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# Organometallic interactions between metal nanoparticles and carbon-based molecules: a surface reactivity rationale

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**ABSTRACT** Metal-based nanoparticles (MNPs) have been widely used as catalysts in different types of chemical transformations, taking advantage of their intrinsic properties, such as geometry, specific surface area, sites (edges, corners, faces, kinks, steps...) and electronic configuration, which offer a wide-ranging variety of interactions with the reagents and products of catalytic processes. In addition, both the nature of the metal and the support (often MNPs are supported on solids or immobilized in liquid phases) play a crucial role in their catalytic behavior and plausible recycling. Nevertheless, research works reporting on the organometallic interactions at the metal surface are much more limited, often due to the difficulty of accessing to convenient experimental techniques. In this contribution, an overview from an organometallic point of view is presented regarding the interactions of organic compounds (reactants or products) at the surface of metal nanoparticles based on experimental data and calculations, helping to establish structure-reactivity correlations.

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#### **1. INTRODUCTION**

Distinct metal-based nanoparticles, with dimensions in the range of 1–100 nm, have tackled a huge development over the last decades, due to their unique physical and chemical properties compared to extended materials (e.g. coordination polymers or bulk metals) or molecular-based compounds. Actually, this exclusive behavior is essentially due to structural and electronic outcomes of nanomaterials, in particular allowing widespread sorts of catalytic transformations.<sup>1-5</sup> Although metal nanoparticles have been largely employed in heterogeneous catalysis, specifically with significant contributions regarding the oil sector,<sup>6</sup> nanocatalysis emerged at the end of 1990s allowing control and fine-tuning of morphology and surface state of the as-prepared nano-objects. The advances in full characterization of nanomaterials using innovative techniques, provide a rational design of catalysts at nanometric scale.<sup>7-10</sup> Undoubtedly, reproducible synthetic methods affording MNPs with a tight control of structure and composition are conclusive for their applications.

MNPs are commonly prepared by chemical (bottom-up) or physical (top-down) approaches.<sup>11,12</sup> Chemical methods are generally classified in colloidal synthesis, including different activation modes (conventional heating, ultrasounds, microwaves, photolysis, electrochemistry...),<sup>12-14</sup> and chemical vapor deposition.<sup>15-18</sup> Top-down procedures consist in the transformation of bulk materials into nanostructures by different ways (lase ablation, thermal activation, mechanical milling);<sup>19-22</sup> in particular, sputtering deposition of metal atoms or small clusters on a solid or a liquid phase seems an appropriate methodology to prepare well-defined nano-materials.<sup>11,23-25</sup>

The applications of metal-based nanoparticles, including polymetallic materials, take account of a large panel of transformations. In the frame of Fine Chemistry (pharmaceuticals compounds, agrochemicals, natural products...), metal-catalyzed coupling reactions occupy a privileged position for their versatility (C-C and C-heteroatom bond formation processes, including tandem and sequential transformations).<sup>26-28</sup> These types of reactions are often multi-parameters, involving various reagents other than substrates, such as bases. Under these "cocktail" conditions, metal lixiviation from the MNP surface can occur, and thus MNPs act as reservoir of catalytically active species.<sup>29-31</sup> However, deep mechanistic studies have permitted to identify surface-like reactivity for different C-C or C-heteroatom couplings, exhibiting fine-tuning between the adsorption of reagents and products, permitting selective reactivity and avoiding catalyst poisoning.

This review focuses on the reported works concerning C-C and C-heteroatom couplings catalyzed by MNPs, providing a special insight on metal surface-organic compounds interactions, based on experimental data including theoretical calculations, material characterization and control tests.

#### 2. C-C HOMO-COUPLING REACTIONS

C-C bond formation transformations through metal-catalyzed homo-coupling reactions represent a useful and sustainable tool in organic synthesis. In this section, C(sp<sup>2</sup>)-C(sp<sup>2</sup>) (Ullmann-type reactions catalyzed by Cu-, Co-, Pd- and Au-based nanoparticles) and C(sp)-C(sp) (Glaser couplings with metal-based nanoparticles from group 11) homo-couplings are reviewed, reporting mechanistic approaches in order to elucidate the role of the metal surface in the corresponding coupling. The multi-component Guerbet reaction is also discussed.

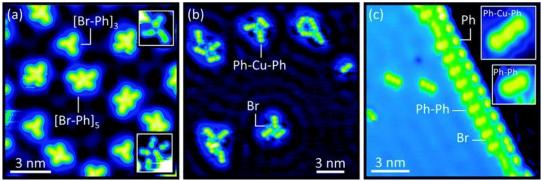
#### 2.1. Ullmann and boronic acid couplings

Symmetrical biaryl compounds are involved in a wide range of applications (rigid liquid crystals, drugs, polymers, ligands for organometallic complexes...). Many synthetic methodologies have been reported in the literature, including metal-catalyzed homocoupling approaches where palladium is mostly used but also other transition metals (copper, gold, iron...) have been efficiently applied.<sup>32</sup> In this frame, different reagents are used, such as aryl halides, boronic acids, arenediazonium salts and aryl organometallic compounds, among the commonest ones.

One of the oldest metal-catalyzed reactions for making C-C bonds between aryl groups is the classical Cu-mediated Ullmann coupling, using aryl halides as reagents. Since the earliest works reported by Ullmann and Goldberg also including the formation of amides and ethers,<sup>33,34</sup> a myriad of methodologies with different transition metal catalysts has been developed to form  $C(sp^2)-C(sp^2)$  and

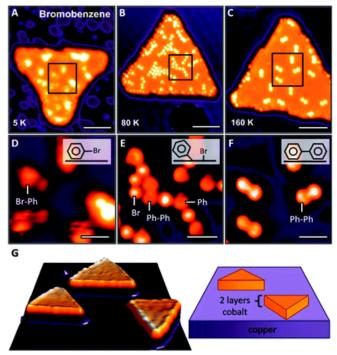
Csp<sup>2</sup>-heteroatom bonds. These ways overcome the main limitations of the classical Ullmann coupling and in consequence permit to work under milder conditions, using inactivated substrates and exhibiting a better tolerance to functional groups.<sup>35-39</sup>

Sykes' group has studied the Ullmann coupling of bromobenzene on a Cu(111) surface by Scanning Tunnelling Microscopy (STM).<sup>40</sup> They demonstrated that the formation of biphenyl occurs at the metal surface through a mobile organometallic intermediate, containing two phenyl groups bound to a Cu atom that was extracted from the surface (Fig. 1). They could also conclude that the formation of this molecular intermediate at low temperature (160 K) is consistent with the rate limiting step for the studied reaction, being the biphenyl formation and not the Cu atom extraction from the metal surface or the formation of the organometallic intermediate, the step showing the highest energy barrier.



