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## Review: Elaboration, structure and mechanical properties of oxynitride glasses

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#### 10 ABSTRACT

11 Oxynitride glasses are glasses where three-fold coordinated nitrogen atoms substitute for 2-fold 12 oxygen ones, hence resulting in a larger interatomic cross-linking degree. Such glasses were 13 first observed at the grain boundary in silicon nitride ceramics, where they govern the high 14 temperature behavior. Later, they were prepared as bulk materials and motivated numerous 15 researches thanks to their large viscosity, glass transition range, elastic moduli, hardness and 16 fracture toughness among inorganic and non-metallic glasses. The different chemical systems 17 that were investigated, the synthesis routes and the sources for these exceptional mechanical 18 properties are reviewed. Oxynitride glasses are not easy to process and suffer from the loss of 19 transparency as nitrogen is incorporated over some critical content. Nevertheless, they are 20 attractive "specialty" glasses in various niche areas thanks to their large refractive index and 21 dielectric constant, improved chemical durability, high softening point, ... and in the first place 22 to their exceptional mechanical properties.

23

#### 24 1. INTRODUCTION

Nitrogen is a common element in the universe with the  $1s^2 2s^2 2p^3$  electron configuration. It is found in gases like N<sub>2</sub> or NH<sub>3</sub>, in organic compounds where it forms a wide variety of bonds 27 and functional groups with various elements, as well as in ceramics. In the case of silicon nitride (Si<sub>3</sub>N<sub>4</sub>), nitrogen is three-fold coordinated to silicon (sp<sup>2</sup> hybridization). This results in an 28 29 increase of the cross-linking degree in comparison with oxygen, which is two-fold coordinated 30 (like in SiO<sub>2</sub>). Besides, metal-nitrogen bonds are more covalent than metal-oxygen bonds because of the lower electronegativity of nitrogen ( $\chi_N = 3.04$  and  $\chi_O = 3.44$ ). Nitride ceramics, 31 32 and especially those based on silicon nitride (such as the "sialons"), are advantaged by excellent 33 mechanical properties (hardness, stiffness, toughness and strength) at room temperature, and remain strong ( $\sigma_r \approx 1$  GPa) and creep-resistant up to 1300 °C in air <sup>1</sup>. Nitrides may also exhibit 34 interesting functional properties such as thermal and electrical conductivities (AIN) and 35 semiconductivity (GaN)<sup>2</sup>. 36

In the 1960' Mulfinger <sup>3 4</sup> introduced a low amount of nitrogen in oxide melts by using 37 various gases, such as ammonia. In the 1970' oxynitride glasses were discovered at grain-38 39 boundaries in silicon nitride ceramics by K. H. Jack, when compounds such as Y<sub>2</sub>O<sub>3</sub> were used as sintering additives for Si<sub>3</sub>N<sub>4</sub><sup>14</sup>. In order to better understand the role of this secondary 40 41 intergranular phase on the mechanical properties of silicon nitride ceramics, and in particular 42 on their creep behavior, oxynitride glasses were synthesized as bulk materials, by adding silicon 43 nitride as a nitrogen source in oxide powder mixtures. Worldwide investigations (Fig. 1) on 44 oxynitride glasses from various chemical systems (silicon-based, phosphate-based, etc) 45 revealed remarkable mechanical properties, both at room and at elevated temperature, with fracture toughness being typically twice larger for nitrogen glasses than for the parent oxide 46 47 composition, and a viscosity coefficient increasing by about three orders of magnitude as one 48 out of five oxygen atom is substituted by a nitrogen one. About 15 papers in international 49 scientific journals have been published yearly since 1980. Nevertheless, the loss of transparency 50 at nitrogen contents larger than 15 eq. % led to broaden the scope of applications beyond optics. Emerging fields include hardening and functionalization of surfaces by means of amorphous 51

52 oxynitride thin film deposition, and biocompatible and bioactive materials for implantology. 53 Besides, present investigations focus on improving the atmosphere control and the purity of the 54 starting powders, and explore new synthesis routes to achieve a better transparency at large 55 nitrogen content.

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#### 57

#### 2. GLASS CHEMICAL SYSTEMS

#### 58 2.1 Silicate-based

Several oxynitride glasses systems were explored over the past decades <sup>4</sup>, among which silicate-59 60 based oxynitride glasses were probably the most studied. Cations were usually added to reduce the melting temperatures of the starting mixtures, which would otherwise require melting 61 temperatures high enough to cause the decomposition of the melt (formation of Si and N<sub>2</sub> from 62 63 Si<sub>3</sub>N<sub>4</sub>)<sup>5</sup>, and to ease the glass formation, resulting in M-Si-O-N systems where M can be a combination of secondary cations and represents alkalines <sup>6</sup>, alkaline-earths <sup>7 8 9 10 11</sup>, or rare-64 earths (RE) <sup>12</sup> <sup>13</sup> <sup>14</sup>. The addition of aluminum to form M-Si-Al-O-N glasses was also often 65 reported <sup>15</sup> <sup>16</sup> <sup>17</sup> <sup>18</sup> <sup>19</sup> <sup>20</sup> <sup>21</sup> <sup>22</sup>. Aluminum was found to increase nitrogen solubility, to widen the 66 range of glass formation (Fig. 2), and to prevent demixing. Y-Si-Al-O-N glasses were found 67 very successful in this regard <sup>23</sup> <sup>24</sup> <sup>25</sup>. Other sub-system includes M-Si-Mg-O-N glasses, as Mg 68 also widen the compositional range, while resulting in close mechanical properties <sup>26</sup> <sup>27</sup> <sup>28</sup> <sup>29</sup> <sup>30</sup>. 69 The nitrogen content of oxynitride glasses is usually expressed either in at %, wt % or eq % 70 (equivalent percent). The eq. % in nitrogen (also noted e/o) is expressed as: eq. %  $N = \frac{3N*100}{3N+20}$ , 71 72 where N and O are the molar concentrations of nitrogen and oxygen respectively. It is calculated 73 separately for anions and cations, and accounts both for the chemical composition and for the 74 valence of the ions. In the presence of aluminum and/or magnesium, homogeneous glass batches, few cm<sup>3</sup> large, with up to 30 e/o N can be obtained <sup>31 32</sup>, while transparency is retained 75 for nitrogen contents below 15 e/o N (Fig. 3). 76

Mixed glasses families were explored, as in the M-Si-P-O-N system <sup>33 34 35 36</sup>, of which the Na-Ca-Si-P-O-N (with nitrogen content up to 28.5 e/o) was investigated recently because of its interesting bioactive properties, that could be interesting for medical use. Indeed, a major issue with bioactive glasses is their low compressive strength, and oxynitride glasses are promising in this regard.

Few glasses in the M-Si-B-O-N system were reported, because the melts experienced large weight losses in boron as it is prone to volatilization at high temperatures <sup>37 38</sup>. However, the sol-gel route in a Na-Ba-Si-B-Al-O-N glass led to more satisfying glasses with higher nitrogen content, up to a few wt.% (about 6 e/o <sup>39 40</sup>). They present an increase in Young's modulus (*E*) with increasing nitrogen, as in silicate-based oxynitride glasses.

87

#### 88 2.2 Phosphate-based

M-P-O-N glasses are usually synthesized either via the ammonolysis route for large batches (to be discussed later on) <sup>41</sup> <sup>42</sup> <sup>43</sup> <sup>44</sup> <sup>45</sup> <sup>46</sup> <sup>47</sup> or via sputtering for thin films <sup>48</sup>, with nitrogen content up to 33.5 e/o or even slightly higher, although it is unsure if glasses richer in nitrogen are of the same nature <sup>46</sup> <sup>49</sup>. They are promising materials as solid-state electrolytes due to their strong ionic conductivity and chemical durability, especially the Li-P-O-N system <sup>50</sup> <sup>51</sup> <sup>52</sup>. Moreover, their tendency to devitrify is smaller than for their oxide counterparts, they are less hygroscopic and exhibit larger elastic moduli <sup>49</sup> <sup>53</sup>.

96

#### 97 2.3 Borate-based

M-B-O-N oxynitride glasses were not studied much. Amorphous thin films were obtained in the Li-B-O-N system by magnetron sputtering under nitrogen <sup>54 55</sup>. In this latter system, the formation of B-N-B bonds was found to enhance the diffusion of Li ions, likely via some charge delocalization (within these bonds) and thus thanks to the reduction of the electrostatic interaction with the Li ions. Besides, the nature of the B-N bonds was found to depend on thecomposition of the sputtering target.

The solubility of nitrogen in borate-based glasses is quite low no matter the synthesis route, being only a few tenths of wt% (about 1 e/o) <sup>56</sup> <sup>57</sup> <sup>58</sup> <sup>59</sup> <sup>60</sup>. On a side note, one paper claimed to have synthesized an oxynitride glass free from Si, P and B in the Ca-Ba-Mg-Al-O-N system, showing a similar increase in  $T_g$  and a decrease of the thermal expansion coefficient with increasing nitrogen content as in other oxynitride glasses, but no further report dealt with this system since then <sup>61</sup>.

110

#### 111 **3** SYNTHESIS

#### 112 **3.1** Synthesis routes

113 a) Melting

114 The first synthesis route consists in melting the various oxides (SiO<sub>2</sub>, Al<sub>2</sub>O<sub>3</sub>, MgO, Y<sub>2</sub>O<sub>3</sub>, etc.) 115 and nitrides (mostly Si<sub>3</sub>N<sub>4</sub> and AlN) precursors at temperatures ranging from 1300 to 1850 °C depending on the melt composition <sup>62 63 64</sup>, so that every reactant is melted and that the mixture 116 117 viscosity is low enough to quench it. This step usually lasts for an hour in order to obtain a 118 homogeneous melt. The nitrides may be mixed with the already synthesized oxide glass to 119 prevent compounds decomposition due to side reactions, which will be further detailed in § 3.5 120 a) <sup>5</sup>. Both melting and annealing stages must be conducted in oxygen free environment to limit 121 the loss of substituted nitrogen atoms in the liquid. Nitrogen atmosphere has been mostly used so far, although argon or argon-N<sub>2</sub> or argon-H<sub>2</sub> mixtures were sometimes used <sup>65</sup>, mostly in gas 122 flow protecting devices (Fig. 4). In addition, large cooling rates (typically from 600 to 1000 123 124 °C.min<sup>-1</sup>) are required as soon as the liquid composition lies close to the border of the glass forming region <sup>66</sup>. Glasses cooled with slow cooling rates turn out to be more transparent <sup>10 62</sup>. 125

126 Other reactants were sometimes used, such as fluorides in the case of oxyfluoronitride glasses <sup>67</sup> or hydroxides <sup>58</sup>. More recently, hydrides and metals were used as some are highly 127 reacting with nitrogen when heated, and form glasses with much larger nitrogen content 128 compared to those obtained by conventional melting (up to 68 e/o<sup>12 68</sup>). However, some metals 129 130 can reduce several oxides like silica in the melts, leading to formation of metallic microparticles including silicides <sup>12</sup> <sup>68</sup> <sup>69</sup>. Alternatively, oxynitride glasses were prepared with 131 132 nitrogen overpressures up to 200 MPa successfully preventing nitrogen loss in the glass <sup>65 70</sup>, or by self-propagating high-temperature combustion synthesis (SHS) <sup>57</sup>. 133

134

135 b) Ammonolysis of melts

136 A second way to synthesize oxynitride glasses consists in realizing the ammonolysis of the

137 glass melt *i.e.* the substitution of oxygen for nitrogen using  $N_2$  and/or  $NH_3$  flowing gas, at

138 temperatures typically below 900 °C in the case of phosphate-based glasses <sup>41 71</sup>. For

example, starting from a NaPO<sub>3</sub> glass, the reaction is:

140 
$$\operatorname{NaPO}_3 + \frac{2x}{3} \operatorname{NH}_3 \longrightarrow \operatorname{NaPO}_{3-x} \operatorname{N}_{2x/3} + x \operatorname{H}_2O(1)$$

141 This reaction must take place at temperatures larger than 600 °C to allow for the decomposition 142 of NH<sub>3</sub> but smaller than 800 °C to prevent from the reduction of phosphorous and the formation 143 of a colored and heterogeneous glass. The benefit of this synthesis route is to add nitrogen to 144 the medium but without its counterion, in opposition to the use of nitrides. Furthermore, it is 145 more suitable to oxides such as P<sub>2</sub>O<sub>5</sub> that suffer from volatilization at high temperatures, or 146 because of their reactivity with nitrides <sup>72</sup>, and is mostly used for phosphate-based oxynitrides <sup>5</sup>. However, these exotic synthesis routes take longer time (>10 h) in order to incorporate large 147 148 amounts of nitrogen, due to the slower liquid/gas reaction kinetics <sup>3</sup> <sup>73</sup>. The resulting glasses 149 usually are transparent, and it should be noted that the nitrogen content increases with 150 increasing temperature <sup>41</sup> <sup>42</sup> <sup>53</sup> <sup>74</sup> <sup>75</sup> <sup>76</sup>. Nevertheless, glasses turn black if the ammonolysis 151 temperature is too high because of a reduction reaction of the phosphorus oxides <sup>71</sup> <sup>73</sup> <sup>77</sup>.

