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# Microstructure evolution under [110] creep in Ni-based superalloys

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## Abstract

Microstructure evolutions in Ni-based superalloys are investigated during [110] creep loading using 3D and 2D phase field simulations. A recently developed phase field model coupled to a crystal plasticity model based on dislocation densities is employed. The model uses a storage-recovery law for the dislocation density of each glide system and a hardening matrix to account for the short-range interactions between dislocations. We show that small misorientations of the tensile axis strongly modify the evolution: rafting is observed for small deviations, as opposed to a microstructure made of rod-like precipitates when loading is performed along a perfectly aligned [110] direction. Depending on the precise direction of the mechanical load, different evolutions are obtained accompanied by strong modification of the macroscopic creep behavior, explaining the variety of results observed experimentally. The relative role of inhomogeneous and anisotropic elastic and plastic driving forces is also investigated, plasticity being the main driving force for rafting in the considered case. In addition, our calculations show that the initial dislocation density slightly modifies the precipitates morphology whereas the creep curve is significantly impacted.

*Keywords:* phase transformation, crystal plasticity, phase field modeling, superalloys

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## 1. Introduction

Ni-based superalloys are widely used for aeronautical and industrial gas turbine applications due to their excellent mechanical behavior at high temperatures. They owe this behavior to their microstructure consisting of a high volume fraction of strengthening  $\gamma'$  cuboidal precipitates ( $L1_2$  ordered structure) embedded in a  $\gamma$  matrix (face-centered cubic solid solution). During service, directional coarsening (rafting) of  $\gamma'$  precipitates occurs, with a strong dependence on temperature and creep loading. Due to its technological importance, rafting has been extensively investigated during the last decades. Rafting has also been the focus of more fundamental studies as Ni-based superalloys appear to be a relevant candidate for investigating strong couplings between diffusion-controlled phase transformations, elasticity and plasticity.

Most experimental studies have focused on the creep properties of [100]-oriented single crystals because the [100] direction is the principal stress direction in monocrystalline turbine blades [2–4]. A good comprehension of the different factors influencing rafting under [100] creep now exists such as the role of the elastic inhomogeneity between the  $\gamma$  and  $\gamma'$  phase, the lattice mismatch, the applied stress and/or the plastic activity. However, in the root and shroud section of the blade or in some highly cooled complex blades, stresses may be significant in other orientations [5]. Therefore, it is necessary to investigate microstructure evolution and its associated mechanical behavior under more complex creep conditions.

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Several experimental studies have been carried out about superalloys under [110] creep loading [2, 6–18] but a number of points needs to be further addressed. First, there is a disparity of results regarding the observed microstructures. Kuttner et al [6] reported that, for a SRR99 superalloy under [011] tensile creep, the cubic morphology is maintained at 1033 K whereas a rod morphology perpendicular to the applied load is observed at 1173 K, in agreement with Tien and Copley for the Udimet-700 [3]. Tian et al [11, 12] investigated [110] tensile creep under high-temperature and low-stress creep conditions reporting rafting 45° away from the loading direction. In the case of the LEK 94 superalloy at 1293 K, a microstructure also made of rafts is observed but in two 45° directions in Agudo Jacome et al [13]. Tian et al [11, 12] hypothesized that their microstructure was the result of a small misorientation of the specimen (4°) from the [011] orientation. In addition, rafting appears to be a microstructural evolution quite sensitive to small microstructural differences [13, 14]. More recently, [011]-oriented samples of first-generation Ni-based single-crystal superalloys was investigated both experimentally and numerically. Using 3D volume reconstruction of SEM microscopy imaging, the cuboidal microstructure was shown to first elongate along the cubic axis perpendicular to the tensile axis, then form platelets by coalescence along the two other cubic directions [26]. However, complete rafting was not obtained in the 3D phase field simulations realized in the study. A second point that need to be addressed is the associated mechanical behavior resulting from the different microstructures observed and how they will affect the creep behavior of the material.

This paper aims at understanding the morphological transformation path of the  $\gamma/\gamma'$  microstructure during [110] creep loading, together with its mechanical properties, using phase field modelling. To improve our understanding, the influence of a small crystallographic deviation from the [110] direction, as well as the respective role of the elastic and plastic driving forces during this evolution, is investigated. The phase field method has emerged as a powerful method for tackling microstructure evolutions during phase transformations, especially when elastic coherency stresses are generated, enabling the simulation of complex evolution problems such as the formation of chessboard-like structures in decomposing Co-Pt alloys [19], the formation of complex multi-domain pattern during coherent hexagonal to orthorhombic transformation [20], the formation of complex laminates during displacive transitions [21] and the evolution of bimodal microstructures in polycrystalline superalloys [22]. In the context of rafting in Ni-based superalloys, a few works have naturally extended the phase field model to include isotropic plasticity [23] and anisotropic plasticity, either approximately [24] or relying on a more complete and well tested crystal plasticity framework [25, 26]. In this work, a recent modelling framework [27] in which a classical phase field model (for the description of the phase transformation) is coupled with a crystal plasticity model based on dislocation densities has been employed.

The paper is structured as follows. First, the phase field model and the dislocation density based crystal plasticity model, as well as their coupling, will be briefly described in §2. Data and input parameters specific to Ni-based superalloys will be carefully defined and specified with respect to available experiments. Next, the evolution of the two-phase microstructure under [110] creep load is analyzed in Section §3, first using 3D simulations (§3.1), followed by a 2D systematic study (§3.2).

## 2. Model

In this work, the Phase Field method has been used for its ability to handle easily morphological evolutions at the mesoscale and its ability to couple many phenomena. The main ingredients of the phase field model are briefly presented in this section. Further details can be found in Ref. [27].

### 2.1. Phase Field Model

At equilibrium, Ni-based superalloys feature both the disordered  $\gamma$  and ordered  $\gamma'$  phases. Following [25, 28, 29], the microstructure is characterized by a concentration field  $c(\mathbf{r}, t)$  and three long-range order parameter fields  $\eta_{i=1,3}(\mathbf{r}, t)$ . Indeed, the four translational variants of  $\gamma'$  are described by the following long-range order parameters:  $\{\eta_1, \eta_2, \eta_3\} = \eta_0\{1, 1, 1\}, \eta_0\{\bar{1}, \bar{1}, 1\}, \eta_0\{\bar{1}, 1, \bar{1}\}, \eta_0\{1, \bar{1}, \bar{1}\}$ . As the main ingredient of

phase field models, the mesoscopic free energy functional  $F$  is taken as the sum of a chemical  $F_{ch}$  and an elastic  $F_{el}$  contributions.

The evolution of the fields are governed by the following kinetic equations:

$$\frac{\partial c}{\partial t}(\mathbf{r}, t) = M \nabla^2 \frac{\delta F}{\delta c(\mathbf{r}, t)} \quad (1)$$

$$\frac{\partial \eta_i}{\partial t}(\mathbf{r}, t) = -L \frac{\delta F}{\delta \eta_i(\mathbf{r}, t)} \quad (2)$$

where the kinetic coefficients  $M$  and  $L$ , related to diffusion and structural relaxation respectively, are assumed constant.

In addition, static mechanical equilibrium can be safely assumed in diffusive phase transformations. It is numerically solved at each time step using a fixed-point algorithm [29].

### 2.1.1. Ginzburg-Landau free energy

The chemical free energy accounts for the volume free energy associated with phase transformation and interface energies. This free energy is given by a standard Ginzburg-Landau functional:

$$F_{ch}(c, \{\eta_i\}) = \int f_{hom}(c, \{\eta_i\}) + \frac{\lambda}{2} |\nabla c|^2 + \frac{\beta}{2} \sum_i |\nabla \eta_i|^2 d^3r \quad (3)$$

where  $f_{hom}(c, \{\eta_i\})$  is the free energy density of an homogeneous system characterized by the concentration  $c$  and order parameters  $\eta_i$ . The coefficients  $\lambda$  and  $\beta$  of the gradient terms, related to the energies of  $\gamma/\gamma'$  interfaces and  $\gamma'/\gamma'$  anti-phase boundaries, have been prescribed constant values. The free energy density of an homogeneous system  $f_{hom}(c, \eta_i)$  is approximated by a Landau polynomial expansion with respect to the order parameters as defined in [29].

