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Science Drivers for the Future Exploration of Ceres: From Solar System Evolution to **Ocean World Science**

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5 Abstract

6 Dawn revealed that Ceres is a compelling target whose exploration pertains to many science 7 themes: as a large ice- and organic-rich body, potentially representative of the population of objects 8 that brought water and organics to the inner solar system, as well as a brine-rich body whose study 9 can contribute to ocean world science. The Dawn observations have led to a renewed focus on 10 planetary brine physics and chemistry based on the detection of many landforms built off or 11 suspected to be emplaced via brine effusion. Ceres' relative proximity to Earth and direct access 12 to its surface of evaporites evolved from a deep brine reservoir make this dwarf planet an appealing 13 target for follow-on exploration that would address science questions pertinent to the evolution of 14 ocean worlds and the origin of volatiles and organics in the inner solar system.

15 **1. Introduction**

16 In the last decade of planetary exploration, the investigation by the Dawn mission of the dwarf 17 planet Ceres, the most water-rich body in the inner solar system after Earth and largest object in 18 the main asteroid belt, proved to be a major milestone. Ceres has sufficient H₂O and silicates (i.e., 19 radioisotopes) to host subsurface liquid water throughout its history, leading to a layered interior 20 structure with a high degree of aqueous alteration (Ermakov et al. 2017; Fu et al. 2017). Deposits 21 of bright carbonates and phyllosilicates are indicative of pervasive and global aqueous alteration 22 (Ammannito et al., 2016; De Sanctis et al., 2016; Carrozzo et al. 2018). The Dawn mission also 23 revealed evidence for recent and even ongoing geological activity on Ceres (Ruesch et al. 2016; 24 De Sanctis et al. 2020), the presence of liquid – at least locally – below an ice-rich crust (Ruesch 25 et al. 2019a; Scully et al. 2020), high concentrations of organic matter (locally) and carbon 26 (globally) in the shallow subsurface (De Sanctis et al. 2017; Marchi et al. 2019; Prettyman et al. 27 2017), and the presence of an exosphere and volatile transport (Villarreal et al. 2017; Formisano

et al. 2018). Even though the evidence for a global liquid layer in Ceres at present is circumstantial,
Ceres displays evidence for the past and recent occurrence of a variety of processes that are
relevant to ocean worlds.

This paper reviews the state of knowledge of Ceres after Dawn, including emerging interpretations about its evolution and the state of its residual liquid brine (Section 2). Then, we review how knowledge of Ceres contributes to better understanding the evolution of other large water-rich bodies and we describe the relevance of Ceres' study to other science themes (Section 3). Based on these considerations, we offer recommendations for the future research and exploration of Ceres with the purpose to further contribute to the fields of planetary origins and ocean world science (Section 4).

38 **2. Key Dawn Results at Ceres**

39 Pre-Dawn, Ceres was known to be a volatile-rich body that accreted ice and carbonaceous material 40 early in the age of solar system formation (O'Brien and Sykes, 2011). Given the comparatively 41 high temperatures on Ceres' surface, water ice sublimates when exposed at the surface and could 42 only be detected in a few locations by Dawn, the largest located in Oxo crater (Combe et al., 2016) 43 and the smaller patches in the northern hemisphere where persistently shadowed regions are 44 present (Platz et al., 2016). However, the Dawn mission revealed several lines of compelling 45 evidence for an ice-rich crust. (1) Gravity inversions yield a low crustal density of $\sim 1200 \text{ kg/m}^3$ 46 (Ermakov et al., 2017). (2) Crater morphometry nearly exactly matches that of icy satellites 47 (Hiesinger et al., 2016). Consideration of crater relaxation is consistent with the shallow 48 subsurface being up to 40% ice by volume, though this ice content may vary laterally and with 49 depth (Bland et al., 2016; Otto et al., 2019; Sizemore et al., 2019). (3) Distinct morphologic 50 features can be attributed to a large fraction of ice in Ceres' crust. These features include known 51 volatile-related morphologies such as fractures related to intrusion (uplift), pitted terrains and flow-52 like mass wasting features (Schmidt et al., 2017; Scully et al., 2017; Sizemore et al., 2019). 53 Furthermore, the occurrence of small ring-mold craters and 100 m scale hills with pingo-like 54 morphologies (Schmidt et al., 2020) within the Occator crater suggests localized water ice 55 reservoirs in the shallow and lower subsurface (Krohn et al., 2018). (4) Global, pervasive aqueous 56 alteration of surface material (Ammannito et al., 2016) and abundant morphologic evidence, such 57 as pervasive fracturing or mounds, hint at the existence of deep liquid throughout Ceres' history.

58 Flow features have been found in and around craters. Occator's interior exhibits extended plains 59 of ponded material and extensive lobate materials, which may be representative of water or ice-60 rich flows (e.g., Krohn et al., 2016; Scully et al., 2018; Schenk et al., 2016). Those features are 61 also found around the craters Haulani, Kupalo, Ikapati (Krohn et al., 2016), Kerwan, Yalode and 62 Urvara (e.g., Williams, 2018; Bland, 2019). Multiple flow stages and discrete feeding sources may 63 be consistent with a cryovolcanic origin for these flows (Krohn et al., 2016), although they are 64 likely expressions of impact-generated melt in an icy crust (Schenk et al., 2020). (5) Detection of 65 salt compounds, in particular sodium carbonate, locally in high concentrations (Carrozzo et al. 66 2018), Thermodynamic models suggest that in addition to water, other volatile compounds were 67 accreted by Ceres, in particular ammonia and carbon dioxide (De Sanctis et al., 2015; Castillo-68 Rogez et al., 2018).

While some of these individual arguments can be debated (e.g., Johnson and Sori, 2020, Zolotov 2020), when taken together these convincingly show that Ceres has abundant water in ice, brines and minerals. In this section, we address salient open questions about Ceres' interior, in particular evidence for the presence of a residual ocean or regional brine reservoirs and the nature of that environment.

74 2.1 Evidence for Deep Brines in Ceres at Present and Recent Activity

75 Both geophysical evidence and surface observations suggest the presence of brines in the 76 subsurface. Geophysical and geological observations hint at the existence of a former subsurface 77 ocean whose remnants are still shaping the geology of the surface today (Ruesch et al., 2019a,b

78 The Dawn geophysical data indicate that a rocky mantle starts at about 40 km below the surface, 79 on average (between 35–55 km; Fu et al., 2017; Ermakov et al., 2017) (Figure 1). The nature of 80 this interface, a transition to solid rock or muddy ocean, is debated. The mantle density inferred 81 by Ermakov et al. (2017) of 2430 kg/m³ may be significantly lower than the grain density predicted 82 by chemical modeling (Castillo-Rogez et al., 2018), suggesting possible high porosity. However, 83 a mantle density of up to $\sim 2800 \text{ kg/m}^3$ may be possible if Ceres has retained a fossil shape (Mao 84 and McKinnon 2018), in which case the bulk porosity may be <10vol.%. Additional global 85 constraint on the presence of a deep liquid layer comes from the topography relaxation models 86 performed by Fu et al. (2017). These authors find that a ~40-45 km high-strength shell above a

low viscosity layer, i.e., at the top of the mantle, best reproduces Ceres' topography. However, the viscosity of the upper mantle is poorly constrained at $<10^{21}$ Pa s.

89 Occator crater is a relatively young (~20 My; Neesemann et al., 2019), large crater with bright 90 materials, including sodium carbonates and salt-rich materials, that represent evaporites from 91 recent resurfacing (<2 My; Nathues et al., 2020). The morphology of Cerealia Tholus, a ~ 600 m 92 high mound at the center of Cerealia Facula, is reminiscent of putative cryolava domes on Jupiter's 93 moon Europa (Fagents, 2003; Quick et al., 2017). Emplacement mechanisms for the sodium 94 carbonates and salt-rich bright materials in Occator include brine-driven cryovolcanism from a 95 deep reservoir beneath the crust (e.g., De Sanctis et al. 2016; Krohn et al., 2016; Quick et al. 2019; 96 Ruesch et al. 2019a) and a shallower melt chamber produced by impact-generated heat (Bowling 97 et al., 2019; Scully et al., 2020; Schmidt et al., 2020; Raymond et al., 2020). The latter was 98 estimated to be at least six kilometers in diameter and twenty kilometers deep (Bowling et al., 99 2019). The lifetime for that chamber was estimated by thermal modeling to be 10 My at most 100 (Hesse and Castillo-Rogez, 2019) and likely much less when all evolutionary processes are 101 accounted for. Hence, long-lived activity in Occator likely involved the contribution of a perennial 102 deep brine reservoir whose connection with the surface was enabled by fractures created by the 103 impact (Quick et al., 2019; Raymond et al., 2020). The dual origin for the brine can also explain 104 the difference in evaporite deposit morphologies: at the center of the crater, Cerealia Facula is 105 thick and continuous; whereas the Vinalia Faculae, distributed along the fractures on the eastern 106 side of the crater floor, are thin, discontinuous, and somewhat diffuse (Ruesch et al. 2019b; Scully 107 et al. 2020). Additionally, the existence in Occator crater of hydrated sodium chloride, a salt that 108 dehydrates on a timescale of only centuries, suggests the presence of subsurface liquid brines today 109 (De Sanctis et al., 2020).

