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1 **Title: Hydromechanical characterization of tide-induced head fluctuations in**
2 **coastal aquifers: the role of delayed yield and minor permeable layers**

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Abstract

4 Tidal analysis is an aquifer scale approach that is a low-cost alternative to
5 pumping tests for assessing aquifer hydraulic parameters without groundwater extraction.
6 Many analytical solutions may be used to assess aquifer head fluctuations in response to
7 tidal fluctuations. Nonetheless, they are rarely used in practice. Aside from that, most
8 analytical solutions are based on a conceptual model that typically consists of an
9 unconfined aquifer and a confined aquifer separated by an aquitard, where hydraulic head
10 fluctuations in the unconfined aquifer part are commonly neglected. Additionally, the
11 confined aquifer short response time to sea-level fluctuations cannot rely on the hydraulic
12 connection of the confined aquifer through the aquitard. As a consequence, when

13 analytical solutions are applied to real-world cases, the hydraulic diffusivity is
14 overestimated. In this study, we investigate through different numerical simulations the
15 fluctuations of the phreatic surface by considering the delayed yield. Numerical results
16 demonstrate that the mechanical effect generated by the load over the bottom of the sea
17 due to sea-level fluctuations is a key factor when determining hydraulic aquifer
18 parameters. We further show that in multilayer systems, head fluctuations in various
19 aquifer layers can cause interferences and, consequently, increased attenuation of the tidal
20 signal, resulting in an overestimation of the inferred hydraulic diffusivity. Our results
21 provide guidance on how to properly reproduce tidal responses in coastal aquifers.

Keywords

22 Coastal aquifer, water table fluctuations, tidal fluctuations, aquifer characterization,
23 Jacob-Ferris equation, parameter estimation

1. Introduction

24 As global population soars, economic activities cause significant demand of
25 freshwater resources and coastal aquifers overpumping worldwide, which leads to
26 seawater intrusion (SWI) with major social and economic consequences. Controlling SWI
27 requires detailed characterization of the aquifer and its connection to the sea, which is
28 challenging and costly. Accurate aquifer characterization is essential to design sustainable
29 management schemes and to control or limit the propagation of the seawater wedge
30 (Werner et al., 2013). Traditional hydrogeological tools, such as pumping tests,
31 hydrochemical analyses or geophysical methods, can be used to characterize the coastal

32 aquifers. However, a singular feature of coastal aquifers is that they respond to sea level
33 fluctuations. These can be wind driven or, usually far more important, caused by
34 astronomical tides. Tides have been studied by humanity for centuries. They are generated
35 by the movement of celestial bodies notably the Moon, around the Earth. causing the rise
36 and fall of sea level.

37 Tidal response analysis consists of identifying the aquifer geometry and
38 parameters that best explain the observed response to sea-level fluctuations. This response
39 can be viewed as a hydraulic test, which is appealing because of its low-cost (simply set
40 sensors in coastal wells and the sea to monitor fluctuations) and its large-scale (it may
41 allow characterizing the whole coastal zone). Sea level (h_s) fluctuations can be
42 approximated as a sum of harmonics. The response of any linear system to a harmonic
43 stress will also be a harmonic. Therefore, the aquifer response to every harmonic
44 component of tides will be described ([Figure 1](#)) by (1) the amplitude damping
45 (A/A_0 , ratio of the amplitude observed in the well (A) to the sea level fluctuation
46 amplitude (A_0)); and (2) the time-shift (t_s , delay in time between the fluctuation recorded
47 in the well and the sea level fluctuation). Tidal response analysis consists of deriving
48 hydraulic parameters from the amplitude damping and time-shift from the various tidal
49 harmonics and the available observation points.

50 The simplest and reference tidal analysis is the one by [Jacob \(1950\)](#) and [Ferris](#)
51 [\(1952\)](#) (JF hereinafter), who computed analytically the aquifer response to a harmonic
52 fluctuation of the sea-level by considering a homogeneous, isotropic, and confined
53 aquifer with an infinite inland extent and a vertically connection to the sea at the coastline.

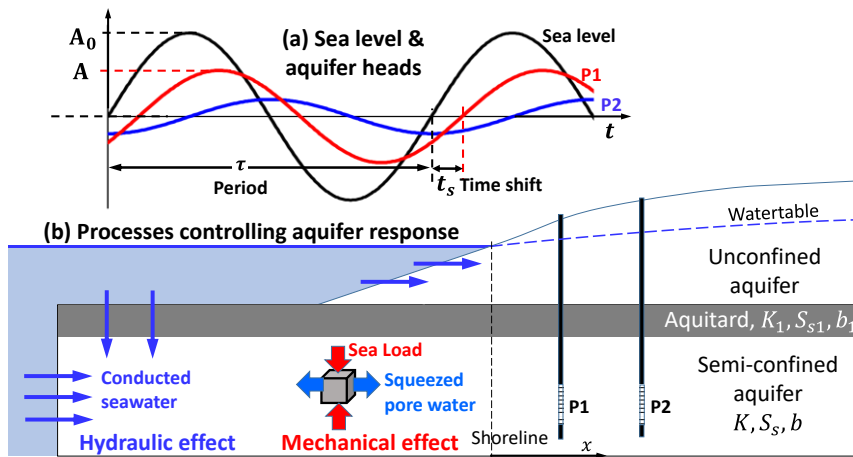
54 They prescribed head at the coast ($x = 0$) as a harmonic function with a tidal amplitude
55 (A_0 , [L]) and a tidal period ($\tau = 2\pi/\omega$, [T], where ω [T^{-1}] is the frequency). They found
56 that the response in a well located at a distance x [L] from the coastline is $h(x, t) =$
57 $A_0 e^{-x/L_c} \sin(\omega t + x/L_c)$, L_c is a characteristic length depending on transmissivity,
58 T [$L^2 \cdot T^{-1}$], and storativity, S [-], such as $L_c = \sqrt{T\tau/\pi S}$. That is, the tidal amplitude
59 decreases exponentially with distance whereas the time-shift increases linearly.
60 Therefore, we can obtain L_c from either the amplitude damping ($\ln(A/A_0) = x/L_c$) or
61 the time-shift ($t_s = \omega x/L_c$). Knowing L_c , one can obtain $D_h = T/S$ as:

$$D_{h_A} = -\frac{x^2 \pi}{\tau \left(\ln(A/A_0) \right)^2} \quad (1)$$

$$D_{h_{t_s S}} = \frac{x^2 \tau}{4\pi t_s^2} \quad (2)$$

62 where D_{h_A} is the hydraulic diffusivity obtained from the amplitude damping and $D_{h_{t_s S}}$ is
63 the one obtained from the time-shift. The two estimates should be identical under the ideal
64 JF assumptions. Note that tidal response analysis does not yield T and S separately but
65 only their ratio, the aquifer hydraulic diffusivity (D_h). Still, D_h is a good indicator of
66 connectivity (Knudby & Carrera, 2006). Furthermore, Slooten et al. (2010) showed that
67 tidal response inland is most sensitive to hydraulic conductivity near the shore. Therefore,
68 by performing this analysis at many observation wells, one can infer which areas are well
69 connected to the sea (i.e., those with high diffusivity), which is relevant because a key
70 issue in coastal aquifer management is where to pump and how much can be pumped.

71 Tidal response has been coupled with pumping tests to derive spatially varying maps of
 72 transmissivity (Alcolea et al., 2007; Alcolea et al., 2009).



73
 74 Figure 14: Factors affecting coastal aquifers level in response to seawater level fluctuations. The
 75 aquifer response is damped ($A < A_0$) and shifted (t_s) with respect to sea level. Hydraulic effect
 76 (Blue thin arrows) and Mechanical effect (thick arrows)

77 It is surprising that, given the advantages of the method, its practical application
 78 is scarce (Jiao & Tang, 1999; Liu, 1996; Nielsen, 1990; Van Der Kamp, 1972; Zhou et
 79 al., 2016). We attribute this paradox to several factors. First and foremost, JF's
 80 assumptions may not be appropriate because D_{h_A} and D_{h_S} should be identical whereas, in
 81 practice, they are not. This has prompted the derivation of numerous analytical solutions
 82 for more complex and realistic aquifer configurations such as confined aquifers connected
 83 to the sea far offshore (Guo et al., 2010; Guomin & Chongxi, 1991; Van Der Kamp,
 84 1972), coastal leaky confined aquifers (Chuang & Yeh, 2008; Jeng et al., 2002; Jiao &

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85 [Tang, 1999](#); [Li & Jiao, 2001b, 2002](#)), coastal aquifers with sloping beaches ([H. Li et al.,](#)
86 [2008](#); [Teo et al., 2003](#)), etc.