152

153 c) Sol-gel

154 The third way is the sol-gel route, which consists firstly to synthesize a gel using reactants like 155 alkoxides. Less common precursors composed of Si-CH<sub>3</sub> and/or Si-H groups may be used, as they are highly reactive to  $NH_3$  <sup>78</sup> <sup>79</sup>. The second step consists to realise the gel (or else the 156 colloidal silica  $^{80}$ ) ammonolysis according to various mechanisms such as reactions (2) to (4)  $^{39}$ 157 <sup>79 81 82</sup>. This step is carried out using an ammonia flow at temperatures ranging from 400 to 158 1300 °C for a few hours, the purpose of the gas flow being to remove the gaseous products 159 160 formed (alcohols and water), therefore displacing the equilibrium and allowing more nitrogen 161 to be substituted. In the case of a silica gel:

$$162 \equiv Si - OH + NH_3 \longrightarrow \equiv Si - NH_2 + H_2O \xrightarrow{\equiv Si - OH, NH_3} \equiv Si - N \bigvee_{\substack{i \equiv Si - OH, NH_3 \\ Si \equiv}} \equiv Si - N \bigvee_{\substack{i = Si - OH, NH_3 \\ Si \equiv}} (2)$$

$$=Si-O-Si=+NH_3 \longrightarrow =Si-NH_2+HO-Si= (3)$$

$$\equiv Si - OR + NH_3 \longrightarrow \equiv Si - NH_2 + ROH$$
(4)

165 This synthesis route also presents the benefit to avoid the use nitrides, thus broadening 166 the range of glass compositions. The glasses were described as optically transparent, in 167 opposition to glasses obtained using the melting route with sometimes high nitrogen content 168 (close to 38 e/o <sup>82</sup> or even higher in the case of oxynitride glasses fibres <sup>83</sup>). 169 An analogous route uses polymers like polysiloxanes which, once pyrolyzed under ammonia,

give oxynitride glasses <sup>84 85</sup>. The pyrolysis temperature and atmosphere are crucial, especially

to prevent trapped free-carbon within the structure, of which a content even lower than 0.2 wt.%
may colour the glass.

173

#### 174 **3.2** Starting materials

175 Various compounds, for instance  $SiO_2$ , exhibit a few amounts of adsorbed water even at 176 temperatures as high as 1000 °C when the particles size is very fine <sup>86</sup>. It can decompose nitrides 177 during the synthesis according to <sup>87</sup>:

178 
$$Si_3N_{4(s)} + 3 H_2O_{(g)} \xrightarrow{\Delta} 3 SiO_{(g)} + 2 N_{2(g)} + 3 H_{2(g)}$$
 (5)

Some oxides such as  $Li_2O$  are brought in the medium starting from carbonated precursors, because of their reactivity with the ambient atmosphere. It is thus important to realize their complete decarbonization to both free the melt from  $CO_2$  bubbles and to prevent the oxidation of  $Si_3N_4$  (and thus the loss of nitrogen in the glass) via various mechanisms <sup>87 88</sup>. In the case of  $Li_2CO_3$ :

184 
$$\text{Li}_2\text{CO}_{3(s)} \xrightarrow{\Delta} \text{Li}_2\text{O}_{(s)} + \text{CO}_{2(g)}$$
 (6)

185 
$$\operatorname{Si}_{3}\operatorname{N}_{4(s)} + 6\operatorname{CO}_{2(g)} \xrightarrow{\Delta} 3\operatorname{SiO}_{2(s)} + 6\operatorname{CO}_{(g)} + 2\operatorname{N}_{2(g)}(7)$$

Impurities are other sources of side reactions and have to be considered even in weak quantities, especially iron (as metal or oxides), a common impurity in reactants used in oxynitride glasses synthesis <sup>89</sup>. In the presence of  $Si_3N_4$ , the various iron containing impurity species may result in metallic micro/nano inclusions (such as iron silicides), even at temperatures as low as 1200 °C:

191 
$$\operatorname{Si}_{3}\operatorname{N}_{4(s)} + 6 \operatorname{FeO}_{(s)} \xrightarrow{\Delta} 3 \operatorname{SiO}_{2(s)} + 6 \operatorname{Fe}_{(s)} + 2 \operatorname{N}_{2(g)}(8)$$

192 
$$\operatorname{Si}_{3}\operatorname{N}_{4(s)} + \operatorname{FeO}_{(s)} \xrightarrow{\Delta} \operatorname{SiO}_{(g)} + \operatorname{FeSi}_{2} + 2\operatorname{N}_{2(g)}(9)$$

193 
$$\operatorname{Si}_{3}\operatorname{N}_{4(s)} + \operatorname{Fe}_{(s)} \xrightarrow{\Delta} \operatorname{FeSi}_{3} + 2\operatorname{N}_{2(g)}(10)$$

194 Consequently the glass becomes opaque, even for a content of inclusions as small as 0.05
195 vol.% <sup>62 90 91</sup>.

In the case of phosphorous oxynitride glasses, which were first reported in the early 80s by Marchand <sup>71</sup>, phosphate salts such as NaPO<sub>3</sub> and LiPO<sub>3</sub> <sup>34</sup> <sup>42</sup> <sup>92</sup> <sup>47</sup> <sup>93</sup> <sup>94</sup> <sup>71</sup>, ammonium phosphates <sup>53</sup> <sup>95</sup>, phosphoric acid <sup>45</sup> as well as phosphate oxide glasses are used as starting materials. Under NH<sub>3</sub> flowing gas, the 3-fold coordinated N<sup>3-</sup> anions may subsequently result in the following oxidation reaction,

201 
$$N^{3-} \longrightarrow \frac{1}{2} N_2 \nearrow + 3 e^-$$
 (11)

which confers a reducing character to the oxynitride liquid that may promote the reduction ofcations such as copper or silver to metals.

204

#### 205 **3.3** Crucibles

206 The materials for the crucibles in which the glasses are synthesized is of paramount importance, as it may interact in various ways with the melt. Some should be avoided, such as platinum 207 crucibles as they form alloys with the reactants under reducing atmospheres <sup>26</sup>, oxide-based 208 209 crucibles dissolve in the melt, zirconium and tantalum crucibles are oxidized because of the reactants <sup>5</sup>, and graphite crucibles might pollute the melt or even reduce oxides such as  $P_2O_5$  <sup>97</sup> 210 <sup>98</sup>. Graphite crucibles coated with boron nitride or pure BN crucibles allow to maintain a 211 reducing atmosphere (local scale) and facilitate the demoulding of the glasses <sup>63</sup>. However, the 212 use of BN results in the presence of BN inclusions in the glasses <sup>16</sup> <sup>26</sup> <sup>63</sup>. Crucibles made in 213 214 transition metals such as Mo, W and Nb were mostly not found to react with the powder mixtures or with the nitrided melt, but the dissolution of Nb and the formation of molybdenum 215 silicides were sometimes observed <sup>8</sup> <sup>34</sup> <sup>88</sup> <sup>99</sup>. Sometimes, the crucibles are covered so that the 216

gaseous SiO molecules produced by various reactions are trapped inside, thus maintaining a
partial pressure in SiO large enough to prevent further decomposition of the compounds (see §
3.5) <sup>100 101</sup>.

220

#### 221 **3.4 Atmosphere**

222 In comparison with N<sub>2</sub>, NH<sub>3</sub> is more favorable to the nitridation process, both in terms of quantity and kinetics <sup>73</sup> <sup>102</sup>. However, the processing of oxynitride glasses under NH<sub>3</sub> flowing 223 224 gas is limited to temperatures below 800 °C due to the loss of reactivity of NH<sub>3</sub> at higher 225 temperature, which follows the decomposition of NH3 into N2 and H2 gas. So far, the synthesis under ammonia chiefly concerned phosphate-based oxynitride compositions. As soon as 226 227 temperatures higher than 1300 °C are required, as in the case of silicon oxynitrides, argon or 228 N<sub>2</sub> gas atmosphere are used and nitrogen is further incorporated to the powder mixture by means 229 of nitrided compounds (Si<sub>3</sub>N<sub>4</sub>, AlN). In this later case it is mandatory to keep the oxygen partial 230 pressure as small as possible. Although argon gas can be used, a large partial pressure of N<sub>2</sub> 231 helps preventing side reactions.

232

233 **3.5** Choice of the chemical composition

The choice of the oxynitride glasses chemical composition depends much on the stability of the reactants in the melt considering the synthesis atmosphere. In fact, several side reactions may alter the chemical composition of the glass and thus its properties, in addition to heterogeneities like trapped gas bubbles due to the high viscosity of oxynitride glass melts, or metallic microparticles (as discussed before).

239

a) Side reactions

241 In the case of silicate glasses, several possible side reactions were reported <sup>5 26 103 104 105 106</sup>:

242 
$$\operatorname{Si}_{3}\operatorname{N}_{4(s)} + 3\operatorname{O}_{2(g)} \xrightarrow{\Delta} 3\operatorname{Si}_{2(l)} + 2\operatorname{N}_{2(g)}(12)$$

243 
$$Si_3N_{4(s)} + \frac{3}{2}O_{2(g)} \xrightarrow{\Delta} 3SiO_{(g)} + 2N_{2(g)}$$
 (13)

244 
$$\operatorname{Si}_{3}\operatorname{N}_{4(s)} \xrightarrow{\Delta} 3 \operatorname{Si}_{(l)} + 2 \operatorname{N}_{2(g)}(14)$$

245 
$$\operatorname{SiO}_{2(l)} \xrightarrow{\Delta} \operatorname{SiO}_{(g)} + \frac{1}{2} \operatorname{O}_{2(g)} (15)$$

246 
$$\operatorname{Si}_{3}\operatorname{N}_{4(s)} + \operatorname{SiO}_{2(s)} \xrightarrow{\Delta} 2\operatorname{SiO}_{(g)} + 2\operatorname{Si}_{(l)} + 2\operatorname{N}_{2(g)}(16)$$

247 
$$\operatorname{Si}_{3}N_{4(s)} + 3 \operatorname{SiO}_{2(s)} \xrightarrow{\Delta} 6 \operatorname{SiO}_{(g)} + 2 \operatorname{N}_{2(g)} (17)$$

248 
$$\operatorname{Si}_{3}\operatorname{N}_{4(s)} + \operatorname{Al}_{2}\operatorname{O}_{3(s)} \xrightarrow{\Delta} 3\operatorname{SiO}_{(g)} + 2\operatorname{AlN}_{(s)} + \operatorname{N}_{2(g)}(18)$$

249 Reactions (12), (13), (14) and (17) can be prevented by maintaining a large enough partial pressure in N<sub>2</sub>, but this will not be sufficient if the temperature is too high <sup>26 103 104</sup>. However, 250 251 it was shown based on a thermodynamic analysis that as soon as the temperature is high enough, 252 the decomposition reactions (16) and (18) cannot be suppressed, even if a large partial pressure in  $N_2$  is maintained <sup>103</sup>. Therefore, reactions (15) (16) and (18) can only be avoided by lowering 253 254 the synthesis temperature <sup>105</sup>. The addition of metallic silicon to the melt effectively limits the 255 formation of bubbles in the glass according to reactions (14) and (16), but metallic silicon or 256 silicide domains remain in the glass <sup>107</sup>.

