

# Mixed Polymeric Micelles for Rapamycin Skin Delivery

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## Article Mixed polymeric micelles for rapamycin skin delivery

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**Abstract:** Facial angiofibromas (FA) are one of the most obvious cutaneous manifestation of tuber-<br/>ous sclerosis complex. Topical rapamycin for angiofibromas has been reported as a promising treat-<br/>ment. Several types of vehicles have been used hitherto, but polymeric micelles and especially those<br/>made of D- $\alpha$ -Tocopherol polyethylene glycol 1000 succinate (TPGS) seem to have shown a better<br/>skin bioavailability of rapamycin than the so far commonly used ointments.2021<br/>22<br/>23<br/>24

To better understand the influence of polymeric micelles on the behavior of rapamycin, we explored 25 it through mixed polymeric micelles combining TPGS and poloxamer, evaluating stability and skin 26 bioavailability to define an optimized formulation to effectively treat FA. Our studies have shown 27 that TPGS improves the physicochemical behavior of rapamycin, i.e., its solubility and stability due 28 to a strong inclusion in micelles, while poloxamer P123 has a more significant influence on skin 29 bioavailability. Accordingly, we formulated mixed-micelle hydrogels containing 0.1% rapamycin 30 and the optimized formulation was found to be stable for up to 3 months at 2-8°C. Compared to 31 hydroalcoholic gel formulations, the studied system has a better biodistribution on human skin and 32 seems to be able to prolong drug release while limiting the side effects caused by ethanol applica-33 tion. 34

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Keywords: Rapamycin; facial angiofibromas; mixed polymeric micelles; micelle-based hydrogel;36stability; skin permeation.37

#### 1. Introduction

Tuberous Sclerosis Complex (TSC) is an autosomal dominant disorder, characterized by40formation of hamartomas in various organs [1]. TSC results from a mutation in the TSC141or TSC2 gene, leading to mTOR (mammalian target of rapamycin) overactivation and con-42tinued cell proliferation [2]. Antagonism of the mTOR pathway, such as rapamycin, offers43new treatment options for patients with TSC. Among the associated clinical signs, facial44

angiofibromas (FAs) are one of the most obvious cutaneous manifestations of TSC, which 45 can be disfiguring hence cause substantial psychological distress with a major impact on 46 quality of life [3,4]. Invasive procedural treatments such as surgery or laser involve pain, 47 hypertrophic scars and are not entirely satisfactory due to the recurrence of skin lesions 48 [4,5]. For this reason, medical treatment with topical rapamycin has so far been a particu-49 larly studied option. In the absence of a marketed pharmaceutical product for the topical 50 route, various in-house formulations of rapamycin have been developed and clinically 51 tested. They appear effective and safe for the management of FA [6-10]. However, the 52 efficacy endpoints, duration of treatment, type of formulations and concentrations tested 53 were heterogeneous, making it difficult to compare these studies [6]. A study has identi-54 fied different formulations to compare their performance in terms of permeation [11]. The 55 results showed the influence of the vehicle and the thermodynamic activity on the cuta-56 neous bioavailability of rapamycin. Hydrogel vehicles, due to a high alcohol composition 57 to dissolve slightly soluble rapamycin, produced a better release than the lipophilic semi-58 solid base. However, the disadvantage of ethanol is that it induces dryness and irritation 59 of the skin [9,12,13]. 60

Nanocarriers, such as liposomes, micelles and nanoparticles have been used to improve 61 skin permeation of poorly permeable drug substances. They also improve other criteria 62 such as higher stability, a larger surface area in contact with the skin, less toxicity and 63 greater skin protection [14-17]. Encapsulated, the drug can penetrate the hair follicle and 64 the skin, be released in a prolonged manner, interact better with the lipid transport of the 65 skin, and have a better distribution in the stratum corneum for hydrophilic substances 66 [14,18,19]. Due to their high solubility, high loading capacity and controllable release with 67 a long duration of action, biodegradable polymeric micelles have gained considerable 68 popularity [18]. Polymeric micelles are formed from copolymers, each consisting of hy-69 drophilic and hydrophobic monomers, which self-assemble when the critical micelle con-70 centration (CMC) is exceeded to form a specific core-corona structure. Their advantages 71 lie in their very low CMC (about 1000 times lower than known values for low molecular 72 weight surfactants), which allows them to be used in small quantities while ensuring good 73 stability in aqueous media [20,21]. Using Tocopherol polyethylene glycol 1000 succinate 74 (TPGS)-based micelles, Quartier et al. have developed a rapamycin micellar hydrogel for 75 the treatment of facial angiofibromas. This system, stable for at least 3 months at 4°C, im-76 proved the cutaneous bioavailability of rapamycin in the stratum corneum and viable ep-77 idermis compared to controls (0.2 % rapamycin dispersed in Vaseline and paraffin liquid) 78 [16]. However, as TPGS is also widely used as a cosurfactant for mixed micelles and ex-79 hibits synergistic effects with other polymers, such as poloxamer F127 or P123, we sought 80 to assess whether such a combination could give rise to better characteristics in terms of 81 stability and skin permeation. So, the aim of the present work was to study the relevance 82 of rapamycin-loaded mixed micelles as an interesting approach for the cutaneous rapamy-83 cin delivery. 84

