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1 Phosphine-based Push-Pull AIE Fluorophores: Synthesis,

2 **Photophysical Properties, and TD-DFT Studies.**

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9 Abstract

10 Herein, we report the design and characterization of a novel series of four push-pull 11 fluorophores using diphenylphosphino as an electron-donating terminal group (P-12 chromophores). The spectroscopic properties in solution, the aggregation-induced emission 13 (AIE) properties, as well as the emission properties in the solid state were studied and 14 compared with those of the diphenylamino-analogues (N-chromophores). Comparative analysis of the crystalline structures coupled with density functional theory calculations 15 16 revealed that the diphenylphosphino group adopts a pyramidal geometry, whereas a trigonal 17 planar configuration around the N-center is observed for the diphenylamino group. 18 Consequently, the phosphorous lone pair is localized on the upper side of the average 19 chromophore plan and considerable geometrical change of the P-center can occur between 20 the ground state and the first excited state, explaining the much larger Stokes shift observed 21 (10,000 cm⁻¹) for the P-chromophores compared to the N-analogues.

22 Keywords

23 Phosphine based dyes – Push-pull dyes – DFT – AIE – Large Stokes shift

24 **1 Introduction**

- 25 Organic synthesis offers virtually endless possibilities to fine-tune the optical properties of
- 26 organic fluorophores. To this end, the incorporation of heteroatoms into the chromophore π -
- 27 backbone is an effective structure-modification strategy inducing significant electronic 1

1 perturbations in the parent molecules and, usually, a large wavelength shift. While light main-2 group heteroatoms such as boron (B), nitrogen (N), or oxygen (O) have been extensively 3 used, [1-3] heavier second-row elements such as phosphorous (P) have been rather neglected, 4 especially in small push-pull molecules. However, straightforward chemical transformations 5 of the P-center through oxidation/reduction, complexation with metal ion or quaternarization 6 provides numerous options to modulate the photophysical and electrochemical properties of 7 π -electron frameworks in which the P-center is embedded or grafted. $\lambda^5 \sigma^4$ (phosphorus oxide or sulfide) and $\lambda^4 \sigma^4$ phosphorus groups (phosphonium cations) behave as strong electron-8 9 withdrawing groups [4-6] but has only seldom been used as such in simple combination with donor groups to construct dipolar rod-shaped [7-12] or three-dimensional star-shaped 10 11 octupolar chromophores.[10, 12, 13]

By contrast, $\lambda^5 \sigma^4$ and $\lambda^4 \sigma^4$ P-heterocyclic compounds, containing either a five-membered 12 phosphole ring [14-23] or six-membered ring variants, [24-37] showed great potential for the 13 14 construction of interesting far-red emissive π -conjugated structures. The obtained cyclic-15 organophosphorous derivatives differ from their widely used sulphur or nitrogen analogues 16 by a substantial bathochromic shift of absorption and emission up to the NIR. [25, 30, 34] 17 This can be ascribed to the lower aromaticity bestowed by specific orbital interactions between the exocyclic $\sigma^*(P-R)$ or $\sigma^*(P=O)$ and π^* (butadiene) orbitals, together with the 18 19 pyramidal configuration of the phosphorus atom. [14, 17, 18]

Besides phosphole derivatives, there are few examples of chromophores containing a $\lambda^3 \sigma^3$ P-20 21 center in general and phosphine particularly. The lesser interest for P(III) can be explained by 22 its sensitivity towards oxidation, particularly in solution, which limits synthetic yields, 23 spectroscopic studies and final applications. However, P(III) to P(V) oxidation can be 24 prevented by delocalization of the lone pair in a π -system. Thus, conjugated phosphines, such 25 as triphenylphosphine or BINAP, are quite stable towards oxidation. Using this strategy, 26 stable primary or tertiary phosphines linked to a BODIPY derivative were synthesized by 27 Higham et al. for metal sensing. [38, 39] However, this approach can be limited by potential 28 fluorophore quenching due to a photoinduced electron transfer to the nearby phosphine.[40, 29 41] Fluorescent $\lambda^3 \sigma^3$ phosphines were nevertheless obtained by either including the 30 phosphorus atom in a fused π system [42] or with three extended π -systems around the 31 phosphorus atom.[43] It is also possible to use the electron donating capacities of phosphines 32 to design intramolecular charge transfer dyes. For example, Madrigal et al. studied the 33 absorption properties of quadripolar and octupolar triphenylamine and triphenylphosphine stilbene derivatives.[44-46] The absorption and non-linear optical properties of a dipolar dye containing a phosphine donor and a nitro acceptor, as well as a few donor/acceptor structures, based on an aryl phosphine coupled with a boron acceptor, were also investigated.[47, 48] Finally, Baumgartner *et al.* studied 2,7-diketophosphepin as fluorescent π -conjugated building blocks.[49] Interestingly, some of those compounds showed solid-state emission, but quantum yields were not reported.

