

# Highly-sensitive SERS detection of tetracycline: Sub-enhancement brought by light scattering of nano-diamond

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1	<b>Highly-Sensitive SERS Detection of Tetracycline: Sub-</b>
2	enhancement Brought by Light Scattering of Nano-diamond
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### 15 Abstract

Antibiotic residues in wastewater cause a serious threat to aquatic environment and 16 17 human safety, and the development of robust strategies for detecting antibiotic residues becomes critical. In this work, a surface-enhanced Raman scattering (SERS) substrate, 18 consisting of gold nanoparticles/nano diamond/carbon nitride (Au/ND/C<sub>3</sub>N<sub>4</sub>) hybrid, 19 which features enhanced light utilization and self-cleaning properties, is developed for 20 sensitive sensing of tetracycline. The fabricated Au/ND/C<sub>3</sub>N<sub>4</sub> substrate effectively 21 22 captures target molecules and enhances Raman activities, owing to abundant functional groups and light scattering effect of ND. Moreover, the heterostructure formed by Au 23 NPs, ND and C<sub>3</sub>N<sub>4</sub> endows this SERS substrate with superior self-cleaning capability, 24 enabling its reusability. The Au/ND/C<sub>3</sub>N<sub>4</sub> substrate exhibits high sensitivity ( $10^{-14}$  M), 25 26 desirable reproducibility (RSD=13.29%, n=238) and favourable stability (84.8% of initial intensity after 30 days) for crystal violet probe. Also, no significant loss of SERS 27 or catalytic activity is observed during cyclic SERS detection. Likewise, the detection 28 of tetracycline at nanomolar level and cyclic SERS measurement jointly reveals its 29 30 prospect for recyclable detection of tetracycline. This work proposes a distinctive strategy for enhancing SERS activity, and the developed self-cleaning Au/ND/C<sub>3</sub>N<sub>4</sub> 31 32 substrate represents a potential candidate for highly sensitive detection of antibiotic residues in wastewater. 33

34 Key words: Surface-enhanced Raman scattering; nano diamond; sub-enhancement;

35 sensing; tetracycline

### 36 1. Introduction

An increasing concern on monitoring antibiotic residues in wastewater urges 37 the development of high-sensitivity and cost-effective analytical tools to curb 38 environmental pollution. The overuse and delayed metabolism of antibiotics lead 39 40 to their inevitable residues in water environment, incurring refractory pollution sources [1-3]. From 2000 to 2015, global per-capita consumption of Watch 41 antibiotics experienced a huge increase of 90.9%, and Access antibiotics featured 42 43 an increase of 26.2% [4]. As an emerging category of pollutants, antibiotics residues in wastewater could induce the emergence of multi-drug resistance 44 (MDR) microbes, antibiotic resistant bacteria (ARB) and antibiotic resistant 45 genes (ARGs) [5, 6]. Bacteria bred by antibiotic residues may sneak into human 46 body and trigger life-threatening infections. A recent report projected about 10 47 million deaths per year by 2050 associated with antibiotic resistance [7]. 48 Thereupon, highly-sensitive detection of antibiotic residues in wastewater is 49 becoming more and more imperative for preventing environmental pollution and 50 51 suppressing production of infectious viruses.

Currently, available methods for the detection of antibiotic residues are 52 mainly involved in colorimetric [8], fluorescent [9], chemiluminescent (CL) [10], 53 electrochemical method [11], and electrochemiluminescence (ECL) [12]. 54 Colorimetric, fluorescent, CL, and electrochemical suffer from the drawbacks of 55 low sensitivity, short life time, labelling required, and cumbersome pre-treatment 56 57 respectively [13, 14]. ECL exhibits poor reliability due to its easy vulnerability by external environment [13]. Based on its ultra-sensitive features, compatibility 58 59 with aqueous solution, and label-free detection, SERS casts light on ultra-trace molecular analysis for detecting antibiotics [15-17]. The enhancement of analyte 60 Raman signal is mainly due to local amplification of electromagnetic field from 61 the interaction between the incident laser beam and SERS substrate. 62 Electromagnetic (EM) enhancement and chemical (charge transfer, CT) 63 64 enhancement are two widely-accepted Raman enhancement mechanisms of substrates [18-20]. Applying nano-semiconductor to load gold 65 SERS

nanoparticles (Au NPs) may acquire satisfactory SERS performance by acting
on both EM and CT mechanisms. However, the restrictive effect of scant light
utilization on SERS activity of such substrates is seriously ignored.

Au NPs can offer long-range EM enhancement for Raman signals by means 69 70 of their strong localized surface plasmon resonance (LSPR) [21]. Controlling the Au NPs morphology is regarded as a useful method to enhance Raman signals. 71 Constructing nano-tips and adjusting particle size can form SERS hot spots 72 73 through two SPR effects of lightning rod effect and resonance coupling effect [22, 23]. Various morphologies of Au NPs like nano-needles, nano-stars, and 74 nano-trees have been fabricated and verified to exhibit high SERS sensitivity 75 [24-26]. A combination of nano-semiconductors and Au NPs may enhance 76 Raman signal through CT effect. The heterostructure formed by nano-77 semiconductors and Au NPs may accelerate charge transfer to induce analyte 78 polarization, and offer short-range chemical enhancement of Raman signals. 79 ZnO, MoS<sub>2</sub>, TiO<sub>2</sub>, C<sub>3</sub>N<sub>4</sub>, etc. have been proven to be suited substrate materials 80 81 for fabricating high-sensitivity SERS substrates [27]. Among them, C<sub>3</sub>N<sub>4</sub> is the most promising candidate due to its strong adsorption capacity and superior 82 electronic conductivity [28-30]. Whereas, available works on Au/C<sub>3</sub>N<sub>4</sub> substrate 83 ignores the enhancement effect of light utilization efficiency on SERS activity. 84 Increasing utilization efficient of Raman beam may be an efficacious strategy to 85 improve SERS performance. Exploitation of high laser utilization on Au/C<sub>3</sub>N<sub>4</sub> 86 substrates is a worth strategy to further improve its SERS activity. 87

Adopting effective light utilization to improve SERS performance of 88 89  $Au/C_3N_4$  substrate has pivotal matters of finding a suitable light utilization path and revealing its action mechanism. Recent investigations on increasing light 90 absorption efficiency to improve SERS sensitivity focus on the fabrication of 91 light trapping structures [31-34]. A combination of precious metals and special 92 93 structures such as nano-cavities, nano-spirals, and Si micro-pyramid can achieve 94 superior light trapping effect, and those developed structures generate local electromagnetic enhancement due to resonant cavity effect [35-37]. However, the 95

heavy reliance of the above-mentioned SERS substrates on high-precision 96 manufacturing processes restricts their wide application. Instead, light scattering 97 98 effect offers a hopeful avenue to improve light utilization. The introduction of nano-diamond (ND) with high light scattering features into the SERS substrate 99 100 is expected to implement efficient utilization of Raman laser through a handy 101 process. Moreover, the presence of abundant functional groups on ND surface may act as a powerful tool to capture analyte molecules for improving substrate 102 103 enrichment capacity. Regretfully, it is rare to see a systematic report about adopting light scattering effect of ND to enhance SERS substrate performances. 104 Here, we proposed a strategy to improve SERS activity by means of light 105 scattering, and fabricated Au/ND/C<sub>3</sub>N<sub>4</sub> hybrids for SERS detection of a widely 106 107 used antibiotic tetracycline. A systematic investigation on the microstructure, optical properties and SERS activities of the designed substrate was conducted 108 to reveal improvement mechanism of ND on SERS performance, promoting its 109 application for the detection of antibiotic residues in wastewater. 110