#### 2. Materials and Methods

- 85
- 2.1. Polymeric micelles and hydrogel preparation

## 86 87

#### Preparation of rapamycin micelle solution

Rapamycin polymeric micelles were prepared by thin film hydration method. Rapamycin
and copolymers were dissolved in ethanol (Cooper, Melun, France). Ethanol was subsequently evaporated at 40 °C by using a rotary evaporator (BuchiTM Rotavapor, R-114, 90
Zurich, Switzerland) so to obtain a thin and dried residue. The dried residue was hydrated 91
with demineralized water to obtain a mixture containing 0.1 % (w/v) of rapamycin (Inresa, 92
Bartenheim, France). Addition of water spontaneously generated rapamycin-loaded 93

nanomicelles. After equilibration overnight at 2-8 °C, this mixture was filtered through a 0.22-µm-polyvinylidene-fluoride (PVDF; Sigma-Aldrich, St Louis, USA) filter to remove 95 unentrapped drug and aggregates and other foreign particulates. 96

#### Preparation of polymer micelle-based hydrogel containing rapamycin

To feature adequate rheological properties to facilitate skin application, rapamycin micelle solutions were jellified by incorporating thickening agent (i.e. Carbopol® 974P; 99 Cooper, Melun, France) to achieve a final concentration of carbomer of 1 % w/v. Carbopol® was previously neutralized by drop-wise addition of sodium hydroxide (1N) 101 (Merck, Darmstadt, Germany). 102

#### 2.2. HPLC for rapamycin and seco rapamycin determination in micelles

HPLC for rapamycin and seco rapamycin determination was based on Dionex Ultimate 104 3000 coupled with a Photodiode Array Detector PDA-3000 (Thermo-Fisher-Scientific, 105 France). Interchim 250 x 4.6 mm KR-C18 (5 µm) column was used and maintained at 40 106 °C during analysis. Elution was performed in a gradient, with the ratios of mobile phases 107 A and B changing from 50:50 to 10:90 over 25 minutes. Mobile phase A consisted of 0.003% 108 formic acid (Merck, Darmstadt, Germany) in purified water while mobile phase B of 109 0.003% formic acid in acetonitrile (Merck, Darmstadt, Germany). The flow rate was set at 110 1.0 mL min<sup>-1</sup> and the injection volume was 50  $\mu$ L. UV detection was set at 277 nm. The 111 HPLC method was validated of rapamycin assay based on ICH guidelines [22]. 112

2.3. Micelle solution: influence of the polymeric composition on different parameters monitored 113

#### 2.3.1. DoE to assess the influence of the type of polymer used 115

A two-factor full factorial design was employed to statically analyze the influence of the 116 two polymers (i.e. poloxamer and TPGS) and to get optimized rapamycin polymeric mi-117 celle composition using Deseign-Expert® software (version 12, Stat-Ease Inc., Minneap-118 olis, MN). Nine formulae were prepared in six replicates giving a total of 54 runs. Different 119 weight ratios of TPGS were mixed with either poloxamer F127 (BASF, Geismar, USA) or 120 P123 (Sigma-Aldrich, St Louis, USA). Thus, the independent variables were: poloxamer 121 type (A) and weight ratio of TPGS to total copolymer in nanomicellar mixture (B). The 122 composition of the investigated formulae is shown in Table 1. During the screening stud-123 ies, polymers content was kept constant at 25 mg mL<sup>-1</sup>. The responses selected to achieve 124 optimized micelle formulation were maximize entrapment efficiency  $(Y_1)$ , minimize mi-125 cellar size (Y<sub>2</sub>), minimize polydispersity index (Y<sub>3</sub>), zeta potential (Y<sub>4</sub>), maximize thermo-126 dynamic stability (Y<sub>5</sub>) and maximize in vitro flux (Y<sub>6</sub>), as shown in Table 1. Analysis of 127 variance (ANOVA) was carried out to estimate the significance of the model. Probability 128 p-values (p<0.05) denoted significance. 129

Table 1: Formula, independent and dependent variables investigated in the full factorial130design for rapamycin polymeric micelles preparation and the constraints applied for op-131timization.132

Formulae (Fn)	Factors (independent variables)								
	A : Poloxamer type	B : Weight ratio of TPGS to total							
	(-1 : P123, 0 : No poloxamer,	copolymer (% <i>w/w</i> )							
	1 : F127)								
F1	-1	0							

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F2	-1	30	
F3	-1	50	
F4	-1	70	
<b>F</b> 5	0	100	
F6	1	0	
F7	1	30	
F8	1	50	
F9	1	70	
Responses	(Yn, dependent variables)	Constraints	
Characterization	Y1: Entrapment efficiency (%)	Maximize	
	Y2 : Micellar size (nm)	Minimize	
	Y3: Polydispersity index	Minimize	
	Y4: Zeta potential (mV)	None	
Rapamycin	Y <sub>5</sub> : 4 °C for 6 months	Maximize	
EE % (chemical stability)	Y6 : 40 °C for 7 days	Maximize	
In vitro study	Y7 : Percutaneous flux (µg cm <sup>-2</sup> h <sup>-1</sup> )	Maximize	

2.3.2. DoE to assess the influence of the polymer amount (drug-to-polymer ratio) 133

Additional experiments were performed to investigate the impact of polymer-to-drug ratio on the physicochemical properties of the optimal formulation, which have been previously determined using the two-factor full factorial design. Thus, the total polymer concentration was tested at concentrations of 2.5, 5, 10 and 25 mg mL<sup>-1</sup>, while keeping the rapamycin concentration constant. Preparations were compared in terms of drug entrapment efficiency (EE %, see below), size, morphology, and in vitro flux.