The use of Ellingham diagrams quickly tells whether the reduction of an oxide by a nitride is thermodynamically favorable ( $\Delta G < 0$ ). For example, the reduction of oxides such as Li<sub>2</sub>O, Al<sub>2</sub>O<sub>3</sub>, MgO, CaO and BaO by Si<sub>3</sub>N<sub>4</sub> is not thermodynamically feasible in the usual temperature range of syntheses, in opposition to Na<sub>2</sub>O P<sub>2</sub>O<sub>5</sub> K<sub>2</sub>O Cs<sub>2</sub>O and ZnO <sup>5 88 72 108 109</sup>. Nevertheless, this reduction is sometimes observed via an exothermic reaction in the form of vapours <sup>37</sup>. However, let us recall that such a tool brings no information about the kinetics. In the case of P<sub>2</sub>O<sub>5</sub>, the reduction reaction is written:

264 
$$\operatorname{Si}_{3}N_{4(s)} + \frac{6}{5}P_{2}O_{5(s)} \xrightarrow{\Delta} 3\operatorname{Si}O_{2(s)} + \frac{6}{5}P_{2(g)} + 2\operatorname{N}_{2(g)}(19)$$

Note that weight losses in P in the M-Si-P-O-N system can partly be avoided using a 3step synthesis <sup>36</sup>: The oxide-based glass is first synthesized, then the N-containing glass is obtained via the melting route by crushing beforehand the previous glass with  $Si_3N_4$ , and finally the N-P-containing glass is synthesized in the same way by crushing beforehand the Ncontaining glass with P<sub>2</sub>O<sub>5</sub>.

Since reactions (5) to (10) and (12) to (19) are thermally activated, the lowest synthesis temperature needed to obtain a homogeneous melt should be chosen when transparent glasses are sought <sup>103</sup>.

273 Note that the presented reactions in this paper also occur when using AlN, which is the 274 other commonly used nitride for oxynitride glasses synthesis. When the system is at 275 equilibrium, the nitrogen pressure resulting from AIN decomposition into aluminum and 276 molecular nitrogen is lower than the one resulting from Si<sub>3</sub>N<sub>4</sub> decomposition into silicon and 277 molecular nitrogen, whatever the temperature <sup>107</sup>. Therefore, there should be less metallic 278 micro-particles in the glasses when using AlN, meaning they should be more transparent. However, the consequences of using AlN over Si<sub>3</sub>N<sub>4</sub> changes with the synthesis conditions of 279 the glass: it may provide a clearer glass <sup>103</sup>, have no effect on the transparency <sup>90</sup>, or oppositely 280 remain undissolved in the glass 72 110 as its melting temperature is higher, making the choice of 281 282 the nitride more complicated than expected.

Beside Si<sub>3</sub>N<sub>4</sub> and AlN, the use other nitrides was reported such as Li<sub>3</sub>N, Ca<sub>3</sub>N<sub>2</sub>, TiN, YN, NbN, Ba<sub>3</sub>N<sub>2</sub> or the Si<sub>2</sub>N<sub>2</sub>O oxynitride alternatively <sup>22</sup> <sup>111</sup> <sup>112</sup> <sup>113</sup>, but they may present various drawbacks: Mg<sub>3</sub>N<sub>2</sub>, Ca<sub>3</sub>N<sub>2</sub>, Ba<sub>3</sub>N<sub>2</sub>, LaN and Li<sub>3</sub>N are hygroscopic, while some are difficult to prepare <sup>114</sup> <sup>115</sup>.

To summarize, the most suitable nitride must fulfil several requirements: i) reduce as few oxides as possible, *i.e.* the Gibbs free energy of the oxidation reaction of a nitride AN<sub>x</sub> into its oxide AO<sub>y</sub> ( $\frac{2}{y}$  AN<sub>x</sub> + O<sub>2</sub>  $\longrightarrow \frac{2}{y}$  AO<sub>y</sub> +  $\frac{x}{y}$  N<sub>2</sub>) must be higher than the Gibbs free energies of the

 $\frac{2}{v}M + O_2 = \frac{2}{v}MO_y$  reactions of the oxides composing the glass (where M is a metal). Note that 290 in a few cases, an oxide can be nitrided rather than reduced by Si<sub>3</sub>N<sub>4</sub>, which in a Ti-oxynitride 291 glass led to the crystallization of TiN<sup>21</sup>. ii) It must melt in the usual temperature range of the 292 293 syntheses when mixed with the other precursors, and be soluble in the glass to obtain a glass-294 matrix free from heterogeneities iii) but not decompose according to previously presented side reactions, and specifically the Gibbs free energy of the  $\frac{2}{r}AN_x \longrightarrow \frac{2}{r}A + N_2$  reaction must be 295 296 positive over the temperature range of the syntheses in order to prevent the formation of metallic 297 micro-particles and the loss of N<sub>2</sub>. These conditions must also be considered when using metals 298 or hydrides as reactants, as they will form nitrides upon heating in a N<sub>2</sub> atmosphere.

It should be noted that the loss of transparency that is often observed in oxynitride glasses containing more than 15 eq. % N, is more likely to result from impurities and side reactions occurring in the melt, such as the reduction of silicon to form transition metal silicide nanoparticles, rather than from the incorporation of nitrogen in the glass network itself <sup>62</sup>.

303

304 b) Chemical composition

305 <u>Oxides</u>

There are sometimes weight losses that are not anticipated by the Ellingham diagrams. For example, weight losses of MgO  $^{62 \ 116}$ , SrO  $^{9}$ , CaO  $^{68}$ , BaO  $^{7}$ , Y<sub>2</sub>O<sub>3</sub> and Al<sub>2</sub>O<sub>3</sub>  $^{117}$  were reported and were found to increase with the temperature, the nitrogen content and the holding time. Weight losses in Na and B were also reported, as their corresponding oxides, Na<sub>2</sub>O and B<sub>2</sub>O<sub>3</sub>, are prone to volatilization at high temperatures  $^{37 \ 38 \ 40 \ 108}$ , and because Na<sub>2</sub>O is reduced by Si<sub>3</sub>N<sub>4</sub> at temperatures above 850 K (Fig 5). However, several authors reported no significant loss of Na despite close experimental conditions  $^{6 \ 8}$ .

313 <u>Fluorine</u>

314 Fluorine is found to decrease the viscosity of the oxynitride melt, thus easing homogenization, to decrease both  $T_g$  and  $T_c$  temperatures, and to increase the solubility of nitrogen. In addition, 315 the incorporation of fluorine widens the chemical range of glass formation, decreases the 316 317 melting point of the reactants mixture, with a negligible incidence on hardness and elastic moduli <sup>118</sup> <sup>119</sup> <sup>120</sup>. However, the use of fluorides may lead to the crystallization of NaF and CaF<sub>2</sub>, 318 319 to demixing and to loss of Si in the form of SiF<sub>4</sub> gas, which is detrimental to the environment <sup>121</sup> <sup>122</sup>. The latter can be prevented by choosing a glass composition where Al-F bonds are 320 favored over Si-F bonds <sup>123</sup>. 321

322 <u>Rare-earths</u>

The use of rare-earths in oxynitride glasses leads to an increase in  $T_c$ ,  $T_g$ , and in an increase in hardness and Young's modulus <sup>18</sup> <sup>28</sup> <sup>124</sup> <sup>125</sup>, which are found to increase with the cationic field strength (*CFS*) of the rare-earths, itself depending on their coordination number <sup>126</sup>:  $CFS = \frac{z}{r^2}$ , where z is the ion charge and r the ionic radii. Indeed, rare-earths show a strong *CFS*, thus enhancing the stacking density and leading to a more rigid system. The change of the thermal expansion coefficient with the *CFS* is not linear <sup>18</sup>. In the search for stiff and hard glasses, yttrium has been the most widely investigated rare-earth.

330

#### 331 4 STRUCTURE AND PHYSICAL PROPERTIES

#### **332 4.1** Incorporating nitrogen into the atomic network of glasses

a) Silicates

The atomic structure of glasses is of paramount importance to understand the macroscopic properties. Early studies show that nitrogen is incorporated into the atomic network by substituting oxygen, thus increasing the crosslinking because of its larger coordination number <sup>127</sup> according to:

338 
$$\equiv Si - O - Si \equiv \longrightarrow \equiv Si - N \begin{pmatrix} Si \equiv \\ Si \equiv \end{pmatrix}$$
(20)

339 In fact, nitrogen-containing gaseous species such as NH<sub>3</sub> or molecular N<sub>2</sub> that are present in the synthesis environment were not detected in oxynitride glasses <sup>46 49 128</sup>. It was proposed 340 341 that the substitution of oxygen by nitrogen benefits from the fact that Si-N and Si-O bond lengths are very close <sup>26</sup>. For example, the average values of the Si-N and Si-O bond lengths 342 were estimated in a Y-Ba-Si-O-N glass by neutron diffraction experiments, and are centered on 343 1.72 and 1.61 Å respectively <sup>129</sup>. Slightly different values, but close to each other, were reported 344 for a Na-Si-O-N glass <sup>130</sup>. Although nitrogen atoms are mainly bridging, non-bridging nitrogen 345  $(\equiv$ Si-N<sup>-</sup>-Si $\equiv$ ) and possibly double non-bridging nitrogen  $(\equiv$ Si-N<sup>2-</sup>) exist as well <sup>127</sup> <sup>129</sup> <sup>131</sup> <sup>132</sup>, 346 thus forming bonds with other cations such as rare-earths <sup>126</sup> <sup>133</sup> <sup>134</sup>. The average coordination 347 348 number of nitrogen ranges between 2 and 3, with three-fold coordinated nitrogen atoms being predominant over two-fold coordinated ones 127 129. In oxynitride glasses obtained via the 349 350 ammonolysis route, NH and NH<sub>2</sub> groups exist in the glass when the ammonolysis is uncomplete 39 135 136 351

Silicon in oxynitride glasses is 4-fold coordinated to both oxygen and nitrogen, hence 352 leading to structural units such as SiO<sub>4</sub>, SiO<sub>3</sub>N, SiO<sub>2</sub>N<sub>2</sub>, and SiON<sub>3</sub> <sup>137</sup> <sup>138</sup>. Although the 353 354 presence of SiN<sub>4</sub> structural units could not be evidenced by means of Magic-Angle Spinning solid-state Nuclear Magnetic Resonance spectroscopy (MAS-NMR)<sup>137</sup>, such units may survive 355 due to the incomplete dissolution of the  $Si_3N_4$  nitrogen precursors. The connected  $Q^n$  units 356 357 (where n is the number of bridging anions coordinated to a cation tetrahedron Q) form sheet, chain and cycle structures in the glass network <sup>126</sup>. Their relative populations vary with the 358 359 chemical composition of the glasses. The incorporation of nitrogen induces the formation of Si(O, N)4 mixed tetrahedra <sup>120</sup> <sup>126</sup> <sup>137</sup> <sup>139</sup> <sup>140</sup>. At low nitrogen content, SiO<sub>3</sub>N units are the most 360

361 frequently encountered whereas  $SiO_2N_2$  tetrahedra become predominant at large nitrogen 362 content. The SiON<sub>3</sub> population is usually small. Therefore, a typical silicon oxynitride glass 363 network consists of Si-O-Si and Si-N-Si linkages <sup>126</sup> <sup>137</sup>. It is not excluded that the structure of 364 nitrogen-rich oxynitride glasses obtained using metals or hydrides as reactants differs from the 365 one of glasses obtained by conventional melting of oxides and nitrides and quenching, but 366 further studies are required to clarify this point <sup>12</sup>.

