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Sleep deprivation detected by voice analysis

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1 **Title**

- 2 • **Title: Sleep deprivation detected by voice analysis**
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4

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28 **Abstract (248 words)**

29 Sleep deprivation has an ever-increasing impact on individuals and societies. Yet, to date, there
30 is no quick and objective test for sleep deprivation. Here, we used automated acoustic analyses
31 of the voice to detect sleep deprivation. Building on current machine-learning approaches, we
32 focused on interpretability by introducing two novel ideas: the use of a fully generic auditory
33 representation as input feature space, combined with an interpretation technique based on
34 reverse correlation. The auditory representation consisted of a spectro-temporal modulation
35 analysis derived from neurophysiology. The interpretation method aimed to reveal the regions
36 of the auditory representation that supported the classifiers' decisions. Results showed that
37 generic auditory features could be used to detect sleep deprivation successfully, with an
38 accuracy comparable to state-of-the-art speech features. Furthermore, the interpretation
39 revealed two distinct effects of sleep deprivation on the voice: a change in prosody and a change
40 in timbre. Importantly, the relative balance of the two effects varied widely across individuals,
41 even though the amount of sleep deprivation was controlled, thus confirming the need to
42 characterize sleep deprivation at the individual level. Moreover, while the prosody factor
43 correlated with subjective sleepiness reports, the timbre factor did not, consistent with the
44 presence of both explicit and implicit consequences of sleep deprivation. Overall, the findings
45 show that individual effects of sleep deprivation may be observed in vocal biomarkers. Future
46 investigations correlating such markers with objective physiological measures of sleep
47 deprivation could enable "sleep stethoscopes" for the cost-effective diagnosis of the individual
48 effects of sleep deprivation.

49

50 **Author summary (125 words)**

51 Sleep deprivation has an ever-increasing impact on individuals and societies, from accidents to
52 chronic conditions costing billions to health systems. Yet, to date, there is no quick and objective
53 test for sleep deprivation. We show that sleep deprivation can be detected at the individual level
54 with voice recordings. Importantly, we focused on interpretability, which allowed us to identify
55 two independent effects of sleep deprivation on the voice: a change in prosody and a change in
56 timbre. The results also revealed a striking variability in individual reactions to the same
57 deprivation, further confirming the need to consider the effects of sleep deprivation at the
58 individual level. Vocal markers could be correlated to specific underlying physiological factors in
59 future studies, outlining possible cost-effective and non-invasive “sleep stethoscopes”.

60

61 **Introduction**

62 In the last decade or so, insufficient sleep has become a prominent public health issue,
63 with one third of the adult population sleeping less than six hours per night (1–3). This chronic
64 sleep debt is associated with an increased risk of chronic disease, such as obesity, type 2
65 diabetes, cardiovascular diseases, inflammation, addictions, accidents and cancer (4–8). Sleep
66 debt also increase the risk of developing multiple comorbidities (9). Moreover, more than one
67 worker out of five operates at night and suffers from a high level of sleep deprivation (10), which
68 causes accidents in the workplace or when driving (11). In the present study, we use automated
69 acoustic analyses of the voice to detect sleep deprivation. The aim is not to improve the accuracy
70 of current machine-learning approaches (12–14), but, rather, to build on them to introduce a new
71 focus on interpretability. Ideally, our method should not only detect whether an individual is sleep
72 deprived or not, but also help to formulate specific hypotheses as to the physiological
73 consequences of sleep deprivation for a given individual at a given moment in time.

74 Currently, there are several techniques aiming to measure sleep deprivation and its
75 associated physiological consequences. First, sleep deprivation may be simply assessed in
76 terms of the loss of sleep time, as measured in hours. Remarkably, however, the impact of a
77 given amount of sleep deprivation varies massively across individuals. In laboratory settings
78 where the amount of deprivation could be precisely controlled, up to 90% of the variance in
79 cognitive performance was related to individual traits and not to the actual time spent asleep
80 (15, 16). Second, sleep deprivation may also be measured through subjective sleepiness, which
81 participants can explicitly report using rating scales (17–19). However, subjective sleepiness
82 could be influenced by other factors than sleep deprivation, such as the time of the day,
83 motivation, or stress. Besides, it is not clear whether reported subjective sleepiness captures
84 the full physiological impact of sleep deprivation, given the variety of the potentially implicit
85 processes involved (20). Third, objective methods have been developed to measure tangible

86 consequences of sleep deprivation. The multiple sleep latency test (21), the gold standard in
87 clinical settings, uses electro-encephalography (EEG) to estimate sleep latency (e.g. the amount
88 of time to go from wake to sleep) along five successive naps sampled every two hours during
89 daytime. The psychomotor vigilance test (22), often used in research settings, tests for the ability
90 to respond quickly to infrequent stimuli, with slower reaction times assumed to be markers of
91 attentional lapses. More recently, new approaches have attempted to measure the concentration
92 of key molecules in the urine, saliva or breath (23). Although these objective methods are
93 complementary to subjective reports, they are often costly, time consuming, or difficult to deploy
94 outside of laboratories. So, whereas there are cheap and fast objective diagnosis tools for other
95 causes of temporary cognitive impairment, such as alcohol or drug abuse, there is currently no
96 established means to estimate sleep deprivation effects, at the individual level, in real-life
97 settings.

98 If sleep deprivation could be detected through voice recordings, this would fill this gap by
99 providing a quick, non-invasive, and cost-effective objective measure of sleep deprivation.
100 Indeed, because the voice is easy to record with off-the-shelf equipment, there is a growing
101 interest in finding vocal biomarkers to diagnose a variety of medical conditions (24, 25). For
102 sleep, the idea was first explored by Morris et al. (26). Free speech was produced by sleep
103 deprived participants and rated by the authors. A slowing down of speech and a “flatness of the
104 voice” were noted after deprivation. These observations were extended by Harrison and Horne
105 (27), who found that raters blind to the amount of deprivation of the speakers could detect effects
106 on the intonation of speech after deprivation. More recently, an experiment using a larger
107 database found that, indeed, raters could detect sleepy versus non sleepy voices with an
108 accuracy above 90% (28). So, it does seem that there are acoustic cues in the voice that reflect
109 sleep deprivation and/or sleepiness.

110 Machine learning has been applied to automate the detection of sleep deprivation and/or
111 sleepiness from the voice. In an early study (29), sleep deprivation was inferred with high
112 accuracy from vocal recordings (86%) but it should be noted that the deprivation was extreme,
113 consisting of 60 hours without sleep, with unknown applicability to the much more common
114 situation of mild sleep deprivation. Two “computational paralinguistic challenges” have since
115 been launched, with sub-challenges aimed at assessing sleepiness from vocal recordings (30,
116 31). We will not review all of the entries to these challenges here, as they are quite technical in
117 nature. To summarize, all of them used a similar framework: i) selection of a set of acoustic
118 features, such as pitch, spectral and cepstral coefficients, duration estimates, and functionals of
119 those features; ii) dimensionality reduction of the feature set; iii) supervised learning of target
120 classification using various machine learning techniques, such as support vector machines or
121 neural networks. The best results varied depending on the challenge. Subjective sleepiness
122 proved difficult to predict (28), but the binary categorization of sleepy versus non-sleepy voices
123 could be achieved with high accuracy (over 80%) in the best performing classifiers (32).

124 The framework described above will be familiar -and effective- for many machine learning
125 problems, but it has two major limitations from a neuroscientific perspective. First, the initial
126 selection of features is based on a somewhat arbitrary choice. Often, the choice of features was
127 guided by the “voice flatness” hypothesis (26, 27). However, other, perhaps more subtle acoustic
128 markers of sleep deprivation or sleepiness may have been overlooked by human raters. Second,
129 the acoustic features discovered by the classifiers are not necessarily interpretable and can be
130 difficult to relate to plausible mediating mechanisms (14). Interestingly, the best-performing
131 system so far used a carefully hand-crafted small feature set inspired from auditory processing
132 models, suggesting that “perceptual” features may be a promising route for sleepiness detection
133 in the voice (32). A more recent study has again attempted to focus on “simple” acoustic
134 descriptors for one of the databases of the paralinguistic challenge, with the explicit aim to

135 facilitate interpretation (33). Accurate classification was possible with the simpler feature set of
136 about 20 features, with a resulting accuracy of 76%.

137 Here, we aim to extend these findings in several ways. First, we use our own vocal
138 database, which has been collected in a controlled laboratory setting where the amount of sleep
139 deprivation could be precisely controlled. Vocal recordings were obtained from reading out loud
140 the same texts for all participants, in random order across participants. This is important to avoid
141 biases confounding sleep deprivation with e.g. participant identity, which is easily picked up by
142 classifiers (28, 34). Second, we use a fully generic acoustic feature set, derived from an
143 established model of auditory processing (35). Our audio input representation is based on so-
144 called *spectro-temporal modulations* (STMs). Sounds are first split into separate frequency
145 bands, to simulating peripheral auditory filtering, and joint modulations over time and frequency
146 are then estimated, to simulate cortical neural receptive fields (see Methods for further details).
147 While the STM representation was initially motivated by neurophysiological results, it has been
148 successfully applied to various machine-learning problems such as musical instruments
149 classification (36), timbre perception (36, 37), or speech detection and enhancement (38). Third,
150 we apply our own technique to interpret the cues discovered by the classifier (39). This
151 technique, similar in spirit to the reverse correlation method used in neuroscience and
152 psychophysics, identifies the parts of the input representation that have the most weight in the
153 classifiers' decisions. The main outcome of the analysis is thus the parts of auditory feature
154 space impacted by sleep deprivation. Fourth, by fitting classifiers to individual participants, we
155 aim to uncover the physiological factors underlying the large and as of yet unexplained variability
156 observed in the responses to mild sleep deprivation in normal healthy adults.