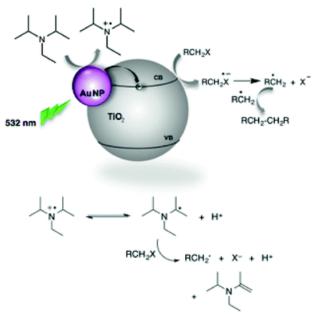
**Fig. 1** STEM images showing the progression of the Ullmann coupling on Cu(111) (imaging at 5K): a) Bromobenzene on Cu(111) annealed to 80 K; insets corresponding to high-resolution images of the clusters (3 and 5 molecules); b) clusters of the Ullmann organometallic intermediates and bromo atoms at the copper surface after annealing at 160 K; c) formation of biphenyl after annealing at 350 K; insets corresponding to high-resolution images of the organometallic Ph-Cu-Ph intermediate and biphenyl product. Reproduced from <sup>40</sup> with permission from the Royal Society of Chemistry.

The same group was also interested in the use of CoNPs grown on a Cu(111) surface for the homocoupling of bromobenzene, proving that Co and Cu catalyze the C-C coupling by different pathways.<sup>41</sup> Thus, authors proved that the *in situ* formed intermediates presented a different structure in relation to those coming from copper. STEM analyses (Scanning Transmission Electron Microscopy) evidenced that the bromobenzene dissociation at CoNPs surface happens at lower temperature (< 80 K) than that observed for Cu(111) surface (160 K). Furthermore, the phenyl groups are linked to the cobalt surface, without forming molecular organometallic species, what means that no cobalt atoms were removed from the nanoparticle surface in contrast to the copper reactivity (Fig. 2). After annealing the sample at 160 K, the formation of biphenyl was complete (for Cu(111), the temperature required was 350 K). Similar behavior was observed using benzylbromide as substrate.



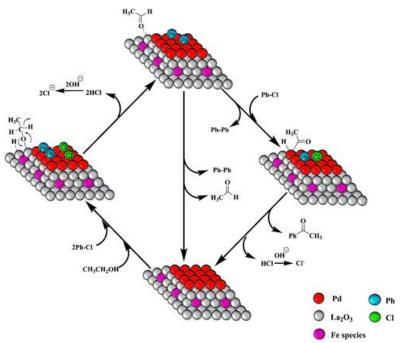
**Fig. 2** STEM images displaying the bromobenzene homo-coupling on cobalt nanoparticles: A and D images corresponds to bromobenzene deposited on the Co@Cu(111) surface; B and E images after annealing at 80 K (bromobenzene dissociates in bromo and phenyl species; C and F images corresponds to biphenyl formationon CoNPs (after annealing at 160 K); G shows a schematic representation of the Co/Cu(111) material: cobalt forms triangular nanoparticles on copper. Reproduced from <sup>41</sup> with permission from the Royal Society of Chemistry.

In the last decade, Au- and Pd-based nanoparticles have been efficiently applied to the aryl halide coupling taking advantage of their surface-like reactivity, tolerating both homogeneous (nanoparticles dispersed in a liquid phase) and heterogeneous (nanoparticles immobilized on solids) reaction conditions.<sup>42</sup> Concerning AuNPs supported on semi-conductor materials, Scaiano and coworkers proved the efficiency of AuNPs@TiO<sub>2</sub> in the photoinduced C-C coupling of benzyl derivatives.<sup>43</sup> Control tests demonstrated that the reaction happens through a radical mechanism (radical intermediate trapped by TEMPO) rather than by a nucleophilic substitution reaction, requiring light (530 nm LED), a semi-conductor support and a sacrificial electron donor such as diisopropylethylamine; Au-free TiO<sub>2</sub> gave low yield of the corresponding dimerization product (Fig. 3). Light-promoted coupling of aryl halides was carried out using AuNPs supported on the KNb<sub>3</sub>O<sub>8</sub> semi-conductor which exhibits a bigger gap energy between valence and conduction bands than TiO<sub>2</sub> (3.7 eV for KNb<sub>3</sub>O<sub>8</sub> vs 3.0 eV for TiO<sub>2</sub>), showing a similar mechanism than that described by Scaiano's group.<sup>44</sup>



**Fig. 3** Top: Plausible mechanism for the AuNPs@TiO<sub>2</sub> catalyzed photocatalytic reductive dimerization of 4nitrobenzylbromide. Bottom: Reduction of halo-benzyl derivatives by  $\alpha$ -aminoalkyl radical intermediates. Reproduced from <sup>43</sup> with permission from the Royal Society of Chemistry.

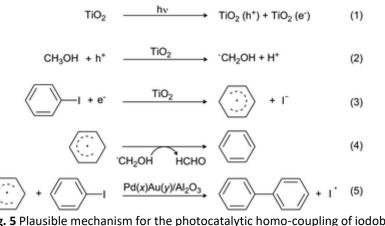
PdNPs on oxide-based supports have been efficient for aryl halide homo-couplings, some of them proving the synergic effect between the metal surface and the support. Thus, PdNPs immobilized on CeO<sub>2</sub> porous nanorods, which show a large surface area, a considerable concentration of oxygen vacancies and a remarkable basicity, allowed the coupling of chlorobenzene, more difficult to be activated than bromo- and iodo-arenes, in water using glucose as reducing agent.<sup>45</sup> XPS (X-ray Photelectron Spectroscopy) and FT-IR (Fourier Transform Infrared spectroscopy) analyses evidenced that the strong basicity of the support with the high concentration of vacancies, induced a high electron density of surface palladium atoms and in consequence favored the oxidative addition of chloro-arenes at the metal surface, without studying the plausible leaching after this elementary step. Somsook and co-workers studied the synergy between Fe-doped La<sub>2</sub>O<sub>3</sub> and PdNPs for a large scope of chloro-arenes, in ethanol under basic conditions.<sup>46</sup> An enhancement of the reactivity was observed using Fe-doped support in comparison to pristine La<sub>2</sub>O<sub>3</sub>. Based on the materials characterization and catalytic behavior, authors could prove that ethanol (acting also as reducing agent) was activated by La<sub>2</sub>O<sub>3</sub> and chloro-arenes by the Pd surface, both processes showing a similar rate (Fig. 4).



**Fig. 4** Plausible mechanism of the formation of aryl methyl ketone and biphenyl catalyzed by PdNPs@Fe-doped La<sub>2</sub>O<sub>3</sub>. Reproduced from <sup>46</sup> with permission of John Wiley and Sons and Copyright Clearance Center (license number: 5147050704036) 2018.