111 In this work, ND/C<sub>3</sub>N<sub>4</sub> hybrid with holey structure was fabricated in one step with the help of Z204 catalyst, and Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid with heterohedral 112 Au NPs was produced by introduction of active agent cetyltrimethylammonium 113 bromide (CTAB). A systematic characterization was conducted on optical 114 properties, microstructure and chemical features of the prepared samples. SERS 115 performances of Au/ND/C<sub>3</sub>N<sub>4</sub> substrate with self-cleaning features was assessed 116 using crystal violet as a probe molecule, as well as its application for tetracycline 117 118 assay. Consequently, ND improvement mechanism was revealed via both 119 experimental data and finite difference time domain (FDTD) simulation.

120 **2. Experimental** 

121 2.1 Reagents: All reagents were of analytical grade. Nitric acid, sulfuric acid,
122 melamine, sodium citrate and CTAB were purchased from Shanghai Aladdin
123 Biochemical Technology Co., Ltd. Crystal violet (CV), tetracycline and chloroauric
124 acid (HAuCl<sub>4</sub>, 48~50%) were purchased from Shanghai Maclean Biochemical
125 Technology Co., Ltd. Nano-diamonds (~10 nm, 99%) and Z204 catalyst were

purchased from Nanjing Hongde Nanomaterials Co., Ltd. and Sichuan TianyiTechnology Co., Ltd., respectively.

**2.2 Characterizations:** Optical properties of the prepared samples were investigated 128 129 by UV-vis diffuse reflectance spectra (Lambda 750, PE). Microtopography and 130 surface element distribution were observed by scanning electron microscopy (SEM, SUPRA55, Zeiss) and transmission electron microscopy (TEM, Talos F200X, 131 ThermoFisher). Element composition and chemical state of Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid were 132 133 determined by X-ray photoelectron spectroscopy (XPS, Escalab 250Xi, ThermoFisher). Phase composition was acquired with an X-ray diffractometer (XRD, D8 Advance, 134 Bruker). Surface functional group composition was assessed with Fourier Transform 135 InfraRed Spectrometer (FT-IR, Specture 100, Peikin Elmer). Surface area were 136 137 acquired with a specific surface and pore size analyzer (ASAP2020, Micromeritics). Adsorption capacity was investigated by UV-vis absorption spectrophotometry 138 (Lambda 750, PE). SERS performances were recorded by HORIBA Raman microscope 139 (LabRAM HR Evolution, Jobin Yvon;  $\lambda_{ex}$ =633 nm). Photocatalytic experiments were 140 141 conducted using a photoreactor with a xenon lamp (XE-JY500, Beijing Newbit Technology Co., Ltd.). 142

2.3 Pre-treatment of ND *Step 1*, acid pickling was utilized to remove metal impurities
in ND. ND powders (1 g) were dispersed in a mixed solution of nitric acid (5 mL) and
sulfuric acid (15 mL), and then the mixture was heated at 40 °C for 1 h under stirring.
Subsequently, the ND were washed with ultrapure water to neutral, and grey ND
powder was thus obtained after centrifugation and drying. *Step II*, a heat treatment was
carried on ND to decompose the *sp*<sup>2</sup>-C on ND surface. ND powder in *Step I* was
calcined at 420 °C for 1 h to produce purified ND.

**2.4 Fabrication of ND/C<sub>3</sub>N<sub>4</sub> hybrid.** Melamine and purified ND were used as raw materials to prepare ND/C<sub>3</sub>N<sub>4</sub> hybrid. A mixture (4 g) of melamine and ND was fully ground (>120 min) and placed in a small crucible, wherein, the mass percentages of ND were set to 1%, 3%, 5%, 7%, and 9%. Afterward, the small crucible was placed in a large crucible containing Z204 catalyst (2 pieces), and the covered crucible was calcined in a muffle furnace. Furnace temperature was raised to 550 °C at a rate of 5 <sup>156</sup> °C/min, and held for 4 h. The fabricated ND/C<sub>3</sub>N<sub>4</sub> hybrid was washed with ultrapure <sup>157</sup> water and then dried and collected. The colour of ND/C<sub>3</sub>N<sub>4</sub> hybrid gradually changed <sup>158</sup> from light yellow to brown upon increasing of ND content.

159 2.5 Fabrication of Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid. Gold nanoparticles (Au NPs) loading was 160 accomplished through HAuCl<sub>4</sub> reduction with sodium citrate in presence of CTAB to induce overgrowth of Au NPs and produce heterohedral morphology. C<sub>3</sub>N<sub>4</sub>/ND (20 161 mg), CTAB (0.11 g) and HAuCl<sub>4</sub> solution (1.5 mL, 5 wt%) were dispersed in ultrapure 162 163 water (17 mL). The resulting mixture was then sonicated for 10 min and stirred for 1 h. 164 The mixture was transferred to a hydrothermal reactor, and sodium citrate solution (0.1 M, 1.5 mL) was added. Thereafter, the reactor was heated and stirred at 110 °C for 5 h. 165 After cooling, the mixture was centrifuged (8000 rpm), and Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid was 166 thus collected after drying. 167

2.6 Photocatalytic activity measurements. Photocatalytic activities of Au/ND/C<sub>3</sub>N<sub>4</sub> 168 hybrid were assessed through the degradation of CV and tetracycline. Firstly, the hybrid 169 (50 mg) was immersed in a CV solution (60 mL, 10<sup>-5</sup> M) and kept in dark for 30 min 170 171 to ensure the adsorption-desorption equilibrium. Subsequently, the suspension was irradiated under a xenon lamp (500 W). CV absorbance at different time intervals was 172 measured to reflect the photodegradation efficiency. Photodegradation of tetracycline 173 was carried out under the same experimental conditions as for CV, except that the 174 amount of used catalyst was 30 mg. Moreover, photocatalytic activities of pure ND and 175  $C_3N_4$  were measured under the same condition. 176

177 **2.7 SERS measurements**. Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid (20 mg) was mixed with analyte 178 solution (2 mL) for 30 min to ensure sufficient enrichment of target molecules. The 179 mixture was transferred to a silicon wafer and dried for 5 min to form uniform spots 180 (diameter of 4 mm). During the measurement, the laser power and exposure time were 181 respectively set as 5 mW and 5 s.