87 Mechanical effects (ME hereinafter) add a further complication to the
88 interpretation of the aquifer response to sea-level fluctuations. A rise in sea level can be
89 viewed as an increase in the load exerted by the sea on the seafloor. According to
90 Terzaghi's ([Terzaghi, 1954](#)) theory, this load is initially absorbed by the water as an
91 increase in water pressure. The overpressure is slowly dissipated as water flows away
92 inland (where pressure has not increased) and the load is taken up by the solid skeleton
93 (increase in effective stress), whose porosity is reduced. That is, water is squeezed by the
94 sea load. Acknowledging the mechanical effect has led to a large number of analytical
95 solutions, which require a leaky confined aquifers that extend below the sea and include
96 the effect of the fluctuating load ([Geng et al., 2009](#); [G. Li et al., 2008](#); [Li & Jiao, 2001a,](#)
97 [2003a, 2003b](#); [Li et al., 2007](#)). These solutions are integrated and generalized by
98 [Guarracino et al. \(2012\)](#).

99 The essential feature of these solutions is that (1) the amplitude observed in the
100 aquifer at the coast is about one half of that in the sea ([Van Der Kamp, 1972](#)) and (2) the
101 time-shift can be significantly reduced because the squeezing effect of the load is
102 proportional to the time derivative of the sea level, which is largest one quarter of the
103 period before the harmonic maximum ([Guarracino et al., 2012](#); [G. Li et al., 2008](#)).
104 Accounting for the mechanical effect is not particularly difficult, but it represents an
105 additional complication. As a result, modelers need to consider under which conditions
106 this effect should be accounted for.

107 Another feature of equations (1) and (2) is that large damping and time-shift
108 should be observed in unconfined aquifers where D_h is small (large storage coefficient,
109 SS [-]). However, significant head responses to tides have been observed several
110 kilometers inland in shallow unconfined coastal aquifers, such as in Spain (Llobregat
111 delta, internal communication, or Argenton aquifer, Martinez et al., 2022), in Hong
112 Kong (Jiao & Li, 2004; Merritt, 2004). We attribute these observations to the slow
113 mobilization of the storage. The storage coefficient of unconfined aquifers consists of two
114 components: an elastic component associated with compression of the aquifer ($S_s b$) and
115 a specific yield (S_y) component associated with pore dewatering. Meinzer (1932) himself
116 pointed “appreciable drainage may occur during a period of several weeks”, while
117 discussing of field estimation of S_y (see Dietrich et al. (2018) and Lv et al. (2021)). But
118 the issue was not formalized until it became necessary for the interpretation of pumping
119 wells, when it was labeled “delayed yield” (Boulton, 1954, 1963; Bouwer & Rice, 1978;
120 Neuman, 1972). Delayed yield implies only a fraction of the full storage coefficient (small
121 S) is actually mobilized during a tide period so that the unconfined aquifer reacts as if D_h
122 was larger than in reality. Yet, none of the solutions described above acknowledge
123 delayed yield in tidal response, which we conjecture may be important.

124 In light of the above considerations, the first relevant question when analyzing the
125 tidal response is how to treat the phreatic surface of the unconfined aquifers. A
126 complementary key question is when the mechanical effect must be considered. The study
127 is aimed at addressing these questions to give guidance on the appropriate conceptual
128 model for the tidal response method. To this end, we performed numerical simulations
129 considering the hydro-mechanical effect generated by tidal fluctuations. Our results aim

130 at yielding new insights into the tidal method, thus contributing to its application in
131 complex coastal systems.

2. Methods

2.1 Tidal method generalities

132 2.1.1 Governing equations

133 Sea level fluctuations cause heads to fluctuate in coastal aquifers hydraulically
134 connected to the sea (Figure 1-A). Aquifer heads also respond to the loading
135 effect of sea level fluctuations on the seafloor. According to Terzaghi's (1954) theory, an
136 increase in loading is initially reflected as an increase in water pressure, and dissipated as
137 water flows away (water is squeezed, Figure 1-B). The mechanical effect can be
138 included in the flow equation as a sink/source term (f) proportional to the time derivative
139 of the total stress (Bear, 1972). For tidal fluctuations, the induced mechanical effect is
140 represented by a sink/source term proportional to the time derivative of seawater level
141 (h_s), expressed as freshwater head (i.e., h_s is the actual sea level fluctuation multiplied
142 by ρ_s/ρ_f , where ρ_s and ρ_f are the densities of seawater and freshwater, respectively).
143 Including this term, fluid mass conservation is given by:

$$S_s \frac{\partial h}{\partial t} = \nabla(K \nabla h) + f \quad (3)$$

$$f = S_s L_e \frac{dh_s}{dt} \quad (4)$$

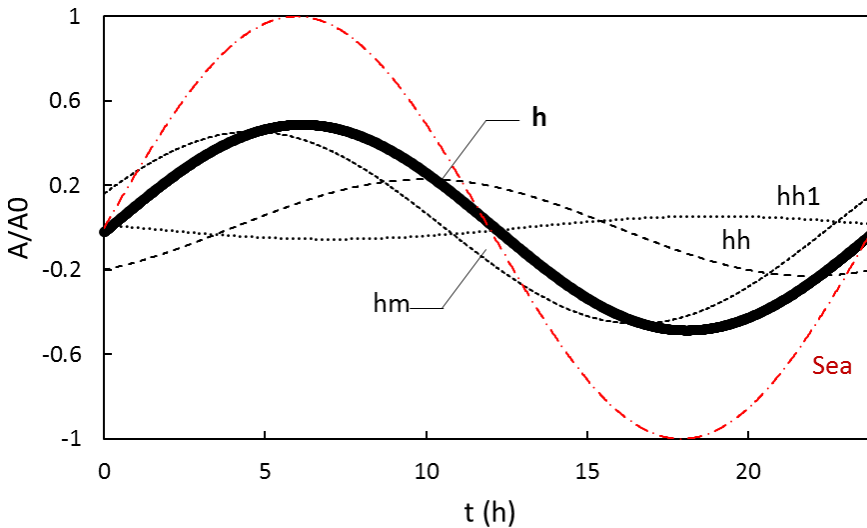
144 where S_s is specific storage [L^{-1}]; h is aquifer head [L] and the source/sink term f only
145 on the offshore part ($x > 0$, $f = 0$), L_e [-] the tidal loading efficiency, ~~defined by (Van~~
146 [der Kamp & Gale, \(1983\)](#) provide a full discussion of this equations and its associated
147 parameters. ~~L_e~~ describe the formation pressure change caused by a distributed change
148 of pressure at the bottom of the sea (Eq.5), defined by:

$$L_e = \frac{\alpha}{\alpha + \phi\beta} \quad (5)$$

149 where α is the (oedometric) compressibility of the aquifer skeleton, β is the
150 compressibility of the pore water in the confined aquifer and ϕ porosity [-]. Since coastal
151 sediments are usually young, they are a lot more compressible than water (i.e. $\alpha \gg \beta$).
152 Therefore, we will adopt $L_e = 1$ (see [G. Li et al. \(2008\)](#) and [Li et al. \(2007\)](#) for a collection
153 of values). Note that accounting for this term in numerical models simply requires adding
154 a time varying sink-source term given by Equation (4).

155 Insight into the nature of this effect can be gained from the analytical solution of
156 [Guarracino et al. \(2012\)](#). They express the tidal response observed in the confined aquifer
157 (h) as the sum of three different components: (i) h_h the direct hydraulic connection where
158 the aquifer opens the sea (horizontal blue arrows at the left of [Figure 1](#)~~Figure 1~~), (ii)
159 h_{h1} the hydraulic component caused by the indirect connection through the aquitard
160 (vertical blue arrows in [Figure 1](#)~~Figure 1~~), and (iii) h_m the mechanical component induced
161 by tidal loading (the red arrows in [Figure 1](#)~~Figure 1~~ compress the aquifer and squeeze
162 water away, thick blue arrows). [Guarracino et al. \(2012\)](#) analyze them in detail. The three
163 components are shown in Figure 2 for a specific case considering an aquitard with the

164 following parameters: aquitard thickness (b_1) of 5 m, hydraulic conductivity (K_1) of 0.01
 165 m/d, and a specific storage (S_{s1}) of $5 \cdot 10^{-4}$ 1/m and a confined aquifer with the
 166 following parameters: aquifer thickness (b) of 18 m, hydraulic conductivity (K) of 10 m/d
 167 and specific storage (S_s) of $5 \cdot 10^{-5}$ 1/m. Results are shown at the coastline ($x = 0$ m).
 168 Note that, for this example, h_m resembles h whereas the effect of leakage through the
 169 aquitard (h_{h1}) is small. However, real-world applications of the Guarracino et al. (2012)
 170 analytical solution remain complex and non-trivial. Therefore, to simplify the procedure,
 171 in this study we use the principle of superposition over numerical simulations and
 172 consider only two hydraulic components of the head response: (1) the hydraulic
 173 component (considering h_h and h_{h1} together) and (2) the mechanical contribution. To this
 174 end, we adopt a flow model (described in section 1.2) which includes periodic elastic
 175 compressions and expansions of the aquitard and the confined aquifer, similar to that of
 176 [Guarracino et al. \(2012\)](#) (Figure 3).



