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## **► To cite this version:**

Antonios Giannopoulos, Pierre-Yves Passaggia, Nicolas Mazellier, Jean-Luc Aider. On the optimal window size in optical flow and cross-correlation in particle image velocimetry: application to turbulent flows. Experiments in Fluids, 2022, 63 (3), pp.57. <10.1007/s00348-022-03410-z>. <hal-03848429>

**HAL Id: hal-03848429**

**<https://hal.science/hal-03848429v1>**

Submitted on 17 Nov 2022

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# On the optimal window size in optical flow and cross-correlation in particle image velocimetry: Application to turbulent flows

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November 17, 2022

**Abstract** Two Particle Image Velocimetry (PIV) softwares applied to turbulent flows are compared. One is based on a standard Cross-Correlation (CC) algorithm and the other is based on an iterative multi-pyramid Optical Flow (OF) algorithm. First, still particle images are used to evaluate the cut-off frequency induced by each method. Then a step response analysis highlights the capabilities of each method to minimise the effect of unresolved velocity gradients. Two different benchmarks with various turbulent length-scales, down to the Taylor micro-scale, are then used to analyse the velocity spectra and the turbulent kinetic energy dissipation estimation. First, a synthetic PIV dataset of homogeneous isotropic turbulence is processed and compared with Direct Numerical Simulation (DNS) results. Then a grid turbulence wind tunnel experimental dataset is used to calculate velocity spectra and second-order structure functions, which are compared to Laser Doppler Velocimetry spectra. All these results point to the fact that, although OF is more diffusive and up to 5% less accurate than cross correlation, the numerical diffusion improves the calculation of sub-window unresolved gradients and allows for direct and more robust measurement of the onset of the viscous subrange in experimental turbulent flows.

**Keywords** Particle Image Velocimetry, Optical Flow, Cross-Correlation, turbulence spectrum.

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## 1 Introduction

Particle Image Velocimetry (PIV) has been widely used for almost 40 years in fluid dynamics research and the industry. It carries a long history of techniques which have gradually improved over the years [53,36,39]. Digital PIV (DPIV) uses two successive particle images that record the displacement of particles over a dozen pixels. This method has been used extensively and led to different correlation methods in order to obtain an instantaneous 2-Dimensional 2-Components (2D2C) velocity field from two snapshots. At this point, two methods stand out. On one hand, Particle Tracking Velocimetry (PTV) is traditionally used to analyse particle tracks from series of particle images where the tracks are used to obtain the velocity and acceleration from sparse particles in a Lagrangian frame. On the other hand, PIV uses Cross Correlation (CC) to determine the mean displacement of particles from a correlation window, based on a couple or more instantaneous particle images of the flow. PIV gives results in an Eulerian frame, so a direct comparison with PTV is not possible unless a coordinate system conversion is performed from Lagrangian to the Eulerian frame for direct comparison. While this approach is widely used in experimental fluid dynamics, different algorithms have been developed in order to calculate the correlation between two successive frames.

Most CC-based algorithms are based on Fast Fourier Transform (FFT) to calculate the CC more efficiently than direct correlation. Such algorithms have been extensively used across the literature and have been adapted to a large range of flows. Despite its efficiency and high accuracy, FFT-based cross-correlation algorithms present a few drawbacks including peak locking [53], and unresolved velocity gradient [27]. Various CC PIV algorithms were compared for various data-sets during the four PIV challenges [43–45,20]. We use part of these data-sets in the present study.

In addition, it has been shown that overlapping the correlation boxes beyond 50% did not improve the quality of the correlation, nor the accuracy of the gradients [8]. It only leads to oversampling of the velocity field without any gain in accuracy. In fact, the cut-off frequency is only dependent on the size of the correlation box and remains constant for spatial frequencies lower than 2.8 divided by the size of the correlation box. This bound is extremely important, and shows that in order to improve the accuracy of CC-based algorithms, one may either use very large particle images with a very dense seeding, or design a fast algorithm that can perform equivalently than direct correlation which does not suffer the curse of the box size (i.e. the length of the correlation window). The same group [15] recently showed that when PIV is used to measure turbulence, it can be treated as a time-dependent signal and hence the noise between different realisations and different interrogation volumes is statistically independent.

Optical Flow (OF) algorithms, first introduced in machine vision by Horn & Shunck [18], provides an interesting alternative. Their first applications to PIV followed years later [31,34] and since have been increasingly used in fluid laboratory experiments.

Even if OF algorithms lead to dense velocity fields (one vector per pixel), it seems that their spatial resolution was no better than standard cross-correlation Fast-Fourier Transform (FFT) PIV algorithms, although ranked among the top 5 for various benchmarking tests [43,44]. Recently, Seong *et al.* [40] showed that OF can also be used to improve cross-correlation PIV results.

Turbulent data-sets are excellent test cases to compare PIV algorithms: they contain high gradients, large velocity differences inside the same field and are governed by universal laws for their relative spectra. Hence, they can be used as benchmarks leading to a better understanding of the advantages and disadvantages of each algorithm.

Very recently, machine learning algorithms have been also tested to perform PIV with promising expectations for the future of the community [32,7,2]. Moreover, [38,37] proposed a wavelet-based OF method to improve the resolution and accuracy of standard Cross-Correlation PIV, and in particular, the estimation of the planar velocity vector field, which is somewhat close to windowing the correlation box but provides more flexibility on the sample size.

To this date though, very few studies compare the accuracy of OF, Direct-Cross-Correlation, and FFT-based CC [21,23,5]. This is the objective of the present study.

In this paper, we begin with the same ideas than Foucaut *et al.* [8] and analyse still particle images to understand how band-pass filtering compares between OF and CC. The analysis is extended to the step response analysis to understand how unresolved velocity gradients affect both CC and OF. In particular, we extend the framework developed in [19] and analyse the statistics (mean and variance) of the step response. We are therefore able to draw a clearer picture on the advantages and disadvantages of each method when applied to either laminar or turbulent flows. Then, we analyse a two-dimensional turbulence data-set generated from a Direct Numerical Simulation (DNS) without noise to evaluate the performance of each approach to compute the spectrum in the deep viscous regime. The results are then compared to the DNS data. Finally, we consider one experimental test case: the turbulent flow downstream a regular grid. Our results show on both test cases that CC is outperformed by OF when attempting to directly measure the viscous sub-range. These results reveal that when considering turbulent flows, OF is well adapted to their analysis and more appropriate than CC. Instead, CC seems to provide a more accurate alternative when analysing laminar flows or when velocity gradients are well resolved by the interrogation window.

## 2 Cross-Correlation and Optical Flow applied to PIV

### 2.1 Cross-correlation based PIV

This section presents the measurement strategy for the cross-correlation algorithm which was originally developed by Meunier & Leweke (DPIVSoft) [27], who analysed errors generated by two-dimensional CC PIV algorithms (with window shifting), when large velocity gradients are present. A sketch of the cross-correlation procedure is depicted in Fig. 1.

The algorithm first re-estimates the correlation function by raising its values far from the centre. This is due to the in-plane loss of pairs in the case of FFT calculation (see [33]). The correlation function is thus recalculated around its maximum and the algorithm then fits the peak and its neighbours by a Gaussian function.

In classical CC-based PIV, a first bias error is due to the difference between the Lagrangian displacement of a particle and the real velocity. This error has been calculated theoretically as a function of the velocity gradients, and is shown to reach values up to 1 pixel if only one window is translated [27]. However, it becomes negligible when both windows are shifted in a symmetric way. Meunier & Leweke also showed that a second error source is linked to the image pattern deformation, which decreases the height of the correlation peaks. In order to reduce this effect, the windows in DPIVSoft [27,30,3,29,41] are deformed according to the velocity gradients in an iterative process. The problem of finding a sufficiently reliable starting point for the iteration is solved by applying a Gaussian filter to the images for the first correlation. The approach of Meunier & Leweke implemented in DPIVSoft thereby minimizes the displacement error in an iterative manner, finding the

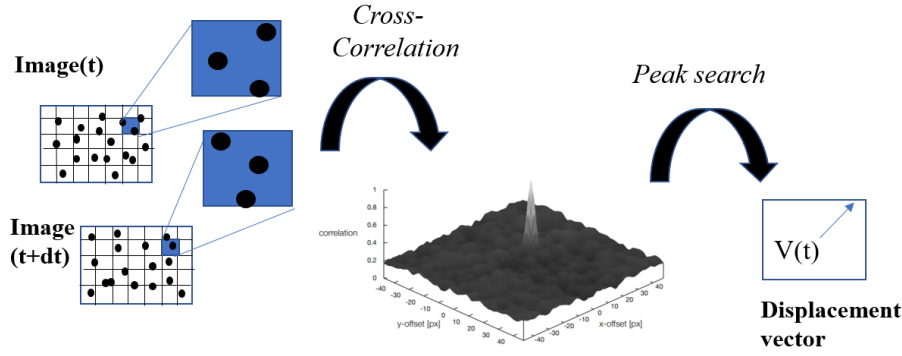


Fig. 1: Sketch of the CC-PIV process using cross-correlation and peak-search to estimate velocity vectors from a couple of successive images.

optimal displacement and deformation for the correlation box. The results were found to recover the same accuracy than commercial software for still and moving particle images.