182 **2.8 Self-cleaning SERS measurements.** The Au/ND/ $C_3N_4$  hybrid after SERS 183 investigation was collected, washed with deionized water, and irradiated with a xenon 184 lamp (500 W) for 120 min. After that, it was washed with deionized water and

- 185 centrifuged for 2 times to ensure removal of the residues. After drying, the hybrid was
- 186 collected and applied for another cycle of SERS detection.
- 187 **3. Results and discussion**

189

## 188 **3.1. Fabrication and characterization**



Figure 1. Scheme of the fabrication process and self-cleaning features of the
Au/ND/C<sub>3</sub>N<sub>4</sub> hybrids

192 Fig. 1 illustrates the fabrication process and self-cleaning features of the Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid. The synthesis of Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid includes two steps. 193 Step I, the purified ND (Fig. S1a) with a mean size of  $\sim 12$  nm was thoroughly 194 mixed with melamine, and the mixture was calcined in presence of Z204 catalyst 195 to produce holey ND/C<sub>3</sub>N<sub>4</sub> hybrid (Fig. S1b). Z204 catalyst decomposed 196 197 ammonia gas (NH<sub>3</sub>) generated from thermal polymerization of melamine, and accelerated NH<sub>3</sub> escape to generate cavities [38]. Step II, ND/C<sub>3</sub>N<sub>4</sub> hybrid was 198 dispersed into a mixed solution of chloroauric acid and sodium citrate, and a 199 200 hydrothermal reaction was conducted to synthesize Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid with an in-situ loading of Au NPs. In this process, CTAB surfactant was introduced to 201 induce overgrowth of Au NPs, forming heterohedral structures with rich tips. 202 Benefiting from abundant functional groups,  $\pi$ - $\pi$  conjugate and electrostatic 203 interactions of ND and C<sub>3</sub>N<sub>4</sub>, the analyte can be quickly and efficiently enriched 204 205 on Au/ND/C<sub>3</sub>N<sub>4</sub> substrate [29, 39]. Moreover, the nano-tips of the heterohedral Au NPs can offer more SERS hot spots, which are beneficial for high detection 206

sensitivity. After completing SERS detection,  $Au/ND/C_3N_4$  substrate may have a self-cleaning property by means of analyte photodegradation under visible light irradiation.



210

Figure 2. (a) UV-vis diffuse reflectance spectra (DRS) of ND,  $C_3N_4$ ,  $Au/C_3N_4$ and  $Au/ND/C_3N_4$  hybrid. (b) LSPR intensity contrast of  $Au/C_3N_4$  and Au/ND/C<sub>3</sub>N<sub>4</sub>. (c-e) Extinction (E), reflectance (R), and transmittance (T) (ERT) spectra of ND,  $Au/C_3N_4$  and  $Au/ND/C_3N_4$  in 250-750 nm region. (f) Light contribution variation of ND,  $Au/C_3N_4$  and  $Au/ND/C_3N_4$ . (g) Optical images of pure water, graphene aqueous solution and nanodiamonds aqueous solution under the irradiation of a 633 nm laser.

UV-vis DRS was conducted to investigate the optical properties of samples, 218 as displayed in Fig. 2a. ND exhibits a broad absorption range from 300-700 nm, 219 confirming its positive effect on improving light utilization. C<sub>3</sub>N<sub>4</sub> has an 220 absorption edge at ~453 nm, and exhibits a scant light absorption especially in 221 222 visible range. Au/C<sub>3</sub>N<sub>4</sub> behaves an obviously red-shift of absorption edge and enhanced light absorption than that of C<sub>3</sub>N<sub>4</sub>. A new absorption peak can be 223 observed at 534 nm, assigned to resonance peak of Au NPs. The improved optical 224 properties of  $Au/C_3N_4$  may be ascribed to the strong LSPR effect of Au NPs [40]. 225 For Au/ND/C<sub>3</sub>N<sub>4</sub>, the absorption edge continues to red-shift and light absorption 226 227 is further enhanced. In addition, Au NPs absorption peak of Au/ND/C<sub>3</sub>N<sub>4</sub> blueshifts to 531 nm and features a stronger intensity than that of Au/C<sub>3</sub>N<sub>4</sub>, as shown 228

in Fig 2b. These results offer direct evidences that the introduction of ND can enhance light utilization and strengthen LSPR effect of plasma in Au/ND/ $C_3N_4$ hybrid.

Subsequently, ERT spectra were determined to assess the light utilization of 232 233 fabricated samples, wherein E+R+T=100%, as depicted in Fig. 2c-e. ND acquires the highest reflectance than that of  $Au/C_3N_4$  and  $Au/ND/C_3N_4$ , 234 indicating its superior light scattering capability (Fig. 2d). In Fig. 2c, the 235 236 extinction of Au/ND/C<sub>3</sub>N<sub>4</sub> exhibits a huge hoist than that of Au/C<sub>3</sub>N<sub>4</sub>, proving an effective enhancement of ND on light utilization. Moreover, the extinction of 237 Au NPs for Au/C<sub>3</sub>N<sub>4</sub> has a resonance peak at~534 nm, while Au/ND/C<sub>3</sub>N<sub>4</sub> 238 exhibits the Au NPs resonance peak at~531 nm. The blue-shift of Au NPs 239 resonance peak may be due to the variation of Au NPs morphology and size [41]. 240 Transmittance spectra of ND, Au/C<sub>3</sub>N<sub>4</sub> and Au/ND/C<sub>3</sub>N<sub>4</sub> are shown in Fig. 2f. 241 In general, the transmittance drop corresponds to the extinction peak position 242 [42]. The transmittance spectra show a blue shift of Au NPs resonance peak as 243 244 expected. Hereafter, mean ERT are estimated to reflect light contribution variation of ND,  $Au/C_3N_4$  and  $Au/ND/C_3N_4$ , as displayed in Fig. 2f. It is apparent 245 that Au/ND/C<sub>3</sub>N<sub>4</sub> acquires the highest extinction and is 1.09 times of that 246 Au/C<sub>3</sub>N<sub>4</sub>. Such enhancement of extinction reveals favourable function of ND on 247 light utilization. Fig. 2g shows the optical images of pure water, graphene 248 aqueous solution and ND aqueous solution under the irradiation of a 633 nm 249 250 laser. The observed scattered light area in ND aqueous solution illustrates its distinctive light scattering characteristic, different from other carbon materials 251 252 like graphene. The same results can also be observed in UV-vis spectra of the above samples, as shown in Fig. S2. More commendably, the light-scattering 253 property of ND remains after ND are hybridized with other materials (Fig. S3). 254 Thus, it is reasonable to speculate that the light scattering of ND may improve 255 light utilization of samples for a better SERS activity. 256

Effect of ND content was further considered on optical properties of Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid. Fig. S4 depicts the UV-vis DRS of Au/ND/C<sub>3</sub>N<sub>4</sub> hybrids

with five ND contents, as well as resonance peak variation of Au NPs. As shown 259 in Fig. S4, LSPR intensity initially enhances and then lowers with the increase 260 of ND content, and the resonance peak gradually blue-shifts. Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid 261 with a ND content of 5% features the strongest resonance peak intensity, and is 262 most promising to acquire superior SERS activity. Fig. S5- Fig.S7 depict the 263 ERT spectra and mean ERT values of the five samples. Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid with 264 a ND content of 7% has the biggest extinction, and is slightly higher than that of 265 266 5% ND content. Excessive ND content (9%) may induce an increase in reflectance, and reduces the extinction ratio of Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid, as shown in 267 Fig. S7. 268

ND content for Au/ND/C<sub>3</sub>N<sub>4</sub> substrate was optimized to acquire a better 269 270 SERS signal response with crystal violet (CV) probe. Fig. S8 depicts the SERS activity variation of Au/ND/C<sub>3</sub>N<sub>4</sub> substrates prepared with five ND contents. The 271 peak at ~1174 cm<sup>-1</sup>, assigned to C-H in-plane bending mode of CV molecule, is 272 selected as a fingerprint signal of CV [43]. SERS activity initially raises then 273 274 drops with the increase of ND content. Au/ND/C<sub>3</sub>N<sub>4</sub> substrate with 5% ND exhibits the strongest SERS signal. Excess ND content induces an attenuation of 275 276 the SERS activity due to the strong fluorescence of ND. Considering the optical properties and SERS activity, Au/ND/C<sub>3</sub>N<sub>4</sub> with 5% ND is selected as optimal 277 278 substrate to conduct the following measurements.