110 A dozen craters, including Occator crater, display floor fractures that have been explained by 111 shallow, cryomagmatic intrusions (Buczkowski et al., 2018, Krohn et al. 2020). Similarly to 112 Occator, these intrusions may indicate the presence of underlying reservoirs, forming domes that 113 uplift and fracture the overlying, brittle crater floor (Buczkowski et al., 2018, Krohn et al., 2020).



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Figure 1. Left: Current understanding of the global interior structure for Ceres after Ermakov et al. (2017) and Fu et al. (2017): a strong crust overlays a briny mud and low-density rocky mantle. Right: Possible structure of the crust and upper mantle inferred below the 90-km diameter Occator Crater (~50-km thick crust) (Ermakov et al. 2017). The impact crater created a transient melt chamber and also introduced or reactivated fractures allowing for the long-term upwelling of deep brines (Raymond et al. 2020). [Credit: J. T. Keane]

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121 The ~4 km tall Ahuna Mons is a steep, young mountain proposed to be a cryovolcanic construct 122 formed in the past tens or hundreds of Myr (Ruesch et al., 2016). Bright streaks on its flanks 123 contain sodium carbonates (Zambon et al., 2017). The emplacement of this large volume of 124 material requires a low-viscosity material (Ruesch et al., 2016). Geophysical observations indicate 125 that the mount material is dense and was sourced from the top of the mantle (Ruesch et al., 2019a). 126 These combined observations indicate an origin involving a mud- or brine-rich material. Other 127 mountains and domes (Buczkowski et al., 2016) have also been argued to be older, degraded 128 cryovolcanic constructs on the basis of geophysical and geomorphological arguments (Sori et al., 129 2017; 2018), although some of them may also have been formed by intrusive processes instead 130 (Bland et al., 2019).

131 2.2 Assessing Ceres' Past and Current Habitability Potential

In addition to the above evidence for subsurface liquid water, the surface of Ceres is blanketed with products of the interaction between rock and liquid water, which can supply chemical energy and bioessential elements in inorganic or organic form – the basic requirements of a habitable environment. That most of the compositionally homogeneous dark surface was likely emplaced early in solar system history, whereas select areas of the surface appear geologically very recent and compositionally distinct, provides information about the habitability of environments on Ceres both in the geologic past and at recent (perhaps present) times.

139 Bioessential elements. Local concentration of organic carbon has been detected on the surface as 140 a prominent 3.4-µm spectral absorption feature and an inorganic carbon source is also available 141 from carbonates. Combination of the data from the Dawn visible and infrared mapping 142 spectrometer and the gamma ray and neutron detector also indicates that the global regolith 143 contains 10 to 20 wt.% of amorphous carbon (Marchi et al., 2018). From laboratory mixtures, a 144 similar amount is proposed (as a mixture of aliphatic and aromatic carbons) to fit the spectral 145 abundance of the organic matter (OM) observed at Ernutet crater (De Sanctis et al., 2019). The 146 large amount of such organic matter and amorphous carbon found in the regolith may be 147 representative of the crust composition or may have been concentrated by an unknown mechanism. 148 Nitrogen has been detected as a constituent of ammonium salts. The possible presence of sulfate 149 salts provides a potential source of S. If the core is chondritic, then S and P can be inferred (for 150 example as sulfides and schreibersite, respectively). O and H are implied as components of 151 organics, sulfates, ammonium salts. Aliphatic-dominated organic matter has been found in the 152 region of Ernutet crater with at least 3–4 times greater abundance than in carbonaceous chondrites 153 (Kaplan et al., 2018; De Sanctis et al., 2019). The precise composition of this OM could not be 154 derived from the Dawn observations. However, the mixing of the organic signatures found at 155 Ernutet crater with other surface material (phyllosilicates, carbonates) suggests these organics 156 formed inside Ceres (De Sanctis et al. 2019) although an exogenic origin cannot be definitely 157 discarded.

158 *Chemical energy.* Theoretical energy sources could be provided by organics on their own 159 (fermentation) or as half-reactions with sulfates, for example, in anaerobic respiration. However, 160 these assessments require greater knowledge of the chemistry of the organic material. Other 161 sources of chemical energy are possible (see Section 3.1).

162 Habitability potential early in solar system history. The overall homogeneity of Ceres' heavily 163 cratered surface suggests a global emplacement at an early time when liquid water was ubiquitous 164 in Ceres' interior, with short-lived radionuclides and accretional energy as possible heat sources

165 (e.g., Castillo-Rogez et al., 2010; Neveu and Desch, 2015; Travis et al., 2018; Castillo-Rogez et 166 al., 2019). That dark surface is mainly composed of an unknown darkening agent (likely carbon 167 and/or Fe compounds; Marchi et al., 2019), Mg-bearing serpentine, ammonium-bearing clays, and 168 Ca- and/or Mg-bearing carbonates (De Sanctis et al., 2015; Ammannito et al., 2016). Modeling 169 suggests that these compounds can together result from the complete reaction between liquid water 170 and chondritic material in presence of CO_2 and NH_3 , which generates alkaline conditions (pH > 171 7). Specifically, and although this remains to be confirmed experimentally, the presence of NH₄ 172 suggests formation at pH > 10 and temperatures lower than 50°C, yielding ionic strengths of order 173 0.1-1 (Neveu et al., 2017; Castillo-Rogez et al., 2018). It may be that earlier conditions were hotter 174 but that the incorporation of ammonium into clays occurred at later, colder conditions. Early redox 175 conditions are constrained to hydrogen fugacities between 10⁻⁸ and 10⁻⁵ bar by the coexistence of 176 relatively oxidized carbonates and of relatively reduced nitrogen (as ammonium clays), as well as 177 amorphous or organic carbon (Neveu et al., 2017; Castillo-Rogez et al., 2018).

178 Habitability potential today. The current physicochemical conditions of Ceres' brine reservoirs or 179 residual liquid layer, if it exists, are not well constrained. Bounds can be derived on temperature 180 based on the mineralogy observed in recently exposed evaporites. Minerals displayed by the 181 Occator faculae display sodium carbonate (>70 vol.%), ammonium chlorides (up to 7 vol.%), and 182 in the case of Cerealia Facula, a few percent of hydrohalite (Raponi et al., 2019; De Sanctis et al., 183 2020). At Ahuna Mons, sodium carbonate has been found at a level of 7-10vol.% (Zambon et al., 184 2017). These observations suggest that the source temperature should be at least 245 K (Castillo-185 Rogez et al., 2019). On modern Ceres, although sodium carbonate is indicative of an alkaline 186 environment (pH~7-10; Castillo-Rogez et al., 2018) and surface expression of cryovolcanism 187 points to subsurface liquid reservoirs on the verge of complete freezing, more specific constraints 188 are lacking on the conditions (redox, pH, ionic strength, temperature, etc.) in Ceres' deep, cold, 189 concentrated brines and whether that environment is within the limits that psychrophilic and 190 halophilic organisms can tolerate (e.g., Pikuta et al., 2007).

