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# A Population Genetics Theory for piRNA-regulated Transposable Elements

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# Abstract

Transposable elements (TEs) are self-reproducing selfish DNA sequences that can invade the genome of virtually all living species. Population genetics models have shown that TE copy numbers generally reach a limit, either because the transposition rate decreases with the number of copies (transposition regulation) or because TE copies are deleterious, and thus purged by natural selection. Yet, recent empirical discoveries suggest that TE regulation may mostly rely on piRNAs, which require a specific mutational event (the insertion of a TE copy in a piRNA cluster) to be activated — the so-called TE regulation "trap model". We derived new population genetics models accounting for this trap mechanism, and showed that the resulting equilibria differ substantially from previous expectations based on a transposition-selection equilibrium. We proposed three sub-models, depending on whether or not genomic TE copies and piRNA cluster TE copies are selectively neutral or deleterious, and we provide analytical expressions for maximum and equilibrium copy numbers, as well as cluster frequencies for all of them. In the full neutral model, the equilibrium is achieved when transposition is completely silenced. and this equilibrium does not depend on the transposition rate. When genomic TE copies are deleterious but not cluster TE copies, no long-term equilibrium is possible, and active TEs are eventually eliminated after an active incomplete invasion stage. When all TE copies are deleterious, a transposition-selection equilibrium exists, but the invasion dynamics is not monotonic, and the copy number peaks before decreasing. Mathematical predictions were in good agreement with numerical simulations, except when genetic drift and/or linkage disequilibrium dominates. Overall, the trap-model dynamics appeared to be substantially more stochastic and less repeatable than traditional regulation models.

**Keywords** Transposition regulation, Model, Simulations, piRNA clusters, Trap model.

Declaration of interests None.

Author contributions Siddharth Tomar: Software, Writing - Review & Editing; Aurélie Hua-Van: Funding acquisition, Project administration, Supervision, Writing - Review & Editing; Arnaud Le Rouzic: Conceptualization, Methodology, Software, Formal analysis, Supervision, Writing - original draft.

# 1 Introduction

Transposable elements (TEs) are repeated sequences that accumulate in genomes and often constitute a substantial part of eukaryotic DNA. According to the canonical "TE life cycle" model (Kidwell and Lisch 2001; Wallau et al. 2016), TE families are not maintained actively for a long time in genomes. TEs are the most active upon their arrival in a new genome (often involving a horizontal transfer, Gilbert and Feschotte 2018); their copy number increases up to a maximum, at which point transposition slows down. TE sequences are then progressively degraded and fragmented, accumulate substitutions, insertions, and deletions, up to being undetectable and not identifiable as such. The reasons why the total TE content, the TE families, and the number of copies per family vary substantially in the tree of life, even among close species, are far from being well-understood, which raises interesting challenges in comparative genomics.

TEs spread in genomes by replicative transposition, which ensures both the 14 genomic increase in copy number and the invasion of populations across generations 15 of sexual reproduction. They are often cited as a typical example of selfish DNA 16 sequences, as they can spread without bringing any selective advantage to the host 17 species, and could even be deleterious (Orgel and Crick 1980; Doolittle and 18 Sapienza 1980). Even if an exponential amplification of a TE family could, in 19 theory, lead to species extinction (Brookfield and Badge 1997; Arkhipova and 20 Meselson 2005), empirical evidence rather suggests that TE invasion generally stops 21 due to several (non-exclusive) physiological or evolutionary mechanisms, including 22 selection, mutation, and regulation. Selection limits the TE spread whenever TE 23 sequences are deleterious for the host species: individuals carrying fewer TE copies 24 will be favored by natural selection, and will thus reproduce preferentially, which 25 tends to decrease the number of TE copies at the next generation (Charlesworth 26 and Charlesworth 1983; Lee 2022). The effect of mutations relies on the 27 degradation of the protein-coding sequence of TEs, which decreases the amount of 28 functional transposition machinery, and thus the transposition rate (Le Rouzic and 29

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Capy 2006). Even though TEs can be inactivated by regular genomic mutations, as 30 any other DNA sequences, there exist documented mutational mechanisms that 31 specifically target repeated sequences, such as repeat induced point mutations in 32 fungi (Selker and Stevens 1985; Gladyshev 2017). Alternatively, substitutions or 33 internal deletions in TEs could generate non-autonomous elements, able to use the 34 transposition machinery without producing it, decreasing the transposition rate of 35 autonomous copies (Hartl et al. 1992; Robillard et al. 2016). 36

Transposition regulation refers to any mechanism involved in the control of the transposition rate by the TE itself or by the host. There is a wide diversity of known transposition regulation mechanisms; some prevent epigenetically the transcription of the TE genes (Deniz et al. 2019), others target the TE transcripts (Adams et al. 1997), or act at the protein level (Lohe and Hartl 1996). Recently, the discovery of piRNA regulation systems have considerably improved and clarified our understanding of TE regulation (Brennecke et al. 2007; Malone and Hannon 2009; Zanni et al. 2013; Ozata et al. 2019). piRNA regulation seems to concern a wide range of metazoan species (Huang et al. 2021), and acts on TE expression through a series of complex mechanisms, which can be summarized by a simplified regulation scenario known as the "trap model" (Bergman et al. 2006; Kofler 2019). In such a scenario, regulation is triggered by the insertion of a TE in specific "trap" regions of the genome, the piRNA clusters. TE sequences inserted in piRNA clusters (thereafter TE cluster insertions) are transcribed into small regulating piRNAs, that are able to silence homologous mRNAs from the same TE family by recruiting proteins from the PIWI pathway.

Early models, starting from Charlesworth and Charlesworth (1983), assumed 53 that the strength of regulation increases with the copy number. The transposition 54 rate is then expected to drop progressively in the course of the TE invasion up to the point where transposition stops. In contrast, the PIWI regulation pathway 56 displays unique features that may affect substantially the evolutionary dynamics of 57 TE families: (i) it relies on a mutation-based mechanism, involving regulatory loci that may need several generations to appear (ii) the regulatory loci in the host 59 genome segregate independently from the TE families and have their own 60 evolutionary dynamics (the TE amplifies in a genetically-variable population, which 61 is a mixture of permissive and repressive genetic backgrounds), and (iii) the 62 regulation mechanism may not be strongly dependent on genomic copy number. 63 The consequences of these unique features on the TE invasion dynamics are not 64 totally clear yet. Individual-based stochastic simulations have shown that piRNA 65 regulation is indeed capable of allowing a limited spread of TEs, compatible with 66 the TE content of real genomes (Lu and Clark 2010; Kelleher et al. 2018). Kofler 67 (2019) has shown, by simulation, that a major factor conditioning the TE success 68 (in terms of copy number) was the size of the piRNA clusters, while the influence of 69 the transposition rate was reduced. The dynamics of transposable elements when

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regulated by a trap model thus appear to differ substantially from the predictions of <sup>71</sup> the traditional population genetics models. <sup>72</sup>

