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A Red Light-Driven CO-releasing Complex: Photochemical and Photophysical Properties of Highly-Distorted Tricarbonyl Rhenium Phthalocyanines

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Abstract: A complex comprising one $[\text{Re}(\text{CO})_3]^+$ unit and a phthalocyanine (Pc) ligand (Re_1Pc) is shown to function as a photoinduced CO-releasing molecule (photoCORM) in the presence of O₂ and a coordinative solvent under irradiation with red light, which can deeply penetrate living tissues. Transient absorption spectroscopic measurements indicate very short excited-state lifetimes and ultrafast intersystem crossing for Re_1Pc and Re_2Pc , which contains two $[\text{Re}(\text{CO})_3]^+$ units. The excited-state properties are ascribed to efficient spin-orbit coupling and large Franck-Condon factors originating from the complexes' distorted structures, i.e., unsymmetric coordination of $[\text{Re}(\text{CO})_3]^+$ unit(s), one of which was confirmed via single-crystal X-ray analysis of a symmetrically-substituted Pc with two $[\text{Re}(\text{CO})_3]^+$ units. Re_1Pc represents a promising red light-driven photoCORM that can be applied in biological environments or therapeutic applications.

Introduction

Carbon monoxide (CO) is a well-known toxic molecule that can cause accidental carbon monoxide poisoning. Until the early 1990s, it was theorized that CO might also play a physiological role owing to its vasorelaxation effect, which is similar to that of nitric oxide (NO).^[1,2] More recently, a large amount of evidence has confirmed that endogenously-produced CO is a bifunctional molecule that can contribute to homeostatic control of cardiovascular effects in various vascular components; clinical trials involving CO have also been conducted.^[3-8] As a result, investigations of various CO-releasing molecules (CORMs) have attracted significant attention. It is crucial that CORMs can safely deliver and release CO to targeted living tissues while maintaining

stability in biological systems. Metal complexes have been studied for this purpose because CO is inactivated when it coordinates to the metal center owing to strong π -back donation, and CO is released only after a trigger is introduced.^[9-12] The photodissociation of CO is another promising phenomenon that can be exploited by activating CORMs with light of an appropriate wavelength, i.e., photoCORMs. It is advantageous to be able to photo-control the release of CO and, therefore, CO photodissociation using UV light has been investigated with numerous metal carbonyl complexes.[13-25] Ligand modifications enable the metal carbonyl complexes to release CO via visible light irradiation.^[26-36] The major challenge involved in developing photoCORMs based on metal carbonyl complexes is releasing CO upon irradiation with red or near-infrared (NIR) light, which can deeply penetrate living tissues. Some metal carbonyl complexes that can release CO under red light conditions have been developed.^[30,36] With the goal of using red or NIR light, phthalocyanine (Pc) molecules and their analogues are promising because of the large absorption coefficients (>10⁵) of the Q absorption bands in the red or NIR spectral regions.^[37] Ruthenium Pc and naphthalocyanine (Nc) complexes containing one CO as the axial ligand were shown to function as photoCORMs under red light irradiation via stepwise two-photon excitation using nanosecond pulsed laser sources.[38] However, wider applications of RuPc and RuNc complexes are limited because they require expensive pulsed lasers. Thus, it is strongly desired to develop new Pc-based CORMs driven by continuous-wavelength (CW) red light, which is a more conventional light source. In this case, it is important to balance the complex's stability under ambient light with its facile release of CO under relatively intense CW red light.