279 TEM was used to observe the microstructure and morphology of the prepared samples. Fig. 3a and Fig. S9 show TEM images of Au NPs with 280 heterohedral morphology. Such heterohedral morphology with nano-tips could 281 282 enhance EM efficacy by mean of "lightning rod" effect [44]. Micromorphology of ND/C<sub>3</sub>N<sub>4</sub> hybrid is depicted in Fig. S1b and Fig. 3b. ND are incorporated into 283 C<sub>3</sub>N<sub>4</sub> nanolayers in form of small agglomerates and form strong interactions with 284  $C_3N_4$  (Fig. S1b). The formation of agglomerates is due to the interaction of 285 abundant functional groups on ND [45]. Different from pure ND (Fig. S1a), ND 286 agglomerates in ND/C<sub>3</sub>N<sub>4</sub> hybrid exhibit a smaller mean size. This may be that 287 C<sub>3</sub>N<sub>4</sub> acts as a stabilizer and inhibits ND agglomeration during the thermal 288

polymerization [46]. HRTEM image of ND/C<sub>3</sub>N<sub>4</sub> reveals a tight bonding of ND 289 290 agglomerates with C<sub>3</sub>N<sub>4</sub> (Fig. 3b). In Fig. 3b, clear lattice fringes with an interplanar spacing of 0.206 nm can be observed, corresponding to the (111) facet 291 of ND [47]. This provides direct evidence for occurrence of ND on  $C_3N_4$ 292 293 nanosheets. ND incorporation introduces rigid materials into C<sub>3</sub>N<sub>4</sub> stacked layers, expands lamellar spacing of C<sub>3</sub>N<sub>4</sub> layers and broadens the nanochannels, 294 which could enhance the enrichment of analyte and accelerate charge transfer 295 296 during SERS process.



297

Figure 3. (a) TEM image of heterohedral Au NPs. (b) HRTEM image of ND/C<sub>3</sub>N<sub>4</sub> hybrids. (c-e) TEM images of Au/ND/C<sub>3</sub>N<sub>4</sub> hybrids at three different magnifications. (f) Selected area electron diffraction pattern of Au/ND/C<sub>3</sub>N<sub>4</sub>. (g) Elemental mapping images of Au/ND/C<sub>3</sub>N<sub>4</sub>.

Fig. 3c-e display the TEM images of Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid. As shown in Fig. 302 303 3c, Au NPs with a mean size of 96 nm are uniformly decorated on the surface and stacked layers of  $ND/C_3N_4$ , whereas, the particle size difference between Au 304 NPs is large. At a higher magnification, abundant cavities can be observed on 305 C<sub>3</sub>N<sub>4</sub> nanosheets (Fig. S10). The produced cavities may enlarge adsorption 306 capacity of the hybrid. Fig. 3d reveals that Au NPs in Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid retain 307 heterohedral morphology with nanotips. And the nanotips display lattice fringes 308 with an interplanar spacing of 0.24 nm, corresponding to the (111) facet of Au 309

NPs, as shown in Fig. 3e. Selected area electron diffraction pattern of Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid manifests three ring-shaped diffuse diffraction patterns, corresponding to the (111), (220) and (311) facets of ND, respectively (Fig. 3f). The diffraction ring also confirms the tight binding of ND and C<sub>3</sub>N<sub>4</sub>. The uniform distribution of Au, C, and N element in Fig. 3g indicates successful synthesis of Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid. The presence of O element may be assigned to oxygencontaining functional groups of ND and moisture adsorbed by the hybrid.



Figure 4. (a-d) XPS high-resolution spectra of the C 1s, N 1s, Au 4f and O 1s of Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid. (e) XRD patterns of ND, C<sub>3</sub>N<sub>4</sub>, ND/C<sub>3</sub>N<sub>4</sub> and Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid. (f) FT-IR spectra of ND, C<sub>3</sub>N<sub>4</sub> and Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid. (g) Nitrogen adsorption-desorption isotherms of C<sub>3</sub>N<sub>4</sub>, ND/C<sub>3</sub>N<sub>4</sub> and Au/ND/C<sub>3</sub>N<sub>4</sub>, the insert is the pore size distribution profiles of C<sub>3</sub>N<sub>4</sub> and Au/ND/C<sub>3</sub>N<sub>4</sub>. (h) SERS spectra of  $10^{-4}$  M CV adsorbed onto the Au NPs, Au/C<sub>3</sub>N<sub>4</sub> and Au/ND/C<sub>3</sub>N<sub>4</sub> substrates accordingly.

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XPS was employed to analyse chemical composition and surface chemical 325 326 state of Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid. XPS survey spectrum reveals four distinct peaks corresponding to C 1s, N 1s, Au 4f and O 1s (Fig. S11), indicating successful 327 328 synthesis of Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid. The high-resolution spectrum of the C 1s is shown in Fig. 4a. It can be deconvoluted into four characteristic bands located at 329 284.7, 286.6, 287.8, 288.3 and 286.6 eV, assigned to pure graphitic sites in the 330 CN matrix (C=C),  $sp^2$ -C attached to NH<sub>2</sub> group in the aromatic ring (N-C=N), 331 sp<sup>2</sup>-C bonded to N in an aromatic ring (C-N-C) and sp<sup>3</sup>-C of C-O-H group, 332

respectively [48, 49]. The emergence of  $sp^3$ -C concretely confirms successful 333 residing of ND. Likewise, the high-resolution spectrum of N 1s can be 334 deconvoluted into three bands of 398.3, 398.9 and 400.4 eV (Fig. 4b), 335 corresponding to C-N=C, N-(C)<sub>3</sub>, and C-N-C coordination accordingly [50]. In 336 Fig. 4c, the spectrum of Au 4f comprises two peaks ascribed to Au  $4f_{5/2}$  (83.7 337 eV) and Au 4f<sub>7/2</sub> (87.4 eV) [51]. The high-resolution plot of O 1s could be curve-338 fitted with two bands due to C=O (531.8 eV) and C-O (533.0 eV) (Fig. 4d), 339 340 revealing that ND addition introduces new functional groups into Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid [52]. 341