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3. Big Picture significance – Contribution of Ceres Science to Other Fields

As an ice-rich body, a dwarf planet, and the largest member of the main asteroid belt, the exploration of Ceres provides insights into properties and processes that affect all three populations of planetary bodies (Figure 2). In that respect, Ceres is unique in the solar system. Ceres shows

195 many similarities to ocean worlds in the outer solar system per its differentiated interior and brine 196 composition that is partly similar to Enceladus' plume grains (Postberg et al., 2011; De Sanctis et 197 al., 2016). Recent and likely ongoing exposure of evaporites in Occator Crater makes it a 198 compelling place for testing habitability paradigms by determining the environmental properties 199 of Ceres' residual ocean, either with in situ exploration and/or with sample return. Furthermore, 200 Ceres is a likely representative of the population of planetesimals that brought organics and water 201 to the inner solar system and might even be an example of large planetesimals that supplied Earth 202 with the majority of its volatiles (e.g., De Sanctis et al., 2015; Budde et al., 2019). Lastly, in 203 situ/sample return exploration of Ceres in the next decade would be complementary to the ongoing 204 sample return missions from C-type near earth asteroids (NASA's OSIRIS-REx, JAXA's 205 Hayabusa-2) and to ocean world missions in the outer solar system that will be launched in the 206 mid-2020s (NASA's Europa Clipper and Dragonfly, ESA's Jupiter Icy Moons Explorer).



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Figure 2. Basic physical properties for ice-rich bodies (and Io) highlight similarities between Ceres and midsized icy moons (Figure based on Hussmann et al., 2006). Hence, knowledge gained at Ceres can inform the evolution of icy moons, and vice versa.

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213 **3.1 Contribution of Ceres Exploration to Ocean World Science**

214 Extent of Internal Evolution in Water-Rich Bodies

Insights to date from laboratory investigations of planetary materials indicate lesser degrees of aqueous alteration than inferred for Ceres. Thus, Ceres provides an opportunity to understand the effects of water-rock interaction at higher proportions of water relative to rock on the internal evolution of worlds with a water content similar to, or approaching, that of ocean worlds in the outer solar system (Figure 2).

220 Among planetary materials investigated in the laboratory, the CM and CI carbonaceous chondrites 221 were likely formed in relatively small (<100 km diameter) planetesimals (Brearley, 2006). 222 Alteration scales for these chondrites are based mainly on petrologic compositions (Browning et 223 al., 1996; Rubin et al., 2007) and modal proportions (Howard et al., 2015), and to some extent on 224 the abundances and isotopic compositions of volatiles (Alexander et al., 2013). A progressive 225 sequence of reactions first produces Fe-serpentine and tochilinite, which are then converted to Mg-226 serpentine, magnetite, clay, and sulfates (Howard et al., 2011). Organic matter is modified and 227 carbonates are also produced during alteration (see for example Alexander et al., 2015). In CM 228 and CI parent bodies, these reactions occurred at relatively low temperatures and, although they 229 required liquid water, the water:rock ratios were low and water did not separate from rock on a 230 global scale.

231 The alteration mineralogy determined from Ceres' surface spectra is similar to the most highly 232 altered carbonaceous chondrites but is more extreme (McSween et al., 2018). Ceres is spectrally 233 similar to a few other C-class asteroids which may have also experienced extensive aqueous 234 alteration, such as 10 Hygiea (e.g., Rivkin et al., 2014). These bodies, like Ceres, are among the 235 largest asteroids, suggesting that the degree of alteration (and differentiation) of water-bearing 236 planetesimals is related to size (McSween et al., 2018). Although the alteration mineralogy of even 237 larger ocean worlds is not well constrained, Ceres serves as a bridge linking smaller, altered but 238 undifferentiated planetesimals to larger bodies with subsurface oceans.

239 Neveu and Desch (2015) assumed aqueous alteration of a CM chondrite precursor by NH₃-bearing 240 fluid. In their model, hydrated chondrules sank to form a rocky core, leaving an overlying muddy 241 mantle consisting of ice and aqueously altered fines. Castillo-Rogez et al. (2018) used 242 thermodynamic models of a carbonaceous chondrite precursor to determine that Ceres' surface 243 mineralogy reflects advanced alteration under high hydrogen fugacity and the mineralogy shows 244 a weak dependence on pressure. They also predicted clathrate hydrates in the interior, which could 245 explain the low density and high strength of the crust inferred from geophysical observations. 246 Although the global uniformity of the surface mineral compositions (Ammannito et al., 2016) 247 implies that the surface assemblage was excavated from depth, evidence for iron fractionation in 248 the crust (Prettyman et al., 2017) suggest the surface mineralogy may not be representative of the 249 mantle (Castillo-Rogez et al., 2018).

250 A key question is the extent of thermal evolution of the mantle and whether it reached metamorphic 251 conditions leading to partial dehydration. Ceres' mantle density is uncertain, between 2400-2800 252 kg/m³ (Ermakov et al. 2017; Mao and McKinnon 2018). A dehydrated mantle composed of olivine 253 and pyroxene would produce a bulk density higher than observed for Ceres, so, it is possible the 254 mantle did not dehydrate, some fluid must have been retained to allow retrograde formation of 255 some hydrous phases, or the mantle has retained some porosity filled in by brines. The presence 256 of amphibole (tremolite), chlorite (clinochlore), and serpentine (chrysotile) in a carbonaceous 257 chondrite clast in a ureilite breccia implies greenschist-facies metamorphism at a temperature and 258 pressure higher than for other CM and CI chondrites, possibly consistent with conditions in the 259 interior of a Ceres-sized asteroid (Hamilton et al., 2020). That parent body is considerably larger 260 than envisioned for other carbonaceous chondrites, so the meteorite likely provides insights into 261 Ceres' deep interior. Multiple reports for the presence of dehydrated material on the surface of 262 Ceres (e.g., De Sanctis et al. 2015; Raponi et al. 2021) might be evidence for thermal 263 metamorphism in the mantle. However, the emplacement of that material into Ceres' regolith 264 remains to be explained. Contamination from exogenic material that may include anhydrous or 265 dehydrated material is another possible explanation (Marchi et al. 2018).

266 Long-term persistence of liquid water in the absence of tidal heating

Tidal dissipation is thought to enable subsurface liquid water and related surface activity on many solar system moons, such as Enceladus and perhaps Mimas and Dione in the Saturn system, 269 possibly Miranda and Ariel in the Uranian system, and on Neptune's moon Triton (e.g., Hendrix 270 et al., 2019). On larger objects such as Europa, Ganymede, Callisto, and Titan, radiogenic heating 271 alone can maintain the subsurface oceans whose signatures were detected by the Galileo and 272 Cassini missions, although tidal dissipation may enhance associated activity, e.g., at Europa. 273 Ceres, which does not orbit a giant planet, never experienced any significant heating from tidal 274 dissipation. That its interior still harbors liquid water on the verge of refreezing is therefore very 275 informative of the ability of radiogenic heating, augmented perhaps to a small extent by the heat 276 of accretion (Bierson et al., 2020) and later impacts (Bowling et al., 2019), to sustain subsurface 277 liquid water.

278 In the absence of tidal heating, the persistence of liquid water mainly depends on the body's size 279 (the ratio of heat production – which scales with volume – to heat loss – which scales to first order 280 with surface area – being proportional to the radius); the rock mass fraction, which determines the 281 abundance of long-lived radioisotopes; the presence of salt, hydrates, or volatile impurities able 282 to change the interior's physical and chemical properties (freezing point, insulation, strength); and 283 to a lesser extent the heliocentric distance that largely sets the temperature at the surface 284 (Hussmann et al., 2006). The evidence at Ceres (radius of 470 km) therefore suggests that larger 285 worlds (radius > 700 km) such as Uranus' moons Titania and Oberon, and transneptunian objects 286 such as Pluto, Eris, Haumea, and Makemake may, too, host subsurface liquid today. Indeed, there 287 are hints that Pluto may harbor an ocean (Nimmo et al., 2016; Keane et al., 2016; Denton et al., 288 2021). On the other hand, Pluto's moon Charon, with a radius ≈ 600 km and heliocentric distance 289 of \approx 40 AU, seems to have fully refrozen (Desch and Neveu, 2017).

290 An object's size and heliocentric distance are readily determined, but the crux of estimating ocean 291 persistence in the absence of obvious surface expression or major tidal dissipation lies in 292 understanding the feedbacks between interior physical and chemical processes. The recent 293 exploration of Ceres (and of the Pluto system) has hinted at how complex such feedbacks may be. 294 Salts and gas hydrates possibly affect the near-surface strength (Bland et al., 2016) and therefore 295 the means by which heat is transferred to the surface and lost to space by convection (Formisano 296 et al., 2020) or conduction (Neumann et al., 2020; Kamata et al., 2019). Water-rock reactions, 297 including those able to produce Ceres' surface composition, may change the distribution of 298 radionuclides and generate or consume antifreeze species (Neveu et al., 2017), as well as enhance or inhibit deep convection in porous rock, which tends to homogenize temperatures in the interior, keeping ice melted at depth (Neveu et al., 2015). The relative densities of the crust and subsurface fluids, which depend on their chemical composition, affects the surface expression of fluids through volcanism (Ruesch et al., 2019a). Ceres offers the opportunity to investigate how this interplay of planetary physics and chemistry affects the persistence of oceans and their surface expression, with the tidal heating variable separated out.