The size of the correlation box is set by different parameters such as the particle size, particle density, and particle displacement [19]. In this study, we analyse the effect of the box size with dimensions increasing in powers of 2. Thereby, squares boxes with sizes 16, 32, and 64  $\text{pix}^2$  were considered. The mean displacement for each experiment was found to be approximately 4  $\text{pix}$  for the HIT (Homogeneous Isotropic Turbulence) dataset and 8  $\text{pix}$  for the grid-turbulence experiment. For all experiments, the mean diameter of the particles was approximately two or three pixels.

The FFT-based CC implemented in DPIVSoft is also performed in an iterative way over successive passes such that each iteration is performed not only once but iterated several times in order to converge towards optimal box displacements and optimal box shape modification. Hence, at the end of each iteration, the displacement vector is stored and used as an update for the shapes and displacement of the correlation window. In practice, no more than 5 iterations were necessary for correlations to converge in each window.

The second part of the iterative scheme deals with pyramid levels which are implemented in DPIVSoft using a multigrid approach. At first, boxes with size  $4X$  (where  $X$  is the final size of the correlation box) are used for the cross-correlation and iterated as discussed previously. Then, a second grid is considered which is twice smaller than the original grid (i.e. with size  $2X$ ) and therefore gives rise to four times more vectors. An additional level is then computed to reach the final box size  $X$  with up to 5 sub-iterations in order to obtain the final velocity field.

The next section addresses the Optical Flow method. We will show later that both methods present different accuracy and robustness to unresolved measurements.

## 2.2 Optical Flow for PIV

A variational OF method was first proposed by Horn and Schunck [18] to solve motion estimation problems. The estimation relied on finding the optimal displacement field  $[u, v]$  to achieve the energy minimisation of an objective function which consists of an intensity

energy term (based on brightness conservation assumption) and a regularisation energy term. Although firstly introduced in the field of machine vision, OF methods have been applied in the field of fluid mechanics as well. Various OF algorithms were benchmarked multiple times during the four international PIV challenges [43–45, 20]. Most of them were found of equivalent precision and spatial resolution compared to CC PIV algorithms for most of the challenges. Even a 3D PIV OF algorithm was benchmarked [20], ranking amongst the top 5 competitors.

An hybrid CC-OF PIV method was also presented by [23]. Furthermore, [52] used a multi-pyramid-type scheme optimisation algorithm (used traditionally in OF) in order to globally minimise an objective function consisting of a CC term, a penalty term for smoothness and an empirical smoothing parameter. They thus obtained superior resolution results compared to standard CC PIV and outperforming OF for small vortex resolution, but losing compared to OF for error analysis in particle concentration, particle image diameter, large displacements and image noise. CC PIV and OF have also been used for biological image data-sets [51]. OF showed clear advantages to extract additional biophysical / chemical informations such as local vorticity or net polymerisation rates from speckle microscopy. Last but not least, OF algorithms have been also applied in experimental machine learning flow control experiments [14] as well as System Identification studies with quite satisfying results [17, 16, 13].

In the present study we benchmark a dense, multi-pyramid, iterative Lucas-Kanade OF algorithm. The first version of the code has been developed at ONERA [5] and later modified, optimised and adapted to the constraints of real-time measurements by Gautier & Aider [13] to allow closed-loop flow control experiments based on data extracted in real-time from the "visual sensor" [12]. The advantage of this algorithm, compared to a standard FFT-PIV algorithm, is its high computational speed when implemented with CUDA functions on a GPU (Graphics Processor Unit). OF processing time is approximately 50 times faster than state-of-the-art CC-PIV software [28, 5].

The basic principle is different from CC-PIV. It consists in estimating at each pixel  $m$  the intensity displacement which minimises the sum of square differences between the intensity over a warped Interrogation Window ( $IW$ ), of size equal to the Kernel Radius ( $KR$ ) at time  $t$  and the intensity over the warped  $IW$  at the successive time  $t' = t + dt$ . A synthetic PIV dataset was used by [28] to benchmark the spatial resolution, which was found equivalent to the CC PIV competitors. This algorithm was used by [6, 35] to quickly post-process their PIV snapshots for a turbulent jet flow study and for an investigation of the interaction between a shock wave and a turbulent boundary layer, respectively. OF has been used numerous times for time-resolved and real-time PIV measurements. The high spatial resolution together with the low computational time proved useful in various physics experiments [50] as well as for flow control experiments [11, 10, 12, 49]. Another comparison [22] showed superior spatial resolution for OF compared to CC PIV.

The Kernel Radius ( $KR$ ), defined in pixels, is the size of the  $IW$  centred around each pixel, as seen in Fig. 2. Larger  $KR$  values yield smoothed-convoluted results, that are on the other hand more robust to noise and particle density variations. Smaller  $KR$  values may increase the spatial resolution but also measurement noise. Note that it plays a secondary role in the computational time.

Multi-pyramid computational schemes are standard algorithms widely used in computations. They provide a better initial condition estimation for the iterative scheme and increase drastically the number of maximum possible particle displacement. At each pyramid level, the upper level is obtained by applying a low-pass filter, hence down-sampling the image size by a factor of 2 in each direction, as shown in Fig. 2. In the present algorithm, a Burt-

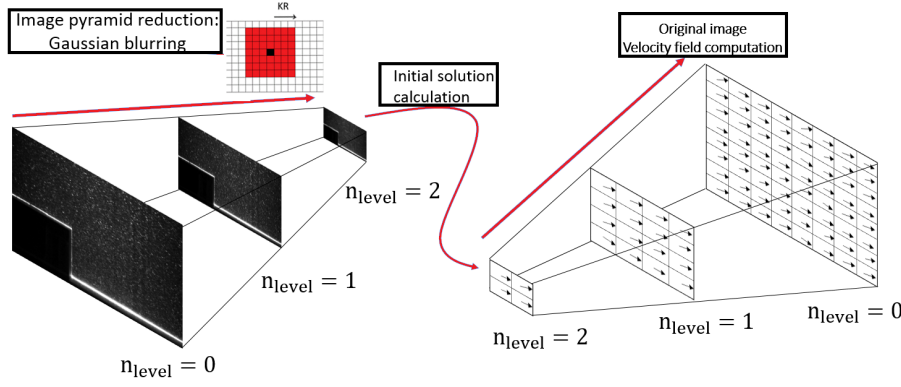


Fig. 2: Illustration of a typical OF pyramid reduction scheme using 3 levels, and KR definition, used for Gaussian blurring in the pixel neighbourhood of size  $(2 \times KR + 1)^2$ .

Adelson pyramid [1] is implemented. This specific parameter was introduced so that more than 2 pixels displacements can be calculated, which is a typical problem of initial OF algorithms [18]. With the increase of pyramid levels there is no upper limit on the maximum displacement that can be calculated. Practically though, for standard PIV image data-sets, one can rarely observe a non-fictitious displacement of more than 20 pixels.

### 3 Comparison of the filtering effect of Optical Flow and Cross Correlation

#### 3.1 Steady particle images: noise response analysis

A single experimental snapshot of still particles was used to study the filtering effect of each algorithm. The data-set corresponds to neutrally buoyant PIV particles from Cospehric<sup>TM</sup>, at rest in a stratified salt water tank [29]. The average particle image diameter is 3 px while the mean particle concentration is 0.01 ppp, with the particle concentration measured in Particles Per Pixels (ppp) and defined as:

$$C_p = N / (n_x n_y) \quad (1)$$

where  $N$  is the number of particles in the control box, while  $n_x$  and  $n_y$  are the sizes of the control box in the two directions. In the following, we will consider sizes of IW and KR as power of 2 (16, 32 and 64 pixels), which are standard values, but one has to keep in mind that both algorithms work with any integer values.

| Window size / Method | Number of fields | Mean disp. along x (pix) | Mean disp. along y (pix) | Std dev. $\sigma_u$ (pix) | Std dev. $\sigma_v$ (pix) |
|----------------------|------------------|--------------------------|--------------------------|---------------------------|---------------------------|
| 16 × 16 CC           | 1                | 0.010                    | 0.0029                   | 0.985                     | 0.212                     |
| 32 × 32 CC           | 1                | 0.009                    | 0.0019                   | 0.439                     | 0.092                     |
| 64 × 64 CC           | 1                | 0.008                    | 0.0016                   | 0.280                     | 0.048                     |
| 16 × 16 OF           | 1                | 0.008                    | 0.007                    | 0.185                     | 0.148                     |
| 32 × 32 OF           | 1                | 0.007                    | 0.003                    | 0.114                     | 0.071                     |
| 64 × 64 OF           | 1                | 0.006                    | 0.002                    | 0.056                     | 0.044                     |

Table 1: Systematic and random errors on the two components of the velocity field computed from no-motion snapshots with CC-PIV and OF.

Particle images can be considered as a two-dimensional discretized signal where particles are sampled over few pixels. Assuming that the displacement of the particles is close to null, there only remains the noise from the camera and from the experimental setup such as the misalignment of the laser or optical aberrations, to only cite a few. Following [8], we proceed with a spectral analysis and compare how both CC and OF respond to nearly-zero-displacement images. In particular, we adapt their analysis and assume that the IW used in both methods can be modelled by the convolution of the particle image sample with a square window, whose Fourier transform corresponds to a multiplication by a *sinc* function in the spectral domain. As suggested by [24], the power spectra of the noise for no-motion particle images can be expressed as:

$$E_{ii} = E_{noise} \left( \frac{\sin(kX/2)}{kX/2} \right)^2, \quad (2)$$

where  $E_{noise}$  is the white-noise level introduced by measurement errors. In the present study,  $E_{noise}$  corresponds to the intercept at the smallest wave numbers of the spectrum measured from OF and CC, which is assumed to have the form given by Eq. (2).