Hence, only a few examples of fluorescent phosphine derivatives have been studied, even fewer in a push-pull configuration, and none of them emit in the biological window (650 nm -1000 nm) for imaging applications. It appears that more studies of these derivatives are called for. Indeed, thanks to the intramolecular charge transfer from the donor to the acceptor end, these chromophores have unique optical properties such as a large Stoke shift that any variation of the donor or the acceptor end will modify.

Herein, we used P- $\lambda^3 \sigma^3$ triphenylphosphine as the donor group in a series of small push-pull 13 14 fluorophores (P1-P4, Figure 1) incorporating various potent electron acceptor groups 1-4. 15 The optical properties in solution but also in the solid state were measured and compared 16 with those of the triphenylamine analogues (N1-N4) that we reported previously.[50-52] The influence of the donor atom on the optical properties was rationalized by comparison with the 17 18 X-ray diffraction structures and by theoretical calculations. In particular, we showed that 19 conformational changes around the P-atom between the ground state and the first excited 20 state led to higher fluorescence turn-on coefficients upon aggregation (170-fold increase 21 emission for P2) than their amine analogues, as well as a drastically increased Stokes shift (up to $10\ 000\ \text{cm}^{-1}$ on average). 22

23 **2** Materials and methods

24 2.1 General

Commercially available materials were used as received. Analytical thin-layer chromatography (TLC) was carried out on Merck 60 F254 precoated silica gel plate (0.2 mm thickness). Visualization was performed using a UV lamp (254 nm or 365 nm). Anhydrous THF was distilled over sodium and benzophenone. Microwave synthesis were conducted in 10 mL sealed tube on a Biotage Initiator 2.5 single-mode reactor using external IR temperature control. Column chromatography was performed on Merck silica gel Si-60 (40-63 µm). NMR spectra were recorded at ambient temperature on a standard spectrometer 3

operating at 400 MHz or 300 MHz for ¹H NMR, 125 MHz or 101 MHz for ¹³C NMR and 1 202 MHz or 162 MHz for ³¹P NMR. Chemical shifts are given in parts per million (ppm,) and 2 are reported relative to tetramethylsilane (¹H, ¹³C) using the residual solvent peaks as internal 3 standard. ¹H NMR splitting patterns are designated as singlet (s), doublet (d), triplet (t), 4 5 quartet (q), dd (doublet of doublets), q (quadruplet), quint (quintuplet) or m (multiplet). Low 6 resolution mass spectra were measured at 298 K by direct injection of dilute sample into the 7 mass analyzer of an Agilent Technologies 1260 Infinity LC-MS instrument. High-resolution 8 mass spectrometry (HRMS) measurements were performed by ESI-QTOF mass spectrometry 9 (Bruker Daltonics MicroTOF-Q II) at the Centre Commun de Spectrométrie de Masse (UCBL, Villeurbanne, France). Compound 1,[53] 2 and 3,[52] and 4 [50] were obtained 10 11 according to reported procedure.

12

2.2 Synthetic procedures and characterization data

13 2.2.1 2-(4-Bromophenyl)-1,3-dioxolane.[54-56] 4-Bromobenzaldehyde (2 g, 10.8 mmol) and p-toluenesulfonic acid (0.2 g, 1 mmol) were dissolved in toluene (50 mL), and an 14 15 excess of ethylene glycol (2 mL, 35 mmol) was added. The mixture was then heated to reflux 16 overnight with azeotropic removal of water by a Dean–Stark trap. After cooling, the solution 17 was washed three times with saturated aqueous Na₂CO₃, dried over Na₂SO₄, filtrated and 18 concentrated. Purification by column chromatography on silica eluting with 19 CH₂Cl₂/petroleum ether (v/v 1:1) gave a white solid (2.3 g, 93 %). ¹H-NMR (300 MHz, 20 $CDCl_3$, δ/ppm) 7.51 (d, J = 8.4 Hz, 2H), 7.35 (d, J = 8.4 Hz, 2H), 5.77 (s, 1H), 4.15–3.98 (m, 21 4H); ¹³C{¹H}-NMR (100 MHz, CDCl₃, δ/ppm) 137.2, 131.7, 128.3, 123.4, 103.2, 65.5; HR-22 MS (ESI-QTOF, pos) m/z calcd for C₉H₉BrO₂: 227.9780 [M]⁺, found: 227.9751.