With this paper, we extend the existing corpus of TE population genetics 73 models by proposing a series of mathematical approximations for the dynamics of 74 TE copy number when regulated by piRNA in a "trap model" setting. We studied 75 three scenarios, differing by whether or not TE copies induce a fitness cost when 76 inserted in piRNA clusters and/or in other genomic locations: Scenario (i) neutral 77 TEs, (ii) deleterious TEs and neutral TE cluster insertions, and (iii) deleterious TEs and deleterious TE cluster insertions. We showed that an equilibrium copy number could be achieved in scenarios (i) and (iii), while the TE family decays (after having invaded) in scenario (ii). We confirmed that the transposition rate 81 does not condition the equilibrium number of copies in the neutral model (i), but it 82 does when TEs are deleterious. Model (iii), in which both genomic and TE cluster 83 insertions are deleterious, lead to a complex transposition-selection-regulation 84 equilibrium (the "transposition-selection cluster" balance in Kofler (2019)), in which 85 regulatory alleles do not reach fixation and stabilize at an intermediate frequency. 86 The robustness of these predictions was validated by numerical simulations. 87

# 2 Models and methods

### 2.1 Population genetic framework

Model setting and notation traces back to Charlesworth and Charlesworth (1983), who proposed to track the mean TE copy number  $\bar{n}$  in a population through the difference equation:

$$\bar{n}_{t+1} = \bar{n}_t + \bar{n}_t (u - v), \tag{1}$$

where u is the transposition rate (more exactly, the amplification rate per copy and per generation), and v the deletion rate. In this neutral model, if u and v are constant, the copy number dynamics is exponential. If the transposition rate  $u_n$  is regulated by the copy number  $(u_0 > v, du_n/dn < 0, and lim(u_n) < v)$ , then a stable equilibrium copy number  $\hat{n}$  can be reached.

However, in most organisms, TEs are probably not neutral. If TEs are deleterious, fitness w decreases with the copy number  $(w_n < w_0)$ . As a consequence, individuals carrying more copies reproduce less, which decreases the average copy number every generation. The effect of selection can be accounted for using traditional quantitative genetics, considering the number of copies n as a quantitative trait:  $\Delta \bar{n} \simeq \operatorname{Var}(n) \partial \log(w_n) / \partial n$ , where  $\operatorname{Var}(n)$  is the variance in copy number in the population, and  $\partial \log(w_n) / \partial n$  approximates the selection gradient on *n*. The approximation is better when the fitness function  $w_n$  is smooth and the copy number *n* is not close to 0. Assuming random mating and no linkage disequilibrium, *n* is approximately Poisson-distributed in the population, and  $\operatorname{Var}(n) \simeq \bar{n}$ .

Charlesworth and Charlesworth (1983) proposed to combine the effects of transposition and selection to approximate the variation in copy number among generations t and t + 1 as:

$$\bar{n}_{t+1} \simeq \bar{n}_t + \bar{n}_t (u_{\bar{n}_t} - v) + \bar{n}_t s_{\bar{n}_t}, \qquad (2)$$

where  $s_{\bar{n}_t} = \partial \log w_n / \partial n |_{\bar{n}_t}$ .

When the transposition rate is high, the Poisson approximation does not hold 112 and  $\operatorname{Var}(n) > \overline{n}$ : transposition overdisperses the copies in the population, as new 113 TEs tend to appear in TE-rich genomes; random mating only halves this bias every 114 generation but does not cancel it if transposition persists over generations. After 115 transposition, the copy number rises to  $\bar{n} = \bar{n}(1+u)$ , while its variance becomes 116  $V(n) = \bar{n}(1+u)^2$ ; the drop in copy number due to selection thus becomes 117  $\bar{n}(1+u)^2 s_{\bar{n}}$  instead if  $\bar{n}s_{\bar{n}}$ . In order to match our simulation algorithm described 118 below, in which selection takes place after transposition, we accounted for linkage 119 disequilibrium and replaced the selection coefficient  $s_{\bar{n}}$  by  $s'_{\bar{n}} = s_{\bar{n}}(1+2u)$ 120 (neglecting  $u^2$  in  $(1+u)^2$ ). This correction remains an approximation, as the effect 121 of linkage disequilibrium of TE copies is more subtle and complex (Roze 2022). 122 Overall, linkage disequilibrium due to transposition (slightly) amplifies the selection 123 penalty for high transposition rates, and tends to decrease the genomic copy 124 number. 125

### 2.2 Numerical methods

Data analysis was performed with R version 4.0 (R Core Team 2020). Mathematical model analysis involved packages deSolve (Soetaert et al. 2010) and phaseR (Grayling 2014). All figures and analyses can be reproduced from the scripts available at https://github.com/lerouzic/amodelTE.

Mathematical predictions were validated by individual-based simulations. 131 Populations consisted in N = 1000 hermaphroditic diploid individuals, with an 132 explicit genome of 30 chromosomes and a total of K = 10,000 possible TE insertion 133 sites (figure 1). k piRNA clusters of size  $K\pi/k$  were distributed on different 134 chromosomes, the parameter  $\pi$  standing for the proportion of the K loci 135 corresponding to piRNA clusters. Insertion sites were freely recombining, except 136 within piRNA clusters. Generations were non-overlapping; reproduction consisted 137 in generating and pairing randomly 2N haploid gametes from 2N parents sampled 138 with replacement, with a probability proportional to their fitness. Transposition 139 occurred with a rate  $u_i$  computed for each individual as a function of its genotype 140

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at piRNA clusters ( $u_i = u$  if no TE insertion in clusters,  $u_i = 0$  otherwise). The 141 location of the transposed copy was drawn uniformly in the diploid genome. 142 Transposition events in occupied loci were cancelled, which happened rarely as TE 143 genome contents were always far from saturation  $(K \gg n)$ . Populations were 144 initialized with 10 heterozygote insertions (in non-piRNA loci), randomly 145 distributed in the population at frequency 0.05 each, resulting in  $n_0 = 1$  copy on 146 average per diploid individual. For each parameter set, simulations were replicated 147 10 times, and the average number of diploid TE copies was reported. Average allele 148 frequencies in clusters were calculated by dividing the number of diploid TE cluster 149 insertions by 2k. The possibility to have two TE insertions in the same cluster was 150 discarded, as such a situation requires two simultaneous transpositions in the same 151 cluster: transposition is repressed in presence of TE cluster insertion, and there is 152 no within-cluster recombination. We did not distinguish different regulatory alleles 153 at the same cluster (i.e., TEs inserted in different sites within the cluster), as those 154 are functionally equivalent. The simulation software was implemented in python 155 (version 3.8.10 for Linux), with data structures from the numpy library (Harris et al. 156 2020). The code is available at 157

https://github.com/siddharthst/Simulicron/tree/amodel.

piRNA cluster	normal insertion site	inactive TE	<ul> <li>freely recombining region</li> <li>recombination not possible</li> </ul>	π	k
				0.2	5
				0.2	2
				0.3	5

Figure 1: Schematic representation of the genomic model in the simulations. The K possible insertion sites are equally spread on chromosomes; the k piRNA clusters are distributed at one of the chromosome tips, representing a proportion  $\pi$  of the genome. Recombination is suppressed within clusters, but is possible in non-cluster genomic regions. In transposition-permissive genomes (no TE insertion in piRNA clusters), TEs located in normal insertion sites can transpose in any random location with a transposition rate u. TEs located in clusters cannot transpose, and prevent the transposition of all other elements in the genome. In order to ensure the generality of the results, simulations were set up to minimize genetic linkage: recombination between normal sites was free (recombination rate r = 0.5), and the genome had 30 chromosomes (always larger than the number of clusters). In the default conditions, 300 out of K = 10,000 insertion sites are piRNA clusters ( $\pi = 0.03$ , close to the estimated proportion in the genome of *Drosophila melanogaster*).