The results are compared in Fig. 3(a) and Fig. 3(b) for CC, and Fig. 3(c) and Fig. 3(d) for OF. Note that the spectra has been rescaled with the interrogation window size  $X$  or  $Y$  for CC and with the kernel radius  $KR$  for OF. If  $KR = X = Y = 32$ , the noise amplitude is  $E_{noise} = 9 \times 10^{-3} \text{ pix}^3$  and  $1.9 \times 10^{-3} \text{ pix}^3$  respectively for  $E_{11}$  and  $E_{22}$  for CC and  $E_{noise} = 7 \times 10^{-3} \text{ pix}^3$  and  $3 \times 10^{-3} \text{ pix}^3$  respectively for  $E_{11}$  and  $E_{22}$  in the case of OF. It is interesting to note that in the vertical direction, CC provides about twice less mean displacement than OF.

First, the noise level for  $E_{22}$  is essentially the same for both CC and OF, in agreement with the values of the standard deviation in Tab. 1. The main difference is observed for  $E_{11}$  which is the direction along which the CCD camera transfers data. In this direction, it has already been noted that  $E_{11}$  was much larger than  $E_{22}$  for a similar PIV equipment [8]. We also obtain a ratio  $E_{11}/E_{22} \approx [2 - 5]$  close to the one reported in [8] in the case of CC. This is to be expected since the data transfer in the  $x$  direction introduces noise which will be detected by the cross correlation.

The noise level for OF is equivalent between  $E_{11}$  and  $E_{22}$  because of the way the correlation is computed. OF averages the square of the intensity over the interrogation window, which is equivalent to a mean energy. The noise within the interrogation window is therefore averaged and turns out to be close to zero for a white noise. Thus, OF is expected to be less sensitive to white noise than CC, which explains the difference for  $E_{11}$  between both methods. This should not be a problem for CMOS sensors.



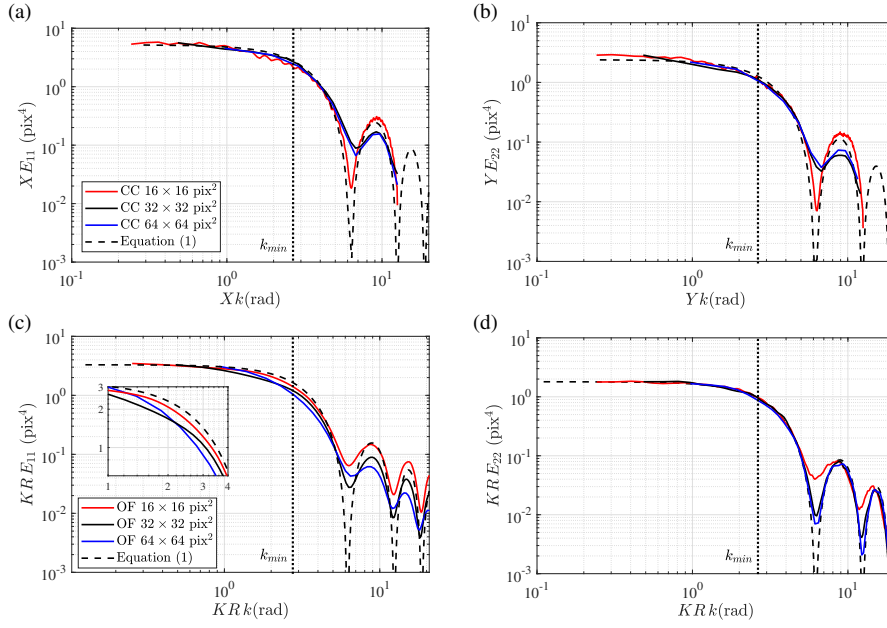


Fig. 3: Power spectra of displacements from no-motion PIV maps obtained with Cross-Correlation (with 75% overlap for CC) in (a) and (b) and Optical Flow (with an overlap of  $(KR - 1)/KR > 93\%$ ) in (c) and (d), along the  $x$  direction in (a) and (c) and along the  $y$  direction in (b) and (d).

The error between the horizontal and the vertical components of the displacement induced by the noise are reported in Tab. 1 and varies differently for OF and CC. Cross correlation provides systematically smaller mean displacements than OF in the vertical direction. However, there is a substantial difference regarding the standard deviation: OF is weakly dependent on the vertical or horizontal direction while CC is much more sensitive and differences are much larger depending on the direction. This result hints to the idea that OF is less sensible to noise but also less precise than CC for small displacements in practical applications. In the following, the results presented correspond to  $E_{22}$  and are generalised to  $E_{ii}$ .

The cutoff frequency produced by each PIV method can be seen as the decrease by  $-3\text{dB}$  between the  $E_{ii}$  measured at the intercept (i.e.  $k = 0$ ). This cutoff corresponds to the lower significant wavenumber for which the velocity is not filtered by the interrogation window size. As shown by the theoretical expression in Eq. (2), the *sinc* function is the standard low-pass filter introduced by the square window whose cutoff wavenumber gives  $k_{min} = 2.8 \text{ rad/pix}$  for CC and  $k_{min} = [1.34 - 2.7] \text{ rad/pix}$  for OF in the horizontal direction and  $k_{min} = 2.8 \text{ rad/pix}$  in the vertical direction. Note that this value may actually increase up to  $k_{min} = 2.8$  when decreasing the  $KR$  size, as shown in the inset in Fig. 3(c). This value is shown in Fig. 3(a-d) by a vertical dashed line. OF may therefore be considered more diffusive than CC when the kernel radius size is larger than 16 px and it is therefore necessary to use an interrogation window twice smaller in the worst case for OF than CC for  $KR > 16$  to obtain results with similar cutoff frequencies. Note that the vertical spectrum is not affected at all. In the following, an overlapping of 75% for CC will be used in order

to detect easily the *sinc* function, although an overlap larger than 50% is not necessary in practice [8].

| Window sizes | $\zeta_1$ (px <sup>4</sup> ) | $\zeta_2$ (px <sup>4</sup> ) | $\sigma_u$ estimated | $\sigma_v$ estimated |
|--------------|------------------------------|------------------------------|----------------------|----------------------|
| 16 × 16 CC   | 8.4                          | 2.08                         | 0.857                | 0.214                |
| 32 × 32 CC   | 8.1                          | 1.99                         | 0.432                | 0.105                |
| 64 × 64 CC   | 7.9                          | 1.92                         | 0.212                | 0.050                |
| 16 × 16 OF   | 2.15                         | 1.40                         | 0.208                | 0.176                |
| 32 × 32 OF   | 1.86                         | 1.39                         | 0.099                | 0.085                |
| 64 × 64 OF   | 1.72                         | 1.38                         | 0.048                | 0.042                |

Table 2: Spectral noise density and estimated standard deviations of noise for both methods and various window sizes.

For OF, the overlap is given by  $(KR - 1)/KR$ , which is close to 100% overlap (a vector is computed on each pixel). It is interesting to note that OF produces exactly the same windowing-type filter on the spectra shown in Fig. 3(b) and Fig. 3(d). The dense approach to the Lucas-Kanade algorithm can therefore be subsampled or binned down to near wavenumbers with values of  $2KR$  rad/px in the horizontal direction when noise is present. The vertical spectrum seems not to be affected at all.

As a first step, an approach based on spectral computations varying the window size  $X$  or the  $KR$  was carried out. This study shows that the cut-off frequency computed from  $k_c X = k_c Y = 2.8$  remains universal for CC [8]. This is also the case for OF where the value remains essentially constant to nearly 2.8 for  $KR < 16$  (see Fig. 3(d)). However, this is not the case for OF where the cut-off wavenumber decreases to  $k_c KR = 2$  for  $KR = 64$  which is nearly 50% lower than what is found for CC. This value increases to nearly 2.8 for  $KR = 16$  (see Fig. 3(c)). In other words, OF is more diffusive and slightly less precise than CC, both in noise amplitude (Tab. 2) and the cut-off frequency when noise is present. We will retain these values as references hereinafter. Note that if this decrease in wavenumber cutoff can first appear as a weakness in the algorithm, it will later prove to introduce a numerical-type diffusion which will become important when considering unresolved velocity gradients and thus, turbulent flows applications.

In what follows, the representation of the spectra is rescaled by the window size  $X$  such that  $E_{ii} = E_{ii}(kX)$  for CC and equivalently using  $KR$  for OF which remains universal, independently of the method. The noise level for CC is constant at a value of approximately  $8px^4$ , while we obtain  $1.9px^4$  for OF in the horizontal direction. The vertical direction provides similar results for both methods.

Then, we compute both spectra varying  $Y = X$ . The  $E_{noise}$  values for the horizontal directions are 0.525, 0.231 and 0.123  $px^4$  respectively for  $Y = X = 16, 32$  and 64 pixels for CC. The  $E_{noise}$  values are 0.134, 0.058 and 0.026  $px^4$  respectively for  $KR = 16, 32$  and 64 pixels for OF.