157

158

159

160 **Results**

161 Twenty-two healthy women between 30-50 years of age (42.7 ± 6.8) were sleep deprived
162 during a controlled laboratory protocol. An all-female experimental group was chosen because
163 the current experiment took place in parallel with a dermatology study (40), but also because
164 such a choice was expected to homogenize the vocal pitch range across participants. After a
165 first “Control night” spent in the laboratory, participants were restricted to no more than 3 hours
166 of sleep per night during two subsequent “Restriction nights”, also monitored in the laboratory.
167 Such a sleep restriction is both more ecological than total sleep deprivation and better controlled
168 than observational paradigms. Vocal recordings were obtained throughout the protocol, during
169 reading sessions sampled at different times of the day. These reading sessions occurred either:
170 i) right after the control night (no sleep deprivation); or ii) right after the second restriction night
171 (see Methods for details). All participants read 10 minutes of different chapters of the same
172 French classic book: “Le Comte de Monte Christo” (Alexandre Dumas, 1844).. The order of the
173 excerpts was randomized across sessions for each participant to avoid a confound with
174 deprivation. In total, our database consists of 22 healthy participants producing about half an
175 hour of vocal recordings (M=31min, SD=5min) evenly split between before and after two nights
176 of mild sleep deprivation.

177

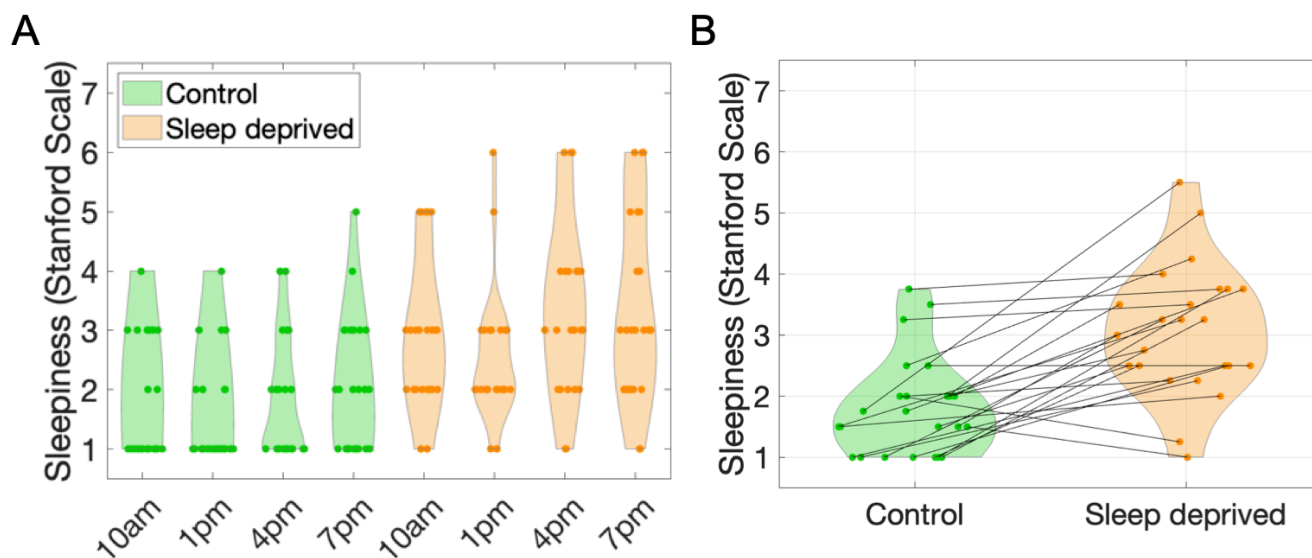
178 ***Subjective sleepiness reports are variable***

179 Sleepiness was self-reported by participants at different times during the day (see
180 Methods) using the Stanford Sleepiness Scale (SSS) questionnaire (19). Figure 1A shows the
181 distributions of SSS ratings. On average, sleep deprivation had an effect on self-reported
182 sleepiness: sleepiness was low right after the control night, but increased after the deprivation
183 nights. This was confirmed by an ANOVA on the SSS, with factors Day (2 levels, before and
184 after deprivation) and Time of Report (4 levels). Both factors had a significant effect, but with a

185 much larger effect size for Day ($F(1,46) = 52.14, p < 0.001, \eta_p^2 = 0.221$) compared to Time of
186 report ($F(3,92) = 3.07, p = 0.029, \eta_p^2 = 0.048$). Moreover, there was no interaction between Day
187 and Time of report ($F(3,92) = 0.59, p = 0.621$). Because of this lack of interaction, we now
188 consider average SSS values for all Times of Reports in a Day, to focus on the effect of sleep
189 deprivation.

190 Figure 1B illustrates the data aggregated in that way, with individual changes in
191 sleepiness now identified across the control and sleep deprived day. A remarkable individual
192 variability was obvious in reported sleepiness. Note that this was in spite of our precise control
193 of the amount of sleep deprivation, which was equated across all participants. Even so, some
194 participants showed little effect of sleep deprivation, with even cases of *decreases* in subjective
195 sleepiness *after* deprivation. Such unexpected effects were observed for all baseline sleepiness,
196 low or high, as measured before deprivation. This striking variability is in fact consistent with
197 previous observations involving objective measures of sleep deprivation (16). It also further
198 justifies that vocal biomarkers of sleep deprivation should be investigated at the individual level.

199



200

201 **Fig. 1. A. Subjective sleepiness.** Sleepiness was evaluated by self-reports on the Stanford
202 Scale before sleep deprivation (Control) and after two nights of mild sleep deprivation (Sleep

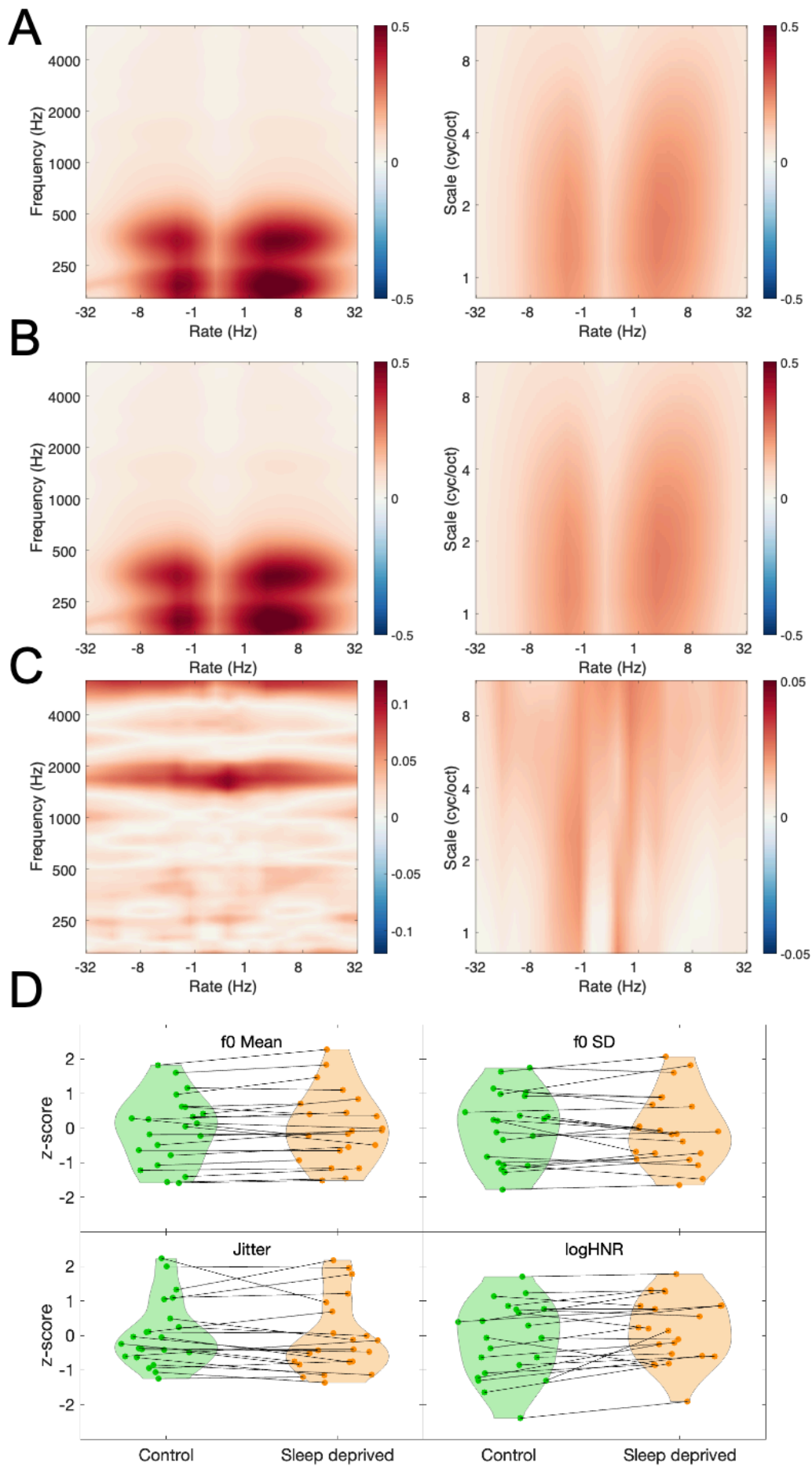
203 deprived). The abscissa indicates the time of day when sleepiness reports were collected. **B.**
204 **Average reported sleepiness before and after sleep restriction.** Lines connect data points
205 for each participant.