Herein, we report a novel CW red light-triggered photoCORM comprising a Pc complex with one tricarbonyl rhenium(I), [Re(CO)₃]⁺ unit ((tetra-tert-butylphthalocyaninato)tricarbonyl rhenium(I); Re1Pc), as shown in Figure 1. The photochemical and photophysical properties of the two rhenium(I) Pc complexes (Re1Pc and Re2Pc; Figure 1) were investigated, revealing characteristic properties, including sharp, intense Q-bands, which enabled the use of red/NIR light (745 and 798 nm for Re1Pc and Re₂Pc, respectively) and high stability against oxidation.^[39] As expected, Re1Pc behaves as a photoCORM, simultaneously releasing three CO molecules in the presence of CW red light, molecular oxygen, and a coordinative solvent, e.g., pyridine or acetonitrile. Importantly, Re1Pc is stable during synthetic, purification, and measurement processes under ambient conditions. Furthermore, transient absorption measurements indicated that the excited-state lifetimes of Re1Pc and Re2Pc were very short because of ultrafast intersystem crossing (ISC). These observations are quantitatively explained based on (i) efficient spin-orbit coupling (SOC) due to the heavy atom effect of Re ions and (ii) the distorted structures with unsymmetric coordination of the [Re(CO)₃]⁺ unit(s). Thus, a stable, Pc-derived, CW red lightdriven CORM was successfully developed and provides a basis for designing photoCORMs for biological or therapeutic applications.



Figure 1. Concept image of Re2Pc and Re1Pc.

Results and Discussion

Single-crystal X-ray structural analysis: The Re(I) Pc complexes are characterized by structural distortions due to the coordination of isoindole units, which cause the [Re(CO)₃]⁺ unit(s) to protrude from the Pc plane; these structures were computed using density functional theory (DFT).^[39] Single crystals were obtained for X-ray structural analyses to experimentally confirm the predicted structural distortions. Re2Pc may contain isomers because of the substitution pattern of four tert-butyl groups on the Pc ring^[39,40]; therefore, a Pc ligand symmetrically substituted with eight n-butoxyl groups (i.e., 2,3,9,10,16,17,23,24-octabutoxyphthalocyanine; H₂ObPc) was used for crystallization.^[41-46] Re2ObPc was synthesized by heating a decalin solution containing Re₂(CO)₁₀ and H₂ObPc under a nitrogen atmosphere, and its single crystal was successfully obtained by vapor diffusion. Although some disorder was observed in the butoxyl substituents,^[47] the single crystal obtained was sufficient for X-ray structural analysis, providing a final R_1 of 0.0384 (I > 2σ (I)).

In the **Re₂ObPc** crystal, the two [Re(CO)₃]⁺ units are located on different sides of the Pc plane and are coordinated by the oppositely-inclined isoindole units (Figure 2). The other two isoindole units adjacent to the coordinating isoindole units are not inclined. Thus, the Pc skeleton in **Re₂ObPc** is clearly distorted, as shown in the side view of the crystal structure (Figure 2b). The single-crystal structure is consistent with the optimized structure calculated by DFT, and it is also analogous to the crystal structure previously reported for [Re(CO₃)]₂TPP (TPP: tetraphenylporphyrin).^[48] The data for single-crystal X-ray structural analysis are summarized in Tables S1 and S2.



Figure 2. (a) Top and (b) side views of the single crystal structure of Re₂ObPc.

Photodissociation of CO: To investigate the possibility of CO photodissociation, the photochemical properties of Re_1Pc and Re_2Pc were investigated. Figure 3 shows the spectral changes in a solution of Re_1Pc in pyridine (0.01 mM, 3 mL) under CW red light irradiation. Upon increasing the irradiation time, the Q-band of Re_1Pc at 722 nm decreased in intensity, while a new absorption band grew at 633 nm. After 390 min of red light irradiation, no further spectral changes occurred. Isosbestic points were observed at 324, 379, 466, 571, and 646 nm during the irradiation, which indicated a direct conversion of Re_1Pc to its photochemical product. Similar spectral changes were observed in acetonitrile (Figure S2a), indicating that a similar product was formed.

Notably, the reactivity of **Re₁Pc** strongly depends on the experimental conditions (Table S3). Under an N₂ atmosphere, no spectral changes were observed upon irradiation with CW red light in pyridine, which indicated that molecular oxygen was necessary for the photochemical CO dissociation reaction involving **Re₁Pc**.^[17,18,22,49] Solvent effects were also identified; specifically, **Re₁Pc** photochemical reactions occurred in solvents with coordination abilities, e.g., pyridine or acetonitrile, whereas the spectra did not change in non-coordinating solvents, e.g., toluene or chloroform. Therefore, three essential parameters were identified for the photochemical reactions of **Re₁Pc**: CW red light, molecular oxygen, and a coordinative solvent.