XRD was used to characterize the phase structure of ND,  $C_3N_4$ , ND/ $C_3N_4$ 342 and Au/ND/C<sub>3</sub>N<sub>4</sub>, as shown in Fig. 4e. ND displays two sharp peaks at 2 theta of 343 43.9° and 75.3°, corresponding to the (111) and (220) facets of diamond, 344 respectively [53]. Besides, a broad peak at 2 theta of 26° is attributed to the 345 graphite phase on ND surface. This peak reveal that the purified ND powder 346 consists of diamond and trace graphite [52]. C<sub>3</sub>N<sub>4</sub> exhibits two peaks located at 347 348 13.1° and 27.6° assigned to (100) and (002) facets, respectively. The two peaks are sequentially assigned to in-plane structural packing motif of tri-s-triazine 349 units and stacking of the conjugated aromatic system [54]. For ND/C<sub>3</sub>N<sub>4</sub> hybrid, 350 the characteristic peaks of C<sub>3</sub>N<sub>4</sub> and ND are well preserved, indicating a 351 favourable interaction of ND and  $C_3N_4$ . The absence of the (220) peak of ND 352 may be due to the small amount of used ND. The XRD pattern of Au/ND/C<sub>3</sub>N<sub>4</sub> 353 hybrid features new peaks at 2 theta of 38.2°, 64.5°, 77.6° and 81.8°, 354 corresponding to the (111), (220), (311) and (222) facets of Au NPs, respectively 355 356 [29]. The peak at 44.1° can be deconvoluted into (111) facet (43.9°) of ND and (200) facet (44.3°) of Au NPs, as shown in inset of Fig. 4e. 357

Fig. S12 displays the Raman spectra of ND,  $C_3N_4$ , ND/ $C_3N_4$  and Au/ND/ $C_3N_4$ . A sharp peak at 1332 cm<sup>-1</sup> assigned to  $sp^3$ -C of diamond can be observed in the Raman spectrum of ND. The rough baseline is caused by strong fluorescence under laser excitation [55]. Moreover, no characteristic peak of  $sp^2$ -C is evident in the Raman spectrum. This may involve two aspects: (1) the

characteristic peak intensity of  $sp^2$ -C is weak due to its trace amount; (2) the peak 363 is annihilated by the strong fluorescence. Four peaks are observed at 683, 775, 364 1215 and 1460 cm<sup>-1</sup> in the case of  $C_3N_4$ , and are all ascribed to vibration modes 365 of CN heterocycles [56]. For both ND/C<sub>3</sub>N<sub>4</sub> and Au/ND/C<sub>3</sub>N<sub>4</sub>, the characteristic 366 peaks of C<sub>3</sub>N<sub>4</sub> are still obvious while the characteristic peak of ND is not seen. 367 The difference lays in that the broad peak at 1317 cm<sup>-1</sup> of C<sub>3</sub>N<sub>4</sub> drifts to 1328 368 cm<sup>-1</sup> after adding ND. The peak shift may be attributed to interaction of ND and 369 370  $C_3N_4$ , in good agreement with XPS data.

Furthermore, FT-IR spectra were acquired to analyse the surface functional 371 groups of the prepared samples, as shown in Fig. 4f. It is apparent that ND surface 372 embraces abundant functional groups, and these functional groups are favourably 373 374 introduced into Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid. Characteristic peaks at 1054, 1635, 1745 and 3416 cm<sup>-1</sup> of ND correspond to C–O stretching vibrations of epoxy groups, 375 O-H deformation vibrations of COOH groups, C=O stretching vibrations of 376 COOH groups and -OH stretching, respectively [57]. The two peaks at 2897 and 377 2976 cm<sup>-1</sup> are assigned to C-H stretching vibration of saturated carbon [58]. In 378 the FT-IR spectrum of C<sub>3</sub>N<sub>4</sub>, the characteristic peaks at 1235, 1314, 1396, 1455, 379 1535, and 1635 cm<sup>-1</sup> are assigned to typical stretching modes of CN heterocycles. 380 The peaks at 810 and 3144 cm<sup>-1</sup> correspond to breathing mode of triazine units 381 and stretching vibrations of -NH, respectively [59]. For Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid, the 382 characteristic peaks of C<sub>3</sub>N<sub>4</sub> remain clear and the peak at 1054 cm<sup>-1</sup> of ND can 383 still be observed, suggesting that synthesis process of Au/ND/C<sub>3</sub>N<sub>4</sub> does not 384 break the chemical structure of each component. Copious functional groups of 385 386 Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid may strengthen its self-cleaning capability and promote analyte enrichment. 387

388 N<sub>2</sub> physical adsorption isotherm was utilized to discriminate surface area 389 difference among  $C_3N_4$ , ND/ $C_3N_4$  and Au/ND/ $C_3N_4$ . Fig. 4g depicts N<sub>2</sub> 390 adsorption-desorption isotherms of  $C_3N_4$ , ND/ $C_3N_4$  and Au/ND/ $C_3N_4$ . The 391 surface area and pore volume of the prepared samples gradually decrease with 392 loading ND and Au NPs in sequence.  $C_3N_4$  features an IV-type isotherm with

H3-type hysteresis loop under high relative pressure, indicating the construction 393 394 of copious mesopores with the help of Z204 catalyst [60]. Brunauer-Emmett-Teller (BET) surface area of C<sub>3</sub>N<sub>4</sub> is 48.39 m<sup>2</sup> g<sup>-1</sup>. S<sub>BET</sub> of ND/C<sub>3</sub>N<sub>4</sub> hybrid drops 395 to 44.76 m<sup>2</sup> g<sup>-1</sup>. The slight decrease of S<sub>BET</sub> may be attributed to pore blocking 396 397 caused by ND embedding in the cavities. S<sub>BET</sub> of Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid sharply declined to 24.26 m<sup>2</sup> g<sup>-1</sup>, suggesting that numerous Au NPs are embedded in 398 C<sub>3</sub>N<sub>4</sub> mesopores. Furthermore, Barrett-Joyner-Halenda (BJH) was applied to 399 400 deduce pore size distribution (PSD) plots of  $C_3N_4$  and  $Au/ND/C_3N_4$ , as shown in the inset of Fig. 4g. Pore volume of Au/ND/C<sub>3</sub>N<sub>4</sub> is reduced to 0.125 cm<sup>3</sup> g<sup>-1</sup>, as 401 compared with its counterpart  $C_3N_4$  (0.299 cm<sup>3</sup> g<sup>-1</sup>). It is reasonable to speculate 402 that Au NPs grow in C<sub>3</sub>N<sub>4</sub> mesopores and are embedded in C<sub>3</sub>N<sub>4</sub> nanosheets. The 403 404 holey structure of C<sub>3</sub>N<sub>4</sub> is favourable for anchoring Au NPs and inhibiting their agglomeration to improve the reproducibility of SERS signals. 405