As noted in [8],  $E_{noise}$  varies with the inverse of  $Y$  and the data can be represented as  $YE_{ii}(kY)$ . Rescaling the wavenumbers with  $Y$  and multiplying the left-hand side by the interrogation window size  $Y$ , eq. (2) becomes:

$$YE_{ii} = \zeta \left( \frac{\sin(kY)}{kY} \right)^2. \quad (3)$$

Fig. 3(a) and (b) show these spectra for CC while OF is depicted in Fig. 3(c) and Fig. 3(d) computed for different window sizes (16, 32, and 64 pixels) for square interrogation windows with aspect ratios of 1.

The rescaled spectra given by Eq. (3) is shown in Fig. 3(a-d) alongside the rescaled no-motion spectra measured with CC and OF where a good agreement is obtained for both methods. Nevertheless  $\zeta = E_{noise}Y$  remains essentially constant for all window size of kernel radii depending on the direction and takes a constant value of  $8 \text{ px}^4$  for CC and  $1.8 \text{ px}^4$  for OF in the case of the horizontal direction. This result confirms that the cut-off frequency obtained with OF due to the *sinc* function depends essentially on the window size  $X$  in the direction where the spectrum is computed. The noise level  $E_{noise}$  depends only on the IW size or the  $KR$  for CC and OF in a similar fashion.

As a conclusion, the best spectrum is obtained for both methods using a rectangular window with a small  $X$  to increase the cut-off frequency and a large  $Y$  to decrease  $E_{noise}$  which is equivalent to the conclusions obtained in [8].

The best compromise is thus to use square windows for CC or equivalently, a square kernel for OF. We therefore reach the same conclusion than in [8] where the standard deviation  $\sigma_u$  of the displacement decreases when the window size increases independently of the method. As shown in [8],  $\sigma_u$  is the square root of the integral of the power spectra and relates to the amplitude of the error. Based on eq. (3),  $\sigma_u$  can be directly obtained computing  $\sigma_u = \sqrt{4\zeta I/(XY)}$ , where  $I = \int_0^{2\pi} (\sin(u)/u)^2 du = 1.492$  and  $I = 1.418$  when using an overlap of 50%.

The values of  $\zeta_1$  and  $\zeta_2$  obtained from Fig. 3(b) and the estimations of  $\sigma_u$  and  $\sigma_v$  computed with eq. (3) are reported in Tab. 2 for the displacements along  $x$  and  $y$  respectively, using both CC and OF. It is worth emphasizing that the values of  $\zeta_1$  and  $\zeta_2$  are equivalent for OF and different for CC depending on the noise direction.

Although the interrogation window sizes or the kernel radii are different, the density  $\zeta$  is nearly constant for a given direction. The small differences are probably due to an effect of convergence of the spectra or sub-sampling effects for CC. In Tab. 2 the estimated standard deviations compare favourably with the measured values given in Tab. 1.

### 3.2 Step-response analysis

To systematically determine the effective resolution for various interrogation windows and different algorithms, the resolution limit can be evaluated using a step-like velocity profile [19]. Such a profile may be seen as an infinitely thin shear layer or a very small eddy, given for instance by:

$$\Delta x(y) = \begin{cases} 5 \text{ px}, & y \geq 0 \\ 0 \text{ px}, & y < 0 \end{cases} \quad (4)$$

The step response is also frequently used in electrical engineering and control theory to analyse the transfer function associated with linear and nonlinear systems.

In the following, a synthetic PIV image generator provided by [47] has been used to create the particle images using the maximum particle diameter  $d_\tau = 6 \text{ px}$  and the maximum particle concentration  $C_p = 0.69 \text{ ppp}$  (see Fig. 4). The displacement is fixed at 5 pixels. The PIV image generator creates pairs of images illuminated by a laser sheet with a Gaussian light intensity distribution. The coordinates of the particles, as well as an out-of-plane motion are selected randomly [26]. The thickness of the laser sheet was given a value of approximately 34 pixels. It means that the out-of-plane distance includes the region where laser intensity is larger than  $I_0/e^2$ , with  $I_0 = 255$  the maximum intensity and  $e = \exp(1)$  the

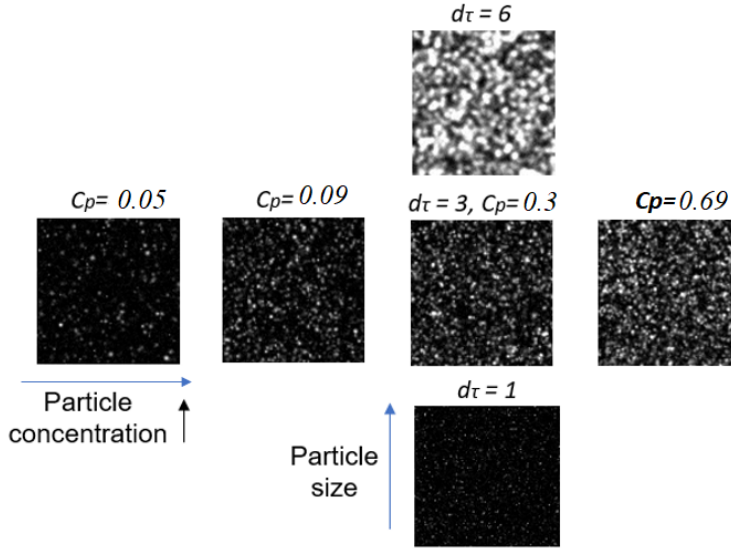


Fig. 4: Examples of synthetic particle images with increasing particle concentrations (from left to right) for a given particle diameter ( $d_\tau = 3$  px) or with increasing particle diameters (from bottom to top) for a given concentration ( $C_p = 0.3$  ppp). The size of the image is  $100 \times 100$  px<sup>2</sup>.

Euler number. Note that there is no out-of-plane motion between the two images since the same image is used for the first and second (i.e. sheared) image whose displacement is given by Eq. (4).

In order to analyse the accuracy of CC and OF, the signal (particle image displacement) is changed in space over an infinitely small distance. The response to the step profile is shown in Fig. 5(a,b) for three different IW sizes  $X$  for CC and four KR for OF. The Step Response Width (SRW) can be considered as the resolution and describes the minimum distance between independent vectors. Only for distances larger than the SRW, the vector is not biased by the aforementioned flow variations.

The sampling introduced by the window function, that is for both CC and OF can therefore be regarded as a convolution between a step or Heaviside function with a square window function with a dimension  $KR$  for OF and  $Y$  for CC (since the shear is in the vertical direction). The theoretical expression is a piecewise linear ramp of width  $KR$  (or equivalently  $Y$ ) which is given by:

$$U(y) = \begin{cases} 0, & y < -KR/2, \\ \Delta x / KR \times Y - \Delta x / 2, & -KR/2 \geq y \geq KR/2, \\ 1, & y > KR/2. \end{cases} \quad (5)$$

Note that local derivatives are computed using centred second-order finite differences stencils in the vertical direction and that both CC and OF gradients were evaluated on the same grids when  $IW = KR$ . It is worth highlighting that the gradient estimation could be further optimised using for instance least-squares estimation [9]. However, as noted in [9], centred

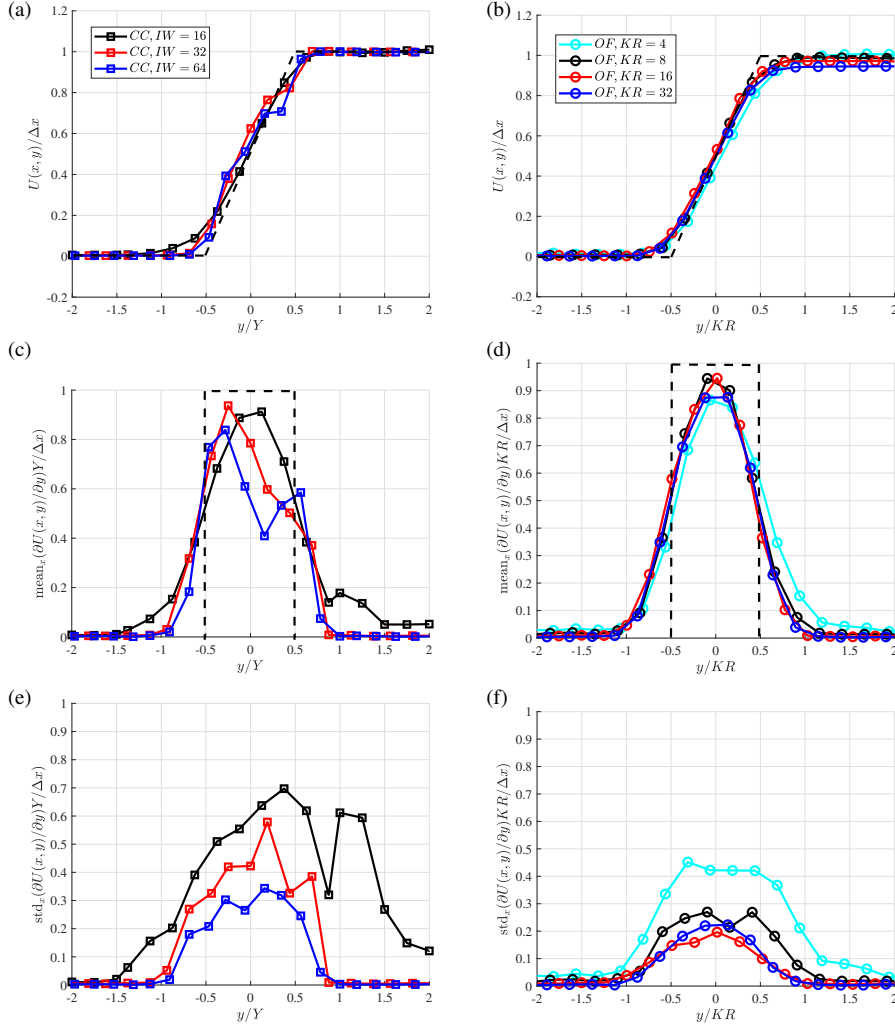


Fig. 5: Step-response analysis showing the mean normalised vertical profile of displacement  $U(x,y)$  for (a) CC and (b) OF and for several interrogation windows and kernel sizes. Note that the horizontal axis is normalised using the interrogation window size of each method. Mean value of the vertical gradient of velocity computed along the horizontal direction  $x$  for CC (c) and OF (d). The theoretical profile is shown with black dashed lines in (a,b,c,d). Standard deviation of the vertical gradient along the spatial direction  $x$  for (e) CC and (f) OF. The particle diameter is  $d_\tau = 3$  px and the particle concentration is  $C_p = 0.69$  ppp.