177

178 Figure 2 : The aquifer response (h , thick black line) to sea tides (red dashed line) obtain by
179 Guarracino et al. (2012) consists of the superposition of (1) h_h hydraulic connection at the open
180 offshore boundary; (2) h_{h1} hydraulic connection across the aquitard; and (3) h_m hydro-
181 mechanical response. Note that h_m reaches its maximum before sea level.

182 2.1.2 Phreatic surface and delayed yield

183 When the specific yield is released instantaneously (i.e., when water content in
184 the unsaturated zone is reduced instantaneously in response to a drop in aquifer head), the
185 phreatic surface boundary condition (Figure 3) is described by:

$$S_y \frac{\partial h}{\partial t} \Big|_{z=h} = -K_z \frac{\partial h}{\partial z} \quad (6)$$

186 Generally neglected in analytical solutions, the unconfined aquifer phreatic
187 surface also fluctuates with tides even far from the coast just like a confined aquifer. This
188 kind of observation has been largely documented for hydraulic tests (Batu, 1998; Boulton,
189 1954, 1963; Neuman, 1972). It explains the delayed water table response of unconfined
190 aquifers during a pumping test. Three drawdown phases are observed: (1) Drawdown
191 similar to a confined aquifer (Theis curve with small S) explained by wellbore and the
192 elastic storage, then (2) it reaches a pseudo steady-state (Hantush like) and finally (3)
193 water table decline again (Theis curve with large S). From (3) the specific yield (S_y) can
194 be derived after a long pumping test. In the case of tidal oscillations, phase (1) is observed
195 because it represents instantaneous mobilization of storage, but whether phases (2) and
196 (3) are mobilized depends on the tide period relative to the time it takes to mobilize the

197 delayed yield. The net result is that the unconfined aquifer acts like a semi-confined. So,
 198 if not all the part of the storage is mobilized instantaneously, Eq. 6 becomes Eq. 7.

$$\left\{ \begin{array}{l} S_{y0} \frac{\partial h}{\partial t} + \sum_{i=1}^N S_{yi} \frac{\partial h_i}{\partial t} = -K_z \frac{\partial h}{\partial z} \\ S_{yi} \frac{dh_i}{dt} = C_i(h - h_i) \end{array} \right. \quad (7a)$$

$$(7b)$$

199 where S_{y0} is the instantaneous specific yield and the immobile (or slowly yield) zones are
 200 characterized by their specific yield (S_{yi}) and conductance (C_i). Their characteristic time
 201 is $1/\alpha_i$ with $\alpha_i = C_i/S_{yi}$. To simplify the analysis, we neglect S_{y0} and consider $N = 1$
 202 so that $S_y = \sum_{i=0}^N S_{yi}$ (Boulton, 1963). We solve the system of equation (7) assuming
 203 $h = A_0 \sin \omega t$. Then, equation (7b) reads:

$$\frac{dh_f}{dt} = \alpha A_x \sin \omega t - \alpha h_f \quad (8)$$

204 It is easy to check that the long-term, solution to this equation is:

$$h_f = A_{Sy} \sin(\omega t - \varphi_{Sy}) \quad (9)$$

205 where $A_{Sy} = A_x(\alpha/\sqrt{\alpha^2 + \omega^2})$, $\varphi_{Sy} = \cos^{-1}(\alpha/\sqrt{\alpha^2 + \omega^2}) = \sin^{-1}(\omega/\sqrt{\alpha^2 + \omega^2})$.
 206 This solution points out that h_f behaves, both in terms of damping and time-shift, as if
 207 the fraction of specific yield actually mobilized is $\alpha/\sqrt{\alpha^2 + \omega^2}$. If $\alpha \gg \omega$ (i.e. fast
 208 response), then, $\varphi_{Sy} \approx 0$, $A_{Sy} = A_x$, and the full specific yield is mobilized (i.e., the
 209 aquifer behaves as if it was unconfined). The opposite occurs if $\alpha \ll \omega$, then $A_{Sy} \approx 0$,
 210 the aquifer behaves as if it was confined. Finally, if $\alpha \sim \omega$, the aquifer behaves as if it had
 211 an intermediate storage coefficient:

$$S_{Dy} = S_0 + S_y \frac{\alpha}{\sqrt{\alpha^2 + \omega^2}} \quad (10)$$

212 Another, simple approximation to the delayed yield effect can be obtained by
 213 observing that, Eq.3 and 4 with BC's (Eq. 7) is a linear system subject to a harmonic
 214 fluctuation forcing term. Therefore, any output will also be a harmonic fluctuation with a
 215 damped amplitude and a time-shift. To generalize Ferris solution ($h(x, t) =$
 216 $A_0 e^{-x/L_c} \sin(\omega t + x/L_c)$) we need to acknowledge that both the amplitude and the time-
 217 shift will damp, depending on x . We have fitted S to reproduce the additional damping,
 218 and found that a solution can be obtained by adopting

$$S_{Dy} = S_s \cdot b + S_y (1 - e^{-\frac{\alpha x}{t_{cz}}}) \quad (11)$$

219 where b is the aquifer thickness [L], $t_{cz} = 1/\alpha$, is the vertical characteristic time and a
 220 = 0.25, obtained from sensitivity analysis.

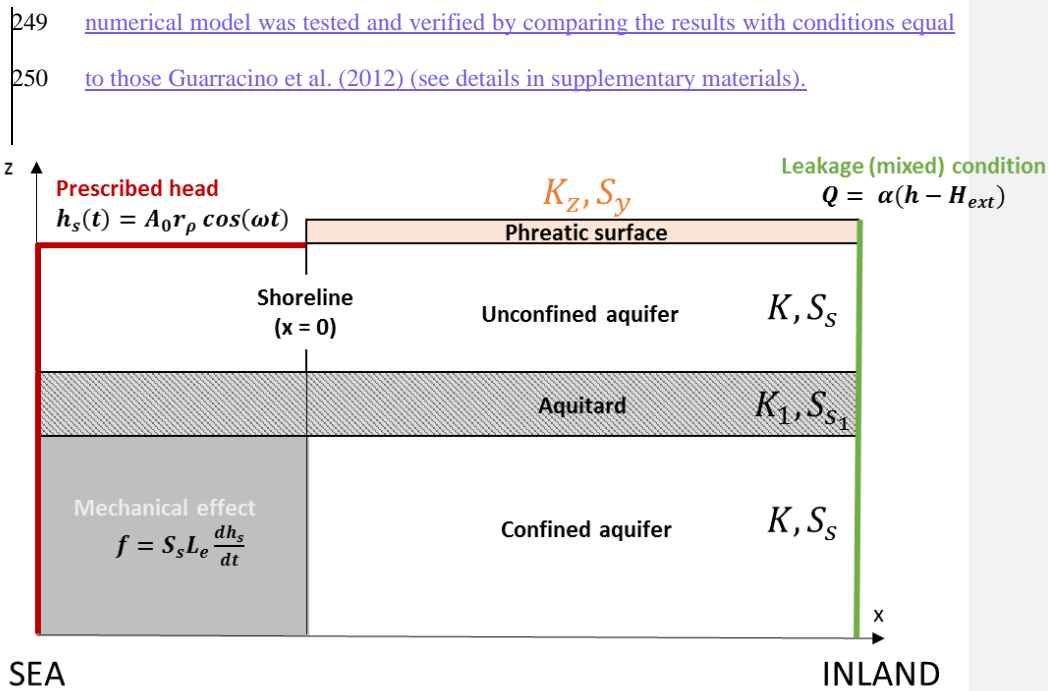
2.2 Numerical methodology

221 In this section, the numerical methodology is presented. Several sets of
 222 simulations were carried out to properly define aquifer characterization in coastal aquifers
 223 from the tidal method. First, we consider a fully homogeneous confined aquifer similar
 224 to the JF conceptual model. Additionally, more complex geometries have been considered
 225 in order to (i) simulate properly delayed yield effects and (ii) include layered
 226 configurations commonly found in sedimentary systems.