The energy source driving late activity in Ceres is not well understood. Long-lived radioisotopes by themselves would not be able to preserve a deep liquid layer, especially if the shell is convecting heat. In most models, the bulk of the ocean would freeze on a timescale of about 200 million years. However, a residual liquid layer enriched in ammonia and chlorides could exist as a minimum, based on pre-Dawn models (Castillo-Rogez and McCord, 2010; Neveu and Desch, 2015). Three explanations have been suggested for the existence of substantial liquid, at least locally, inside Ceres at present (Figure 3).

312 First, the possibility that convection onset in Ceres' crust was precluded based on the inference 313 that the crust is at least three orders of magnitude stronger than water ice (Bland et al., 2016), even 314 though its density is only slightly greater than that of water ice. Two interpretations have been 315 suggested: the shell is enriched in clathrate hydrates, whose thermophysical properties are 316 consistent with these observations (Bland et al., 2016; Fu et al., 2017) and that are expected to 317 form in Ceres' early ocean (Castillo-Rogez et al., 2018); as an alternative, pinning of the ice grain 318 boundaries with silicate particles could also increase the overall strength of the crust (Qi et al., 319 2018). The main difference between the two models is that the thermal conductivity of clathrate 320 hydrates is also a factor 5 to 10 lower than ice. Hence the clathrate-rich model would lead to 321 warmer interiors than the ice-rich crust model (Castillo-Rogez et al., 2019).



323 Figure 3. Possible explanations that have been suggested for explaining late activity in Ceres. All three 324 scenarios assume a similar early evolution involving global melting from short-lived radioisotope decay heat. 325 The top pathway is based on pre-Dawn models that assume an ice-dominated shell that might be convecting. 326 In those models, thermal metamorphism was expected to happen but not fully modeled. The middle pathway 327 assumes a shell rich in clathrate hydrates or ice-rock mixture such that convection onset is unlikely. That 328 path enables the preservation of brines, with the potential addition of volatiles produced from thermal 329 metamorphism. The bottom pathway assumes Ceres' heat transfer was driven by slow hydrothermal 330 circulation in a thick ocean that remains at present. In that case, the mantle temperatures remain too low 331 (<100°C) for thermal metamorphism to develop.

332 The second hypothesis for explaining the presence of liquid in Ceres at present is the release of 333 volatiles as a consequence of thermal metamorphism in the mantle (Melwani Daswani et al., 2021). 334 This prospect was considered in several pre-Dawn models but its consequences on the state of the 335 hydrosphere was not fully modeled. The timing of that event depends on the properties of the rocky 336 mantle, and in particular its thermal conductivity, a parameter that is not well constrained. Hence, 337 a wide range of evolution outcomes are possible. The most likely period for the onset of thermal 338 metamorphism would be around 1 Gy, the time at which accumulated radiogenic heat reaches a 339 maximum before decreasing as heat transport outpaces the exponentially decreasing heat 340 production (Castillo-Rogez and McCord, 2010). Whether liquid release at the base of the crust or 341 added to the residual ocean at the time would be preserved until present remains to be modeled.

Third, a different evolution pathway was proposed by Travis et al. (2018) whose "mudball" model assumes long-lived convection of an ocean loaded in silicate particles. In that model, a thick ocean remains until present and the mantle temperature remains below 100°C throughout Ceres' history. Pros and cons for that model are summarized in Castillo-Rogez and Bland (2021). The main open question is whether fine rock particles would remain in suspension for billions of years and avoid

347 processes such as hydrolysis (Sirono, 2013) and flocculation (e.g., Kranck, 1973).

348 On top of these global evolution models, impacts may also act in creating transient melt (e.g., 349 Bowling et al., 2019). In particular, basin-forming impacts in Ceres' early history (Marchi et al.,

2016) likely created a significant amount of deep melt although this process and its consequenceshave not been modeled.

All of these processes are relevant to icy bodies and have already been proposed for some of them. For example, clathrate hydrates have been suggested as potentially being responsible for the preservation of liquid of other bodies with limited heat budget, such as Pluto and Callisto (Kamata et al., 2019). The occurrence of clathrate hydrates in icy moons has been predicted by previous models, e.g., Titan (Lunine and Stevenson, 1985, 1987) and Enceladus (Fortes, 2007) but their detection remains elusive. A future mission to Ceres that brings the geophysical tools necessary to probe Ceres' subsurface could distinguish between a clathrate-rich and an ice-rich crust.

359 Chemical evolution of water-rich worlds

360 Ceres' small heliocentric distance relative to other water-rich objects (e.g., icy moons of the giant 361 planets and transneptunian objects) is such that water ice is not stable at its surface. Hence, the 362 large deposit on Ceres' surface exposes products of water-rock interaction (including salts, 363 phyllosilicates, carbonates, oxides, volatile and organic compounds) that are telling about chemical 364 evolution (Section 2.1). By contrast, at other water-rich worlds, hints of non-ice compounds are 365 instead largely diluted or masked by surface water ice, especially in the case of salts. This 366 complicates even a first-order assessment of their chemistry unless non-ice materials are expressed 367 in another way (e.g., the plume of Enceladus, or – for volatiles – the atmospheres of Titan, Triton, 368 and Pluto), and some non-ice components may be radiation products rather than reflective of 369 planetary interior chemistry (e.g., Brown and Hand, 2013).

It follows that the chemical investigation of Ceres, which has bulk properties (density $\approx 2000 \text{ kg/m^3}$; volume-averaged radius 470 km) broadly similar to many water-rich moons and dwarf planets (densities $\approx 1000-3000 \text{ kg/m^3}$; radii $\approx 200-2500 \text{ km}$, e.g., Hussmann et al., 2006; Figure 2), provides a unique window into their water-rock chemistry. This includes an inference of the starting rocky and icy materials that these worlds accreted, the products of their interaction, and the partitioning of these products between the interior (solids and aqueous solution), surface, and outgassing.

377 In making such extrapolations, it is important to consider possible sources of differences in 378 chemical evolution. A first source is the diversity of formation conditions as suggested by, e.g., 379 the factor-of-three diversity in bulk densities across water-rich worlds. A second is a diversity of 380 evolution pathways linked to different dynamical environments (collisions, tidal interactions) or 381 heliocentric distances (e.g., affecting volatile escape). Nonetheless, the broad similarity between 382 the observed or inferred distribution of these products at Ceres relative to other water-rich bodies 383 confirms the usefulness of Ceres in providing information about the chemistry of other water-rich 384 worlds (Neveu et al., 2017). The variety of surface ages on Ceres (Section 2.1) facilitates an 385 assessment of the chemical changes that occurred throughout the significant fraction of solar 386 system history spanned by these ages.

387 Several processes that affect the long-term evolution of Ceres' ocean (Figure 3) are also relevant 388 to other mid-sized and large icy moons. First, a freezing ocean concentrates solutes, leading to 389 saturated solutions, as might be the case for Ceres. Chemical alteration could proceed to 390 equilibrium in Ceres, after which the ocean system would become chemically nonreactive. Release 391 of mantle volatiles to the ocean by thermal metamorphism (Melwani Daswani et al., 2021), as well 392 as radiolysis (e.g., Bouquet et al., 2017; Altair et al., 2018), can reintroduce chemical gradients 393 into the ocean and/or shift ocean redox and pH conditions, maintaining limited disequilibrium 394 (Figure 4). The effect of mantle devolatilization may be small in the case of a thick ocean but could 395 be significant in the case of a residual liquid layer, as is expected in Ceres if the brine temperature 396 is significantly below 273 K (Castillo-Rogez et al., 2019). Lastly, large impacts could potentially 397 reach Ceres' ocean, at least when the crust was thinner, and introduce diverse material serving as 398 substrate for late aqueous alteration.

The composition of evaporites recently exposed on Ceres' surface would inform the deep brine reservoir environmental conditions (Castillo-Rogez et al., submitted) and test whether these indicate a primary origin (residual endogenic liquid) or result instead from a second generation of liquid (e.g., impact melt). In order to fully investigate the nature of the brines, spatial variations of their texture, mineralogical, isotopic, elemental measurements, and phase relationships need to be resolved at the nanoscale (see Chan et al., 2018).