2.3.3. Morphology, size, polydispersity index and zeta potential determination 140

Micelle morphologies were determined from Cryo-Transmission Electron Microscopy 141 (cryo-TEM) images. A drop of micelle solution was deposited on Lacey carbon membrane 142 grids. The excess of liquid on the grid was absorbed with a filter paper and the grid was 143 quench-frozen quickly in liquid ethane to form a thin vitreous ice film. Once placed in a 144 Gatan 626 cryo-holder cooled with liquid nitrogen, the samples were transferred in the 145 microscope and observed at low temperature (-180 °C). Cryo-TEM images were recorded 146 on ultrascan 1000, 2k x 2k CCD camera (Gatan, USA), using a LaB6 JEOL JEM2100 (JEOL, 147 Japan) cryo microscope operating at 200 kV with a JEOL low dose system (Minimum Dose 148 System, MDS) to protect the thin ice film from any irradiation before imaging and reduce 149 the irradiation during the image capture. 150

The hydrodynamic diameter and size distribution (polydispersity index, PDI) of the RPNs151solutions were measured using dynamic light scattering (DLS) method by a Zetasizer152Nano ZS (Malvern Instruments, UK) at  $25 \pm 0.1$  °C with angle of 173°. Zeta potential was153measured by electrophoretic mobility method with the same apparatus.154

2.3.4. Drug entrapment efficiency (EE%) and drug loading (DL%) determination 155

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Entrapment efficiency of rapamycin in polymeric micelles was determined after separa- tion of the unincorporated drug by filtration through 0.22 $\mu$ m PVDF filter membrane. Then, clear supernatant was diluted with methanol to ensure the complete destruction of the micelles and the release of loaded rapamycin. The amount of entrapped rapamy- cin was quantified by LIV HPLC. The EF% and DL% was then calculated according to	156 157 158 159
the following equation:	161
EE%=(Weight of drug into micelles)/(Weight of the feeding drug) x 100	162
DL%=(Weight of drug into micelles)/(Weight of the feeding drug and polymers) x 100	163
2.3.5. Study of drug-polymer interactions/inclusion by attenuated total reflectance- fourrier transform infrared (ATR-FTIR) and nuclear magnetic resonance (NMR)	164 165
Analyses were performed on free rapamycin merely mixed with polymer and rapamy-	166
cin-loaded micelle obtained by thin film hydration method (see chapter 2.1). In both	167
cases, samples were prepared/dispersed with deuterated oxide solvent (D <sub>2</sub> O) to limit water-OH stretch vibration.	168 169
FTIR	170
The ATR-FTIR was recorded against the background by using a universal ATR sampling	171
assembly (Spectrum-Two; Perkin-Elmer, Waltham, MA, USA). For each sample, 25 scans	172
were obtained at a resolution of 4 cm <sup>-1</sup> in the range of 4000 to 400 cm <sup>-1</sup> at room tempera-	173
ture.	174
NMR	175
NMR experiments were recorded at 20 °C on an Avance Bruker spectrometer operating	176
at 600.13 MHz, equipped with a cryoprobe. One-dimensional spectra were obtained	177
with 2,048 points and a spectral width of 7,002.8 Hz. The transmitter frequency was set	178
to the water signal and the solvent resonance was suppressed by using excitation sculpt-	179
ing with pulsed-field gradients [23]. All data were processed using Bruker Topspin (v	180
1.5pl7) software (Biopspin; Bruker). Temperature was controlled externally using a spe-	181
cial temperature control system (BCU 0.5; Bruker). Data were zero filled and $\pi/6$ phase-	182

2.3.7. In vitro flux determination

Permeation of the drug was studied through a Strat-M® synthetic membrane, using the 185 standardized methodology of Franz diffusion cells. These had an effective diffusion area 186 of 1.767 cm<sup>2</sup> and a receptor volume of 7.0 mL (Teledyne Hanson® research, Chatsworth, 187 CA, USA). The receptor compartment was set at 32 °C, using a circulating water bath, to 188 mimic the skin temperature at physiological level and stirred at a speed of 400 rpm. The 189 receptor compartment was filled with 30:70 (v:v) filtered ethanol:phosphate buffer pH 7.4 190 solution (PBS, Dako®, Carpinteria, CA, USA). The diffusion cells were allowed to equili-191 brate at 32 °C for 30 min. Then, at time zero, 0.3 g of preparation was added to the donor 192 compartment of each Franz diffusion cell. At pre-determined time intervals, 500 µL of 193 receptor fluid was collected and a same volume of fresh preheated medium was reintro-194 duced into the receiver to retain the sink conditions in the system. Samples were analyzed 195 using HPLC method to determine the amount of rapamycin diffused. The steady state 196 flux at 24 h, Jss ( $\mu$ g cm<sup>-2</sup> h<sup>-1</sup>), was determined by measuring the slope from the plot of the 197 cumulative amount permeated versus time [11]. 198

shifted sine bell window function was applied prior to Fourier transformation.