206

207 ***Acoustic features of speech before and after sleep deprivation are broadly similar***

208 To get a first qualitative overview of the effects of sleep deprivation on the acoustic
209 features of speech, and in particular to test whether deprivation and any obvious average effect
210 on the voice, we first computed STM representations before and after deprivation.

211 Let us briefly describe the STM representation. At each moment in time, STMs contain
212 the dimensions of *frequency*, *rate*, and *scale*. The *frequency* dimension, in Hz, reflects the
213 spectral content of the sound, similar to a wavelet transform of the temporal waveform. The *rate*
214 dimension, in Hz, reflects the modulations in sound amplitude in the time domain. Slow
215 modulations have low rates, whereas fast modulations have high rates. Positive rates indicate
216 temporal modulations coupled with downward changes in frequency, whereas negative rates
217 indicate temporal modulations coupled with upward changes in frequency. The *scale* dimension,
218 in cycle per octave, reflects modulations in the spectral domain. Sounds with fine spectral
219 envelopes have high scale values, while sounds with relatively flat spectral shapes have low
220 scale values. For speech, the dominant rates are between 2 Hz and 8 Hz (41), while dominant
221 scales, related to the harmonic structure of vowels, are around 2 cyc/oct (42).



223

224 **Fig. 2. Acoustic analyses. A.** Spectro-Temporal Modulations before sleep deprivation.
225 Projections on the rate-scale and rate-frequency plane are shown. Arbitrary model units. **B.** As
226 in A., but after sleep deprivation. **C.** Acoustic difference before and after sleep deprivation,
227 shown as $2 * \text{abs}(B-A) / (A+B)$. Units of percent. **D.** Speech features before (green) and after
228 (orange) sleep deprivation. Displayed are four openSMILE features related to average pitch
229 (mean of the fundamental frequency f_0), pitch variation (standard deviation of f_0), voice
230 creakiness (Jitter) and voice breathiness (logarithm of the Harmonic to Noise Ratio). Lines
231 connect data points for each participant.

232

233 The full STMs thus have four dimensions of time, frequency, rate, and scale. To have a
234 look at the overall effect of sleep deprivation on acoustic features, we averaged the STMs along
235 the time dimension, separately before and after deprivation. Average STMs before (Fig. 2A) and
236 after (Fig. 2B) deprivation were qualitatively similar. The rate-scale projections showed that,
237 unsurprisingly, high energy in the STMs was focused in regions associated to speech (38). The
238 frequency-rate projection simply showed the average spectrum of our vocal recordings.

239 To further investigate the candidate acoustic differences caused by deprivation, we
240 subtracted STMs before and after deprivation (Fig. 2C for the population-level results, Fig. S1
241 for individual-level results). At the population level, maximal differences in the rate-scale
242 projection were less than 3%, while differences up to 11% were observed in the frequency-rate
243 projection. At the subject level, differences in the rate-scale projection were around 24.68% on
244 average (SD=6), while differences up to 40.83% on average (SD=12) were observed in the
245 frequency-rate projection. Larger differences seem therefore observable at individual level but
246 there is no obvious structure to the differences: they appear noisy and do not necessarily match
247 the STM regions of high energy in speech (see Figure S1).

248

249 For comparison with the state-of-the art of sleepiness detection from the voice (33), we
250 also computed speech features using the openSMILE library (43). The full 4368 speech features
251 suggested in (33) were extracted (see Methods). Four of them are illustrated in Fig. 2D,
252 averaged before and after deprivation. These features were selected according to the “voice
253 flatness” hypothesis. According to this hypothesis, it could be that sleep deprivation lowered the
254 average pitch of the voice and reduced with its variation. It could also be that the quality of the
255 voice, described with such adjectives as “creakiness” or “breathiness”, could systematically
256 change after deprivation. The closest openSMILE correlates of such perceptual descriptors are
257 shown in Figure 2D. Visually, no obvious change was induced by sleep deprivation, with
258 increases or decreases for all four features.

259 At this point, it is unclear whether the raw acoustic differences illustrated in Figure 2 are
260 meaningful compared to the within- and across-participant variability. Also, the choice to
261 illustrate 4 features out of 4368 is somewhat arbitrary. So, it remains to be tested whether the
262 STM or openSMILE features have any predictive power to detect sleep deprivation. To address
263 this point in a principled manner, we now turn to machine-learning, for the new STM
264 representation and also for the openSMILE feature set.

265

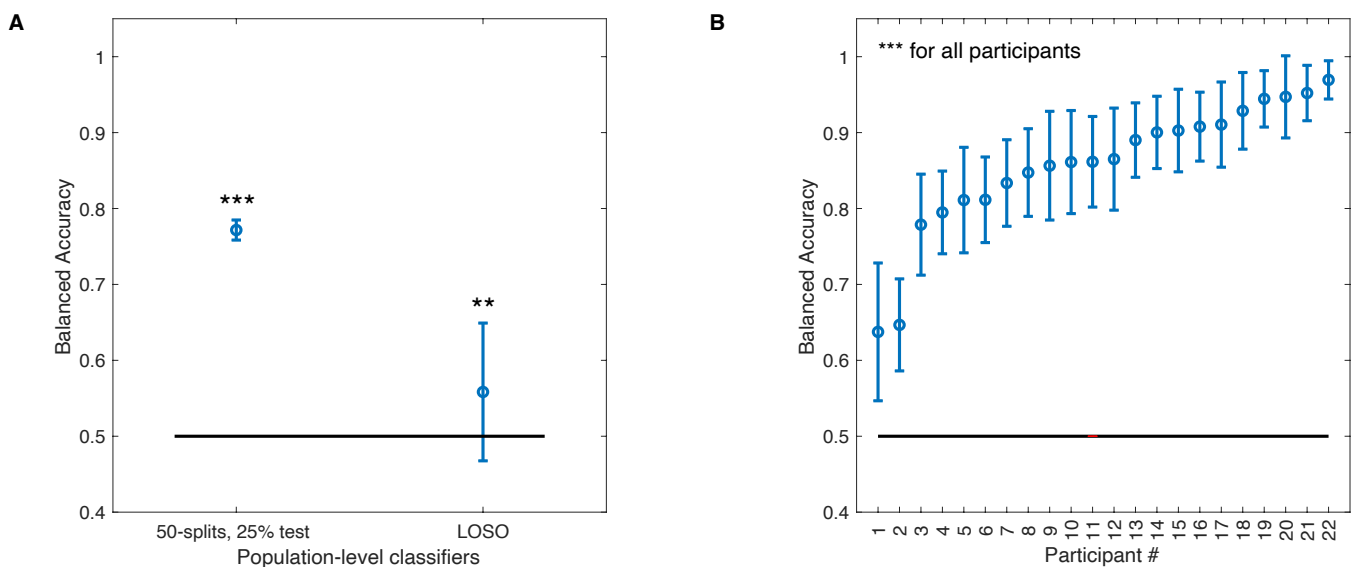
266 ***Detection of sleep deprivation from the voice is possible based on generic auditory*** 267 ***features***

268 A first question raised by the present study is whether fully generic auditory features can
269 be used to detect sleep deprivation from the voice. To address this question, the STM
270 representation was used as the input feature space for a standard machine-learning pipeline
271 (13, 14, 36). The dataset was first transformed into train and test splits. We then reduced the
272 high dimensionality of the feature space by means of a principal component analysis (PCA) on
273 the training set (see Methods). The PCA spaces were then fed to a support vector machine

274 classifier (SVM) with a Gaussian kernel (radial basis function). We opted for an SVM and not a
275 deep-learning architecture mainly because of the relatively modest size of our dataset, but also
276 because SVMs have been shown to outperform more complex classifiers in similar tasks (14).
277 The performance of the SVM was evaluated with Balanced Accuracy (BAcc, see Methods).

278 At the population level, two cross-validation strategies were used. First, a Leave-One-
279 Subject-Out (LOSO) strategy, in which one subject was left out of the training set and constituted
280 the test set. The procedure was repeated for each participant. This procedure is the most
281 stringent test of generalization of prediction for unknown participants. However, in small datasets
282 with a large amount of individual variability, it has been argued that LOSO may be inappropriate
283 (44). This is likely the case for our dataset, with 22 participants and a large expected variability
284 for the effects of sleep deprivation. Thus, we also report cross-validation using a 50-times
285 repeated splitting of 25% of the data (50-splits, 25% test) randomly selected among the whole
286 pool of participants, as suggested in (44). At the participant level, the LOSO strategy does not
287 make sense, so only the 50-splits, 25% test validation was applied.