Figure 3. Electronic absorption spectral changes for Re_1Pc (0.01 mM, 3mL, stirring) in pyridine upon CW red light irradiation (Xe lamp with >640 nm filter; light intensity = 0.260 W).

To better understand the photochemical reactions involving Re₁Pc, gas chromatography (GC) measurements were carried out. CO was detected by GC in the reaction vessel's gaseous headspace, which indicated that the CO-releasing reaction occurred and confirmed the successful preparation of a new CW red light-driven Pc-based CORM. Additionally, electrospray ionization mass spectrometry (ESI-MS) measurements were performed to identify the photoproduct in solution. After completion of the photodecarbonylation reaction of Re1Pc in pyridine and purification by thin-layer chromatography (TLC; CHCl₃:*n*-hexane = 3:1 as the eluent), the peak observed at m/z = 1081.5 in the ESI-MS (positive) spectrum was attributed to [RePc(py)₂]⁺ (**RePc(py)**₂: bis(pyridine)(tetra-tert-butylphthalocyaninato) rhenium). This result indicated that RePc(py)2 was the final photoproduct, wherein the Re ion was situated in the Pc plane with two axial pyridine ligands after releasing all three CO from Re1Pc upon CW red light irradiation. The structure of RePc(py)₂ was supported by magnetic circular dichroism (MCD) results (Figure S3). In contrast to the quasi-Faraday A term observed for unsymmetric Re1Pc, a Faraday A term was observed in the Q-band region of the RePc(py)2 spectrum, which indicated that the Pc-based π -conjugated system adopted D_{4h} symmetry. Therefore, the spectral changes following CW red light irradiation in pyridine (722 \rightarrow 633 nm) were assigned to the photodissociation of CO from Re1Pc, resulting in the formation of RePc(py)₂. Interestingly, the corresponding CO-releasing reaction was not observed in Re2Pc under similar experimental conditions; instead, a demetallation reaction occurred in coordinative solvents, such as pyridine.[50]

Excited-state properties: To better understand the Pc-based CORM, the excited-state properties of **Re₁Pc** and **Re₂Pc** were investigated by transient absorption spectroscopy. The time profiles of the excited states are shown in Figure 4. For **Re₁Pc**, the excited-state lifetimes were 2.7 ps and 91 ns for the lowest excited singlet (S₁) and triplet (T₁) states, respectively. For **Re₂Pc**, the S₁ and T₁ lifetimes were 360 fs and 17 ns, respectively. Notably, diamagnetic **Re₁Pc** and **Re₂Pc** have very short S₁ lifetimes compared with other Pc complexes.^[51,52] In particular, the S₁ lifetime (360 fs) of **Re₂Pc** is the shortest among diamagnetic Pcs. For example, the S₁ lifetimes of H₂Pc and ZnPc, which are



Figure 4. Time profiles of transient absorption signals for Re_1Pc in the (a) S_1 and (b) T_1 states and Re_2Pc in the (c) S_1 and (d) T_1 states in toluene (black dot or broken lines). The fitting curves are shown by red solid lines.

commonly studied diamagnetic Pcs, are on the order of several ns. The much shorter S₁ lifetimes in **Re₁Pc** and **Re₂Pc** is compatible with ultrafast S₁ \rightarrow T₁ intersystem crossing (ISC), resulting from the heavy atom effect of Re, i.e., SOC. The T₁ lifetimes of **Re₁Pc** and **Re₂Pc** are also very short – much shorter than those of **H₂Pc** and **ZnPc**, which are each several 100 µs. In particular, the T₁ lifetime of **Re₁Pc** (91 ns) is shorter than that of **IrPcCl(py)** (760 ns), despite the fact that d⁶ Ir(III) is heavier than d⁶ Re(1).^[53] Surprisingly, although the excited-state spin multiplicities in paramagnetic CuPc are changed dramatically because of the unpaired electron spin in d⁹ Cu(II), the shortest excited-state lifetime of diamagnetic **Re₂Pc** (17 ns) is comparable to that of CuPc (22–65 ns).^[51,52] The very short excited-state lifetimes and ultrafast ISC in **Re₁Pc** and **Re₂Pc** can be explained by the efficient SOC owing to the distorted structures, as follows.

