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1                   **Highly-Sensitive SERS Detection of Tetracycline: Sub-**  
2                   **enhancement Brought by Light Scattering of Nano-diamond**

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15 **Abstract**

16 Antibiotic residues in wastewater cause a serious threat to aquatic environment and  
17 human safety, and the development of robust strategies for detecting antibiotic residues  
18 becomes critical. In this work, a surface-enhanced Raman scattering (SERS) substrate,  
19 consisting of gold nanoparticles/nano diamond/carbon nitride (Au/ND/C<sub>3</sub>N<sub>4</sub>) hybrid,  
20 which features enhanced light utilization and self-cleaning properties, is developed for  
21 sensitive sensing of tetracycline. The fabricated Au/ND/C<sub>3</sub>N<sub>4</sub> substrate effectively  
22 captures target molecules and enhances Raman activities, owing to abundant functional  
23 groups and light scattering effect of ND. Moreover, the heterostructure formed by Au  
24 NPs, ND and C<sub>3</sub>N<sub>4</sub> endows this SERS substrate with superior self-cleaning capability,  
25 enabling its reusability. The Au/ND/C<sub>3</sub>N<sub>4</sub> substrate exhibits high sensitivity (10<sup>-14</sup> M),  
26 desirable reproducibility (RSD=13.29%, n=238) and favourable stability (84.8% of  
27 initial intensity after 30 days) for crystal violet probe. Also, no significant loss of SERS  
28 or catalytic activity is observed during cyclic SERS detection. Likewise, the detection  
29 of tetracycline at nanomolar level and cyclic SERS measurement jointly reveals its  
30 prospect for recyclable detection of tetracycline. This work proposes a distinctive  
31 strategy for enhancing SERS activity, and the developed self-cleaning Au/ND/C<sub>3</sub>N<sub>4</sub>  
32 substrate represents a potential candidate for highly sensitive detection of antibiotic  
33 residues in wastewater.

34 **Key words:** Surface-enhanced Raman scattering; nano diamond; sub-enhancement;  
35 sensing; tetracycline

## 36 **1. Introduction**

37 An increasing concern on monitoring antibiotic residues in wastewater urges  
38 the development of high-sensitivity and cost-effective analytical tools to curb  
39 environmental pollution. The overuse and delayed metabolism of antibiotics lead  
40 to their inevitable residues in water environment, incurring refractory pollution  
41 sources [1-3]. From 2000 to 2015, global per-capita consumption of Watch  
42 antibiotics experienced a huge increase of 90.9%, and Access antibiotics featured  
43 an increase of 26.2% [4]. As an emerging category of pollutants, antibiotics  
44 residues in wastewater could induce the emergence of multi-drug resistance  
45 (MDR) microbes, antibiotic resistant bacteria (ARB) and antibiotic resistant  
46 genes (ARGs) [5, 6]. Bacteria bred by antibiotic residues may sneak into human  
47 body and trigger life-threatening infections. A recent report projected about 10  
48 million deaths per year by 2050 associated with antibiotic resistance [7].  
49 Thereupon, highly-sensitive detection of antibiotic residues in wastewater is  
50 becoming more and more imperative for preventing environmental pollution and  
51 suppressing production of infectious viruses.

52 Currently, available methods for the detection of antibiotic residues are  
53 mainly involved in colorimetric [8], fluorescent [9], chemiluminescent (CL) [10],  
54 electrochemical method [11], and electrochemiluminescence (ECL) [12].  
55 Colorimetric, fluorescent, CL, and electrochemical suffer from the drawbacks of  
56 low sensitivity, short life time, labelling required, and cumbersome pre-treatment  
57 respectively [13, 14]. ECL exhibits poor reliability due to its easy vulnerability  
58 by external environment [13]. Based on its ultra-sensitive features, compatibility  
59 with aqueous solution, and label-free detection, SERS casts light on ultra-trace  
60 molecular analysis for detecting antibiotics [15-17]. The enhancement of analyte  
61 Raman signal is mainly due to local amplification of electromagnetic field from  
62 the interaction between the incident laser beam and SERS substrate.  
63 Electromagnetic (EM) enhancement and chemical (charge transfer, CT)  
64 enhancement are two widely-accepted Raman enhancement mechanisms of  
65 SERS substrates [18-20]. Applying nano-semiconductor to load gold

66 nanoparticles (Au NPs) may acquire satisfactory SERS performance by acting  
67 on both EM and CT mechanisms. However, the restrictive effect of scant light  
68 utilization on SERS activity of such substrates is seriously ignored.

69 Au NPs can offer long-range EM enhancement for Raman signals by means  
70 of their strong localized surface plasmon resonance (LSPR) [21]. Controlling the  
71 Au NPs morphology is regarded as a useful method to enhance Raman signals.  
72 Constructing nano-tips and adjusting particle size can form SERS hot spots  
73 through two SPR effects of lightning rod effect and resonance coupling effect  
74 [22, 23]. Various morphologies of Au NPs like nano-needles, nano-stars, and  
75 nano-trees have been fabricated and verified to exhibit high SERS sensitivity  
76 [24-26]. A combination of nano-semiconductors and Au NPs may enhance  
77 Raman signal through CT effect. The heterostructure formed by nano-  
78 semiconductors and Au NPs may accelerate charge transfer to induce analyte  
79 polarization, and offer short-range chemical enhancement of Raman signals.  
80 ZnO, MoS<sub>2</sub>, TiO<sub>2</sub>, C<sub>3</sub>N<sub>4</sub>, etc. have been proven to be suited substrate materials  
81 for fabricating high-sensitivity SERS substrates [27]. Among them, C<sub>3</sub>N<sub>4</sub> is the  
82 most promising candidate due to its strong adsorption capacity and superior  
83 electronic conductivity [28-30]. Whereas, available works on Au/C<sub>3</sub>N<sub>4</sub> substrate  
84 ignores the enhancement effect of light utilization efficiency on SERS activity.  
85 Increasing utilization efficient of Raman beam may be an efficacious strategy to  
86 improve SERS performance. Exploitation of high laser utilization on Au/C<sub>3</sub>N<sub>4</sub>  
87 substrates is a worth strategy to further improve its SERS activity.

88 Adopting effective light utilization to improve SERS performance of  
89 Au/C<sub>3</sub>N<sub>4</sub> substrate has pivotal matters of finding a suitable light utilization path  
90 and revealing its action mechanism. Recent investigations on increasing light  
91 absorption efficiency to improve SERS sensitivity focus on the fabrication of  
92 light trapping structures [31-34]. A combination of precious metals and special  
93 structures such as nano-cavities, nano-spirals, and Si micro-pyramid can achieve  
94 superior light trapping effect, and those developed structures generate local  
95 electromagnetic enhancement due to resonant cavity effect [35-37]. However, the

96 heavy reliance of the above-mentioned SERS substrates on high-precision  
97 manufacturing processes restricts their wide application. Instead, light scattering  
98 effect offers a hopeful avenue to improve light utilization. The introduction of  
99 nano-diamond (ND) with high light scattering features into the SERS substrate  
100 is expected to implement efficient utilization of Raman laser through a handy  
101 process. Moreover, the presence of abundant functional groups on ND surface  
102 may act as a powerful tool to capture analyte molecules for improving substrate  
103 enrichment capacity. Regretfully, it is rare to see a systematic report about  
104 adopting light scattering effect of ND to enhance SERS substrate performances.  
105 Here, we proposed a strategy to improve SERS activity by means of light  
106 scattering, and fabricated Au/ND/C<sub>3</sub>N<sub>4</sub> hybrids for SERS detection of a widely  
107 used antibiotic tetracycline. A systematic investigation on the microstructure,  
108 optical properties and SERS activities of the designed substrate was conducted  
109 to reveal improvement mechanism of ND on SERS performance, promoting its  
110 application for the detection of antibiotic residues in wastewater.

111 In this work, ND/C<sub>3</sub>N<sub>4</sub> hybrid with holey structure was fabricated in one  
112 step with the help of Z204 catalyst, and Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid with heterohedral  
113 Au NPs was produced by introduction of active agent cetyltrimethylammonium  
114 bromide (CTAB). A systematic characterization was conducted on optical  
115 properties, microstructure and chemical features of the prepared samples. SERS  
116 performances of Au/ND/C<sub>3</sub>N<sub>4</sub> substrate with self-cleaning features was assessed  
117 using crystal violet as a probe molecule, as well as its application for tetracycline  
118 assay. Consequently, ND improvement mechanism was revealed via both  
119 experimental data and finite difference time domain (FDTD) simulation.

## 120 **2. Experimental**

121 **2.1 Reagents:** All reagents were of analytical grade. Nitric acid, sulfuric acid,  
122 melamine, sodium citrate and CTAB were purchased from Shanghai Aladdin  
123 Biochemical Technology Co., Ltd. Crystal violet (CV), tetracycline and chloroauric  
124 acid (HAuCl<sub>4</sub>, 48~50%) were purchased from Shanghai Maclean Biochemical  
125 Technology Co., Ltd. Nano-diamonds (~10 nm, 99%) and Z204 catalyst were

126 purchased from Nanjing Hongde Nanomaterials Co., Ltd. and Sichuan Tianyi  
127 Technology Co., Ltd., respectively.