367

368 b) Phosphates

369 Phosphate-based oxynitride glasses are composed of various species including orthophosphate  $[PO_4]^{3-35}$ , pyrophosphate  $[P_2O_7]^{4-35}$  <sup>49</sup> <sup>141</sup>, oligophosphate  $[P_nO_{3n+1}]^{-n-2}$  <sup>142</sup> and metaphosphate 370  $[P_nO_{3n}]^{-n}$ , where n is the number of PO<sub>4</sub> tetrahedra in the chain <sup>35</sup> <sup>143</sup>. The  $Q^n$  units in phosphate 371 glasses show linkages such as  $Q^3-Q^3$ ,  $Q^2-Q^2$ , and  $Q^2-Q^1$  indicating a glass network consisting 372 of reticulated parts, chains and/or rings <sup>142</sup> where two different kinds of nitrogen atoms are 373 encountered, namely two-fold nitrogen  $N_d$  (- N =) and three-fold nitrogen  $N_t$  (- N<sup> $\prime$ </sup>). The way 374 nitrogen substitutes for oxygen is presented in Fig. 6<sup>46 49</sup> <sup>144</sup>. In the first case (Fig. 6a), the 375 substitution of  $\frac{2}{3}N_d$  requires 1 NBO (= O) double-bonded to a phosphorus atom, while in the 376 second one (Fig. 6b) the substitution of  $\frac{l}{3}N_d$  requires  $\frac{1}{2}$  bridging-oxygen (BO) (-O-). 377 Terminating OH groups are not considered due to their low concentration <sup>145</sup>. Two rules can 378 then be deduced from these schemes: i)  $N_d = 1NBO + \frac{1}{2}BO$ , and ii)  $N_t = \frac{3}{2}BO$ . The  $N_t$  and  $N_d$ 379 populations follow the same trend no matter the system. There is slightly more  $N_t$  than  $N_d$  with 380 increasing nitrogen content, up to the point where the  $N_t$  population seems to reach a limit 381 corresponding to one  $N_t$  per tetrahedron <sup>146</sup>, while the  $N_d$  population becomes predominant and 382 increases even more <sup>43 46 95</sup>. The  $N_t/N_d$  ratio was found close to 3 no matter the N/P ratio in ref. 383 <sup>145</sup>. It is suggested that nitrogen substitutes randomly for oxygen in the glass to form PO<sub>3</sub>N units 384

385 <sup>146</sup>. Then, the observed fraction of  $P(O, N)_4$  mixed tetrahedra suggests that nitrogen preferentially substitutes for oxygen atoms bridging PO<sub>4</sub> and PO<sub>3</sub>N units <sup>146 147</sup>, which are hence 386 changed to PO<sub>3</sub>N and PO<sub>2</sub>N<sub>2</sub> units as illustrated in Fig. 7. As nitrogen enters the network, the 387 388 PO<sub>4</sub> population drastically decreases, while the PO<sub>2</sub>N<sub>2</sub> population and mostly the PO<sub>3</sub>N population increase with increasing nitrogen content <sup>43</sup> <sup>45</sup> <sup>145</sup> <sup>146</sup> <sup>95</sup> <sup>148</sup>. The PO<sub>3</sub>N population 389 390 increases up to some equilibrium fraction, while the PO<sub>2</sub>N<sub>2</sub> population keeps increasing. This 391 matches the proposed mechanism, where firstly PO<sub>3</sub>N tetrahedra are formed at the expense of 392 PO<sub>4</sub> tetrahedra, and then connected PO<sub>4</sub>-PO<sub>3</sub>N tetrahedra are converted to PO<sub>3</sub>N-PO<sub>2</sub>N<sub>2</sub> tetrahedra<sup>148</sup>, finally resulting in homogenously distributed oxynitride micro-domains. A 393 394 different mechanism is proposed in lead-containing glasses, where PO<sub>4</sub> tetrahedra close to lead atoms are preferentially nitrided into PO<sub>3</sub>N tetrahedra <sup>43</sup> <sup>148</sup>. Phosphorus oxynitride glasses are 395 396 thus based on PO<sub>4</sub>, PO<sub>3</sub>N and PO<sub>2</sub>N<sub>2</sub> units. The PO<sub>3</sub>N and PO<sub>2</sub>N<sub>2</sub> units can be sub-divided into 397 various sub-units, that are distinguishable by means of NMR<sup>142</sup>: PO<sub>3</sub>N(a): P connected to 2 BO, 1 NBO and 1 N ( $N_t$  or  $N_d$ ); PO<sub>3</sub>N(b): P connected to 1 BO, 2 NBO and 1 N ( $N_t$  or  $N_d$ ); PO<sub>3</sub>N(c): 398 399 P connected to 0 BO, 3 NBO and 1 N ( $N_t$  or  $N_d$ ); PO<sub>2</sub>N<sub>2</sub>(a): P connected to 1 BO, 1 NBO and 400 2 N ( $N_t$  or  $N_d$ ); and PO<sub>2</sub>N<sub>2</sub>(b): P connected to 0 BO, 2 NBO and 2 N ( $N_t$  or  $N_d$ ). Although PON<sub>3</sub> 401 and PN<sub>4</sub> tetrahedra are found in compounds such as P<sub>4</sub>ON<sub>6</sub><sup>149</sup>, such units were not reported in 402 oxynitride glasses so far. This is because at large N/P ratio, crystallization occurs and prevents the formation of such units. Some papers focused on mixed M-Si-P-O-N oxynitride glasses <sup>33</sup> 403 404 <sup>35</sup>, which present P-O-Si linkages as well as traces of 6-fold coordinated silicon atoms. It was 405 suggested that the content in SiO<sub>6</sub> octahedra decreases with increasing nitrogen content. 406 Moreover, it appears that P-N bonds form preferentially with respect to Si-N bonds.

408 c) Borates

409 Investigations into boron-containing oxynitride glasses are very limited and therefore little is 410 known about such materials. When boron is incorporated by means of a sol-gel route, B-N 411 bonds form at the expense of Si-O-B linkages <sup>39 40</sup>. Such bond formation was not confirmed in 412 glasses made by the conventional melting route. Whether  $B(O, N)_x$  units exist, with *x* being 413 equal to 3 and/or 4 depending on the boron atoms coordination number (3 or 4), or whether 414 isolated BN units form still remain to be elucidated <sup>38 40</sup>. In view of the low solubility of BN in 415 oxide melts the latter hypothesis is more likely <sup>59</sup>.

416

417 d) Aluminosilicates

418 Aluminum is mostly 4-fold coordinated in oxynitride aluminosilicate glasses. However, a 419 fraction of the Al atoms (about one third in RE-Mg-Si-Al-O-N glasses) is present in higher 420 coordination states (up to 5-6) <sup>137</sup> <sup>140</sup>. The larger the nitrogen content is, and the larger the 6fold aluminum content becomes <sup>150</sup>. Although aluminum can form bonds with nitrogen, Si-N 421 bonds form preferentially over Al-N bonds <sup>137</sup>. Nevertheless, solid-state NMR investigations 422 423 brought to light the existence of AlO<sub>3</sub>N structural units in addition to the AlO<sub>4</sub> tetrahedra <sup>137</sup>. 424 Besides, the formation of AlO<sub>2</sub>N<sub>2</sub> and AlON<sub>3</sub> was evidenced in oxynitride glass-ceramics <sup>151</sup>, 425 but not in oxynitride glasses though. Nevertheless, it is noteworthy that these latter structural 426 units are hardly detectable because of the quadrupolar broadening of the peaks in solid-state NMR<sup>150</sup> 152. Therefore, aluminosilicate oxynitride glasses are composed of Al-O-Al and Si-O-427 428 Al linkages, while Al-N-Al and/or Si-N-Al linkages are expected as a result of mixed Al(O, N)<sub>4</sub> tetrahedra <sup>126</sup> <sup>137</sup>. In phosphate-based glasses, aluminum is also present in AlO<sub>4</sub> AlO<sub>5</sub> and AlO<sub>6</sub> 429 polyhedra <sup>153</sup>, forming Al-O-P linkages <sup>33 72</sup>, but there is no certainty regarding the existence of 430 431 Al-N bonds in such glasses.

433 e) Fluorine

Few studies dealing with oxyfluoronitride glasses were reported <sup>24 31 67</sup>. In phosphate-based 434 oxynitride glasses, fluorine can form P-F bonds, thus acting as a depolymerization agent leading 435 to P(O, F)<sub>4</sub> and P(O, N, F)<sub>4</sub> units. The formation of PO<sub>2</sub>FN units was suggested <sup>154</sup>. Fluorine 436 can also be linked to M cations such as Na or Ca, thus forming compounds such as  $CaF_2$ <sup>155</sup><sup>156</sup>. 437 In the case of aluminosilicates glasses, an increase in fluorine leads to an increase in Al(V) and 438 439 Al(VI) at the expense of Al(IV) <sup>31 157</sup>. A few possible reasons could be that a fraction of the aluminum forms  $Al[O_xF_y]^{n-}$  oxyfluorides complexes. The presence of nitrogen does not seem 440 441 to change the fluorine environment. In fact, the effects of nitrogen and fluorine on the properties 442 of such glasses seem additive and independent 67 120.

443 f) Sulfur

New mixed oxy-sulfide nitride glasses (MOSN), obtained from the ammonolysis of oxy-sulfide phosphate melts, where nitrogen substitutes for both oxygen and sulfur, were investigated in the past few years <sup>158 159 160</sup>. These glasses, which combine high ionic conductivities, suitability for thin film processing, resistance toward devitrification and chemical durability, are promising materials as solid state electrolytes in all solid state batteries. Their structure is chiefly based on mixed P(O, S, N)<sub>4</sub> tetrahedra.

450 g) Rare-earths

451 Rare-earths (*RE*) in glasses are characterized by their high coordination states <sup>18</sup> <sup>126</sup> <sup>161</sup>. In the 452 case of oxynitride glasses, the impacts of nitrogen and rare-earths on their properties are 453 additive and independent <sup>125</sup>. *RE* cations are mainly bonded to oxygen and found in a +3 454 oxidation state, with the exception of europium, which also occurs in a +2 oxidation state.