227 2.2.1 Numerical model setup

228 An idealized coastal aquifer represented by a 2D vertical section extended 250 m
229 offshore and 750 m onshore is considered in this study. The model domain was discretized
230 into 15.643 triangular elements, refined near the coast and the aquitard portions. We
231 simulate constant density water flow since previous research has shown that density
232 differences have little effect on fluctuations (Ataie-Ashtiani et al., 2001; Slooten et al.,
233 2010). The following boundary conditions were adopted (Figure 3). A Cauchy-type
234 boundary condition was imposed at the inland boundary (considered infinite in the
235 analytical solution). Thus, the inflow/outflow, $Q = \alpha (h - H_{ext}) [L^3 \cdot T^{-1}]$, depends on
236 the difference between the calculated head ($h, [L]$) and the reference imposed head at the
237 boundary ($H_{ext}, [L]$, which is taken as zero, since we are only working with fluctuations),
238 and the leakage coefficient ($\alpha, [L^2/T]$). This boundary condition reduces the possible
239 impact of boundaries because if the fluctuations reach the inland boundary, then h
240 becomes higher than H_{ext} and water is expelled out of the domain. Two different
241 boundaries (red lines in Figure 3) represent the seaward boundary where the tidal
242 fluctuation of the sea level is assumed to be sinusoidal and simulated with a Dirichlet
243 boundary condition $h_{ss}(t) = A_0 \sin(\omega t)$, with A_0 the amplitude and ω the tidal angular
244 velocity $[T^{-1}]$. In the submerged portion, the mechanical effect was applied through the
245 source and sink terms included as a recharge (f term in Eq.3). Models were run using the
246 [TRANSIN code \(Medina et al., 2004; Medina & Carrera, 1996\)](#) imposing tidal
247 oscillations until the dynamic quasi-steady-state was reached. [The boundary conditions](#)
248 [in this study differ from those of Guarracino et al. \(2012\). However, the precision of the](#)

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252 Figure 3: Conceptual model modified from [Guarracino et al. \(2012\)](#) indicating the boundary
 253 conditions applied for numerical simulations. Prescribed head is indicated by the red line, the
 254 gray rectangle indicates the mechanical boundary condition, the orange rectangle indicates the
 255 phreatic surface boundary condition, the inland boundary condition appears in green.

256 We have simulated several variations of the base case model displayed in Figure
 257 3 to assess the impact of delayed yield and mechanical effect. [Table 1](#) summarizes
 258 the base case parameters [which correspond to a homogeneous confined aquifer \(Erreur !](#)
 259 [Source du renvoi introuvable.Figure 4a\)](#). We varied the geometry and parameters to
 260 analyze the impact of the mechanical effect and the delayed yield. We simulated three
 261 sets of configurations: single aquifer (i.e., no aquitard), double aquifer (one aquitard) and

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262 multi-aquifer (several aquitards) systems. For each of these three systems, we considered
 263 three configurations. They are summarized in [Erreur ! Source du renvoi](#)
 264 [introuvable](#). Figure 4 and explained in the following. [In all simulations, aquifers and](#)
 265 [aquitards hydraulic parameters \(\$K_x\$ and \$S_s\$ \) are conserved. Moreover, they are both](#)
 266 [considered isotropic, so that \$K_x = K_z\$.](#)

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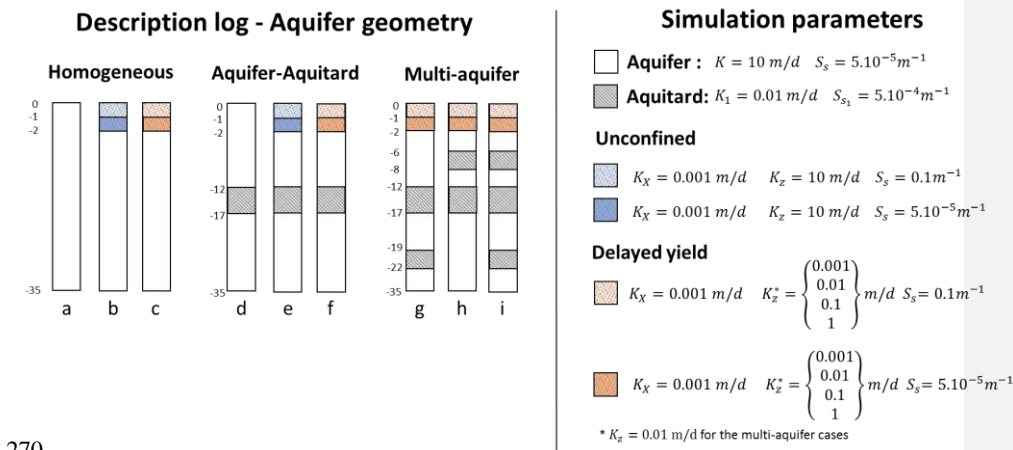
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267 **Table 1:** Parameters used in the base case simulation

Parameter	Value	Description
L_x (m)	1000	Domain x length
L_z (m)	35	Domain z thickness
A_0 (m)	1	Tidal amplitude
τ (h)	23.9	Tidal period
K (m/d)	10	Aquifer permeability or hydraulic conductivity
S_s (1/m)	5e-5	Aquifer specific storage
K_1 (m/d)	0.01	Aquitard permeability
S_{s1} (1/m)	5e-4	Aquitard specific storage
H_{ext} (m)	0	Reference external head
α (m ² /d)	0.1	Leakage coefficient inland
D (m)	250	Offshore aquifer extension

268

269



270

271 Figure 4: Simulation cases (presented in the form of geological logs in the inland portion) and
 272 parameters; Homogeneous cases: (a) confined (Base case), (b) unconfined, and (c) delayed yield;
 273 Two Aquifers cases: (d) confined, (e) unconfined, and (f) delayed yield; and Multi-aquifer
 274 systems: (g) 2 aquitards with a thick unconfined aquifer, (h) 2 aquitards with a thin unconfined
 275 aquifer, and (i) 3 aquitards. Single aquifer: the effect of delayed yield

276 2.2.2 Single aquifer: the effect of delayed yield

277 To assess the effect of delayed yield, we analyze three configurations varying the
 278 treatment of the phreatic surface: (a) fully confined (i.e., no specific yield, **Erreur !**
 279 **Source du renvoi introuvable**, Figure 4a) (Base case), (b) free surface (i.e., instantaneous
 280 yield, **Erreur ! Source du renvoi introuvable**, Figure 4b) and (c) delayed yield (**Erreur !**
 281 **Source du renvoi introuvable**, Figure 4c). The confined aquifer (a) is simulated by
 282 setting a no-flow boundary condition at the top of the aquifer. For cases b and c we adopt
 283 the approach of Carrera and Neuman (1986), which requires a geometry modification in
 284 the inland part of the model including two thin layers at the top with a thickness of 1 m

285 each. In the additional layers the permeability is assumed to be anisotropic ($K_x \ll K_z$),
286 such that the main component of the flow is vertical. If the hydraulic conductivity of these
287 layers is high, the aquifer behaves as unconfined. Otherwise, yield is delayed with a
288 characteristic time, $t_{cz} = S_y L_z / K_z$, where $L_z = 2$ m.

289 Hydraulic parameters applied to the unconfined (b) and delayed yield (c) cases
290 are presented in [Erreur ! Source du renvoi introuvable.Figure 4. A unique \$K_x\$ value is](#)
291 [considered for these additional layers.](#) We considered four values for the vertical
292 permeability (K_z) to study the sensitivity of the delayed yield boundary condition directly
293 affecting its characteristic time (t_{cz}). The resulting values of t_{cz} are: 0.1, 1, 10, and 100
294 days.

295 2.2.3 Double aquifer system: mechanical and delayed yield effects

296 Three configuration of a double aquifer system are considered by including an
297 aquitard ($b_1 = 5$ m) between an upper unconfined aquifer and a lower confined (actually
298 semi-confined) aquifer, while varying the simulation of phreatic surface of the upper
299 aquifer ([Erreur ! Source du renvoi introuvable.Figures 4-d to f](#)). This conceptual model
300 is similar to the one presented by [Guarracino et al. \(2012\)](#). This aquifer configuration is
301 considered to (1) assess the impact of the upper aquifer delayed yield on the deep aquifer,
302 and (2) examine the effectiveness of the mechanical component. Furthermore, the
303 simulation with the phreatic surface as delayed yield ([Erreur ! Source du renvoi](#)
304 [introuvable.Figure 4-f](#)) was duplicated with and without the mechanical effect by
305 removing the recharge term applied offshore.

