

A Data-driven Approach to Named Entity Recognition for Early Modern French

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Abstract

Named entity recognition has become an increasingly useful tool for digital humanities research, specially when it comes to historical texts. However, historical texts pose a wide range of challenges to both named entity recognition and natural language processing in general that are still difficult to address even with modern neural methods. In this article we focus in named entity recognition for historical French, and in particular for Early Modern French (16th-18th c.), i.e. *Ancien Régime* French. However, instead of developing a specialised architecture to tackle the particularities of this state of language, we opt for a data-driven approach by developing a new corpus with fine-grained entity annotation, covering three centuries of literature corresponding to the early modern period; we try to annotate as much data as possible producing a corpus that is many times bigger than the most popular NER evaluation corpora for both Contemporary English and French. We then fine-tune existing state-of-the-art architectures for Early Modern and Contemporary French, obtaining results that are on par with those of the current state-of-the-art NER systems for Contemporary English. Both the corpus and the fine-tuned models are released.

1 Introduction

Named entity recognition (NER) is an extensively studied task in natural language processing (NLP) that consists in identifying and classifying *named entities* mentions in unstructured text. These *named entities* often are real-world objects such as a *person*, a *location*, an *organisation* name or even a *product*. NER has been an important task in natural language processing for some time now. It was the focus of the MUC conferences and associated shared tasks (Marsh and Perzanowski, 1998), and later that of the CoNLL 2003 and the ACE shared tasks (Tjong Kim Sang and De Meulder, 2003; Doddington et al., 2004).

NER has quickly established itself as a pillar of the new methods of reading texts promoted by the digital humanities (DH), based on the analysis of large sets of literary or historical data via computational methods (Moretti, 2005). These sources being not only contemporary, the need for tools dealing with medieval or early modern states of language is now increasing. NER interests researchers in DH for numerous reasons since the application can be quite broad, from genealogy or history studies for which finding mentions of persons and places in texts is very useful; to applications in digital literature where researchers can use NER to highlight the path of different characters in a book or in a series of publications. Both the research in NER and DH can benefit from one another as it has already been suggested particular properties of literature can help to build better NER systems (Brooke et al., 2016) and even study how much diachronic variation influences NER systems (Ehrmann et al., 2016).

For the present study, we will focus on developing both an annotated corpus as well as a NER system for *Early Modern French*. We loosely define *Early Modern French* as a state of language following Middle French in 1500—adopting here the *terminus ad quem* used by the *Dictionnaire de Moyen Français* (Martin, 2020)—and ending with the French Revolution in 1789. In consequence, it encompasses three centuries (16th, 17th and 18th c.), or two linguistic periods: the *français préclassique* or “preclassical French” (1500–1630) and the *français classique* or “classical French” (1630–1689); both periodisations which are currently used in French linguistics (e.g. by Vachon 2010 and AmatuZZi et al. 2019). Early Modern French poses some particular challenges for NER systems, and mainly two. First, the spelling was not fixed and place names could be written differently from one text to another, but also in the same text. In Early Modern French, the name of the city of

Lyon could be written *Lyon*, but also *Lion*, creating in this case a homograph that has today disappeared (the *lion* being, like in English, an animal). Second, cities have changed their names, states have appeared, empires have disappeared, etc. and it is therefore impossible to use tools available for Contemporary French.

In this paper we develop a system that tries to tackle these specific challenges posed by Early Modern French, however, instead of developing a specialised architecture for this, we opt for a data-driven approach in which we try to annotate as much text as possible of an heterogeneous corpus covering several centuries and a vast range of genres and styles. We produce a fine-grained NER annotated corpus for Early Modern French that is many times bigger than some of the most popular NER annotated corpora for Contemporary English and French (Tjong Kim Sang and De Meulder, 2003; Sagot et al., 2012). We then fine-tune existing state-of-the-art architectures D’AleMBERT (Gabay et al., 2022) and CamemBERT (Martin et al., 2020) for Early Modern and Contemporary French respectively obtaining results that surpass the current state of the art NER systems for Contemporary French (Ortiz Suárez et al., 2020a), and that are on par with NER systems for Contemporary English (Straková et al., 2019; Yamada et al., 2020; Wang et al., 2021). We release both the corpus and the fine-tuned model in order to insure reproducibility of our experiments.¹

2 Related work

If many evaluation campaigns for the recognition of named entities have been carried out since the end of the nineties², most of the corpora produced have until recently dealt with contemporary documents, particularly taken in the press (articles, dispatches. . .). In recent years, however, research has begun to focus on “historical” documents, but the diachronic depth of the language remains imperfectly treated, with a very clear concentration on the most recent textual sources: the 19th c. and 20th c. are by far over-represented (Ehrmann et al., 2021).