128 **2.2 Characterizations:** Optical properties of the prepared samples were investigated  
129 by UV-vis diffuse reflectance spectra (Lambda 750, PE). Microtopography and  
130 surface element distribution were observed by scanning electron microscopy (SEM,  
131 SUPRA55, Zeiss) and transmission electron microscopy (TEM, Talos F200X,  
132 ThermoFisher). Element composition and chemical state of Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid were  
133 determined by X-ray photoelectron spectroscopy (XPS, Escalab 250Xi, ThermoFisher).  
134 Phase composition was acquired with an X-ray diffractometer (XRD, D8 Advance,  
135 Bruker). Surface functional group composition was assessed with Fourier Transform  
136 InfraRed Spectrometer (FT-IR, Specture 100, Peikin Elmer). Surface area were  
137 acquired with a specific surface and pore size analyzer (ASAP2020, Micromeritics).  
138 Adsorption capacity was investigated by UV-vis absorption spectrophotometry  
139 (Lambda 750, PE). SERS performances were recorded by HORIBA Raman microscope  
140 (LabRAM HR Evolution, Jobin Yvon;  $\lambda_{\text{ex}}=633$  nm). Photocatalytic experiments were  
141 conducted using a photoreactor with a xenon lamp (XE-JY500, Beijing Newbit  
142 Technology Co., Ltd.).

143 **2.3 Pre-treatment of ND** *Step I*, acid pickling was utilized to remove metal impurities  
144 in ND. ND powders (1 g) were dispersed in a mixed solution of nitric acid (5 mL) and  
145 sulfuric acid (15 mL), and then the mixture was heated at 40 °C for 1 h under stirring.  
146 Subsequently, the ND were washed with ultrapure water to neutral, and grey ND  
147 powder was thus obtained after centrifugation and drying. *Step II*, a heat treatment was  
148 carried on ND to decompose the *sp*<sup>2</sup>-C on ND surface. ND powder in *Step I* was  
149 calcined at 420 °C for 1 h to produce purified ND.

150 **2.4 Fabrication of ND/C<sub>3</sub>N<sub>4</sub> hybrid.** Melamine and purified ND were used as raw  
151 materials to prepare ND/C<sub>3</sub>N<sub>4</sub> hybrid. A mixture (4 g) of melamine and ND was fully  
152 ground (>120 min) and placed in a small crucible, wherein, the mass percentages of  
153 ND were set to 1%, 3%, 5%, 7%, and 9%. Afterward, the small crucible was placed in  
154 a large crucible containing Z204 catalyst (2 pieces), and the covered crucible was  
155 calcined in a muffle furnace. Furnace temperature was raised to 550 °C at a rate of 5

156 °C/min, and held for 4 h. The fabricated ND/C<sub>3</sub>N<sub>4</sub> hybrid was washed with ultrapure  
157 water and then dried and collected. The colour of ND/C<sub>3</sub>N<sub>4</sub> hybrid gradually changed  
158 from light yellow to brown upon increasing of ND content.

159 **2.5 Fabrication of Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid.** Gold nanoparticles (Au NPs) loading was  
160 accomplished through H<sub>2</sub>AuCl<sub>4</sub> reduction with sodium citrate in presence of CTAB to  
161 induce overgrowth of Au NPs and produce heterohedral morphology. C<sub>3</sub>N<sub>4</sub>/ND (20  
162 mg), CTAB (0.11 g) and H<sub>2</sub>AuCl<sub>4</sub> solution (1.5 mL, 5 wt%) were dispersed in ultrapure  
163 water (17 mL). The resulting mixture was then sonicated for 10 min and stirred for 1 h.  
164 The mixture was transferred to a hydrothermal reactor, and sodium citrate solution (0.1  
165 M, 1.5 mL) was added. Thereafter, the reactor was heated and stirred at 110 °C for 5 h.  
166 After cooling, the mixture was centrifuged (8000 rpm), and Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid was  
167 thus collected after drying.

168 **2.6 Photocatalytic activity measurements.** Photocatalytic activities of Au/ND/C<sub>3</sub>N<sub>4</sub>  
169 hybrid were assessed through the degradation of CV and tetracycline. Firstly, the hybrid  
170 (50 mg) was immersed in a CV solution (60 mL, 10<sup>-5</sup> M) and kept in dark for 30 min  
171 to ensure the adsorption-desorption equilibrium. Subsequently, the suspension was  
172 irradiated under a xenon lamp (500 W). CV absorbance at different time intervals was  
173 measured to reflect the photodegradation efficiency. Photodegradation of tetracycline  
174 was carried out under the same experimental conditions as for CV, except that the  
175 amount of used catalyst was 30 mg. Moreover, photocatalytic activities of pure ND and  
176 C<sub>3</sub>N<sub>4</sub> were measured under the same condition.

177 **2.7 SERS measurements.** Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid (20 mg) was mixed with analyte  
178 solution (2 mL) for 30 min to ensure sufficient enrichment of target molecules. The  
179 mixture was transferred to a silicon wafer and dried for 5 min to form uniform spots  
180 (diameter of 4 mm). During the measurement, the laser power and exposure time were  
181 respectively set as 5 mW and 5 s.

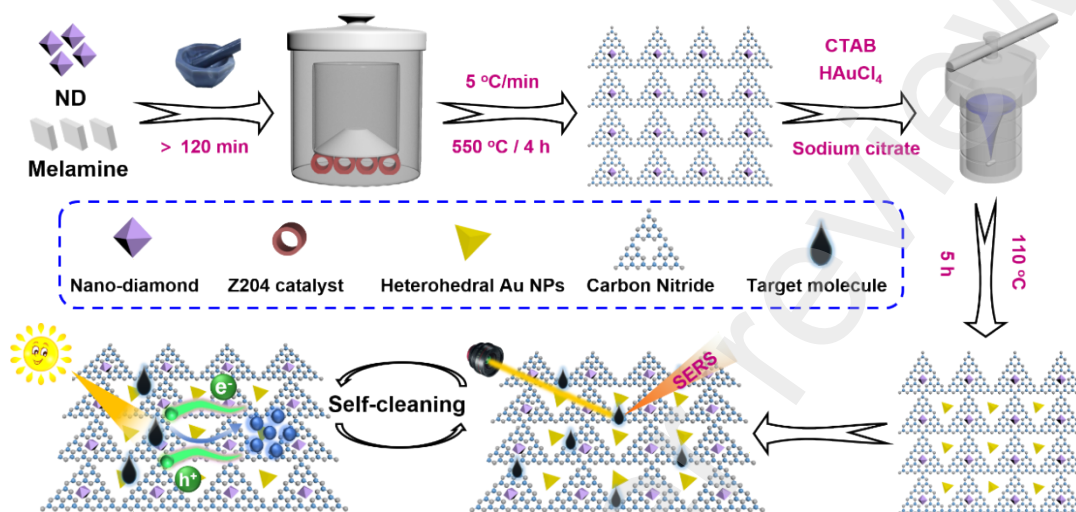
182 **2.8 Self-cleaning SERS measurements.** The Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid after SERS  
183 investigation was collected, washed with deionized water, and irradiated with a xenon  
184 lamp (500 W) for 120 min. After that, it was washed with deionized water and



185 centrifuged for 2 times to ensure removal of the residues. After drying, the hybrid was  
186 collected and applied for another cycle of SERS detection.

### 187 3. Results and discussion

#### 188 3.1. Fabrication and characterization

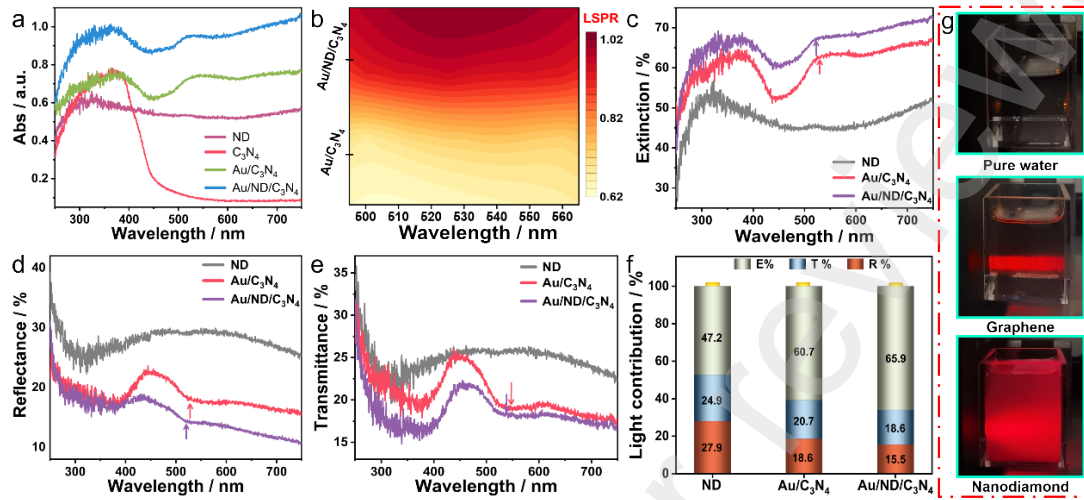


189

190 **Figure 1.** Scheme of the fabrication process and self-cleaning features of the  
191 Au/ND/C<sub>3</sub>N<sub>4</sub> hybrids

192 Fig. 1 illustrates the fabrication process and self-cleaning features of the  
193 Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid. The synthesis of Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid includes two steps.  
194 Step I, the purified ND (Fig. S1a) with a mean size of ~12 nm was thoroughly  
195 mixed with melamine, and the mixture was calcined in presence of Z204 catalyst  
196 to produce holey ND/C<sub>3</sub>N<sub>4</sub> hybrid (Fig. S1b). Z204 catalyst decomposed  
197 ammonia gas (NH<sub>3</sub>) generated from thermal polymerization of melamine, and  
198 accelerated NH<sub>3</sub> escape to generate cavities [38]. Step II, ND/C<sub>3</sub>N<sub>4</sub> hybrid was  
199 dispersed into a mixed solution of chloroauric acid and sodium citrate, and a  
200 hydrothermal reaction was conducted to synthesize Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid with an  
201 in-situ loading of Au NPs. In this process, CTAB surfactant was introduced to  
202 induce overgrowth of Au NPs, forming heterohedral structures with rich tips.  
203 Benefiting from abundant functional groups,  $\pi$ - $\pi$  conjugate and electrostatic  
204 interactions of ND and C<sub>3</sub>N<sub>4</sub>, the analyte can be quickly and efficiently enriched  
205 on Au/ND/C<sub>3</sub>N<sub>4</sub> substrate [29, 39]. Moreover, the nano-tips of the heterohedral  
206 Au NPs can offer more SERS hot spots, which are beneficial for high detection

207 sensitivity. After completing SERS detection, Au/ND/C<sub>3</sub>N<sub>4</sub> substrate may have  
 208 a self-cleaning property by means of analyte photodegradation under visible light  
 209 irradiation.