2.2.2 2-(Diphenylphosphino)-1,3-dioxolane.[57-59] **2-**(4-Bromophenyl)-1,3-dioxolane 23 (2.2 g, 9.6 mmol) was dissolved in anhydrous THF under argon and cooled to -78 °C. nBuLi 24 25 (2.5 M in hexanes, 4.25 mL, 10.6 mmol) was added dropwise and the mixture was stirred for 26 1 hour at -78 °C. Chlorodiphenylphosphine (2.2 g, 10 mmol) in anhydrous THF (5 mL) was 27 then added dropwise. The temperature was let heat up to RT and the solution was stirred 28 overnight. The reaction was quenched with saturated NH₄Cl (4 mL) and the layers were 29 separated. The organic layer was washed with water and brine, dried over Na₂SO₄, filtered 30 and concentrated under vacuum to give a yellow oil. The product was purified over silica gel 31 chromatography eluting with CH₂Cl₂ and a white solid was obtained (1.5 g, 47 %). An 32 attempt to recrystallize the product in EtOH only led to partial oxidation of the phosphine. ¹H 1 NMR (300 MHz, CDCl₃, δ /ppm) 7.48–7.41 (m, 2H), 7.39–7.26 (m, 12H), 5.80 (s, 1H), 4.15– 2 4.00 (m, 4H); ¹³C{¹H} NMR (100 MHz, CDCl₃, δ /ppm) 138.7 (d, $J_{p-c} = 11.5$ Hz), 138.5, 3 137.1 (d, $J_{P-C} = 10.7$ Hz), 133.9 (d, $J_{P-C} = 19.5$ Hz), 128.9, 128.7 (d, $J_{P-C} = 7.0$ Hz), 126.7 (d, 4 $J_{P-C} = 7.0$ Hz), 103.6, 65.5; ³¹P{¹H}-NMR (162 MHz, CDCl₃, δ /ppm) -5.6; HR-MS (ESI-5 QTOF, pos) m/z calcd for C₂₁H₂₀O₂P: 335.1195 [M+H]⁺, found: 335.1201.

6 2.2.3 4-(Diphenylphosphino)benzaldehyde **P.**[57-59] 2-(Diphenylphosphino)-1,3-7 dioxolane (1.5 g, 4.5 mmol) was dissolved in a toluene (10 mL) / water (1 mL) mixture 8 before addition of p-toluenesulfonic acid (20 mg, 0.1 mmol). The mixture was refluxed and 9 the reaction monitored by TLC. After completion, the mixture was let cool down to RT and 10 more water (5 mL) was added. The mixture was extracted 3 times with ethyl acetate. The 11 combined organic phases were washed 3 times with saturated Na₂CO₃, dried over Na₂SO₄, 12 filtered and concentrated to give a yellow oil. The crude was purified over silica gel chromatography with CH₂Cl₂ as eluent to obtain P as a white solid (1.05 g, 81 %). ¹H-NMR 13 $(300 \text{ MHz}, \text{CDCl}_3, \delta/\text{ppm}) 10.0 \text{ (s, 1H)}, 7.85-7.77 \text{ (m, 2H)}, 7.46-7.29 \text{ (m, 12H)}; {}^{13}\text{C}{}^{1}\text{H}{}^{-1}$ 14 NMR (100 MHz, CDCl₃, δ /ppm) 192.1, 146.6 (d, J_{P-C} = 15.6 Hz), 136.1, 135.9 (d, J_{P-C} = 10.5 15 Hz), 134.2 (d, $J_{P-C} = 20.2$ Hz), 133.7 (d, $J_{P-C} = 18.5$ Hz), 129.5, 128.9 (d, $J_{P-C} = 7.4$ Hz); 16 17 $^{31}P{^{1}H}$ -NMR (162 MHz, CDCl₃, δ /ppm) -4.3; HR-MS (ESI-QTOF, pos) m/z calcd for C₁₉H₁₆OP: 291.0933 [M+H]⁺, found: 291.0926. 18

19 2.2.4 Synthesis of compounds P1-P4. In a 10 mL microwave tube, P (200 mg, 0.69 mmol) and the corresponding acceptor group (0.65 mmol) were suspended in absolute ethanol (4 mL). One drop of piperidine was added before sealing of the microwave tube. The reaction was heated to 100 °C by microwave irradiation during 30 minutes. After cooling down to RT, the precipitated dye was filtered and washed with cold ethanol. The dyes were purified by column chromatography over silica gel using the eluent indicated.