second-order finite differences remains the best approximation when the window size is optimised for a particular flow for CC. As we will show later, derivatives obtained by OF behave differently and seem to be less sensitive to the window size used to compute velocity gradients.

We are interested in the velocity profile but also in its vertical derivative:

$$\frac{\partial U}{\partial y} = \begin{cases} 0, & y < -KR/2, \\ \Delta x/KR, & -KR/2 \leq y \leq KR/2, \\ 0, & y > KR/2. \end{cases} \quad (6)$$

In what follows, we analyse the Step Response Derivative Amplitude (SRDA) as well as its standard deviation for both CC and OF. Next, we determine how each method is able to resolve velocity gradients and the consequences when applied to turbulent flows. This aspect may shed light on how turbulent flows can be resolved using either OF or CC.

The gradient is shown in Fig. 5(c,d) for both methods. The theoretical profile, given in Eq. (6) is clearly diffused since the normalised maximum for both CC and OF never reaches 1 but is closer to 0.9. It translates into a diffusion effect of 10%, independently from the method used for the analysis.

It is interesting to see that the gradient obtained with CC is dependent on the size of the interrogation window and is not self-similar. As the IW size decreases, the mean gradient prediction improves and the derivative profile progressively steepens and approaches the theoretical profile when the size of the IW approaches 16 pixels.

The conclusion is somewhat different for OF. The mean derivative profiles remain self-similar, almost independently from the KR. Note that for  $KR = 4$ , the method is even more diffusive as the SRW is larger than for  $KR > 4$ . This aspect will be shown to have interesting implications for the analysis of turbulent spectra when applied to synthetic particle images of turbulence.

More interesting is the standard deviation of the gradients computed for the step response along the horizontal direction  $x$ , as shown in Fig. 5(e,f). This quantity relates the variance of the gradient, which is directly related to the notion of dissipation and hence, with the quality of the spatial gradient resolution. Ideally, each curve in Fig. 5(e,f) should be 0 along the  $y$  direction. However, the noise in the reconstruction inevitably leads to variations, compared to the mean.

In the case of CC, the standard deviation decreases to a value of 0.3 for  $X = Y = 64$  as the interrogation window increases. The case of OF is more interesting since the standard deviation peaks at 0.27 for  $KR = 8$  and decreases to 0.2 for  $KR = [16, 32]$ . Therefore, the numerical diffusion induced by OF decreases the sensitivity to the step response, which can turn out to be particularly important for turbulent flows analyses. In fact, although more diffusive, OF may prove to be more robust in approximating the small scales in turbulent flows where the intrinsic filtering induces a numerical diffusion, which is less sensitive to particle size and concentration. This will be demonstrated in §5 on experimental data-sets.

As a general conclusion, OF is more diffusive than CC when calculating the step response to an infinitely thin shear layer but also more robust. In fact, Kernel radii with sizes  $KR = 4$  and  $KR = 8$  outperform results obtained with CC for interrogation windows with dimensions  $X = 16$ . Recalling from §3.1 that the cut-off frequency for OF is smaller than for CC, we can conclude that OF should allow for a better resolution of the vector fields with at least twice more vectors per direction.

Fig. 5(a,b) show the normalised step response for each method, for  $d_\tau = 3$  px and  $C_p = 0.69$  ppp, which will serve as a reference case. From these figures, the normal direction is normalised with the IW size leading to the collapse of all curves on the theoretical profile given in Eq. (5).

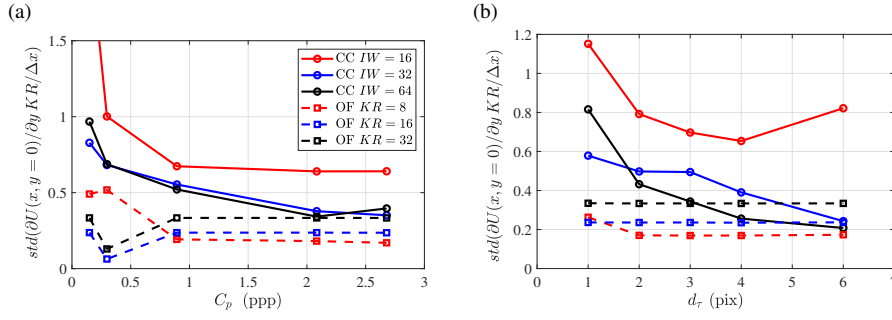


Fig. 6: Maximum of the standard deviation computed in Fig. 5(e,f) of the shear as a function of both (a) the particle concentration  $C_p$  computed for  $d_\tau = 3$  px and (b) the actual particle size  $d_\tau$  for  $C_p = 0.69$  ppp. Here the continuous lines corresponds to the results obtained with CC while dashed line correspond to OF.

### 3.3 The effect of particle size and particle concentration

In what follows, we analyse the influence of the particle size  $d_\tau$  and particle concentration  $C_p = N/(n_x n_y)$  on the quality of the gradient statistics (i.e. the solution compared with the analytical solution and the standard deviation around the mean). As shown in [19], the particle size becomes a concern when it increases beyond a third of the size of the correlation window for the SRW. Here, we are not interested in the mean SRW but in the statistics of the derivatives. Hence, we analyse the standard deviation of the gradients at  $y = 0$  for both OF and CC for particle sizes  $d_\tau = [1, 2, 3, 4, 6]$  and particle concentrations  $C_p = [0.049 - 0.69]$  ppp.

Fig. 6(a) shows variations with respect to  $C_p$  while Fig. 6(b) reports variations with respect to the particle size  $d_\tau$ , both for a displacement  $\Delta x = 5$  px. For both cases, the standard deviation is nearly constant for OF while decreasing the interrogation window increases the standard deviation for CC. Also, decreasing the particle size and particle concentration degrades the quality of the reconstruction for CC while OF remains essentially insensitive to both parameters.

As a conclusion, OF provides greater robustness to parameter variations such as particle size and particle concentration, at least for the parameters investigated in this study, which are representative of many experiments, as will be shown in §5, and turbulent flow experiments in general.

*As a rule of thumb:* OF appears to be more robust than CC when attempting to evaluate unresolved velocity gradients. As noted in the previous section, OF is slightly less precise when measuring mean displacements from particle images. The optimal value of KR appears to be less dependent than CC when varying the particle size and particle concentration and is therefore strongly dependent on the physical scales of the flow. This will be demonstrated using turbulent measurements computed from both synthetic and real particle image turbulent experiments.

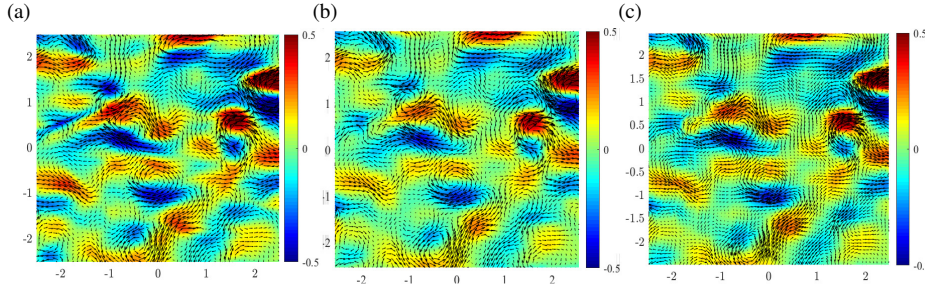


Fig. 7: Instantaneous velocity field results for the two-dimensional HITF dataset. DNS results (a), OF results (b), CC PIV results (c).

#### 4 Two-dimensional turbulence data-set from synthetic particle images

The data-set used in the present study is available online and provided by [4]. It is the case of a forced time-resolved Homogeneous Isotropic Turbulent Flow (HITF) computed from a direct numerical simulation in two dimensions where particles are seeded without camera noise nor illumination variations. It corresponds to synthetic PIV images from the 4th PIV-Challenge [20]. In this data-set, the average particle radius is 2 px while the mean particle concentration is  $C_p = 0.014$  ppp.