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# 3 Results

### 3.1 Neutral trap model

The model assumes k identical piRNA clusters in the genome, and the total 161 probability to transpose in cluster regions is  $\pi$ . Each cluster locus can harbor two 162 alleles: a regulatory allele (i.e., the cluster carries a TE insertion), which segregates 163 at frequency p, and an "empty" allele (frequency 1-p). When all clusters are 164 identical, and neglecting genetic drift (infinite population size), regulatory (TE 165 cluster insertion) allele frequencies at all clusters are expected to be the same (p); 166 at generation t, the average number of TE cluster insertions for a diploid individual 167 is  $m_t = 2kp_t$ . TE deletions were neglected (v = 0). The model posits that the 168 presence of a single regulatory allele at any cluster locus triggers complete 169 regulation: the transposition rate per copy and per generation was u in "permissive" 170 genotypes (frequency  $(1-p)^{2k}$  in the population), and 0 in regulated genotypes 171 (frequency  $1 - (1 - p)^{2k}$ ). New regulatory alleles appear when a TE transposes in a 172 piRNA cluster (with probability  $\pi$ ), which is only possible in permissive genotypes. 173 Assuming random mating and no linkage disequilibrium between clusters and the 174 rest of the genome  $(Cov(n_t, p_t) = 0)$ , i.e. no correlation between n and the genotype 175 at the regulatory clusters), we approximated the discrete generation model with a 176 continuous process, and the neutral model (equation 2) was rewritten as a set of 177 two differential equations on  $\bar{n}$  (relabelled *n* for simplicity) and *p*: 178

$$\frac{\mathrm{ln}}{\mathrm{lt}} = nu(1-p)^{2k} \tag{3}$$

$$\frac{dp}{dt} = \frac{\pi}{2k} nu(1-p)^{2k}.$$
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Here, n stands for non-cluster TE copies; for simplicity, equation 3 assumes that  $\pi \ll 1$ , so that  $1 - \pi \simeq 1$  (a more precise version of equation 3 for large values of  $\pi$ is provided in **Appendix A.1**). Expressing the variation of the number of TE cluster insertions m = 2kp as  $dm/dt = \pi dn/dt$  would be more straightforward here, we kept the dp/dt formulation solely for consistency with more complex models described below.

The initial state of the system is  $n_0 > 0$  copies per individual in the population, and no piRNA cluster insertions  $(p_0 = 0)$ . The system of equation 3 admits three equilibria (characterized by the equilibrium values  $\hat{n}$  and  $\hat{p}$ ):  $E_1 : \hat{n} = n_0$  and  $\hat{p} = 0$ (no transposition, achieved when u = 0),  $E_2 : \hat{n} = 0$  and  $\hat{p} = 0$  (no transposable element,  $n_0 = 0$ ), and  $E_3 : \hat{p} = 1$  (fixation of all TE cluster insertions). Equilibria  $E_1$  and  $E_2$  do not need to be investigated further, as u = 0 or  $n_0 = 0$  do trivially result in the absence of TE invasion. Equilibrium  $E_3$  is analytically tractable, as

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 $dn/dp = 2k/\pi$ , and  $n = n_0 + 2pk/\pi$  at any point of time:

$$\begin{cases} \hat{n} = n_0 + 2k/\pi \\ \hat{p} = 1. \end{cases}$$
(4)

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The fixation of regulatory alleles in all clusters is asymptotic  $(\lim_{t\to\infty} p=1)$ , and the equilibrium is asymptotically stable (dn/dt > 0 and dp/dt > 0). Figure 2 illustrates the effect of u and k on the dynamics  $n_t$  and  $p_t$ . The increase in regulatory allele frequency p is due to new transposition events in clusters (there would be multiple alleles at the sequence level, all being functionally equivalent). Assuming that  $n_0$  is small, the number of copies at equilibrium is proportional to the number of clusters k, and inversely proportional to the cluster size  $\pi$ . With several clusters (k > 1), the increase in copy number is slow, and the system may take a very long time to reach equilibrium. The copy number dynamics in simulations with different number of clusters remains very similar for the first hundreds of generations. The equilibrium copy number did not depend on the transposition rate u. This result relies on the absence of linkage disequilibrium between regulatory clusters and genomic copies; simulations showed that this assumption does not hold when the number of clusters increases, or when the transposition rate is very high (**Appendix B.1**).

### 3.2 Selection

Natural selection, by favoring the reproduction of genotypes with fewer TE copies, generally acts in the same direction as regulation. A piRNA regulation model implementing selection could be derived by combining equations 2 and 3. In order to simplify the analysis, we derived the results assuming that the deleterious effects of TE copies were independent, i.e.  $w_n = \exp(-ns)$ , where *n* is the copy number and *s* the coefficient of selection (deleterious effect per insertion), so that  $\partial \log w_n / \partial n = -s$ .

The following calculation relies on the additional assumption that piRNA clusters do not represent a large fraction of genomes ( $\pi \ll 1$ , leading to  $n \gg 2kp$ , i.e. that the number of TE copies in the clusters is never large enough to make a difference in the total TE count). We will describe two selection scenarios that happened to lead to qualitatively different outcome: TE insertions in piRNA clusters are neutral, and TE cluster insertions are as deleterious as the other insertions.

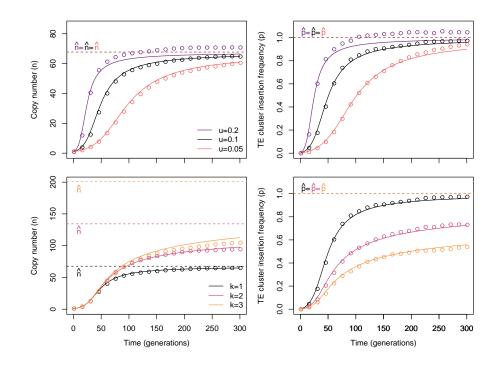


Figure 2: **Dynamics in the neutral model**. Unless indicated otherwise, default parameters were set to  $n_0 = 1, \pi = 0.03, k = 1$  cluster, and the transposition rate was u = 0.1. The top panel illustrates the influence of the transposition rate, the bottom panel of the number of clusters. Left: number of copies n, right: frequency of the TE cluster insertions in the population (p). Open symbols: simulations, plain lines: difference equations, hyphenated lines: predicted equilibria. The copy number equilibrium  $\hat{n}$  does not depend on the transposition rate, and the TE cluster insertion frequency at equilibrium  $\hat{p} = 1$  in all conditions. The time necessary to reach the equilibrium (both for  $\hat{n}$  and  $\hat{p}$ ) increases with k. In simulations, frequencies could be slightly > 1 due to rare events in which several TEs could insert simultaneously in the same cluster.