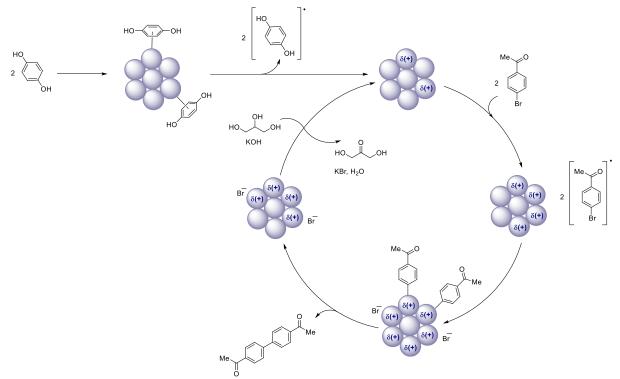
For PdNPs immobilized on amino-functionalized montmorillonite applied in the Ullmann coupling of iodobenzene, a palladium "release and catch" mechanism was inferred by TEM after several runs (observation of agglomerates), hot filtration test and ICP analyses (leaching of *ca*. 7% of the starting palladium loading).<sup>47</sup>

Hybrid materials constituted by Au-Pd bimetallic nanoparticles (BMNPs) supported on alumina, in the presence of TiO<sub>2</sub> acting as photocatalyst, were applied in Ullmann coupling of aryl halides.<sup>48</sup> XANES analysis of the AuPd BMNPs@Al<sub>2</sub>O<sub>3</sub> revealed that both metal atoms are intimately close and an electron density transfer from Pd to Au atoms occurs.<sup>49</sup> The formation of the by-product, benzene, increased linearly with the light intensity, while the formation of biphenyl was constant at high intensities, excluding a radical coupling mechanism. Monitoring and control studies permitted to conclude that the formation of biphenyl is initiated by the photo-generation of phenyl radicals which reacts with an iodobenezene molecule which has been activated at the metal surface (probably promoted by a plasmonic effect); thus, the homo-coupling follows a radical addition-elimination mechanism (Fig. 5).<sup>48</sup>



**Fig. 5** Plausible mechanism for the photocatalytic homo-coupling of iodobenzene using TiO<sub>2</sub> blended with Au-Pd bimetallic nanoparticles supported on alumina. Reproduced from <sup>48</sup> with permission from the Royal Society of Chemistry.

PdNPs stabilized by polyvinylpyrrolodine (PVP) were efficiently immobilized in glycerol and applied in homocoupling reactions of aryl halides.<sup>50</sup> A deep mechanistic study, including reaction monitoring, electron paramagnetic resonance analyses, effect of radical scavengers and theoretical calculations, permitted to conclude that the reaction occurs through radical intermediates, requiring the synergy between neighboring palladium atoms which lead to the homocoupling product in a regioselective way (Fig. 6).<sup>50</sup>



**Fig. 6** Proposed mechanism for PdNPs-catalyzed reductive homo-coupling of aryl halide derivatives. Adapted from <sup>50</sup> with permission from the Royal Society of Chemistry.

From a mechanistic point of view, the oxidative coupling of organoboron compounds has aroused great interest in the scientific community, mainly for Pd- and Au-catalyzed processes, using both homogeneous organometallic complexes and well-defined nanoparticles.<sup>51-54</sup> In this latter case, experimental and theoretical studies have been deeply carried out especially with AuNPs.

Corma and co-workers published the first report encompassing Au(III)-based supported ceria heterogeneous catalysts for preparing biaryl compounds through boronic acid homocoupling.<sup>55</sup> In contrast to previous reports, the homocoupling takes place in the absence of both air and added base but requiring the presence of water, which generates hydroxyl groups on CeO<sub>2</sub> surface, responsible of the formation of boric acid. These conditions are probably related to the small gold clusters formed. The formation of H<sub>2</sub> was evidenced by Raman spectroscopy. XPS analyses and IR spectra using CO as a probe molecule, permitted to conclude on the presence of Ce(III) and the correlation between Au(III) and catalytic activity (no correlation found when using Au(I) or Au(0) catalytic materials), proving that Au(III) sites are the active centers.

It is important to highlight that for colloidal AuNPs stabilized by PVP (*ca.* 2 nm), molecular oxygen dissolved in water was found to be necessary to carry out the phenylboronic acid homocoupling.<sup>56</sup> In this context, the  $O_2$  activation necessarily occurs at the metal surface. Based on the theoretical studies performed by Metiu and coworkers,<sup>57</sup>  $O_2$  preferentially binds to coordinative unsaturated Au atoms placed on corners, edges, steps or kinks, but not on faces.

Later on, Corma's group studied the mechanism of activation of ArB(OH)<sub>2</sub> considering Au<sub>38</sub> nanoparticles (AuNPs *ca*. 1 nm) by DFT (Density Functional Theory) calculations,<sup>58</sup> taking into account

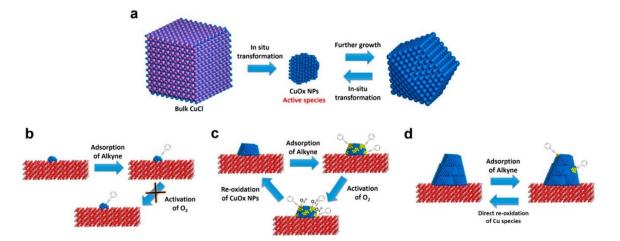
that the activation of small molecules is not observed for AuNPs exhibiting diameters larger than *ca*. 4 nm.<sup>59,60</sup> Curiously, it was observed that when the AuNPs are partially oxidized (containing 2 oxygen atoms per Au<sub>38</sub> NP), the energy barrier associated to the coupling of two aryl groups was lower in energy than in the non-oxidized Au<sub>38</sub> NP (34.3 kcal/mol *vs* 4.7 kcal/mol). This DFT study proved that the crucial role of  $O_2$  in the homocoupling reaction is related to the coupling step and not to the activation of phenylboronic acid.<sup>58</sup>

#### 2.2. Glaser coupling

The interest for the chemistry of 1,3-diynes has significantly grown in the last years mainly due to their involvement as building blocks in the synthesis of different relevant compounds,<sup>61</sup> such as polymers,<sup>62</sup> natural products,<sup>63</sup> and optoelectronic materials.<sup>64</sup> Usually, 1,3-diynes are obtained by Glaser oxidative coupling, involving both Cu(I)<sup>65</sup> and Cu(II) salts<sup>66</sup> in the presence of ammonia or diamines such as tetramethylethylenediamine, under oxygen atmosphere.<sup>67</sup> In addition to homogeneous catalysts, heterogeneous catalytic materials have been also reported mainly concerning copper and palladium,<sup>68</sup> but also catalytic materials based on other transition metals such as Ni,<sup>69</sup> Ag, and Au.<sup>70,71</sup>