For DPIVsoft, an interrogation window of 16 and an overlap of 75% were used, which provided the best compromise between velocity calculation accuracy and spatial resolution. In the case of OF, several kernel radii were considered in order to investigate its influence on the quality of the reconstruction.

Snapshots of the velocity fields are shown in Fig. 7(a) for the DNS, Fig. 7(b) for OF and in Fig. 7(c) for CC. It is worth noting that the amplitudes are well measured by both PIV strategies but the border in OF shows a lack of resolution as particles may leave or enter through these boundaries. Furthermore, the gradients seem to be slightly smoothed for both OF and CC for this first visual inspection.

The data are also compared with the actual velocities from the DNS and the results are shown for the mean horizontal spectrum in Fig. 7(a) while the spectrum pre-multiplied by  $k^l$  (i.e. where  $l = 2$  to show the dissipation spectrum) is shown in Fig. 7(b). Welch's method [46] was used for the calculation of the spectra, for which a Hamming window size equal to the full signal length was considered.

Note that here, we make the assumption of a two-dimensional isotropic and homogeneous flow which is shown to be the case in [4]. In addition, only 100 snapshots were available for the comparison for the DNS while 1000 PIV snapshots of particles are provided online but this was not an issue in order to compare the results and obtain conclusive remarks.

The present results show that decreasing the KR improves the quality of the velocity spectrum for OF (i.e. compared to the numerical simulation). In fact, the best results are obtained for  $KR = 4$  for the largest to the smallest scales. Note that this KR is close to the size of the particles themselves; this will turn out to be the smallest size possible for all experiments and appears to be the minimum KR. The results for CC begin to depart from the DNS for  $k \approx 1 \text{ rad/px}$  while the slope of the energy with respect to the wavenumber clearly departs for  $k > 2.2 \text{ rad/px}$  and an exponent  $k^{-2}$  which is the point where the filtering effect induced by windowing was predicted. These estimates therefore remain robust, even for



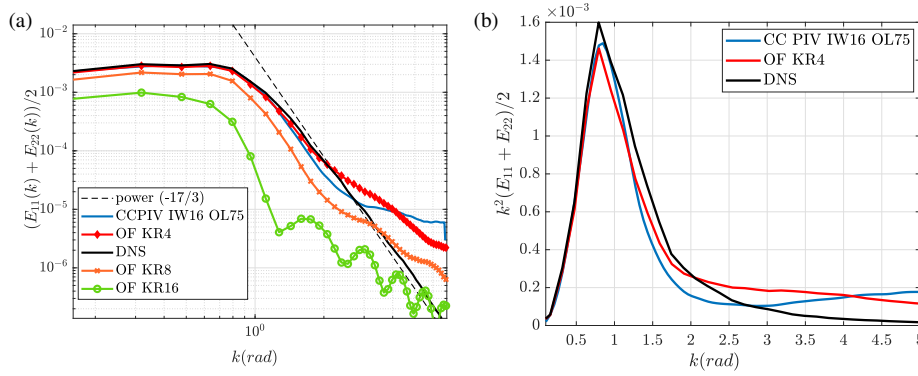


Fig. 8: (a) Velocity spectra comparison for the THIF data-set. Comparison with spectra from reference DNS data. 10 iterations and 2 pyramid levels are used for OF calculations. (b) Dissipation rate comparison for the THIF data-set. Comparison with spectra from reference DNS data.

complex flow fields (cf. Fig. 8(b)). In the case of OF, the departure between the two spectra appears at a lower wavenumber. In particular, the spectrum no longer displays a slope of  $k^{-17/3}$  but a steeper exponent is found which is an interesting result in itself. This means that for synthetic data, the noise level is low enough so that OF is able to either smooth or capture accurate gradients, even for length scales where a spectrum close to  $k^{-6}$ . Note that the kinetic energy spectrum in two-dimensional turbulence follows a  $k^{-5.7} \approx k^{-17/3}$  spectrum for the enstrophy range, analogous to the dissipation range, as shown in [48]. This is also confirmed for the compensated spectrum shown in Fig. 8(b) where the compensated energy decreases with increasing  $k$ . Note that both CC and OF predict the peak of dissipation at  $k = 0.8$  which is very close to the DNS.

This first analysis confirms that the  $KR$  necessary to achieve an accurate PIV calculation using OF is close to the particle size itself, which is 4 times smaller than the best window size achieved by CC. Note that here, the concentration is rather high while for the motionless particle images, the concentration was nearly 2 times lower. Hence, it is important for an accurate OF reconstruction to ensure that particles are as small as possible and the concentration as high as possible in order to accurately measure velocity gradients. This is not the case for CC, where individual particles must appear individually because of the FFT used for the correlation and the fact that several particles must be present in the IW. Note also that we performed CC using direct correlation and both method yield nearly identical results.

As a first rule of thumb, we can therefore see that the  $KR$  for OF has to be at least twice smaller than the interrogation window for CC in order to obtain comparable measurements. Furthermore, the optimal  $KR$  used in this benchmark was four times smaller than the interrogation window size used in CC, which should lead to a twice more accurate result. However, the present test case makes it hard to confirm this assertion.

Next, we apply the same technique to real experimental snapshots, obtained in the case of a turbulent flow behind a regular grid and further demonstrate the robustness of OF compared to CC.

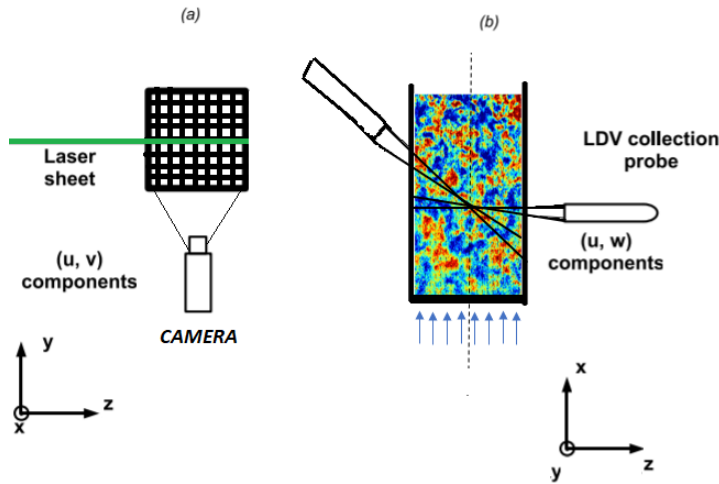


Fig. 9: Sketch of the grid turbulence experiment showing the PIV plane and the LDV measurement probes. Only the  $u$  component from LDV measurements is considered.

## 5 Experimental results

First it should be noted that the velocity fields presented in the following are obtained without pre-processing or filtering of the raw particle images.

### 5.1 Grid turbulence experiment

The experimental grid turbulence dataset was first presented in [25] to improve premixed combustion. The energy transfer through turbulent scales was analysed through a close inspection of the scale-by-scale energy transport equation based on Laser-Doppler Velocimetry (LDV). Experiments were carried out in an open-loop vertical wind tunnel [25]. The wind-tunnel cross-section was  $8 \times 8 \text{ cm}^2$ . The test-section was 40 cm long and allowed easy optical access. The turbulence intensity was 0.4 % and the inlet free-stream velocity was  $U = 3.7 \text{ m.s}^{-1}$ . In the present work, the analysis is restricted to the turbulent flow generated by a single perforated plate [25] (i.e. the intermediate grid of the MuSTI turbulence generator). This plate consists of a mesh of circular holes of 6 mm diameter each, that spans over the entire wind tunnel. The holes are arranged in a triangular network with a 9 mm spacing. Moreover, the perforation is straight over the entire thickness of the plates and the holes network is chosen such that the tunnel's centre line coincide with a hole centre.

The flow was illuminated by an Nd-Yag laser (Big Sky laser, 120 mJ/pulse, 532 nm). The laser sheet coincides with the vertical mid-plane of the test section corresponding to the  $(x, z)$ -plane (see Fig. 9). The wind-tunnel was seeded with olive oil droplets generated and injected far upstream the test section. The average diameter  $d_\tau$  of the olive oil particles is close to  $1 \mu\text{m}$  and the resulting Stokes number is much lower than 0.1 ensuring a good flow tracing [25]. The snapshots were acquired using a CCD camera (FlowMaster LaVision, 12-bits,  $1280 \times 1024 \text{ px}^2$ ) with a 50 mm f/1.2 Nikkor lens. The magnification ratio is of 16 px/mm, leading to a field of view of  $80 \text{ mm} \times 64 \text{ mm}$ .

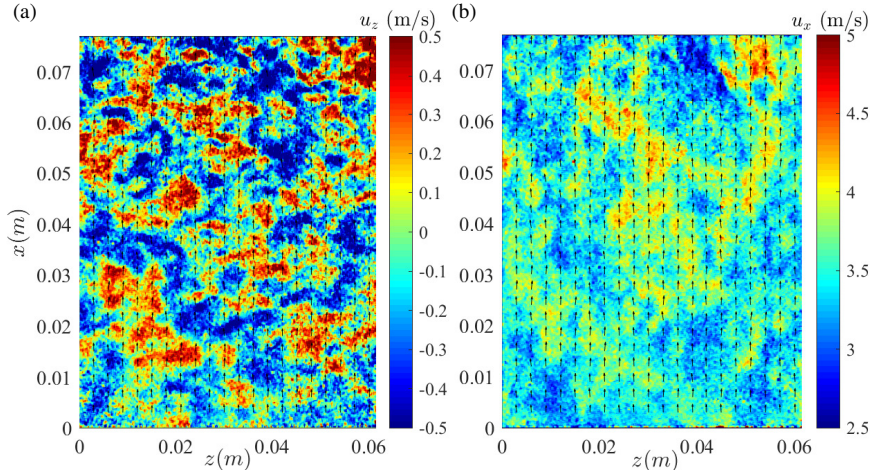


Fig. 10: Illustration of instantaneous spanwise (a) and streamwise (b) velocity fields of the wind tunnel grid turbulence experiment, computed using OF with  $KR = 8$ .