288



289

290 **Fig. 3. Machine learning classification results with STM input features. A.** Balanced
291 Accuracies for the population-level classifier using the generic STM representation as input

292 feature space. Two cross-validation procedures are reported (see text). Error bars show
293 standard deviations. Stars indicate the significance level of *t*-tests against chance level (** < .01;
294 *** < .001). **B.** Balanced Accuracies for the classifiers tuned to individual participants, obtained
295 with the 50-splits, 25% test cross-validation procedure. Participants are ranked according to
296 classification accuracy.

297

298 Classification performance is shown in Figure 3. At the population level, the classifier was
299 able to detect sleep deprivation significantly above chance (50-splits, 20% test: BAcc, M=.77,
300 SD=.01, *t*-test against .5: $t(49) = 145.27$ $p < 0.001$; LOSO: BAcc, M=.56, SD=.09, *t*-test against
301 .5: $t(21) = 3.01$, $p = .006$). This seems on par with the state of the art obtained with different
302 speech databases (32, 33). Interestingly, and as expected from the sizeable individual variability
303 observed in the SSS reports, the same machine-learning pipeline was more accurate when
304 applied at the individual level (BAcc, M=.86, SD=.09). Noticeably, for half of the participants, the
305 classifiers' accuracies displayed BAccs above .9, outperforming the state of the art and matching
306 human performance on a similar task (28). For two participants, the classifiers' accuracies were
307 relatively poor. Participant #1 displayed a decrease in sleepiness after deprivation (-0.75 for the
308 sleepiness ratings averaged after and before deprivation), and was the only participant to exhibit
309 such a trend in the group for which vocal recordings were available (another participant exhibited
310 such a decrease in Figure 1B, but was could not be included in the vocal analysis). This may
311 have contributed to the poor accuracy of the classifier. Participant #2 did exhibit an increase in
312 sleepiness after deprivation (+0.75), so there are no obvious reasons for the classifier's poor
313 performance in this case.

314 Overall, this shows that there is enough information in the fully generic STM
315 representation of vocal recordings to detect mild sleep deprivation in otherwise normal and
316 healthy participants. The classification performance at the population level is poor using a LOSO

317 cross-validation procedure, so the generalizability of our approach across speakers is not
318 warranted. However, performance is generally excellent at the individual level, strengthening the
319 idea that individual variability is key when considering vocal correlates of sleep deprivation.

320

321 ***Using standard speech features does not improve sleep deprivation detection accuracy***

322 Even if the STM representation successfully supported sleep detection at the individual
323 level, it could be that it missed important speech features such as “pitch” or “pitch variation”,
324 which are at the core of the “voice flatness” hypothesis and are part of most automatic sleepiness
325 detection pipelines.

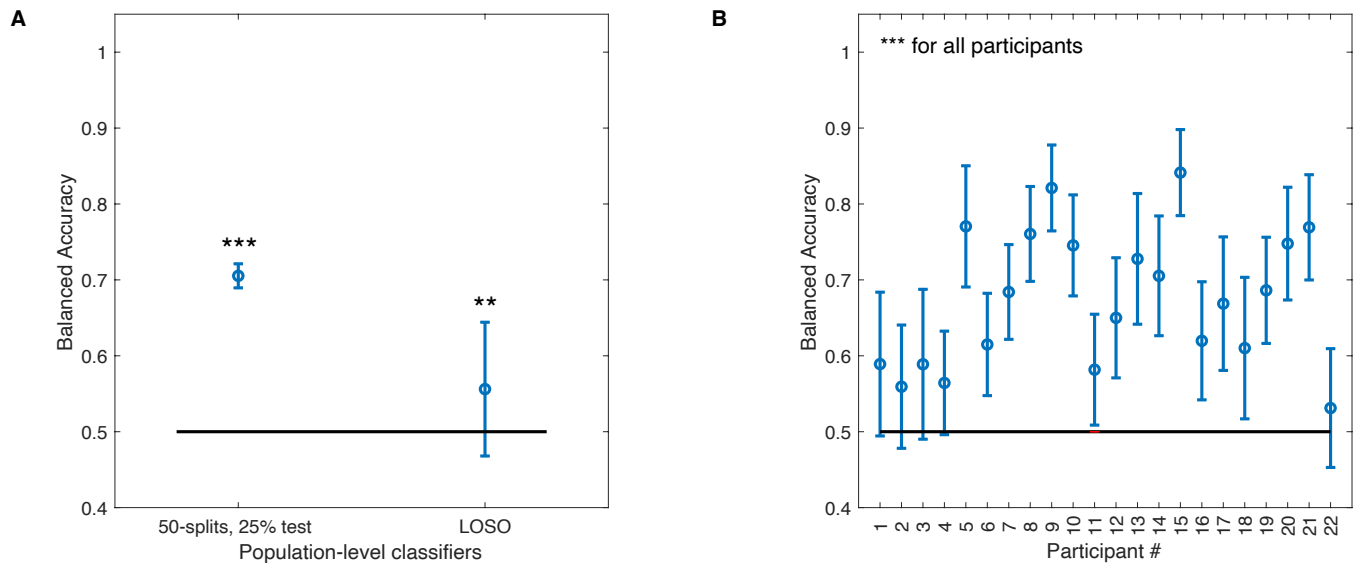
326 To investigate this possibility, we used the full openSMILE feature set (4368 features) as
327 input feature space. We then applied the same classification pipeline as for the STM
328 representation, consisting of dimensionality reduction followed by a Gaussian kernel SVM. This
329 resulted in a pipeline matching the state-of-the art for sleepiness (33) while allowing comparison
330 between the two input spaces.

331 Results are displayed in Figure 4. At the population level, the openSMILE classifier
332 accuracy was similar to the STM classifier (50-splits, 20% test: BAcc, M=.70 SD=.01, *t*-test
333 against .5: $t(49)=91.6$, $p < 0.01$; LOSO: BAcc, M=.56, SD=.09, *t*-test against .5: $t(21)=2.98$, $p =$
334 .007). At the individual level, the accuracies of the openSMILE classifiers were on average
335 poorer than those observed with the STM classifiers (BAcc, M=0.67, SD=0.9). The correlation
336 between classification performance using STM or openSMILE feature was low ($r(20) = .34$,
337 $p=.11$). Interestingly, however, participants #1 and #2 for whom poor classification performance
338 was observed using the STM input feature space also displayed poor classification using the
339 openSMILE input feature space.

340 These results show that, for our voice database at least, using standard speech features
341 decreased the accuracy of sleep deprivation detection. The relevant information to detect sleep

342 deprivation from the voice was thus better expressed in the STM representation, with the added
343 benefit, from our perspective, that generic auditory features should be easier to interpret. We
344 thus now focus on the STM representation to interpret the features used for classification.

345



346

347 **Fig. 4. Machine learning classification results with openSMILE input features.** Format as
348 in Fig. 3. In particular, for B., participants' labels (#) are identical to Fig. 3.

349

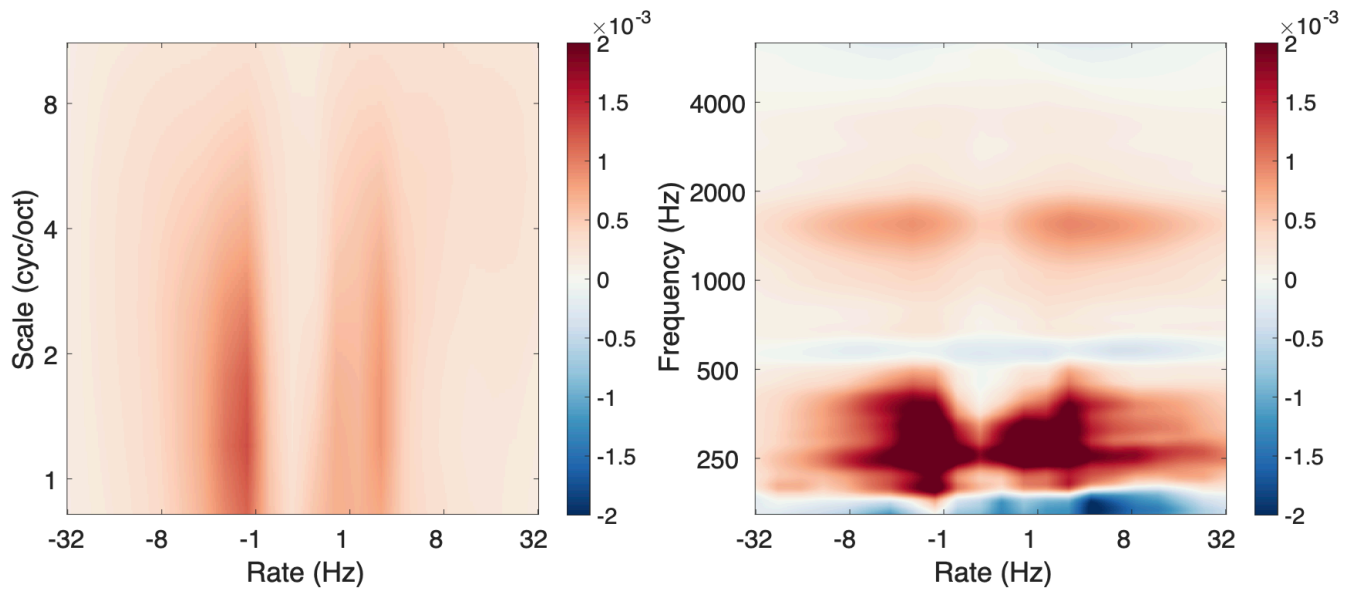
350 ***Interpreting classifiers to identify vocal biomarkers of sleep deprivation***

351 To gain insight about the nature of the acoustic features distinguishing speech before and
352 after sleep deprivation, we probed the trained classifiers with STM using a recent interpretation
353 technique based on reverse correlation (39). Briefly, the technique consists in randomly
354 perturbing the input to the trained classifier, over thousands of trials, and then averaging all of
355 the noisy representations leading to correct classification. This aims to identify the portion of the
356 input that participates the most to the classifier's performance. The input representation was
357 perturbed using additive noise in the PCA-reduced feature space (45). Averaging all masks
358 leading to a correct classification decision revealed, in our case, the discriminative features of a
359 voice after deprivation compared to before deprivation (for details, see Methods and 39).