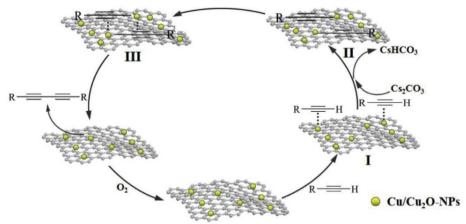
From a mechanistic point of view, it seems difficult that the simultaneous activation of the terminal alkyne and  $O_2$  can take place on isolated copper cations. It is known that Cu-containing enzymes, binuclear metal structures are proposed to be the active sites for  $O_2$  activation;<sup>72</sup> also, in Cu-catalyzed azide-alkyne cycloadditions, it has been demonstrated the role of the multi-nuclear complexes and nanoparticles.<sup>73,74</sup>

Corma and coworkers reported the synthesis of symmetric and unsymmetric 1,3-diynes by oxidative coupling of alkynes catalyzed by CuO<sub>x</sub> nanoparticles, both unsupported and supported on nano-TiO<sub>2</sub>.<sup>75</sup> This work proved that small Cu-based nanoparticles are necessary (mean diameter ca. 2 nm), for both supported and monodispersed  $CuO_x$  NPs. This work evidenced that copper clusters on titania (constituted by a few number of Cu atoms) were not appropriate for  $O_2$  activation in agreement with DFT calculations. However, for  $CuO_x$  NPs of ca. 2 nm,  $O_2$  is transformed into peroxo species at the nanoparticle surface (based on in situ Raman and IR studies), being active intermediates for the alkyne coupling. Bigger CuO<sub>x</sub> NPs led to the formation of lattice oxygen species, exhibiting a lower activity for the oxidative coupling (Fig. 7). The formation of these oxygen-based intermediates agrees with TPR (Temperature Programmed Reduction) profiles. Therefore, the  $H_2$  consumption was very low for copper clusters compared with copper nanoparticles. Furthermore, when  $CuO_x$  NPs of 2 nm were treated with phenylacetylene and  ${}^{18}O_2$  under reaction conditions (90 °C, 1 h), neither H<sub>2</sub> ${}^{16}O$  nor H<sub>2</sub> ${}^{18}O$ was detected, meaning that the surface was reduced under catalytic conditions but it was not reoxidized, plausible due to the formation of peroxo intermediates; in contrast, for bigger CuO<sub>x</sub> NPs, both H<sub>2</sub><sup>16</sup>O and H<sub>2</sub><sup>18</sup>O were detected, indicating a partial reduction and reoxidation by <sup>18</sup>O<sub>2</sub> of the surface, in agreement with  $O_2$  dissociation at the surface transforming into a lattice oxygen species.



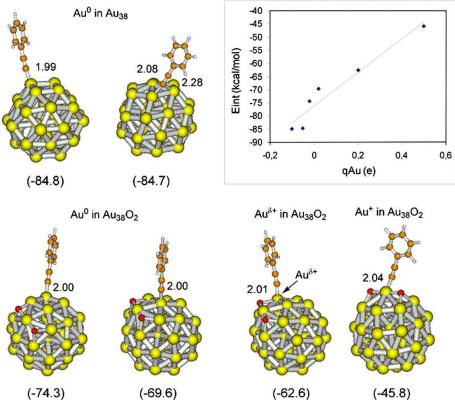
**Fig. 7** a) Schematic representation of the transformation of Cu-based species; b-d) Proposed mechanisms depending on the size of the catalytic material: b)  $CuO_x/TiO_2-0.25\%$ ; c)  $CuO_x/TiO_2-2.5\%$ ; d)  $CuO_x/TiO_2-10\%$ . Reprinted with permission from <sup>75</sup>. Copyright 2016 American Chemical Society.

Zheng and coworkers have recently described the synthesis of hybrid copper-based nanoparticles supported on reduced graphene oxide (rGO), Cu/Cu<sub>2</sub>ONPs@rGO.<sup>76</sup> Among the copper catalysts tested for the homocoupling of phenylacetylene including Cu(I) and Cu(II) salts, supported and unsupported Cu-based nanoparticles, the highest activity was obtained with Cu/Cu<sub>2</sub>ONPs@rGO, which was also efficient for the symmetrical coupling of different terminal alkynes (12 examples), being more active for aryl derivatives. Previous works of the same group using homogeneous catalysts proved the reduction of Cu(II) into Cu(I) by terminal alkynes (XANES, EXAFS and EPR studies)<sup>77</sup> and the synergy between Cu(II) and Cu(I) evidenced by experimental (IR, EPR, XAS) and theoretical (DFT calculations) means.<sup>78</sup> Based on that, authors extrapolated the homogeneous reactivity to Cu/Cu<sub>2</sub>ONPs on rGO, conductor support exhibiting a high specific surface, and proposed the mechanism illustrated (Fig. 8).<sup>76</sup> A first  $\pi$ - $\pi$  interaction between terminal alkyne and rGO layers promotes the alkyne interaction with copper nanoparticles, which, in the presence of a base, leads to the activation of C-H bond and the corresponding formation of Cu-alkynyl intermediates; the synergy between close copper species favors the oxidative coupling and the reduction of copper nanoparticles, subsequently oxidized by molecular oxygen.



**Fig. 8** Plausible mechanism for Glaser homo-coupling catalyzed by Cu/Cu<sub>2</sub>O NPs@rGO. Reproduced from <sup>76</sup> with permission of Elsevier and Copyright Clearance Center (license number: 5147061417629) 2019.

Corma's group studied the mechanism of base-free symmetrical homo-coupling of terminal alkynes catalyzed by AuNPs and clusters, both unsupported and supported catalysts on ceria, with the aim of determining the nature of the gold active sites.<sup>70</sup> DFT calculations showed that phenylacetylene can be adsorbed at Au(0), Au<sup> $\delta(+)$ </sup> and Au<sup>+</sup> sites; the basic oxygen atoms of the support permit its deprotonation (Fig. 9). Moreover, the alkynyl groups bonded to cationic gold atoms are more reactive than those linked to Au(0), and in consequence the activation barriers for the bimolecular coupling step are lower for AuNPs involving a cationic surface. Moreover, kinetic data indicated that the dissociation of molecular oxygen on AuNPs was the rate determining step, corroborated by the theoretical calculations.