#### 2.4.3. Ex vivo permeation study on micelle hydrogel

The optimized formulation was applied on dermatomed human skin obtained from hu-200 man volunteers followed the ethical principles and was previously approved by French 201 Ethics Committee (N°AC 2014-2233 – DC 2014-2227). Dermatomed human skin (thickness 202 500 µm) from abdominoplasty was purchased from Proviskin (Besancon, France). All the 203 experimental conditions were maintained same as in vitro permeation study except for 204 the receiver chamber who was filled with filtered PBS solution pH 7.4 without ethanol. At 205 the end of permeation experiment, the diffusion cells were dismantled, and each sample 206 was carefully washed to ensure the removal of the residual formulation from the skin 207 surface. Skin samples were dried with a cotton swab. To verify the effectiveness of the 208 wash procedure, the rapamycin remaining on the skin was quantified by HPLC and was 209 found to be below to the limit of quantification of the analytical method. The epidermis 210 was subsequently separated from the dermis and each compartment were cut into small 211 pieces and soaked in methanol for 12 hours with continuous stirring at room temperature. 212 The extraction procedure was validated in our previously study (data not shown) [11]. 213 The extraction skin samples were then centrifuged at 10,000 rpm for 15 min and analyzed 214 by HPLC. 215

2.5. Accelerated and long-term stability studies of micelle solution and hydrogel

Stability of the micelle solutions was evaluated after storage at 2-8°C, up to 6 months, or 217 at 40°C/75% residual humidity up to 7 days. As for the hydrogel, stability was monitored 218 up to 3 months at 2-8°C. Each sample was previously filtered through a 0.22- $\mu$ m PVDF 219 filter membrane and the amount of entrapped rapamycin (EE %) was determined. The 220 average value was expressed as a percentage relative to the initial value before storage in 221 the chambers. 222

A micelle-based hydrogel was prepared in triplicate (n=3) from the optimal micelle for-223 mulation and characterized in terms of appearance (color, transparency), pH, rapamycin 224 content, rheological properties, and stability. Hydrogels were packaged in aluminium 225 tubes (Cooper, France) and stored as such for the stability studies. For each time, samples 226 were withdrawn from the tubes and appearance (by visual inspection and optical micros-227 copy), rapamycin EE %, rheology and pH were determined. pH was measured using a 228 calibrated pH-meter Consort-P901. Optical microscopy consists of Olympus<sup>™</sup> coupled to 229 a Sony camera XCD-U100CR. Rheological experiments were conducted at 25 °C using 230 rheometer RM-200 CP-4000 Plus (Lamy Rheology Instruments, Champagne-au-Mont-231 d'Or, France) equipped with cone-plate geometry CP-2020 (with diameter 20 mm, cone 232 angle  $2^{\circ}$ , truncation 50 µm). The speed setting was adjusted over a range of 10 to 150 s-1 233 for 180 s. 234

#### 3. Results

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#### 3.1. Micelle optimization and characterization 236

#### 3.1.1. Spectral highlight of rapamycin-loading micelles

The inclusion of rapamycin in micelles has been demonstrated by ATR-FTIR and NMR 238 spectroscopy. 239

The ATR-FTIR spectrum of a non-loaded-rapamycin mixture prepared by extemporaneously dispersing/solubilizing rapamycin and TPGS/poloxamer P123 (1/1 w/w) in D<sub>2</sub>O (red 241 line) shows a broad but weakly intense peak stretching from 3200 to 3550 cm<sup>-1</sup>, corresponding to OH vibrations with intermolecularly engaged H (Figure 1). In the case of 243

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rapamycin-loaded micelles, the same peak became much more intense, which would im-244 ply a strengthening of this phenomenon, probably due to stronger polar interactions be-245 tween rapamycin and the hydrophile part of the polymers. Furthermore, the near disap-246 pearance of a cluster of peaks located between 2875 and 2932 cm<sup>-1</sup> observed in the case of 247 rapamycin-loaded micelles, most probably related to the C-CH<sub>3</sub>, O-CH<sub>3</sub> and aliphatic C-248 H stretching vibrations and characteristic of certain functions of the active substance, 249 seems to clearly indicate that the latter is deeply included in the inner part of the micelles, 250 becoming non-visible to the FTIR detection. Likewise, the conjugated C=O and conjugated 251 C=C stretching vibrations (1718 cm<sup>-1</sup> and 1635 cm<sup>-1</sup>, respectively) are no longer present for 252 the rapamycin-loaded micelles. In a nutshell, examination of the spectrum recorded for 253 the rapamycin-loaded micelles gives a completely different configuration, showing the 254 disappearance of the peaks pertaining to rapamycin, implying its deep inclusion within 255 micelles. 256

The same phenomenon was also observed in NMR (Figure 2). Chemical shifts related to 257 rapamycin are no longer detected in the rapamycin-loaded micelles. More precisely, the 258 characteristic chemical shifts of the hydrophobic hydrogens (C-H) have disappeared, 259 demonstrating the interaction of rapamycin with the hydrophobic parts of the micelles. 260 Since the core of the micelles dispersed in aqueous media is hydrophobic, it is easy to 261 conclude that rapamycin is included in the inner part of the micelles featuring hydropho-262 bic functions. And this helps to explain the poor EE% observed with micelles consisting 263 only or mostly of F127 (see below). 264