### 2.1.2. Elastic energy

In the framework of linear elasticity, the potential elastic energy reads:

$$F_{el}(\underline{\xi}^{el}) = -V \underline{\sigma}^a : \underline{\xi} + \frac{1}{2} \int_V \underline{\xi}^{el} : \underline{\lambda} : \underline{\xi}^{el} dV \quad (4)$$

where  $\underline{\lambda}$ ,  $\underline{\xi}^{el}$  and  $\underline{\sigma}^a$  are the elastic moduli, elastic strain and applied stress tensors, respectively. To account for inhomogeneous elasticity,  $\underline{\lambda}$  is assumed to depend linearly on  $c(\mathbf{r})$  [29].  $\underline{\xi}(\mathbf{r})$  is additively decomposed into the elastic strain, the isotropic stress-free strain following Vegard's law, and the plastic strain such as

$$\underline{\xi}(\mathbf{r}) = \underline{\xi}^{el}(\mathbf{r}) + \underline{\xi}^0(\mathbf{r}) + \underline{\xi}^p(\mathbf{r}) \quad (5)$$

## 2.2. Mesoscopic Dislocation Density-based Crystal Plasticity Model (MPM)

### 2.2.1. Plastic strain

In fcc crystals, dislocations mainly glide in 12 favorable (octahedral) slip systems defined by the normal to the glide plane  $\underline{n}^\alpha$  and the slip direction  $\underline{m}^\alpha$ . In the small deformation framework, the plastic strain  $\underline{\xi}^p$  is the sum of the crystallographic slips  $\gamma^\alpha$  in each slip system  $\alpha$ , such that:

$$\underline{\xi}^p = \sum_\alpha \gamma^\alpha \underline{P}^\alpha \quad (6)$$

where  $\underline{P}^\alpha$  is the symmetric Schmid tensor defined by  $\underline{P}^\alpha = \frac{1}{2} (\underline{m}^\alpha \otimes \underline{n}^\alpha + \underline{n}^\alpha \otimes \underline{m}^\alpha)$ .

### 2.2.2. Resolved shear stresses

Glide of dislocations belonging to the system  $\alpha$  is driven by the resolved shear stress  $\tau^\alpha = \boldsymbol{\sigma} : \boldsymbol{P}^\alpha$  where  $\boldsymbol{\sigma}$  is the stress tensor. More precisely, dislocations will glide only if the resolved stress exceeds a certain threshold, the critical stress  $\tau_c^\alpha$ , which is expressed using a generalized Taylor formula which accounts for the interactions between slip systems in fcc crystals [30]:

$$\tau_c^\alpha = \mu b \sqrt{\sum_{\beta} a^{\alpha\beta} \rho^\beta} \quad (7)$$

with  $b$  the norm of the Burgers vector,  $\mu$  an average shear modulus and  $\rho^\beta$  the total dislocation density on the slip system  $\beta$ .  $a^{\alpha\beta}$  is the hardening matrix with  $\sqrt{a^{\alpha\beta}}$  the average strength of the interaction between slip systems  $\alpha$  and  $\beta$ . For the hardening matrix coefficients, we have employed the values for fcc crystals inferred in [31] from dislocation dynamics simulations.

### 2.2.3. Stockage and recovery law

The evolution of the dislocation density is given by a standard storage-recovery balance equation following Teodosiu et al. [32]:

$$\dot{\rho}^\alpha = \frac{1}{b} \left( \frac{1}{L^\alpha} - 2y_c \rho^\alpha \right) |\dot{\gamma}^\alpha| \quad (8)$$

where  $L^\alpha$  is the dislocation mean free path on slip system  $\alpha$  and  $y_c$  is a characteristic length associated with the annihilation process.  $L^\alpha$  depends on the density of obstacles encountered by dislocations, i.e. on the density of dislocations belonging to other slip systems. Following Kubin et al. [33],  $L^\alpha$  is assumed to be inversely proportional to the critical stress on the system:

$$L^\alpha = K / \sqrt{\sum_{\beta} a^{\alpha\beta} \rho^\beta} \quad (9)$$

where  $K$  is a material parameter.

### 2.2.4. Plastic flow rule

The dislocation density evolution is described with a phenomenological viscoplastic power law relating the plastic slip rates  $\dot{\gamma}^\alpha$  to the resolved shear stress  $\tau^\alpha$ , the backstress  $\tau_b^\alpha$  and the slip hardening  $\tau_c^\alpha$  given by [34]:

$$\dot{\gamma}^\alpha = \dot{\gamma}_0 \left( \frac{|\tau^\alpha - \tau_b^\alpha|}{\tau_c^\alpha} \right)^{\frac{1}{m}} \exp \left( -\frac{\Delta G^\alpha}{k_B T} \right) \text{sign}(\tau^\alpha - \tau_b^\alpha) \quad (10)$$

where  $\dot{\gamma}_0$  and  $m$  are the reference plastic shear rate and rate sensitivity exponent, respectively.  $T$  is the absolute temperature and  $k_B$  Boltzmann's constant. The resistance to slip in system  $\alpha$  is reproduced by the critical resolved shear stress  $\tau_c^\alpha$ , as well as by some back stress  $\tau_b^\alpha$  to account for a size dependency of plastic flow discussed hereafter. The exponential term corresponds to the thermally-activated dislocation motions where  $\Delta G^\alpha$  is the activation energy as defined in [27].

### 2.2.5. Back-stress

Back-stress terms are usually involved in continuous formulation of plasticity. These terms, as well as the local critical stress, are reminiscent of the short-range dislocation-dislocation correlations that are not resolved at the scale at which the continuous model is elaborated [35–37]. In other words, the back and critical stresses  $\tau_b^\alpha$  and  $\tau_c^\alpha$  should emerge from the coarse graining procedure that is needed to make a link between the microscopic and mesoscopic scales. Such a procedure has already been elaborated in the simplest situation where a single two-dimensional glide system is considered [37]. However, this coarse graining procedure has still not been performed in the general situation where multiple three dimensional

D (m <sup>2</sup> .s <sup>-1</sup> )	3 10 <sup>-19</sup>	
$\sigma_{exp}$ (mJ.m <sup>-2</sup> )	2	[22, 43]
$\delta$	-0.1%	[44, 45]
$C_{11}^{\gamma}$ (GPa)	197	
$C_{12}^{\gamma}$ (GPa)	144	
$C_{44}^{\gamma}$ (GPa)	90	
$C_{11}^{\gamma'}$ (GPa)	193	
$C_{12}^{\gamma'}$ (GPa)	118	
$C_{44}^{\gamma'}$ (GPa)	124	

Table 1: Physical parameters for AM1 superalloys at  $T = 950^{\circ}\text{C}$ .

glide systems are simultaneously active. Therefore, following Ref. [27, 38], we use for the present work the following back-stress expression:

$$\tau_b^{\alpha} = -\frac{\mu R^2}{8} \left[ \frac{1}{1-\nu} (\underline{\mathbf{m}}^{\alpha} \otimes \underline{\mathbf{m}}^{\alpha}) : (\nabla \otimes \nabla \gamma^{\alpha}) + 2 \sum_{\beta} \left( \sum_i \delta_s^{\alpha i} \delta_s^{\beta i} \underline{\mathbf{p}}^{\beta} \otimes \underline{\mathbf{p}}^{\alpha} \right) : (\nabla \otimes \nabla \gamma^{\beta}) \right] \quad (11)$$

with

$$\delta_s^{\alpha i} = \begin{cases} 1 & \text{for } (\alpha \text{ i}) = (4,13), (6,18), (8,17), (9,15), (10,16), (11,14) \\ & (1,16), (2,17), (3,18), (5,14), (7,13), (12,15) \\ 0 & \text{otherwise} \end{cases} \quad (12)$$

where  $\nu$  is Poisson ratio for isotropic materials and  $\underline{\mathbf{p}}^{\alpha} = \underline{\mathbf{m}}^{\alpha} \times \underline{\mathbf{n}}^{\alpha}$ . Edge dislocations are then parallel to  $\underline{\mathbf{p}}$  and screw to  $\underline{\mathbf{m}} = \underline{\mathbf{b}}/b$ . In the spirit of Ref. [38], the length scale  $R$  should be of the same order as the discretisation scale  $d$  used in the implementation of the model. Consequently, we have chosen  $R = 1.5d$ .

### 2.2.6. Coupling PFM & MPM

The MPM is coupled to the PFM presented in §2.1 through the expression of the total strain field (Eq. (5)).  $\underline{\boldsymbol{\varepsilon}}^p(\underline{\mathbf{r}})$  evolves according to Eqs. (6) and (10). In the ranges of temperature and stress considered, only  $\gamma$  undergoes plastic strain while  $\gamma'$  behaves elastically. To reproduce this behavior, all viscoplastic parameters have been set at their values in the  $\gamma$  phase and the governing equations  $\rho^{\alpha}$  and  $\gamma^{\alpha}$  are only activated inside  $\gamma$  [27].

## 2.3. Input parameters

### 2.3.1. Physical properties and phase field parameters

The physical properties and elastic constants used in the subsequent calculations are reported in Table 1. The inhomogeneous and anisotropic elastic constants have been retrieved from [46], where it has been shown that a large inhomogeneity of the shear modulus  $C' = (C_{11} - C_{12})/2$  is required to generate well-aligned cuboidal precipitates with aspect ratios close to the experimental observations of the AM1 superalloy.