To directly identify the effect of ND on SERS activity, SERS signal 406 variation of CV probes on Au NPs, Au/C<sub>3</sub>N<sub>4</sub> and Au/ND/C<sub>3</sub>N<sub>4</sub> substrates were 407 compared. Fig. 4h depicts the Raman spectra of 10<sup>-4</sup> M CV adsorbed onto the 408 three substrates. Pure Au NPs substrate has the lowest SERS activity, which may 409 be due to its weak enrichment ability [61]. Au/C<sub>3</sub>N<sub>4</sub> substrate exhibits an 410 enhanced SERS activity. This can be attributed to two following facts: (1) the 411 holey C<sub>3</sub>N<sub>4</sub> ameliorates substrate enrichment capacity, (2) the heterostructure of 412 Au NPs and  $C_3N_4$  accelerates polarization of CV molecules [62]. Au/ND/ $C_3N_4$ 413 substrate acquires the strongest SERS signal, which provides the most direct 414 evidence for SERS activity improvement upon ND addition. Moreover, the 415 416 enhancement factor (EF) of the three substrates, which is calculated based on Fig. 4h and Fig. S13, confirms the same result. Au/ND/C<sub>3</sub>N<sub>4</sub> substrate has the 417 highest EF of  $6.67 \times 10^6$ , which is 2.01 and 1.58 times of that Au NPs ( $3.32 \times 10^6$ ) 418 and Au/C<sub>3</sub>N<sub>4</sub> ( $4.21 \times 10^6$ ) substrates, respectively. 419

## 420 3.2. SERS performance of self-cleaning Au/ND/C<sub>3</sub>N<sub>4</sub> substrate

421 A systematic investigation was conducted on sensitivity, reproducibility and 422 stability of the fabricated  $Au/ND/C_3N_4$  substrate to assess its application prospect.

Substrate sensitivity was measured by SERS spectra of CV solutions with different 423 424 concentrations, as shown in Fig. 5a, b. In Fig. 5a, the Raman intensity at 1174 cm<sup>-1</sup> gradually drops upon decreasing CV solution concentration. Visible characteristic 425 426 peaks can be observed even for a CV concentration of 10<sup>-14</sup> M, indicating a high sensitivity of the substrate. Furthermore, logarithmic concentration and Raman 427 intensity are linearly fitted to determine quantitative SERS detection, as shown in Fig. 428 5b. The logarithm concentration of CV maintains a good linear relationship with SERS 429 intensity in a concentration range from  $10^{-14}$  to  $10^{-5}$  M. The calibration equation is: 430  $I_{SRES}$ =912  $lgC_{CV}$ +12874, and the linear correlation coefficient (R<sup>2</sup>) is 0.978. No SERS 431 signals are recorded when CV concentration is lower than 10<sup>-14</sup> M as only very few 432 molecules lay at the laser spot area. 433



Figure 5. (a) SERS spectra of CV in a concentration range from 10<sup>-4</sup> to 10<sup>-14</sup> M recorded 435 from Au/ND/C<sub>3</sub>N<sub>4</sub> substrate. (b) Linear calibration plot of the SERS intensity at 1174 436 437 cm<sup>-1</sup> versus logarithmic CV concentration. (c) SERS spectra of 10<sup>-8</sup> M of CV obtained on random 11 spots from Au/ND/ $C_3N_4$  substrate. (d) The corresponding histogram of 438 intensity distribution of the 11 random spots at 1174 cm<sup>-1</sup>. (e) SERS mappings 439  $(150 \times 170 \ \mu\text{m}^2$ , step size of 10  $\mu\text{m}$ ) of the peak value at 1174 cm<sup>-1</sup> recorded from 440 Au/ND/C<sub>3</sub>N<sub>4</sub> substrates exposed to  $10^{-10}$  M CV solution. (f) Intensity distribution at 441 1174 cm<sup>-1</sup> for all the 238 points in SERS mapping. 442

High reproducibility of SERS signals is another indispensable index for practical 443 quantitative assay. Herein, reproducibility was determined by recording SERS signal 444 variation of random substrate sites and calculating the relative standard deviation (RSD) 445 value. Fig. 5c, d depicts SERS spectra of 10-8 M CV at 11 random points on 446 Au/ND/C<sub>3</sub>N<sub>4</sub> substrate and the intensity distribution histogram at 1174 cm<sup>-1</sup>. RSD value 447 of the 11 points is calculated to be 8.39%. Moreover, 238 random points in 150×170 448 µm<sup>2</sup> region were selected to conduct point-by-point measurement for verifying 449 450 detection reliability of the SERS substrate using a 10<sup>-10</sup> M CV solution. Fig. 5e reveals the mapping diagram of the peak intensity at 1174 cm<sup>-1</sup>, where the SERS signal is 451 uniformly distributed. RSD value of the 238 random points is 13.29% (Fig. 5f), 452 suggesting an acceptable substrate reliability to generate reproducible SERS signals. 453



454

Figure 6. (a) SERS spectra of  $10^{-4}$  M CV collected from Au/ND/C<sub>3</sub>N<sub>4</sub> substrate with different storage times. (b) Photocatalytic degradation curves of  $10^{-5}$  M CV in the presence of ND, C<sub>3</sub>N<sub>4</sub> and Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid. (c) Photocatalytic degradation curves of  $10^{-5}$  M CV in the presence of Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid (under sunlight illumination from 12:00 to 14:30). (d) Self-cleaning test of Au/ND/C<sub>3</sub>N<sub>4</sub> substrate through three cycles of SERS detection and photocatalytic degradation of  $10^{-5}$  M CV.

461 Furthermore, stability was assessed by SERS signal variation of CV on 462 Au/ND/C<sub>3</sub>N<sub>4</sub> substrates with different storage times. The storage condition was air 463 environment, and the concentration of CV solution was  $10^{-4}$  M. Fig. 6a depicts SERS 464 spectra of CV on Au/ND/C<sub>3</sub>N<sub>4</sub> substrates with 1-, 10-, 20- and 30-days storage. The 465 signal retention is 84.8% after 30 days, indicating a superior environmental stability. 466 Such stability may be attributed to two aspects: (1) good chemical stability of Au NPs; 467 (2) charge transfer between Au NPs and ND/C<sub>3</sub>N<sub>4</sub> hybrid [63].

Apart from admirable SERS performance, the self-cleaning capability of 468 Au/ND/ $C_3N_4$  is an essential factor for its actual application. To investigate this 469 470 capability, photocatalytic activities of Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid, as well as ND and C<sub>3</sub>N<sub>4</sub> were assessed for the decomposition of CV under visible light irradiation. The 471 degradation rates were determined by recording the change of UV-vis spectra at 590 472 nm. And C/C<sub>0</sub> was calculated to mirror the degradation rate value, wherein, C<sub>0</sub> and C 473 474 were the initial absorbance and absorbance at a certain reaction time, respectively. Fig. 6b depicts the degradation curves of CV solution in presence of the above three 475 samples. Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid exhibits the best photocatalytic activity, and its 476 degradation rate is 97.3% after 6 h visible light irradiation. Degradation rate of 477 478 Au/ND/C<sub>3</sub>N<sub>4</sub> is nearly 1.5 times higher than that of pure  $C_3N_4$  (degradation rate=66.8%). This enhanced photocatalytic activity is mainly ascribed to the formation 479 of a heterojunction, which improves the charge separation efficiency [64]. Specifically, 480 photogenerated electrons excited by C<sub>3</sub>N<sub>4</sub> under irradiation will transfer to ND due to 481 482 the more negative conduction band of C<sub>3</sub>N<sub>4</sub> than ND [46]. Au NPs may also take over the electrons from  $C_3N_4$  since its outstanding electron conduction feature [65]. And the 483 LSPR effect of Au NPs is another avenue to excite electrons [66]. Those electrons 484 produce free radical species for decomposing CV molecules. Subsequently, the 485 486 photodegradation performance of Au/AND/C<sub>3</sub>N<sub>4</sub> hybrid is evaluated under sunlight, as shown in Fig. 6c. The results reveal that CV can be degraded almost completely 487 (96.4%) by Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid within 150 min. Such photocatalytic activity directly 488 confirms the good self-cleaning ability of Au/ND/C<sub>3</sub>N<sub>4</sub>. 489