**Fig. 9** Interaction of phenylacetylenyl radical with Au(0) and cationic gold (both Au<sup> $\delta+$ </sup> and Au<sup>+</sup>) sites on isolated AuNPs. Relationship between calculated energies (data in brackets, kcal/mol) and atomic charge on gold atoms. Metal-carbon distances in Å. Reproduced from <sup>70</sup> with permission of Elsevier and Copyright Clearance Center (license number: 5147070651959) 2014.

Zhang et *al.* proved that Ag(111) surface promotes the C(sp)-H bond activation and the subsequent formation of the corresponding homocoupling product by STM analyses together with DFT calculations, using 1,3,5-triethynylbenzene and 1,3,5-tris-(4-ethynylphenyl)benzene as terminal alkynes.<sup>79</sup>

#### 2.4. Guerbet reaction

The tandem multi-step Guerbet reaction (involving dehydrogenation/aldol condensation/crotonisation/hydrogenation reactions) is an attracting process for the synthesis of branched monoalcohols, value added compounds for industrial production of plasticizers and detergents, including the synthesis of bioalcohols (Fig. 10);<sup>80,81</sup> further hydrodeoxygenation of the obtained branched alcohols makes it possible the production of branched hydrocarbons, components of aviation fuels.<sup>82</sup>

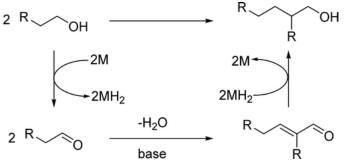


Fig. 10 Schematic representation of Guerbet reaction. Reprinted with permission from <sup>81</sup>. Copyright 2016 American Chemical Society.

Although catalysts consisted of a basic metal oxide (such as MgO) have been widely studied, those composed of a transition metal supported on a basic solid, have received less attention, in particular from a mechanistic point of view. The presence of a transition metal mostly favors the dehydrogenation step of the starting alcohol, permitting to work under lower temperature compared to metal oxides and in consequence avoiding undesired by-products.<sup>83</sup>

Simakova and coworkers have studied the n-pentanol coupling with different platinum-group metals (Ru, Rh, Ir, Pd, Pt) supported on a variety of solids (C, Al<sub>2</sub>O<sub>3</sub>, TiO<sub>2</sub>, CeO<sub>2</sub>, ZrO<sub>2</sub>) doped with solid bases.<sup>84</sup> These transition metals, in particular Pd and Pt, easily adsorb molecular and atomic hydrogen, promoting the first (alcohol dehydrogenation) and last step (crotonaldehyde hydrogenation). HRTEM (High Resolution Transmission Electron Microscopy) analyses of the as-prepared catalysts showed the presence of small and well-distributed MNPs (mean size 1.0-1.5 nm, except for Pd which exhibits an average particle size of 2.3-2.9 nm). For a given metal, the conversion and selectivity increased with the basic properties (proton affinity) of the support ( $TiO_2 < ZrO_2 < C < Al_2O_3$ ). For catalysts containing carbon support, the conversion increased as follows: Ir < Ru < Pt < Pd, being PtNPs@Al<sub>2</sub>O<sub>3</sub> doped with NaOH (named NaOH/Pt/ $\gamma$ -Al<sub>2</sub>O<sub>3</sub>) the most selective catalyst towards the formation of 2propylheptanol. Diffuse Reflectance Infrared Fourier Transform (DRIFT) spectra of adsorbed ethanol on NaOH/Pt/ $\gamma$ -Al<sub>2</sub>O<sub>3</sub> permitted to identify the formation of intermediate compounds produced in the Guerbet reaction. Therefore, at room temperature, the formation of acetaldehyde and hydroxyaldehyde could be inferred; after heating at 100 °C, new bands appeared corresponding to the formation of crotonaldehyde. These spectroscopic data corroborate the dehydrogenation/aldol condensation/hydrogenation steps according to a hydrogen borrowing reaction mechanism.

#### **3. C-C CROSS-COUPLING REACTIONS**

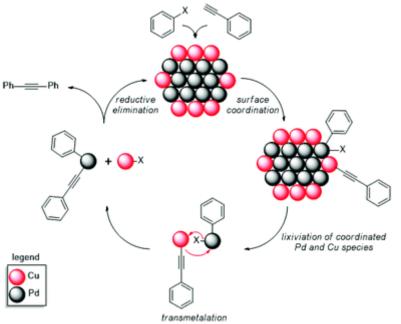
Since 1960's, C-C cross-coupling reactions, in particular applied in organic synthesis, are generally related to palladium-catalyzed transformations, recognized with the Nobel Prize in Chemistry 2010 conferred to R. F. Heck, E.-I. Negishi and A. Suzuki. In this section, we focus on mainly Pd-based nanoparticles (both mono- and bimetallic nano-catalysts) applied in C-C bond formation transformations, works including fundamental insights regarding surface reactivity based on experimental and in some cases also computational data.

#### **3.1.** Sonogashira coupling

Palladium-based catalysts in the presence or in the absence of Cu(I) salts (acting as cocatalyst and thus enhancing the reaction rate), have found a remarkable success in C(sp<sup>2</sup>)-C(sp) cross-couplings of aryl or alkenyl halides or triflates and terminal alkynes,<sup>85-87</sup> leading to aryl-alkynes and conjugated enynes, intermediates particularly involved in the synthesis of drugs, natural products and organic materials.<sup>88</sup> Other than molecular catalysts, preformed Pd-Cu BMNPs have been employed in Sonogashira couplings, both BMNPs dispersed in liquid phases and immobilized on solid supports.

Related to colloidal BMNPs, our group prepared Pd-Cu BMNPs by a co-reduction approach in glycerol under hydrogen atmosphere using different Pd/Cu ratios. Those obtained from an equimolar Pd/Cu ratio exhibited a cluster-in-cluster structure [evidenced by HAADF (High-Angle Annular Dark Field)-STEM and EDX (Energy Dispersive X-ray analysis) line scanning]. The as-prepared BMNPs were successfully applied in the synthesis of functionalized triazoles through one-pot tandem reaction, *i.e.* azide alkyne cyclisation coupling followed by Sonogashira reaction; this process displayed an excellent chemoselectivity due to the significant different rates of both processes (Cu-catalyzed triazole formation was faster than Pd-catalyzed C-C bond formation).<sup>89</sup> Domingos and coworkers synthesized core-shell Pd-Cu BMNPs in ethylene glycol/water by a polyol methodology.<sup>90</sup> Based on kinetic profiles, poisoning experiments (Hg, CS<sub>2</sub>) and hot filtration tests, authors evidenced that both aryl halide and alkyne activation took place at the BMNP metal surface. However, HRTEM analysis after catalysis respectively), pointing to a plausible metal leaching from the surface; this assumption was also supported by HRMS analysis which proved the presence of molecular copper acetylide species in the catalytic mixture. Therefore, after the oxidative addition to Pd(0) by the aryl halide and the formation

of the alkynylcopper at the BMNP metal surface, a lixiviation of both palladium and copper species occurred before the transmetalation elemental step which occurred in solution (Fig. 11). These facts are in agreement with the Pd lixiviation after the oxidative addition on the metal surface proposed by Rothenberg and coworkers, for copper-free Pd-catalyzed Sonogashira coupling using palladium clusters as catalyst precursor.<sup>91</sup>



**Fig. 11** Plausible mechanism for Sonogashira cross-coupling catalyzed by PdCu bimetallic nanoparticles. Reproduced from <sup>90</sup> with permission from the Royal Society of Chemistry.