Deleterious TEs and neutral clusters If TE cluster insertions are neutral, the model becomes: 226

$$\frac{dn}{dt} = nu(1-p)^{2k} - ns'$$
(5) 227
$$\frac{dp}{dt} = \frac{\pi}{2k}nu(1-p)^{2k}.$$
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This equation only gives two equilibria,  $E_2 : \hat{n} = 0$  (loss of all copies), and  $E_3 : \hat{p} = 1$  (when s' = 0), which is the same as for the neutral model (equation 3): no selection and fixation of all TE cluster insertions. At the beginning of the dynamics, assuming  $p_0 = 0$ , the TE invades if u > s' (otherwise the system converges immediately to equilibrium  $E_2$  and the TE is lost). The copy number increases (dn/dt > 0) up to a maximum  $n^*$ , which is achieved when  $p = p^*$ (Figure 3). The maximum copy number can be obtained analytically (Appendix A.2):

$$p^{*} = 1 - \left(\frac{s'}{u}\right)^{1/2k}$$

$$n^{*} = n_{0} + \frac{2k}{\pi} \left[1 - \frac{1}{2k - 1} \left(2k \left(\frac{s'}{u}\right)^{1/2k} - \frac{s'}{u}\right)\right].$$
(6)

The match between simulations (featuring a finite number of insertion sites, 237 linkage disequilibrium, and non-overlapping generations) and this theoretical result 238 was very good for a single cluster (k = 1), but degraded with larger number of 239 clusters;  $n^*$  was overestimated by ~ 10% compared to simulations for k=5240 (Figure 3). Once the maximum number of copies is achieved, TE cluster insertions 241 keep on accumulating, decreasing the transposition rate, which leads to a decrease 242 in the copy number, up to the loss of the the element ( $\hat{n} = 0$  at equilibrium). At 243 that stage, TE cluster insertions are not fixed, and the equilibrium TE cluster 244 insertion frequency  $\hat{p}$  can be expressed as a function of copy number and TE cluster 245 insertion frequency at the maximum  $(p^* \text{ and } n^*)$  (Appendix A.3): 246

$$\hat{p} - \frac{s'}{u(2k-1)} \frac{1}{(1-\hat{p})^{2k-1}} = p^* - \frac{s'}{u(2k-1)} \frac{1}{(1-p^*)^{2k-1}} - \frac{\pi n^*}{2k}, \quad (7)$$

from which an exact solution for  $\hat{p}$  could not be calculated. The following approximation (from Appendix A.4):

$$\hat{p} \simeq 1 - \left[\frac{u}{s'}(2k-1)p^* + 1\right]^{\frac{1}{1-2k}}$$
(8)

happens to be acceptable for a wide range of transposition rates and for small selection coefficients (s < 0.1) (Figure 4).

The drop in  $\hat{p}$  when the number of clusters k increases suggests that there might 251 be a number of TE cluster insertions above which the transposition is effectively 252 canceled. From equation 5, the copy number stabilizes when  $u(1-p)^{2k} = s'$ , i.e. 253 when  $m = 2k(1 - (s'/u)^{1/2k})$ , where m = 2kp is the total number of TE cluster 254 insertions per diploid individual. When TE copies are deleterious (s = 0.01), this 255 expression tends to  $m = -\log(s'/u)$  when  $k \to \infty$ , which is about m = 2.1 copies 256 per individual with our default parameters. In absence of selection, equation (4) 257 predicts that transposition should occur up to the total fixation (i.e., m = 2k). 258 However, the effective transposition rate will drop to very low levels much before 259 fixation and its effects may rapidly become overwhelmed by genetic drift in 260 finite-size populations. The variance of the change in copy number due to genetic 261 drift between consecutive generations is about  $\bar{n}/N$ ; in a population of size 262 N = 1000 with  $\bar{n} = 10$  copies per individual, drift changes the average copy number 263 by  $\pm 1\%$ , while transposition will generate  $u \exp(-m)$  new insertions in average (1%) 264 of  $\bar{n}$  for m = 2 TE cluster insertions, and 0.2% of  $\bar{n}$  for m = 4 TE cluster insertions 265

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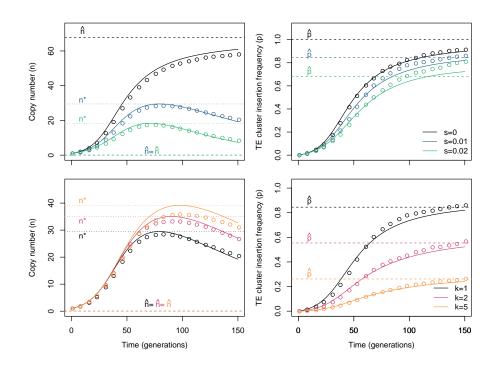


Figure 3: **Dynamics of the deleterious TE - neutral cluster model**. The top panel illustrates the influence of the selection coefficient s (with u = 0.1, k = 1), the bottom panel of the number of clusters k (with s = 0.01, u = 0.1). Left: number of copies n, right: frequency of the segregating TE cluster insertions in the population p. Open symbols: simulations, plain lines: difference equations, hyphenated lines: predicted equilibrium ( $\hat{n}$  on the left,  $\hat{p}$  on the right), dotted lines: predicted copy number maximum  $n^*$ . Whenever s > 0, the copy number equilibrium  $\hat{n}$  is 0.

with our default parameter set u = 0.1). Based on simulations, Kofler (2019) estimated that transposition effectively stops above 4 TE cluster insertions per individual in average, which matches this prediction.

Equation 6 can be reorganized to address the problem of population extinction, 269 as formulated in Kofler (2020). Numerical simulations have indeed shown that even 270 if the final equilibrium state involves the loss of all TE copies, populations need to 271 go through a stage where up to  $n^*$  deleterious copies are present in the genome. 272 This makes it possible to approximate mathematically the critical cluster size  $\pi_c$ , 273 from which the population fitness drops below an arbitrary threshold  $w_c$  and is at 274 risk of extinction: 275

$$\pi_c > \frac{2k}{-(\log w_c)/s - n_0} \left[ 1 - \frac{1}{2k - 1} \left( 2k \left( \frac{s'}{u} \right)^{\frac{1}{2k}} - \frac{s'}{u} \right) \right].$$
(9)

Setting s = 0.01, u = 0.1, and  $n_0 = 1$ , as in the other examples, and taking  $w_c = 0.1$  gives  $\pi_c > 0.0036$  for k = 1 and  $\pi_c > 0.005$  for k = 5, these values being of 276

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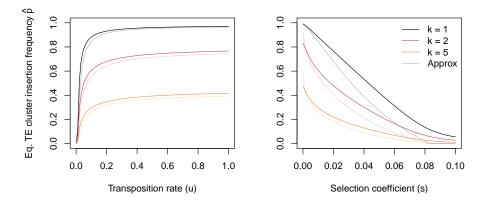


Figure 4: Influence of model parameters on the equilibrium TE cluster insertion frequency in the deleterious TE - neutral cluster model. The number of clusters k is indicated with different line colors. In this model, the TE is finally eliminated from the genome ( $\hat{n} = 0$ ), the equilibrium TE cluster insertion frequency  $\hat{p}$  depends on the duration of the stay of the TE in the genome. Deleterious TEs are eliminated more rapidly, and have thus less opportunity to transpose into piRNA clusters, thus the lower  $\hat{p}$ . The approximation proposed in equation 8 is indicated in light colors.

the same order of magnitude than the interval 0.1% to 0.2% determined numerically <sup>278</sup> by Kofler (2020). <sup>279</sup>

**Deleterious TEs and deleterious clusters** If the TE cluster insertions are as deleterious as other TEs, selection acts on TE cluster insertion frequency as predicted by population genetics (assuming no dominance): 282

$$\frac{\mathrm{d}n}{\mathrm{d}t} = nu(1-p)^{2k} - ns' 
\frac{\mathrm{d}p}{\mathrm{d}t} = \frac{\pi}{2k}nu(1-p)^{2k} - sp\frac{1-p}{1-sp}.$$
(10)

