675 Figure 2: Membrane stability test set-up

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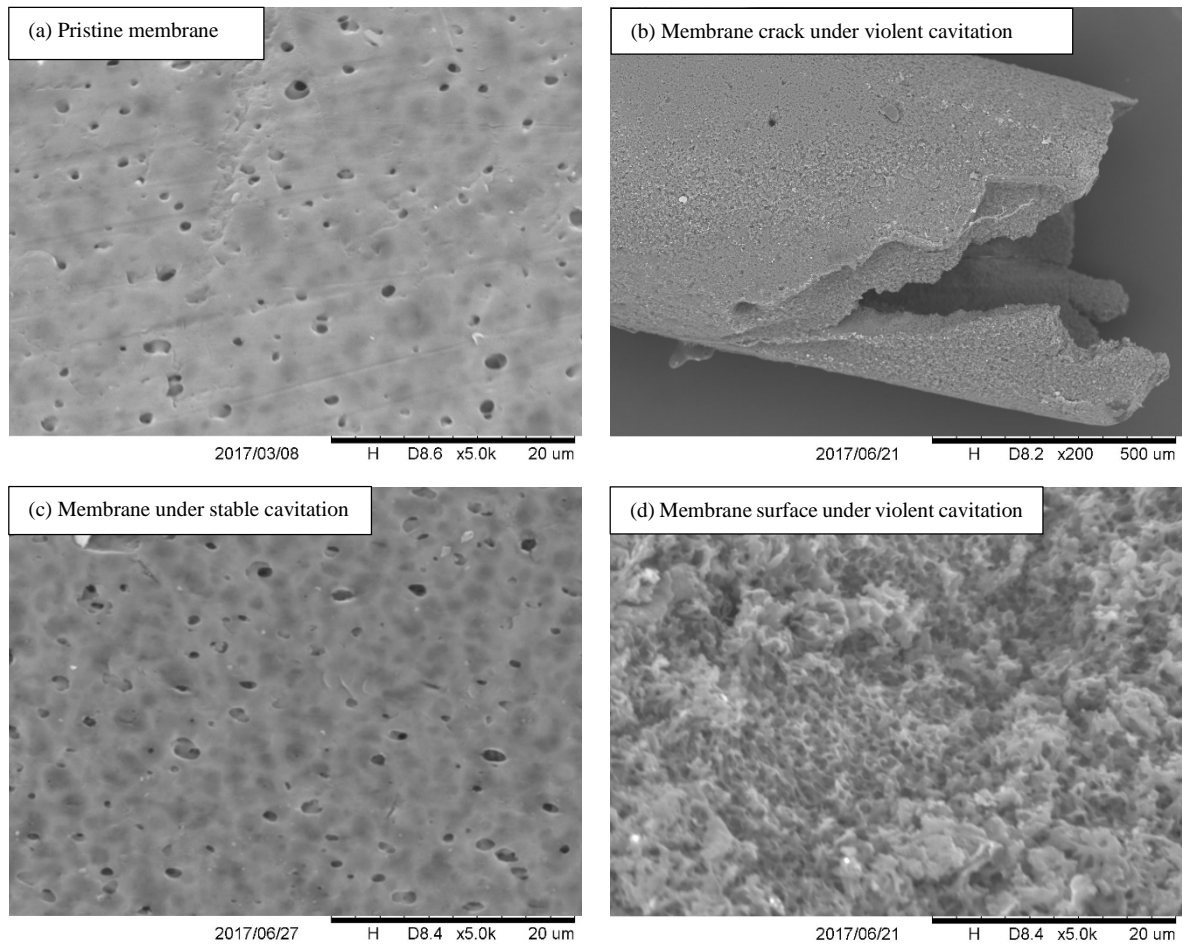




679            Figure 3: Analysis of cavitation intensity: (a) ultrasonic wave spectrum at 1.5 cm tip  
680 height (axial distance to the transducer tip); (b) RMS acoustic pressure of fundamental  
681 frequency (20 kHz) and total power as function of tip height; (c) the ratio of RMS acoustic  
682 pressure of fundamental frequency to total power.