If the older states of language, linguistically more complex because of the instability of their

spelling, remain left aside, we do note some attempts to extract entities from texts written before the 19th c. Previous research concerns 17th c. English (OCRised versions of the *Journals of the House of Lords*, cf. Grover et al. 2008), medieval latin (charters, cf. Torres Aguilar et al. 2016), German and French (legal documents written between the 14th and the 18th c., cf. Gwerder 2017). With the emergence of data-driven approaches, new corpora keep emerging for niche languages such as Middle High German and Old Norse (Besnier and Mattingly, 2021).

French is a typical case regarding NER, with resources and solutions focusing on documents written after the French Revolution. One of the oldest dataset is the one produced during the ESTER-2 evaluation campaign (Galliano et al., 2009), dealing with of radio broadcast transcripts. For the older documents, we have the *Quaero* (Rosset et al., 2012), *Europeana* (Neudecker, 2016) and *Impresso* (Ehrmann et al., 2020) corpora, going back the 19th c., but again with an almost unique focus on the press. Non-journalistic and/or non-recent French, however, seem to have attracted researchers in recent years. We have already mentioned the study of Gwerder (2017), whose data has unfortunately not been manually annotated and is therefore far from being optimal, and is limited to place and person names. If older rule-based approach keep being used (for place names, cf. Kogk-itsidou and Gambette 2020), only one project has produced a manually annotated corpus, but limited to toponyms and using normalised versions (i.e. aligned with Contemporary French) of 17th c. plays (Gabay and Vitali, 2019).

An ambitious manually annotated corpus for pre-Revolutionary non-normalised French is still needed to give the means to researchers in history, literature or linguistics to offer new interpretation, relying on quantitative approaches such as “distant reading” (Moretti, 2013). If possible, this would corpus would need cover several centuries, and to offer more entities than just place and person names, such as quantities or events.

3 Corpus

Rather than designing a new corpus, we have decided to use a subpart of the “core corpus” of the *Presto* project (Blumenthal et al., 2017), namely the text written during the French *Ancien Régime*

¹URL retained for anonymity.

²We spare the reader this story, which has already been perfectly told elsewhere cf. Ehrmann (2008); Nouvel et al. (2015).

| Person | | | Function | | |
|--------------|----------------|---------------|------------|-----------|-------------|
| pers.ind | pers.coll | | func.ind | func.coll | |
| Location | | | Production | | |
| loc.adm.town | loc.phys.geo | loc.fac | prod.art | prod.rule | prod.object |
| loc.adm.reg | loc.phys.hydro | loc.oro | | | |
| loc.adm.nat | | | | | |
| loc.adm.sup | | | | | |
| Organization | | Time | Event | Quantity | |
| org.adm | org.ent | time.date.abs | event | amount | |
| | | time.date.rel | | | |

Table 1: Types (in gray) and subtypes retained from the *Quaero* typology.
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(c.15th-18th c., i.e. 34 texts)³. This choice is driven by our will to limit the number of annotated corpora for historical French, the same set of documents having already been abundantly corrected to train a lemmatizer (Gabay et al., 2020), but also to avoid a complex selection of works supposed to ensure a relative representativeness of literary documents from the *Ancien Régime*, already perfectly done by our colleagues.

The number of genres covered is extremely large: poetry, drama, novel, correspondence, grammar, philosophy, short stories, encyclopedic literature, etc. and guarantees, here again, a reasonable representativeness of the range of possibilities of *Belles-Lettres*⁴. The corpus is balanced regarding the distribution per century (c. 10/century) but not regarding the length of the texts, which increases over time (cf. fig. 1), following a possible trend in literature.

3.1 Annotation

It seemed logical to follow the *Quaero* annotation guide (Rosset et al., 2011), that is used by two important historical corpora presented *supra* (*Quaero* and *Impresso*). Because our texts and interests diverge from those of the aforementioned corpora, only some types and subtypes have been kept (cf. tab. 1) from the *Quaero* typology. The details of our choices can be found in a dedicated annotation manual (Gabay et al., 2022).