This allows for a new equilibrium  $E_4$ :

$$\begin{cases} \hat{n} = \frac{2k}{\pi} \frac{s}{s'} \left(\frac{s'}{u}\right)^{1/2k} \frac{\hat{p}}{1 - s\hat{p}} \\ \hat{p} = 1 - \left(\frac{s'}{u}\right)^{1/2k}. \end{cases}$$
(11)

The equilibrium exists  $(\hat{n} > 0 \text{ and } \hat{p} > 0)$  whenever s < u(1 + 2u), i.e. the transposition rate must be substantially larger than the selection coefficient. It corresponds to the "Transposition-selection cluster" equilibrium described from numerical simulations in Kofler (2019). The dynamics for n and p are illustrated in Figure 5; the convergence to a non-zero equilibrium  $\hat{n}$  and an intermediate equilibrium for  $\hat{p}$  (no fixation) was confirmed by simulations. As for the

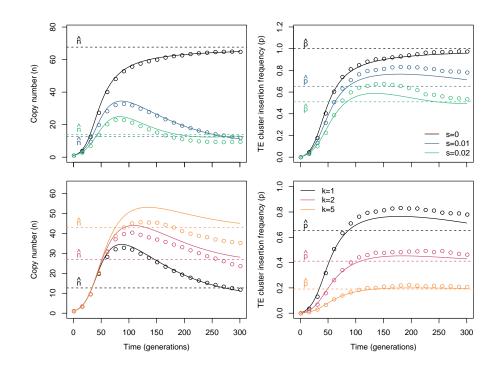


Figure 5: **Dynamics of the deleterious TE - deleterious cluster model**. Default parameters were  $\pi = 0.03$ , k = 1, u = 0.1, s = 0.01. Top panels: influence of the selection coefficient, bottom panels: influence of the number of clusters. Plain lines: predicted dynamics from equation 10, hyphenated lines: predicted equilibrium (eq. 11), open circles: simulations.

neutral-cluster model, the match between simulations and mathematical predictions tends to degrade for large values of k. The influence of model parameters (u, s, and m) on equilibrium values are depicted in Figure 6; both the transposition rate u and the selection coefficient s show a non-monotonic relationship with the equilibrium copy number  $\hat{n}$  (maximum number of copies for an intermediate value of u and s).

A linear stability analysis (Appendix A.5) shows that for the whole range of u, 295  $\pi$ , and k, as well as for most of the reasonable values of s, the equilibrium is a 296 stable focus, i.e. the system converges to the equilibrium while oscillating around it. 297

### 3.3 Genetic drift

The models described above assume infinite population size, which may not hold for low-census species and for laboratory (experimental evolution) populations. We assessed the influence of population size on the copy number with numerical simulations, comparing the neutral model, the deleterious TE - neutral cluster model, and the deleterious TE - deleterious cluster model with a "classical" copy-number regulation model in which  $u_n = u_0/(1 + bn)$  (Charlesworth and

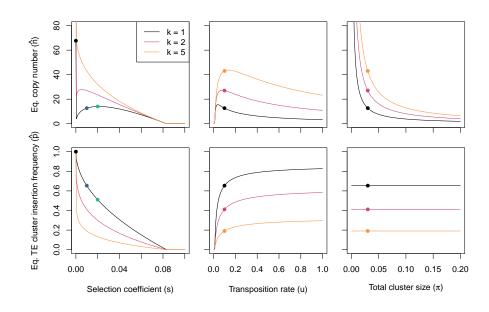


Figure 6: Influence of model parameters on the equilibrium TE copy number and the TE cluster insertion frequency in the deleterious TE deleterious cluster model. Default parameter values were u = 0.1, s = 0.01, and  $\pi = 0.03$ . The number of clusters (k = 1, k = 2, and k = 5) is indicated by different colors. Colored dots indicate the equilibria illustrated in the panels of Figure 5 (same color code as in the figure).

Charlesworth 1983). Since the only equilibrium in the deleterious TE - neutral 305 cluster model is the loss of all TE copies ( $\hat{n} = 0$ ), comparisons had to be performed 306 at an intermediate time point of the dynamics (arbitrarily, after T = 100307 generations). Models were parameterized such that the copy number n was 308 approximately the same after 100 generations. Drift affects piRNA models 309 substantially more than copy number regulation, the variance of all trap models 310 being approximately one order of magnitude larger (Figure 7). Consistently with 311 population genetics theory, the variance across simulation replicates decreased with 312 1/N for all models. 313

The standard population genetics theory predicts that selection in small 314 populations is less effective at eliminating slightly deleterious alleles. Assuming that 315 TE copies are deleterious, they should be eliminated faster in large populations 316 compared to small ones. Although this mechanism has been proposed to explain 317 the accumulation of junk DNA (including TE copies) in multicellular eukaryotes 318 (Lynch and Conery 2003), little is known about how the equilibrium copy number of 319 an active TE family is expected to be affected by drift even in the simplest 320 scenarios (Charlesworth and Charlesworth 1983). Yet, informal models suggest that 321 drift may have a limited effect, as copy number equilibria rely on the assumption 322 that evolutionary forces that limit TE amplification (regulation and/or selection) 323 bioRxiv preprint doi: https://doi.org/10.1101/2022.07.05.498868; this version posted December 13, 2022. The copyright holder for this preprint (which was not certified by peer review) is the author/funder, who has granted bioRxiv a license to display the preprint in perpetuity. It is made available under aCC-BY 4.0 International license.

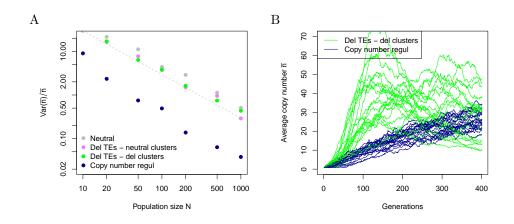


Figure 7: Effect of genetic drift on the TE copy number. A: Variance in the average copy number (relative to the average copy number) at generation 100 among replicated simulations for various population sizes. Four models are displayed: neutral trap model, deleterious TE - neutral clusters, deleterious TE deleterious cluster, and copy number regulation. Models were parameterized so that they have very similar copy numbers (about 18) at generation 100; Neutral trap model: u = 0.045,  $\pi = 0.03$ , k = 2); Deleterious TE - neutral clusters: u = 0.13,  $\pi=0.03,\,s=0.01,\,k=2;$  Deleterious TE - deleterious clusters:  $u=0.07,\,\pi=0.03,$ s = 0.01, k = 2; Copy number regulation:  $u_n = 0.07/(1 + 0.3n), s = 0.01$ . The theoretically-expected decrease in variance (in 1/N) is illustrated for the neutral model (slope of -1 on the log-log plot). B: The effect of genetic drift is larger in the trap model than for copy-nuber regulation models. The figure displays the average copy number  $\bar{n}$  in 20 independent replicates, N = 100 for both models. Parameters were u = 0.1, s = 0.01,  $\pi = 0.03$ , k = 2 for the trap model, and  $u_n = 0.1/(1 + 0.3n)$ , and s = 0.01, for the copy-number regulation model. Regulation strength was set so that the expected equilibrium copy number  $\hat{n} \simeq 25$  was the same for both models.