210  
 211 **Figure 2.** (a) UV-vis diffuse reflectance spectra (DRS) of ND, C<sub>3</sub>N<sub>4</sub>, Au/C<sub>3</sub>N<sub>4</sub>  
 212 and Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid. (b) LSPR intensity contrast of Au/C<sub>3</sub>N<sub>4</sub> and  
 213 Au/ND/C<sub>3</sub>N<sub>4</sub>. (c-e) Extinction (E), reflectance (R), and transmittance (T) (ERT)  
 214 spectra of ND, Au/C<sub>3</sub>N<sub>4</sub> and Au/ND/C<sub>3</sub>N<sub>4</sub> in 250-750 nm region. (f) Light  
 215 contribution variation of ND, Au/C<sub>3</sub>N<sub>4</sub> and Au/ND/C<sub>3</sub>N<sub>4</sub>. (g) Optical images of  
 216 pure water, graphene aqueous solution and nanodiamonds aqueous solution  
 217 under the irradiation of a 633 nm laser.

218 UV-vis DRS was conducted to investigate the optical properties of samples,  
 219 as displayed in Fig. 2a. ND exhibits a broad absorption range from 300-700 nm,  
 220 confirming its positive effect on improving light utilization. C<sub>3</sub>N<sub>4</sub> has an  
 221 absorption edge at ~453 nm, and exhibits a scant light absorption especially in  
 222 visible range. Au/C<sub>3</sub>N<sub>4</sub> behaves an obviously red-shift of absorption edge and  
 223 enhanced light absorption than that of C<sub>3</sub>N<sub>4</sub>. A new absorption peak can be  
 224 observed at 534 nm, assigned to resonance peak of Au NPs. The improved optical  
 225 properties of Au/C<sub>3</sub>N<sub>4</sub> may be ascribed to the strong LSPR effect of Au NPs [40].  
 226 For Au/ND/C<sub>3</sub>N<sub>4</sub>, the absorption edge continues to red-shift and light absorption  
 227 is further enhanced. In addition, Au NPs absorption peak of Au/ND/C<sub>3</sub>N<sub>4</sub> blue-  
 228 shifts to 531 nm and features a stronger intensity than that of Au/C<sub>3</sub>N<sub>4</sub>, as shown

229 in Fig 2b. These results offer direct evidences that the introduction of ND can  
230 enhance light utilization and strengthen LSPR effect of plasma in Au/ND/C<sub>3</sub>N<sub>4</sub>  
231 hybrid.

232 Subsequently, ERT spectra were determined to assess the light utilization of  
233 fabricated samples, wherein E+R+T=100%, as depicted in Fig. 2c-e. ND  
234 acquires the highest reflectance than that of Au/C<sub>3</sub>N<sub>4</sub> and Au/ND/C<sub>3</sub>N<sub>4</sub>,  
235 indicating its superior light scattering capability (Fig. 2d). In Fig. 2c, the  
236 extinction of Au/ND/C<sub>3</sub>N<sub>4</sub> exhibits a huge hoist than that of Au/C<sub>3</sub>N<sub>4</sub>, proving  
237 an effective enhancement of ND on light utilization. Moreover, the extinction of  
238 Au NPs for Au/C<sub>3</sub>N<sub>4</sub> has a resonance peak at~534 nm, while Au/ND/C<sub>3</sub>N<sub>4</sub>  
239 exhibits the Au NPs resonance peak at~531 nm. The blue-shift of Au NPs  
240 resonance peak may be due to the variation of Au NPs morphology and size [41].  
241 Transmittance spectra of ND, Au/C<sub>3</sub>N<sub>4</sub> and Au/ND/C<sub>3</sub>N<sub>4</sub> are shown in Fig. 2f.  
242 In general, the transmittance drop corresponds to the extinction peak position  
243 [42]. The transmittance spectra show a blue shift of Au NPs resonance peak as  
244 expected. Hereafter, mean ERT are estimated to reflect light contribution  
245 variation of ND, Au/C<sub>3</sub>N<sub>4</sub> and Au/ND/C<sub>3</sub>N<sub>4</sub>, as displayed in Fig. 2f. It is apparent  
246 that Au/ND/C<sub>3</sub>N<sub>4</sub> acquires the highest extinction and is 1.09 times of that  
247 Au/C<sub>3</sub>N<sub>4</sub>. Such enhancement of extinction reveals favourable function of ND on  
248 light utilization. Fig. 2g shows the optical images of pure water, graphene  
249 aqueous solution and ND aqueous solution under the irradiation of a 633 nm  
250 laser. The observed scattered light area in ND aqueous solution illustrates its  
251 distinctive light scattering characteristic, different from other carbon materials  
252 like graphene. The same results can also be observed in UV-vis spectra of the  
253 above samples, as shown in Fig. S2. More commendably, the light-scattering  
254 property of ND remains after ND are hybridized with other materials (Fig. S3).  
255 Thus, it is reasonable to speculate that the light scattering of ND may improve  
256 light utilization of samples for a better SERS activity.

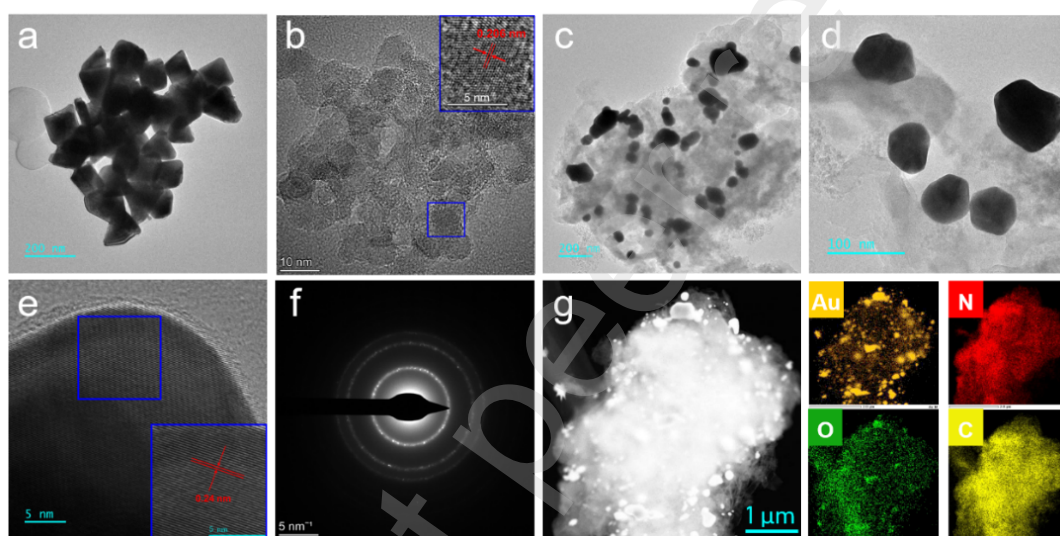
257 Effect of ND content was further considered on optical properties of  
258 Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid. Fig. S4 depicts the UV-vis DRS of Au/ND/C<sub>3</sub>N<sub>4</sub> hybrids

259 with five ND contents, as well as resonance peak variation of Au NPs. As shown  
260 in Fig. S4, LSPR intensity initially enhances and then lowers with the increase  
261 of ND content, and the resonance peak gradually blue-shifts. Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid  
262 with a ND content of 5% features the strongest resonance peak intensity, and is  
263 most promising to acquire superior SERS activity. Fig. S5- Fig.S7 depict the  
264 ERT spectra and mean ERT values of the five samples. Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid with  
265 a ND content of 7% has the biggest extinction, and is slightly higher than that of  
266 5% ND content. Excessive ND content (9%) may induce an increase in  
267 reflectance, and reduces the extinction ratio of Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid, as shown in  
268 Fig. S7.

269 ND content for Au/ND/C<sub>3</sub>N<sub>4</sub> substrate was optimized to acquire a better  
270 SERS signal response with crystal violet (CV) probe. Fig. S8 depicts the SERS  
271 activity variation of Au/ND/C<sub>3</sub>N<sub>4</sub> substrates prepared with five ND contents. The  
272 peak at ~1174 cm<sup>-1</sup>, assigned to C-H in-plane bending mode of CV molecule, is  
273 selected as a fingerprint signal of CV [43]. SERS activity initially raises then  
274 drops with the increase of ND content. Au/ND/C<sub>3</sub>N<sub>4</sub> substrate with 5% ND  
275 exhibits the strongest SERS signal. Excess ND content induces an attenuation of  
276 the SERS activity due to the strong fluorescence of ND. Considering the optical  
277 properties and SERS activity, Au/ND/C<sub>3</sub>N<sub>4</sub> with 5% ND is selected as optimal  
278 substrate to conduct the following measurements.