The annotated texts are available in multi-columns tsv files (cf. tab. 1). Each token has a lemma (manually corrected) and a POS (produced by the *Presto* project, non-systematically corrected but fairly reliable) using the MULTEXT tag set.

³A text has been withdrawn: the *Histoire d'un voyage fait en la terre du Brésil* by Jean de Léry, the transcription being too faulty to be able to correctly annotate the document.

⁴We do not offer a detailed description of the genres covered, these overlapping easily: poetry can be theological, political correspondence...

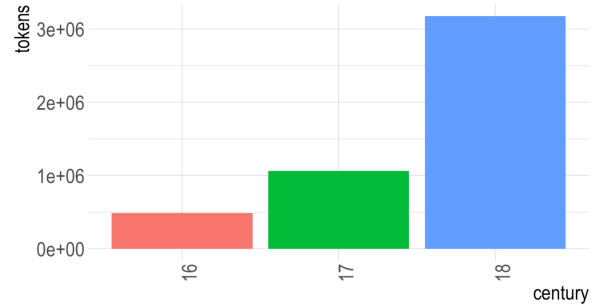


Figure 1: Number of tokens per century.

We propose a coarse-grained annotation for high-level entity types and fine-grained annotation using subtypes using the following syntax:

BIO-TYPE.SUBTYPE
For instance: B-loc.adm.town

Subtypes are sometimes simple (B-org.town) sometimes double (B-loc.phys.geo), depending of the complexity of the entity to annotate. Nested entities (i.e. an entity in an entity, such as a place name in a person name in *Henri d'Angleterre*, "Henry of England") follow exactly the same syntax, and components a similar one, using six transverse elements:

- name to annotate tokens that are names (*Louis, Philippe...*)
- title to annotate tokens that are titles (*sieur, duc, abbé...*)
- qualifier to annotate tokens that are adjectives (*l'Inde orientale, l'Arabie heureuse, la mer atlantique, l'ancienne Colchide*) but also the generation (*Henri IV*) or a cardinal position
- kind to annotate tokens that are hyperonyms (*l'Empire de Constantinople, la mer du Japon*)

| Token | Lemma | POS | COARSE | FINE | FINE-COMP | NESTED | Wikidata ID |
|-----------|----------|-----|--------|------------|--------------|---------------|-------------|
| Les | le | Da | O | O | O | O | -- |
| allemands | allemand | Nc | O | O | O | O | -- |
| élurent | élire | Vvc | O | O | O | O | -- |
| pour | pour | S | O | O | O | O | -- |
| empereur | empereur | Nc | B-pers | B-pers.ind | B-comp.title | O | Q438435 |
| Rodolphe | Rodolphe | Np | I-pers | I-pers.ind | B-comp.name | O | Q438435 |
| duc | duc | Nc | I-pers | I-pers.ind | B-comp.title | O | Q438435 |
| de | de | S | I-pers | I-pers.ind | I-comp.title | O | Q438435 |
| Suabe | Souabe | Np | I-pers | I-pers.ind | I-comp.title | B-loc.adm.reg | Q438435 |

Table 2: NERC Fine-Grained annotation with EL

- `unit` to annotate tokens that are units (meters, league, inches, pounds)
- `val` to annotate tokens that are values (a number) that is linked to a unit to annotate an amount.

We have decided not to annotate metaphorical uses differently or in a separate column: everything is annotated in a literal sense. Thus, in *France goes to war*, *France* is labelled `loc.adm.nat` (i.e. the country) and not `org.adm` (i.e. the French government).

We have also started a first phase of semantic annotation, using Wikidata (Vrandeı and Krötzs, 2014) identifiers, which remains imperfect. Due to the complexity of analysing certain entities, in particular personal names (e.g. *Pope John*), it was decided to annotate them only very marginally, only in the event of the absence of ambiguity (e.g. *Pope John V*). The annotation of place names, on the other hand, is more advanced and almost functional.

A first layer of annotation was made using regular expressions, before moving on to a manual correction phase. Given the size of the corpus, it is obvious that each token has not been checked, and that the final result does not claim to be perfect. Regular and thorough checks, however, concluded that the annotation was of the best possible quality and allow to move on to the training phase. All of the annotation work was carried out by a single person, in order to ensure the consistency of the data. The structure of the file and the form of the tags was controlled by a specific parser, designed specifically for this corpus.