Figure 1 Summary of processes that ma

Figure 4. Summary of processes that may alter the chemical properties of a deep ocean over time: loss of volatile from the mantle upon thermal metamorphism, radiolysis, and impacts. Based after Altair et al. (2018); background rendering from Raoul Ranoa).

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418 Carbon partitioning and fate of organic matter in long-lived liquid reservoirs

419 Organic matter (OM) in carbonaceous chondrites and carbonaceous interplanetary dust particles 420 presents a high diversity of several thousands of organic compounds with structural and molecular 421 continuum (Remusat et al., 2015; Alexander et al., 2017). In carbonaceous chondrites, which have 422 been more extensively studied, organic matter is usually divided into two fractions: i) a soluble 423 organic fraction (SOM), made of thousands of different small molecules (including carboxylic 424 acids, amino acids, sugars, aliphatic, alcohols, e.g., Schmitt-Kopplin et al., 2010); ii) an insoluble 425 organic fraction (IOM), represented as a macromolecular structure composed of aliphatic and 426 aromatic carbon units with some heteroatoms (O, N, S) showing a high degree of cross-linking 427 (Remusat et al., 2010) in interaction with a more volatile aromatic fraction containing molecular 428 diversity (Danger et al., 2020). The diversity and abundance of organic matter identified to date in 429 carbonaceous chondrites may both reflect a diversity of organic materials present in the protosolar 430 nebula before planetary accretion (e.g., Kerridge 1999), and further enhancement via hydrothermal 431 synthesis in chondritic parent bodies at conditions revealed in their mineral makeup (e.g., Brearley, 432 2006). The degree of organic matter transformation during hydrothermal activity is a major 433 question in astrochemistry with implications for carbonaceous asteroids, Ceres, icy moons, as well 434 as Mars' surface. The analysis of CM chondrites indicate that the proportion of IOM in CM 435 chondrites diminishes with increasing alteration, while carbonate abundance increases 436 concomitantly (Alexander et al. 2015), indicating a degradation of OM. Also, for amino acids 437 found in some specific CC meteorites, such as CI, CM, CR, and the ungrouped Tagish Lake (e.g., 438 Pizzarello et al., 2001, Pizzarello and Holmes, 2009), the relative abundance of amino acids 439 declines with increasing degree of alteration in the CC, indicating that aqueous alteration processes 440 altered the organic matter (Glavin et al. 2010).

441 Experimental studies showed that organic molecules are transformed during simulated aqueous 442 activity (Kebukawa et al., 2013, 2020; Vinogradoff et al., 2018), producing numerous new soluble 443 molecules and an insoluble organic matter with time and temperature. The nature and extent of 444 organic matter evolution likely depends on the nature of the molecules, the interaction with the 445 minerals, and the fluid properties (Vinogradoff et al., 2020; Haas et al., 2020; Gil-lozano, 2020). 446 The correlation of organic material with phyllosilicates and carbonates observed at the surface of 447 Ceres (De Sanctis et al., 2019; Raponi et al., 2017), suggests a close relationship between these 448 phases and a possible co-evolution during hydrothermal processing. Ceres being the first main belt 449 object at which strong and incontestable OM signature has been detected, further observations and 450 analysis of this assemblage is of primary importance for understanding the evolution of organic 451 matter in hydrothermal systems. Quantifying the extent of organic matter transformation in Ceres 452 requires new observations, models and experiments in order to better constrain the role of the 453 abundance of minerals, the water to rock ratio, and the salinity. Developing that knowledge will 454 also be valuable for predicting the chemical evolution of organic material in carbonaceous-rich asteroids and ocean worlds. 455

456 Observations of organic matter in carbonaceous chondrites reveal a close association of likely 457 soluble compounds with minerals, especially Mg-phyllosilicates after hydrothermal alteration (Le 458 Guillou et al., 2014; Vinogradoff et al., 2017). Considering the similar composition of organic 459 matter on Ceres' surface and its association with silicates, the differentiation event may have led 460 to accumulation of organic matter in the rocky crust, simultaneously to geochemical processes. 461 Fundamental thermodynamic considerations identify graphite, methane, and carbonate as the 462 stable endmember carbon compounds depending on geochemical parameters including 463 temperature, redox state, and pH, and assuming a long-lived system. Kinetic factors may play a 464 role in the long-term evolution of natural carbon systems, and metastable organic compounds, such 465 as kerogen of intermediate oxidation state (Seewald et al., 1998), may be important carbon 466 reservoirs on long timescales (e.g., Shock & McKinnon, 1993; Neveu et al., 2017). Increased 467 temperature, clay catalysts, and increased brine concentration tend to make water a better solvent 468 for organics, and as a result the organics tend to be more reactive (less stable) in those 469 environments (Siskin and Katritzky, 2001). On the other hand, if the system stayed cold, for 470 example, then even over billions of years the kerogen-like insoluble organic matter may be 471 preserved because of kinetics. Insoluble organic matter should start to evolve at temperatures 472 above ~125 °C, which is expected in Ceres' mantle if the latter is compact (Castillo-Rogez et al. 473 2019). As the temperature of an aqueous system approaches the critical temperature for water, 474 increased solubility of organic compounds and thermodynamic laws may lead to greater reactivity.

475 This process is consistent with observations of long-lived terrestrial fluids. Fracture fluids from 476 the Kidd Creek mine in Canada, which have been dated to 2.6 Ga (Holland et al., 2013), may 477 represent the best terrestrial analog for abiotic carbon chemistry in long-lived liquid reservoirs. 478 Sherwood Lollar et al. (2021) identified three unique characteristics for Kidd Creek fluids in 479 comparison to other terrestrial fluids: high concentrations of formate and acetate, acetate/formate 480 molar ratios > 1, and ¹³C enrichments of acetate. They attributed these characteristics to abiotic 481 organic synthesis, including both mineral catalysis and radiolytic production, and suggest that the 482 ¹³C enrichment may be linked to a dissolved inorganic carbon source. In particular, they noted that 483 the shorter residence times and lower acetate and formate concentrations reported at 484 Witwatersrand Basin (Kieft et al., 2018; Onstott et al., 2006a,b) and the longer residence times and 485 higher concentrations of acetate and formate at Kidd Creek are consistent with experimental 486 radiolytic production rates for formate and acetate from carbonate and/or bicarbonate ions derived from dissolution of carbonates (Costagliola et al., 2017). Thus, radioactive decay of U, Th, and/or
K may drive carbon chemistry away from thermodynamically stable carbonates towards organic
acids. Such a scenario may ideally represent aging of carbon phases in aqueous systems.

490 Radiolysis may drive the environmental conditions towards more reducing conditions that 491 eventually favor CH₄ production. Modeling of H₂ production suggests that for an intermediate-492 sized body like Ceres, radiolysis may contribute significantly to H₂ (Bouquet et al., 2017). While 493 it has been suggested that the reduction of CO₂ to CH₄ may be kinetically inhibited in conditions 494 relevant to Ceres (McKinnon, personal communication), the low H₂/CH₄ ratio of Kidd Creek fluids 495 may provide evidence for formation of CH₄ in long-lived natural systems, perhaps via mineral 496 catalysts (Sherwood Lollar et al., 2002; Reeves and Fiebig, 2020). Such considerations have 497 previously been used to distinguish between modern and ancient formation of H₂ at Enceladus 498 (Waite et al., 2017). The rate of radiolysis would be highest early in solar system history; however, 499 the long half-lives of U and Th especially would lead to continued, significant radioactivity into 500 the present era. Equilibrium speciation suggests U and Th remain in mineral phases under plausible 501 ocean world conditions (Neveu et al., 2017), while K may leach into aqueous phases. 502 Hydrothermal models of Ceres interior predicted serpentinization may cease within 2 to 3 Myr, 503 but that radiogenic heating would maintain a mud mantle slurry with intimately intermixed mineral 504 and fluid phases (e.g., Travis et al. 2018).

505 On Ceres, analyzing the detailed brine composition and searching for organic compounds 506 embedded in the evaporites would help assess the state of the organic matter in Ceres' crust and 507 residual ocean. The understanding of the co-relationship of salts and organic compounds upon 508 freezing in conditions relevant to planetary bodies (e.g., potential flash freezing on an airless 509 surface) is limited by lack of experimental work and relevant terrestrial analogs, although work in 510 this area is expanding (Thomas et al., 2019; Buffo et al., 2020; Fox-Powell et al., 2021). In 511 particular, two examples of extraterrestrial halite clasts have been found in the meteorite 512 collections (Rubin et al., 2002) whose study has revealed various types of organic compounds on 513 a scale of a few nanometers (Chan et al., 2018). Although the origin of these clasts is unknown, 514 they are likely representative of the evaporite material found at Ceres (e.g., Fries et al., 2014).