However, if we succeed in showing the existence of an interaction between rapamycin 265 and the hydrophobic part of the polymers, these data did not allow to know whether the 266 micelles present are hybrid or mixed micelles, or if there is cohabitation between TPGS-267 micelles and P123-micelles. But mechanistically speaking, it is likely that due to the prox-268 imity of their HLBs, the joint use of P123 and TPGS would probably allow both systems 269 to be present. On the other hand, when TPGS and F127 are mixed, the two polymers 270 should rather, but not exclusively, afford two separate populations due to the significant 271 differences in HLBs. However, these assumptions are thereafter investigated by studies 272 of micelle size and polydispersity index. 273



Figure 1: ATR-FTIR spectra of rapamycin-unloaded micelle (red line) and rapamycin-loaded 275 micelle (black line) in deuterated water. 276



Figure 2 : Nuclear magnetic resonance (NMR) spectra of rapamycin (blue line), drug-free micelles 278 (red line) and rapamycin-loaded micelles (green line) in deuterated water. 279

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3.1.2. Influence of polymer composition							281				
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As discussed in detail in this chapter, the results of the DoE confirmed the interest of 282 working with mixed micelles provided the right type of poloxamer is chosen. 283

#### On the EE%

We studied the impact of the ratio of the two copolymers and the type of poloxamer on 285 micelle characteristics, such as size, rapamycin entrapment efficiency (%), zeta potential, 286 and PDI, as well as on the thermodynamic stability of the mixture and the in vitro bioa-287 vailability. The corresponding results are gathered in Table 2. The influence of the param-288 eters on the monitored criteria was modelled using quadratic least squares models, and 289 the model was only considered valid if the difference between the adjusted  $R^2$  and the 290 predicted R<sup>2</sup> does not exceed 0.2 [24], which is the case for almost all the responses studied 291 apart from the zeta potential (Table 2). A significant impact on most of the criteria studied 292 implies the possibility of adjusting the polymer composition to obtain an optimum desired 293 by the experimenter. 294

					5: Stability <sup>a</sup>		
Formulae	1 : EE (%) ª	2 : Size (nm) ª	3 : PDI ª	4 : ZP (mV) <sup>a</sup>	EE (%) 4°C – 6 months	EE (%) 40°C -7 days	6 : In vitro flux μg cm <sup>-2</sup> h <sup>-1</sup> ) <sup>a</sup>
F1	83.2 ± 2.1	17.7 ± 0.5	$0.030 \pm 0.013$	$-1.2 \pm 0.9$	78.7 ± 1.5	53.1 ± 0.9	2.07 ± 0.13
F2	89.3 ± 2.2	$16.0 \pm 0.4$	$0.021 \pm 0.005$	- 1.4 ± 2.0	75.1 ± 2.4	53.5 ± 0.9	$1.85 \pm 0.04$

Table 2: Studied parameter responses and modelling data based on a quadratic model.

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F3	$89.1 \pm 2.7$	$12.6\pm0.3$	$0.026 \pm 0.013$	$-1.2 \pm 0.8$	$81.0 \pm 2.5$	$65.4 \pm 1.6$	$1.83 \pm 0.13$
F4	$87.6 \pm 2.8$	$10.9 \pm 0.2$	$0.034 \pm 0.019$	$-2.2 \pm 1.0$	$82.5\pm2.5$	$73.1 \pm 1.2$	$1.65\pm0.29$
F5	$88.8 \pm 2.3$	$10.7 \pm 0.1$	$0.020\pm0.011$	$-2.5 \pm 1.5$	$84.3\pm2.9$	$77.1 \pm 2.7$	$0.85 \pm 0.11$
F6	$82.1 \pm 2.5$	$29.7\pm2.6$	$0.158 \pm 0.004$	$-1.5 \pm 1.0$	$3.1 \pm 1.6$	$0.5 \pm 0.3$	$0.85\pm0.04$
F7	$88.7\pm2.4$	$14.9\pm0.9$	$0.126 \pm 0.015$	$-0.4 \pm 0.5$	$28.0\pm0.9$	$11.0\pm0.3$	$0.96 \pm 0.11$
F8	$88.6\pm2.7$	$12.5\pm0.6$	$0.083 \pm 0.029$	$-0.8 \pm 0.4$	$75.8 \pm 2.4$	$50.2 \pm 2.6$	$0.89\pm0.07$
F9	$88.2 \pm 2.4$	$11.7 \pm 0.3$	$0.049 \pm 0.009$	$-0.7 \pm 0.6$	$78.3 \pm 3.2$	$65.8 \pm 2.3$	$0.86 \pm 0.14$
	Fit st	atistics					
Adjusted R <sup>2</sup>	0.1643	0.8974	0.9150	0.0731	0.9134	0.9567	0.9028
Predicted R <sup>2</sup>	0.0195	0.8660	0.9026	-0.3066	0.9000	0.9501	0.8685
Adequate	5.0306	20.2157	25.5917	0.0910	2.7519	2.1166	17.9242
precision							

<sup>a</sup> All measurements are represented as mean ± standard deviation (n=6).