456 h) Miscellaneous: Be, Mg, Ge

457 A recent study in Be-containing oxynitride glasses showed that beryllium is present as BeO<sub>4</sub> structural units, having a similar role to that of aluminum <sup>8</sup>. This results in Si-O-Be linkages. 458 459 There is no evidence for a bonding between Be and N. The coordination number of magnesium 460 is usually high in oxynitride glasses (>4)<sup>162</sup>. However, depending on the chemical composition, 461 a fraction of the magnesium atoms exists as MgO<sub>4</sub> structural units, thus forming Mg-O-Si 462 linkages <sup>126</sup> <sup>140</sup> <sup>162</sup> <sup>163</sup>. The addition of more nitrogen into the glasses leads to an increase of the amount of 4-fold magnesium <sup>162</sup>. However, Mg-N bonds were not reported so far in oxynitride 463 glasses. The structure of germanophosphate oxynitride glasses was recently investigated <sup>77</sup>. 464 465 Germanium is present in GeO<sub>4</sub>, GeO<sub>5</sub> and GeO<sub>6</sub> polyhedra that mostly forming Ge-O-P linkages 466 (rather than Ge-O-Ge linkages). Nitrogen substitution has various effects on their structures: It 467 preferentially substitutes Ge-O-P linkages to form Ge-O-Ge linkages and mixed P(O, N)4 468 tetrahedra, and depolymerizes both the phosphate and the germanate network, as GeO4 469 tetrahedra becomes the most predominant germanium-based polyhedra. Whether germanium 470 form Ge-N bonds, or solely bonds to oxygen to form GeO<sub>x</sub> units (x equal to 4, 5 and/or 6) 471 remains to be elucidated.

472

#### 473 4.2 Physical properties

474 Oxynitride glasses exhibit higher  $T_g$  and  $T_c$ , and a lower thermal expansion coefficient than 475 their oxide counterparts <sup>22</sup> <sup>26</sup>. They further show up with a larger refractive index <sup>10</sup> <sup>22</sup>, ionic 476 conductivity (in particular in the Na-Si-O-N <sup>101</sup>, Li-Si-O-N <sup>64</sup> and Li-P-O-N <sup>51</sup> systems), 477 dielectric constant <sup>164</sup> <sup>165</sup> <sup>166</sup>, and density <sup>26</sup>. Some minor change of the heat capacity were also 478 reported <sup>167</sup> <sup>168</sup>. Nitrogen also improves the corrosion resistance in water <sup>169</sup> <sup>94</sup> as well as in 479 acidic or alkali media <sup>127</sup> <sup>170</sup>. It seems that the incorporation of nitrogen has little effect on the 478 cytotoxicity of the glasses <sup>36</sup>, but slightly reduces the bioactivity <sup>120</sup> <sup>171</sup>. Moreover, oxynitride

glasses present a reducing character because of the  $N^{3-}/N^0$  redox couple, that causes either the 481 482 nitridation of various oxides or their reduction into metallic or inter-metallic particles (such as iron-silicides), which is a likely source for the loss of transparency <sup>172</sup> <sup>173</sup> <sup>174</sup>. The reducing 483 character of the nitrided melt was studied both in phosphorous and in silicon oxynitride glasses 484 <sup>115</sup>, and explains the reduction of Eu<sup>3+</sup> to Eu<sup>2+</sup> in an europium-doped Y-Si-Al-O-N oxynitride 485 glass <sup>175</sup>. Furthermore, rare-earth containing oxynitride glasses exhibit luminescent properties 486 487 <sup>76</sup> <sup>175</sup> <sup>176</sup>. The concept of optical basicity was extended to oxynitride glasses and a linear relationship was found between the anionic polarizability (O<sup>2-</sup> and N<sup>3-</sup> anions) and the 488 composition <sup>177</sup>. The introduction of nitrogen into the melt results in increases of the shear 489 viscosity <sup>169</sup> and of the surface tension <sup>178</sup>. The viscosity of oxynitride liquids is mostly very 490 sensitive to temperature <sup>77</sup><sup>147</sup>. For examples, Y-Si-Al-O-N and Ca-Si-Al-O-N glass forming 491 liquids can be considered as relatively "fragile" per the C.A. Angell classification <sup>179</sup>, as will 492 493 be discussed in § 5.4. However, this trend does not apply to all systems and the fragility index is found to decrease with the N/P ratio in LiPON and NaPON glasses <sup>147</sup>, while it increases with 494 495 the N/P ratio in LiNaGePON glasses <sup>77</sup>.

The biocompatibility and enhanced durability of silicon oxynitride glass systems is of paramount interest for biomedical applications as bioactive oxide glasses suffer from relatively small strength, hardness and stiffness, which prevent applications in major load-bearing applications. In such a field of applications, the improvement of the mechanical performance induced by the substitution of oxygen by nitrogen is decisive.

501

#### 502 5 MECHANICAL PROPERTIES

503 The mechanical properties of oxynitride glasses are mostly directly correlated to their nitrogen 504 content. Hardness, elastic moduli, fracture toughness and viscosity increase with the nitrogen 505 content, no matter the system <sup>22</sup> <sup>26</sup> <sup>44</sup> <sup>53</sup> <sup>58</sup> <sup>105</sup> <sup>180</sup>. Since the Si-N bond is weaker than the Si-O

506 bond, the atomic packing density and the fine details of the atomic arrangement, including the 507 increase of the cross-linking, come into play. In contrast with the case of silicon oxynitride 508 glasses (SiON), the mechanical properties of phosphorus oxynitride glasses (PON) were 509 scarcely reported and are thus discarded in the following paragraphs. Nevertheless, PON follow 510 the same general trends as SiON: the introduction of nitrogen increases hardness, stiffness, 511 chemical durability, resistance to stress corrosion, toughness and strength. In the case of PON 512 glasses, which exhibit relatively low  $T_g$  and mechanical performance and are particularly 513 sensitive to humidity, the substitution of oxygen by nitrogen results in a dramatic and often 514 critical improvement of the mechanical properties and durability, hence enlarging the field of 515 applications for human bone replacements, metal sealing (thanks to relatively large expansion 516 coefficients) or solid electrolytes for rechargeable batteries. For PON, K is typically between 517 30 and 35 GPa, E is between 35 and 45 GPa, and H is between 1.5 and 2.5 GPa.

518

#### 519 5.1 Elastic moduli and Poisson's ratio

520 Oxynitride glasses possess the largest elastic moduli among non-metallic glasses, with *E* 521 reaching about 190 GPa (SiYAION system). The bulk modulus of a material can be seen as 522 reflecting a volume density of energy. This is expressed by what is known as the 1<sup>st</sup> Grüneisen 523 rule:  $K = \frac{mnU_o}{9V_o}$ , where  $U_o$  is the atomic bonding energy,  $V_o$  the atomic volume at equilibrium, 524 and *m* and *n* are the power law exponents of the pair potential,

525 
$$U(r) = \frac{nU_o}{(m-n)} \left[ \left(\frac{r_o}{r}\right)^m - \frac{m}{n} \left(\frac{r_o}{r}\right)^n \right]$$
(21)

526 This expression can be extended to a multicomponent glass composition using the average 527 dissociation enthalpy  $\langle U_0 \rangle$  and the volume  $\langle V_0 \rangle$  of an equivalent mole of glass (also referred 528 to as an *atom gram*) (see ref. <sup>23</sup> for details). The dissociation enthalpy was calculated for many 529 glasses from different chemical systems, including metallic ones, and is shown in Fig. 8 as a 530 function of the glass transition temperature. In the same way that the shear modulus of 531 crystalline materials is found to scale with the melting point,  $\langle U_0 \rangle$  is found to increase with  $T_g$ . 532 However, the energy range for silicon oxynitride glasses (from 550 to 700 kJ·mol<sup>-1</sup>) is quite 533 close to the one for silicate glasses, and there is no one to one relationship between elastic moduli and  $T_g$  for glasses, as shown in Fig. 9. In fact, the Si-N bond is weaker than the Si-O 534 535 one, and much larger than metallic bonds, but metallic glasses appear to compete well with 536 oxynitride ones, whereas silicate glasses are usually less rigid. For example, K reaches values 537 as large as 139 and 159 GPa for Y<sub>0.146</sub>Si<sub>0.232</sub>Al<sub>0.034</sub>O<sub>0.31</sub>N<sub>0.29</sub> and Pd<sub>0.4</sub>Cu<sub>0.3</sub>Ni<sub>0.1</sub>P<sub>0.2</sub> glasses 538 respectively. For vitreous silica,  $K \approx 33$  GPa. The reason for this counter-intuitive observation 539 lies in the fact that the atomic packing efficiency ( $C_g$ ) is much larger ( $\langle V_0 \rangle$  is smaller) in 540 metallic glasses than in non-metallic ones, and furthermore that it is larger in silicon oxynitride glasses than in oxide silicates. In the case of a disordered arrangement of atoms,  $C_g$  can be 541 542 determined from the ratio of the effective volume occupied by a mole of atoms  $(V_0)$ , as estimated from the atomic radii ( $V_0 = \mathcal{N} \sum_i \frac{4}{3} \pi x_i r_i^3$ , where  $\mathcal{N}$  is Avogadro number and  $x_i$  and  $r_i$ 543 are the atomic fraction and ionic radius of the *i*<sup>th</sup> element), to the corresponding volume of glass, 544 545 calculated from the specific mass of the glass ( $\rho$ ) and the molar mass of the constituents ( $m_i$ ):

546 
$$C_g = \frac{N \sum_i (4/3)\pi x_i r_i^3}{(\sum_i x_i m_i)/\rho}$$
 (22)

The atomic packing density typically ranges between 0.45 and 0.55 for silicate glasses, between 0.57 and 0.65 for silicon oxynitride ones and reaches values as large as 0.8 in precious metal based metallic glasses. Therefore, an efficient atomic packing is found to compensate easily for a relatively small energy content. Consistently with a large packing density, oxynitride glasses show up with relatively large values for v, typically around 0.26-0.32, whereas for oxide silicates v is between 0.15 (a-SiO<sub>2</sub>) and 0.25 (Fig. 10). The increase in Young's modulus is roughly linear with the nitrogen content and given by:  $E(\text{GPa}) = E_0 + 4_x \text{N}$ (at.%), where  $E_0$  is Young's modulus of the parent oxide glass (Fig. 11).

Makishima and Mackenzie  $(M-M)^{181}$  proposed a model to estimate the elastic moduli 555 from the packing density,  $C_g$ , the molar fraction,  $f_i$ , and the volume density of energy,  $\Delta H_{ai}^V$  (J.m<sup>-</sup> 556 <sup>3</sup>), of the  $i^{\text{th}}$  oxide entering the glass composition. For instance, in the case of Young's modulus, 557 these authors arrived at the following expression:  $E=2C_g \sum f_i \Delta H_{ai}^V$ , where  $\Delta H_{ai}$  is calculated 558 from the molar dissociation energy (J·mol<sup>-1</sup>), the specific mass,  $\rho_i$ , and the molar mass,  $M_i$ , 559 according to  $\Delta H_{ai}^V = \frac{\rho_i}{M_i} \Delta H_{ai}^{23}$ . Values for  $C_g$  obtained this way are mostly close to experimental 560 561 data in the case of silicate glasses, but are greatly underestimated in the case of oxynitride 562 glasses. An extension of this theory was proposed, using the ratio of formation free energies of monoatomic nitrogen on oxygen in their gaseous state at 298.15 K  $\frac{\Delta G_{f}^{\circ}(Ng)}{\Delta G_{f}^{\circ}(Og)} = \frac{108.99}{54.99} = 1.98$ , in order 563 564 to take into account the energetic stabilization excess induced by the substitution of nitrogen in the glass network  $^{182}$ . Thus, when the *i*<sup>th</sup> compound of the glass is a nitride, the volume density 565 of energy is calculated such as  $\Delta H_{ai}^{V} = 1.98 \frac{\rho_i}{M_i} \Delta H_{ai}$ , leading to better agreements with measured 566 567 Young's moduli of oxynitride glasses. Nevertheless, these models are chiefly empirical and 568 hardly help understand what is going on when compositional changes occur. Furthermore, such theoretical calculations require the knowledge of the actual value for the glass density ( $C_g$ 569 570 determination), so that the ab initio character is somewhat lost. As was underlined by Daucé et al. <sup>183</sup> a reliable quantitative estimation based on the *M-M*-type approach is only possible for 571 572 glasses with compositions close to those of crystallized compounds for which the actual ionic 573 radii are accurately known. Furthermore, the dissociation energy is not a reticular energy and it 574 is not obvious that  $C_g$  can be used as an ersatz of the Madelung constant. Back to the simple 575 extension of the Grüneisen model, it turns out that for silicon oxynitride glasses, K increases 576 rather exponentially with the volume density of energy (Fig. 12). As far as the change in the

577 ionic radii and interatomic bonding energy with composition can be neglected, this suggests a 578 monotonic increase of the mn product with the nitrogen content. This is likely due to the 579 marked increase of  $C_g$  with the nitrogen content, which would result in a steeper repulsive 580 branch for the pair potential, that is in an increase of m. Interestingly, a large slope for the K 581 versus  $\langle U_0 \rangle / \langle V_0 \rangle$  correlation was also noticed for germanate and silico-aluminate glasses, where Ge and Al are known to experience 4, 5 and 6-fold coordination, which is a favorable 582 583 situation toward an efficient packing. The mn product is close to 9 for phosphate and alkalialkaline-earth silicate glasses, but is larger for metallic glasses <sup>184</sup> and for germanates and 584 585 aluminates <sup>23</sup>.