Concerning Pd-Cu BMNPs immobilized on supports, Zhang, Gao and co-workers prepared well-defined Pd-Cu nanoalloys on montmorillonite, constituted of Pd(0) and Cu(I) (XPS analyses).<sup>92</sup> Catalytic materials containing Pd-Cu BMNPs of 10-11 nm (mean diameter) showed high activity and chemoselectivity in the coupling between aryl iodides and terminal alkynes, compatible with different types of functional groups. The synergistic effect between both metals was inferred by the dramatic decrease in activity when an equimolar amount of monometallic nanoparticles supported on montmorillonite was tested (from 97% to 26% yield for Pd-Cu nanoalloys and mixture of monometallic nanoparticles, respectively). Similar catalytic behavior was also observed for Pd-Cu nanoalloys anchored on graphene oxide.<sup>93</sup>

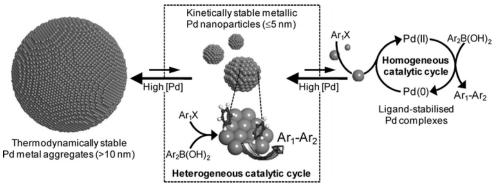
Regarding gold-based catalysts, Lambert and co-workers demonstrated that supported zero-valent gold nanoparticles catalyzed the cross-coupling between iodobenzene and phenylacetylene,<sup>94</sup> in contrast to the lack of activity shown by Au(I) and Au(III) supported materials.<sup>95</sup> It was proven that some leaching of ionic gold species into the liquid phase during the coupling happened, but this phase did not exhibit any catalytic activity, indicating that the Sonogashira reaction shows a surface-like reactivity. Furthermore, relative large AuNPs were more active than smaller ones pointing to the need that both molecules, iodobenzene and phenylacetylene, may be adsorbed on large facet planes; small AuNPs (<2 nm) favored the formation of biphenyl (homo-coupling process).<sup>96</sup> The same authors observed a similar trend using RhNPs supported on both  $\gamma$ -alumina and BaO.<sup>97</sup>

Regarding the nature of the gold sites, Corma and co-workers studied the Sonogashira coupling by both experimental (kinetic study) and theoretical (DFT calculations) means, using AuNPs supported on lanthanide oxides, such as  $La_2O_3$  and  $CeO_2$  due to their higher selectivity towards the cross-coupling product than those immobilized on silica, alumina or titania, which favor the homocoupling of iodobenzene.<sup>98</sup> This study evidenced that iodobenzene dissociates on Au(0) sites while phenyacetylene is activated on Au<sup> $\delta(+)$ </sup> ones, being the bimolecular surface elementary reaction the rate determining step. XPS analyses corroborated the presence of both neutral and ionic gold sites in the Au-based catalysts mainly favoring the cross-coupling reaction, in contrast to those promoting the formation of biphenyl (only Au(0) present in the nanoparticles).

#### **3.2.** Suzuki-Miyaura coupling

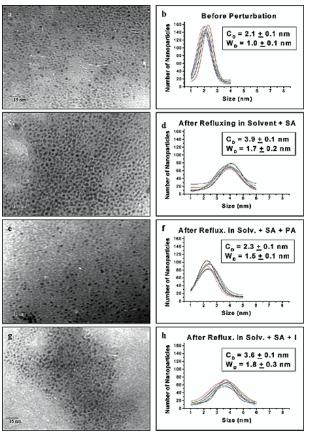
Initially described in 1979 and 1986, the Pd-catalyzed Suzuki–Miyaura cross-coupling pioneered in the formation of new C(sp<sup>2</sup>)-C(sp<sup>2</sup>) and C(sp<sup>2</sup>)-C(sp<sup>3</sup>) bonds, respectively, from aryl halides and boronic acid substrates.<sup>99,100</sup>

Among all nanocatalyzed Suzuki–Miyaura cross-coupling reactions reported thus far in the literature, most of the works use either monometallic or bimetallic Pd catalyst systems. Thus, this review article will not only take advantage of the level of maturity reached in palladium nanoparticle catalysis to highlight surface mechanistic insights, but also detail the contributions of other transition metals from groups 8 to 10 enabling novel methodologies for the formation of C-C bonds. The key activation of aryl halides in Suzuki–Miyaura cross-coupling reactions has been classically described as an elementary oxidative addition of an aryl halide to a zero-valent Pd center taking place under a homogenous regime. However, nanocatalyst-enabled surface activation mechanisms of aryl halides substrates may differ from classical ones, as reported by Corma, García and co-workers for a catalytic system featuring small-sized nanoparticles that facilitated the oxidative addition via the formation of aryl Pd(II) intermediates.<sup>101</sup> In addition, substrate coordination on the metallic surface and steric effects can strongly tune the catalytic reactivity of preformed Pd NPs and favor oxidative addition as shown for 4-bromoanisole.<sup>102</sup> Thus, the aim of this section is to highlight substrate activation pathways taking place on catalyst surfaces via key substrate interactions (Fig. 12).



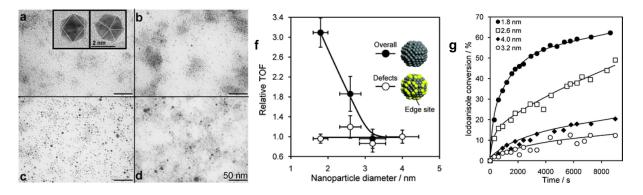
**Fig. 12.** Plausible reaction manifolds operating in PdNPs catalyzed Suzuki-Miyaura cross-coupling reactions. Reproduced from <sup>103</sup> with permission from the Royal Society of Chemistry, license ID 1149708-1.