EE : entrapment efficiency, PDI : polydispersity index, ZP : zeta potential.

One of the criteria that has been extensively evaluated is EE%, potentially the source of 298 good stability and efficacy of rapamycin. Based upon the data presented in Table 2, EE% 299 was in all cases above 80%. These outcomes are like those obtained by Quartier et al. using 300 TPGS-only micelles [16]. Although TPGS was shown to produce a statistically better EE% 301 than either F127 or P123 (ANOVA, p<0.05), the presence of one of the poloxamers tested 302 (F127 or P123) alone appeared to almost match the performance of TPGS (Figure 3). 303

However, when the EE% is monitored after a certain storage time of the tested mixtures 304 at 4°C (6 months), it is found to be closely dependent on the polymer composition of the 305 micelles (Tables 1 and 2; Figure 4). The stability of EE%, which in a way reflects the affinity 306 of rapamycin for the polymers, is indeed overall ensured by TPGS and/or P123 (Tables 1 307 and 2; Figure 4). It seems then that the partitioning of rapamycin between the micelles and 308 the supernatant is promoted with the decrease of the storage temperature. The corollary 309 of this is that accelerated temperature conditions (40°C) will be unfavorable to EE% and 310 that heating seems to be a factor that accentuates certain phenomena not perceived at 311 lower temperatures. Indeed, at 40°C, the retention of rapamycin decreases more strongly 312 in the presence of P123 than it does in the presence of TPGS, all this excluding F127 (7 313 days at 40°C). 314

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Figure 3 : Contour plot showing relative effect of poloxamer type and weight ratio of TPGS on317the entrapment efficiency.318



Figure 4 : Contour plot showing relative effect of poloxamer type and weight ratio of TPGS on320the rapamycin EE % after 6 months at 4 °C (A) and 7 days at 40 °C (B).321

When the micelles consist of F127, if the relative content of TPGS does not exceed 50%, the release of the active substance into the supernatant is considerable and may correspond 323 to a loss in the micelles of most of the active substance (Tables 1 and 2). This phenomenon 324 can be seen over time even at 4°C, which eventually makes F127 not a good candidate. 325 Poloxamers P123 and F127 are linear triblock copolymers of poly(ethylene oxide) (PEO) 326 and poly(propylene oxide) (PPO), often denoted PEO-PPO-PEO. F127 is PEO-rich while 327 P123 is PPO-rich, giving them HLB values of 22 and 8, respectively [25]. It is then likely 328

that the high hydrophilic proportion of F127 caused a progressive hydration of the micelles incompatible with the hydrophobic nature of rapamycin (log P 4.3), which led to its massive release over time. But, as seen above, the EE% stability is in favor of TPGS compared to P123 at elevated temperature, while TPGS has a higher HLB value (HLB 18 versus HLB 8). This is therefore a balance that needs to be struck to promote rapamycin entrapment and it seems that TPGS is well suited for this purpose [16,26,27].

Any decrease in EE% appears to be inversely related to the appearance of seco rapamycin, 335 the major degradation product of rapamycin, detected in the supernatant (Figure 5). This 336 is not surprising since polymeric micelles, those based on TPGS [16], have been shown to 337 effectively protect rapamycin from chemical degradation. It is then likely that a release of 338 rapamycin outside the micelles seems to expose it to conditions favoring its degradation 330 to seco rapamycin. 340



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Figure 5 : Percentage of secorapamycin, the main degradation product of rapamycin, formed for342each of the formulations studied.343

On the micelles size and polydispersity index

Particle size was investigated as it is generally considered that this parameter contributes 345 significantly to the drug skin penetration. It has been established that the smaller the particle size, the better the passage of active substances to the deeper layers of the skin [28,29]. 347 Besides, the solubility of an active substance within its vehicle can be a contributing factor 348 to this and solubility is often inversely proportional to the size of the micelles, owing to 349 the increase of the surface area to volume ratio [29]. 350

The average hydrodynamic diameter of the polymeric micelles we studied ranged from 351  $10.7 \pm 0.1$  nm to  $29.7 \pm 2.6$  nm, which agrees with the results of other studies [16,30–32]. 352 But there seems to be a link between the hydrophobic part of the polymer studied and the 353 size of the micelles [33]. Indeed, the micelles stemming from P123 (F1: 17.7 ± 0.5 nm) 354 showed a statistically lower hydrodynamic diameter than those made with F127 (F6: 29.7 355 ± 2.6 nm) (Table 2; Figure 6). And in both cases, as TGPS mass ratios increase, micelle size 356 decreases, probably due to enhanced hydrophobic interactions resulting in more compact 357

structures, which may explain the increase in EE% values in both cases, as seen previously (Table 2). 358

If we look at the size variability of the micelles studied by determining their PDI, it appears that across the polymeric compositions described in Table 1, the PDI is narrow ranging from  $0.020 \pm 0.011$  to  $0.158 \pm 0.004$  (Table 2). Such a distribution seems to be the consequence of a co-micellisation between TPGS and poloxamer [34]. But contrary to the case of P123, when the TPGS mass ratio is low (F6-25 and F7-25), the PDI of the F127-based systems is significantly higher (Table 2), thus suggesting the cohabitation of several populations, which corroborates and reinforces the hypotheses put forward previously. 360