515 Role of impacts in enabling subsurface-surface material transfer

516 Fractures created by large impacts on Ceres' surface could tap shallow fluid pockets in the crust, 517 as has been suggested in the case of Occator crater (Quick et al., 2019; Raymond et al., 2020). 518 More generally, evidence for old evaporites has been found in association with floor fractures at 519 large craters such as Dantu (Stephan et al., 2018). Hence, it is likely that large impacts helped open 520 pathways between the deep interior and the surface throughout Ceres' history. Domes found across 521 the surface further indicate that volcanic or intrusive activity could have been a widespread process 522 in space and time (Sori et al., 2018), but whether impact-induced fractures enabled that process is 523 unknown. Davison et al. (2015) suggested that larger, basin-forming impacts could potentially 524 excavate Ceres' upper mantle, which could potentially lead to regional-scale distribution of mantle 525 material (Marchi et al., 2016).

Transfer of material in Ceres is expected to be unilateral, helped by the fact that the residual brine layer or reservoir is likely under pressure (e.g., Neveu and Desch, 2015). On the other hand, impactors could have contributed new material to the crust. Indeed, recent studies emphasized that an icy crust can retain a large fraction of impactor material. Bowling et al. (2019) modeled that up to 70wt.% of impactor material could be embedded in the melt chamber created by the impactproduced heat. Similarly, Marchi et al. (2018) showed that a large fraction of impactor material would accumulate in Ceres' outer 5-10 km.

533 In summary, impacts can facilitate material transfer and introduce new material into the crust. As 534 shown in the case of Occator crater, impacts energetic enough to create fractures that reach below 535 the crust can lead to the mixing of brine material with impact melt in the shallow subsurface. This 536 situation can introduce geochemical gradients in the crust. The redistribution of impact-induced or 537 residual deep and crustal brines can be driven by the thermal alteration of the surface after impact, 538 driving brines through the subsurface and towards the surface through a combination of 539 hydrologic, topographic, and material gradients (Schmidt et al., 2020), which is common in 540 terrestrial environments with freezing or frozen ground. Similar cryo-hydrologic and hydraulic 541 formation of surface features, including shallow brine zones, has been proposed for Europa 542 (Schmidt et al., 2011; Schmidt, 2020) and may be relevant for Ceres (where it could be investigated 543 in relative detail) and other ocean worlds. Since the freezing of brines can chemically fractionate 544 this potential mixture of initial and impactor material (e.g., Buffo et al., 2020; Buffo et al., 2021, 545 Fox-Powell et al., 2021), much about drivers of chemical evolution in crustal water solutions could be learned from geophysical probing of the region below Occator crater. Such probing includes
visualization of its fracture network and the geometry of the residual impact melt chamber using
radar techniques and electromagnetic sounding (Grimm et al., submitted).

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3.2 Other Ceres Science Themes

552 Early Solar System History and Dynamical Evolution

The widespread occurrence of ammoniated phyllosilicates and salts and carbon on the surface of Ceres suggests that Ceres must have formed in a region of the Solar System where primordial thermodynamic conditions allowed it to effectively retain large amounts of nitrogen and carbon. Places of formation located in the main asteroid belt, in the interplanetary disk between the orbits of Jupiter and Neptune, or in the Kuiper belt located beyond the orbit of Neptune, can be considered, assuming that Ceres (or the materials from which Ceres formed) then migrated from those regions up to today's position.

560 While the Jupiter-Saturn region has been proposed as the source of most C-type (carbonaceous 561 and hydrated) asteroids and may represent Ceres' most likely formation region (e.g., Raymond 562 and Izidoro, 2017; Raymond and Nesvorny, 2020), in recent times the possibility has materialized 563 that the core of Jupiter itself may have formed further from the Sun and then migrated inward, 564 dragging with it a multitude of minor bodies (Bosman et al., 2019). The overabundance of 565 elemental nitrogen measured in Jupiter's atmosphere with respect to the protosolar value (Atreya 566 et al., 2018) implies that the planet's core must have formed outside or just inside the N₂ ice line 567 (Öberg and Wordsworth, 2019; Bosman et al., 2019). The position of the latter is difficult to 568 quantify in the primordial Solar System, but it is of the order of a few tens of AU, which moreover 569 would point toward a pebble accretion or gravitational instability origin for Jupiter (Bosman et al., 570 2019). Alternatively, of amorphous ice with adsorbed volatiles may have drifted inward to enrich 571 the gaseous phase of the protosolar nebula with elements such as N, once this ice complex crosses 572 the amorphous ice snowline, that is the amorphous-to-crystalline ice transition zone.

573 The rapid formation of Jupiter likely destabilized the orbits of nearby planetesimals. Under the 574 action of the aerodynamic drag due to nebular gas, a fraction of planetesimals was deposited onto 575 stable orbits inside Jupiter. This process is effective in populating the main outer belt with C-type 576 asteroids that originated from a large region (5-20 AU) of the disk (Raymond and Izidoro, 2017). 577 However, this scenario implies that we should find many other small bodies in the asteroid belt 578 with a composition similar to Ceres, whereas Ceres' bulk composition is atypical compared to C-579 type asteroids. An explanation for this apparent paradox may be that Ceres may have undergone a 580 certain degree of pebble accretion before being scattered inwards. Because the efficiency of this 581 process is directly proportional to the size of planetesimal (Johansen et al., 2015), a primordial 582 body the size of Ceres could have grown more volatile-rich from pebbles that originated in the 583 outer solar system than similar bodies of smaller size (Kretke et al., 2017). Alternatively, Ceres 584 could have started out from the same composition as C-types but now displays a different surface 585 composition because its physico-chemical evolution was different. (e.g., more prolonged and/or 586 hotter aqueous alteration, material concentration on the surface).

A competing origin for the high abundance of ammonia found at Ceres is that it was released from the metamorphism of organic compounds (McSween et al., 2018). Experimental work by Pizzarello and Williams (2011) suggests that pressures of tens of megapascals and temperatures of a few hundred degrees are sufficient to drive organic breakdown on a short timescale. These conditions are expected to have prevailed in Ceres' mantle for an extended period of time (Castillo-Rogez and McCord, 2010).

593 In summary, the origin of Ceres is a major open question with fundamental implications about the 594 origin of volatiles and organic matter in the inner solar system (e.g., Budde et al., 2019), the 595 mechanisms driving the solar system architecture, and the role that large planetesimals could have 596 played by impacting the growing planets (e.g., Salmon and Canup, 2019). Castillo-Rogez et al. 597 (2020) pointed out that analysis of returned material from Ceres in Earth's facilities is the best 598 approach to resolving the origin of Ceres because the complex and evolving chemical and 599 dynamical framework describing the early solar system warrant adaptability in the measurements 600 to be carried out, and because of additional complications specific to dealing with a very large 601 planetesimal (e.g., pebble accretion, alteration during evolution).

602 Ceres as a natural laboratory to study geological processes in ice-rich crust

603 Geological history is well recorded on Ceres relative to resurfaced worlds like Europa. Ceres may 604 also represent an advanced, less active state of worlds like Europa, and thus represents a major 605 endmember on the varied spectrum of icy objects. In both ways, Ceres may serve an analogous– 606 and as important–role in our understanding of icy worlds as the Moon does in our understanding 607 of terrestrial planets.