increase in intensity when the copy number increases. Thus, when drift pushes the 324 average copy number up or down, transposition is expected to be less or more 325 effective respectively, which compensates the random deviation. Simulations show 326 that, whatever the model, the copy number is indeed slightly higher in small 327 populations (N < 100) when TEs are deleterious, but this effect never exceeds 20% 328 of the total copy number (Supplementary figure B2). Overall, drift has a very 329 limited impact on the mean copy number when N > 50. 330

#### Discussion 4

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#### 4.1Population genetics of the trap model

The formalization of TE regulation by piRNA clusters (the "trap model") made it 333 possible to derive a series of non-intuitive results, and evidence how trap regulation 334 differs from traditional (copy-number based) regulation models. Among the most 335 striking results: (i) in absence of selection (neutral trap model), the equilibrium 336 copy number does not depend on the transposition rate, (ii) the proportion of 337 genotypes able to regulate TEs increases with the size of clusters and decreases with 338 the number of clusters, (iii) deleterious TEs can always invade when the 330 transposition rate is larger than the selection coefficient, but the TE family can 340 persist on the long-term only if TE cluster insertions are deleterious as well. When 341 TE cluster insertions are neutral, they can increase in frequency up to fixation, 342 which leads to the loss of all non-cluster TE copies. Equilibria are always stable. 343 piRNA regulation being a mutational process, the TE copy number is more 344 stochastic and substiantially more sensitive to genetic drift than other regulation 345 models.

These results confirm and formalize previous work based on numerical simulations, in particular from Kofler (2019) who has already pointed out the small effect of transposition rate on the final state of the population and the inverse relationship between the number of clusters and the number of TE copies. The characterization of the equilibria demonstrate how the neutral trap model differs from the transposition-selection balance model proposed by Charlesworth and Charlesworth (1983); while the transposition-selection balance mostly depends on the transposition rate, the trap model equilibrium is determined by the mutational target (the size and the number of piRNA clusters).

While the equilibrium for the neutral trap model can be expressed with a very 356 simple formula (equation 3), the derivation of copy number and TE cluster insertion 357 frequencies is less straightforward when selection is accounted for (equations 10358 and 11). When TEs are deleterious even when inserted in the clusters, the 359 equilibrium copy number depends on the transposition rate u and the selection

coefficient s in a non-monotonic way (less copies when u or s are either very low or 361 very large). The fact that there exists an optimal transposition rate when TE 362 insertions are deleterious have been proposed previously, in a different theoretical 363 framework (Le Rouzic and Capy 2005). The elimination of high-transposition rate 364 TEs from the genome is due to linkage disequilibrium; when transposition is very 365 active, new TE copies are not randomly spread in the population but rather 366 gathered into high-copy number (and thus, low fitness) genotypes. The optimal rate 367 in the trap model (about 0.1 to 0.2 transpositions per copy and per generation in 368 unregulated genetic backgrounds, figure 6) is compatible with empirical estimates 369 (Robillard et al. 2016; Kofler et al. 2022). 370

#### Model approximations 4.2

The mathematical formulation of the trap model relies on a series of 372 approximations. The general framework is strongly inspired from Charlesworth and 373 Charlesworth 1983, and is based on the same general assumptions, such as a 374 uniform transposition rates and selection coefficients among TE copies, diploid, 375 random mating populations, and no linkage disequilibrium. This framework fits 376 better some model species, including Drosophila or humans, than others (such as 377 self-fertilizing plants or nematodes) for which the population genetics setup needs 378 to be adapted. In general, individual-based (non-overlapping generations) simulations fit convincingly the predictions, but errors are cumulative in the trap 380 model: small biases in the differential equations could add up over time and 381 generate a visible discrepancy after several dozens generations.

The biology of the piRNA cluster regulation was also simplified. We considered 383 that piRNA clusters were completely dominant and epistatic, i.e. a single genomic 384 insertion drives the transposition rate to zero. Relaxing slightly this assumption is 385 unlikely to modify qualitatively the model output, e.g. considering that regulatory 386 insertions are recessive would change the frequency of permissive genotypes from 387  $(1-p)^{2k}$  to  $(1-p^2)^k$ , which would increase the TE cluster insertion frequency at 388 equilibrium but not its stability. In a similar way, if the strength of regulation was 389 increasing with the number of TE cluster insertions (or the number of genomic 390 TEs), equilibrium would still be achieved for a higher number of copies. In contrast, 391 imperfect regulation (a residual transposition rate even when all TE cluster 392 insertions are fixed, such as in Lu and Clark 2010) would break the equilibrium in 393 the neutral case, and copy number would raise indefinitely. This only affects the 394 neutral model though, as imperfect regulation would have a much more limited 395 effect when TEs are deleterious. 396

In order to compute the equilibria, we assumed no epistasis on fitness, i.e. constant  $\partial \log w / \partial n = -s$ . Deriving the model with a different fitness function is possible, although solving the differential equations could be more challenging.

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Instead of our fitness function  $w_n = e^{-ns}$ , Charlesworth and Charlesworth (1983) 400 proposed  $w_n = 1 - sn^c$  (c being a coefficient quantifying the amount of epistasis on 401 fitness), while Dolgin and Charlesworth (2006) later used  $w_n = e^{-sn-cn^2}$  (different 402 parameterizations for directional epistasis are discussed in e.g. Le Rouzic 2014). 403 Considering negative epistasis on fitness (i.e. the cost of additional deleterious 404 mutations increases) in TE population genetic models has two major consequences: 405 (i) the strength of selection increasing with the copy number, it ensures and 406 stabilizes the equilibrium even in absence of regulation (Charlesworth and 407 Charlesworth 1983), and (ii) the model is more realistic, as epistasis on fitness for 408 deleterious mutations has been measured repeatedly on many organisms 409 (Maisnier-Patin et al. 2005; Kouyos et al. 2007; Khan et al. 2011). Interestingly, 410 there is little evidence of negative epistasis for fitness among TE insertions (Lee 411 2022), suggesting that epistasis is probably not a major explanation for the 412 stabilization of the copy number. In the trap model, regulation itself is strong 413 enough to achieve an equilibrium in absence of selection, so epistasis on fitness is 414 expected to modify the equilibrium copy number and the range of parameters for 415 which a reasonable copy number can be maintained (Kofler 2019), but not the 416 presence of a theoretical equilibrium. 417

Recent data might suggest that piRNA regulation may not be the only 418 mechanism involved in early regulation of TE activity. For instance, Kofler et al. 419 (2022) observed, in a lab experimental evolution context, that the number of TE 420 cluster insertions was lower than expected in the trap model. Combining a 421 copy-number regulation component and the trap model framework is theoretically 422 possible and does not invalidate our approach, at the cost of introducing a new 423 regulation parameter in the equations. In a more general way, the diversity of 424 transposition regulation mechanisms in animals (Lu and Clark 2010; Saint-Leandre 425 et al. 2020), plants (Roessler et al. 2018), and micro-organisms (Sousa et al. 2013), 426 makes it impossible to derive models that are both accurate and universal. 427