The dataset consists of 1600 snapshots. An Example of an instantaneous spanwise and streamwise velocity components computed with OF are shown in Fig. 10 (a) and (b) respectively. In this dataset, the average particle diameter is  $d_\tau = 3.5$  px, while the mean particle concentration is  $C_p = 0.014$  ppp.

This canonical flow, which is nearly homogeneous and isotropic turbulence, provides an ideal case to assess the filtering effect of both PIV methods (i.e. CC and OF). To this end, a scale-by-scale analysis of the turbulent kinetic energy is carried out in the following. This analysis will be compared to LDV measurements performed at the centre of the image, in the mid-channel plane as shown in Fig. 9. First, we introduce the velocity increment  $\Delta u_i(\mathbf{r})$  such that:

$$\Delta u_i(\mathbf{r}) = u_i(\mathbf{x} + \mathbf{r}) - u_i(\mathbf{r}) \quad (7)$$

where  $i$  designates any velocity component and  $r$  stands for the separation length vector. Under the isotropy hypothesis, the second-order structure function  $S_{2q} = \Delta q^2(\mathbf{r}) \equiv (\Delta u_i)^2(\mathbf{r})$  only depends on  $r$ , the modulus of the separation  $\mathbf{r}$ , and represents the total kinetic energy of the scale  $r$ . It is worth noting that unlike LDV measurements which restrict scale-by-scale analyses to a single direction, that is  $\mathbf{r} = r\mathbf{e}_x$ , using Taylor's hypothesis, PIV measurements obtained by both CC and OF allow for computing increments along both  $r\mathbf{e}_x$  and  $r\mathbf{e}_z$ . However, for spatially decaying turbulence, the streamwise direction is subject to non-homogeneous effects and analyses were therefore restricted to the flow-normal direction  $\mathbf{e}_z$ , for comparison with LDV measurements.

The second-order structure function calculated for both CC and OF are shown in Fig. 11(a) and Fig. 11(b) respectively. In principle, the structure function should scale with the separation distance as  $r^{2/3}$  in the inertial range and as  $r^2$  in the viscous range [42], as shown in both figures. In addition, the Taylor microscale  $\lambda$  is also shown while the Kolmogorov microscale  $\eta$  is too small to be reported in the figures. Note that the  $r^{2/3}$  scaling, associated with the turbulent cascade, is difficult to distinguish since the Taylor Reynolds number is small (i.e.  $Re_\lambda \approx 45$ ). Both scales were computed using  $\eta \equiv (\nu^3/\epsilon)^{1/4} \approx 6 \times 10^{-5}$  m and

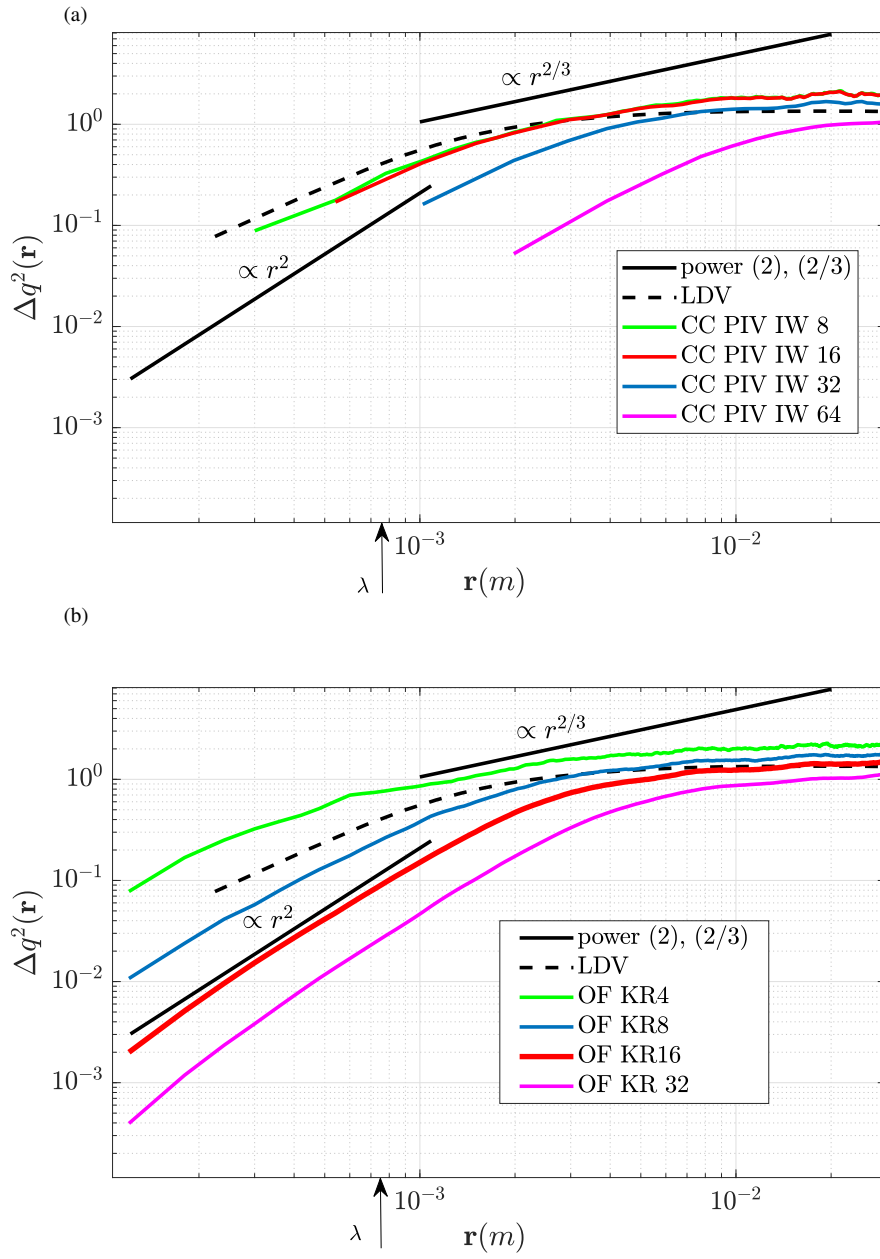


Fig. 11: Evolution of the second-order structure function with respect to the separation scale  $r$  along the normal direction to the mean flow, for CC PIV (a), OF (b) compared to LDV measurements. Results are averaged in time.

554  $\lambda = \sqrt{5\nu q^2/\varepsilon_h} \approx 7.4 \times 10^{-4}$  m, with  $\varepsilon_h$  defined as:

$$\varepsilon_h = 3\nu \left[ \left\langle \left( \frac{\partial u}{\partial x} \right)^2 \right\rangle + \left\langle \left( \frac{\partial v}{\partial x} \right)^2 \right\rangle + \left\langle \left( \frac{\partial w}{\partial x} \right)^2 \right\rangle \right]. \quad (8)$$

555 where  $\langle \cdot \rangle$  denotes the averaging operator and  $\varepsilon_h$  is computed using LDV measurements.

556 First, we analyse the filtering effect of CC and OF in Fig. 11(a,b). As noted before,  
557 the large scales are essentially independent of the window size used for CC (i.e. except for  
558  $X = 64$  px) while the spread induced by the smoothing of OF is more pronounced. Once  
559 again, the kernel radius used for OF has to be twice larger than the window size used for CC  
560 to obtain similar results.

561 Further inspecting the large scales,  $S_{2q}(r)$  appears to be dependent on the size of IW  
562 and KR. This is not surprising since for this configuration, the large scales (i.e. the integral  
563 lengthscale  $\Lambda \approx 50$  px  $\approx 3$  mm). Therefore, interrogation windows or kernel radii larger than  
564  $\lambda \times 2.8/2\pi \approx 22$  px will not be able to capture the asymptotic value of  $\Delta q^2(\mathbf{r})$  for  $\mathbf{r} \gg \Lambda$ .  
565 This observation is verified for CC in Fig. 11(a) where the structure function converges as  
566 the interrogation window size  $IW$  decreases. This result is however not true for OF and the  
567 asymptotic value  $\Delta q^2(\mathbf{r})$  for the larger values of  $\mathbf{r}$  as shown in Fig. 11(b). At this point, it is  
568 necessary to analyse the spectra to determine why this effect occurs.

569 The spectra of the streamwise component were calculated on the streamwise profile  
570 along the  $x$  axis in the middle of the snapshots ( $z = 0.03$  mm) and compared with spectra  
571 from the LDV probe. Welch's method [46] was again used for the calculation of the spectra,  
572 for which a Hamming window size equal to the full signal length was consistently chosen.  
573 It is worth mentioning that unlike for the structure function, a direct comparison between  
574 LDV and PIV spectra is not obvious due to longitudinal inhomogeneities in the flow.