25 **2.2.5 P1.** From **P** and **1** (130 mg). Eluent: CH₂Cl₂. Red solid (200 mg, 65 %). ¹H-26 NMR (400 MHz, CD₂Cl₂, δ /ppm) 7.66–7.59 (m, 3H), 7.42–7.32 (m, 11H), 7.08 (d, *J* = 16.5 27 Hz, 2H), 1.78 (s, 6H); ¹³C{¹H}-NMR (125 MHz, CD₂Cl₂, δ /ppm) 175.8, 174.3, 147.0, 145.0 28 (d, *J*_{P-C} = 15.4 Hz), 136.5 (d, *J*_{P-C} = 11.1 Hz, 2C), 134.4 (d, *J*_{P-C} = 20.2 Hz), 134.3 (d, *J*_{P-C} = 29 18.3 Hz), 129.7 (2C), 129.2 (d, *J*_{P-C} = 7.3 Hz), 129.0 (d, *J*_{P-C} = 6.2 Hz), 115.9, 112.1, 111.5, 30 110.6, 100.8, 98.4, 26.6; ³¹P{¹H}-NMR (202 MHz, CD₂Cl₂, δ /ppm) -4.12; HR-MS (ESI-31 QTOF, pos) m/z calcd for C₃₀H₂₃N₃OP: 472.1573 [M+H]⁺, found: 472.1558. 1 2.2.6 P2. From P and 2 (173 mg). Eluent: CH₂Cl₂/petroleum ether (v/v 1:1) to CH₂Cl₂. Yellow solid (250 mg, 71 %). ¹H NMR (400 MHz, CD₂Cl₂, δ /ppm) 8.32 (d, J = 2 3 16.9 Hz, 1H), 8.08-8.03 (m, 2H), 7.70-7.62 (m, 3H), 7.59-7.54 (m, 2H), 7.41-7.33 (m, 12H), 7.26 (d, J = 16.9 Hz, 1H), 1.70 (s, 6H); ¹³C{¹H}-NMR (125 MHz, CD₂Cl₂, δ /ppm) 4 5 171.1, 165.0, 144.4, 142.9 (d, J_{P-C} = 14.3 Hz), 140.2, 136.9 (d, J_{P-C} = 11.3 Hz), 135.5, 134.6, 6 134.4 (d, $J_{P-C} = 18.7 \text{ Hz}$), 134.3 (d, $J_{P-C} = 20.1 \text{ Hz}$), 129.6, 129.5, 129.1 (d, $J_{P-C} = 7.2 \text{ Hz}$), 7 128.9, 128.7 (d, $J_{P-C} = 6.4$ Hz), 124.4, 116.8, 85.7, 27.4; ³¹P{¹H}-NMR (202 MHz, CD₂Cl₂, 8 δ /ppm) -4.86; HR-MS (ESI-QTOF, pos) m/z calcd for C₃₂H₂₈O₄PS: 539.1440 [M+H]⁺, 9 found: 539.1425.

10 2.2.7 **P3.** From **P** and **3** (100 mg, 0.66 mmol.). Eluent: CH₂Cl₂. Red solid (140 mg, 11 51 %). ¹H NMR (400 MHz, CD₂Cl₂, δ /ppm) 7.68 (d, J = 16.5 Hz, 1H), 7.62–7.57 (m, 2H), 12 7.42–7.30 (m, 12H), 6.95 (d, J = 16.4 Hz, 1H), 1.67 (s, 6H); ${}^{13}C{}^{1}H$ -NMR (125 MHz, 13 CD_2Cl_2 , δ /ppm) 176.4, 166.3, 145.3, 143.4 (d, $J_{P-C} = 14.9$ Hz), 136.7 (d, $J_{P-C} = 11.1$ Hz), 134.7, 134.3 (d, $J_{P-C} = 20.2$ Hz), 134.3 (d, $J_{P-C} = 18.8$ Hz), 129.6, 129.1 (d, $J_{P-C} = 7.2$ Hz), 14 128.6 (d, $J_{P-C} = 6.2$ Hz), 116.0, 112.5, 99.6, 87.4, 26.1; ³¹P{¹H}-NMR (202 MHz, CD₂Cl₂, 15 δ /ppm) -4.67; HR-MS (ESI-QTOF, pos) m/z calcd for C₂₇H₂₃NO₂P: 424.1461 [M+H]⁺, 16 17 found: 424.1448.

18**2.2.8P4.** From **P** and **4** (121 mg). Eluent: CH₂Cl₂. Red solid (70 mg, 23 %).¹H19NMR (400 MHz, CD₂Cl₂, δ /ppm) 7.51–7.47 (m, 2H), 7.40–7.28 (m, 12H), 7.07 (s, 2H), 6.8520(s, 1H), 2.61 (s, 2H), 1.48 (s, 2H), 1.07 (s, 6H); ¹³C{¹H}-NMR (125 MHz, CD₂Cl₂, δ /ppm)21169.7, 154.2, 140.2 (d, *J*_{P-C} = 13.3 Hz), 137.2 (d, *J*_{P-C} = 11.3 Hz), 136.6, 136.5, 134.32 (d, *J*_{P-C}22*c* = 18.4 Hz), 134.2 (d, *J*_{P-C} = 19.8 Hz), 130.2, 129.4, 129.0 (d, *J*_{P-C} = 7.1 Hz), 127.8 (d, *J*_{P-C} =236.6 Hz), 124.3, 113.9, 113.2, 79.3, 43.3, 39.5, 32.3, 28.1; ³¹P{¹H}-NMR (202 MHz, CD₂Cl₂, δ /ppm)

25 -5.29; HR-MS (ESI-QTOF, pos) m/z calcd for $C_{31}H_{28}N_2P$: 459.1985 [M+H]⁺, found: 26 459.1975.