### 4.3 piRNA clusters, selection, and recombination

The most effective configuration for TE regulation is a single, large piRNA cluster. 429 Dividing the cluster in smaller parts increases equilibrium TE copy numbers, and 430 reducing the total cluster size as well. Kofler (2019) has already noticed that 431 recombination among cluster loci reduces the efficiency of regulation, and that 432 regulation was more efficient with one large, non-recombining cluster than with 433 many small clusters spread on several chromosomes (the single-cluster model was 434 called the "flamenco" model in Kofler 2019, inspired from the *flamenco* regulatory 435 locus in Drosophila, Goriaux et al. 2014). In our setting, the proportion of 436 transposition-permissive genotypes in the population is  $(1 - m/2k)^{2k}$  when 437 m = 2kp TE cluster insertions are present in the genome and evenly distributed 438

among clusters. This proportion increases as a function of k, meaning that the most 439 efficient regulation is achieved when k = 1. Furthermore, the number of copies at 440 equilibrium is expected to be proportional to the number of clusters k. Selection for 441 TE regulation should thus minimize recombination within and across clusters; the 442 fact that, in most organisms, piRNA clusters seem to be located at several loci 443 needs to be explained by other factors (such as functional constraints) than the 444 regulation efficiency. For instance, spreading piRNA clusters at several genomic 445 locations may prevent TEs to evolve cluster avoidance strategies. The need to 446 regulate independently different TE families might also play a role in the scattering 447 of piRNA clusters; the interactions between several TE families invading 448 simultaneously may generate new constraints on the regulation system, which 449 probably deserves further investigation. 450

Our theoretical analysis displays contrasted results depending on the selection 451 pressure on TE insertions located in piRNA clusters. The nature and the size of 452 TE-induced deleterious effects is a long-standing issue (Nuzhdin 2000; Lee 2022) 453 that remains poorly understood. TEs can affect the host fitness through various 454 mechanisms, including the interruption of functional genomic sequences, 455 chromosomal rearangements due to ectopic recombinations between TEs inserted at 456 different loci, or a direct poisoning effect of the transposition process. The 457 properties of piRNA clusters seem to limit most of these potential effects: the 458 density of functional regions in probably low; clusters tend to be located in 459 low-recombination regions, and the transcription into mRNA is likely to be 460 repressed. It is thus tempting to speculate that the effect of TE cluster insertions 461 on the host fitness should be lower than TE copies inserted in random genomic 462 positions, and that the deleterious TE - neutral cluster model is more realistic than 463 the deleterious TE - deleterious cluster model. Solid empirical evidence is necessary 464 to confirm this speculation, which cannot be addressed solely based on theoretical 465 considerations. 466

An interesting hypothesis was raised by Kelleher et al. (2018) about the 467 possibility that TE cluster insertion frequency could be influenced by positive 468 selection. Assuming deleterious TEs, genotypes able to control TE spread are 469 indeed expected to display a selective advantage over those in which transposition is 470 unregulated, suggesting that TE cluster insertions should sweep in the population 471 as advantageous alleles. Our model, neglecting linkage disequilibrium between TEs 472 and TE cluster insertions, would then underestimate the increase in frequency of 473 regulatory alleles (and thus overestimate the copy number). Although the reasoning 474 is theoretically valid, the actual strength of positive selection on piRNA clusters is 475 probably limited in general. Assuming that TE insertions have a local deleterious 476 effect (because they disrupt genes or gene regulation), the selective advantage of a 477 regulatory locus is weak and indirect (of the same order of magnitude as  $n \times u \times s$ , 478 the deleterious effect of the few insertions arising in a single generation). In 479 contrast, if active transposition is deleterious (such as in the hybrid dysgenesis 480 scenario explored by Kelleher et al. 2018), the selective advantage of TE cluster 481 insertions is of the order of magnitude of  $n \times s$ , and selection may have an effect on 482 TE cluster insertion frequencies. Although it is experimentally difficult to 483 determine how selection acts on TEs, both scenarios are expected to leave different 484 genomic footprints, as the positive selection hypothesis posits that regulatory alleles 485 should be shared among many individuals of the population, while the neutral 486 hypothesis expects that various individuals are regulated by independent TE cluster 487 insertions. Empirical evidence is scarce, but seems to favor the neutral hypothesis 488 (Zhang et al. 2020). 489

## 4.4 Concluding remarks

Comparative genomics applied to transposable elements is hard. Notwithstanding the countless potential artefacts associated with sequencing, assembly, and annotation biases, understanding the evolutionary history of genomes is limited by the small number of evolutionary replicates, and the number of TE families and TE and contingent factors. Being able to compare observed patterns with model predictions is thus of utter importance.

By extending the existing theory of transposable elements population genetics, 498 we were able to demonstrate that the trap regulation model was affecting deeply 499 the dynamics of TE invasion. In particular, when TE cluster insertions are neutral, 500 the possibility to maintain a stable copy number equilibrium disappears, and all 501 active TE copies are expected to be lost eventually. When regulatory insertions are 502 slightly deleterious, a hypothetical transposition-selection equilibrium can be 503 achieved, in which genomic TEs maintain as selfish DNA sequences, while 504 regulatory insertions maintain as a result of a selection-mutation balance. This 505 situation prevents the fixation of TE cluster insertions, and thus maintains a low 506 frequency of transposition-permissive genotypes (without piRNA against active TE 507 families), which could be measured empirically in populations to estimate the 508 likelihood of the alternative regulation scenarios. 509

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# Appendix A Mathematical details

### A.1 Equation 3 for large $\pi$ .

Equation 3 assumes for simplicity that  $1 - \pi \simeq 1$ , which may not hold in all species. If only a proportion  $1 - \pi$  of new insertions fall in non-cluster regions, the model can be re-written as: 650

 $\frac{\mathrm{d}n}{\mathrm{d}t} = (1-\pi)nu(1-p)^{2k}$  $\frac{\mathrm{d}p}{\mathrm{d}t} = \frac{\pi}{2k}nu(1-p)^{2k}.$ 

The equilibrium then becomes:

$$\begin{cases} \hat{n} &= n_0 + 2k \frac{1-\pi}{\pi}, \\ \hat{p} &= 1. \end{cases}$$

# A.2 Equation 6

When the copy number n achieves its maximum  $n^*$ , dn/dt = 0. This happens when the TE cluster insertion frequency  $p^*$  is:

$$\frac{\mathrm{d}n}{\mathrm{d}t} = n^* u (1 - p^*)^{2k} - n^* s' = 0$$
$$p^* = 1 - \left(\frac{s'}{u}\right)^{\frac{1}{2k}}.$$

The number of copies cumulated while p is rising from  $p_0$  to  $p^*$  can be calculated by integrating both sides:

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$$\frac{\mathrm{d}n}{\mathrm{d}p} = \frac{2k}{\pi} \left( 1 - \frac{s'}{u(1-p)^{2k}} \right)$$

$$\int_{n_0}^{n^*} \mathrm{d}n = \frac{2k}{\pi} \left[ \int_{p_0}^{p^*} \mathrm{d}p - \frac{s'}{u} \int_{p_0}^{p^*} (1-p)^{-2k} \mathrm{d}p \right]$$

$$n^* - n_0 = \frac{2k}{\pi} \left[ p^* - p_0 - \frac{s'}{u(2k-1)} ((1-p^*)^{1-2k} - 1) \right]$$

$$n^* = n_0 + \frac{2k}{\pi} \left[ p^* + \frac{s'}{u(2k-1)} (1 - (1-p^*)^{1-2k}) \right]$$

$$n^* = n_0 + \frac{2k}{\pi} \left[ 1 - \frac{1}{2k-1} \left( 2k \left( \frac{s'}{u} \right)^{\frac{1}{2k}} - \frac{s'}{u} \right) \right].$$