Following [25],  $M$  is such as to recover the interdiffusion coefficient in  $\gamma$ :  $D = D_0 \exp(-\Delta U/k_B T)$  with  $D_0 = 1.45 \cdot 10^{-4} \text{ m}^2\text{s}^{-1}$  and  $\Delta U = 2.8 \text{ eV}$  [47]. We have used  $L = 100 M d^{-2}$ , where  $d$  is the grid spacing, to ensure that the overall microstructure evolution is controlled by bulk diffusion, which requires that the local relaxation of the order parameter is fast enough. We have used the equilibrium concentrations  $c_{\gamma}^0 = 0.15$  and  $c_{\gamma'}^0 = 0.231$  identified on the Ni-Al phase diagram at  $950^{\circ}\text{C}$  and set  $c_2 = 0.18$ . The procedure to select the gradient coefficients  $\lambda$  and  $\beta$  is detailed in [27].

### 2.3.2. Viscoplastic parameters

The coefficients of the hardening matrix  $a_{\alpha\beta}$  for superalloys are given in reference [31]. In Eqs. (7) and (11), the shear modulus  $\mu$  has been taken equal to  $\sqrt{C' C_{44}}$  where  $C_{44}$  and  $C'$  are the two shear moduli of the  $\gamma$  phase. The viscoplastic parameters presented in Table 2 are identified on the macroscopic mechanical behavior of the bulk  $\gamma$  phase using the experimental data of Espi e et al. [48] as described in Cottura et al. [27].

$b$ (nm)	$y_c$ (nm)	$K$	$\mu$ (GPa)	$\nu$	$\dot{\gamma}_0$ ( $s^{-1}$ )	$m$
0.256	1.6	80	48	0.31	0.001	0.04

Table 2: Viscoplastic parameters of the  $\gamma$  phase in the Ni-based superalloys.

## 3. Evolving two-phase microstructures

### 3.1. 3D rafting of Ni-based superalloys during creep

In this part, we present 3D simulation results of microstructure formation and evolution in Ni-based superalloys. Our goal is to investigate the widespread experimental observations on microstructure evolution and mechanical behavior in Ni-based superalloys during [110] tensile creep loading described in §1. First, we will test whether our elasto-viscoplastic phase field model is able to capture the main trends under such load using 3D simulations. A more quantitative analysis will be performed in the following section using 2D simulations (§3.2).

#### 3.1.1. 3D cuboidal microstructure

Using the phase field model presented in Section 2, we first perform a purely elastic 3D simulation in stress-free conditions to obtain a cuboidal microstructure. The model parameters, representative of a Ni-based superalloy [27], are listed in Table 1. The simulation box size is  $3.4^3 \mu\text{m}^3$ , discretised with  $512^3$  nodes ( $d = 6.7$  nm) with periodic boundary conditions. It has been shown that such discretisation is sufficient to correctly describe microstructure evolution [59, 46].

The microstructure obtained at  $T = 950^\circ\text{C}$  after  $t = 9$  h is presented in Fig. 1-a. The microstructure consists of  $\gamma'$  cuboidal precipitates (white regions) aligned along cubic directions and separated by well-defined  $\gamma$  channels (dark regions). The volume fraction of the  $\gamma'$  precipitates is  $f_v = 0.54$  and their average size is 395 nm. Note the presence of some larger areas of  $\gamma$  matrix phase also observed experimentally.

The autocorrelation function and Fourier transforms are often used to characterize the periodicity and anisotropy of experimental images [39]. In particular, it has been used to quantitatively analyse 2D Scanning Electron Microscopy images of Nickel-based superalloys [40, 41]. These quantities can also be computed in 3D to analyse the microstructures predicted by phase field models.

In the present work, we have calculated the spatial autocorrelation function defined as follows:

$$I(\mathbf{r}) = (\langle s(\mathbf{r} + \mathbf{u}) s(\mathbf{u}) \rangle - \langle s \rangle^2) / ((1 - \langle s \rangle)^2) \quad (13)$$

where brackets denote the spatial average over the simulation box

$$\langle f \rangle = \frac{1}{V} \int_V f(\mathbf{u}) d^3u \quad (14)$$

and where  $s(\mathbf{r})$  is an indicator function equal to  $-1$  in the matrix and  $+1$  in the precipitate. A given point belongs to a precipitate if the local concentration exceeds the threshold value  $c^* = (c_\gamma^0 + c_{\gamma'}^0)/2$ . Even if a

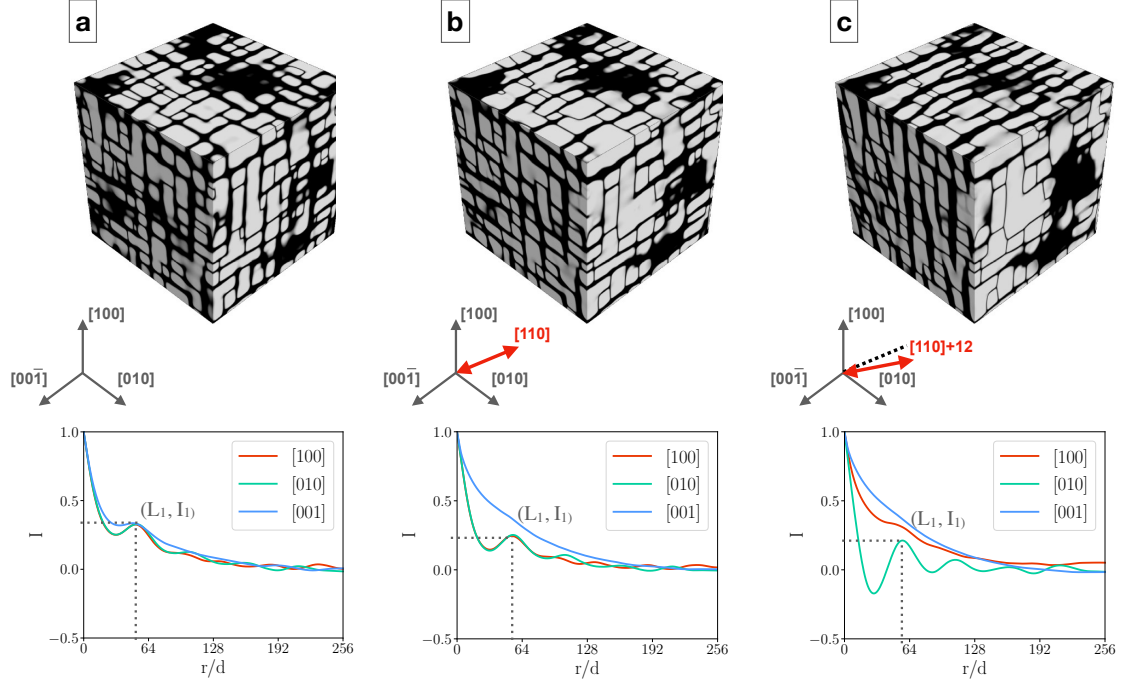


Figure 1: Top: concentration maps of a - the initial cuboidal microstructure and the microstructure obtained with the full elasto-viscoplastic model after  $t = 25.5$  min under b - [110] and c - [110]+12 tensile creep loading. Bottom: corresponding autocorrelation along the cubic axis.

threshold is not required to compute the auto-correlation function, one has been applied here to remove the signal due to the diffusive character of the interfaces.

The autocorrelation function  $I(\mathbf{r})$  computed along the cubic directions is presented in Fig. 1-a for the cuboidal microstructure. As expected, the value starts from 1 (perfect correlation) at  $\mathbf{r} = 0$  and decreases to 0 (no correlation) when  $|\mathbf{r}|$  is large enough.

The inverse  $L_2$  of the initial slope of the autocorrelation function  $I(\mathbf{r})$  is a characteristic length of the microstructure. For the case of an idealized microstructure consisting of identical  $\gamma'$  precipitates with the shape of a cuboid, it can be shown that this length is equal to  $(1 - f_v)a$  where  $f_v$  is the volume fraction of precipitates and  $a$  is the size of the cuboid along the considered cubic direction.  $L_2$  is therefore a relevant indicator for the description of the evolution of the precipitate size along a given cubic direction. In the initial microstructure,  $L_2^{[100]}$ ,  $L_2^{[010]}$  and  $L_2^{[001]}$  are equal to  $L^0 = (L_2^{[100]} + L_2^{[010]} + L_2^{[001]})/3 = 21d$ .

In addition, the presence of a local maximum  $I_1$ , with a value close to 0.33, can be seen for all curves at about  $L_1 = 51d$  revealing an imperfect periodicity of the microstructure in all three cubic directions for the distance  $L_1$ .