Therefore, at this stage of the study, if the concept of TPGS-poloxamer co-micellisation is367to be generalized towards rapamycin or a hydrophobic molecule, a poloxamer with a low368HLB should be chosen to better interact with TPGS and allow the formation of small mi-369celle size with a narrow PDI, as these characteristics seem to correlate with the stability of370the EE%.371

#### On the zeta potential

In addition to the above criteria, the evaluation of the zeta potential allowed us to estimate 373 the risk of micelles aggregation. Regardless of the polymeric composition studied, the zeta 374 potential ranges from  $-2.5 \pm 1.5$  to  $-0.4 \pm 0.5$  mV, which is not different from what has been 375 published so far and where it is shown that the risks of aggregation are low (figure 6) 376 [30,35,36]. In addition, it is likely that the absence of strong repulsive charges between the 377 micelles and negatively charged cell membranes may induce diffusion through the skin 378 via paracellular routes [37]. 379



Figure 6 : Contour plot showing relative effect of poloxamer type and weight ratio of TPGS on381the size (A), the polydispersity index (B) and the zeta potential (C).382

#### On the rapamycin in vitro permeation using Franz diffusion cell

The objective of these studies was to identify the best performing polymer composition 384 for in vitro permeation. In this case, in contrast to stability performance, it seemed that 385 poloxamer played a more interesting role than TPGS (Figure 7). But this only concerns 386 P123. Indeed, as shown in Table 2, the highest flux  $(2.07 \pm 0.13 \ \mu g \ cm^{-2} \ h^{-1})$ , leading to 387 highest cumulative amount at 24h, was obtained with the P123-only micelles, which turns 388 out to be about twice the values obtained with TPGS alone and F127 alone. 389

Although the combination with TPGS decreased the flux by about 10% for any TPGS mass390ratio not exceeding 50%, the concomitant use of TPGS remains in the course as the latter391favors the inclusion of rapamycin within the micelles for better stability.392

This confirms the interest of working with mixed micelles provided the right type of 393 poloxamer is chosen. 394





3.1.3. Influence of polymers concentration

The previous studies were performed with 25 mg mL-1 of polymers in mixtures containing399different types of micelles. In this case, we selected the 50/50 TPGS/P123 (F3) based mix-400ture offering the best compromise in terms of performance to study more precisely how401the system may be influenced by polymers concentration.402

Overall, it is interesting to note that the EE% is comparable to the values presented in403Table 2 and no trend was detected as a function of polymer concentration (Table 3). This404means that the lowest polymer concentration tested (2.5 mg mL-1) is higher than the critical405micellar concentration of one or both polymers used. Indeed, microscopic analysis by406cryo-TEM shows spherical micelles formed in this concentration condition in the presence407of rapamycin (Figure 8).408

However, at the concentration of 2.5 mg mL<sup>-1</sup>, the average micelle size increased sixfold 409 compared to the other concentrations tested, with an increased PDI, an indicator of greater 410 system disorder (Table 3). Even if this phenomenon was not immediately correlated with 411 a drop in EE%, as shown previously, it is highly likely that, despite the absence of tests 412 demonstrating it, this drop would be visible over time and/or under accelerated conditions. 414

If we were able to determine the minimum concentration allowing to obtain a stable micellar system based on the size of the micelles and the PDI, it was not possible through 416 416

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these two criteria and the EE%, to identify the concentration from which, the system does 417 no longer meet the desired performance. 418

That is why, we also monitored the diffusion of rapamycin through Strat-M® membrane. 419 Figure 9 describes the evolution of the in vitro steady-state flux as a function of the poly-420 mer concentration. In the concentration range 2.5 - 10 mg mL<sup>-1</sup>, the steady-state flux 421 evolved in an almost linear way. This means that the polymer concentration promotes 422 transmembrane passage of rapamycin [22,41], but only up to a certain value, since 25 mg 423 mL<sup>-1</sup> produced the same result as for 10 mg mL<sup>-1</sup> (Figure 9). This could mean that above a 424 certain concentration of polymer, i.e. micelles, the receiving medium is no longer able to 425 extract more rapamycin likely due to a greater retention capacity exerted by a higher num-426 ber of micelles. 427

However, although the optimal polymer concentration is around 10 mg mL-1, we pre-428ferred to continue working at 25 mg mL-1 to give maximum stability to the micellar system429to cope with a change of environment due to the formulation of a hydrogel containing 0.1430% of rapamycin.431

Formula	Polymers (TPGS + P123 ; TPGS/P123 50/50) concentration (mg mL <sup>-1</sup> )	EE (%) ª	DL (%) ª	Size (nm) <sup>a</sup>	DIª
F3 – 25	25	89.1 ± 2.7	3.4 ± 0.1	12.6 ± 0.3	0.026 ± 0.013
F3 – 10	10	89.1 ± 1.1	8.1 ± 0.1	13.9 ± 0.2	0.016 ± 0.090
F3 – 5	5	88.1 ± 1.9	14.7 ± 0.3	14.5 ± 0.2	0.015 ± 0.008
F3 – 2.5	2.5	88.4 ± 2.2	25.3 ± 0.6	84.7 ± 4.3	0.156 ± 0.010