279 TEM was used to observe the microstructure and morphology of the  
280 prepared samples. Fig. 3a and Fig. S9 show TEM images of Au NPs with  
281 heterohedral morphology. Such heterohedral morphology with nano-tips could  
282 enhance EM efficacy by mean of "lightning rod" effect [44]. Micromorphology  
283 of ND/C<sub>3</sub>N<sub>4</sub> hybrid is depicted in Fig. S1b and Fig. 3b. ND are incorporated into  
284 C<sub>3</sub>N<sub>4</sub> nanolayers in form of small agglomerates and form strong interactions with  
285 C<sub>3</sub>N<sub>4</sub> (Fig. S1b). The formation of agglomerates is due to the interaction of  
286 abundant functional groups on ND [45]. Different from pure ND (Fig. S1a), ND  
287 agglomerates in ND/C<sub>3</sub>N<sub>4</sub> hybrid exhibit a smaller mean size. This may be that  
288 C<sub>3</sub>N<sub>4</sub> acts as a stabilizer and inhibits ND agglomeration during the thermal

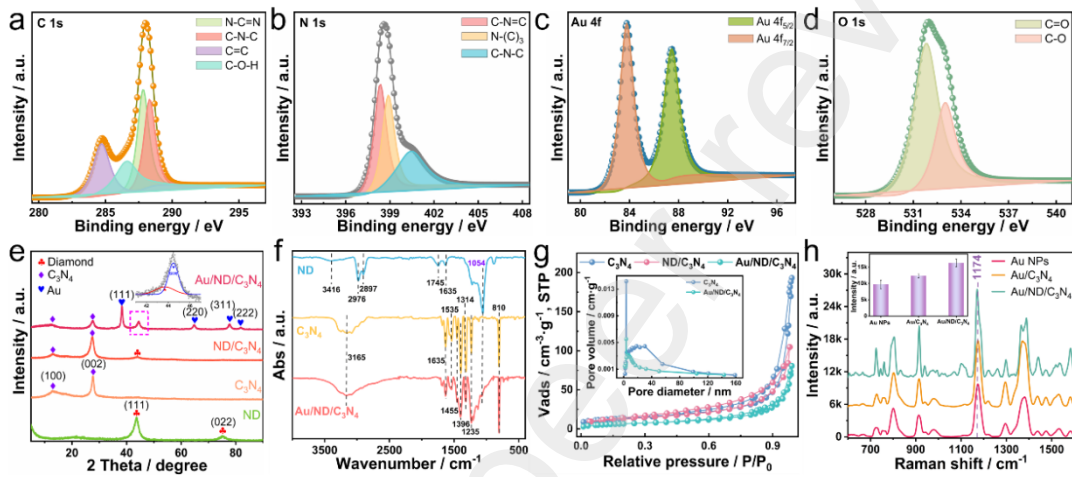
289 polymerization [46]. HRTEM image of ND/C<sub>3</sub>N<sub>4</sub> reveals a tight bonding of ND  
 290 agglomerates with C<sub>3</sub>N<sub>4</sub> (Fig. 3b). In Fig. 3b, clear lattice fringes with an  
 291 interplanar spacing of 0.206 nm can be observed, corresponding to the (111) facet  
 292 of ND [47]. This provides direct evidence for occurrence of ND on C<sub>3</sub>N<sub>4</sub>  
 293 nanosheets. ND incorporation introduces rigid materials into C<sub>3</sub>N<sub>4</sub> stacked  
 294 layers, expands lamellar spacing of C<sub>3</sub>N<sub>4</sub> layers and broadens the nanochannels,  
 295 which could enhance the enrichment of analyte and accelerate charge transfer  
 296 during SERS process.



297  
 298 **Figure 3.** (a) TEM image of heterohedral Au NPs. (b) HRTEM image of  
 299 ND/C<sub>3</sub>N<sub>4</sub> hybrids. (c-e) TEM images of Au/ND/C<sub>3</sub>N<sub>4</sub> hybrids at three different  
 300 magnifications. (f) Selected area electron diffraction pattern of Au/ND/C<sub>3</sub>N<sub>4</sub>. (g)  
 301 Elemental mapping images of Au/ND/C<sub>3</sub>N<sub>4</sub>.

302 Fig. 3c-e display the TEM images of Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid. As shown in Fig.  
 303 3c, Au NPs with a mean size of 96 nm are uniformly decorated on the surface  
 304 and stacked layers of ND/C<sub>3</sub>N<sub>4</sub>, whereas, the particle size difference between Au  
 305 NPs is large. At a higher magnification, abundant cavities can be observed on  
 306 C<sub>3</sub>N<sub>4</sub> nanosheets (Fig. S10). The produced cavities may enlarge adsorption  
 307 capacity of the hybrid. Fig. 3d reveals that Au NPs in Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid retain  
 308 heterohedral morphology with nanotips. And the nanotips display lattice fringes  
 309 with an interplanar spacing of 0.24 nm, corresponding to the (111) facet of Au

310 NPs, as shown in Fig. 3e. Selected area electron diffraction pattern of  
 311 Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid manifests three ring-shaped diffuse diffraction patterns,  
 312 corresponding to the (111), (220) and (311) facets of ND, respectively (Fig. 3f).  
 313 The diffraction ring also confirms the tight binding of ND and C<sub>3</sub>N<sub>4</sub>. The uniform  
 314 distribution of Au, C, and N element in Fig. 3g indicates successful synthesis of  
 315 Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid. The presence of O element may be assigned to oxygen-  
 316 containing functional groups of ND and moisture adsorbed by the hybrid.



317  
 318 **Figure 4.** (a-d) XPS high-resolution spectra of the C 1s, N 1s, Au 4f and O 1s of  
 319 Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid. (e) XRD patterns of ND, C<sub>3</sub>N<sub>4</sub>, ND/C<sub>3</sub>N<sub>4</sub> and Au/ND/C<sub>3</sub>N<sub>4</sub>  
 320 hybrid. (f) FT-IR spectra of ND, C<sub>3</sub>N<sub>4</sub> and Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid. (g) Nitrogen  
 321 adsorption-desorption isotherms of C<sub>3</sub>N<sub>4</sub>, ND/C<sub>3</sub>N<sub>4</sub> and Au/ND/C<sub>3</sub>N<sub>4</sub>, the insert  
 322 is the pore size distribution profiles of C<sub>3</sub>N<sub>4</sub> and Au/ND/C<sub>3</sub>N<sub>4</sub>. (h) SERS spectra  
 323 of 10<sup>-4</sup> M CV adsorbed onto the Au NPs, Au/C<sub>3</sub>N<sub>4</sub> and Au/ND/C<sub>3</sub>N<sub>4</sub> substrates  
 324 accordingly.

325 XPS was employed to analyse chemical composition and surface chemical  
 326 state of Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid. XPS survey spectrum reveals four distinct peaks  
 327 corresponding to C 1s, N 1s, Au 4f and O 1s (Fig. S11), indicating successful  
 328 synthesis of Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid. The high-resolution spectrum of the C 1s is  
 329 shown in Fig. 4a. It can be deconvoluted into four characteristic bands located at  
 330 284.7, 286.6, 287.8, 288.3 and 286.6 eV, assigned to pure graphitic sites in the  
 331 CN matrix (C=C), *sp*<sup>2</sup>-C attached to NH<sub>2</sub> group in the aromatic ring (N-C=N),  
 332 *sp*<sup>2</sup>-C bonded to N in an aromatic ring (C-N-C) and *sp*<sup>3</sup>-C of C-O-H group,

333 respectively [48, 49]. The emergence of  $sp^3$ -C concretely confirms successful  
334 residing of ND. Likewise, the high-resolution spectrum of N 1s can be  
335 deconvoluted into three bands of 398.3, 398.9 and 400.4 eV (Fig. 4b),  
336 corresponding to C-N=C, N-(C)<sub>3</sub>, and C-N-C coordination accordingly [50]. In  
337 Fig. 4c, the spectrum of Au 4f comprises two peaks ascribed to Au 4f<sub>5/2</sub> (83.7  
338 eV) and Au 4f<sub>7/2</sub> (87.4 eV) [51]. The high-resolution plot of O 1s could be curve-  
339 fitted with two bands due to C=O (531.8 eV) and C-O (533.0 eV) (Fig. 4d),  
340 revealing that ND addition introduces new functional groups into Au/ND/C<sub>3</sub>N<sub>4</sub>  
341 hybrid [52].

342 XRD was used to characterize the phase structure of ND, C<sub>3</sub>N<sub>4</sub>, ND/C<sub>3</sub>N<sub>4</sub>  
343 and Au/ND/C<sub>3</sub>N<sub>4</sub>, as shown in Fig. 4e. ND displays two sharp peaks at 2 theta of  
344 43.9° and 75.3°, corresponding to the (111) and (220) facets of diamond,  
345 respectively [53]. Besides, a broad peak at 2 theta of 26° is attributed to the  
346 graphite phase on ND surface. This peak reveal that the purified ND powder  
347 consists of diamond and trace graphite [52]. C<sub>3</sub>N<sub>4</sub> exhibits two peaks located at  
348 13.1° and 27.6° assigned to (100) and (002) facets, respectively. The two peaks  
349 are sequentially assigned to in-plane structural packing motif of tri-s-triazine  
350 units and stacking of the conjugated aromatic system [54]. For ND/C<sub>3</sub>N<sub>4</sub> hybrid,  
351 the characteristic peaks of C<sub>3</sub>N<sub>4</sub> and ND are well preserved, indicating a  
352 favourable interaction of ND and C<sub>3</sub>N<sub>4</sub>. The absence of the (220) peak of ND  
353 may be due to the small amount of used ND. The XRD pattern of Au/ND/C<sub>3</sub>N<sub>4</sub>  
354 hybrid features new peaks at 2 theta of 38.2°, 64.5°, 77.6° and 81.8°,  
355 corresponding to the (111), (220), (311) and (222) facets of Au NPs, respectively  
356 [29]. The peak at 44.1° can be deconvoluted into (111) facet (43.9°) of ND and  
357 (200) facet (44.3°) of Au NPs, as shown in inset of Fig. 4e.