First, Re1Pc and IrPcCI(py) were compared from the viewpoint of their characteristic SOC; the differences in their optimized structures are presented in Figure S5. Time-dependent DFT calculations for Re1Pc and IrPcCI(py) indicate that the S1 and T₁ states mainly originate from the HOMO (π , a_{1u}) \rightarrow LUMO (π^*, e_g) .^[39,53] Additionally, two excited states, i.e., ¹E_{ux} and ¹E_{uy} (or ³E_{uy} and ³E_{ux}), are nearly degenerate in the S₁ (or T₁) region because of the nearly degenerate LUMOs (egx and egy); this was confirmed by the Faraday A term in the MCD spectra. In the case of IrPcCI(py) where the heavy Ir ion sits in the Pc plane, the zcomponent of SOC between the ${}^{1}E_{ux}$ and ${}^{3}E_{uy}$ (or ${}^{1}E_{uy}$ and ${}^{3}E_{ux}$) states is significant, owing to the orbital angular momentum between the Ir ion's d_{yz} and d_{xz} orbitals, which can be admixed only with the e_{qy} and $e_{qx} \pi^*$ orbitals of the Pc ligand, respectively (Figure S6).^[51,53] Thus, the triplet ³E_{uv} (or ³E_{ux}) state can contain singlet ¹E_{ux} (or ¹E_{uv}) character, which leads to efficient ISC and a short T₁ lifetime (760 ns). In contrast, the heavy Re atom is situated out from the Pc plane in Re1Pc. Therefore, in addition to the d_{xz} and d_{yz} orbitals, the p_y orbital of Re can contribute to the $e_{\alpha\nu}'$ orbital of the Pc ligand, while the $p_x,\,p_z,\,d_{xy},$ and $d_x{}^2_{-y}{}^2$ orbitals of Re can be admixed with the eqx' orbital of the Pc ligand (detailed analyses are summarized in Tables S6 and S7).^[54] In this way, the distorted structure allows for the x-, y-, and z-components of SOC, as shown in Figure S7. Similarly, for Re_2Pc , the e_{gy} ' orbital of Pc interacts with the py, dxz, and dyz orbitals of Re, whereas the e_{gx} orbital of Pc interacts with the px, pz, dxz, dyz, dxy, dx²-y², and dz² orbitals of Re. Thus, the RePc complexes' distorted structures lead to efficient x-, y-, and z-components of SOC, which is confirmed by the spin-forbidden singlet-triplet (S-T) absorption spectra of highly-concentrated Re1Pc and Re2Pc solutions (Figure 5). In the NIR region, absorption bands attributable to the spin-forbidden S₀-T₁ transition were observed at approximately 1050 and 1200 nm for Re1Pc and Re2Pc, respectively, in agreement with efficient SOC. Notably, the full widths at half maximum (FWHM) of the S₀-T₁ transitions were ~1400 cm⁻¹ for Re1Pc and ~1600 cm⁻¹ for Re2Pc, which were much broader than the S_0-T_1 transition (959 nm, 470 cm⁻¹) of IrPcCI(py). This indicates a deviation in the minimum position between the S₀ and T₁ states, which can increase the vibrational overlap, i.e., the Franck-Condon factor (Figure 6); this is one of the characteristics of distorted porphyrins.^[55,56] The deviation in the minimum position between the S₀ and T₁ states was verified based on the timeresolved infrared (TRIR) spectra (Figure S8). In the TRIR spectrum of Re1Pc in the T1 state at 150 ps, transient absorption signals were observed at 2014 and 1902 cm⁻¹ in the region of v(CO), in addition to the bleaching of the S₀ state (2020 and 1918) cm^{-1}). This indicates structural changes between the S₀ and T₁ states, including an increase in π -back donation in the T₁ state. In the case of Re₂Pc, the TRIR spectrum of the T₁ state showed transient absorption (2017, 1926, and 1913 cm⁻¹) and bleaching (2023 cm⁻¹) of the S₀ state, thus revealing a similar tendency as in Re1Pc. Therefore, the very short excited-state lifetimes and ultrafast ISC in Re1Pc and Re2Pc can be reasonably explained by their efficient SOC and large Franck-Condon factors, both originating from their distorted structures.