360 As a preliminary step, we evaluated the consistency of the interpretation masks. Because
361 of our cross-validation technique, 50 classifiers were fitted either for the whole dataset for the
362 population-level classifier or for each participant's classifier. To check internal consistency, we
363 computed the pairwise Pearson's correlation coefficients between all 50 interpretation maps. At
364 the population-level, this "consistency correlation" was low albeit significantly above chance
365 ($r(22527)$: $M = .20$, $SD=.34$; all but 28 over 1225 pairwise correlations were significant, $p<.05$)
366 which is consistent with the large variability suspected across listeners. At the participant-level,
367 however, consistency correlations were very high ($r(22527)$: $M=.91$, $SD=.06$, $min=.73$; all but 3
368 over 26950 pairwise correlations were significant, $p<.05$). Furthermore, because individual
369 classifiers varied in accuracy, we could check whether the consistency of the interpretation
370 improved with accuracy. As expected, the correlation between BAaccs and consistency
371 correlation was strong ($r(20)=.71$, $p=.0003$). These consistency results confirm that caution
372 should be applied when considering population-level interpretations, but that individual results
373 are robust and can be interpreted.

374 Figure 5 shows the interpretation maps for the population-level classifier. Maps should
375 be read as follows: *red* areas correspond to STM features where the *presence* of energy is
376 associated with sleep deprivation for the classifier, whereas *blue* areas represent STM features
377 where the *absence* of energy is associated to sleep deprivation for the classifier. For the
378 population-level map, the rate-scale projection resembles the raw difference before and after
379 deprivation, although less noisy, whereas the frequency-rate projection does not match such
380 raw acoustic differences (compare with Fig. 2C). As these population-level interpretations are
381 not robust, we simply show them for illustrative purposes and refrain from further description of
382 their features.

383

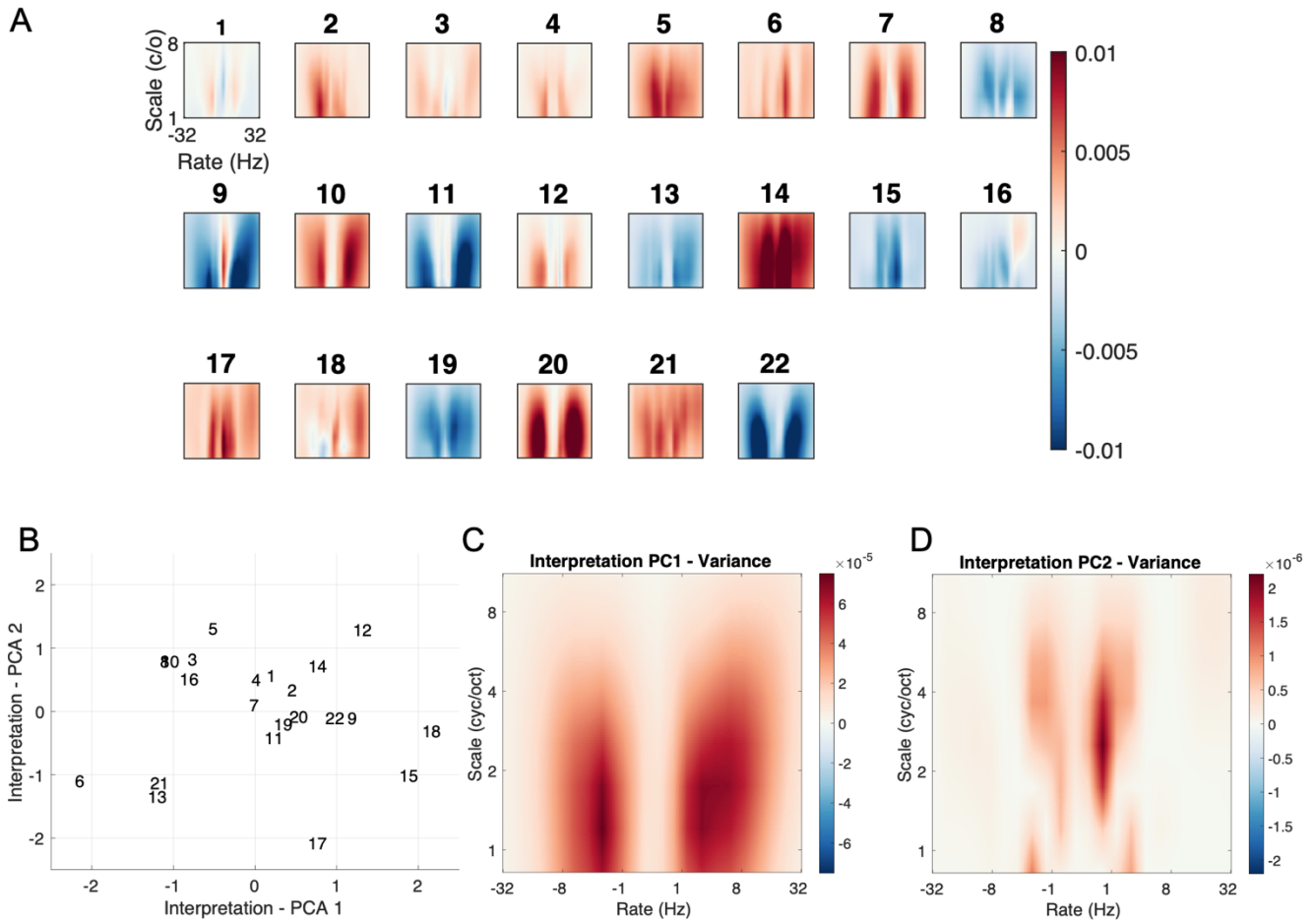


384

385 **Fig. 5. Interpretation of the population-level classifier.** Discriminative features (see main
 386 text) are shown in the input STM space, for the rate-scale and frequency-scale projections. Red
 387 areas indicate features positively associated to sleep deprivation by the classifier. Blue areas
 388 correspond to features negatively associated to sleep deprivation by the classifier. Color bar
 389 indicate the averaged value of the reverse correlation mask. Values are low because of the
 390 relative low consistency of the interpretation masks for this population-level classifier.

391

392 Figure 6A shows all individual classifiers on the rate-scale projection, ordered along
 393 increasing accuracy (BAcc) of the corresponding classifier. We chose to interpret in priority the
 394 rate-scale projections, as is done in most speech applications (38). The frequency-rate
 395 projections are provided as Figure S3. The main feature of the results is the striking diversity of
 396 the individual maps, which is not related to classifier accuracy in any obvious manner. For some
 397 participants, sleep deprivation was detected through a reduction in energy over a range of STM
 398 features (blue color), consistent with a “flattening” of speech modulations. But the opposite was
 399 also observed for other participants (red color). Moreover, the details of the discriminative
 400 features also varied across participants. As shown before, these details are robust and warrant
 401 interpretation.



403

404

405 **Fig. 6. Interpretation of the participant-level classifiers. A.** As for Figure 5, but for individual
 406 participants identified by their participant #. **B.** Projection of participants # in the interpretation-
 407 PCA space of all participant's masks (see text for details). **C. and D.** Variance of the idealized
 408 masks along the first two dimensions of the interpretation-PCA. Idealized masks are obtained
 409 by first sampling the PCA latent space between -2 and 2 for the two first dimensions with 30
 410 values and then inverting the latent space into the input feature space by using the inverse
 411 transform of the PCA. Red areas show the discriminative features that vary the most along each
 412 interpretation-PCA dimension. Units: variance in the feature space.