358 Fig. S12 displays the Raman spectra of ND, C<sub>3</sub>N<sub>4</sub>, ND/C<sub>3</sub>N<sub>4</sub> and  
359 Au/ND/C<sub>3</sub>N<sub>4</sub>. A sharp peak at 1332 cm<sup>-1</sup> assigned to  $sp^3$ -C of diamond can be  
360 observed in the Raman spectrum of ND. The rough baseline is caused by strong  
361 fluorescence under laser excitation [55]. Moreover, no characteristic peak of  $sp^2$ -  
362 C is evident in the Raman spectrum. This may involve two aspects: (1) the

363 characteristic peak intensity of  $sp^2$ -C is weak due to its trace amount; (2) the peak  
364 is annihilated by the strong fluorescence. Four peaks are observed at 683, 775,  
365 1215 and 1460  $\text{cm}^{-1}$  in the case of  $\text{C}_3\text{N}_4$ , and are all ascribed to vibration modes  
366 of CN heterocycles [56]. For both  $\text{ND}/\text{C}_3\text{N}_4$  and  $\text{Au}/\text{ND}/\text{C}_3\text{N}_4$ , the characteristic  
367 peaks of  $\text{C}_3\text{N}_4$  are still obvious while the characteristic peak of ND is not seen.  
368 The difference lays in that the broad peak at 1317  $\text{cm}^{-1}$  of  $\text{C}_3\text{N}_4$  drifts to 1328  
369  $\text{cm}^{-1}$  after adding ND. The peak shift may be attributed to interaction of ND and  
370  $\text{C}_3\text{N}_4$ , in good agreement with XPS data.

371 Furthermore, FT-IR spectra were acquired to analyse the surface functional  
372 groups of the prepared samples, as shown in Fig. 4f. It is apparent that ND surface  
373 embraces abundant functional groups, and these functional groups are favourably  
374 introduced into  $\text{Au}/\text{ND}/\text{C}_3\text{N}_4$  hybrid. Characteristic peaks at 1054, 1635, 1745  
375 and 3416  $\text{cm}^{-1}$  of ND correspond to C–O stretching vibrations of epoxy groups,  
376 O–H deformation vibrations of COOH groups, C=O stretching vibrations of  
377 COOH groups and -OH stretching, respectively [57]. The two peaks at 2897 and  
378 2976  $\text{cm}^{-1}$  are assigned to C-H stretching vibration of saturated carbon [58]. In  
379 the FT-IR spectrum of  $\text{C}_3\text{N}_4$ , the characteristic peaks at 1235, 1314, 1396, 1455,  
380 1535, and 1635  $\text{cm}^{-1}$  are assigned to typical stretching modes of CN heterocycles.  
381 The peaks at 810 and 3144  $\text{cm}^{-1}$  correspond to breathing mode of triazine units  
382 and stretching vibrations of -NH, respectively [59]. For  $\text{Au}/\text{ND}/\text{C}_3\text{N}_4$  hybrid, the  
383 characteristic peaks of  $\text{C}_3\text{N}_4$  remain clear and the peak at 1054  $\text{cm}^{-1}$  of ND can  
384 still be observed, suggesting that synthesis process of  $\text{Au}/\text{ND}/\text{C}_3\text{N}_4$  does not  
385 break the chemical structure of each component. Copious functional groups of  
386  $\text{Au}/\text{ND}/\text{C}_3\text{N}_4$  hybrid may strengthen its self-cleaning capability and promote  
387 analyte enrichment.

388  $\text{N}_2$  physical adsorption isotherm was utilized to discriminate surface area  
389 difference among  $\text{C}_3\text{N}_4$ ,  $\text{ND}/\text{C}_3\text{N}_4$  and  $\text{Au}/\text{ND}/\text{C}_3\text{N}_4$ . Fig. 4g depicts  $\text{N}_2$   
390 adsorption-desorption isotherms of  $\text{C}_3\text{N}_4$ ,  $\text{ND}/\text{C}_3\text{N}_4$  and  $\text{Au}/\text{ND}/\text{C}_3\text{N}_4$ . The  
391 surface area and pore volume of the prepared samples gradually decrease with  
392 loading ND and Au NPs in sequence.  $\text{C}_3\text{N}_4$  features an IV-type isotherm with



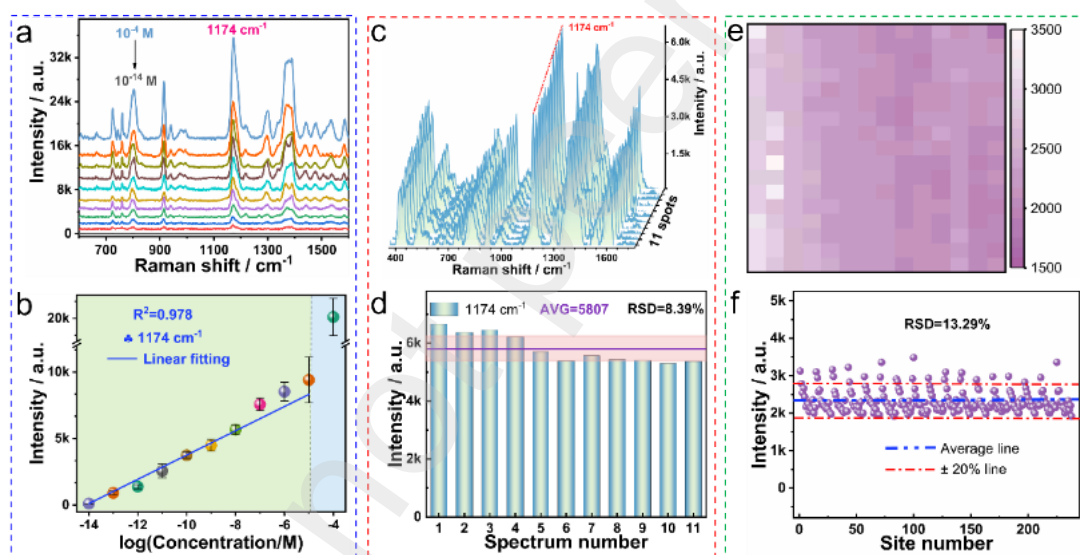
393 H3-type hysteresis loop under high relative pressure, indicating the construction  
394 of copious mesopores with the help of Z204 catalyst [60]. Brunauer-Emmett-  
395 Teller (BET) surface area of  $C_3N_4$  is  $48.39 \text{ m}^2 \text{ g}^{-1}$ .  $S_{\text{BET}}$  of ND/ $C_3N_4$  hybrid drops  
396 to  $44.76 \text{ m}^2 \text{ g}^{-1}$ . The slight decrease of  $S_{\text{BET}}$  may be attributed to pore blocking  
397 caused by ND embedding in the cavities.  $S_{\text{BET}}$  of Au/ND/ $C_3N_4$  hybrid sharply  
398 declined to  $24.26 \text{ m}^2 \text{ g}^{-1}$ , suggesting that numerous Au NPs are embedded in  
399  $C_3N_4$  mesopores. Furthermore, Barrett-Joyner-Halenda (BJH) was applied to  
400 deduce pore size distribution (PSD) plots of  $C_3N_4$  and Au/ND/ $C_3N_4$ , as shown in  
401 the inset of Fig. 4g. Pore volume of Au/ND/ $C_3N_4$  is reduced to  $0.125 \text{ cm}^3 \text{ g}^{-1}$ , as  
402 compared with its counterpart  $C_3N_4$  ( $0.299 \text{ cm}^3 \text{ g}^{-1}$ ). It is reasonable to speculate  
403 that Au NPs grow in  $C_3N_4$  mesopores and are embedded in  $C_3N_4$  nanosheets. The  
404 holey structure of  $C_3N_4$  is favourable for anchoring Au NPs and inhibiting their  
405 agglomeration to improve the reproducibility of SERS signals.

406 To directly identify the effect of ND on SERS activity, SERS signal  
407 variation of CV probes on Au NPs, Au/ $C_3N_4$  and Au/ND/ $C_3N_4$  substrates were  
408 compared. Fig. 4h depicts the Raman spectra of  $10^{-4} \text{ M}$  CV adsorbed onto the  
409 three substrates. Pure Au NPs substrate has the lowest SERS activity, which may  
410 be due to its weak enrichment ability [61]. Au/ $C_3N_4$  substrate exhibits an  
411 enhanced SERS activity. This can be attributed to two following facts: (1) the  
412 holey  $C_3N_4$  ameliorates substrate enrichment capacity, (2) the heterostructure of  
413 Au NPs and  $C_3N_4$  accelerates polarization of CV molecules [62]. Au/ND/ $C_3N_4$   
414 substrate acquires the strongest SERS signal, which provides the most direct  
415 evidence for SERS activity improvement upon ND addition. Moreover, the  
416 enhancement factor (EF) of the three substrates, which is calculated based on  
417 Fig. 4h and Fig. S13, confirms the same result. Au/ND/ $C_3N_4$  substrate has the  
418 highest EF of  $6.67 \times 10^6$ , which is 2.01 and 1.58 times of that Au NPs ( $3.32 \times 10^6$ )  
419 and Au/ $C_3N_4$  ( $4.21 \times 10^6$ ) substrates, respectively.