490 To have a better understanding of self-cleaning features of  $Au/ND/C_3N_4$  substrate, 491 a systematic investigation was conducted on SERS detection and photodegradation of 492 CV for three cycles. Each cycle comprises three steps: (1) the CV solution was mixed

with Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid for enrichment; (2) SERS detection was executed and the 493 494 hybrid was recovered to implement CV photocatalytic degradation after SERS measurement; (3) the recovered Au/ND/ $C_3N_4$  hybrid was cleaned and dried for the next 495 496 cycle. As shown in Fig. 6d, retention rate of SERS intensity at 1174 cm<sup>-1</sup> is 92.1% after 497 three cycles, indicating an acceptable attenuation of SERS detection capability. The SERS signal of remaining CV molecules gradually raises with increasing the number 498 of photodegradation cycles. This may be due to the accumulation of residues, as well 499 500 as reduction of photocatalytic activity. In brief, fingerprint signal intensity has a small difference among the three cycles, revealing a good self-cleaning feature of 501 Au/ND/C<sub>3</sub>N<sub>4</sub> substrate and a broad prospect for recyclable detection. 502



503 **3.3.** Application of self-cleaning Au/ND/C<sub>3</sub>N<sub>4</sub> substrate for tetracycline detection

Figure 7. (a) SERS spectra of tetracycline in a concentration range from  $10^{-6}$  to  $10^{-12}$ 505 M recorded using Au/ND/C<sub>3</sub>N<sub>4</sub> substrate. (b) Linear calibration plots of the SERS 506 507 intensities at 1171 and 1617 cm<sup>-1</sup> versus logarithmic of tetracycline concentration. (c) SERS spectra of a 10<sup>-6</sup> M tetracycline solution recorded on random 13 spots using 508 Au/ND/C<sub>3</sub>N<sub>4</sub> substrate. (d) The corresponding histogram of intensity distribution of the 509 510 13 random spots at 1171 and 1617 cm<sup>-1</sup>. (e) Photocatalytic degradation curves of a 10<sup>-</sup> <sup>5</sup> M tetracycline in the presence of  $Au/ND/C_3N_4$  hybrid (under visible-light 511 512 illumination). (f) Self-cleaning test of Au/ND/C<sub>3</sub>N<sub>4</sub> substrate through three cycles of SERS detection and photocatalytic degradation of 10<sup>-7</sup> M tetracycline. 513

In view of the superior performance achieved by Au/ND/C<sub>3</sub>N<sub>4</sub> substrate, it is 514 further adopted for tetracycline detection. To begin with, the detection sensitivity of 515 Au/ND/C<sub>3</sub>N<sub>4</sub> substrate for tetracycline was assessed. Fig. 7a depicts the SERS spectra 516 of tetracycline in a concentration range from  $10^{-6}$  to  $10^{-12}$  M on Au/ND/C<sub>3</sub>N<sub>4</sub> substrate. 517 The attributions of selected peaks (1171 and 1617 cm<sup>-1</sup>) for tetracycline are listed in 518 Table S1, as well as those of other possible characteristic peaks. Visible selected peaks 519 can be observed even for a tetracycline concentration of 10<sup>-12</sup> M, indicating a high 520 sensitivity of Au/ND/C<sub>3</sub>N<sub>4</sub> substrate. The linear fitting plot of logarithmic 521 concentration and SERS intensity is shown in Fig. 7b. In a concentration range from 522 10<sup>-6</sup> to 10<sup>-12</sup> M, the calibration equation for 1171 cm<sup>-1</sup> peak is: I<sub>SRES</sub>=1015 logC<sub>Tetracycline</sub> 523 +13388, and the  $R^2$  is 0.984. The calibration equation for the 1617 cm<sup>-1</sup> peak is: 524  $I_{SRES}$ =1143  $logC_{Tetracvcline}$ +16281, with R<sup>2</sup>=0.995. The superior sensitivity of 525 Au/ND/C<sub>3</sub>N<sub>4</sub> substrate is also been certificated by the performance comparison for TC 526 detection with other SERS substrates, as listed in Table S2. Subsequently, SERS 527 reproducibility of Au/ND/C<sub>3</sub>N<sub>4</sub> substrate was determined. Fig. 7c, d display SERS 528 spectra of 10<sup>-6</sup> M tetracycline solution at 13 random points on Au/ND/C<sub>3</sub>N<sub>4</sub> substrate, 529 and the histogram of peak intensity distributions at 1171 and 1617 cm<sup>-1</sup>. The RSD 530 values of peak intensities at 1171 and 1617 cm<sup>-1</sup> are respectively 4.86% and 6.88%, 531 proving high SERS signal reproducibility of the substrate. 532

Likewise, the self-cleaning property of Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid was evaluated for the 533 decomposition of tetracycline solution under visible light irradiation, as shown in Fig. 534 7e. The degradation rate of tetracycline is 86.1% after 4 h irradiation in presence of 535 Au/ND/C<sub>3</sub>N<sub>4</sub>, repeatedly confirming its good self-cleaning capability. Furthermore, a 536 537 systematic investigation was conducted on SERS detection and photodegradation of tetracycline under three cycles. As shown in Fig. 7f, the retention rate of SERS intensity 538 at 1617 cm<sup>-1</sup> is 87.1% after three cycles. The small difference of signal intensities 539 suggests that Au/ND/C<sub>3</sub>N<sub>4</sub> substrate is suitable for self-cleaning detection of 540 tetracycline. 541

### 542 **3.4. Possible mechanism**





Figure 8. (a) Contour plots of the electric field distribution of Au/ND/C<sub>3</sub>N<sub>4</sub> substrate simulated by FDTD. (b) Optical properties variation of Au NPs in Au/ND/C<sub>3</sub>N<sub>4</sub> hybrids upon ND content. (c) The concentration variation of CV solution with adsorption time in the presence of Au/C<sub>3</sub>N<sub>4</sub> (10 mg) and Au/ND/C<sub>3</sub>N<sub>4</sub> (10 mg) hybrids, respectively. (d) Energy-level diagram and possible CT paths between Au/ND/C<sub>3</sub>N<sub>4</sub> substrate and target molecule. (e) Schematic mechanism of Au/ND/C<sub>3</sub>N<sub>4</sub> substrate for the enhanced SERS activity in the presence of ND.