608 As a result of Ceres' special role in our understanding of icy worlds, many icy geological processes 609 that operate throughout the Solar System would be elucidated by further study at Ceres (Figure 5). 610 Impact cratering, one of the dominant geological processes in the Solar System, has been shown 611 to result in similar crater sizes and morphologies on Ceres as on other icy bodies (Hiesinger et al., 612 2016; Schenk et al., 2021), and thus Ceres holds promise for more detailed study of impacts into 613 icy targets. Cryovolcanism has likely been an important process of interior-surface exchange on 614 a number of icy worlds (e.g., Enceladus, Spencer and Nimmo, 2013), and Ceres provides an 615 opportunity for further study of its mechanisms and products. Geophysical analysis of icy crustal 616 structure has been performed on Ceres with more precise data than on any other icy object (Park 617 et al., 2020), but can be greatly improved upon with future missions. Tectonic processes likely 618 also occur on Ceres (e.g., Bland et al., 2019; Ruiz et al., 2019) and may elucidate icy tectonics in 619 general. Geologic processes on Ceres including tectonics, landslides and other material flows 620 (e.g., Schmidt et al., 2017; Hughson et al., 2019) as well as cryo-hydrologic features (Schmidt et 621 al., 2020) may involve a mixture of silicates, ice, and water common to glacial environments on 622 the Earth and Mars, and thus represent another opportunity to explore this understudied physical 623 regime. Additional study of Ceres' interor structure would inform when and how ice-rich bodies 624 differentiate, a process with major implications for planetary evolution. Finally, analysis of the 625 Dawn data raised the question of the level of exogenic inputs to the surface and shallow subsurface 626 of water-rich airless bodies (Vernazza et al. 2017; Marchi et al. 2018). This topic is critical because 627 the introduction of exogenic material into the crust, especially if it also involves impact melt, 628 carries the potential to significantly alter the crustal composition (Bottke et al., 2013). In many 629 ways, Ceres thus provides an accessible natural laboratory for understanding icy geological 630 processes.



631

632 Figure 5. Examples of landforms found at Ceres that make it a natural laboratory to study geological 633 processes in ice-rich crust. Left column: Expressions of brine-driven activity: (a) recent evaporite exposure 634 in Occator crater; (b) Ahuna Mons, a volcano built with rock and brine (Ruesch et al., 2016, 2019a); (c) close-635 up view of Cerealia Facula. Cerealia Tholus in the center displays evidence of hydrohalite at its top (here 636 appearing in light pink in this enhanced color version, De Sanctis et al., 2020). Middle column: influence of 637 ice on crater properties: (d) direct exposure of ice at Oxo crater (Combe et al., 2016); (e) fresh salt-rich ejecta 638 and central crest in Haulani crater (Tosi et al., 2018); (f) flat floor resulting from impact-generated heat and 639 melting in Ikapati crater. Right column: other ice-driven landforms found on Ceres' surface: (g) glacier-like 640 features in Juling crater (Raponi et al., 2018); (h) landslide whose morphology indicates a high ice content 641 (Schmidt et al., 2016); (i) Normal faulting in an ice rich crust at Nar Sulcus in Yalode crater (Hughson et al.,

642 2018). Credit: NASA/JPL-Caltech/UCLA/MPS/DLR/IDA, based on PIA22090 that contains details information
643 on the origin of these pictures.

644 Ceres as a natural laboratory for understanding processes affecting airless bodies

Transient atmospheres and exospheres of airless bodies are known on Mercury, the Moon, and some icy moons of the outer solar system. These are caused either by an interaction of the space environment (meteorites, radiation) with the surface or by endogenic processes. Thus, a systematic study of these phenomena can reveal important clues on the nature of surfaces and subsurface geologic processes.

650 Water ice sublimation due to solar irradiation and solar wind particle bombardment are possible 651 mechanisms for Ceres' weak exosphere (e.g., Landis et al., 2018). Some of the released water 652 molecules can be re-trapped in permanently shadowed polar depressions, leading to thin ice 653 deposits (Schorghofer et al., 2016; Platz et al., 2017). In addition, endogenic sources of various 654 molecules (H₂O, CH₄, CO₂) are conceivable (Nathues et al., 2017). Cryovolcanic processes, as 655 suggested for Occator crater (e.g., Nathues et al., 2020), could be responsible for the development 656 of a transient exosphere composed of different molecules and particles. Thus, Ceres is expected to 657 be an ideal target to characterize the exosphere or transient atmosphere of an airless body. 658 However, the search for these features has proved difficult. While a thin OH exosphere was 659 concluded from observations of the International Ultraviolet Explorer by A'Hearn and Feldman 660 (1992), other studies using ground-based and space-based telescopic data could not confirm its 661 existence (Rousselot et al., 2011; Roth et al., 2016, 2018). Kueppers et al. (2014) reported 662 occasional water vapor from spectral data of the Herschel space observatory. Further evidence of 663 a transient cerean atmosphere was derived from observations of Dawn's Gamma Ray and Neutron 664 Detector upon arrival at Ceres (Villarreal et al., 2017), likely correlated with solar activity. 665 However, since the Dawn payload was not designed to detect an exosphere or a thin atmosphere, 666 their density and composition are until today largely unexplored.

Exposed water ice, which could be a possible source for an exosphere, was detected at several sites
(Combe et al., 2016, 2018); even a seasonal variation of ice exposure in the Juling crater has been
reported by Raponi et al. (2018). In addition, high phase angle imaging with the Dawn Framing
Camera revealed an unusual light scattering behavior associated with the Occator faculae. This

671 unique light scattering was attributed to an additional component to the solid surface, i.e., an 672 optically thin and near-surface haze layer (Nathues et al., 2015, 2019; Thangjam et al., 2016). The 673 hydrohalite detected by De Sanctis et al. (2020) at Occator tholus is a possible source for the 674 ongoing production of water and haze. The database on exospheric observable effects, events, and 675 sources at Ceres is still sparse and requires follow-on observations to derive radial density 676 distribution, composition, and flux rates.

4. Approaches to the Future Exploration of Ceres

This section addresses approaches to further the exploration of Ceres, with future spacecraft but also via theoretical and experimental research, field work at terrestrial analogs, and Earth-based observations.

681 **4.1 Future Missions**

682 A future mission to Ceres could address open questions about Ceres' past and current habitability 683 potential and address some of the aforementioned processes in greater depth. Direct surface access 684 of material evolved from the deep brines provides a unique opportunity to investigate the evolution 685 of long-lived oceans. A recent study developed under NASA's Planetary Mission Concept Study 686 (PMCS) program showed that significant progress in our understanding of Ceres as an evolved 687 ocean world requires resources offered by NASA's New Frontiers and Flagship programs 688 (Castillo-Rogez et al., submitted). The PMCS study identified two mission architectures that can 689 address a majority of the science questions listed above under a *New Frontiers* cost cap: a sample 690 return from an evaporite-rich site in Occator crater, or a hopper that explores the evaporites and an 691 additional site (Figure 6). Both concepts include an orbital phase for landing site selection and 692 certification prior to high-precision landing. For little additional cost, the orbiter can accommodate 693 high-resolution imaging and gravity measurements at a handful of sites of interest to address past 694 and current activity. Landed investigations are required for interior probing and compositional 695 analysis either via sample return or using a combination of instruments for elemental, 696 mineralogical, and isotopic measurements of evaporites and floor (non-evaporite) material. 697 Electromagnetic sounding was identified as the most promising approach for probing Ceres' 698 interior for deep brine distribution (Grimm et al., in press).

699 Ceres' low gravity renders wheeled platforms mostly impractical but a lander can access multiple 700 sites on Ceres via thruster-assisted hopping (Figure 5). The number and separation of sites that 701 may be reached depend on mass budget and risk posture. Scully et al. (accepted) demonstrated 702 that many potential landing sites are available in the regions of interest based on the best resolution 703 data returned by the Dawn mission. Furthermore, that study assessed the accessibility of sites at 704 Haulani craters and Ahuna Mons, which are also of scientific interest: Haulani's ejecta are 705 excavated from the shallow crust, which records the conditions in Ceres' early ocean, whereas a 706 greater understanding of Ahuna Mons' composition, flank structure, and subsurface structure 707 would help narrow down the mechanism driving the transfer of material from the upper mantle 708 brine region to the surface.



709

710 Figure 6. Two architectures proposed for addressing key open guestions about Ceres' endogenic activity, 711 habitability potential, and origin. The in situ architecture is a hopper that explores two sites at Occator crater, 712 the first one at the northeastern ejecta blanket called Homowo Regio and the second one in the Vinalia 713 Faculae. The second concept would return a sample from the Vinalia Faculae. Both concepts would include 714 an orbital phase during which high-resolution imaging and gravity data are acquired at key landforms of 715 potential cryovolcanic origin. Also, in both concepts, the in situ phase includes an electromagnetic 716 investigation that would map the distribution of brines below Occator crater, down to 50 km depth (Castillo-717 Rogez et al., submitted). Credit: Raoul Ranoa.