27 **2.3** Spectroscopy

Absorption spectra were recorded on a JASCO V670 spectrophotometer. Excitation and fluorescence emission spectra were recorded using a Horiba-Jobin Yvon Fluorolog-3 spectrofluorimeter equipped with a Hamamatsu R928 or water-cooled R2658 photomultiplier tubes. Spectra were corrected for the intensity variations of both the excitation light source (lamp and grating) and the emission spectral response (detector and grating). All solvents 6 1 were of spectrophotometric grade. Solid state measurements were performed using a 2 calibrated integrative sphere (model F-3018 from Horiba Jobin Yvon) collecting the full 3 emission (2π steradians covered with spectralon®), and quantum yields were measured as 4 described previously.[53]

5 Absorption and fluorescence spectra in acetone and in acetone/water mixtures were measured 6 for 10 µM solutions. Suspensions were made directly in the quartz fluorescence cells. Water 7 and acetone were mixed in the cell in the proportion of the given water fraction (f_w) to give a 8 final volume of 2.5 mL. 25 µL of stock dye solution (1 mM) in acetone were then quickly 9 injected into the solvent mixture. The mixture was then shaken several times by hand to 10 obtain the final suspension with a 10 μ M dye concentration. α values were calculated by 11 dividing the emission in acetone/water 10:90 mixture by the emission in acetone solution. 12 Solvatochromism was measured on 8 μ M (P1) and 4 μ M (P2-P4) solutions of the dyes in toluene, CH₂Cl₂ or acetone. 13

14 2.3.1 Crystallography

Single crystals of **P1** suitable for X-ray diffraction were grown by slow diffusion of a nonsolvent (EtOH) in a concentrated solution of dye (CHCl₃) at room temperature. CCDC 1563373 contains the supplementary crystallographic data for this paper. These data can be obtained free of charge from The Cambridge Crystallographic Data Centre via www.ccdc.cam.ac.uk/data_request/cif.

20

2.3.2 **Computational details**

21 All calculations were performed using Gaussian package.[60] At the ground state, the 22 structure of all the compounds was optimized at the DFT/6-31G(d) level of theory using the 23 M06-2X hybrid functional.[61] Bulk solvent effects (acetone) were considered using a 24 continuum solvation model (C-PCM).[62] For each compound, 10 vertical excitation energies 25 and the associated excited state densities of interest were computed at the TD-DFT level with 26 the same basis set and with the M06-2X functional. The charge transfers transitions were 27 characterized thanks to the $D_{C,T}$ index developed by Le Bahers *et al.* [63] using the cubegen 28 utility provided by the Gaussian package.

29 **3 Results and discussion**



1



3

3.1 Synthesis

Synthesis of compounds P1-P4 from 4-bromobenzaldehyde is depicted in Scheme 1. First, 4 the aldehyde function was protected by formation of an acetal with ethylene glycol.[54-56] 5 6 Then, the P-center was formed by a lithium-halogen exchange using *n*BuLi at -78°C followed by nucleophilic substitution on chlorodiphenylphosphine. Finally, deprotection in acidic 7 8 conditions gave 4-(diphenylphosphino)benzaldehyde P.[57-59] Note that, in our hands, this 9 four steps methodology offered a much better overall yield of 4-(diphenylphosphino)benzaldehyde (35 %) than direct palladium catalyzed phosphination of 10 11 4-bromobenzaldehyde using triphenylphosphine.[64] Compounds P1-P4 were obtained in 12 moderate to good yield (23 %-71 %) by a Knoevenagel reaction with the active exocyclic methyl group of the corresponding electron-acceptors derivatives 1-4 [52] in ethanol using a 13 14 catalytic amount of piperidine. The later step was performed under micro-wave irradiation, 15 giving better yields than traditional heating.

The stability of the powder dyes to oxidation was verified by ¹H and ³¹P NMR. After two years of storage at room temperature (15-30°C) under air, the NMR of the redissolved powder showed no P(V) peak in ³¹P NMR, nor any change of the protons' chemical shift. On the other hand, colorless solutions of **P2** or **P3** in acetone turned slightly yellow after one week.