413

414 To get a better understanding of this variability across individual maps, we performed a
415 PCA on the maps themselves, which we will term interpretation-PCA for clarity. A first
416 interpretation-PCA dimension explained 35.9% of the variance, while a second dimension
417 explained 24.2% of the variance. There is a drop for all other dimensions (N=3) which explain
418 less than 13% of the variance, see Figure S4. Participants ordered on the first two interpretation-
419 PCA dimensions are shown in Figure 5B. We computed the variance of all STM features along
420 each interpretation-PCA dimension, to visualize the features that distinguished the interpretation
421 maps along these main axes of variation. Results are shown in Figure 6C, D. The features
422 defining the first interpretation-PCA dimension were clustered between rates of about 2 Hz to
423 8 Hz, which is exactly the amplitude modulation range corresponding to speech prosody and
424 syllabic rate (41). This shows that the amplitude modulation characteristics of speech was
425 affected by sleep deprivation. Importantly, depending on the individual, the classifiers used the
426 presence *or* absence of energy around these rates to detect sleep deprivation. This shows that
427 while some participants spoke in a “flattened” voice after deprivation, consistent with classic
428 hypotheses (26, 33), others instead spoke in a more “animated” voice after deprivation. The
429 features defining the second interpretation-PCA dimension clustered at very low rates and
430 covered a broad scale range, peaking at about 2 cyc/oct. This corresponds to long-term spectral
431 characteristics of speech and vowel sounds. In speech, such timbre-like features are determined
432 by the precise shape of the various resonators inside the vocal tract, such as the throat and
433 nasal cavities: by filtering the sound produced by the vocal folds, resonators impose formants
434 that impact the timbre of vowels and other speech sounds.

435

436 ***Correlation with subjective sleepiness reports***

437 All participants were subjected to the exact same amount of sleep deprivation.
438 Nevertheless, their subjective sleepiness reports varied widely (Fig. 1). We investigated whether

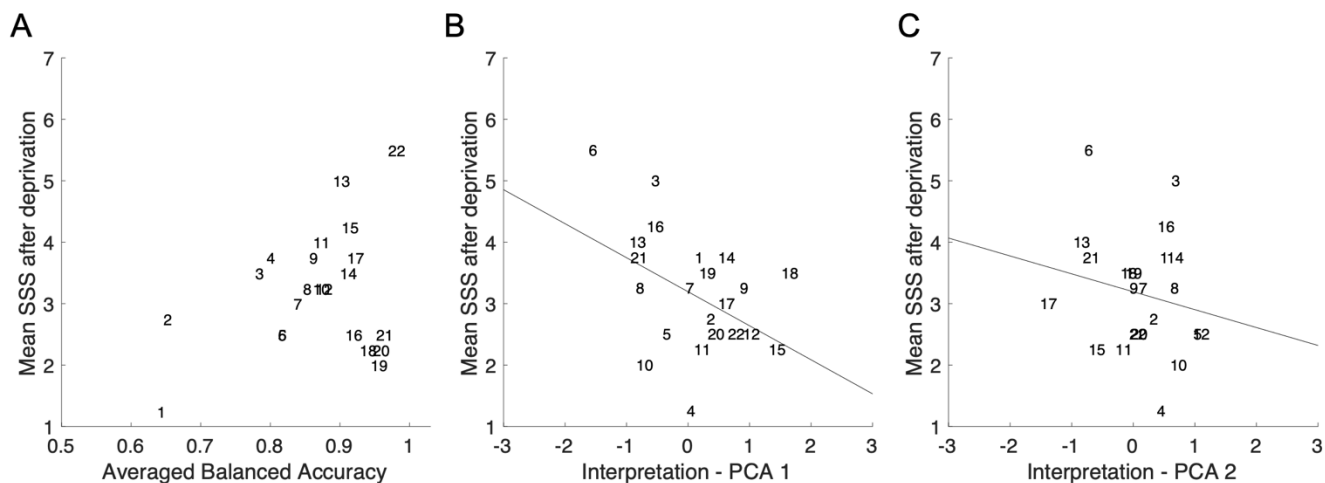
439 the variability in subjective sleepiness reports could be accounted for by characteristics of the
440 individual machine-learning classifiers.

441 First, we simply correlated the individual classifier's accuracies to the individual SSS
442 reports after sleep deprivation. If subjective sleepiness was a full measure of the impact of sleep
443 deprivation, we would expect a high correlation between the classifier's accuracy and SSS
444 reports. Results are shown in Fig. 7A. There was no significant correlation between BAaccs and
445 SSS reports ($r(20)=.32$, $p=.14$, $BF_{10}=.47$), suggesting that subjective sleepiness did not express
446 all of the objective effects of sleep deprivation, at least as captured by our voice classifiers.

447 Next, we investigated whether the classifier's interpretation maps could account for the
448 SSS reports variability. In particular, we reasoned that the prosodic and rhythmic changes
449 captured by the first interpretation-PCA dimension could be due to cognitive factors, inducing
450 flattened or animated speech. Such factors could be explicit to participants – if only by self-
451 monitoring their own speech rate and intonation. In contrast, the timbre cues captured by the
452 second interpretation-PCA dimension could be more subtle and remain implicit. Results are
453 shown in Fig. 7B & 7C. Consistent with our hypothesis, we observed a moderate but significant
454 correlation between the location of participants on the first interpretation dimension and
455 sleepiness reports ($r(20)=-.44$, $p=.03$, $BF_{10}=1.34$). In contrast, the location of participants on the
456 second interpretation dimension did not show any significant correlation with sleepiness reports
457 ($r(20)=.19$, $p=.38$, $BF_{10}=.23$).

458 Finally, to assess the full information contained in the interpretation maps, we fitted a
459 linear model that used coordinates on both interpretation-PCA dimensions to predict SSS scores
460 after deprivation (see Methods). Results showed that it was possible to predict sleepiness from
461 interpretation maps (R^2 : $M=.29$, $SD=.18$) significantly above chance (two-sample t-test to 0:
462 $p<.00001$). The correlation remained moderate, however, with still a sizeable part of the variance
463 unexplained.

464



465

466

Fig. 7. Relation between subjective sleepiness and voice classifiers. A. Subjective

467

sleepiness is plotted as a function of balanced accuracy of each participant-level classifier. **B.**

468

Subjective sleepiness is plotted as a function of the coordinate of each participant-level classifier

469

on the first dimension of the interpretation-PCA space. **C.** As in B., but for the second dimension

470

of the interpretation-PCA space.

471

472

Discussion

473

Summary of findings

474

We ran a sleep deprivation protocol with normal and healthy participants, collecting

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subjective reports of sleepiness plus vocal recordings before and after deprivation. After two

476

nights of mild sleep deprivation, subjective sleepiness increased on average, although with

477

striking individual differences—including some participants even reporting decreases in

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subjective sleepiness after deprivation. Nevertheless, sleep deprivation could be detected

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accurately by means of machine-learning analysis of vocal recordings. Classification was most

480

accurate at the individual level, with 85% balanced accuracy on average. Importantly, such a

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classification was based on a fully generic auditory representation. This allowed us to interpret

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the discriminative features discovered by classifiers to detect sleep deprivation. Two broad

483

classes of features were revealed: changes in temporal modulations within the rhythmic range

484 characteristic of speech sentences, and changes in spectral modulations within the timbre range
485 of speech sounds. Furthermore, the interpretation maps could account for some of the variability
486 in subjective sleepiness reports, which were correlated to the changes in temporal modulations
487 (“flattened” or “animated” voice).

488

489 ***Candidate mechanisms underlying the vocal biomarkers of sleep deprivation***

490 The individual classifiers using the STM input features learnt to detect sleep deprivation
491 with a high accuracy, matching human performance, based on two classes of auditory features:
492 temporal modulations in the 2 Hz to 8 Hz range, and spectral modulations around 2 cyc/oct. We
493 now speculatively relate these vocal features to two classes of well-established
494 neurophysiological effects of sleep deprivation.

495 The temporal modulation features associated to sleep deprivation were in a range which
496 has been robustly found as characteristic of speech across a variety of languages, to the extent
497 that they have been described as “universal rhythmic properties of human speech” (41). Such a
498 universal rhythm is imposed by the biomechanical constraints of the vocal apparatus and by the
499 neurodynamics of its control and perception systems. The changes in speech rhythms observed
500 after sleep deprivation could thus result from a temporary impairment of the cognitive control of
501 the speech production process. Sleep deprivation impacts cognitive function (20), presumably
502 through changes in glucose consumption in frontal and motor brain regions (46, 47).
503 Accordingly, previous studies showed lower activity in the dorsolateral prefrontal cortex and in
504 the intraparietal sulcus in cognitive tasks requiring attention, with large inter-individual variability
505 (48). A reduced connectivity was also observed within the default mode network, the dorsal
506 attention network, and the auditory, visual and motor network following sleep deprivation (47,
507 49, 50). Finally, extended wakefulness has been associated with an increase in the intrusion of
508 sleep-like patterns of brain activity in wakefulness (51, 52). All these results suggest that sleep

509 deprivation is akin to a minor cognitive frontal dysfunction, and may thus plausibly affect the
510 fluency of vocal production. Interestingly, compensatory responses were also observed in
511 cognitive tasks, which may explain why some of our participants responded to deprivation with
512 less speech modulation, consistent with the classic “flattened voice” hypothesis (26, 27),
513 whereas others unexpectedly responded with speech over-modulation and instead produced an
514 “animated voice” after deprivation.