575 The spectra obtained for various KR and IW size are presented in Fig. 12. Interestingly,  
576 the results are quite similar when the IW of CC is equal to twice the KR of OF. Nonetheless,  
577 only OF with  $KR = 8$  follows the LDV measurements up to  $k = 5000$  (rad/m) and the onset  
578 of the Taylor subrange. On the other hand, the optimal IW for CC-PIV was  $X = 16$  which is  
579 in line with the structure function analysis. The maximum wavelength with good agreement  
580 compared to the LDV measurements is around  $k = 2000$  (rad/m). In this test case, CC is  
581 not able to capture the Taylor micro scale while OF can achieve this measurement. This is  
582 also visible in the structure function in Fig. 11(b) where the  $\mathbf{r}^2$  scaling associated with the  
583 diffusive range is clearly visible for  $KR = 8$ .

584 The spectra shown in Fig. 12 explain why structure functions computed with OF do not  
585 converge at large separation distances  $\mathbf{r}$ . Spectra computed with CC appear to be essentially  
586 independent of the interrogation window size  $IW = 16$  and  $IW = 8$  for  $k > 10^3$  (i.e. they  
587 overlap). At the contrary, spectra computed with OF and  $KR = 8$  and  $KR = 4$  separate  
588 significantly for  $k > 1000$  (rad/m). Getting back to the definition of the structure function  
589  $S_{2q}(\mathbf{r}) = \Delta q^2(\mathbf{r}) = \langle (q(\mathbf{r} + \Delta \mathbf{r}) - q(\mathbf{r}))^2 \rangle$ , and looking at large separation distances  $r \gg \Lambda$ ,  
590 the structure function  $S_{2q}(\mathbf{r}) \approx 2\langle q(\mathbf{r})^2 \rangle$  is only dependent on the Turbulent Kinetic Energy  
591 (TKE). However, integrating both OF spectra (i.e. computing the TKE) for  $KR = 8$  and  
592  $KR = 4$  leads to different values (i.e. because of the energy increase for  $k > 2000$ ) which  
593 correspond to the differences observed in Fig. 11(b) at large separation distances  $\mathbf{r}$ .

594 For scales close to the Taylor microscale  $\lambda$ , the results for the structure function com-  
595 puted with CC are consistent for window sizes of 8 and 16 px. For larger window sizes, the  
596 estimation of the structure function falls below the estimate of LDV measurements. The re-  
597 sults obtained with optical flow is somewhat different. The structure function  $S_{2q}(\mathbf{r})$  appears  
598 to be strongly dependent on the size of the KR used for the analysis, which is different than

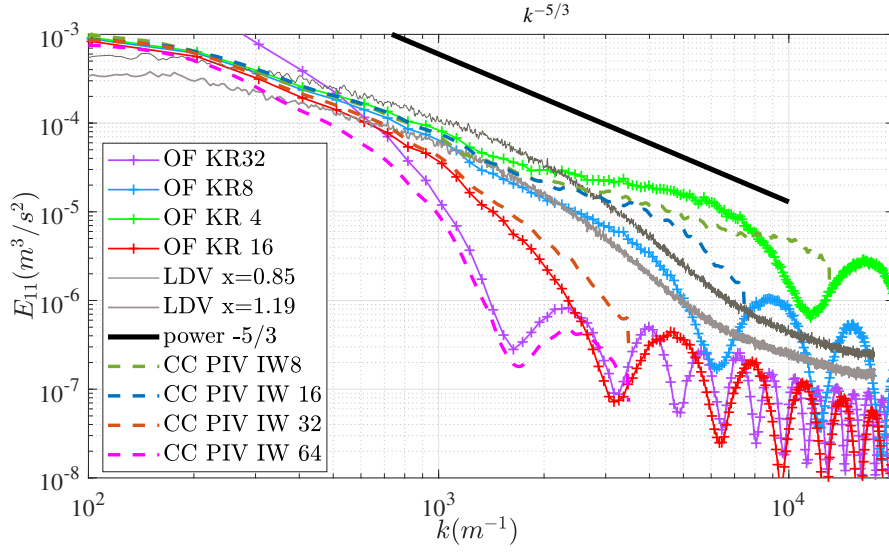


Fig. 12: Time-averaged velocity spectra of the streamwise velocity component along a streamwise profile in the centre of the velocity field, compared with LDV measurements spectra at two streamwise positions ( $z = 0.02$  and  $x = 0.06$  shown in Fig. 10).

for CC. In particular, the best fit is obtained with  $KR = 8$  which follows both LDV measurements and the best results obtained using CC. The smoothing induced by OF becomes even more visible at  $S_{2q}(\mathbf{r} \approx \lambda \approx KR)$  where the diffusion induced by OF follows the  $\mathbf{r}^2$  scaling for all cases. This particular effect is reminiscent of the smoothing induced by the sub-grid resolution which is clearly of diffusive type and therefore well suited for turbulent flows when the Taylor microscale can be resolved.

For  $\mathbf{r} < \lambda$ , the subgrid resolution obtained with OF is found to scale with  $\mathbf{r}^2$  which can be seen as the diffusion induced by OF for sub-kernel estimations of the velocity. This particular feature further supports the idea that for distances smaller than the size of the kernel radius ( $\mathbf{r} < KR$ ), OF acts as a diffusion process while, for  $KR \approx \lambda$ , subpixel velocity calculations may represent an interesting alternative to estimate subgrid velocities.

*As a rule of thumb:* The best compromise for CC appears to be correlation boxes with  $X = Y = 16$  as already noticed in [9]. However, OF provides an alternative. When the kernel radius approaches the Taylor microscale, that is  $KR \approx \lambda$  and  $KR8$ , the method is able to accurately resolve the scales larger than the Taylor microscale and provides a sub-kernel approximation for  $r < \lambda$  which scales as  $r^2$ , equivalent to the diffusion-type effect identified in the previous sections of this study. This effect confirms the original idea of [40] that OF can be used for scales smaller than the ones provided by CC. However, it is important to stress that OF can be strongly dependent on  $KR$  and that the later has to be well tuned for turbulent flows in order to obtain accurate results.

## 6 Conclusion

A comparison between Optical Flow and Cross-Correlation PIV is undertaken in order to understand the advantages of each algorithms for turbulent flow applications. The performances of OF and CC-PIV have been evaluated on various test cases, from still flow, step displacement of synthetic images, 2D isotropic turbulence and finally experimental images from a grid-generated turbulence.

No-motion velocity fields show that OF and CC provide the same results in terms of noise and transfer function guided by the window size, as shown in Foucault *et al.* [8]. CC provides a lower noise level than OF and a higher frequency cut-off for the gradient. Analysing the step-response, OF is shown to be less accurate and more diffusive, but the gradients ( $std(dU/dy)$ ) are better resolved with OF where the noise level is twice lower than for CC). Step-motion test showed that CC and OF behave differently when varying  $KR$  and  $IW$  compared with the effective particle size  $d_\tau$  and the particle concentration  $C_p$ . OF appears more consistent, keeping lower errors for a wider range of image parameters.

Application to HIT from synthetic images confirms the same trend: OF is more diffusive but more accurate in computing the turbulent spectrum. The grid turbulence experimental dataset showed the same trend down to the Taylor micro-scale: OF is more diffusive but lower  $KR$  allows for resolving nearly the entire range of scales. However, accuracy is dependent on the value of  $KR$  and the later needs to be finely tuned in order to obtain well-converged results.

To summarise, the best compromise for CC remains  $X = Y \lesssim 32$  with an overlap of 50%. For OF, similar results are obtained when  $KR \approx X/2$  but OF is less sensitive to unresolved velocity gradients which is of paramount importance in turbulent flow analysis. The sub-kernel radius values undergo a heat-diffusion-type diffusion which prevents unresolved velocity gradients to propagate correlation errors but for  $KR > 4$ . Such a measurement might prove of interest when the Taylor microscale can be resolved, that is when the value of  $KR$  can be set close or smaller than the Taylor microscale.

Considering the present test cases, we can conclude that CC can be more precise than OF based on pure velocity calculations. However, OF's diffusion decreases the variance of the velocity gradients. This proves to be a critical advantage when PIV is applied to experimental turbulent flows, with windows nearly the size of the particles. OF is therefore more relevant to study such flows when the camera sensor is able to resolve enough particles and achieve resolution down to the Taylor micro-scale. This result therefore opens new alleys to study the effect of small-scale turbulence in turbulent flows. Nevertheless, there remains open questions when comparing OF and CC regarding turbulent flows. Comparing the role of the displacement on the quality of the reconstruction, time-resolved data and the computational time could further help understanding the benefit of each method.

## Acknowledgements:

A. G. and J.-L. A. acknowledge the support by ANRT and Photon Lines. P.-Y. P. and N. M. acknowledge the support by the Agence Nationale de la Recherche (ANR) through the Investissements d'Avenir program under the Labex CAPRYSES Project (ANR-11-LABX-0006-01), the project APR IA PRESERVE, Région Centre-Val-de-Loire (2019 134933), and the project APR IA APROPORE, Région Centre-Val-de-Loire (2017 119967). Authors would also like to thank the anonymous referee who helped improving the overall quality of the paper.

Declaration of interest:

The doctoral thesis of the author A. Giannopoulos was funded by Photon Lines.

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