718

Required Technologies for Future Missions to Ceres: Few new technologies are required for a future in situ or sample return mission at Ceres. An important one identified by the Ceres PMCS is the certification of existing retractable solar arrays in Ceres-relevant environments. Other required technologies already leverage investments for previous projects, e.g., terrain relative navigation (Mars 2020) and throttleable descent engines (Europa Lander pre-project).

724 **4.2. Supporting Activities**

The list of activities below needed to progress with our understanding of Ceres and prepare for
future exploration is not exhaustive but illustrates possible pathways.

727 Data Analysis: In order to increase the science return from the Dawn mission and prepare for a 728 follow-on mission to Ceres, we recommend continuous support to the following areas; (1) Data 729 Analysis Programs would support a vast array of investigations by the broad community that build 730 on, revisit, and expand upon previous analyses of the Dawn data; (2) High-quality geologic map(s) 731 of regions of interest for future landed missions would be integral to planning future observations 732 for landing site reconnaissance and selection; (3) Comparative analysis of the morphologies of 733 ejecta deposits, debris flows, structures, and constructional features on Earth, Mars, Ceres, and icy 734 moons.

735 Theoretical and Experimental Research: Future exploration of Ceres would benefit from work on 736 terrestrial and laboratory analogs with a focus on better understanding the physics and chemistry 737 of brines, organic matter, and mud mixtures in mid-size bodies, which are emerging topic of 738 importance to ocean worlds. Specific support for the following studies is recommended. 739 Laboratory research on salt clasts present in meteorite collections, which have been suggested to 740 come from Ceres or a Ceres-like body (e.g., Chan et al. 2018); thermophysical properties of brines, 741 hydrated salts, and clathrates; mechanical response of evaporites to impacts, a key input to deriving 742 the faculae model ages based on crater counts, which is key to many science drivers; effect of 743 radiolysis in the creation of redox gradients in ocean worlds, for example, to understand the 744 efficiency of this process and its potential to create local habitable zones; irradiation processes 745 (ion, UV) that modify the infrared signatures of minerals and organic compounds; behavior of 746 brine and mud mixtures in zero-pressure surface environments at Ceres temperatures, e.g., flow

properties such as fluid-rich debris flows and impact ejecta; devolatilization process of the evaporites in a vacuum for water budget and evaporite deposit age estimates; experimental simulation of brine/organic/mud convection to inform the understanding of thermal evolution and material transfer in the interiors of mid-sized icy bodies; synthesis and characterization of analog materials to provide comparison datasets at appropriate conditions for Ceres' surface, and to support the definition and development of new instruments, e.g., to investigate organic carbon in mud and/or salt mixtures.

754 Work on Terrestrial Analog Sites: Geomorphological and first-order geochemical analog sites for 755 regions of interest on Ceres exist throughout the solar system, especially on the Earth and Mars. 756 Of specific interest are soda lakes (Castillo-Rogez et al., 2020), hydrothermal systems, landslide 757 and ejecta deposits, salt domes and other salt tectonics structures, and periglacial features such as 758 rock glaciers, protalus lobes, and pingos (Schmidt et al., 2017, 2020). Potential analog sites on 759 Earth can be used for testing relevant planetary instruments, surveying strategies, and sampling 760 systems required for future missions to Ceres. This is particularly relevant for landed assets where 761 little is currently known about the sub-meter scale geology and physical properties of the surface.

762 Periglacial environments on Earth are also scientifically interesting analogs for various locations 763 on Ceres because of its inferred subsurface ice content (e.g., Prettyman et al., 2017) and myriad 764 surface features whose morphologies suggest an icy origin (e.g., Schmidt et al., 2017, 2020; Hughson et al., 2019). Beyond magnetotelluric sounding identified in the PMCS to investigate 765 766 Ceres' deep subsurface (Grimm et al., submitted), techniques such as ground penetrating radar, 767 frequency- and time-domain electromagnetic sounding could further reveal Ceres' shallow 768 stratigraphy. Deploying these techniques in analog periglacial environments would enhance the 769 interpretation of future electromagnetic geophysical results from Ceres (e.g., 770 https://schmidt.eas.gatech.edu/pingo-starr/). While glaciers and ice sheets have been extensively 771 subjected to geophysical investigation, more Ceres-like analogs such as protalus lobes, alpine 772 tundra, and pingo covered landscapes have remained relatively understudied. Understanding how 773 electromagnetic systems respond in both evaporitic and periglacial environment, as well as a 774 deeper geophysical understanding of periglacial systems on Earth are critical for preparing for 775 landed geophysical exploration of Ceres.

776 Ground-Based Observations: Telescopic observations from Earth or near-Earth space should 777 continue, for example to address the following questions: (a) the origin of Ceres' sporadic 778 outgassing activity with regular (perihelion and seasonal) and reactive observations following the 779 release of solar energetic protons (Villarreal et al., 2017); (b) additional constraints on Ceres' 780 surface composition from observations in the ultraviolet (e.g., Hubble Space Telescope) and mid-781 infrared wavelengths (e.g., James Webb Space Telescope Mid-InfraRed Instrument), which are 782 complementary to the 0.4-5 µm range observed by Dawn. The next generation of space telescopes 783 could also revolutionize the study of Ceres. The Large UV, Optical and InfraRed Surveyor mission 784 (LUVOIR), a 15 m or 8 m primary space telescope being considered for selection by the 2020 785 Astrophysics decadal survey, made the case for further spatially resolved imaging (11-20 786 km/pixel) and spectroscopy (tens of km) of the surface of Ceres. The high spectral resolution of 787 LUVOIR or similar telescopes across the UV-near IR wavelength range would allow global studies 788 of Ceres' composition, and searches for outgassing in the UV (LUVOIR Team 2019).

789 **5. Conclusions**

790 Ceres is a rich destination that displays evidence for features pertinent to ocean world science, 791 such as forms of brine-driven effusion, organic matter, geological processes, impact melt, etc. It is 792 also the large ice-rich object closest to Earth, accessible for in situ exploration and/or sample return 793 under a New Frontiers- or small Flagship-class cost cap because of its relative low gravity. 794 Sampling freshly exposed evaporites would provide insights into the chemical and physical 795 properties of a long-lived brine reservoir and test hypotheses of interest to ocean worlds, such as 796 the prospect for the late enrichment of a residual ocean in volatiles released from the mantle and 797 radiolysis as a long-term chemical energy source. Long-lived activity in Ceres requires processes 798 or crustal properties that remain to be confirmed but are expected to also apply to other dwarf 799 planets and icy moons. More generally, Ceres might be the first example of "muddy" ocean world, 800 a class of bodies that might be frequent among mid-sized icy bodies whose hydrosphere may be 801 frozen but whose mantle porosity is filled in with brines for an extended period of time. Processes 802 known to occur in Earth' sediments, such as organic chemistry or radiolysis, are presumably 803 relevant and their occurrence in Ceres may be tested in future exploration.

804 Per its high content in nitrogen and carbon, Ceres (or at least the materials from which it formed) 805 is suspected to originate from the outer solar system, although competing hypotheses remain. 806 Resolving this question is fundamental to firmly anchor our understanding of early solar system 807 evolution and the origin of water and organics in the inner solar system. Hence, determining Ceres' 808 origin, the relationship of its volatiles and organics to other inner solar system bodies (icy moons 809 and other dwarf planets), and implications for solar system dynamical evolution are additional 810 drivers for the future exploration of Ceres.

811 Several recent mission concepts have independently emphasized science objectives that include a 812 quantification of Ceres' past and current habitability and use Ceres as a test case for unraveling 813 the habitability, over time, of volatile-rich bodies. The Ceres Planetary Mission Concept Study 814 concluded that significant progress along the Roadmap to Ocean Worlds (Hendrix et al., 2019) can 815 be achieved with in situ exploration, either at multiple sites, or at a single site and with a sample 816 return. However, only a sample return mission can fully retire questions about Ceres' origin and the habitability potential of its residual brines. A mission returning a sample from Ceres' 817 818 evaporites would address cross-cutting astrobiology goals pertinent to ocean worlds and is also being recommended by independent groups (Burbine and Greenwood, 2020; Gassot et al., 2020; 819 820 Shi et al., submitted).

821 Supporting scientific work, in the form of experimental research on Ceres material analogs, ground-based telescopic observations, and continued analysis of the Dawn data, is needed to 822 823 further increase the science return from the Dawn mission and pave the way for follow-on 824 exploration. Lastly, a future mission to Ceres that would optimistically kick off in the mid-2020s 825 and return a sample by 2045 requires the participation of a diverse, inclusive, and thriving 826 community.

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