515 The spectral modulation changes detected by our classifiers were consistent with
516 changes in the timbre of speech sounds, and in particular vowel sounds (35, 38, 42). Such
517 sounds acquire their distinctive spectral envelopes by means of the resonances of the vocal
518 tract, including the throat and nasal cavities. Inflammation of the throat and nose could be
519 responsible for these changes in timbre. Sleep deprivation is known to trigger an immune
520 response leading to inflammation. A cortisol increment can be observed after a single night of
521 sleep deprivation (8, 53, 54), so is plausible in our protocol that included two nights of mild sleep
522 deprivation. In terms of mechanisms, sleep restriction and deprivation disturb the normal
523 secretion of hormones like cortisol or testosterone, and is associated with increased rates of
524 interleukin-6 and CRP as assessed on salivary samples in normal subjects. This inflammatory
525 response could be linked to an elevated blood pressure following sleep deprivation (55) and
526 could affect the vocal tract and plausibly impact the spectral envelope of speech. It should be
527 noted that other variables, such as changes in hydration or food intake due to deprivation, might
528 also impact characteristics of the vocal apparatus and induce timbre changes instead or in
529 addition to putative inflammation. Such additional variables were not controlled in our protocol

530

531 ***Limitations of the study***

532 There are both technical and conceptual limitations to the present study. We chose to
533 use a controlled protocol to precisely equate sleep deprivation in our participants, but this came

534 at the expense of a relatively small dataset compared to the online databases used by machine-
535 learning challenges (30, 31). Our protocol prevented biases in the database, such as associating
536 the identity of speakers with the amount of sleep deprivation (28), but also limited our choice of
537 possible machine-learning techniques to perform the classification. We thus used an SVM
538 classifier, and not potentially more powerful deep-learning architectures. We note however that
539 in the studies that compared SVMs with other classifier types, SVM performed best, including in
540 state-of-the-art studies (14, 32, 33). In any case, the interpretation method we used could be
541 applied to any kind of classifier (39), including more complex ones.

542 All participants were female, mainly for practical reasons. Sleep deprivation might affect
543 females and males differently, in particular with respect to inflammation, although the evidence
544 is still mixed (56). The generalizability of our findings to males thus remains to be tested
545 experimentally. In addition, the modest performance observed for population-level classifiers
546 limits the generalization of our approach to unknown speakers, which would be desirable for
547 practical use cases involving pre-trained classifiers. However, this also confirms the interest to
548 apply interpretation techniques at the individual level, to capture the variability that seems
549 inherent to the effects of speech deprivation.

550 The feature set we used was a generic auditory representation, which is a major
551 difference with previous machine-learning oriented studies. On the one hand, some studies were
552 fully data-driven and selected the best-performing features from thousands of speech
553 descriptors. The resulting features were often difficult to interpret. On the other hand, there were
554 also studies using a small set of features, but these features were carefully hand-crafted and
555 potentially lacked genericity. Our approach represents a trade-off between these two ideas: we
556 applied a data-driven approach to select a small subset of features, but because these features
557 were from a generic representation, they remained interpretable. A clear limitation is that we did
558 not include features related to pitch or functionals of pitch such as contour features, which have

559 been repeatedly shown to be useful for sleepiness detection (14, 32, 57). However, average
560 estimates of pitch and pitch variation (Fig. 2D) suggested that there were no obvious effect on
561 these features in our database. Furthermore, our classification pipeline applied to standard
562 speech features performed worse than using the STM representation. We believe that these
563 omissions were compensated by the richness of the STM representation. Pitch and pitch
564 functionals will in fact be indirectly reflected in the STMs, which analyses sounds over a broad
565 range of temporal scales simultaneously.

566 The possible physiological mechanisms that we put forward as a mediation between
567 sleep deprivation and vocal features have to be considered as fully speculative for now. We did
568 not collect the objective measures required to confirm or infirm these interpretations. The
569 cognitive factor could be assessed with objective behavioral measures, such as the
570 psychomotor vigilance test (22), or with brain imaging data (46, 47). The inflammatory factor
571 could be assessed by biological analyses of *e.g.* cortisol in the saliva (8, 54). Because we have
572 not gathered such measurements, we can only argue that both minor cognitive dysfunction and
573 inflammation effects are likely for our participants as a group. In any case, the present study is
574 the first one to suggest that such factors may be measured at the individual level from voice
575 biomarkers, and it raises the possibility for future investigations to confirm or reject this
576 hypothesis by actually correlating vocal features with more invasive objective markers.

577 The classification task we investigated consisted only in detecting whether a vocal
578 recording was performed before or after sleep deprivation. We did not attempt to decode the
579 effect of more subtle factors on the voice, such as the time of the day, which would reflect the
580 interactions between circadian rhythms and sleep deprivation. These interactions have been
581 shown in a recent study (58), albeit using a more severe deprivation protocol (60 hours
582 without sleep). Unfortunately, our experimental design does not provide the statistical power to
583 examine within-day variations before sleep deprivation (half the dataset) or the interaction

584 between within-day variations and deprivation (second-order effect). In the same study, a
585 regression approach was implemented to provide predictions beyond binary classification.
586 Interestingly, this approach was successful only for predicting objective measures, such as sleep
587 latency, but failed for subjective reports. This is consistent with the claim that subjective scales
588 incompletely characterize the full effects of sleep deprivation and can usefully be complemented
589 by objective measures such as voice analysis. In any case, as we did not collect objective
590 measures of sleepiness beyond the voice, we did not attempt a regression analysis.

591 Finally, on a conceptual level, we wish to raise a basic but inescapable limitation of any
592 study of sleep deprivation. Sleep deprivation may be defined, as we did, by the amount of sleep
593 available to each individual. However, as has been repeatedly pointed out and again observed
594 here, there is a remarkable diversity of responses to the same amount of sleep deprivation.
595 Thus, it should not be expected that any one measure will capture all of the effects of sleep
596 deprivation. Subjective reports may capture explicit feelings of fatigue, but be blind to implicit
597 effects (58). With objective measures, which are by necessity indirect, there is an issue with
598 interpreting negative outcomes. In our case for instance, how to interpret a relatively poor
599 accuracy for a sleep deprivation classifier, such as was observed for two participants? It cannot
600 be decided whether this poor accuracy showed that sleep deprivation had no effect on these
601 participants, or that sleep deprivation had effects that were not expressed in the voice, or that
602 the classifiers simply failed for technical reasons. Measuring multiple markers of sleep
603 deprivation, including the novel ones we suggest, and incorporate them into a holistic model of
604 the neurophysiological effects of sleep deprivation seems to be a promising way forward.

605

606 ***Perspectives***

607 Keeping these limitations in mind, the demonstration of vocal biomarkers for sleep
608 deprivation could have major clinical implications. Subjective sleepiness reports do not capture

609 the whole effect of a lack of sleep (58). Moreover, such reports rely on the honest cooperation
610 of participants, which is not a given if self-reports of excessive sleepiness can have negative
611 work-related or financial consequences for the individual. Objective correlates of sleepiness
612 exist (21, 22), but vocal biomarkers would represent a considerably cheaper and faster
613 alternative, requiring no specialized equipment and increasing their practicality for real-life
614 clinical assessment. Crucially, our technique also goes beyond the simple binary detection of
615 sleep deprivation: thanks to the application of interpretability techniques (39), we suggest that
616 different neurophysiological processes related to sleep deprivation may be untangled through
617 the voice alone. Such measures could in turn be used to design interventions tailored to each
618 individual and situation, if the effects of sleep deprivation needed to be temporarily alleviated for
619 instance. More generally, there is a growing realization that interpretability is key to future clinical
620 applications of artificial intelligence, as both patients and clinicians would understandably want
621 to understand the reason for a diagnostic (59). For application to real-life settings, it is particularly
622 interesting to identify features that do not correlate with subjective sleepiness, as one of the
623 biggest dangers of sleep loss is the partial agnosia for one's own sleepiness.

624 To finish, it is useful to point out that the methodological pipeline we introduced here is
625 fully generic, as the audio features representation used is itself generic and the interpretation
626 method can be applied to any classifier. Therefore, the present study could pave the way for
627 future investigations of vocal biomarkers over the broad range of fundamental or clinical
628 applications that are currently only starting to be considered (24, 25).

629

630 **Materials and Methods**

631 ***Ethics statement***

632 The study was conducted according to French regulations on human research including
633 agreements from the Hotel-Dieu Hospital Ethics Committee (CPP Ile de France 1 - N° 2017-

634 sept.-13690), with signed consent from participants who received financial compensation. Our
635 protocol was conducted in accordance with the 2016 version of the Declaration of Helsinki and
636 the ICH guidelines for Good Clinical Practice.

637 ***Experimental design***

638 A group of twenty-four healthy women between 30-50 years old (42.7 ± 6.8) took part in
639 the experiment. This study was part of a dermatological study and only Caucasian phototypes
640 I-IV (Fitzpatrick classification) were recruited. Participants were non-smokers and did not report
641 a history of substance abuse. They had a Body Mass Index (BMI) between 19 and 25, no sleep
642 disorders or chronic disease, no daytime vigilance issues (Epworth Sleepiness Scale ≤ 10), and
643 were not under any medical treatment (exclusion criteria).