### 420 3.2. SERS performance of self-cleaning Au/ND/ $C_3N_4$ substrate

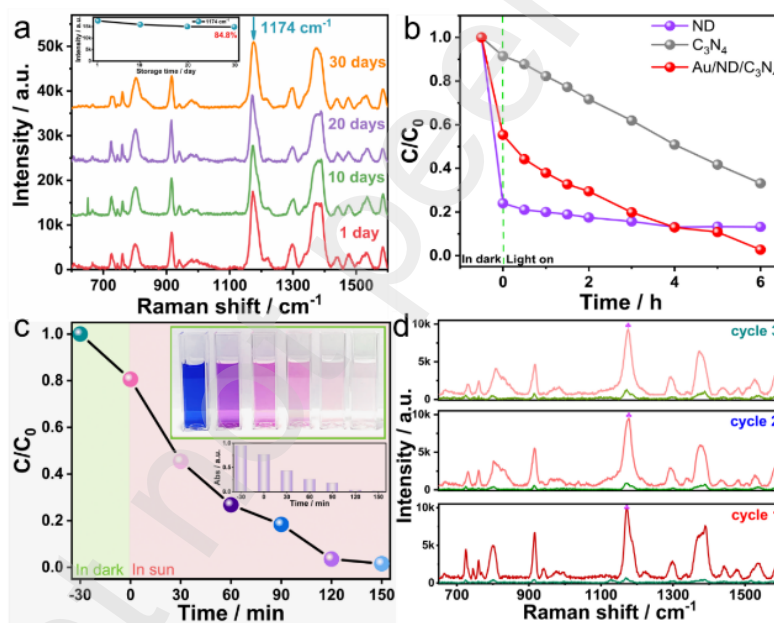
421 A systematic investigation was conducted on sensitivity, reproducibility and  
422 stability of the fabricated Au/ND/ $C_3N_4$  substrate to assess its application prospect.

423 Substrate sensitivity was measured by SERS spectra of CV solutions with different  
 424 concentrations, as shown in Fig. 5a, b. In Fig. 5a, the Raman intensity at 1174 cm<sup>-1</sup>  
 425 gradually drops upon decreasing CV solution concentration. Visible characteristic  
 426 peaks can be observed even for a CV concentration of 10<sup>-14</sup> M, indicating a high  
 427 sensitivity of the substrate. Furthermore, logarithmic concentration and Raman  
 428 intensity are linearly fitted to determine quantitative SERS detection, as shown in Fig.  
 429 5b. The logarithm concentration of CV maintains a good linear relationship with SERS  
 430 intensity in a concentration range from 10<sup>-14</sup> to 10<sup>-5</sup> M. The calibration equation is:  
 431  $I_{SRES}=912 \lg C_{CV}+12874$ , and the linear correlation coefficient ( $R^2$ ) is 0.978. No SERS  
 432 signals are recorded when CV concentration is lower than 10<sup>-14</sup> M as only very few  
 433 molecules lay at the laser spot area.



434  
 435 **Figure 5.** (a) SERS spectra of CV in a concentration range from 10<sup>-4</sup> to 10<sup>-14</sup> M recorded  
 436 from Au/ND/C<sub>3</sub>N<sub>4</sub> substrate. (b) Linear calibration plot of the SERS intensity at 1174  
 437 cm<sup>-1</sup> versus logarithmic CV concentration. (c) SERS spectra of 10<sup>-8</sup> M of CV obtained  
 438 on random 11 spots from Au/ND/C<sub>3</sub>N<sub>4</sub> substrate. (d) The corresponding histogram of  
 439 intensity distribution of the 11 random spots at 1174 cm<sup>-1</sup>. (e) SERS mappings  
 440 (150×170 μm<sup>2</sup>, step size of 10 μm) of the peak value at 1174 cm<sup>-1</sup> recorded from  
 441 Au/ND/C<sub>3</sub>N<sub>4</sub> substrates exposed to 10<sup>-10</sup> M CV solution. (f) Intensity distribution at  
 442 1174 cm<sup>-1</sup> for all the 238 points in SERS mapping.

443 High reproducibility of SERS signals is another indispensable index for practical  
 444 quantitative assay. Herein, reproducibility was determined by recording SERS signal  
 445 variation of random substrate sites and calculating the relative standard deviation (RSD)  
 446 value. Fig. 5c, d depicts SERS spectra of  $10^{-8}$  M CV at 11 random points on  
 447 Au/ND/C<sub>3</sub>N<sub>4</sub> substrate and the intensity distribution histogram at 1174 cm<sup>-1</sup>. RSD value  
 448 of the 11 points is calculated to be 8.39%. Moreover, 238 random points in 150×170  
 449 μm<sup>2</sup> region were selected to conduct point-by-point measurement for verifying  
 450 detection reliability of the SERS substrate using a  $10^{-10}$  M CV solution. Fig. 5e reveals  
 451 the mapping diagram of the peak intensity at 1174 cm<sup>-1</sup>, where the SERS signal is  
 452 uniformly distributed. RSD value of the 238 random points is 13.29% (Fig. 5f),  
 453 suggesting an acceptable substrate reliability to generate reproducible SERS signals.



454  
 455 **Figure 6.** (a) SERS spectra of  $10^{-4}$  M CV collected from Au/ND/C<sub>3</sub>N<sub>4</sub> substrate with  
 456 different storage times. (b) Photocatalytic degradation curves of  $10^{-5}$  M CV in the  
 457 presence of ND, C<sub>3</sub>N<sub>4</sub> and Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid. (c) Photocatalytic degradation curves  
 458 of  $10^{-5}$  M CV in the presence of Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid (under sunlight illumination from  
 459 12:00 to 14:30). (d) Self-cleaning test of Au/ND/C<sub>3</sub>N<sub>4</sub> substrate through three cycles of  
 460 SERS detection and photocatalytic degradation of  $10^{-5}$  M CV.

461 Furthermore, stability was assessed by SERS signal variation of CV on  
 462 Au/ND/C<sub>3</sub>N<sub>4</sub> substrates with different storage times. The storage condition was air

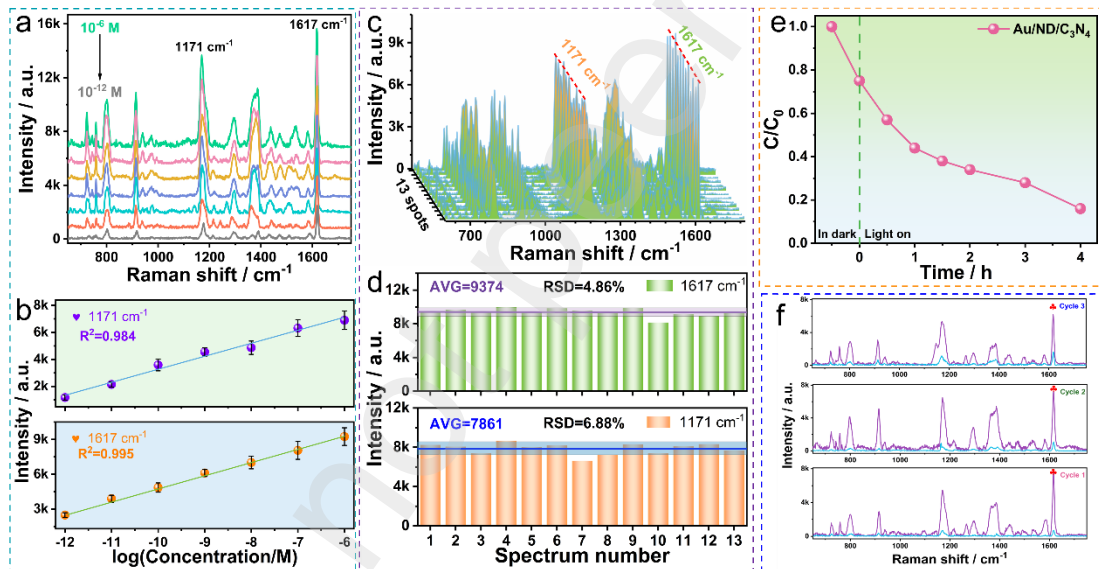
463 environment, and the concentration of CV solution was  $10^{-4}$  M. Fig. 6a depicts SERS  
464 spectra of CV on Au/ND/C<sub>3</sub>N<sub>4</sub> substrates with 1-, 10-, 20- and 30-days storage. The  
465 signal retention is 84.8% after 30 days, indicating a superior environmental stability.  
466 Such stability may be attributed to two aspects: (1) good chemical stability of Au NPs;  
467 (2) charge transfer between Au NPs and ND/C<sub>3</sub>N<sub>4</sub> hybrid [63].