### 3.1.2. 3D microstructure evolution under tensile loading

Next, we investigate the  $\gamma/\gamma'$  microstructure evolution under [110] tensile creep load as well as the influence of a misorientation of the crystal lattice away from the perfect [110] orientation. In the rest of the paper, we will use the following notation: [110]- $\theta$  and [110]+ $\theta$  indicate a load along [110] with a misorientation of an angle  $\theta$  (in degrees) towards the [100] and [010] directions, respectively (Fig. 3 - right). We have also performed additional simulations with misorientation axis within the (110) plane (not shown). In these cases, the [100] and [010] directions remain equivalent, and no significant differences have been observed with the microstructure evolution under perfect [110] loading.



The cuboidal microstructure is submitted to a constant uniaxial stress of  $\sigma^a = 150$  MPa while holding the temperature at  $950^\circ\text{C}$ . In these conditions, plasticity is only active inside the  $\gamma$  channels, whereas the  $\gamma'$  phase behaves elastically [7]. The simulations are realized using both the elastic and full elasto-viscoplastic model presented in Section 2 with the material parameters listed in Tables 1 and 2. Only the elasto-viscoplastic results are presented in details here. The same initial homogeneous dislocation density on all slip systems  $\rho_0^\alpha = \bar{\rho}_0 = 2.6 \cdot 10^{12} \text{ m}^{-2}$  has been considered [49, 50].

In Figures 1-b and 1-c, the microstructure obtained after  $t = 25.5$  min under the perfect [110] and the [110]+12 oriented load are presented, respectively, using concentration maps. Their respective autocorrelation functions are also shown below each concentration map.

For the perfect [110] tensile load, the applied stress mainly leads to the coarsening of the precipitates along the [001] crystal orientation to form a microstructure made of rod-like precipitates elongated in a direction perpendicular to the tensile loading axis. First, the formation of rods by the merging of precipitates along [001] can be inferred from the autocorrelation function in Fig. 1-b (blue curve), where the local maximum  $I_1$  along the [001], characteristic of the microstructure periodicity, has disappeared. We have observed that it is no longer visible after about  $t = 4$  min (not shown). Second, the precipitate shape evolution can be seen in Fig. 2-a, where the characteristic precipitate length  $L_2$  along the [001] is shown to steadily increase during the whole simulation, while  $L_2$  along [100] and [010], first decrease within the first 5 minutes before reaching a nearly constant value. Note that we observe during the first few minutes a rapid precipitate shrinkage along [100] and [010] and the merging of the precipitates along [001] then the precipitates barely evolve along [100] and [010] but they keep on elongating slowly along [001].

A qualitatively different result is obtained when a misorientation is introduced ( $\theta = +12^\circ$ ). Indeed, a microstructure made of rafts with a normal along [010] is formed by the coarsening of the precipitates along the [100] and [001] directions. This microstructure evolution is clearly visible in the autocorrelation functions (Fig. 1-c), with two monotonously decreasing curves along [100] and [001], and the existence of a strong local maximum  $I_1^{[010]}$  along [010] (green curve). Note that the local maximum  $I_1^{[001]}$  disappears really fast ( $t = 3$  min, not shown), whereas  $I_1^{[100]}$  only slowly disappears and is still almost visible at  $t = 25.5$  min (red curve in Fig. 1-c). In addition, the precipitate shape change during the raft formation can be deduced from the evolution of the characteristic length  $L_2$ . As shown in Fig. 2-b, the evolution of  $L_2$  in the [010] and [001] direction behaves similarly as for the [110] applied load (Fig. 2-a), whereas the evolution of  $L_2^{[100]}$  is qualitatively different: after a small initial decrease, a monotonous increase is observed. This leads to the formation of plate-like precipitates normal to the [010] direction.

Note also that the strong elongation of the precipitate along the [001] direction in Fig. 2 is observed whatever the value of the misorientation. This point will be used in the following section to analyse the later stages of the microstructure evolution using two-dimensional simulations.

The consequences of plasticity on the microstructure evolution have been analysed by performing the same simulations without activating plasticity (not shown). For the [110] applied load, there are no significant differences up to  $t = 12$  min, then the subsequent rod elongation is faster in the purely elastic simulation. For the [110]+12 load, the elongation rates of the precipitates along the [100] and [001] directions to form rafts are increased by plasticity during the first few minutes. For later times, the main consequence of plasticity is to slow down the precipitate elongation along the [100] direction. The only other significant difference between elastic and elasto-viscoplastic simulations is in the curvature of the precipitates/matrix interfaces being more pronounced in the elastic case. The relative role of elastic and plastic driving forces will be discussed in more detail in §3.2.

In summary, starting from a cuboidal structure, our simulations lead to the formation of a microstructure made of rod-like precipitates (elongated in the [001] direction) under a tensile creep load along the [110] direction. In addition, a small misalignment of the loading axis from the [110] direction ( $\theta = +12^\circ$ ) is shown to induce the formation of rafts with a coarsening of the precipitates successively in the [001] and [100] directions. This point confirms that the formation of rafts during [110] creep loading can be explained

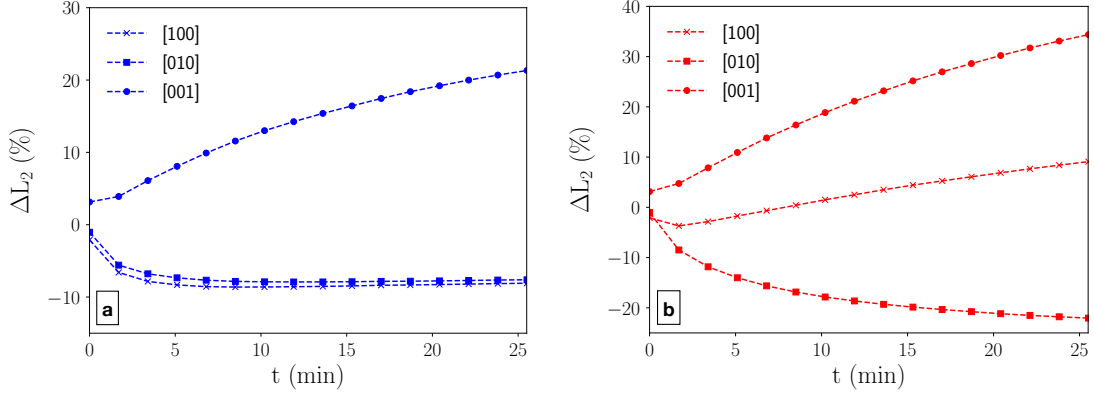


Figure 2: Evolution of  $\Delta L_2(t) = (L_2(t) - L^0)/L^0$  in the three cubic directions for the a - [110] and b - [110]+12 applied load.

by slight misorientations of the traction axis. Finally, the elasto-viscoplastic phase field simulations carried out by Gaubert et al. [26] on a very simplified microstructure containing a single precipitate (with periodic boundary conditions) showed an elongation of the precipitate in the [001] direction, but rafting was not observed, even for misoriented traction axis. Although this work relies on a different crystalline plasticity model, the comparison with our results strongly suggests that imperfections of shape, size and alignment of the cuboidal precipitates are necessary to obtain rafts.

### 3.2. 2D rafting of Ni-based superalloys during creep

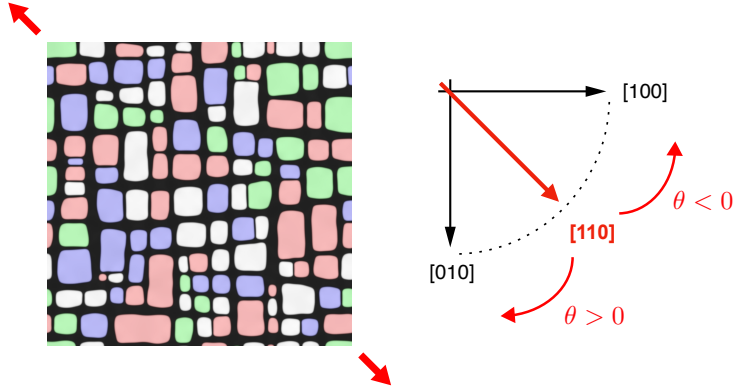


Figure 3: Left: Initial microstructure (colors indicate  $\gamma'$  variants) in  $4.6^2 \mu\text{m}^2$  periodic box. Red arrows represent the applied stress for the perfect [110] crystal orientation. Right: Diagram of the different misorientations applied to the microstructure.