306 2.2.4 Multi-Aquifer system

307 Finally, more realistic simulations are considered with three or more aquifer units
308 separated by semi-permeable layers ([Erreur ! Source du renvoi introuvable.](#)Figure 4-
309 g to i). Multilayered aquifers are commonly observed in coastal aquifers due to sequential
310 sedimentation. For these simulations, a vertical permeability of $K_z = 0.01$ m/d is adopted
311 for the delayed yield boundary, so that the equivalent t_{c_z} is 10 days.

3. Results and discussion

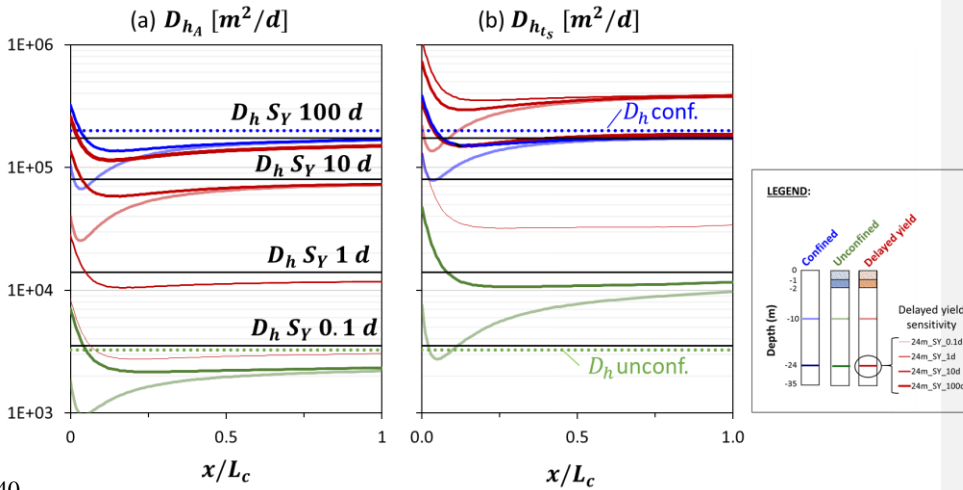
312 We simulate all the configurations described above by assuming that sea level
313 fluctuates as a sinusoidal wave with a period of 23.9 days. Given the linearity of the
314 system, results are always a sinusoidal head damped and lagged with respect to the sea,
315 as shown in Figure 1. Therefore, rather than showing the head evolution, we will simply
316 discuss amplitude damping and time-shift. In general, tidal fluctuations will contain
317 several harmonics (i.e., sea level will be equal to the sum of several harmonics, each with
318 its own period), but each will similarly to the one we discuss here.

3.1 Single aquifer: the role of delayed yield

319 Figure 5 displays the hydraulic diffusivity one would obtain when using amplitude
320 damping (D_{h_A} , Eq. 1) or time-shift ($D_{h_{t_{SS}}}$, Eq. 2). As a reference, we also display the true
321 hydraulic diffusivity ($D_h = T/S$), that is the one calculated using the parameters indicated
322 in [Erreur ! Source du renvoi introuvable.](#)Figure 4. For the delayed yield case, not all
323 storage is mobilized during a tidal cycle, therefore diffusivity is computed using the
324 “apparent” specific yield given by Eq. 10.

325 The fact that the observation points are located at some depth implies that there
326 will be some amplitude damping and time-shift, even close to the shore. Therefore,
327 Equations 1 and 2 would yield zero diffusivity for $x = 0$. To illustrate this point, we show
328 in Figure 6 the velocities computed at the tidal maximum for a confined, an unconfined
329 and a delayed yield. Velocities only become horizontal (i.e., according to Dupuit's
330 approximation) in the confined case. In order to address this vertical component of water
331 flux, we substitute x in equations 1 and 2 by $x_{corr} = \sqrt{x^2 + z^2}$. Note that this correction
332 helps somewhat near the shore, but become irrelevant when x is much larger than z .

333 The estimated hydraulic diffusivities for the confined case are similar to the “true”
334 ones (see D_h conf. in Figure 5, represented by dotted blue line), only a bit smaller because
335 of the vertical component of flux near the shore shown in Figure 6, confined case. This
336 translates into a small damping in amplitude and shift in time at the deep observation
337 well. The additional energy dissipation caused by the vertical water flux causes the
338 estimated D_h to be slightly smaller than the “true” one. These results confirm those of
339 [Todd \(1980\)](#) and [Erskine \(1991\)](#).



340
 341 Figure 5: Hydraulic diffusivity estimated from (a) amplitude damping (D_{hA}) and (b) the time-shift
 342 (D_{hTs}) for the single aquifer case with a confined (blue lines), unconfined (green lines) or a
 343 delayed yield (red lines) phreatic surface. Results are presented versus dimensionless distance
 344 from the coast (x/L_c , where L_c is the characteristic distance of every case). As a reference, true
 345 hydraulic diffusivities are shown for (a) confined aquifer (D_h conf., blue dotted line), (b)
 346 unconfined aquifer (D_h unconf., green dotted line), and (c) delayed yield cases (black lines,
 347 identified by the characteristic delayed yield time). Light colors represent observations at $z = -$
 348 10 m and dark colors at $z = -24$ m. Sensitivity of the delayed yield is presented in dark red lines
 349 with an increasing line thickness with the t_{cz} tested values (0.1, 1, 10 and 100 days).

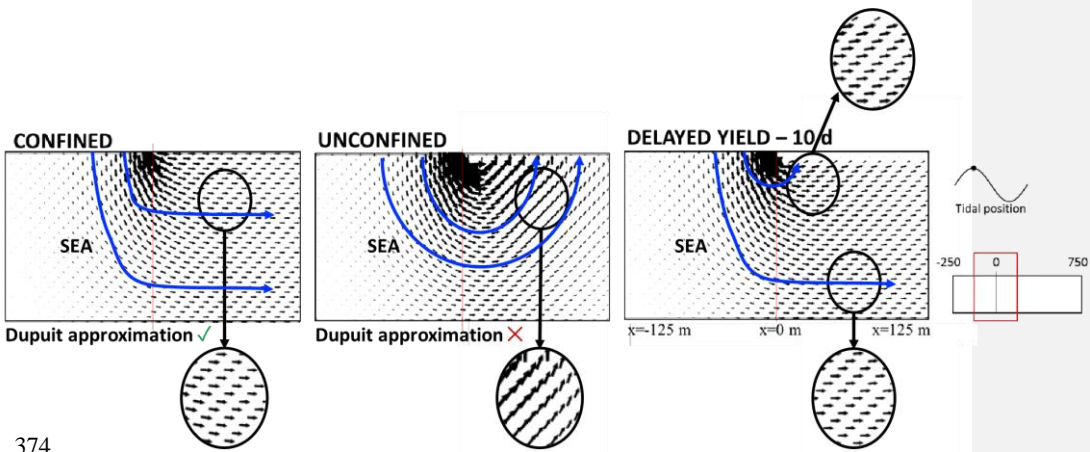
350 The two other cases (unconfined and delayed yield) are more shifted with respect
 351 to D_h conf. in Figure 5.

352 The effect of delayed yield is non-trivial. A long characteristic time implies that
 353 only a small fraction of storativity is mobilized. As expected, amplitude damping is only

354 a bit larger than the confined case (Figure 5a, $t_{c_z} = 100 \text{ days}$), and hydraulic diffusivity
355 obtained from the amplitude damping is a bit smaller than the confined case. This explains
356 why a tidal response has been observed far inland in unconfined aquifers (Jha et al. 2008;
357 Solórzano-Rivas et al., 2021; Vallejos et al., 2015). However, the result is opposite in
358 terms of time-shift (t_s), delayed yield seems to accelerate the signal propagation. While
359 one would expect, a lower amplitude to cause a higher t_s including the delayed yield leads
360 to smaller time-shift compared to the confined case leading to D_h values higher than D_h
361 conf. (Figure 5-b). This result highlights that diffusivities resulting from the time-shift are
362 less accurate than those obtained from the amplitude damping.

363 Increasing the delayed yield vertical characteristic time (t_{c_z}), leads to values of
364 D_{h_A} and $D_{h_{t_{s^*}}}$ that are close to D_h conf.. Similarly, when a small t_{c_z} is applied, the
365 phreatic surface presents an unconfined behavior. In all cases, the approximation of
366 Equation (10) works reasonably well for the mobilized specific yield.