3.2 A Note on Size

Our final annotated corpus has around 5 million annotated tokens, this makes it around 18 times bigger than the French treebank (Abeillé et al., 2003;

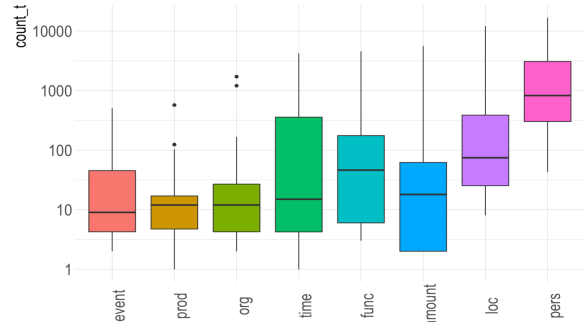


Figure 2: Number of entities (\log_{10} scale) per category by text.

Sagot et al., 2012; Ortiz Suárez et al., 2020a) and almost 23 times as big as the CoNLL 2003 (Tjong Kim Sang and De Meulder, 2003) corpus. Figures 1 and 2 show both the distribution of tokens by century and by *coarse* entity type. We can see that even though our corpus is far from balanced, even the 16th century portion of the corpus, which is our smallest, is still slightly larger than both the CoNLL 2003 and the FT corpora. We therefore believe that this annotated corpus gives us a great opportunity to study how state-of-the-art NER architectures behave when confronted with large amounts of annotated heterogeneous text.

Given the size of our corpus, we opt for a 90-5-5 type split, that is, 90% of the text goes to the training set, 5% to the development set and 5% to the test. Otherwise the test and development sets would have been too big and training would have taken too long. The split is done at a document level and the sentences that go into the development and test sets are chosen at random, ensuring that both sets contain a representative portion of each of the documents in our corpus.

4 NER Evaluation

Having produced this annotated corpus, we now proceed with an evaluation using the *coarse* level

of annotation. We only use this level of annotation and not the other columns depicted on table 2 as the training of some of as architectures turned out to be quite expensive due to the size of the corpus, with a single run of some of our models taking more than 24 hours on a machine equipped with an Nvidia V100 with 32 GB of memory. We also believe that the development of an architecture able to predict all levels of annotations at once merits a study of its own.

4.1 Models

We train three different models, a BiLSTM-CRF (Lample et al., 2016), CamemBERT (Martin et al., 2020) and D’AlemBERT (Gabay et al., 2022). All the training and fine-tuning is conducted using the `flair` framework⁵ for sequence tagging (Akbik et al., 2019). To fine-tune D’AlemBERT and CamemBERT we follow the same approach as Schweter and Akbik (2020) with some modifications: we append a linear layer of size 256 that takes as input the last hidden representation of the `<s>` special token and the mean of the last hidden representation of the subword units of each token, that is, we use a “*mean*” subword pooling strategy. For the BiLSTM-CRF we use the implementation provided by the `flair` library, and we couple it with character embeddings as well as the Common Crawl-based FastText embeddings (Grave et al., 2018) originally trained by Facebook. Here is a small description of each of the models:

BiLSTM-CRF A classical neural architecture originally proposed by Lample et al. (2016) that combines a pre-trained fixed word embeddings with character embeddings, that are then feeded into a bidirectional LSTM (Hochreiter and Schmidhuber, 1997) encoder and a CRF (Lafferty et al., 2001) decoder. This model will serve as our baseline.

CamemBERT A Contemporary French language model originally proposed by Martin et al. (2020), is a Bidirectional Transformer-based model (Devlin et al., 2019; Vaswani et al., 2017) more precisely based on the RoBERTa (Liu et al., 2019) architecture, but using SentencePiece (Kudo and Richardson, 2018) instead of the original Byte-Pair Encoding (BPE) (Sennrich et al., 2016). CamemBERT uses a *base*-type architecture, which consists of 12 layers, 768 hidden dimensions, 12 at-

tention heads, 110M parameters. CamemBERT was pre-trained using the French subcorpus of OSCAR 2019 (Ortiz Suárez et al., 2019; Ortiz Suárez et al., 2020b) which is extracted from Common Crawl snapshots, specifically from the plain text WET format distributed by Common Crawl which removes all the HTML tags and converts the text formatting to UTF-8. It follows the same approach as Grave et al. (2018) by using a language classification model based on the fastText linear classifier (Joulin et al., 2016; Joulin et al., 2017).