To go further in our investigation, several 2D simulations are computed since the 3D cuboidal structure quickly coarsen along the [001] to form rods or platelets. As a result, we can realistically analyse the subsequent microstructure evolution under [110] creep loading by realising 2D calculations in the (001) plane. Furthermore, as they are less time-consuming a systematic study on different misorientations is now within reach, as well as longer timescales. Our results will also give us insights into the respective role of the elastic and plastic driving forces during the evolution. Then, the mechanical behavior will be investigated as well as the impact of the initial plastic state of the microstructure on the following evolution.

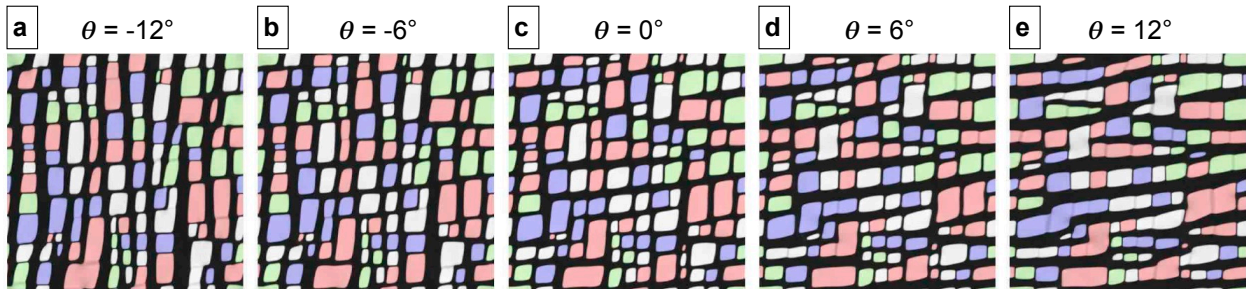


Figure 4: Microstructures obtained at  $t = 7.6$  h with an inhomogenous elasto-viscoplastic simulation for several misorientations  $\theta$  of the loading axis a)  $-12^\circ$ , b)  $-6^\circ$ , c)  $0^\circ$ , d)  $+6^\circ$ , e)  $+12^\circ$ .

### 3.2.1. 2D rafting with full elasto-viscoplastic model

The influence of different misorientations  $\theta$  is now investigated during microstructure evolution under  $[110]+\theta$  creep load using the full elasto-viscoplastic model presented in Section 2. 2D simulations of  $4.6^2 \mu\text{m}^2$  discretised with  $1024^2$  nodes are performed.

In a first step, starting from an initial disordered  $\gamma$  phase, the alloy is aged during 4.2 h at  $950^\circ\text{C}$  under stress-free conditions using the elastic phase field model parametrized with the material parameters listed in Table 1. The resulting microstructure consists of  $\gamma'$  cuboidal precipitates, as shown in Fig. 3 where the colors represent its four translational variants, aligned along cubic directions and separated by well-defined  $\gamma$  channels (dark regions). The volume fraction of the  $\gamma'$  precipitates is  $f_v = 0.62$ . The aspect ratio of each precipitate can be determined as the ratio between the smallest and largest lengths of the precipitate along the cubic directions. These lengths were defined as quadratic standard deviations from the central position of the precipitate following Cottura et al [46]. Using these measurements, we have characterized quantitatively the shape of the precipitates by the average aspect ratio  $\langle \ell_{min}/\ell_{max} \rangle$ . In the microstructure in Fig. 3, the precipitates average size is around 350 nm with an average aspect ratio of 0.77, consistently with their average cuboidal morphology, while the  $\gamma$  channels are around 95 nm wide.

In a second step, a constant uniaxial stress of  $\sigma^a = 150$  MPa is applied to the microstructure while holding the temperature at  $950^\circ\text{C}$ . The orientation of  $\sigma^a$  is chosen with different misorientation angles  $\theta$  from the perfect  $[110]$  orientation such as  $\theta = -12^\circ, -6^\circ, 0^\circ, +6^\circ, +12^\circ$  (Fig. 3 - right). For each case, the calculations are obtained using the full elasto-viscoplastic model considering the material parameters listed in Tables 1 and 2, as well as the same initial dislocation density on all slip systems  $\bar{\rho}_0 = 2.5 \cdot 10^{12} \text{ m}^{-2}$  in agreement with experimental assessments [49, 50].

In Fig. 4, snapshots of the final microstructure after 7.6 h under  $[110]+\theta$  creep load are presented. Consistent with the previous 3D results, we observe a microstructure made of cuboids under a perfect  $[110]$  load (Fig. 4-c) and the formation of elongated precipitates/rafts when a misorientation  $\theta$  from  $[110]$  is considered (Fig. 4-a-b-d-e). The results show that the sign of  $\theta$  selects the orientation of the rafts: when  $\theta < 0$ , vertical elongated precipitates are obtained, whereas they are horizontal for  $\theta > 0$ . Note that that in each case, precipitates are not perfectly aligned with the cubic directions, which will be further detailed in the following section (§3.2.2). In addition, even though  $\theta \leftrightarrow -\theta$  misorientations should lead to equivalent results by symmetry, a stronger alignment with the cubic directions is observed for the vertical rafts (Fig. 4-a-b). This is a consequence of the initial microstructure i.e. the initial alignment and distribution of precipitate variants, which breaks this symmetry and significantly impact the subsequent development of the rafts. However, we verified that the average characteristic quantities of the microstructure (volume fraction, the number and average size of precipitates, average aspect ratio) are almost equal in the  $\pm\theta$  simulations. Finally, we note that the rafts are more developed for large misorientations. We also verified that rafting increases with the misorientation (not shown) as in-phase precipitates aligned in the appropriate direction coagulate more easily. We have also performed several other simulations with misorientation axis within the  $[1\bar{1}0]$  plane (not shown). In

these cases, [100] and [010] directions remain equivalent, and we observed no significant difference with the microstructure evolution under perfect [110] loading.

### 3.2.2. Analysis of the elastic driving force for rafting

Starting from the cuboidal microstructure presented in Fig. 3, we now analyze the role of the elastic driving force by performing simulations in which plasticity is not included (Fig. 5).

Figure 5-c shows the microstructure obtained at  $t = 7.6$  h under a perfect [110] load. A coarsening of the microstructure is observed: the average precipitates size increases, mainly by Ostwald ripening where large precipitates grow at the expense of smaller ones by exchanging solute by diffusion through the matrix. When in-phase neighboring precipitates grow, very few coagulations take place as a high inhomogeneity on the shear elastic constant  $C' = (C_{11} - C_{12})/2$  ( $\Delta C' = 40\%$  here) inhibits such mechanisms in a microstructure with a high volume fraction of  $\gamma'$  [46].

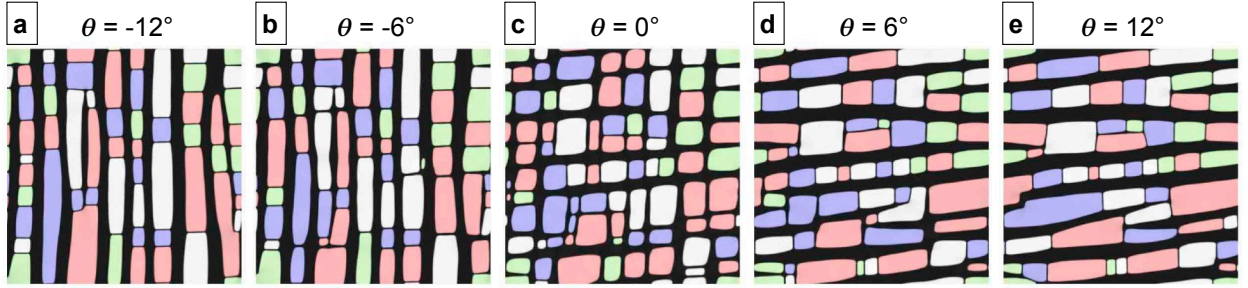


Figure 5: Microstructures obtained at  $t = 7.6$  h with an inhomogeneous elastic simulation with no plasticity for several misorientations  $\theta$  of the loading axis a)  $-12^\circ$ , b)  $-6^\circ$ , c)  $0^\circ$ , d)  $+6^\circ$ , e)  $+12^\circ$ .

During this coarsening, the precipitates remain cuboidal with an aspect ratio of 0.75 while locally aligning along two directions, which are close to the horizontal and vertical directions, without forming rafts. The orientation of the precipitates alignment is about  $5^\circ$  away from the cubic directions.