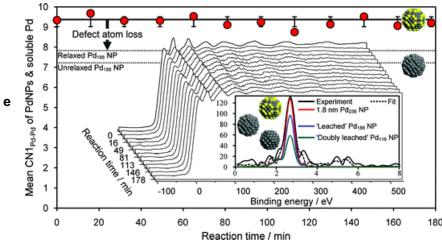
Seminal contributions by El-Sayed and co-workers in catalyzed Suzuki-Miyaura cross-couplings with PdNPs stabilized by PVP revealed that an excess of the stabilizer leads to enhanced stability in terms of size and shape due to Ostwald ripening inhibition, albeit on a catalytic activity decrease due to capping of the particle surface.<sup>104</sup> In addition, the boronic acid reagent acts as an stabilizing agent via binding through its boronate groups to the nanoparticle surface (as confirmed by FT-IR),<sup>105</sup> whereas iodobenzene and sodium acetate affected the stability of the nanoparticles by favoring Ostwald ripening via the leaching of molecular palladium species and their re-deposition for the reaction carried out at 100 °C in a MeOH-toluene solvent mixture (Fig. 13). Despite a weak interaction has been described between iodobenzene and the catalyst surface, it is worth to mention that the addition of biphenyl product to the reaction mixture resulted in low conversions via catalyst poisoning. Similar results were obtained by El-Sayed and co-workers for Pd nanocatalysts systems stabilized by polyamidoamine (PAMAM-OH) dendrimers.<sup>106</sup> Besides the size increase of spherical PdNPs catalysts, Ostwald ripening processes can be operative with other catalytic systems as observed for tetrahedral PtNPs systems that grow in size adopting a spherical shape under Suzuki-Miyaura cross coupling conditions.<sup>105</sup>



**Fig. 13** TEM images and statistical distributions of a, b) preformed PdNPs stabilized with PVP; c, d) after refluxing in sodium acetate; e, f) after refluxing in the presence of sodium acetate and phenylboronic acid; g, h) after refluxing in the presence of NaOAc and iodobenzene. Adapted with permission from <sup>104</sup>. Copyright 2003 American Chemical Society.

The interplay of surface reactivity of Suzuki-Miyaura cross-coupling reaction of iodoanisole and phenylboronic acid with preformed PdNPs has been studied by Lee and co-workers via operando liquid-phase XAS monitoring (Fig. 14a-e).<sup>103</sup> Under their operando conditions (using KOMe as base at 60 °C in a MeCN-H<sub>2</sub>O solvent mixture), no metal leaching was observed, providing novel insights on the direct implication of surface density Pd defect sites in the Suzuki-Miyaura cross-coupling reaction catalyzed by PdNPs (Fig. 14f, size dependent TOF calculated taking into account all surface Pd atoms *versus* a constant TOF normalized to surface defect atoms). In addition, the kinetic profiles evidence a fast reaction without induction period followed by deactivation over time (Fig. 14g).

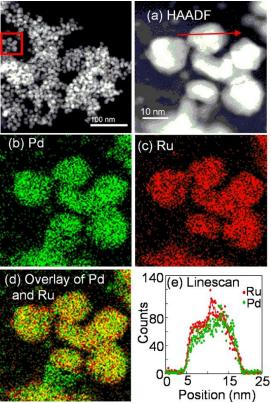




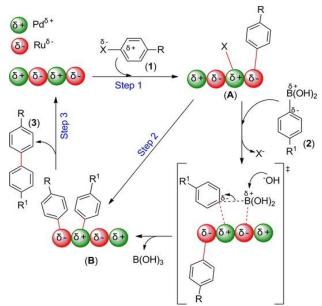
**Fig. 14** (a, b, c and d) TEM micrographs of PdNPs stabilized by PVP of the following sizes: 1.8, 2.6, 3.1 and 4.0 nm, respectively, with a high resolution micrograph representative of the surface geometry of a 1.8 nm; (e) Operando Pd K-edge XAS fits for Suzuki-Miyaura reaction monitoring of iodoanisole and phenylboronic acid with 1.8 nm PdNPs stabilized by PVP. Inset represents normalized, time-dependent EXAFS spectra with real/simulated radial distribution functions for different Pd cuboctahedra; (f) Plot of TOFs versus the total (•) or surface atom densities with defects (0) of the largest nanoparticle evidencing the structure-sensitive Suzuki-Miyaura coupling reaction of iodoanisole and phenylboronic acid over PdNPs; (g) Reaction profiles for Suzuki-Miyaura cross-coupling of iodoanisole and phenylboronic acid catalyzed by the as-prepared PdNPs systems. Reproduced from <sup>103</sup> with permission from the Royal Society of Chemistry, license ID 1149708-1.

Given the fact that classical substrate activation pathways via oxidative addition may be implicitly linked to the leaching of molecular species via the oxidation of the metal, finding alternative activation modes is crucial in the quest towards the development of novel catalyst systems with enhanced reactivity and selectivity outcomes. As an example, Kutubi, Kitagawa and Nagaoka reported in 2015 the preparation of a bimetallic catalyst system featuring alloyed Pd<sub>0.5</sub>Ru<sub>0.5</sub> NPs stabilized with PVP (Fig. 15), exhibiting dual Lewis acidic/basic properties that facilitate the oxidative addition via the intrinsic charge density transfer from Pd towards Ru as evidenced by XPS characterization: +0.25 eV positive binding energy shift for Pd 3d<sub>5/2</sub> (334.55 eV) together with a -0.80 eV negative binding energy shift for Ru 3p<sub>3/2</sub> (460.60 eV) in comparison to analogous monometallic Pd and Ru NPs systems stabilized by PVP (334.30 and 461.4 eV, respectively).<sup>107</sup> The synergistic effects of alloyed PdRu NPs cooperatively participate in the activation of both Suzuki-Miyaura polar substrates via a rapid cleavage of haloarene C–X and boronic acid C–B bonds, yielding the formation of arylruthenium and arylpalladium species, respectively, in neighboring disposition that allow a reductive elimination to deliver the cross-coupled biphenyl product (Fig. 16).