468 Apart from admirable SERS performance, the self-cleaning capability of  
469 Au/ND/C<sub>3</sub>N<sub>4</sub> is an essential factor for its actual application. To investigate this  
470 capability, photocatalytic activities of Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid, as well as ND and C<sub>3</sub>N<sub>4</sub>  
471 were assessed for the decomposition of CV under visible light irradiation. The  
472 degradation rates were determined by recording the change of UV-vis spectra at 590  
473 nm. And  $C/C_0$  was calculated to mirror the degradation rate value, wherein,  $C_0$  and  $C$   
474 were the initial absorbance and absorbance at a certain reaction time, respectively. Fig.  
475 6b depicts the degradation curves of CV solution in presence of the above three  
476 samples. Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid exhibits the best photocatalytic activity, and its  
477 degradation rate is 97.3% after 6 h visible light irradiation. Degradation rate of  
478 Au/ND/C<sub>3</sub>N<sub>4</sub> is nearly 1.5 times higher than that of pure C<sub>3</sub>N<sub>4</sub> (degradation  
479 rate=66.8%). This enhanced photocatalytic activity is mainly ascribed to the formation  
480 of a heterojunction, which improves the charge separation efficiency [64]. Specifically,  
481 photogenerated electrons excited by C<sub>3</sub>N<sub>4</sub> under irradiation will transfer to ND due to  
482 the more negative conduction band of C<sub>3</sub>N<sub>4</sub> than ND [46]. Au NPs may also take over  
483 the electrons from C<sub>3</sub>N<sub>4</sub> since its outstanding electron conduction feature [65]. And the  
484 LSPR effect of Au NPs is another avenue to excite electrons [66]. Those electrons  
485 produce free radical species for decomposing CV molecules. Subsequently, the  
486 photodegradation performance of Au/AND/C<sub>3</sub>N<sub>4</sub> hybrid is evaluated under sunlight, as  
487 shown in Fig. 6c. The results reveal that CV can be degraded almost completely  
488 (96.4%) by Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid within 150 min. Such photocatalytic activity directly  
489 confirms the good self-cleaning ability of Au/ND/C<sub>3</sub>N<sub>4</sub>.

490 To have a better understanding of self-cleaning features of Au/ND/C<sub>3</sub>N<sub>4</sub> substrate,  
491 a systematic investigation was conducted on SERS detection and photodegradation of  
492 CV for three cycles. Each cycle comprises three steps: (1) the CV solution was mixed

493 with Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid for enrichment; (2) SERS detection was executed and the  
 494 hybrid was recovered to implement CV photocatalytic degradation after SERS  
 495 measurement; (3) the recovered Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid was cleaned and dried for the next  
 496 cycle. As shown in Fig. 6d, retention rate of SERS intensity at 1174 cm<sup>-1</sup> is 92.1% after  
 497 three cycles, indicating an acceptable attenuation of SERS detection capability. The  
 498 SERS signal of remaining CV molecules gradually raises with increasing the number  
 499 of photodegradation cycles. This may be due to the accumulation of residues, as well  
 500 as reduction of photocatalytic activity. In brief, fingerprint signal intensity has a small  
 501 difference among the three cycles, revealing a good self-cleaning feature of  
 502 Au/ND/C<sub>3</sub>N<sub>4</sub> substrate and a broad prospect for recyclable detection.

### 503 3.3. Application of self-cleaning Au/ND/C<sub>3</sub>N<sub>4</sub> substrate for tetracycline detection

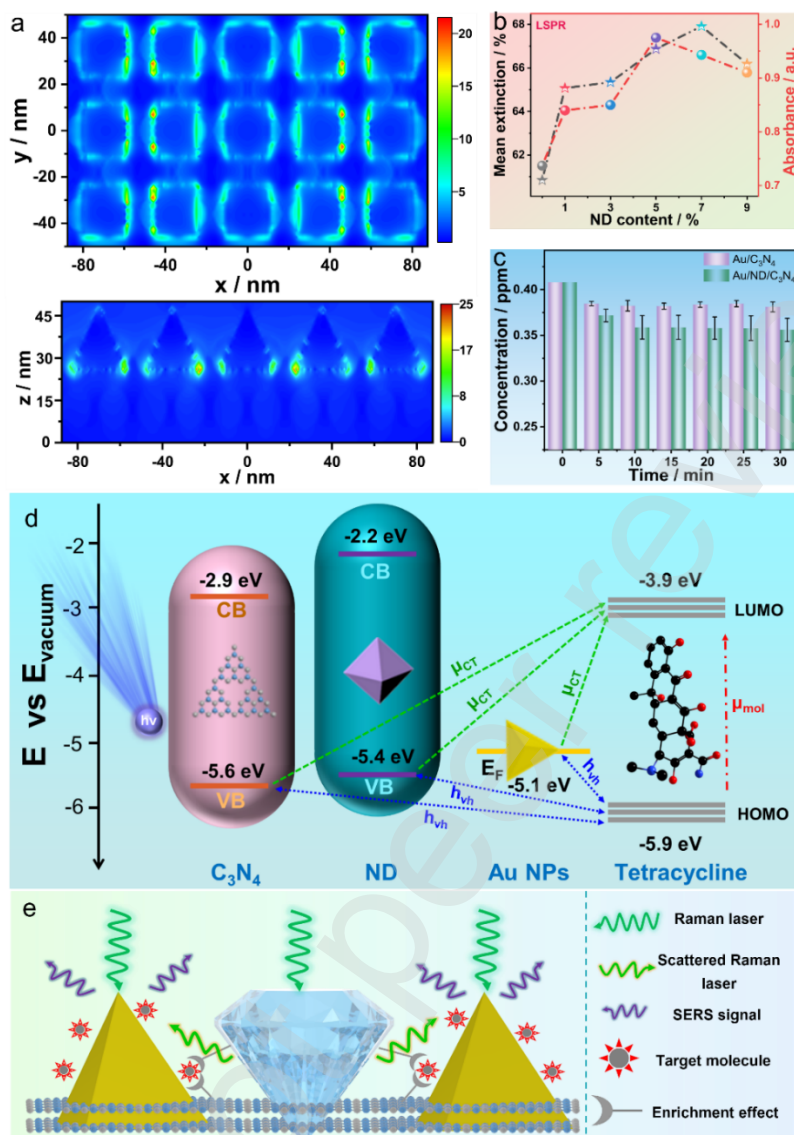


504  
 505 **Figure 7.** (a) SERS spectra of tetracycline in a concentration range from 10<sup>-6</sup> to 10<sup>-12</sup>  
 506 M recorded using Au/ND/C<sub>3</sub>N<sub>4</sub> substrate. (b) Linear calibration plots of the SERS  
 507 intensities at 1171 and 1617 cm<sup>-1</sup> versus logarithmic of tetracycline concentration. (c)  
 508 SERS spectra of a 10<sup>-6</sup> M tetracycline solution recorded on random 13 spots using  
 509 Au/ND/C<sub>3</sub>N<sub>4</sub> substrate. (d) The corresponding histogram of intensity distribution of the  
 510 13 random spots at 1171 and 1617 cm<sup>-1</sup>. (e) Photocatalytic degradation curves of a 10<sup>-5</sup>  
 511 M tetracycline in the presence of Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid (under visible-light  
 512 illumination). (f) Self-cleaning test of Au/ND/C<sub>3</sub>N<sub>4</sub> substrate through three cycles of  
 513 SERS detection and photocatalytic degradation of 10<sup>-7</sup> M tetracycline.

514 In view of the superior performance achieved by Au/ND/C<sub>3</sub>N<sub>4</sub> substrate, it is  
515 further adopted for tetracycline detection. To begin with, the detection sensitivity of  
516 Au/ND/C<sub>3</sub>N<sub>4</sub> substrate for tetracycline was assessed. Fig. 7a depicts the SERS spectra  
517 of tetracycline in a concentration range from 10<sup>-6</sup> to 10<sup>-12</sup> M on Au/ND/C<sub>3</sub>N<sub>4</sub> substrate.  
518 The attributions of selected peaks (1171 and 1617 cm<sup>-1</sup>) for tetracycline are listed in  
519 Table S1, as well as those of other possible characteristic peaks. Visible selected peaks  
520 can be observed even for a tetracycline concentration of 10<sup>-12</sup> M, indicating a high  
521 sensitivity of Au/ND/C<sub>3</sub>N<sub>4</sub> substrate. The linear fitting plot of logarithmic  
522 concentration and SERS intensity is shown in Fig. 7b. In a concentration range from  
523 10<sup>-6</sup> to 10<sup>-12</sup> M, the calibration equation for 1171 cm<sup>-1</sup> peak is:  $I_{SRES}=1015 \log C_{Tetracycline}$   
524  $+13388$ , and the R<sup>2</sup> is 0.984. The calibration equation for the 1617 cm<sup>-1</sup> peak is:  
525  $I_{SRES}=1143 \log C_{Tetracycline}+16281$ , with R<sup>2</sup>=0.995. The superior sensitivity of  
526 Au/ND/C<sub>3</sub>N<sub>4</sub> substrate is also been certificated by the performance comparison for TC  
527 detection with other SERS substrates, as listed in Table S2. Subsequently, SERS  
528 reproducibility of Au/ND/C<sub>3</sub>N<sub>4</sub> substrate was determined. Fig. 7c, d display SERS  
529 spectra of 10<sup>-6</sup> M tetracycline solution at 13 random points on Au/ND/C<sub>3</sub>N<sub>4</sub> substrate,  
530 and the histogram of peak intensity distributions at 1171 and 1617 cm<sup>-1</sup>. The RSD  
531 values of peak intensities at 1171 and 1617 cm<sup>-1</sup> are respectively 4.86% and 6.88%,  
532 proving high SERS signal reproducibility of the substrate.