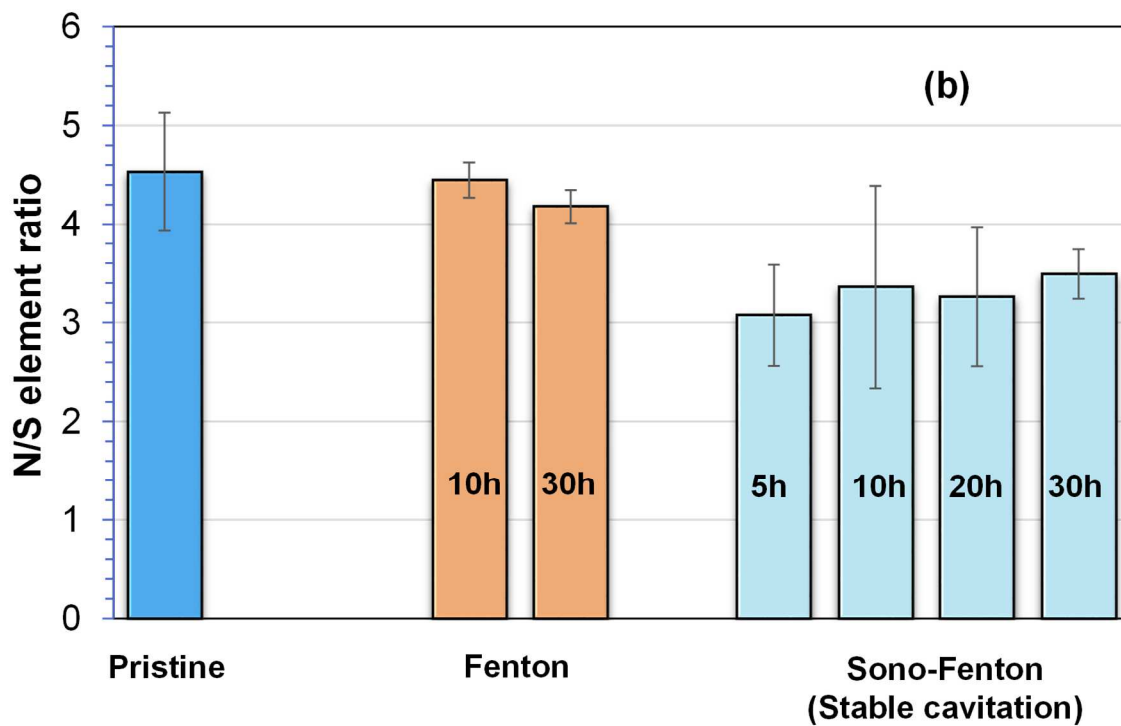
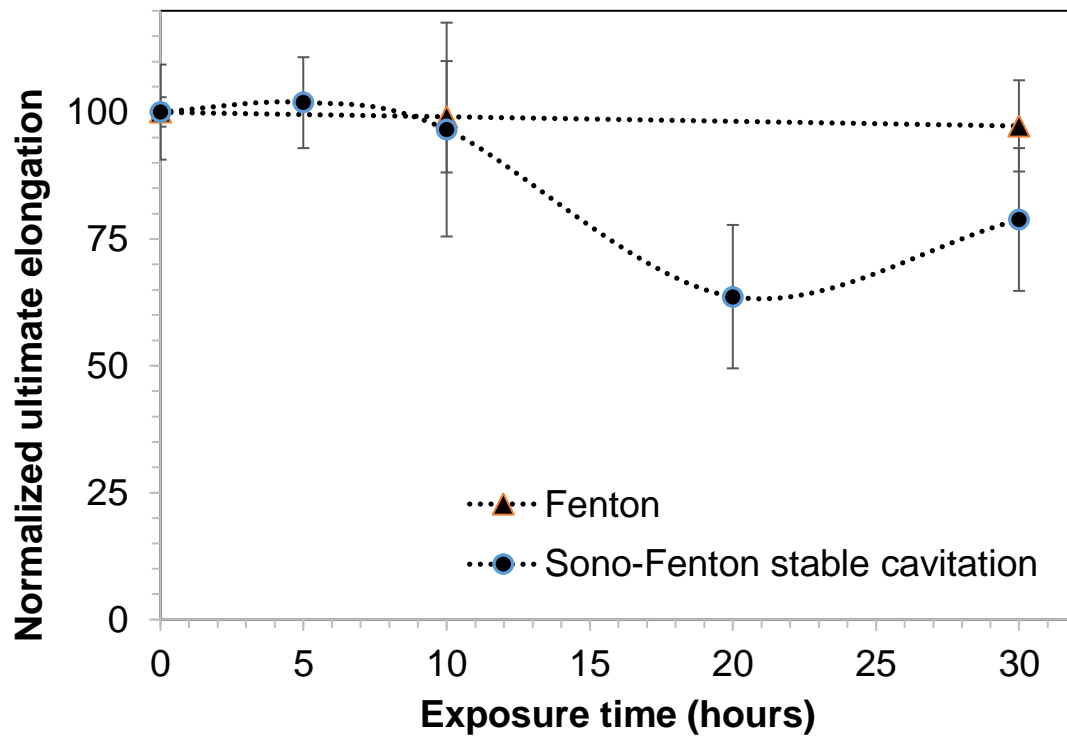
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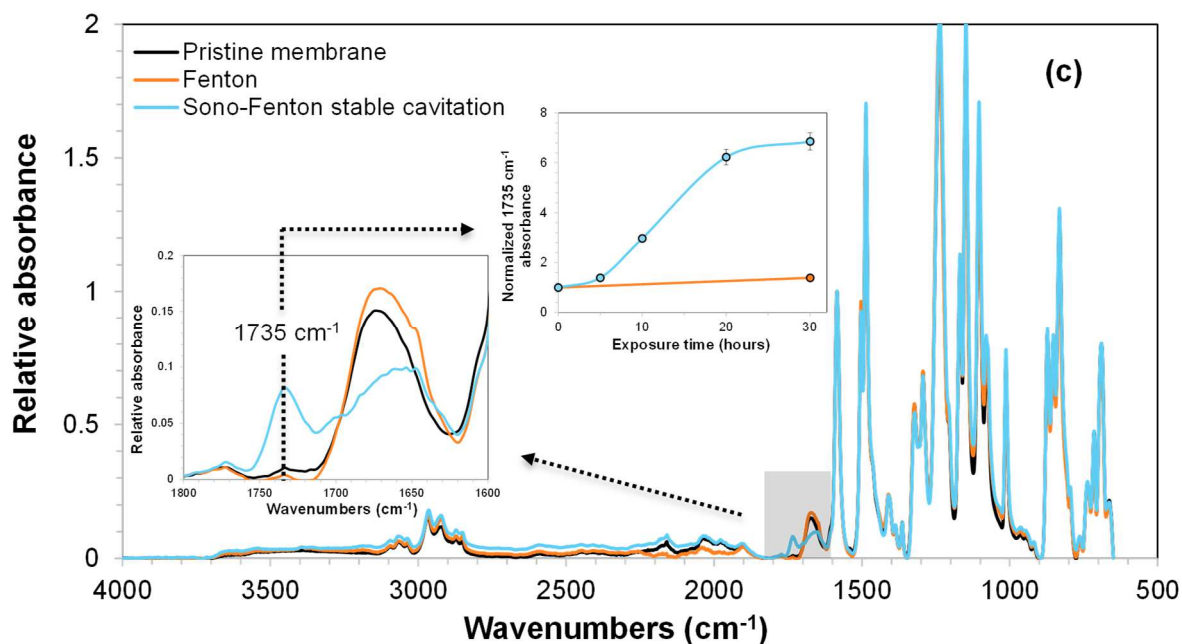
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685 **Figure 4:** SEM images of (a) pristine membrane surface ( $\times 5000$ ), and of membranes exposed  
 686 to 10-hour continuous 50 W ultrasonic irradiation: (b) membrane crack section under violent  
 687 cavitation ( $\times 200$ ), (d) membrane surface under violent cavitation ( $\times 5000$ ), (c) membrane  
 688 surface under stable cavitation ( $\times 5000$ ).