**Table 3 :** Effect of polymer-to-drug ratio on micelle properties.

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Figure 8 : Cryo-TEM micrograph of micelles in the presence of rapamycin (formulation F3 – 2.5). 435

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Figure 9: Impact of steady-state flux as a function of polymer concentration through Strat-M®438membrane. Average values were determined from 6 separate trials.439

- 3.2. Characterization of the selected micellar hydrogel 440
- 3.2 1. Physicochemical stability

A hydrogel formula containing 0.1% rapamycin was prepared based on TPGS-P123 mixed442polymeric micelles. Its objective is to allow the administration of rapamycin by the cuta-443neous route. The gel features a smooth and homogeneous texture containing entirely dis-444solved rapamycin.445

For at least 3 months at 4°C in the dark, it was monitored to verify its stability in terms of assay, appearance to detect any precipitation, degradation product and rheological behavior. Up to 3 months, as shown in Figure 10, no significant decrease in rapamycin was noticed. Seco rapamycin was detected, but beneath 1%. No change in the rheological behavior was observed either (Figure 11). 450

This result is promising to consider the possibility of hospital preparation to treat patients 451 with angiofibromas. 452

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Figure 10 : Rapamycin recovery rate % monitoring, calculated with respect to the initial-time455value (n=3).456



Figure 11 : Rheological measurement over time of the rapamycin micellar hydrogel 0.1% 459 (formulation F3) at day 0 (empty round) versus day 90 (full round).

3.2 2. Ex vivo study

To assess the permeation of rapamycin micellar hydrogel, an ex vivo study on human skin 462 was performed. 463

We monitored the cutaneous biodistribution of rapamycin in the different layers of hu-464 man skin and compared the results to those of a hydroalcoholic gel, with the understand-465 ing that hydroalcoholic gels are currently the most widely used vehicle for administering 466 rapamycin. 467

In addition, we will also draw parallels with some Quartier et al [16] data to determine 468 whether the combination of the TPGS with the P123 merits further investigation. 469

24 hours after deposition on human skin, the cumulative presence of rapamycin in the 470 epidermis is about 2.5 times higher than that measured for the hydroalcoholic gel (about 471 1900 versus 700 ng cm<sup>-2</sup>) (figure 12). In the dermis though, the cumulative presence of 472 active substance is comparable for the two types of gels tested (about 700-800 ng cm<sup>-2</sup>). 473 This implies that after 24 hours, the total cumulative amount of rapamycin in the epider-474 mis and dermis combined is greater with the use of our polymer-based gel. Probably, the 475 excess part present in the epidermis can be therefore considered as a reservoir of active 476 substance which will migrate into the deeper layer as the latter is exhausted of rapamycin. 477

While remaining very cautious and comparing only what is comparable with published 478 data [16], it appears that the concomitant use of P123 in equal parts with TPGS led to 479 higher cumulative depositions than those presented with TPGS alone. It is therefore likely 480 that the addition of P123 would have improved the thermodynamic activity of the poly-481 meric micelle-based carrier. However, we will later seek to complement and strengthen 482 these initial assessments by testing a wider range of rapamycin concentrations and differ-483 ent TPGS/P123 ratios. 484



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Figure 12: Cumulative amount of rapamycin per unit area (ng cm<sup>-2</sup>) over 24h from micellar or hydro-alcoholic gel through epidermis 486 and dermis of human skin. Data are presented as the mean ± SD of 6 assays. NS: non-significant. 487

#### 4. Conclusion

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Topio respo pamy sage	cal rapamycin has been shown to be effective in children. Adults, on the other hand, ond much less well to treatment due to a skin barrier that is a greater hurdle to ra- ycin bioavailability. Therefore, the search for vehicles that can help improve the pas- of the active substance is still ongoing.	489 490 491 492			
Rece perse hicle on To	nt evidence for rapamycin describes the use of TPGS-based polymeric micelles dis- ed in a gel with very promising results compared to, for example, ointment-type ve- s. We have worked in this direction by evaluating the interest of mixed micelles based GPS in combination with other poloxamer-type polymers.	493 494 495 496			
We t a low	hen showed that the choice of poloxamer is important, preferably a poloxamer with v HLB, i.e. with a high hydrophobic fraction, such as P123.	497 498			
We c syste impr	conclude that while the poloxamer P123 does not further improve the stability of the em, it at least seems to play a greater role in the thermodynamic activity of the vehicle, oving the bioavailability of rapamycin.	499 500 501			
Supp	plementary Materials: N.A.	502			
<b>Auth</b> G.L.C I.R. a K.A. Writi have	<b>tor Contributions:</b> Conceptualization, G.L.G., B.D. I.R. and M.P.; Formal analysis, G., B.D., P.C., S.B., JM.G. and M.P.; Investigation, G.L.G.; Methodology, G.L.G., B.D. and M.P.; Software, G.L.G.; Supervision, B.D., K.A. and M.P.; Validation, G.L.G., B.D., and M.P.; Visualization: I.R., P.C., S.B., JM.G.; Writing—original draft: G.L.G., B.D.; ing—review and editing: G.L.G., B.D., P.C., S.B., P-H.S., K.A. and M.P. All authors read and agreed to the published version of the manuscript.	503 504 505 506 507 508			
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