Figure 5. Singlet-singlet (black) and singlet-triplet absorption (red) spectra of (a) Re_1Pc and (b) Re_2Pc .



Figure 6. Summary of the excited-state properties of Re1Pc and Re2Pc.

Mechanism of CO-release: Three important parameters were identified for the photo-induced CO-release from Re1Pc: CW red light, molecular oxygen, and coordinative solvents, such as pyridine or acetonitrile. From this, a plausible photochemical reaction mechanism is proposed for Re1Pc (Scheme 1). After excitation with CW red light, the excited Re1Pc undergoes intersystem crossing and is oxidized in the presence of molecular oxygen.^[39,40,57,58] Photo-induced electron transfer is considered to be initiated from the T1 state because of the very short S1 lifetime due to ultrafast ISC (see Table S4).[59] Next, the pyrrole undergoes deprotonation as the Pc ligand is oxidized from Pc2- to Pc⁻. Intramolecular electron transfer from Re to Pc decreases the electron density on Re, which weakens the π-back donation between the Re and CO moieties. Under these conditions, CO molecules are released, and a new bond between the Re and Pc ligand is formed. After releasing CO, two solvent molecules coordinate to the Re ion as axial ligands to form RePc(py)2 as the final photoproduct when the reaction is conducted in pyridine.



Scheme 1. Mechanism of Re1Pc photoCORM behavior in pyridine.

Conclusion

This study investigated the photochemical and photophysical properties of Re_1Pc and Re_2Pc , which revealed that Re_1Pc can function as a photoCORM under CW red light irradiation. Thus, a novel, stable, CW red light-driven CORM was developed, which demonstrates CW red light-driven photoCORM behavior that is promising for applications in biological environments. The very short excited-state lifetimes of Re_1Pc and Re_2Pc were explained by the efficient SOC and large Franck-Condon factors caused by these complexes' distorted structures. A plausible photochemical reaction mechanism was proposed for Re_1Pc , and therefore, this study provides key insights and a foundation for designing photoCORMs for biological or therapeutic applications.

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Keywords: carbonyl ligands • CO-releasing molecule • continuous-wavelength red light • phthalocyanines • rhenium

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- [57] Based on its oxidation potential (+0.32 V vs. Fc/Fc⁺), Re₁Pc in the ground state cannot be oxidized by O₂ or ¹O₂. Thus, Re₁Pc is considered to be oxidized in the excited-state.
- [58] Based on its oxidation potential (+0.74 V vs. Fc/Fc⁺), Re₁TAP oxidation is more difficult than Re₁Pc oxidation. Additionally, Re₁TAP did not undergo photodecarbonylation under similar conditions. These results are consistent with the mechanism proposed herein.
- [59] Theoretical calculations were performed to investigate the oxidation of **Re₁Pc** in the T₁ state with molecular oxygen. In pyridine, the ΔG^0 value was calculated to be +13.8 kcal/mol, and therefore, this oxidation reaction is considered to proceed.

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The $[\text{Re}(\text{CO})_3]^+$ unit and phthalocyanine (Pc) ligand in Re_1Pc enable it to function as a photo-induced CO-releasing molecule (photoCORM) under continuous-wavelength red light irradiation, thus providing insights for applicable in biological environments. The distorted structures generated from unsymmetric $[\text{Re}(\text{CO})_3]^+$ coordination result in very short excited-state lifetimes and ultrafast intersystem crossing.