The orientation of the precipitate interfaces and the direction of their alignment can be analytically related to the elastic inhomogeneity and to the applied stress. Indeed, as detailed in 5, using a mean field approximation, the problem with an inhomogeneous elasticity under an applied stress, is equivalent to a problem of homogeneous elasticity with an effective eigenstrain. For a [110] loading axis, the effective eigenstrain  $\epsilon^*$  is

$$\epsilon_{11}^* = \epsilon_{22}^* = \epsilon^0 - \frac{\sigma^a}{12} \frac{\Delta C'}{\bar{C}'^2} \quad (15)$$

$$\epsilon_{33}^* = \epsilon^0 + \frac{\sigma^a}{6} \frac{\Delta C'}{\bar{C}'^2} \quad (16)$$

$$\epsilon_{12}^* = -\frac{\sigma^a}{4} \frac{\Delta C_{44}}{(\bar{C}_{44})^2} \quad (17)$$

where  $\bar{C}_{11}$ ,  $\bar{C}_{12}$ ,  $\bar{C}_{44}$  are the spatially averaged elastic constants, and where we have used the fact that the contribution of the inhomogeneity of the bulk modulus is negligible.

We first analyze the consequences of an elastic inhomogeneity on the shear moduli  $C'$ . When  $\sigma^a \Delta C' \epsilon_0 < 0$ <sup>1</sup>, as it is the case in this work, we have  $|\epsilon_{11}^*| = |\epsilon_{22}^*| > |\epsilon_{33}^*|$ . Therefore, (100) and (010) interfaces are elastically favored compared to (001) interfaces, which is consistent with the elongation of the microstructure along the [001] direction. If a small inhomogeneity  $\Delta C_{44}$  is added, off-diagonal terms appear in the effective eigenstrain. Therefore, the optimal orientations of the interfaces are expected to deviate from the cubic plane. This is consistent with the observation of a 5° angle away from the cubic directions in Figure 5-c. Note that the elastic soft directions can also be obtained as the orientations which minimize the elastic potential of a  $\gamma/\gamma'$  one dimensional laminate. These numerical calculations (not shown), performed with inhomogeneous elastic constants, confirm the rôle of the elastic inhomogeneity  $\Delta C'$  and  $\Delta C_{44}$  obtained from the analysis of the effective eigenstrain.

We now analyze the microstructure as a function of the misorientation with the [110] axis. As shown in Figure 5, we observe that the introduction of a non zero misorientation leads to the formation of rafts, consistently with 3D simulations. When  $\theta < 0$ , i.e. a loading axis closer to [100], elongated precipitates with a normal along [100] are obtained whereas their normal is along [010] for  $\theta > 0$ . While for  $\theta = 0$  the precipitates retained an aspect ratio of 0.75, for  $|\theta| > 0$  the values drop down to around 0.59, in agreement with the formation of platelets and rafts. Even with a small misorientation of  $|\theta| = 3^\circ$  (not shown), microstructure evolution is significantly modified: precipitates are already more elongated with an aspect ratio of 0.66 even if rafts are not formed yet.

The consequences of the misorientation can be explained by the mean field approximation. Indeed, the introduction of a small misorientation  $\theta$  changes the applied stress by the following quantity:

$$\delta \sigma_\theta^a = -\sigma^a \sin \theta \begin{pmatrix} \cos \theta & \sin \theta & 0 \\ \sin \theta & -\cos \theta & 0 \\ 0 & 0 & 0 \end{pmatrix} \approx -\sigma^a \theta \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad (18)$$

The right-hand side expression of Eq. 18 belongs to the Kelvin mode related to  $C_{11} - C_{12}$  (see appendix). The change of the effective eigenstrain due to the small misorientation  $\theta$  is therefore:

$$\delta \tilde{\epsilon}^* = 2 \sigma^a \theta \frac{\Delta C'}{C'^2} \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad (19)$$

From this expression, it appears that the consequences of a small misorientation is related to the inhomogeneity  $\Delta C'$ . In the case considered in this paper where  $\sigma^a \Delta C' \epsilon_0 < 0$ , we have seen that (100) and (010) interfaces are both elastically favored when the loading axis is exactly [110]. The eigenstrain increment in Eq. (19) lifts the degeneracy and, if  $\theta < 0$ , we have  $|\epsilon_{11}^*| > |\epsilon_{22}^*| > |\epsilon_{33}^*|$  so that the (100) interfaces are favored. On the contrary, if  $\theta > 0$ , the (010) interfaces are elastically favored. This conclusion is in agreement with the results presented in Fig. 5.

In brief, for the model superalloy studied in this paper, we have first shown that the elastic driving force favors the raft formation observed in the elastic-viscoplastic simulation (Fig. 4). Then, we have analysed the elastic driving force using a mean field approach. We have shown that the elastic driving force depends on the sign of the applied stress, of the elastic inhomogeneity and of the stress free strain. In particular, we have highlighted the importance of the inhomogeneity coefficient  $\Delta C'$ , which largely controls the elastic soft directions for a [110] loading axis, and which controls the raft orientation when a misorientation  $\theta$  is introduced.

### 3.2.3. Analysis of plasticity under [110] creep load

In this section, we first describe the main differences on the microstructure evolution when plasticity is accounted for. Then, we analyze the operating dislocation slip systems, starting with a perfect [110] loading axis, followed by a loading along [110]+12.

<sup>1</sup>When  $\sigma^a \Delta C' \epsilon_0 > 0$ , (001) interfaces are elastically favorable and rafts perpendicular to [100] are expected.

When comparing Fig. 4 and Fig. 5, it appears that after  $t=7.6$  h, the rafting is more developed for the elastic simulation. It can be explained by the really slow rafting kinetics when plasticity is accounted for as it has already been observed for [100] creep [27, 28]. Indeed, whereas the number of precipitates is cut-down in more than half for most simulations in the elastic case, it only decreases by around 25% at most ( $\theta = +12^\circ$ ) for the elasto-viscoplastic ones. In the latter, the average size of the  $\gamma'$  precipitates slightly decreases to 310 nm, and the volume fraction behaves similarly. In addition, careful analysis reveals that the interfaces are slightly different when plasticity is accounted for. The precipitates normal moves towards the tensile loading axis in agreement with the results obtained in Gaubert et al [26].

Under a [110] load, 4 out of the 12 glide systems of the fcc crystal are activated: B2, B4, C1 and C3 (Schmidt & Boas notation). As the systems are not collinear, we expect only a small influence of the hardening matrix on the microstructure evolution. In our simulations, all the active slip systems evolve almost identically, therefore only the evolution of the average dislocation density are presented in Figure 6 (full grey curves). Moreover, B2 & C1 are only active in the  $\gamma$  channels with a normal along [010], whereas B4 & C3 are only active in the  $\gamma$  channels with a normal along [100] (as schematised in Fig. 6).

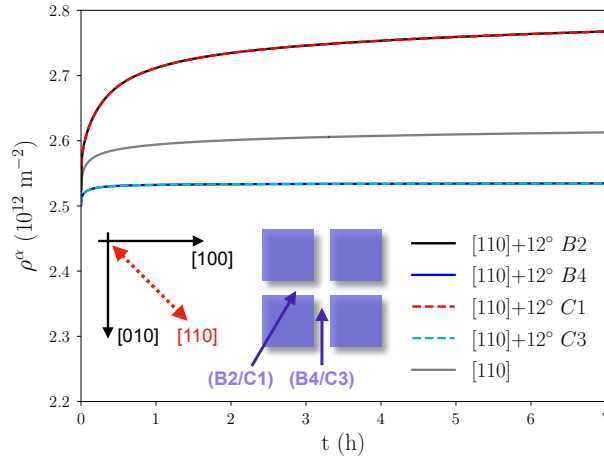


Figure 6: Time evolution of the dislocation densities  $\rho^\alpha$  for different directions of the applied load.

By assessing the elastic stresses in the initial cuboidal microstructure, we can understand the activation of the glide systems in our simulations. When the  $\gamma$  channels are very thin because of the high volume fraction of precipitates, the stress tensors  $\underline{\sigma}_{c[100]}$  and  $\underline{\sigma}_{c[010]}$  inside the channels with a normal along [100] and [010], respectively, can be assumed constant and expressed as:

$$\underline{\sigma}_{c[100]} = \begin{pmatrix} \sigma_1 + \sigma^a/2 & \sigma^a/2 & 0 \\ \sigma^a/2 & \sigma_2 + \sigma^a/2 & 0 \\ 0 & 0 & \sigma_2 \end{pmatrix} ; \quad \underline{\sigma}_{c[010]} = \begin{pmatrix} \sigma_2 + \sigma^a/2 & \sigma^a/2 & 0 \\ \sigma^a/2 & \sigma_1 + \sigma^a/2 & 0 \\ 0 & 0 & \sigma_2 \end{pmatrix} \quad (20)$$

where  $(\sigma_1, \sigma_2)$  are the non-zero components of the coherency stress and  $\sigma^a$  is the applied stress. A rough estimate from the simulations (or considering 1D laminate elastic calculations) gives  $\sigma_1 \approx 0$  and  $\sigma_2 \approx 50$  MPa for the stresses inside the channels generated by the microstructure under stress-free conditions. From this estimate, in the channels with a normal along [010], the primary slip systems are B2 & C1 which are associated with the resolved shear stress  $\sigma_r = (\sigma_2 - \sigma_1 - \sigma^a)/\sqrt{6}$ . In the channels with a normal along [100], the primary slip systems are B4 & C3 with the same  $\sigma_r$ . All the other slip systems are characterized by lower values.