D’AlemBERT An Early Modern French language model originally pre-trained by Gabay et al. (2022) using a 1.2 GB corpus of Early Modern French called FREEM_{max} (Gabay et al., 2022). D’AlemBERT uses the exact same *base*-type architecture as CamemBERT but for the tokenizer it uses the original BPE (Sennrich et al., 2016) of RoBERTa’s (Liu et al., 2019) instead of SentencePiece (Kudo and Richardson, 2018). As opposed to CamemBERT or RoBERTa, D’AlemBERT was only trained for 31k steps.

4.2 Results and discussion

| Model | Precision | Recall | F1-Score |
|------------|---------------|---------------|---------------|
| BiLSTM-CRF | 0.8640 | 0.8533 | 0.8586 |
| CamemBERT | 0.9303 | 0.9309 | 0.9306 |
| D’AlemBERT | 0.9329 | 0.9323 | 0.9326 |

Table 3: Comparison between D’AlemBERT, CamemBERT and an LSTM-CRF-based model performance on the test set of our corpus, results are averaged over 10 runs with different seeds.

Table 3 shows a brief overview of our results, we can see that our BiLSTM-CRF already produces quite strong results, attaining an f1-score of 0.8586 which is quite remarkable taking into account how heterogeneous our corpus is and how different the data itself is from the pre-training data used in the FastText word embeddings of the Bi-LSTM model.

On the other hand for both CamemBERT and D’AlemBERT we obtain quite high results above the 0.93 in f1-score. These results are quite remarkable because in spite of how heterogeneous our corpus is, and despite of the challenges posed by an historical language previously discussed, we obtain results that are almost on par with the current state of the art architectures for Contemporary English (Straková et al., 2019; Yamada et al., 2020; Wang et al., 2021).

⁵<https://github.com/flairNLP/flair>

| CAMEMBERT | | | | | D’ALEMBERT | | | | |
|--------------|-----------|--------|----------|---------|--------------|-----------|--------|----------|---------|
| Entity Type | Precision | Recall | F1-Score | Support | Entity Type | Precision | Recall | F1-Score | Support |
| pers | 0.9373 | 0.9236 | 0.9304 | 2734 | pers | 0.9355 | 0.9279 | 0.9317 | 2734 |
| loc | 0.9140 | 0.9371 | 0.9254 | 1384 | loc | 0.9242 | 0.9335 | 0.9288 | 1384 |
| amount | 0.9840 | 0.9840 | 0.9840 | 250 | amount | 0.9800 | 0.9800 | 0.9800 | 250 |
| time | 0.9447 | 0.9407 | 0.9427 | 236 | time | 0.9456 | 0.9576 | 0.9516 | 236 |
| func | 0.9209 | 0.9143 | 0.9176 | 140 | func | 0.9333 | 0.9000 | 0.9164 | 140 |
| org | 0.8364 | 0.9388 | 0.8846 | 49 | org | 0.8148 | 0.8980 | 0.8544 | 49 |
| prod | 0.7742 | 0.8889 | 0.8276 | 27 | prod | 0.8621 | 0.9259 | 0.8929 | 27 |
| event | 0.8333 | 0.8333 | 0.8333 | 12 | event | 0.8333 | 0.8333 | 0.8333 | 12 |
| micro avg | 0.9303 | 0.9309 | 0.9306 | 4832 | micro avg | 0.9329 | 0.9323 | 0.9326 | 4832 |
| macro avg | 0.8931 | 0.9201 | 0.9057 | 4832 | macro avg | 0.9036 | 0.9195 | 0.9111 | 4832 |
| weighted avg | 0.9307 | 0.9309 | 0.9307 | 4832 | weighted avg | 0.9331 | 0.9323 | 0.9327 | 4832 |
| samples avg | 0.8856 | 0.8856 | 0.8856 | 4832 | samples avg | 0.8893 | 0.8893 | 0.8893 | 4832 |

Table 4: Results of CamemBERT and D’AlemBERT on the test set of our corpus by entity type. Results are averaged over 10 runs with different seeds.