7 Scheme 1. Synthetic route to the target compounds P1-P4.

8 **3.2** Spectroscopic properties in solution

9 The optical properties of the dyes were studied in dilute acetone solutions. Spectra are shown in Figure 2 and the optical properties are summarized in Table 1. The broad absorption of the 10 dyes in the UV or visible range showed typical charge transfer transitions. For a given 11 12 acceptor group, the absorption maxima were considerably blue-shifted for the P-dye compared to the amine dye. Whereas the absorption maxima of N1-N4 were located between 13 460 nm and 540 nm, the absorption maxima of P1-P4 ranged between 370 nm and 420 nm, 14 with only **P1** in the visible range. This blue-shift, of 5000 cm⁻¹ on average, was likely due to 15 the lower donating capacity of phosphorus compared to nitrogen caused by the tetrahedral 16 17 geometry of the former.[14] As anticipated, for a given donor group, increasing the strength 18 of the electron accepting part led to an increase in the charge transfer, visible by the red-shift 19 of the absorption. Finally, molar absorption coefficients ε were in the range of 30 000 to 50 000 M⁻¹cm⁻¹, which is very similar to what we previously reported for such small charge-20 21 transfer chromophores.[51, 52] Note that for both P- and N- compounds the donor group does

6

not seem to have a strong influence on the molar absorption coefficients values. A small solvatochromism is observed in absorption for all the dyes (Figure SI 1 to SI 4, Table SI 1), and is higher for P-dyes compared to N-dyes. The highest effect is observed for **P2** with a 1446 cm⁻¹ difference between the maximum in acetone and in dichloromethane.



5 6

Figure 2. Absorption spectra of P1-P4 (plain lines) and N1-N4 (dotted lines) in dilute solution in acetone.

In a dilute solution at room temperature, N-based chromophores N1-N4 showed a moderate emission intensity that is strongly dependent on the solvent with a large red-shift, along with decrease in the quantum yield emission when the solvent polarity is increased.[52] A measurable emission was still obtained in polar acetone. On the contrary, P-based chromophores P1-P3 were not emissive in any solvent. Only P4 showed a weak orange fluorescence (centered at 600 nm) in toluene (Φ not measured, Figure SI 5).

13

3.3 Spectroscopic properties in the solid-state

14

3.3.1

Aggregation-induced emission (AIE) properties

Interestingly, things considerably changed when studying the optical properties in the solid state. We have previously shown that the N-chromophores exhibited aggregation induced emission properties (AIE): the corresponding data are presented in Table 1.[51, 52] Nanoprecipitation experiments were therefore performed to access the eventual AIE properties of the P-based chromophores. Absorption and emission spectra were recorded from different acetone/water mixtures of **P2** (10 μ M) with increased volume fractions of water (f_w). As shown in Figure SI 6, increasing the water fraction of the acetone/water mixtures above a critical water fraction ($f_w > 0.65$ here) resulted in the appearance of an orange fluorescence ($\lambda_{em} = 630$ nm) as well as a severe broadening of the absorption spectra, which is characteristic of AIE effect. A 170-fold increase of α_{AIE} (defined as the ratio in the fluorescence intensity between the aggregate and the solution in pure acetone, $f_w = 0$) was attained for $f_w = 0.9$. This is 17-fold more than for N2 in the same conditions.

7 The other P-based chromophores P1, P3 and P4 also showed similar AIE properties in the 8 orange to far-red region, and only the data for an acetone/water ratio $f_w = 0.9$ are given in 9 Table 1 and Figure 3. The α_{AIE} observed for the P-dyes are 7- to 70-folds higher than for the 10 corresponding N-dyes. As observed for the dilute solution, the absorption spectra of the P-11 chromophores aggregates were strongly blue-shifted compared to their N analogues (around 12 5000 cm⁻¹). Hypochromic shifts were also observed in emission, albeit much less pronounced 13 (around 1000 cm⁻¹). Indeed, the emission maxima obtained for the P-dyes ranged from 635 to 14 705 nm (against 655-750 nm for the N-dyes). Note the particularly long emission wavelength 15 for compound P1 (705 nm), which is particularly interesting for the design of turn-on probe for analytical or biological applications and is remarkable for a fluorophore with such a small 16 17 molecular weight. This is the consequence of a considerably larger Stokes shift for the four Pbased dye aggregates (9000-11000 cm⁻¹) than for their N-based analogues (5000-6000 cm⁻¹). 18 19 This can be attributed to consequent geometrical changes between the ground state and the 20 first excited state, as quantum chemical calculations revealed (vide infra).



1 Figure 3. a) Absorption and b) normalized emission spectra of dyes aggregates obtained in a 10:90

- 2 acetone/water mixture (10 μ M)). The phosphorus based dyes **P1-P4** are represented using plain lines and the
- 3 nitrogen based dyes N1-N4 with dotted lines. $\lambda_{exc} = 410$ nm for P-dyes and $\lambda_{exc} = 490$ nm, for N-dyes except for

4 **N1**, $\lambda_{exc} = 540$ nm.





6 Figure 4. Crystal state fluorescence spectra of dyes P1-P4 (plain lines) and N2-N3 (dotted lines). $\lambda_{exc} = 390$ or 400 nm for all dyes.