367 Most analytical solutions neglect changes in the vertical dimension. However, the
368 analysis of head responses at two different depths ($z = -10 \text{ m}$ and -24 m) shows some
369 vertical differences in the 3 cases. Thus, strong vertical differences in the unconfined case
370 are observed, even at distance from the coast (Figure 5). These differences are more
371 significant for the time-shift (Figure 5b). This effect has been described for aquifers placed
372 below a confining layer that is connected to a free surface, designated as “capillary
373 exposed surfaces” (Bear, 1972).



374

375 Figure 6: Velocity fields for the homogeneous simulations. To emphasize vertical features, too

376 small to identify relative to the horizontal scale, the vertical component is exaggerated by a

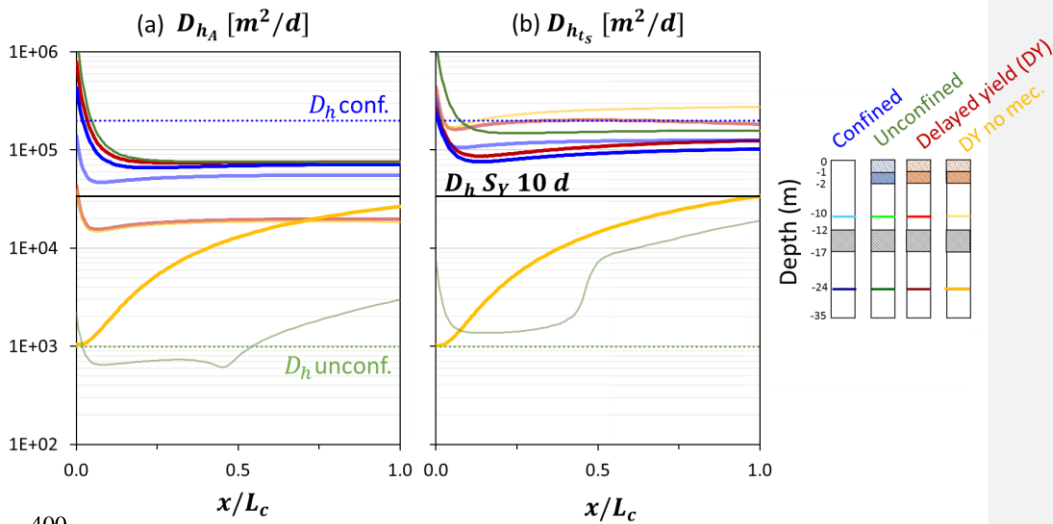
377 factor of 2.

378

3.2 Double aquifer system. Mechanical effect

379 Hydraulic diffusivity results for the case in which the aquifer is split by one
380 aquitard layer are presented in Figure 7. These results are complemented with maps of
381 the velocity fields (Figure 8), and a map of the amplitude damping factor (A/A_0) and the
382 dimensionless time-shift (ωt_s) (Figure 9).

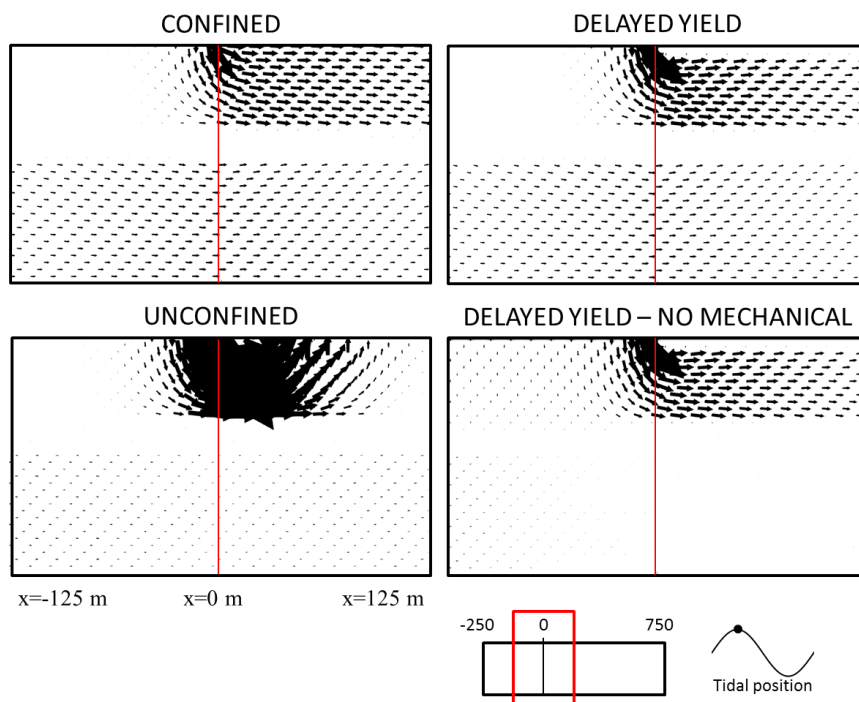
383 Hydraulic diffusivities of the upper aquifer derived from 10 m deep (light color in
384 Figure 7 for $z = -10$ m) are smaller than for the single aquifer case, in both estimations
385 (from damping and time-shift). That is, the presence of the deep aquifer leads to additional
386 damping and time-shift. Note that the true D_h for the confined case (D_h conf. in Figure 7)
387 remains unchanged, but a D_h is underestimated by a factor of 4 for the confined case and
388 2.5 for the delayed yield case (note that D_h Sy 10 d is reduced because transmissivity is
389 proportional to thickness, whereas the mobilized specific yield does not depend on
390 thickness). The unconfined case (light green line in Figure 7) is more interesting. D_h is
391 slightly underestimated for short distances, but becomes over estimated for distances
392 larger than $0.4 L_c$ (recall that L_c in Figure 7 is the one corresponding to the confined
393 aquifer, or some 250m, so that $0.4L_c \approx 3L_{c_unc}$). That is, the distance where D_{hA} (and
394 also D_{ht_s}) starts increasing coincides with the distance where the response along the upper
395 aquifer becomes negligible. This suggests that the response observed is the one
396 transferred from the deep aquifer (i.e., interference). Moreover, the break observed in the
397 curve as well as the precision of D_h after this distance cannot be considered since the
398 fluctuations are almost completely attenuated (0.02% of A_0). Numerically it would
399 require a mesh refinement for better accuracy.



400
 401 Figure 7: Hydraulic diffusivity estimated from (a) amplitude damping (D_{h_A}) and (b) the time-shift
 402 ($D_{h_{t_s}}$) for a two aquifers system. Differences results from the phreatic surface: confined (blue
 403 lines), unconfined (green lines), and a delayed yield (red lines) phreatic surface. Also, a delayed
 404 yield phreatic surface with no mechanical effect is presented (yellow lines). (a) Hydraulic
 405 diffusivity estimated from amplitude damping (D_{h_A}). (b) Hydraulic diffusivity estimated from the
 406 time-shift ($D_{h_{t_s}}$). They are presented versus dimensionless distance from the coast (x/L_c).
 407 Dotted lines represent the hydraulic diffusivity for a confined aquifer (blue) and for an
 408 unconfined aquifer (green). The black line represents the hydraulic diffusivity for the delayed
 409 yield for a vertical characteristic time of 10 d. Light colors represent observation at $z = -10$ m and
 410 dark colors at $z = -24$ m (mostly collapsed at the top of figure near D_h conf.).

411 The mechanical effect is apparent in the maps of velocities, A/A_0 and ωt_s (Figure
 412 8 and Figure 9). Mechanically driven flux in the deep aquifer is relevant from an offshore
 413 distance of the order of L_c . When the mechanical effect is neglected, flux is only relevant

414 near the offshore portion of the deep aquifer. Therefore, we must conclude that the
415 observed flux is mechanically driven. The impact of this flux in terms of time-shift is
416 moderate, but it is large in terms of amplitude. For the parameters of this example, there
417 is a positive time-shift at the shore (maximum response slightly delayed with respect to
418 the sea level maximum). Recall that the shift was negative for the parameters of Figure
419 2. Also, A/A_0 is slightly smaller than 0.5 at the shore. Still, for the computation is
420 diffusivities in all deep observation wells we have divided the sea level amplitude by a
421 factor of 2 to acknowledge the mechanical effect. The results appear to be adequate in
422 that the order of magnitude of the computed diffusivities is correct. The velocities display
423 several interesting features. First, they display a slight vertical downwards component in
424 the offshore portion, just below aquitard, despite the fact that no flux is apparent in the
425 upper aquifer. They also display a slight upwards component deep inland. In fact, though
426 hardly visible, flow in the aquitard is vertical and away from the center, which shows the
427 squeezing effect. Second, flux in the offshore portion of the aquifer is negligible when
428 the mechanical effect is acknowledged, but downwards when it is not. This suggests that
429 the hydraulic conductance effect caused by head fluctuations at the boundary is balanced
430 by the squeezing effect (loading causes all heads to fluctuate simultaneously). The
431 aquitard hinders vertical conductance of water across, so that the dominant flux below is
432 essentially mechanical. An implication of this finding is that the mechanical effect should
433 always be included in models (nothing is lost when it is irrelevant).