To better understand the enhancement effect of ND on SERS signal, electric field distribution of  $Au/ND/C_3N_4$  substrate under 633 nm laser was simulated by FDTD, as shown in Fig. 8a. The FDTD result of x-y view mirrors the electric field distribution for coupling region of Au NPs and ND, while x-z view may mirror the electric field

distribution for non-coupling region. The coupling region behaves a stronger field 555 enhancement than that of non-coupling region. This phenomenon is ascribed to the high 556 light utilization brought by ND. On the other hand, the supporting video shows the 557 electric field variation over time of the two views, and the non-coupling region features 558 559 a delayed field enhancement than coupling region. This delayed electric field enhancement may be attributed to scattering effect of ND for the Raman laser beam. 560 The scattered laser beam irradiates Au NPs again to generate a sub-enhanced electric 561 562 field. Those results prove well that ND scattering may assist enhancement of electric field and bring EM enhancement. In addition, the edges and tips of Au NPs have the 563 highest electric field strength due to LSPR effect, suggesting that the heterohedral 564 morphology of Au NPs could offer sufficient EM enhancement for analyte. 565

566 Fig. 8b depicts the optical properties variation of Au NPs in Au/ND/C<sub>3</sub>N<sub>4</sub> hybrids with ND content. Obviously, the introduction of ND efficiently strengthens the LSPR 567 effect of Au NPs. The maximum extinction ratio and maximum absorbance of Au NPs 568 are increased by 1.12 times and 1.32 times, respectively. Combined with Fig. 2g and 569 570 Fig. S3, it is reasonable to speculate that the light scattering properties of ND effectively enhance light utilization of Au/ND/C<sub>3</sub>N<sub>4</sub> substrate, thus offering a stronger EM 571 572 enhancement. This phenomenon may be ascribed to the high optical refractive index of ND (2.42) [46]. Furthermore, concentration variation of CV solution over time was 573 574 recorded using Au/C<sub>3</sub>N<sub>4</sub> and Au/ND/C<sub>3</sub>N<sub>4</sub> hybrids to investigate the influence of ND on enrichment capacity, as shown in Fig. 8c. The CV concentration reaches equilibrium 575 after 10 min for both ND/C<sub>3</sub>N<sub>4</sub> and Au/ND/C<sub>3</sub>N<sub>4</sub> hybrids, but the CV solution in 576 presence of Au/ND/C<sub>3</sub>N<sub>4</sub> exhibits a lower residual concentration than that of Au/C<sub>3</sub>N<sub>4</sub>. 577 578 This indicates that Au/ND/C<sub>3</sub>N<sub>4</sub> substrate has a stronger enrichment capability and may capture more analyte molecules for producing SERS signals. Such superior enrichment 579 capability of Au/ND/C<sub>3</sub>N<sub>4</sub> substrate is attributed to a synergistic effect of ND functional 580 groups and the holey  $C_3N_4$  structure on analyte adsorption. 581

582 Subsequently, possible polarization paths of analyte molecules are analysed from 583 the perspective of energy levels. Fig. 8d displays energy level diagram of charge 584 transfer between Au/ND/C<sub>3</sub>N<sub>4</sub> substrate and target molecules. The Fermi level ( $E_F$ ) of

AuNPs is located at -5.1 eV; the valence bands of ND and  $C_3N_4$  are located at -5.4 and 585 -5.6 eV, and conduction bands are at -2.2 and -2.9 eV, respectively [46, 67]. The highest 586 occupied molecular orbital (HOMO) and the lowest unoccupied molecular orbital 587 (LUMO) levels of tetracycline are located at -5.9 and -3.9 eV, respectively [68]. Under 588 589 laser radiation, the energy provided by excitation wavelength at 633 nm (1.95 eV) is not enough to trigger the intramolecular electronic transition between HOMO and 590 LUMO of tetracycline. Instead, energy difference between each component of 591 592 Au/ND/C<sub>3</sub>N<sub>4</sub> and HOMO of tetracycline matches well with the energy of Raman photons. Hence, Au/ND/C<sub>3</sub>N<sub>4</sub> may act as a charge transfer medium to push photon-593 induced CT ( $\mu_{CT}$ ) processes, as illustrated in Fig. 8d. The  $\mu_{CT}$  with multi-channels could 594 enlarge Raman scattering cross-section to enhance SERS signal. Meanwhile, the 595 excited photothermal electrons of Au NPs may also transfer to LUMO level of 596 tetracycline and induce molecular polarization [67]. 597

The above data allow us to propose a ND improvement mechanism for SERS 598 activity, as illustrated in Fig. 8e. The proposed mechanism involves four aspects: (1) 599 600 EM effect of heterohedral Au NPs. Due to LSPR effect, heterohedral Au NPs exhibit a strong electromagnetic field distribution at edges with small curvature radiuses such 601 as nano-tips, and will excite SERS signals. (2) Enrichment effect. Abundant functional 602 groups on ND may effectively capture analyte molecules and favour the enrichment of 603 604 molecules on SERS substrate. More target molecules are enriched on the substrate and receive Raman irradiation, resulting in a stronger SERS signal. In addition, the holey 605 606 morphology of  $C_3N_4$  and optical plasmons of Au NPs also contribute to the enrichment effect [69]. (3) CT effect of Au/ND/C<sub>3</sub>N<sub>4</sub> heterostructure. With the help of energy 607 608 ladder from Au/ND/C<sub>3</sub>N<sub>4</sub> heterostructure, analyte molecules may readily undergo a charge transfer from HOMO to LUMO under excitation of Raman photons. Charge 609 transfer process induces polarization of analyte molecules, resulting in SERS 610 enhancement. (4) Sub-enhancement from light scattering of ND. ND can scatter 611 Raman laser due to its high refractive index. In coupling region of Au NPs and ND, the 612 laser beam and scattered light jointly excite Au NPs to generate SERS hot spots by 613 means of high light utilization. In non-coupling region, the scattered light excites Au 614

NPs again to contribute an enhanced electric field with a sub-intensity, and offers a SERS signal. More commendably, the enhanced electric field in non-coupling region may boost long-range SERS detection to overcome the poor affinity of Au NPs, thus acquiring high sensitivity [70]. Here, we label those SERS enhancements from the scattered Raman laser as sub-enhancement.

#### 620 **4. Conclusions**

In conclusion, Au/ND/C<sub>3</sub>N<sub>4</sub> SERS substrate of featuring light-enhancement 621 622 and self-cleaning properties was successfully constructed by the combination of ND, C<sub>3</sub>N<sub>4</sub> and Au NPs. The abundant functional groups and light scattering effect 623 of ND endowed Au/ND/C<sub>3</sub>N<sub>4</sub> substrate with excellent enrichment capability and 624 sub-enhancement of SERS signal. Thus, the prepared substrate exhibited a 625 626 favourable SERS activity and allowed highly sensitive detection of CV and tetracycline at low concentrations of 10<sup>-14</sup> M and 10<sup>-12</sup> M, respectively. In 627 addition, the hybrid exhibited high catalytic activity due to the enhanced electron 628 separation efficiency, and featured a self-cleaning behaviour. The satisfactory 629 630 reproducibility and stability of this substrate confirmed its good potential in actual analysis application. Adopting light scattering effect to improve SERS 631 activity provides a good avenue for the fabrication of high-performance SERS 632 substrates. Meanwhile, all the superior performances may allow Au/ND/C<sub>3</sub>N<sub>4</sub> 633 hybrids to be applied for sensitive assays of antibiotic residues in wastewater. 634

635 Declaration of Competing Interest

636 The authors declare that they have no known competing financial interests or
637 personal relationships that could have appeared to influence the work reported in this
638 paper.

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