As seen in Figure 6, when a misorientation  $[110]+\theta$  is considered, the systems B2/C1 (black/red curve) are differentiated from B4/C3 (dark/light blue curve). For  $\theta = +12^\circ$ , after a rapid increase, the average



dislocation densities on B2/C1 reach a higher value  $\bar{\rho} = 2.76 \cdot 10^{12} \text{ m}^{-2}$  compared to  $\bar{\rho} = 2.53 \cdot 10^{12} \text{ m}^{-2}$  on the glide systems B4/C3. We observe that plasticity happens mainly in the channels that are formed during rafting: the horizontal ones for  $\theta > 0$ .

An analysis similar to the one proposed for the [110] perfect load can be performed but the applied stress tensor is now modified by the quantity  $\delta\sigma_\theta^a$  defined in Eq. (18). For the systems B2 & C1 in the [010] channels, the additional stress modifies the resolved shear stress  $\sigma_r$  by  $\sigma_a \sin\theta(\sin\theta - \cos\theta)$ . As  $\sigma_r < 0$  for  $\theta = 0$ , it means that a misorientation  $\theta > 0$  leads to an increase of  $\sigma_r$  in absolute value and thus plasticity is expected to be favored. On the contrary,  $\theta < 0$  leads to a decrease in absolute value of  $\sigma_r$ . For the systems B4 & C3 in the [100] channels, the additional stress modifies  $\sigma_r$  by  $\sigma_a \sin\theta(\sin\theta + \cos\theta)$ . It means that now  $\theta > 0$  leads to a decrease in absolute value of  $\sigma_r$  whereas  $\theta < 0$  will increase it and promote plasticity. Therefore, when  $\theta > 0$ , we predict that plasticity will develop more easily in the [010] channels (glide systems B2 & C1) than in the [100] channels (glide systems B4 & C3). This prediction is consistent with our simulation results, and the final orientation of the rafts corresponds to the channels where plasticity most efficiently reduces the local stresses.

### 3.2.4. Analysis of the driving forces

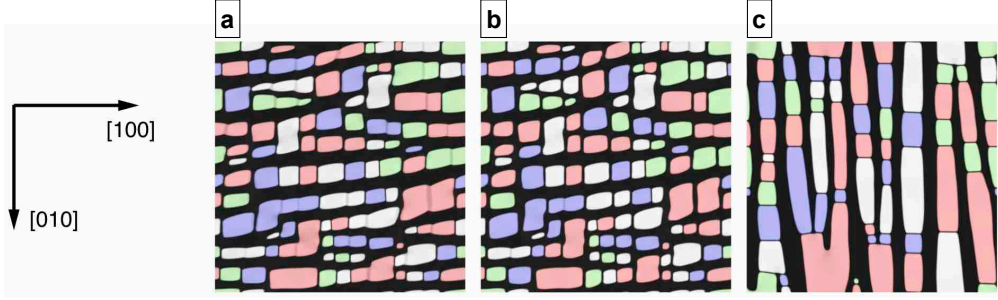


Figure 7: Snapshots of the microstructure in a  $4.6^2 \mu\text{m}^2$  periodic box after  $t = 7.6 \text{ h}$  under  $[110]+12^\circ$  creep load in: a - the homogeneous elasto-viscoplastic case, b - the switched elastic constants inhomogeneous elasto-viscoplastic case and c - the switched elastic constants inhomogeneous elastic case.

In this part, we analyze the plastic driving force for rafting using two model cases. First, we have performed a simulation in which the elastic driving force for rafting is removed. The latter resulting from elastic inhomogeneity, this can be easily done by performing an elastically homogeneous simulation i.e. in which the elastic constants of the  $\gamma$  and  $\gamma'$  phases are set to the same value. The results of such a simulation is presented in Fig 7-a, where we have used the elastic constants of the  $\gamma$  phase and a misorientation  $\theta = +12^\circ$ . The obtained microstructure is rafted, indicating that plasticity alone induces rafting when a misorientation is present. In addition, Figures 7-a and 4-e are very similar suggesting that the plastic driving force is dominant. We have confirmed this point by performing two simulations where we have switched the elastic constants of the  $\gamma$  and  $\gamma'$  phases. This will not significantly modify the plastic driving force but it will change the sign of the elastic one. The change is clearly visible in the purely elastic simulation presented in Fig. 7-c, where vertical rafts are now observed with  $\theta = +12^\circ$  instead of the horizontal ones shown previously in Fig. 5-e. The elasto-viscoplastic simulation represented in Fig. 7-b clearly demonstrates that the raft orientation is dictated by the plastic driving force as horizontal rafting is observed. Even if this result is not general, the model is clearly adapted to investigate the respective influence of the driving forces on microstructure evolution on a specific alloy.

### 3.2.5. Macroscopic mechanical behavior

The macroscopic mechanical behavior is investigated. In Fig. 8, the average plastic strain  $\epsilon_T^P$  evolution along the tensile direction  $\vec{T}$  is plotted for the [110] creep load. A primary creep stage featuring a rapid increase

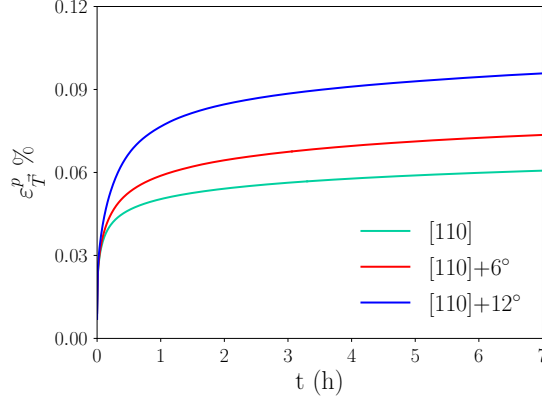


Figure 8:  $\varepsilon_T^P$  along the tensile direction vs time for different applied loads and an initial dislocation density of  $\bar{\rho}_0 = 2.5 \cdot 10^{12} \text{ m}^{-2}$ .

of  $\varepsilon_T^P$  is observed when  $t < 1 \text{ h}$ . Then, the evolution becomes slow and almost linear reaching a value of approximately 0.061% after 7 h (green curve). During this secondary creep stage, the microstructure barely evolves. Finally, Figure 8 also reports the evolution of  $\varepsilon_T^P$  for the two positive misorientations  $\theta = +6^\circ$  and  $+12^\circ$ . The overall behavior is similar to the perfect [110] load with a rapid primary creep stage followed by a slow almost linear secondary stage. Note that at the end of the primary creep stage, a rafted microstructure is observed for both misorientated cases while the perfect [110] presents a cuboidal structure. Plasticity develops faster for an increasing misorientation with a value around 0.074% and 0.096% for  $\theta = +6^\circ / +12^\circ$  (red and blue curves) after 7 h, respectively. It is consistent with a faster formation of well-defined rafted structure (Fig. 4-d-e).

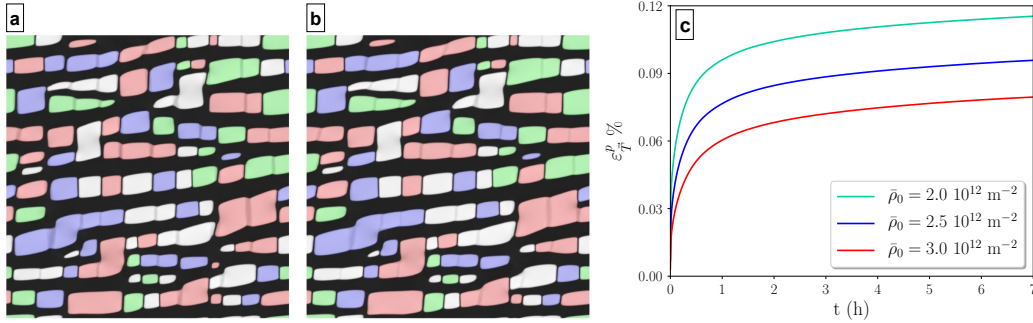


Figure 9: Snapshots of the final microstructure after  $t = 7.6 \text{ h}$  under  $[110]+12^\circ$  creep load: a -  $\bar{\rho}_0 = 2.0 \cdot 10^{12} \text{ m}^{-2}$  and b -  $3.0 \cdot 10^{12} \text{ m}^{-2}$ ; c - corresponding evolution of the average plastic strain  $\varepsilon_T^P$ .