533 Likewise, the self-cleaning property of Au/ND/C<sub>3</sub>N<sub>4</sub> hybrid was evaluated for the  
534 decomposition of tetracycline solution under visible light irradiation, as shown in Fig.  
535 7e. The degradation rate of tetracycline is 86.1% after 4 h irradiation in presence of  
536 Au/ND/C<sub>3</sub>N<sub>4</sub>, repeatedly confirming its good self-cleaning capability. Furthermore, a  
537 systematic investigation was conducted on SERS detection and photodegradation of  
538 tetracycline under three cycles. As shown in Fig. 7f, the retention rate of SERS intensity  
539 at 1617 cm<sup>-1</sup> is 87.1% after three cycles. The small difference of signal intensities  
540 suggests that Au/ND/C<sub>3</sub>N<sub>4</sub> substrate is suitable for self-cleaning detection of  
541 tetracycline.

#### 542 **3.4. Possible mechanism**



543

544 **Figure 8.** (a) Contour plots of the electric field distribution of Au/ND/C<sub>3</sub>N<sub>4</sub> substrate  
 545 simulated by FDTD. (b) Optical properties variation of Au NPs in Au/ND/C<sub>3</sub>N<sub>4</sub> hybrids  
 546 upon ND content. (c) The concentration variation of CV solution with adsorption time  
 547 in the presence of Au/C<sub>3</sub>N<sub>4</sub> (10 mg) and Au/ND/C<sub>3</sub>N<sub>4</sub> (10 mg) hybrids, respectively.  
 548 (d) Energy-level diagram and possible CT paths between Au/ND/C<sub>3</sub>N<sub>4</sub> substrate and  
 549 target molecule. (e) Schematic mechanism of Au/ND/C<sub>3</sub>N<sub>4</sub> substrate for the enhanced  
 550 SERS activity in the presence of ND.

551 To better understand the enhancement effect of ND on SERS signal, electric field  
 552 distribution of Au/ND/C<sub>3</sub>N<sub>4</sub> substrate under 633 nm laser was simulated by FDTD, as  
 553 shown in Fig. 8a. The FDTD result of x-y view mirrors the electric field distribution  
 554 for coupling region of Au NPs and ND, while x-z view may mirror the electric field

555 distribution for non-coupling region. The coupling region behaves a stronger field  
556 enhancement than that of non-coupling region. This phenomenon is ascribed to the high  
557 light utilization brought by ND. On the other hand, the supporting video shows the  
558 electric field variation over time of the two views, and the non-coupling region features  
559 a delayed field enhancement than coupling region. This delayed electric field  
560 enhancement may be attributed to scattering effect of ND for the Raman laser beam.  
561 The scattered laser beam irradiates Au NPs again to generate a sub-enhanced electric  
562 field. Those results prove well that ND scattering may assist enhancement of electric  
563 field and bring EM enhancement. In addition, the edges and tips of Au NPs have the  
564 highest electric field strength due to LSPR effect, suggesting that the heterohedral  
565 morphology of Au NPs could offer sufficient EM enhancement for analyte.

566 Fig. 8b depicts the optical properties variation of Au NPs in Au/ND/C<sub>3</sub>N<sub>4</sub> hybrids  
567 with ND content. Obviously, the introduction of ND efficiently strengthens the LSPR  
568 effect of Au NPs. The maximum extinction ratio and maximum absorbance of Au NPs  
569 are increased by 1.12 times and 1.32 times, respectively. Combined with Fig. 2g and  
570 Fig. S3, it is reasonable to speculate that the light scattering properties of ND effectively  
571 enhance light utilization of Au/ND/C<sub>3</sub>N<sub>4</sub> substrate, thus offering a stronger EM  
572 enhancement. This phenomenon may be ascribed to the high optical refractive index of  
573 ND (2.42) [46]. Furthermore, concentration variation of CV solution over time was  
574 recorded using Au/C<sub>3</sub>N<sub>4</sub> and Au/ND/C<sub>3</sub>N<sub>4</sub> hybrids to investigate the influence of ND  
575 on enrichment capacity, as shown in Fig. 8c. The CV concentration reaches equilibrium  
576 after 10 min for both ND/C<sub>3</sub>N<sub>4</sub> and Au/ND/C<sub>3</sub>N<sub>4</sub> hybrids, but the CV solution in  
577 presence of Au/ND/C<sub>3</sub>N<sub>4</sub> exhibits a lower residual concentration than that of Au/C<sub>3</sub>N<sub>4</sub>.  
578 This indicates that Au/ND/C<sub>3</sub>N<sub>4</sub> substrate has a stronger enrichment capability and may  
579 capture more analyte molecules for producing SERS signals. Such superior enrichment  
580 capability of Au/ND/C<sub>3</sub>N<sub>4</sub> substrate is attributed to a synergistic effect of ND functional  
581 groups and the holey C<sub>3</sub>N<sub>4</sub> structure on analyte adsorption.

582 Subsequently, possible polarization paths of analyte molecules are analysed from  
583 the perspective of energy levels. Fig. 8d displays energy level diagram of charge  
584 transfer between Au/ND/C<sub>3</sub>N<sub>4</sub> substrate and target molecules. The Fermi level ( $E_F$ ) of



585 AuNPs is located at -5.1 eV; the valence bands of ND and C<sub>3</sub>N<sub>4</sub> are located at -5.4 and  
586 -5.6 eV, and conduction bands are at -2.2 and -2.9 eV, respectively [46, 67]. The highest  
587 occupied molecular orbital (HOMO) and the lowest unoccupied molecular orbital  
588 (LUMO) levels of tetracycline are located at -5.9 and -3.9 eV, respectively [68]. Under  
589 laser radiation, the energy provided by excitation wavelength at 633 nm (1.95 eV) is  
590 not enough to trigger the intramolecular electronic transition between HOMO and  
591 LUMO of tetracycline. Instead, energy difference between each component of  
592 Au/ND/C<sub>3</sub>N<sub>4</sub> and HOMO of tetracycline matches well with the energy of Raman  
593 photons. Hence, Au/ND/C<sub>3</sub>N<sub>4</sub> may act as a charge transfer medium to push photon-  
594 induced CT ( $\mu_{CT}$ ) processes, as illustrated in Fig. 8d. The  $\mu_{CT}$  with multi-channels could  
595 enlarge Raman scattering cross-section to enhance SERS signal. Meanwhile, the  
596 excited photothermal electrons of Au NPs may also transfer to LUMO level of  
597 tetracycline and induce molecular polarization [67].

598 The above data allow us to propose a ND improvement mechanism for SERS  
599 activity, as illustrated in Fig. 8e. The proposed mechanism involves four aspects: (1)  
600 **EM effect of heterohedral Au NPs.** Due to LSPR effect, heterohedral Au NPs exhibit  
601 a strong electromagnetic field distribution at edges with small curvature radiuses such  
602 as nano-tips, and will excite SERS signals. (2) **Enrichment effect.** Abundant functional  
603 groups on ND may effectively capture analyte molecules and favour the enrichment of  
604 molecules on SERS substrate. More target molecules are enriched on the substrate and  
605 receive Raman irradiation, resulting in a stronger SERS signal. In addition, the holey  
606 morphology of C<sub>3</sub>N<sub>4</sub> and optical plasmons of Au NPs also contribute to the enrichment  
607 effect [69]. (3) **CT effect of Au/ND/C<sub>3</sub>N<sub>4</sub> heterostructure.** With the help of energy  
608 ladder from Au/ND/C<sub>3</sub>N<sub>4</sub> heterostructure, analyte molecules may readily undergo a  
609 charge transfer from HOMO to LUMO under excitation of Raman photons. Charge  
610 transfer process induces polarization of analyte molecules, resulting in SERS  
611 enhancement. (4) **Sub-enhancement from light scattering of ND.** ND can scatter  
612 Raman laser due to its high refractive index. In coupling region of Au NPs and ND, the  
613 laser beam and scattered light jointly excite Au NPs to generate SERS hot spots by  
614 means of high light utilization. In non-coupling region, the scattered light excites Au

615 NPs again to contribute an enhanced electric field with a sub-intensity, and offers a  
616 SERS signal. More commendably, the enhanced electric field in non-coupling region  
617 may boost long-range SERS detection to overcome the poor affinity of Au NPs, thus  
618 acquiring high sensitivity [70]. Here, we label those SERS enhancements from the  
619 scattered Raman laser as sub-enhancement.

#### 620 **4. Conclusions**

621 In conclusion, Au/ND/C<sub>3</sub>N<sub>4</sub> SERS substrate of featuring light-enhancement  
622 and self-cleaning properties was successfully constructed by the combination of  
623 ND, C<sub>3</sub>N<sub>4</sub> and Au NPs. The abundant functional groups and light scattering effect  
624 of ND endowed Au/ND/C<sub>3</sub>N<sub>4</sub> substrate with excellent enrichment capability and  
625 sub-enhancement of SERS signal. Thus, the prepared substrate exhibited a  
626 favourable SERS activity and allowed highly sensitive detection of CV and  
627 tetracycline at low concentrations of 10<sup>-14</sup> M and 10<sup>-12</sup> M, respectively. In  
628 addition, the hybrid exhibited high catalytic activity due to the enhanced electron  
629 separation efficiency, and featured a self-cleaning behaviour. The satisfactory  
630 reproducibility and stability of this substrate confirmed its good potential in  
631 actual analysis application. Adopting light scattering effect to improve SERS  
632 activity provides a good avenue for the fabrication of high-performance SERS  
633 substrates. Meanwhile, all the superior performances may allow Au/ND/C<sub>3</sub>N<sub>4</sub>  
634 hybrids to be applied for sensitive assays of antibiotic residues in wastewater.

#### 635 **Declaration of Competing Interest**

636 The authors declare that they have no known competing financial interests or  
637 personal relationships that could have appeared to influence the work reported in this  
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