434

435 Figure 8: Velocity fields for the two aquifers simulations. The delayed yield case has also been
 436 simulated without mechanical effect. To emphasize vertical features, too small to identify
 437 relative to the horizontal scale, the vertical component is exaggerated by a factor of 2.

438 In spite of the above complexities, estimates of D_h are not quite good. D_{h_A} is some
 439 3 times smaller than the confined aquifer D_h , virtually identical for the three cases (conf.,
 440 unconf., and delayed yield). This similarity despite the above mentioned aquifer
 441 interference, suggests that interference has a moderate effect there the signal is strong.
 442 D_{h_s} is closer than D_{h_A} to the time value, but the estimates are more sensitive to the upper
 443 aquifer conditions. This implies that interference is more important for time-shift than for
 444 amplitude damping.

445 Two additional comments must be made. First, ignoring the mechanical effect
446 does not affect D_{h_A} estimates in the upper aquifer, but they do affect somewhat estimates
447 of D_{h_s} . Neglecting the mechanical effect in the deep aquifer is not acceptable.

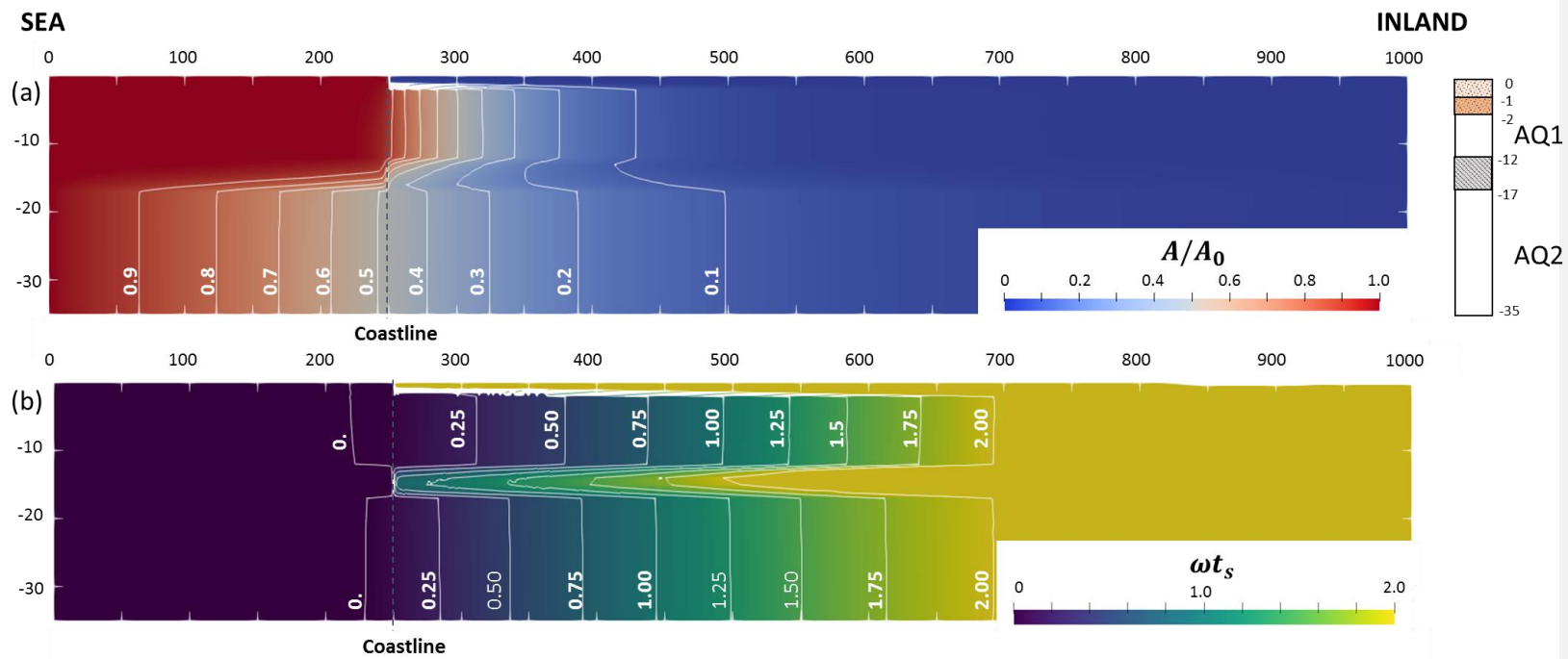
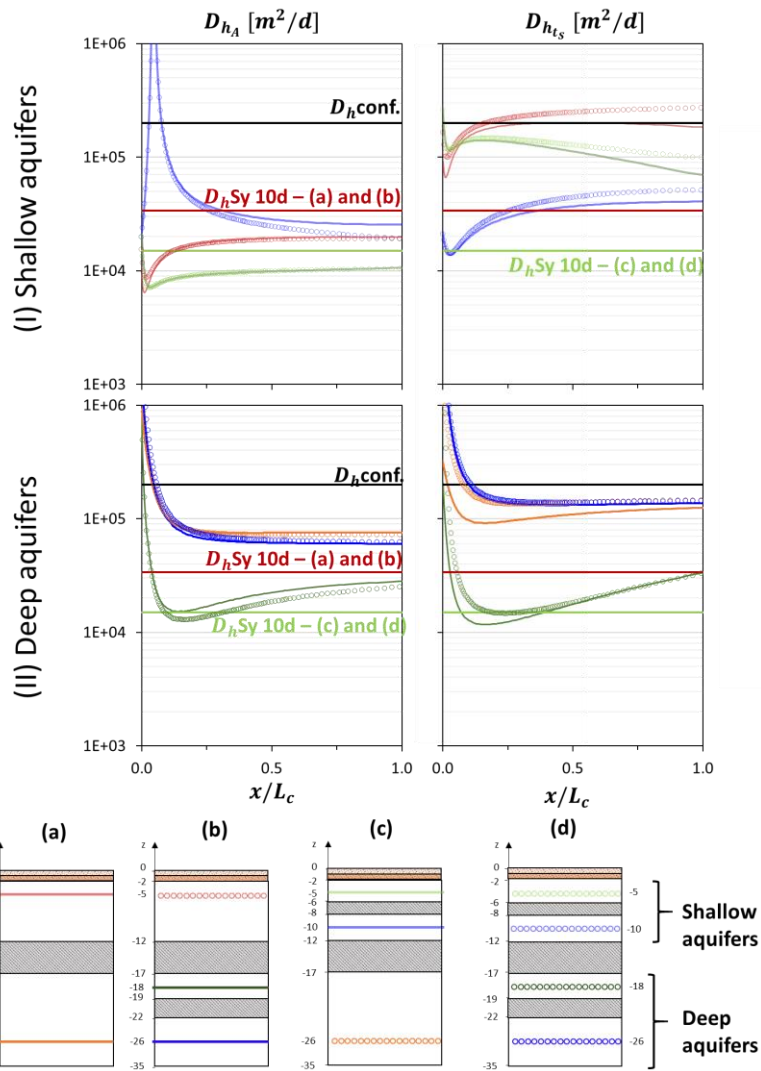


Figure 9: Amplitude attenuation (a) and dimensionless time-shift (b) distribution over the domain simulated for an aquifers-aquitard system with delayed yield

3.3 Multilayered aquifer

450 Results for the multilayer cases are presented in Figure 10 and complemented with
451 velocity fields in Figure 11. Results for the shallow aquifers, here represented by
452 : (i) two cases with a 10 m thick aquifer (cases a and b), and (ii) two cases with 1 m thick
453 aquitard dividing these upper aquifer (cases b and d). When we observe at 5 m depth, all
454 the simulations present an underestimation of D_{h_A} with respect to the true D_h . which
455 reflects that water flux into/out of aquitards is more significant. From the time-shift, $D_{h_{t_s}}$
456 is overestimated up to 1 order of magnitude. Moreover, we observed differences at high
457 distance between cases with the same shallow aquifer geometry. The only difference in
458 these cases is their deep aquifer geometry, indicating the influence of deeper layers.
459 Observations at 10 m depth presents some D_{h_A} values similar to results of deeper aquifers.
460 This is not the case, in terms of time-shift.