Strikingly, we do not see the same phenomenon as Gabay et al. (2022) who fine-tuned both CamemBERT and D’AlemBERT in POS tagging for Early Modern French, and that obtained remarkably good results with D’AlemBERT but subpar results with CamemBERT. We believe that this is due to the striking size of our corpus which has more than 5 million annotated tokens, that is, we believe that in this case CamemBERT has enough training data in order to properly fine-tune to this task in Early Modern French and in particular to potentially overcome the poor representations given by the SentencePiece (Kudo and Richardson, 2018) trained on Contemporary French for the out-of-vocabulary words found in the Early Modern French data.⁶ We believe that to a certain extent, given the size of our corpus, CamemBERT might be “forgetting” its pre-training contemporary data and “re-learning” the Early Modern French data in our corpus. In any case, these high score proves the effectiveness of our data-driven approach as we didn’t use any dedicated architecture for NER, yet we obtain state-of-the-art results for a very challenging state of the French language.

In tables 5 and 4 we see the results of the BiLSTM-CRF, CamemBERT and D’AlemBERT models by entity type. All results are averaged over 10 runs using different seeds. For the BiLSTM-CRF model we see that in general it performs the best for the most common entity types and the worst for the least common types. It has particular trouble with the production category which might be due to the lack of these entities in the

⁶We observe that SentencePiece tends to split OOV words by characters which might not be ideal for sequence-tagging tasks, specially for NER.

| BiLSTM-CRF | | | | |
|--------------|-----------|--------|----------|---------|
| Entity Type | Precision | Recall | F1-Score | Support |
| pers | 0.8808 | 0.8435 | 0.8617 | 2734 |
| loc | 0.8109 | 0.8707 | 0.8397 | 1384 |
| amount | 0.9040 | 0.9040 | 0.9040 | 250 |
| time | 0.9604 | 0.9237 | 0.9417 | 236 |
| func | 0.8872 | 0.8429 | 0.8645 | 140 |
| org | 0.8824 | 0.6122 | 0.7229 | 49 |
| prod | 0.9231 | 0.4444 | 0.6000 | 27 |
| event | 0.7273 | 0.6667 | 0.6957 | 12 |
| micro avg | 0.8640 | 0.8533 | 0.8586 | 4832 |
| macro avg | 0.8720 | 0.7635 | 0.8038 | 4832 |
| weighted avg | 0.8659 | 0.8533 | 0.8583 | 4832 |
| samples avg | 0.7737 | 0.7737 | 0.7737 | 4832 |

Table 5: Results of the BiLSTM-CRF model on the test set of our corpus by entity type. Results are averaged over 10 runs with different seeds.

web-based pre-training corpus of the FastText fixed word embeddings. Strikingly, we see very good results for the amount entity type with our LSTM-based model, this is actually remarkable as this has historically been a rather difficult entity type to annotate for NER systems.

For the CamemBERT and D’AlemBERT results by entity type, we see almost the exact same results for both models which actually supports our hypothesis that due to the size of our corpus, the Transformer-based models might be “forgetting” some of their pre-training contemporary data and “re-learning” the training data of our corpus seen during fine-tuning. There is a small exception to this and it again the *production* entity type, we can see that D’AlemBERT performs a bit better for this particular type which might be explained by the presence of these in D’AlemBERT’s pre-training data as opposed to the lack of it in Camem-

BERT’s web-based pre-training corpus, suggesting that while these models might be “forgetting” while exposed to corpora of the size of our corpus, they can still leverage their pre-training data to a certain extent.

5 Conclusion

In this paper we have produced a significantly big, fine-grained NER annotated corpus for Early Modern French, as well as state-of-the-art models for coarse NER annotation in Early Modern French. We showed that adopting a data-driven approach in which one focuses on producing as much annotated data as possible as opposed to producing highly specialised machine learning architectures for NER, is a quite successful approach as we have obtained results for Early Modern French that far surpass the current state of the art for Contemporary French and that are on par with the current state-of-the-art specialised architectures for Contemporary English. The corpus that we have produced also opens many future perspectives of research, for instance, we hope that in the future we will be able to study the impact of the size of the fine-tuning data in the fine-tuning of Transformer-based models, something that could be easily achieved by iteratively fine-tuning different Transformer-based with subsets of our corpus of incremental size. Furthermore, one could also use all the other levels of annotation of our corpus to develop a specialised architecture capable of predicting all annotation layers at once. In the end, we hope that both our corpus and our fine-tuned models will be useful to researchers in both Natural Language Processing and Digital Humanities.

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