644 Before the experiment, participants wore an actigraph for 7 days and were instructed to
645 maintain a regular sleep-wake behavior with their usual 7-8 h of sleep (i.e., in bed from 23:00-
646 01:00 until 07:00-09:00). The compliance with these recommendations was verified through the
647 actigraphic recordings (MW8, CamTech; UK) that were inspected by the research team at the
648 participant's arrival the morning before the first night of sleep restriction (day 1). No sleep
649 episodes were detected outside of the scheduled experimental time in bed (see 40 for details).
650 The protocol lasted for 3 days (day 1: before sleep restriction; day 2: during sleep restriction;
651 day 3: after sleep restriction), which included 2 night of sleep deprivation (at the end of day 1
652 and 2). During the "sleep restriction" session, the participants were instructed to restrict their
653 sleep time to 3h for 2 consecutive nights (i.e., in bed from 03:00 to 06:00) and to follow their
654 usual routine outside the laboratory. After the second sleep-restricted night (day 3), the
655 participants went to the laboratory on the morning and their actigraphy recordings were
656 immediately analysed to ensure their compliance with the imposed sleep-wake hours. During
657 day 1 (after habitual sleep and before sleep restriction: baseline condition) and day 3 of each
658 session, the participants remained in the sleep laboratory from 09:00 to 19:00 under continuous

659 supervision. In order to help the participants stay awake, from the moment they left the laboratory
660 at the end of day 1 until their return to the laboratory at the beginning of day 3 at 09:00, two
661 investigators exchanged text messages with the participants at random times during the entire
662 period outside of the laboratory. Text messages were sent throughout the night (except during
663 the period where participants were instructed to sleep, that is between 3 and 6 a.m.). Participants
664 had to respond right after receiving these messages. In case of an absence of response,
665 participants were immediately called on their personal phone. For lunch in the laboratory (day 1
666 and 3), participants received controlled meals consisting of a maximum of 2,500 calories/day
667 with a balanced proportion of nutrients (protein, fat, and carbohydrates).

668 ***Voice recordings***

669 During day 1 (before sleep deprivation) and day 3 (after), at three different times during the day
670 (9am, 3pm, 5 pm), participants were seated and instructed to read 10 minutes of different
671 chapters of the same French classic book: “Le Comte de Monte Christo” (Alexandre Dumas,
672 1844). Their voice was recorded with a portable recorder (Zoom H1/MB, stereo-recording).
673 Then, during one minute, participants produced free speech, but these recordings were not used
674 in the present analyses. Two participants had to be discarded at this stage, as technical issues
675 prevented the completion of all recording sessions.

676 ***Baseline Speech Feature Set***

677 We computed basic speech features using the openSMILE library (43), in the configuration
678 recommended for the Interspeech 2011 challenge. This feature set has been used for in state-
679 of-the-art studies detecting sleepiness from voice recordings (33). It consists of 59 low-level
680 descriptors, including 4 energy descriptors, 50 spectral descriptors, and 5 voice descriptors.
681 These descriptors were then combined with 33 base functionals and 5 f0 functionals, resulting
682 in a total of 4,368 features.

683 ***Spectro-Temporal Modulations (STM)***

684 The sound files, initially sampled at 44.1 kHz, were down-sampled to 16 kHz. Spectro-Temporal
685 Modulations (STMs) were computed with our own toolkit which is directly adapted from the
686 standard NSL Toolbox (35). Sounds were processed through a bank of 128 constant-Q
687 asymmetric bandpass filters equally spaced on a logarithmic frequency scale spanning 5.3
688 octaves, which resulted in an auditory spectrogram, a two-dimensional time-frequency array.
689 The STM were then computed by applying a spectro-temporal modulation filterbank to the
690 auditory spectrogram. We generally followed the procedure detailed in (36), with minor
691 adaptations. A 2D Fourier transform was first applied to the spectrogram resulting in a two-
692 dimensional array, also called Modulation Power Spectrum (MPS) (60) whose dimensions were
693 spectral modulation (scale) and temporal modulation (rate). Then, the STM representation was
694 derived by filtering the MPS according to different rates and scales and then transforming back
695 to the time-frequency domain. We chose the following scale (s) and rate (r) center values as 2D
696 Gaussian filters to generate the STMs: $s = [0.71, 1.0, 1.41, 2.00, 2.83, 4.00, 5.66, 8.00]$ cyc/oct,
697 $r = \pm[.25, .5, 1, 2, 4, 5.70, 8, 11.3, 16, 22.6, 32]$ Hz. Such a range covers the relevant spectral
698 and temporal modulations of speech sounds as already used in different studies (61). The
699 resulting representation thus corresponds to a 4D matrix with dimensions of time, frequency,
700 scale, and rate.

701 ***Classification pipeline***

702 For all recordings, STMs were computed and used as the input feature space. The STM
703 feature space was sampled with 22 rates * 8 scales * 128 frequencies per 3841 temporal frames
704 corresponding to epochs of 15 seconds, amounting to 22528 features for every sample.
705 Standard machine-learning pipeline were used (13, 14, 36) to evaluate the ability to predict a
706 whether a voice sample is from the sleep deprived class.

707 First, the whole dataset was randomly separated into a training set and a testing set,
708 either by randomly holding 25% of the data into the testing set or, only at population level, by

709 holding-out the data from one subject to define the training and the testing in a Leave-One-
710 Subject-Out (LOSO) cross-validation procedure. We then reduced this high dimensionality of
711 the feature space by means of a principal component analysis (PCA). At the population level,
712 we trained a PCA on the whole dataset and retained the 250 main dimensions, explaining 99%
713 of the variance. We further checked that the exact choice of PCA dimensions did not affect our
714 conclusions, about the performance but also about the interpretation of the classifiers (see
715 Figure S2). At the participant level, for each participant we trained a PCA on the data from all
716 other participants, to reduce a possible contamination of the reduced space by peculiarities of
717 the considered participant. We next retained the 30 main dimensions of the PCA. The number
718 of PCA dimensions in this case was chosen empirically, so that the reduced feature space still
719 explained more than 90% of the variance and provided a dimensionality lower than the number
720 of samples available for each participant (between 98 and 194 samples of 15 sec. each), to
721 avoid overfitting. We checked that the exact choice of PCA dimensions did not affect our
722 conclusions, in particular on the interpreted features that are consistent for PCA dimensions
723 above 30.

724 The PCA spaces were then fed to a support vector machine classifier (SVM) with a
725 gaussian kernel (radial basis function). The training set was used to fit the SVM through an
726 hyperparameter grid-search, using a stratified 5-folds cross-validation. The fitted SVM was then
727 evaluated on the testing set by computing Balanced Accuracy (BAcc, defined as the average of
728 true positive rate, or sensitivity, with true negative rate, or specificity). For the randomly selected
729 train/test split, we repeated the fitting procedure 50 times, generating 50 distinct train/test sets
730 for both the population and individual levels (denoted 50-splits, 25% test). In the Leave-One-
731 Subject-Out (LOSO) approach, we replicated the fitting procedure with 22 subjects, each
732 excluded once and designated as the testing set. In each instance, we computed the final
733 balanced accuracies and then averaged them across either the 50 different train/test splits (int

734 the case of 50-splits, 25% test) or across the 22 subjects (in the case of LOSO). Lastly, for each
735 cross-validation procedure, we conducted a t-test against the threshold of 0.5 using the
736 distributions of balanced accuracies. This allowed us to evaluate the classifier's capability to
737 predict sleepiness from voice, assessing its performance compared to random chance. All the
738 classification pipelines from PCA to RBF + SVM are implemented with the sci-kit learn library
739 (Pedregosa et al., 2011).

740

741 ***Interpretation of the classifiers***

742 Each classifier fitted in the study is probed with the reverse correlation technique which
743 provides an interpretation of which features are relevant in the sense of the classifier.
744 Theoretically, for each feature of the input space, a correlation is made between the array of
745 random values from each noise sample with the array of decision values, 0 or 1, 0 corresponding
746 to excerpts classified as before sleep restriction and 1 to excerpts recorded after sleep
747 restriction. Here, as the noise were of null average in the feature space, we simply subtracted
748 the average values of the noises that led to a classification in the class 'after restriction' with the
749 average values that led to classification in the class 'before restriction' (62). We refer to our
750 method paper for a full description of the method (39). Here, we used the version of the method
751 which consists of pseudo-random noise as perturbation at the input of the system. Pseudo-
752 random noises allow to accurately fool the classifier while using a white noise may implicate
753 complication as the classifier can tend to classify all the stimuli + noise excerpt in only one class.
754 One specificity of this method is that it requires a large number of trials to provide an accurate
755 description of the importance of each feature in the input space. Here we chose to use a number
756 of trials equal to 100 times the number of samples which represents between 9800 and 20000
757 trials. Each interpretation provides a "interpretation mask" which are composed of positive and

758 negative values, positive values correspond to features which are diagnostic of sleep loss and
759 negative ones conversely.

760 For each classification task, 50 classifiers were fitted. In order to test the independence
761 of the prediction accuracy from the 50 different random training set. Each of these 50 classifiers
762 were interpreted with the previously described method and a second test was then performed in
763 order to test the similarities between the 50 interpretations. Pairwise Pearson's correlation
764 coefficients between all 50 interpretation maps were computed and then averaged.

765

766 ***Data availability***

767 The analyses and figures of the manuscript can be replicated with the scripts openly
768 available at <https://github.com/EtienneTho/privavox>

769

770 The Spectro-Temporal Modulations (STMs) model adapted from the NSL toolbox (33) is
771 available at: <https://github.com/EtienneTho/stf-like-model>

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773

774

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