Finally, we look into the influence of the initial dislocation density on the subsequent microstructure evolution. It is quite hard to precisely measure a dislocation density in a material. Therefore, it is important to assess how our previous results are affected by this parameter in our simulations. As before, we focus on the case of rafting under a  $[110]+12^\circ$  tensile load using the full elasto-viscoplastic model. In Figure 9-a-b are represented the microstructures obtained for a variation of  $\pm 20\%$  of the initial dislocation density  $\bar{\rho}_0$  employed previously. Rafts are slightly more developed when employing a higher initial dislocation density but overall microstructure evolution is barely modified by the change. However, the macroscopic mechanical behavior is significantly impacted, as shown in Fig. 9-c, with both the primary and secondary creep stages exhibiting differences. A lower initial dislocation density allows for a faster increase of the plastic strain during primary creep and a more deformed material. Indeed, a higher value is reached with  $\varepsilon_T^P = 0.11\%$  (green curve) compared to 0.08% for the higher initial density at the end of the treatment (red curve).



## 4. Conclusion

In this paper, an investigation on microstructure evolution under [110] creep load in a model superalloy is realized. We used a Phase Field model coupled to a dislocation density based crystal plasticity model we previously developed. It accounts for the anisotropy as well as the size-dependence of the plastic activity, which is expected when plasticity is confined in region below few microns in size. It also includes a storage-recovery law for the dislocation density of each glide system and a hardening matrix to account for the short-range interactions between dislocations. The model is used to address the microstructural evolution in Ni-based superalloys in creep conditions during which plasticity only proceeds inside the  $\gamma$  phase. Most of the important physical phenomena, such as the presence of different crystallographic  $\gamma'$  variants, their misfit with the  $\gamma$  matrix, the elastic inhomogeneity and anisotropy, the hardening, anisotropy and viscosity of plasticity are included.

First, 3D simulations of microstructure formation and evolution in Ni-based superalloys during creep with tensile loads close to the [110] are realized. Starting from a cuboidal structure, our simulations lead to the formation of a microstructure made of rod-like precipitates under a constant stress along the perfect [110] direction. Our results also show that small misalignment from this axis is required to observe rafting of the precipitates as it has been observed experimentally. First, a rapid coarsening along the direction [001] perpendicular to the applied load is observed, then platelets are formed using a purely elastic or a full elasto-viscoplastic model.

Note also that the autocorrelation function employed to analyse our results is well-suited to describe and quantify changes in microstructure evolution. It is particularly useful for 3D simulations where microstructural changes might be hard to distinguish considering the number of events ; in our case, it allowed us to i) distinguish between cuboidal, rod and platelet precipitates, ii) quantify the precipitate size evolution and iii) understand and quantify the coarsening kinetics.

Second, our analysis of the 3D results permitted a systematic study of the influence of the misorientation using 2D simulations. The latter also allowed us to reach longer time scales with a more established rafts formation. Several results were obtained: even a deviation as small as  $3^\circ$  modifies the microstructure evolution, a larger misorientation leads to more developed rafts and the direction of misorientation with respect to the perfect [110] axis selects the rafting direction. Our analysis of the elastic and plastic driving forces when a misorientation is accounted for also shows that (i) the inhomogeneity of the shear elastic constants  $C'$  influence the rafts orientation, (ii) inhomogeneous elasticity or plasticity alone are enough to generate rafts and (iii) in our material plasticity is the main driving force for rafting. Finally, the choice of initial dislocation density modify only slightly the following microstructure evolution but has a strong impact on the macroscopic behavior of the material.

More generally, the work presented in this paper provides a general framework to analyse microstructure evolutions in other alloys in which microstructure evolution and plasticity are strongly coupled. It could be used to investigate even more complex loading conditions.

## 5. Appendix

Following [29, 51], within a mean-field approximation and as long as the configuration-dependent elastic energy is involved, a problem of inhomogeneous elasticity under external load can be mapped into a problem of homogeneous elasticity without external stress and characterized by an effective eigenstrain given by:

$$\underline{\epsilon}^* = \underline{\epsilon}^0 + (1 - 2f_v)\underline{\tilde{S}} : \underline{\Delta\lambda} : \underline{\epsilon}^0 - \underline{\tilde{S}} : \underline{\Delta\lambda} : \underline{\bar{\epsilon}} \quad (21)$$

where  $f_v$  is the volume fraction of precipitates,  $\underline{\epsilon}^0$  the eigenstrain of the precipitate and  $\underline{\bar{\epsilon}}$  the average strain.  $\underline{\tilde{S}}$  is the compliance tensor corresponding to the spatially averaged elastic tensor, and  $\underline{\Delta\lambda}$  is the difference between the precipitate and matrix elastic tensors.

If the condition  $|\boldsymbol{\sigma}^a| \gg |\Delta\boldsymbol{\lambda}| |\boldsymbol{\epsilon}^0|$  is fulfilled, the average strain  $\bar{\boldsymbol{\epsilon}}$  can be easily approximated and we get

$$\boldsymbol{\epsilon}^* = \boldsymbol{\epsilon}^0 + (1 - 2f_v) \bar{\boldsymbol{S}} : \Delta\boldsymbol{\lambda} : \boldsymbol{\epsilon}^0 - \boldsymbol{\Gamma} : \boldsymbol{\sigma}^a \quad (22)$$

where the tensor  $\boldsymbol{\Gamma}$  is defined by

$$\boldsymbol{\Gamma} = \bar{\boldsymbol{S}} : \Delta\boldsymbol{\lambda} : \bar{\boldsymbol{S}} \quad (23)$$

In the case of Ni-based superalloys the precipitate and matrix have the same cubic symmetry, therefore the above tensor can be easily computed in the Kelvin bases, which is common to the two phases [52]. More precisely, we use the following basis:

$$e_1 = \frac{1}{\sqrt{3}} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} ; \quad e_2 = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix} ; \quad e_3 = \frac{1}{\sqrt{6}} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 2 \end{bmatrix} \quad (24)$$

$$e_4 = \frac{1}{\sqrt{2}} \begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} ; \quad e_5 = \frac{1}{\sqrt{2}} \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix} ; \quad e_6 = \frac{1}{\sqrt{2}} \begin{bmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{bmatrix} \quad (25)$$

$e_1$  is related to the eigenvalue  $C_{11} + 2C_{12}$ .  $C_{11} - C_{12}$  and  $2C_{44}$  are multiple eigenvalues associated to the strains  $(e_2, e_3)$  and  $(e_4, e_5, e_6)$ , respectively.

In the case of uniaxial loading along the [110] direction, we get:

$$\epsilon_{11}^* = \epsilon_{22}^* = \tilde{\epsilon}^0 - \frac{\sigma^a}{2} \left( \frac{2 \Delta C_{11} + 2 \Delta C_{12}}{3 (\bar{C}_{11} + 2 \bar{C}_{12})^2} + \frac{1}{3} \frac{\Delta C_{11} - \Delta C_{12}}{(\bar{C}_{11} - \bar{C}_{12})^2} \right) \quad (26)$$

$$\epsilon_{33}^* = \epsilon^0 - \frac{\sigma^a}{2} \left( \frac{2 \Delta C_{11} + 2 \Delta C_{12}}{3 (\bar{C}_{11} + 2 \bar{C}_{12})^2} - \frac{2 \Delta C_{11} - \Delta C_{12}}{3 (\bar{C}_{11} - \bar{C}_{12})^2} \right) \quad (27)$$

$$\epsilon_{12}^* = -\frac{\sigma^a}{2} \left( \frac{2 \Delta C_{44}}{(2 \bar{C}_{44})^2} \right) \quad (28)$$

where

$$\tilde{\epsilon}^0 = \epsilon^0 \left( 1 + (1 - 2f_v) \frac{\Delta C_{11} + 2 \Delta C_{12}}{\bar{C}_{11} + 2 \bar{C}_{12}} \right) \quad (29)$$

In the present work, the contribution of the inhomogeneity of the bulk modulus is negligible so the effective eigenstrain reduces to:

$$\epsilon_{11}^* = \epsilon_{22}^* = \epsilon^0 - \frac{\sigma^a}{6} \frac{\Delta C_{11} - \Delta C_{12}}{(\bar{C}_{11} - \bar{C}_{12})^2} \quad (30)$$

$$\epsilon_{33}^* = \epsilon^0 + \frac{\sigma^a}{3} \frac{\Delta C_{11} - \Delta C_{12}}{(\bar{C}_{11} - \bar{C}_{12})^2} \quad (31)$$

$$\epsilon_{12}^* = -\frac{\sigma^a}{4} \frac{\Delta C_{44}}{(\bar{C}_{44})^2} \quad (32)$$

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