8

3.3.2 Emission in the crystalline state

9 As shown in Figure 4, P-based chromophores P1-P4 are also emissive in crystalline powder. 10 Emission maxima ranged from 620 nm (P4) to 650 nm (P1) for measured quantum yield of a 11 few percent (Table 1). In comparison, only N2 and N3, bearing the weaker acceptors, are 12 emissive in crystalline powder, with quantum yields of 34 % at 635 nm and 8 % at 645 nm, 13 respectively.[51, 52] Here again, the hypsochromic shift observed between P-based dyes and 14 their N-analogues is small.

15**Table 1.** Absorption maxima (λ_{max}^{abs}), molar absorptivity (ε), emission maximum (λ_{max}^{em}) for each dye in16solution in acetone; Absorption and emission maxima (λ_{max}^{abs}), α_{AIE} and Stokes Shift (Δv) for nanoaggregates17obtained in acetone/water mixture (10:90); Emission maximum (λ_{max}^{em}) and quantum yield (φ_F) in solid powder.

	Solution	Solution			gregates	Solid	Solid		
	λ_{max}^{abs} (nm)	ε (L.mol ⁻¹ cm ⁻¹)	λ_{max}^{em} (nm)	λ _{max} ^{abs} (nm)	λ _{max} em (nm)	$\alpha_{AIE}^{\ a}$	Δv (cm ⁻¹)	λ _{max} em (nm)	φ _F (%) ^b
P1	420	39 500	-	425	705	90	9 350	650	1
P2	365	34 100	-	370	630	170	11 150	635	5
P3	370	29 000	-	380	625	50	10 300	655	4
P4	400	53 700	-	405	635	35	8 950	620	7

N1	540	52 100	745	535	750	8	5 350	-	-
N2	465	42 300	670	470	665	10	6 250	635	34
N3	465	41 000	656	485	655	7	5 350	645	9
N4	480	35 300	689	490	700	0.5	6 100	-	-

 a Defined as the fluorescence intensity ratio between acetone/water mixture (10/90) and pure acetone solution; b Measured using a calibrated integrating sphere

1

3.4 Theoretical studies and comparison with crystal structures

To rationalize the optical properties observed, quantum chemical calculations were performed. A benchmark of exchange correlation functionals on the calculation of the S_0 - S_1 transition energies compared to experimental values identifies M062X as the best functional for our study (Table SI 2). This functional was used in the rest of the study.

6

3.4.1 Structural variations between the ground- and the first excited-state

7 For the P-dyes P1-P3, considerable structural variations can be observed between the 8 optimized structures of the ground state and first excited state in acetone, especially around 9 the P-center. In the ground state, the P-centers adopt a trigonal pyramidal geometry, with an average height (h) of 0.79 Å for the pyramidal structure. Upon excitation, in the first excited 10 11 state, the planarity of the compounds increases characterized by a decrease of the pyramid 12 height of 0.20 Å on average. This increase of the planarity of the phosphorus donor and the 13 conjugation of the π system is not observed for the P4 compound which maintains the 14 pyramidal geometry of its ground state (Table 2). Interestingly, this order of geometry 15 reorganization according to the electron acceptor (0.00 for P4, 0.13 for P1 and 0.22 for both 16 P2 and P3) perfectly matches with the order of Stokes shift values obtained experimentally 17 $(P4 < P1 < P3 \approx P2)$ and the fact that P4 is the only P-dye fluorescent in (apolar) solution.

By contrast, for the N-dyes N1-N4, the geometry around the N donor atom is trigonal planar, both in the ground states and in the first excited states, with an average height of 0.01 Å. The comparison between the ground states and excited states of P1 and N1 is given in Figure 5a.

21

3.4.2 Electronic density variations

The electronic density variations were also calculated and are represented in Figure 5b for N1 and P1 and in SI for the other dyes. The red (blue) area represents a decrease (respectively enhancement) of the electronic density during the transition. In both N-dyes and P-dyes, the electronic density of the donor is depleted and that of the acceptor is enriched, which is consistent with the character of the strong charge transfer. However, the depletion of the donor side is quite different depending on the donor atom. In the case of the (C₆H₅)₂P- group, 1 the charge transfer mainly originates from the lone pair of the phosphorus atom, highly 2 localized on the upper side of the chromophore plane as shown in Figure 5b. In the case of a 3 $(C_6H_5)_2N$ - donor, there is a stronger contribution of the orbitals of the phenyls rings and the 4 lone pair is localized on both sides of the chromophore plane. Quantitatively, we estimated 5 the strength of the charge transfer associated to the force transition by computing the D_{CT} 6 index (Table SI 4), a parameter defining the spatial extent associated to the transition as well 7 as the associated fraction of electron transferred.[63] From P- to N-dyes, the average D_{CT} 8 increases by around 10%, from 4 Å to 4.4 Å, consistent with the stronger charge transfer 9 within the amine dyes, as well as the implication of the orbitals of the phenyls.