586

#### 587 5.2 Hardness, indentation deformation and indentation cracking

588 The hardness (H) of silicon oxynitride glasses ranges between 6 and 13 GPa (Vickers 589 indentation tests) and make these glasses the hardest of all glasses (Fig. 13). The largest values 590 were reported for Rare-earth-Si-Al-O-N glasses (especially with yttrium). For a given system, 591 *H* is found to increase almost linearly with the nitrogen content, with  $H \approx H_0 + \alpha[N]$  (e/o) where  $\alpha$  ranges from 0.07 to 0.12 <sup>185</sup> (Fig. 14), where  $H_0$  is the hardness of the parent oxide glass. The 592 593 reason for oxynitride glasses being so hard is two-fold: i) the atomic network cross-linking 594 degree is large thanks to 3-fold coordinated nitrogen, and ii) atoms are more efficiently packed 595 in average in the presence of nitrogen. The combination of these two factors compensates for 596 the Si-N bond being weaker than the Si-O bond. The effect of the average crosslinking degree on hardness was addressed by means of the topological constraints theory <sup>185</sup> and it was found 597 598 that hardness increases linearly with the number of additional constraints  $\Delta n$  induced by the 599 substitution of nitrogen. Since  $\Delta n$  increases linearly with the nitrogen content in e/o, this 600 provides an explanation for the linear relationship between H and N e/o. Furthermore, according 601 to this model the slope depends little on the composition of the parent nitrogen free glass. For 602 oxynitride glasses, the E/H ratio is typically in the 11-13 range, and  $\nu$  is between 0.26 and 0.32. 603 This makes these glasses sensitive to lateral (subsurface) and to radial cracking under a sharp 604 indenter, as opposed to cone and median cracking (see Fig. 9 in ref. <sup>186</sup>) (Fig 15). Besides, 605 because  $C_g$  is relatively large in such glasses, there is little room for stress accommodation 606 through densification (as in the case of silica-rich glasses for example). Hence, in spite of the 607 fact that silicon oxynitride glasses are stiff, hard, strong, and relatively tough (see next 608 paragraph), they experience a well-developed radial crack system (Fig. 16).

609

#### 610 5.3 Fracture toughness and slow crack growth

611 The fracture toughness of silicon oxynitride glasses, as estimated by means of indentationcracking techniques (mostly), is in between 0.6 and 2.7 MPa  $\cdot \sqrt{m}$  <sup>187</sup> <sup>188</sup> <sup>65</sup> <sup>189</sup> <sup>190</sup> <sup>191</sup> <sup>192</sup> <sup>193</sup> <sup>194</sup>, 612 and increases with the nitrogen content (Fig. 16). The incorporation of 15 e/o N in an oxide 613 614 silicate glass typically results to a 10-15 % increase of  $K_{Ic}$ . Nevertheless, the actual increase, as 615 measured by means of a self-consistent method, such as the Single Edge Precracked Beam (SEPB) or the Chevron-Notch (CN), is usually smaller <sup>195</sup>. A relatively simple approach to 616 617 predicting the fracture surface energy,  $\gamma$ , in a quantitative manner from the stoichiometric 618 composition consists in assuming a propagating crack extends following a path disrupting the 619 weakest links of the energy landscape and to estimate the surface energy from the bond strength and the bond concentration along this fracture surface  $^{194}$ . The fracture toughness,  $K_{Ic}$ , is then 620 deduced by means of the classical expression from linear fracture mechanics:  $K_{Ic} = \sqrt{\frac{2\gamma E}{1-v^2}}$ . Let  $x_i$ 621 be the stoichiometric fraction of the species involved in the  $i^{th}$  diatomic bonding energy  $U_{oi}$  (in 622 J·mol<sup>-1</sup>), between the  $i^{th}$  cation and a first neighbor oxygen anion in the case of an oxide glass, 623 and let  $n_i$  be the number of such bonds supposed to be broken as the crack front propagates to 624 625 the next unit, then  $\gamma$  is expressed as

626 
$$\gamma = \frac{1}{2} \left( \sum_{i} \frac{4}{3\pi} x_{i} r_{i}^{3} \right)^{-2/3} \mathcal{N}^{-1} C_{g}^{2/3} < U_{o} > \quad (23)$$

627 where  $\rho$  and  $M_o$  are the glass density (specific mass) and the molar mass of a representative unit 628 (gram-atom of glass),  $\mathcal{N}$  is Avogadro number, and the  $\frac{1}{2}$  prefactor on the right hand side 629 member accounts for the fact that the bond disruption process leads to the formation of two 630 complementary surfaces (a crack has two walls) (see ref. <sup>194</sup> for details).

For example, Young's modulus as high as 150 GPa is typically measured for glasses from the 631 632 RE-Si-Al-O-N system (RE: Y, Nd, Lu etc.). In such glasses, the mean energy ( $\langle U_o \rangle$  $\langle U_o \rangle = \sum_i x_i n_i U_{oi}$ , is calculated considering the number of Si-N bonds, taken as  $\frac{3}{4} x_N$ , and the 633 634 remaining Si-O bonds equal to  $x_{Si} - \frac{3}{4} x_N$ . For example, for the Y<sub>0.123</sub>Si<sub>0.185</sub>Al<sub>0.07</sub>O<sub>0.547</sub>N<sub>0.075</sub> glass composition, taking U<sub>oSi-N</sub>, U<sub>oAl-O</sub>, U<sub>oY-O</sub> equal to 437, 502 and 698 kJ·mol<sup>-1</sup> respectively, 635 a fracture energy of 4.0 J.m<sup>-2</sup> and a theoretical toughness of 1.2 MPa $\cdot\sqrt{m}$  are calculated, quite 636 close to the experimental value of 1.05 MPa $\cdot \sqrt{m^{103}}$ . The theoretical values are in the range 0.8-637 1.1 MPa  $\sqrt{m}$  for oxynitride glasses (Fig. 16) and are close to the experimental values as 638 639 obtained by the SEPB or by the CN method, but about twice smaller than the ones obtained by the indentation cracking method at large nitrogen content. It is thus concluded that the 640 toughness of silicon oxynitride glasses with 5 to 20 at.% nitrogen is near 1 MPa  $\sqrt{m}$ . In 641 642 oxynitride glasses, calculations were performed considering nitrogen is three-fold coordinated 643 and solely bonded to silicon, even though a non-negligible amount of Al-N bonds exists in such glasses  $^{137}$ . When Poisson's ratio v was not specified, a value of 0.28 was arbitrarily chosen as 644 Poisson's ratio often ranges from 0.26 to 0.32 in silicon oxynitride glasses <sup>65</sup> <sup>189</sup> <sup>188</sup> (Fig. 10). 645

646 Silicon oxynitride glass is also present at the grain-boundaries in Si<sub>3</sub>N<sub>4</sub>-based ceramics, 647 due to the liquid phase that forms in the high temperature sintering conditions thanks to the 648 sintering additives (Al<sub>2</sub>O<sub>3</sub>, Y<sub>2</sub>O<sub>3</sub> etc.). In these ceramics, a fracture toughness as large as 4-5 649 MPa· $\sqrt{m}$  is obtained by controlling i) the size and the morphology of the elongated grains and 650 ii) the composition and crystallinity of the secondary grain boundary phase <sup>196</sup>. The theoretical 651 approach introduced above results in a  $K_{lc}$  value of about 1.44 MPa· $\sqrt{m}$  for Si<sub>3</sub>N<sub>4</sub> (v = 0.28 and 652 E = 300 GPa). This value is in agreement with the experimental one obtained for Si<sub>3</sub>N<sub>4</sub> single 653 crystal, that is regardless of any additional toughening phenomena such as crack deflection and 654 crack front bowing due to the acicular shape of the  $\beta$ -Si<sub>3</sub>N<sub>4</sub> grains, which lies between 1.5 and 655 2.0 MPa· $\sqrt{m}$  <sup>197</sup>. This value can thus be seen as an optimum value for a straight through crack 656 in a silicon nitride material.

657 The introduction of nitrogen in a silicate or a phosphate glass was found to increase the 658 chemical durability and the resistance to environmentally assisted slow crack growth (known as the stress corrosion phenomenon). The study by Bhatnagar et al <sup>189</sup> reveals a decrease of the 659 660 crack growth velocity for a given stress intensity factor by over an order of magnitude in an Y-661 Si-Al-O-N glass in comparison to soda-lime-silica and borosilicate glasses in air, and an improvement of several orders of magnitude in water. Coon <sup>198</sup> studied the slow crack growth 662 663 resistance of a Y-Si-Al-O-N glass by means of the double cantilever beam technique, and 664 concluded to a several orders of magnitude smaller crack velocity at a given stress intensity 665 factor for the oxynitride glass in comparison to a standard glass slide (likely a soda-lime-silica glass). Besides, the stress corrosion exponent (Paris law exponent) is typically above 150 for 666 667 the oxynitride glass and below 150 for the oxide silicate glass.

668

#### 669 5.4 High temperature behaviour

a) Elasticity

In all cases but a-SiO<sub>2</sub> (and to a minor extent SiOC glass), the temperature dependence of the elastic moduli of glass exhibits a transition range between a slow softening rate and a faster one, corresponding to the glass transition (Fig. 17). The glass transition temperatures as well as the room temperature values of *E* and  $\mu$ , and the softening rate immediately above  $T_g$  675  $(dE/dT(T_g^+))$  and  $d\mu/dT(T_g^+)$ , i.e., in the supercooled liquid range, are reported in Table I. Glass 676 transition temperatures as measured from E(T) curves are usually smaller by 10 to 20 K than 677 the ones obtained by dilatometry with the same heating rate. There seems to be two kinds of 678 behavior. For relatively stiff glasses such as oxynitride ones, and for  $T_g < T < 1.1T_g^{23}$ :

$$E / E(T_g) = T_g / T$$
(24)

680 In most cases, the shear modulus, which depends more on *T* than *E*, changes with *T* above  $T_g$ 681 according to a power law expression <sup>199</sup> (Fig. 18):

682 
$$\mu / \mu (T_g) = (T_g / T)^{\alpha}$$
 (25)

683 where  $\alpha$  ranges between 0.07 (a-SiO<sub>2</sub>) and 9 (oxynitride glass) for the selected glass 684 composition summarized in Table I. A value of 10 was reported for a-Se<sup>199</sup>.