## A.3 Equation 7

The strategy was very similar than for obtaining  $n^*$ , with dp/dn integrated both sides from the maximum to the equilibrium: <sup>659</sup>

$$\int_{n^*}^{\hat{n}=0} \mathrm{d}n = \frac{2k}{\pi} \left[ \int_{p^*}^{\hat{p}} \mathrm{d}p - \frac{s'}{u} \int_{p^*}^{\hat{p}} (1-p)^{-2k} \mathrm{d}p \right]$$
$$-n^* = \frac{2k}{\pi} \left[ (\hat{p} - p^*) - \frac{s'}{u} \left( \frac{(1-\hat{p})^{1-2k} - (1-p^*)^{1-2k}}{2k-1} \right) \right].$$

# A.4 Equation 8

Rewriting the previous equation with  $\delta p = \hat{p} - p^*$  and  $1 - p^* = q^*$  gives:

$$-n^* = \frac{2k}{\pi} \left[ \delta p - \frac{s'}{u(1-2k)} \frac{1}{(q^* - \delta p)^{2k-1}} - \frac{s'}{u(1-2k)} q^{*1-2k} \right],$$

which turns out to be dominated by the second term  $(1/(q^* - \delta p)^{2k-1} \gg \delta p$  when  $\delta p$  increases) for most parameter values. As a consequence, neglecting  $\hat{p} - p^*$  leads to: 664

$$n^* \simeq \frac{2k}{\pi} \left[ \frac{s'}{u} \left( \frac{(1-\hat{p})^{1-2k} - (1-p^*)^{1-2k}}{2k-1} \right) \right]$$
$$\iff \hat{p} \simeq 1 - \left[ (1-p^*)^{1-2k} + \frac{\pi u(2k-1)}{2s'k} n^* \right]^{\frac{1}{1-2k}}.$$

Replacing  $p^*$  and  $n^*$  with their expressions from equation 6 and reorganizing gives:

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$$\hat{p} \simeq 1 - \left[\frac{u}{s'}(2k-1)(1+\frac{n_0\pi}{2k}-\left(\frac{s'}{u}\right)^{\frac{1}{2k}})+1\right]^{\frac{1}{1-2k}}.$$

Assuming that  $n_0$  is reasonably small and  $\pi \ll 1$ , the term  $n_0 \pi/2k$  can be further neglected, and:

$$\hat{p} \simeq 1 - \left[\frac{u}{s'}(2k-1)(1-\left(\frac{s'}{u}\right)^{\frac{1}{2k}}) + 1\right]^{\frac{1}{1-2k}}.$$

### A.5 Equilibrium stability for equation 11

The Jacobian matrix corresponding to the equilibrum  $(\hat{n}, \hat{p})$  from equation 11 is: 673

$$\mathbf{J} = \begin{bmatrix} 0 & -2k\hat{n}u\left(\frac{s'}{u}\right)^{\frac{2k-1}{2k}} \\ \\ \frac{\pi s'}{2k} & \frac{1-s}{(1-s\hat{p})^2} - \hat{n}u\pi\left(\frac{s'}{u}\right)^{\frac{2k-1}{2k}} - 1 \end{bmatrix}.$$

Eigenvalues are negative (i.e., the equilibrum is stable) for all tested parameter  $^{674}$  combinations. Eigenvalues happen to be complex for all parameter combinations  $^{675}$  (except for very large values of s), the equilibrium is thus a stable focus, reached asymptotically by oscillating around it.  $^{677}$ 

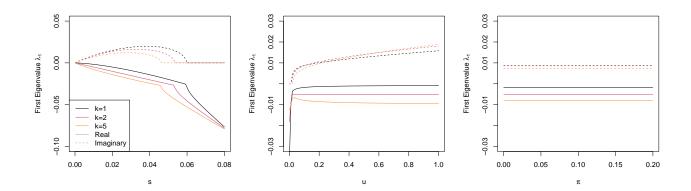


Figure A1: First Eigenvalue of the Jacobian matrix as a function of model parameters  $(u, s, and \pi)$  in the deleterious TEs - deleterious cluster model. Default parameter values were u = 0.1, s = 0.01, and  $\pi = 0.03$ . The number of clusters (k = 1, k = 2, and k = 5) is indicated by different line colors. Eigenvalues are complex for most of the range of the parameters, real part: plain lines, imaginary part: dotted lines.

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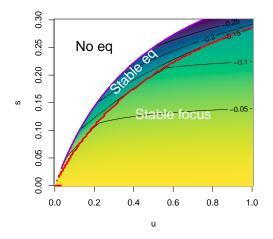
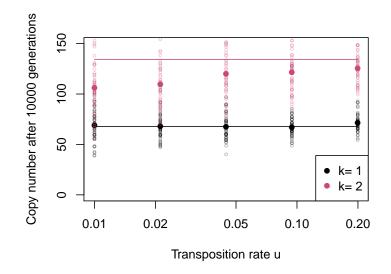


Figure A2: Equilibrium stability for the deleterious TEs - deleterious cluster model. The figure represents the real part of the first eigenvalue of the Jacobian matrix for two major parameters (u and s), with k = 1 and  $\pi = 0.03$ . The eigenvalue is negative for the whole parameter range, and is a complex number for most of the range (below the red line). The purple line delineates s = u/(1 + 2u), beyond which selection is too strong to let the TE invade (white area).

# Appendix B Supplementary results



# B.1 Sensitivity of the neutral equilibrium (Equation 4) to 679 model assumptions. 680

Figure B1: Effect of the transposition rate u on the simulated equilibrium copy number in the neutral model. Equation 4 predicts that, in the neutral model, the equilibrium does not depend on the transposition rate. Simulations were run for k = 1 and k = 2 clusters in populations of size N = 5,000; simulations were stopped after 10,000 generations. The figure displays the final copy number in each simulation (open symbols), their average (filled symbols), and the theoretical prediction (plain lines). Simulations display a slight increase in the equilibrium copy number for large transposition rates, due to linkage disequilibrium. This effect increases with the number of clusters. Conversely, when the transposition rate is low, the invasion dynamics is slower, and all TEs might not be fixed by the end of the simulations. Overall, theoretical predictions fit well for a single cluster, but simulations featuring several clusters are slower, and the final copy number remains below the theoretical expectation in finite populations from k = 2 clusters.

# B.2 Effect of genetic drift on the average and variance of the copy number

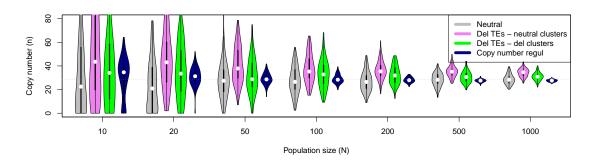


Figure B2: Distribution of the average copy number  $\bar{n}$  among 1000 replicates in different models, with various population sizes. Models were parameterized so that they achieve similar average copy numbers ( $\bar{n} \sim 28$ ) in large populations (horizontal dotted line): s = 0.01 for all models (except the neutral model), k = 1 cluster and  $\pi = 0.03$  in all trap models. Transposition rates were: u = 0.045 for the neutral model, u = 0.05 for the Deleterious TE - neutral cluster model, u = 0.15 for the Deleterious TE - Deleterious cluster model, and  $u_n = 0.17/(1 + 0.45n)$  for the regulation model.

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