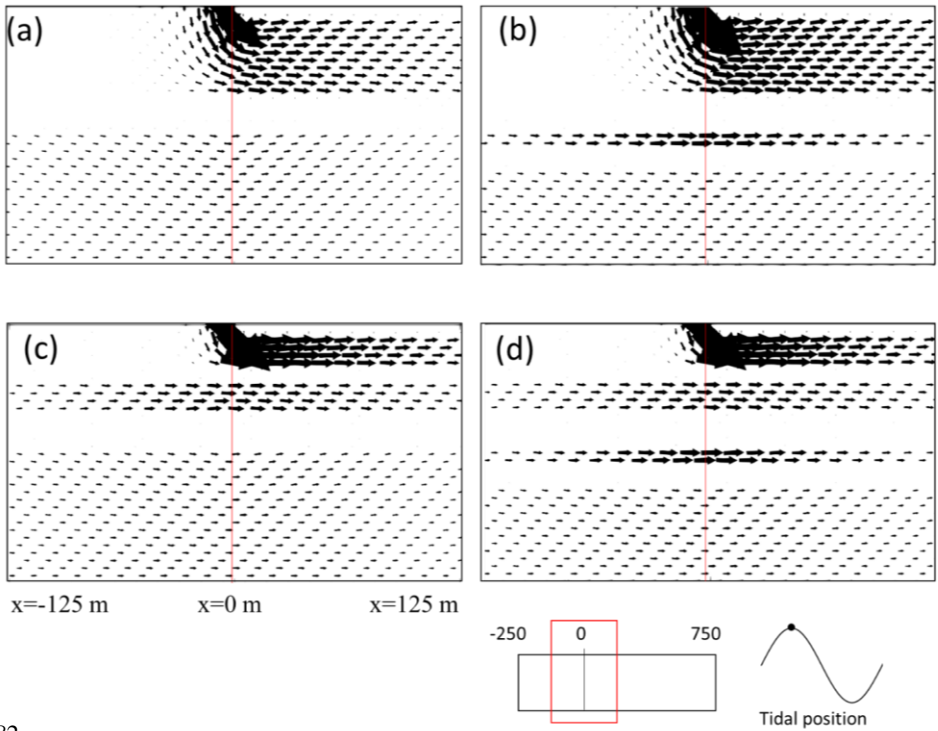


461

462 Figure 10: Estimated hydraulic diffusivity for multilayered aquifers with 4 different cases (a, b, c,
 463 and d). (I) Hydraulic diffusivity estimated at 5 and 10 m. (II) Hydraulic diffusivity estimated at 18
 464 and 26 m depth. They are presented versus dimensionless distance from the coast (x/L_c). We
 465 indicate aquifers with similar geometry by a same color with one case with a full line and one

466 case with round unfilled markers. Thus, at -10 m cases a and b are presented in light red and c
467 and d in light green; at -10 m cases c and d are presented in light blue; then at -18 m cases b and
468 d are in dark green and finally at -26 m cases a and c are presented in orange and b and d in dark
469 blue.

470 In deep aquifers, the considered cases are representing a large confined aquifer
471 (cases a and c) with 18 m of aquifer thickness and a system with a 3 m aquitard diving
472 two aquifers (cases b and d). We observed in deeper layers similar results as previously
473 with value tending to D_h conf. for observation at 26 m depth. These results are reflected
474 in the velocity fields, similar for all cases in the deeper aquifer (Figure 11). Therefore,
475 the observation at 18 m (cases b and d) are largely underestimating this value. Indicating
476 that fluctuations are rapidly damped, confirmed by their velocity fields. An interesting
477 feature of the velocity fields is that water fluxes are much larger in the thin than in the
478 thick aquifers. This reflects the much higher specific storage of the aquitards, which
479 implies a significant squeezing flux. This flux is reduced when distributed over a thick
480 aquifer but can be large in thin aquifers (larger than that in the upper aquifer where it
481 results from direct hydraulic connection to the sea).



482

483 Figure 11: Velocity fields for the multilayered aquifers. To emphasize vertical features, too small

484 to identify relative to the horizontal scale, the vertical component is exaggerated by a factor of

485 2. Letters refers to cases presented in Figure 10.

4. Conclusion

486 Recent research on tidal methods to characterize the hydrodynamic parameters
487 and connectivity to the sea in coastal aquifers has provided a more complete
488 understanding of the aquifer response to tidal fluctuations. Current findings suggest that
489 various components must be considered, such as the hydraulic part of the response
490 combined with the mechanical effect due to loading and unloading on the submerged
491 portion of the aquifer system. However, various open questions remained regarding the
492 consideration of some part of the system (unconfined aquifer) or due to the vague and
493 weak provisions about the mechanical effect. More importantly, delayed yield has been
494 ignored in tidal response research. These questions hinder applicability of tidal response
495 methods to real cases.

496 In this study, we have systematically analyzed the impact of the mechanical effect
497 and delayed yield on the response to tidal fluctuations in coastal aquifers. To this end,
498 different aquifer configurations and boundary conditions have been considered. Our
499 results demonstrate that the order of magnitude of hydraulic diffusivity is well reproduced
500 when using the JF equation based on amplitude damping provided that two precautions
501 are adopted: (1) near the shore, the actual distance to the shore (including the vertical
502 component) should be adopted, (2) in deep aquifers, where the response is mechanically
503 controlled, the sea level amplitude should be divided by two. The time-shift is affected
504 by numerous factors (interference, depth, phreatic surface) and we have not found a way
505 to account for them in an easy manner. But even if an appropriate D_h can be found, the
506 problem lies on its interpretation. If the aquifer is unconfined and the modeler assume

507 $T = D_h S$, with $S = S_s b + S_y$, then actual transmissivity can be overestimated by orders
508 of magnitude, because the effectively mobilized storativity can be far smaller than the
509 specific yield, which should be expected always. This point highlights the need to perform
510 relatively long pumping tests to complement tidal response analysis for robust
511 identification of coastal aquifer parameters.

512 The mechanical effect must be acknowledged below aquitards. Deep aquifers
513 react rapidly to tides, even faster than the shallow aquifer. The mechanical effect is
514 relevant even in relatively thin aquitards. Moreover, numerical results reveal the presence
515 of interferences between layers in multi-layered systems. The result is that the behavior
516 can be too complex for analytical solutions and implies the need for numerical modeling.
517 In fact, the conclusion that tidal response interpretation is complicated by numerous
518 factors implies that it contains information about all of them, so that it is worth including
519 tidal response data in any modeling effort. While this may sound too complex, modeling
520 the mechanical effect only implies adding a relatively easy sink-source term. Its simplicity
521 leads us to recommend always including it, as nothing is lost when the mechanical effect
522 is irrelevant.

523 This study focused only on simple sinusoidal sea-level fluctuations in coastal
524 aquifers. Further analysis considering real aquifer geometries and real sea-level
525 fluctuations with multiple harmonics should be examined in future investigations.

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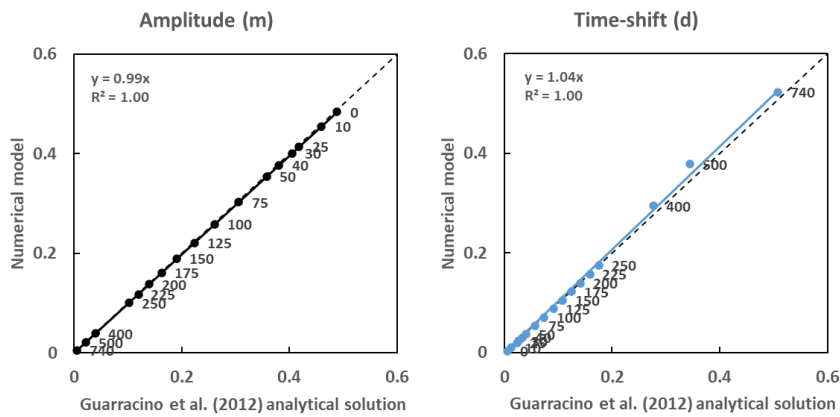
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[Supplementary materials](#)

670 [To ensure the numerical model accuracy we applied the same boundary conditions](#)
671 [as Guarracino et al. \(2012\) and compared the results. A comparison of amplitudes and](#)
672 [time-shift are presented in the figure below.](#)



673

674 [Figure A1: Amplitude and time-shift comparison between our numerical model and the](#)
 675 [analytical solution of Guarracino et al. \(2012\). Labels indicates distance from the coastline.](#)

676 [We observed that both the amplitude and the time-shift are well reproduced from](#)
 677 [the numerical model. Some small differences appear at distance with the time-shift that](#)
 678 [we attribute to the mesh which has thicker elements from 400 m distance from the coast.](#)
 679 [However, note that \$L_c\$ is equal to 250 m.](#)

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