10

Figure 5 a) structural variations between the optimized structures of the ground state and the first excited state in acetone for P1 (top) and N1 (bottom) compounds. The optimized structures of both the ground and the excited states are shown on the left, while the pyramidal geometry around the P or N center is shown on the right. P and N-centers are represented in cyan and pink respectively, connected carbon atoms in green. b) Electronic density variations of P1 (top) and N1 (bottom) compounds. Red (blue) area represents a decrease (respectively enhancement) of the electronic density during the transition.

1 3.4.3 **Comparison with X-ray crystal structures**

2 To probe the phenomenon more deeply, we studied the crystalline structures. Crystals 3 suitable for X-ray diffraction analysis were obtained for **P1**, by slow diffusion of ethanol in 4 chloroform. We previously reported the crystal structures of N-dyes N2, [51] N3 [52] and N4 5 [50]. Unfortunately, all attempts to obtain crystals for N1 and other P-dyes P2-P4 only 6 produced thin needles, unsuitable for X-ray diffraction. So, despite the acceptor difference, 7 we chose to compare the solid-state structures of P1 and N4, selected as a model of N-dyes 8 (Figure 6). The N4 π system is quasi-planar, with only a small 10° angle between the acceptor and donor mean planes. In agreement with the calculations, the triphenylamino-9 10 moiety adopts a trigonal planar configuration, with a pyramid height of 0.06 Å (Figure 6b, 11 Fig SI 14). Note that N2 and N3 show very similar planarity in their crystal structures (Figure 12 SI-11 to SI-13). The **P1** π system is very similar to that of **N4**, with a small 12° angle between 13 the acceptor and donor mean planes. On the other hand, Figure 6 clearly shows the pyramidal configuration of phosphorus in **P1**. The measured pyramid height is 0.82 Å (Figure 6a, Figure 14 SI-15), very close to the calculated value (0.79 Å) obtained by optimization of the ground 15 16 state structure in acetone. Those geometry differences have consequences for the position of 17 the lone pair, perpendicular to the π system for N-dyes and tilted to the back and to the side for P-dyes, thus, on the conjugation of the donor with the rest of the chromophore. All 18 19 computationally obtained pyramid heights are given in Table 2, along with available DRX 20 data. Finally, for both chromophores, neighbors are arranged in a dimeric head-to-tail configuration with an intermolecular distance of 3.2 Å for N4 and 3.1 Å for P1 (Figure SI 21 16). Despite the enhanced steric hindrance of the out-of-plane phenyls of P1 compared to N4, 22 23 neighboring dyes are actually closer in the crystal arrangement of compound P1. On a larger 24 scale, the herringbone arrangement of **P1** dyes, as viewed down the crystallographic *a* axis 25 (Figure SI 17), is usually considered as beneficial for solid state fluorescence.

Table 2. Heights for the pyramidal structure of the different compounds from DRX experiments (h^{RX}) or optimized structures of both ground and excited states from DFT/M062X calculations (respectively h^{DFT}_{GS} and h^{DFT}_{ES}).

	h ^{RX} GS	h ^{DFT} GS	h ^{DFT} ES
	(Å)	(Å)	(Å)
N1		0.01	0.00
N2	0.07	0.01	0.00
N3	0.05	0.02	0.01
N4	0.06	0.01	0.01
P1	0.82	0.79	0.66



1

Figure 6. Comparative views of the X-ray crystal structures of a) P1 and b) N4, showing the pyramidal configuration of the phosphorus and the trigonal planar configuration of the nitrogen atom (ORTEP views with 50% probability displacement ellipsoids. H atoms are omitted for clarity. Compound N4 crystallized with an acetonitrile molecule).

6 4 Conclusion

7 The synthesis of four novel dyes based on the diphenylphosphino donor-group enabled us to 8 study their optical properties in solution and in the solid state and compare them with the 9 diphenylamino-analogues. Despite being quenched in solution, probably due to the 10 intramolecular planarization movement of the phosphine donor, they light up in solid state 11 (amorphous aggregates or crystalline powder) as typical AIE was demonstrated by 12 nanoprecipitation procedure involving a solvent shifting process. Phosphine aggregates 13 showed higher fluorescence turn-on coefficients upon aggregation (170-fold increase 14 emission for P2) than their amine analogues, but above all much larger Stokes shift (10 000 15 cm⁻¹ on average), that can be attributed to large conformational changes around the P-atom 16 between the ground state and the first excited state. In fine, we believe that the 17 diphenylphosphino- can be an interesting donor group for the design of large Stoke shift 18 fluorophores and could be used to control intramolecular packing in the solid-state, or could

- 1 even be used in molecular machines as they are capable of producing small but reproducible
- 2 planarity change upon light absorption.

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