689





**Figure 5:** Characterization of pristine and aged membranes in Fenton and Sono-Fenton (stable cavitation region) conditions: (a) Ultimate elongation of membranes versus exposure time, (b) N/S elemental ratio, (c) FTIR spectrum and normalized relative FTIR absorbance at  $1735\text{ cm}^{-1}$  (characteristic of non-cyclic imide groups, PVP chain scission products) against exposure time.

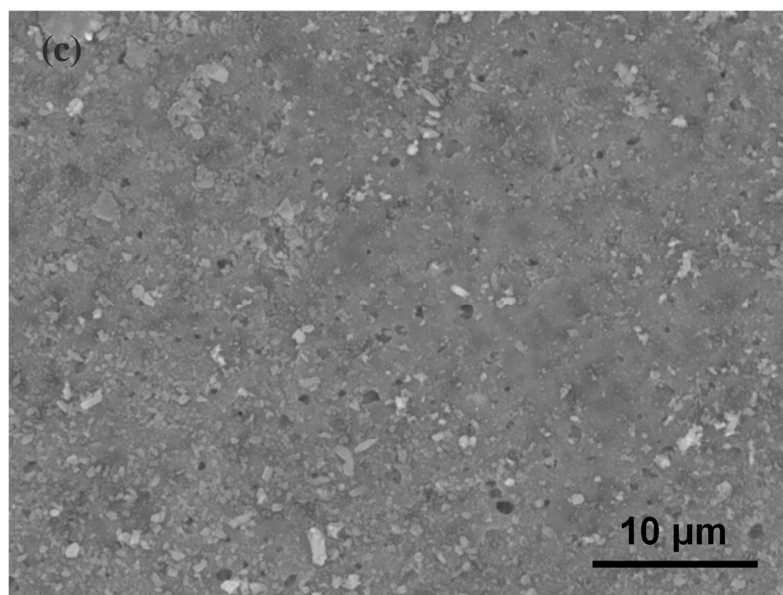
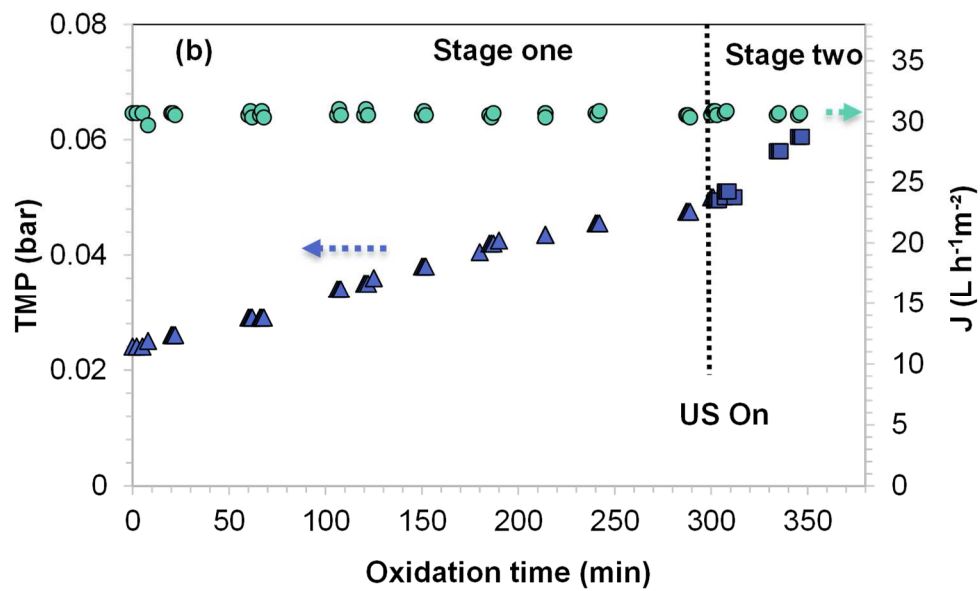
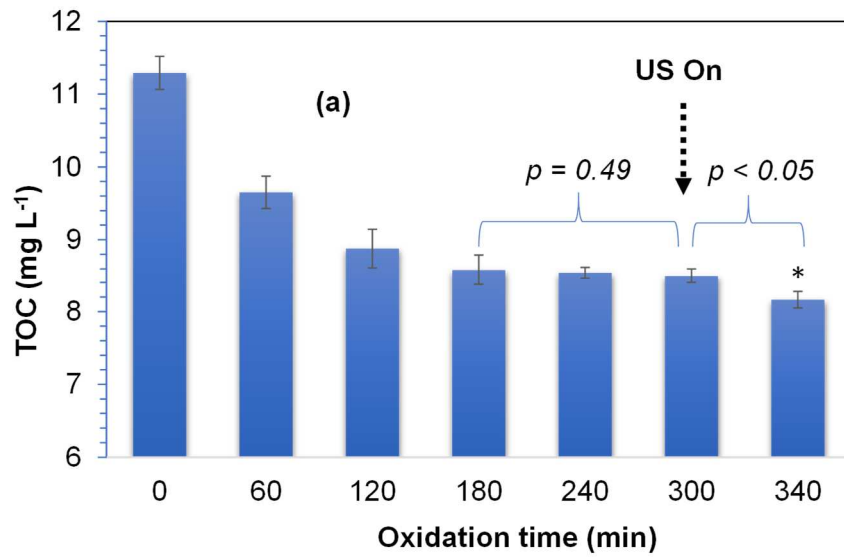


Figure 6: HSoFM reactor performances with US off and on: (a) TOC over the experimental time (b) evolution of the transmembrane pressure of filtration, (c) SEM image of membranes at the end of filtration and oxidation (300 mins US off and 50 mins US on), the HSoFM reactor containing  $4.8 \text{ g L}^{-1}$  zeolite catalysts and  $\text{H}_2\text{O}_2$  concentration maintained at  $6.4 \text{ mM}$ . (\*) means statistical significance in comparison with control ( $p < 0.05$ )