685 The temperature dependence of the elastic moduli reflects the degree of "fragility" (as defined by Angell <sup>200</sup>) of the liquid. Therefore, with  $\alpha$  equal to 9 (and a fragility index, *m*, equal to 48), 686 687 silicon oxynitride liquids show up as particularly fragile. In polycrystalline ceramics with glassy 688 grain-boundary phases obtained by liquid-phase sintering, the fast softening observed beyond  $T_g$  is solely due to the effect of the liquid grain boundary films and pockets (see ref. <sup>201</sup> for a 689 690 review on this problem). Amorphous silica exhibits an anomalous behavior characterized by a 691 rising shear modulus in the [0.5-0.95] range for  $T/T_g (d\mu/dT(T_g) = 3.1 \text{ MPa} \cdot \text{K}^{-1})$  and a strong resistance to de-structuration above  $T_g$  as evidenced by a remarkably slow softening 692  $(d\mu/dT(T_g^+) = -5.3 \text{ MPa} \cdot \text{K}^{-1})$  and by the fact that v remains almost constant from 0.5 to 1.2  $T_g$ , 693 694 suggesting that the liquid keeps a strong memory of the atomic structure of the glassy solid, 695 with little changes of the average coordination and dimensionality throughout the glass 696 transition range. On the contrary to a-SiO<sub>2</sub>, oxynitride glasses soften rapidly with rising temperature in spite of a relatively high degree of interatomic connectivity, high  $T_g$  and large 697 698 elastic moduli. This suggests that the oxynitride glass network consists of stiff (likely nitrogen699 rich) structural units embedded in a weaker phase (likely concentrating impurities, and alkaline 700 and alkaline-earth species). Recalling that v shows up as a mirror of the overall network 701 connectivity, then the dramatic decrease of  $\mu(T)$  (faster than for glycerol!) with respect to E(T)702 and the corresponding rapid increase in v(T) — comparing with those of organic liquids such 703 as bitumen or glycerol — is an indication of the dramatic network de-structuration above  $T_g$ .

704

705 b) Viscosity

The glass transition range is associated with a shear viscosity coefficient of  $10^{12}$ - $10^{12.6}$  Pa·s. In 706 the case of silicon oxynitride glasses, and in particular those containing rare earth,  $T_g$  is in 707 between 1123 and 1273 K (Fig. 19), which makes these glasses more refractory than silicate 708 709 and aluminosilicate glasses in the transition range, approaching the viscosity of a-SiO<sub>2</sub> for the 710 largest nitrogen content. The viscosity increases typically by three orders of magnitude as the 711 nitrogen content is raised by about 25 e/o N (about 11 at.% N). Nevertheless, the apparent 712 activation (Q) energy for viscous flow, which can be obtained straightforwardly from an 713 Arrhenius modelling of the temperature dependence of the viscosity  $(\eta = \eta_0 \exp[Q/(RT)])$ , is exceptionally large, typically between 800 and 1200 kJ·mol<sup>-1</sup> in the transition range. A striking 714 value of 2100 kJ·mol<sup>-1</sup> was once reported for a Ca<sub>11.04</sub>Si<sub>10</sub>O<sub>13.21</sub>N<sub>11.8</sub> glass ( $T_g \approx 1010$  °C, 715 58 e/o N) 202. In view of the great sensitivity to temperature change of the mechanical properties 716 717 of oxynitride glass forming liquids, such as the elastic moduli and the viscosity coefficient, 718 these liquids can be considered as particularly fragile ones. Indeed, the fragility index of oxynitride glasses, as defined by  $m = d\log_{10} \eta/d(T(\eta = 10^{12} \text{ Pa} \cdot \text{s})/T) \approx Q/(2.303RT_g)$ , ranges 719 720 typically from 47 to 55 ( $m \approx 18$  for a-SiO<sub>2</sub>) (Fig. 20).

The apparent activation energy for creep of silicon nitride-based ceramics reported by most of the authors roughly coincides with the activation energy for viscous flow (above  $T_g$ ) of an oxynitride glass containing the same elements as the sintering aids used for the liquid phase sintering. This result confirms the major importance of the glassy phase on the creep behaviorof these ceramic materials.

726 6. GLASS-CERAMICS & COMPOSITES

The crystallization of oxynitride glasses was studied in various glass systems, and especially in *RE*-Si-Al-O-N ones. In most cases, devitrification occurs through a self-nucleation process, which was attributed to the presence of metallic nano/micro particles resulting from the decomposition of  $Si_3N_4$  <sup>4 203</sup>. The crystal growth rate was observed to decrease with increasing nitrogen content in Li-Si-O-N glasses, likely because of the increase cross-linking and stability of the glass network in the presence of nitrogen <sup>204 205</sup>.

A change in the nitrogen source (AlN or  $Si_3N_4$ ) leads to different crystalline phases <sup>151</sup> <sup>206</sup>. Nitrogen is eventually found to have some incidence on both the relative fractions and the structures of the different phases <sup>206</sup> <sup>207</sup>. Depending on the composition, nitrogen may either not enter the crystallized phases <sup>100</sup> <sup>205</sup> or lead to the crystallization of oxynitrides <sup>151</sup> <sup>187</sup> <sup>208</sup> <sup>209</sup> <sup>118</sup> <sup>210</sup> <sup>211</sup> or nitrides (such as ZrN) <sup>212</sup>. The elastic moduli, hardness and fracture toughness of the resulting oxynitride glass ceramics are typically improved by 25% in comparison to the oxynitride parent glass <sup>187</sup> <sup>213</sup>.

740 A few composites based on oxynitride glasses were reported. SiC/Y-Mg-Si-Al-O-N 741 composites present increased Young's modulus, fracture toughness and hardness compared to the SiC-free glassy matrix <sup>214</sup> <sup>215</sup>. The higher the volume fraction of the SiC particles and the 742 743 smaller their size, the stronger the increase. Alternatively, SiC fibres were incorporated in a Y-744 Si-Al-O-N glass, which was then crystallized <sup>216</sup>. Si-Al-Ca-O-N oxynitride glass fibres were used as reinforcements in various oxide glasses <sup>217</sup> or in aluminum alloys <sup>218</sup>. Si<sub>3</sub>N<sub>4</sub> particles 745 were dispersed or grown in various oxynitride glasses, such as RE-Mg-Si-O-N<sup>219</sup> and Y-Si-Al-746 O-N <sup>220</sup> <sup>221</sup>. TiN/Y-Mg-Si-Al-O-N composites were synthesized by nitriding in the melt a 747

TiO<sub>x</sub>N<sub>y</sub> oxynitride using AlN, and showed a great increase in Young's modulus, shear modulus, hardness and fracture toughness with increasing vol.% of TiN  $^{115}$ .

750

# 751 7. MOLECULAR SIMULATIONS AND *AB INITIO* MOLECULAR ORBITAL 752 CALCULATIONS

Very few molecular simulations of oxynitride glasses were reported. One focused on the Na-Si-O-N system and showed similar trends in density and in mechanical properties with increasing nitrogen content as measured experimentally <sup>131</sup>. It was also proposed here that *NBN* result from the substitution of *NBO* with nitrogen. Another paper focused on the (Sc)-(Y)-(La)-Mg-Si-O-N system, and observed close radial distribution function (*RDF*) with experimental data, but with some discrepancies especially on the glasses structure <sup>222</sup>.

759 Ab initio molecular orbital calculations were performed to determine the interatomic 760 potential, the bending force constant and the stretching force constant of several glass model 761 molecules for silicon oxynitride glasses <sup>223</sup>. It would appear that while the stretching force 762 constant (Si-N) of the model molecules decreases with increasing nitrogen content, the bending 763 force constant (Si-N-Si) oppositely increases. A simple model to calculate the bulk modulus 764 shows a stronger effect of the bending force constant over the stretching force constant, which 765 could be a reason among others explaining why oxynitride glasses show stronger bulk modulus 766 than their oxide counterparts.

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#### 768

#### 8. CONCLUSIONS AND PERSPECTIVES

The unique mechanical properties of oxynitride glasses make them special glasses. Their Young's modulus is typically above 100 GPa, their hardness above 8 GPa and their toughness greater than 1 MPa· $\sqrt{m}$ . By combining these properties with functional properties in the fields of optics, biomaterials, chemical reactivity or electricity, these specialty glasses appear suitable

for various applications in solid-state batteries, because of their strong ionic conductivity, as biomaterials for bone replacement, for special windows, coatings and reinforcement fibres thanks to their hardness, stiffness and chemical inertia, for innovative enamels <sup>134</sup> and for nuclear waste vitrification <sup>63</sup>.

Nevertheless, oxynitride glasses are disadvantaged by their difficulty and their cost of production, inherent in the high temperatures required, typically above 1600° C for the melting step, in the use of nitrogen compounds and in the control of the atmosphere. Besides, in spite of notable progress in this area, the incorporation of nitrogen mostly results in the loss of transparency.

782 There remain several fundamental questions regarding the atomic arrangement in 783 oxynitride glasses. In particular, the role of alkaline and alkaline-earth cations and the way 784 nitrogen is connected to the structural units, such as (Si, Al)(O, N)<sub>4</sub> tetrahedra, remains to be 785 elucidated. While nitrogen is lighter than oxygen and increases the cross-linking, oxynitride 786 glasses are denser that than their parent oxide glasses, exhibit a larger atomic packing density, 787 a larger Poisson's ratio, and are stiffer and harder despite the Si-N bond being weaker than the 788 Si-O one. Further structural investigations, in conjunction with molecular dynamic simulation, 789 are required to get a better insight into the atomic scale structure of these glasses.

790

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- 1473
- 1474

1475 **TABLE I.** High temperature elastic properties of a SiYAlON oxynitride glasses with 17 e/o N 1476 (adapted from <sup>23</sup> and <sup>199</sup>). Typical glasses from other chemical systems are also reported for 1477 comparison. <sup>1)</sup>From Dilatometry or Differential Scanning Calorimetry; <sup>2)</sup>Transition temperature 1478 observed in the  $\mu(T)$  measurements by means of ultrasonic echography. <sup>3)</sup>Softening rates 1479 measured in the glassy (-) and in the supercooled-liquid (+) range near  $T_g$ . <sup>4)</sup> $\alpha$  as obtained by

1480 smoothly fitting the experimental data in the supercooled liquid range.

Glass	<i>T</i> <sup>g<sup>1</sup></sup> (K)	<i>T</i> <sup>2)</sup> (K)	E <sub>RT</sub> (GPa)	μ <sub>RT</sub> (GPa)	$\mu(T_g)$ (GPa)	<i>E (</i> T <sub>g</sub> ) (GPa)	$d\mu/dT$ $(T_g^{-})^{3)}$ (MPa·K <sup>-1</sup> )	dE/dT $(T_g^{-})^{3)}$ (MPa·K <sup>-1</sup> )	$d\mu/dT (T_g^+)^{3)}$ (MPa·K <sup>-1</sup> )	α4)
a-SiO <sub>2</sub>	1463	1370	70	30.4	31	76.8	3.14	2.95	-5.30	0.07
Y12.3Si18.5Al7O54.7N7.5	1216	1236	142	55	45.2	122	-8.53	-103	-247	9.0
Zr55Cu30Al10Ni5	683	651	81	29.5	27.4	72.9	-2.37	-108	-31.3	0.78
Soda-lime-silica	835	823	72	29.3	27.1	56	-4.74	-67	-30.9	0.98
Glycerol	193	193	1.03	0.35	4.8	9.5	-22.5	-190	-28.8	1.26

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1482 **TABLE II.** Viscous flow properties of an oxynitride glass with 17 e/o N in the transition range 1483 (reprinted from <sup>199</sup>). <sup>1</sup>)Glass transition temperature taken as the temperature at which  $\eta = 10^{12}$ 1484 Pa·s; <sup>2</sup>)Viscosity at  $T_g$  as determined by *DSC*; <sup>3</sup>)As defined by  $m = dlog_{10}\eta/d(T(\eta = 10^{12}$ 1485 Pa·s)/*T*);

Glass	$T_g^{(1)}$ (K)	$\eta (T_g)^{2)}$ x10 <sup>12</sup> Pa·s	$\Delta H_a(T_g)$ (kJ·mol <sup>-1</sup> )	$\Delta S_a(T_g)$ (J·K <sup>-1</sup> ·mol <sup>-1</sup> )	<i>m</i> <sup>3)</sup>
a-SiO <sub>2</sub>	1463	0.8	510	66	18
Y <sub>12.3</sub> Si <sub>18.5</sub> Al <sub>7</sub> O <sub>54.7</sub> N <sub>7.5</sub>	1216	1.9	1115	799	48
Zr55Cu30Al10Ni5	683	8	428	267	33
Soda-lime-silica	835	3	571	331	36
Glycerol	193	3	253	704	68

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## 1489 FIGURE CAPTIONS

- 1490 FIGURE 1 Number of papers focusing on bulk oxynitride glasses per country before 20101491 (red), and during the last 10 years (blue).
- FIGURE 2 The glass forming region in the Y-Si-Al-O-N system at 1700 °C extending up to
   about 7 at.% N, or 25 e/o N (reprinted from <sup>231</sup>).
- FIGURE 3 Photographs of 4 mm thick glass specimens in the SiO<sub>2</sub>-BaO-Al<sub>2</sub>O<sub>3</sub>-Si<sub>3</sub>N<sub>4</sub> system
   with 0 to 27 e/o N.
- 1496 FIGURE 4 Schematic drawing and pictures of the atmosphere-controlled melting, quenching1497 and annealing facilities at the Glass Mechanics Lab. (Rennes, France).
- 1498 **FIGURE 5** Gibbs free energies of various  $\frac{2}{y}M + O_2 = \frac{2}{y}MO_y$  and  $\frac{2}{y}AN_x + O_2 = \frac{2}{y}AO_y + \frac{x}{y}N_2$
- 1499 reactions with increasing temperature, normalized to 1 mole  $O_2 \stackrel{224}{}^{225}$ . When a nitride can be
- 1500 oxidized into various oxide species (like VO,  $V_2O_3$ ,  $VO_2$  or  $V_2O_5$  in the case of vanadium),
- 1501 only the reaction showing the lowest Gibbs free energies in the temperature range is shown
- 1502 (as it is the one that will reduce the most oxides).
- FIGURE 6 The substitution of oxygen by nitrogen with the formation of 2-fold and 3-fold
   coordinated nitrogen atoms in phosphate oxynitride glasses <sup>46</sup>.
- 1505 **FIGURE 7** Preferential substitution of oxygen bridging PO<sub>4</sub>-PO<sub>3</sub>N tetrahedra <sup>146</sup>.
- 1506 **FIGURE 8** Dissociation energy  $\langle U_0 \rangle$  as a function of the glass transition temperature  $(T_g)$  for 1507 glasses from different chemical systems (elemental symbols represent host elements of metallic 1508 glasses). Reprinted with some modification from ref. <sup>184</sup>.
- FIGURE 9 Bulk modulus (experiments) as a function of the glass transition temperature
   (reprinted and adapted from ref. <sup>23</sup>).
- 1511 **FIGURE 10** Poisson's ratio,  $\nu$ , as a function of the atomic packing density,  $C_g$  (reprinted and 1512 adapted from ref. <sup>23</sup>).
- FIGURE 11 Young's modulus of oxynitride glasses with increasing nitrogen content <sup>226</sup> <sup>227</sup> <sup>126</sup>
   <sup>228</sup> <sup>229</sup>.
- 1515 **FIGURE 12** Bulk modulus, *K*, as a function of the volume density of energy  $\langle U_0 \rangle / \langle V_0 \rangle$ 1516 <sup>230</sup> <sup>169</sup> <sup>226</sup> <sup>162</sup> <sup>227</sup> <sup>228</sup> <sup>188</sup>.
- FIGURE 13 Hardness (mostly from Vickers indentation tests) as a function of the glass
   transition temperature (reprinted and adapted from ref. <sup>23</sup>).
- FIGURE 14 Hardness of silicon oxynitride glasses as a function of the nitrogen content in eq.
   % N. Reprinted with permission from <sup>185</sup>.
- 1521 **FIGURE 15** Indentation cracking and driving-force map. Stress components are taken at the 1522 border of the indentation imprint for sake of simplicity. Recall that  $\sigma_{rr}(\theta = \pi/2)$ ,  $\sigma_{\phi\phi}(\theta = \pi/2)$ ,
- 1523  $\sigma_{rr}(\theta=0), \sigma_{\theta\theta}(\theta=0)$  are the stress components governing the ring/cone-, the radial-, the
- 1524 subsurface lateral-, and the median-cracks respectively (spherical coordinates with  $\theta=0$  for the

- vertical axis). (-) and (+) signs indicate the area where the stress component is compressive and tensile respectively. The red contour shows where the driving force is expected to vanish. Reprinted and adapted with permission from ref. <sup>186</sup>. The inset shows the radial cracks at a Vickers indentation site (4.9 N applied for 15 s) on a Sr<sub>12.73</sub>Si<sub>10</sub>O<sub>20.08</sub>N<sub>8.44</sub> oxynitride glass (the bar is equal to 50 µm) <sup>188</sup>.
- FIGURE 16 Measured and calculated fracture toughness of various oxynitride glasses <sup>187 188 65</sup>
   <sup>189 190 191 192 193</sup>. Round dots indicate fracture toughness measured by chevron notched specimen
   technique, and squared dots indicate fracture toughness measured by indentation or other
   techniques.
- FIGURE 17 Temperature dependence of Young's modulus of glasses from different chemical
   systems (reprinted and adapted with permission from ref. <sup>23</sup>.
- 1536 **FIGURE 18** Temperature dependence of shear modulus ( $\mu$ ). The curve fitting at  $T > T_g$ 1537 corresponds to  $\mu/\mu(T_g) = (T_g/T)^{\alpha}$  (reprinted and adapted from ref. <sup>199</sup>).
- 1538 **FIGURE 19** Shear viscosity coefficient,  $\eta$ , of glasses from different chemical system.
- 1539 **FIGURE 20**  $T_g$ -scaled logarithm of the shear viscosity coefficient ( $\eta$ ) in the transition range
- 1540 from which the activation energy for flow as well as the fragility index are straightforwardly
- 1541 derived from the slope of the linear intercepts (reprinted and adapted from ref.<sup>199</sup>).
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1548 FIGURE 1 Number of papers focusing on bulk oxynitride glasses per country before 20101549 (red), and during the last 10 years (blue).



FIGURE 2 The glass forming region in the Y-Si-Al-O-N system at 1700 °C extending up to
 about 7 at.% N, or 25 e/o N (reprinted from <sup>231</sup>).



1595 FIGURE 4 Schematic drawing and pictures of the atmosphere-controlled melting, quenching1596 and annealing facilities at the Glass Mechanics Lab. (Rennes, France).



1600 **FIGURE 5** Gibbs free energies of various  $\frac{2}{y}M + O_2 = \frac{2}{y}MO_y$  and  $\frac{2}{y}AN_x + O_2 = \frac{2}{y}AO_y + \frac{x}{y}N_2$ 1601 reactions with increasing temperature, normalized to 1 mole  $O_2$  <sup>224</sup> <sup>225</sup>. When a nitride can be 1602 oxidized into various oxide species (like VO, V<sub>2</sub>O<sub>3</sub>, VO<sub>2</sub> or V<sub>2</sub>O<sub>5</sub> in the case of vanadium), 1603 only the reaction showing the lowest Gibbs free energies in the temperature range is shown (as 1604 it is the one that will reduce the most oxides).



FIGURE 6 The substitution of oxygen by nitrogen with the formation of 2-fold and 3-fold
 coordinated nitrogen atoms in phosphate oxynitride glasses <sup>46</sup>.



1615 **FIGURE 8** Dissociation energy  $\langle U_0 \rangle$  as a function of the glass transition temperature  $(T_g)$  for 1616 glasses from different chemical systems (elemental symbols represent host elements of metallic 1617 glasses). Reprinted with some modification from ref. <sup>184</sup>.





FIGURE 9 Bulk modulus (experiments) as a function of the glass transition temperature
 (reprinted and adapted from ref. <sup>23</sup>).



**FIGURE 10** Poisson's ratio,  $\nu$ , as a function of the atomic packing density,  $C_g$  (reprinted and 1636 adapted from ref. <sup>23</sup>).





FIGURE 11 Young's modulus of oxynitride glasses with increasing nitrogen content
 <sup>226</sup> <sup>227</sup> <sup>126</sup> <sup>228</sup> <sup>229</sup>.





1643 Data from refs. <sup>230</sup> <sup>169</sup> <sup>226</sup> <sup>162</sup> <sup>227</sup> <sup>228</sup> <sup>188</sup>.







FIGURE 14 Hardness of silicon oxynitride glasses as a function of the nitrogen content in eq.
 % N. Reprinted with permission from <sup>185</sup>.


1652 **FIGURE 15** Indentation cracking and driving-force map. Stress components are taken at the 1653 border of the indentation imprint for sake of simplicity. Recall that  $\sigma_{rr}(\theta = \pi/2)$ ,  $\sigma_{\phi\phi}(\theta = \pi/2)$ ,

1654  $\sigma_{rr}(\theta=0)$ ,  $\sigma\theta\theta(\theta=0)$  are the stress components governing the ring/cone-, the radial-, the 1655 subsurface lateral-, and the median-cracks respectively (spherical coordinates with  $\theta=0$  for the 1656 vertical axis). (-) and (+) signs indicate the area where the stress component is compressive and 1657 tensile respectively. The red contour shows where the driving force is expected to vanish. 1658 Reprinted and adapted with permission from ref. <sup>186</sup>. The inset shows the radial cracks at a 1659 Vickers indentation site (4.9 N applied for 15 s) on a Sr<sub>12.73</sub>Si<sub>10</sub>O<sub>20.08</sub>N<sub>8.44</sub> oxynitride glass (the 1660 bar is equal to 50 µm) <sup>188</sup>.

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FIGURE 16 Measured and calculated fracture toughness of various oxynitride glasses <sup>187 188 65</sup>
<sup>189 190 191 192 193</sup>. Round dots indicate fracture toughness measured by chevron notched specimen
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techniques.



FIGURE 17 Temperature dependence of Young's modulus of glasses from different chemical
systems (reprinted and adapted with permission from ref. <sup>23</sup>).





**FIGURE 18** Temperature dependence of shear modulus ( $\mu$ ). The curve fitting at  $T > T_g$ 1673 corresponds to  $\mu/\mu(T_g) = (T_g/T)^{\alpha}$  (reprinted and adapted from ref. <sup>199</sup>).









1678 **FIGURE 20**  $T_g$ -scaled logarithm of the shear viscosity coefficient ( $\eta$ ) in the transition range 1679 from which the activation energy for flow as well as the fragility index are straightforwardly 1680 derived from the slope of the linear intercepts (reprinted and adapted from ref. <sup>199</sup>).



1682 Additional figure (COVER) –  $Ba_{0.135}Si_{0.231}Al_{0.02}O_{0.589}N_{0.025}$  pre-cracked parallelepipedic bar 1683 for the measurement of  $K_{lc}$  by means of the SEPB method, and schematic drawing of the atomic 1684 structure at the vicinity of a crack front.