Furthermore, a series of analogous bimetallic  $Pd_{74}M_{73}$  (M = Cu, Pt, Au, Rh, Ru) dendrimer encapsulated NPs were synthesized by Tang, Shi, Hu and co-workers and their catalytic performance towards the Suzuki-Miyaura cross coupling was assessed. Both  $Pd_{147}$  and  $Pd_{74}Cu_{73}$  nanocatalyst systems furnished high yields (>79%) after short reaction times (45 min), and high TOFs (>8519 h<sup>-1</sup>).<sup>108</sup> Despite comparable energy barriers concerning the rate-determining step were determined for both monometallic  $Pd_{147}$  and bimetallic  $Pd_{74}Cu_{73}$  dendrimer encapsulated NPs systems, substrate adsorption energies on the latter are slightly lower than those for monometallic ones. PdCu synergistic effects were assessed by DFT calculations, showing electron density differences that correlated nicely with a model encompassing the transmetalation of boronic acid at Cu sites on the catalyst surface, boosting the Suzuki coupling reaction.<sup>108</sup>



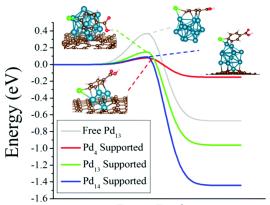
**Fig. 15** HAADF–STEM image of bimetallic Pd<sub>0.5</sub>Ru<sub>0.5</sub> NPs stabilized with PVP after three runs of Suzuki-Miyaura coupling reaction and TEM–EDS analyses of the area framed with a red line. a) HAADF–STEM image; b–d) EDS mapping for Pd (green), Ru (red) and its overlay showing the co-localization of both metals in yellow; e) linescan profile along the arrow in (a) Pd (green) and Ru (red). Reproduced from <sup>107</sup> with permission of John Wiley and Sons and Copyright Clearance Center (license number: 5153740025431) 2015.



**Fig. 16** Plausible mechanism for the Suzuki-Miyaura coupling catalyzed by a bimetallic Pd<sub>0.5</sub>Ru<sub>0.5</sub> NPs system with PVP as stabilizing agent. Reproduced from <sup>107</sup> with permission of John Wiley and Sons and Copyright Clearance Center (license number: 5153740025431) 2015.

Catalyst heterogenization on both inorganic oxides (SiO<sub>2</sub>, Al<sub>2</sub>O<sub>3</sub>, zeolites) or polymeric organic materials with special emphasis on entrapped nanoparticles in polymeric matrixes is an actively sought strategy as it represents a viable strategy towards catalyst enhancement via synergistic effects

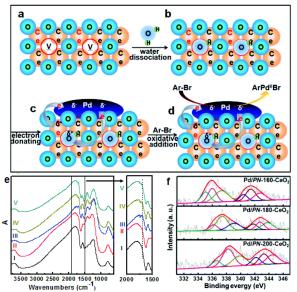
between metal NPs and the supports, as well as facilitated catalyst recovery and reuse.<sup>109,110</sup> Gupton, Khanna and co-workers have recently reported computational and experimental results shedding light on the nature of interfacial interactions between metal NPs and defect sites on a graphene surface.<sup>111</sup> The authors describe not only that the void sites on the surface of graphene provide enhanced stability against metal leaching through strong binding of the metal clusters, but also this support facilitates specific steps in the catalytic cycle, such as the oxidative addition via electron density transfer processes to the metal cluster, thus lowering the activation energy barrier of Suzuki cross-coupling reactions occurring at Pd@graphene catalyst surfaces (Fig. 17).<sup>111</sup> Furthermore, Blanco, Agnoli and coworkers have recently described a tunable methodology for the synthesis of size-controlled PdNPs (ranging from 1 to 9 nm) on graphene acid via impregnation of the support with Pd(OAc)<sub>2</sub>.<sup>53</sup>



#### Rxn. Pathway

**Fig. 17** Reaction pathway for oxidative addition of bromobenzoic acid on a Free Pd<sub>13</sub> cluster, and supported Pd<sub>n</sub> clusters on defected graphene, n=4, 13, and 14. Reproduced from <sup>111</sup> with permission from the Royal Society of Chemistry, license ID 1148395-1.

A similar activation mode via electron density donation to the metal sites has been described by Qu and co-workers for catalytic systems featuring PdNPs supported on porous CeO<sub>2</sub> nanorods. The reported nanocatalysts composites can accelerate the initial oxidative addition step thanks to strong Pd-support interactions (Fig. 18), namely Pd sites enriched in electron density in combination with the strong basicity and a high number of oxygen vacancies in the CeO<sub>2</sub> supports, as evidenced by a binding energy increase in core level Pd3d peaks in XPS (Fig. 18f).<sup>45</sup> The formation of reactive "ArPd(II)Br" species is proposed, but the authors do not comment whether the nature of the reactive intermediates is molecular or adsorbed on the catalyst surface.<sup>45</sup> Analogous synergistic effects have also been described by Ye and co-workers for highly active core-shell microspheres, bearing a magnetic Fe<sub>3</sub>O<sub>4</sub> core, a layer of PdNPs and an outer shell of mesoporous CeO<sub>2</sub>.<sup>112</sup>



**Fig. 18** (a, b, c and d) Proposed mechanism for the Suzuki-Miyaura cross-coupling catalyzed by PdNPs@CeO<sub>2</sub>; (e) FT-IR spectra of a series of PdNPs@CeO<sub>2</sub> catalysts; (f) XPS analysis of Pd nanocatalysts. Reproduced from <sup>45</sup> with permission from the Royal Society of Chemistry, license ID 1148288-1.

The preparation of highly active catalytic materials with enhanced reusability properties has also been enabled by the immobilization of PdNPs on solids supports such as silicates (mesoporous SiO<sub>2</sub>,<sup>113,114</sup> mercaptopropyltrimethoxysilane-SiO<sub>2</sub>,<sup>115</sup> PEG-SiO<sub>2</sub>,<sup>116</sup> silicalite-1,<sup>117</sup> montmorillonite<sup>47</sup>), ZnAl<sub>2</sub>O<sub>4</sub> spinel,<sup>118</sup> ferrite,<sup>119</sup> magnetite,<sup>120,121</sup> chalcogels,<sup>122</sup> covalent organic frameworks,<sup>123-125</sup> metal organic frameworks (Eu-MOF,<sup>126</sup> MCM-41,<sup>127</sup> MOF-5,<sup>128,129</sup> MIL-101,<sup>130</sup> Cu-MOF,<sup>131</sup>) Carbon-based materials (graphene,<sup>111</sup> graphene oxide,<sup>132-134</sup> graphene acid,<sup>53</sup> Carbon nanotubes<sup>135</sup>), polymeric matrixes [dendrimers,<sup>108</sup> poly(amido-amine) (G4-PAMAM),<sup>106</sup> 2-aminobenzaldehyde modified chitosan nanofibers,<sup>136</sup> DIANION,<sup>137</sup> mesoporous polyaminophenols,<sup>138,139</sup> poly(ethylene glycol)-co-poly(*N*-isopropylacrylamide),<sup>140</sup> nylon rope<sup>141</sup>], or composite materials of mixtures thereof.<sup>142-147</sup>

Literature reports on surface-like Suzuki-Miyaura cross-couplings under aerobic conditions address the challenge of precluding boronic acid homo-coupling, a competing side reaction occurring in the presence of  $O_2$  via the formation of palladium(II) peroxo species<sup>148</sup> that can easily undergo two successive transmetallations with boronic acid substrates as aryl halide oxidative addition to Pd(0) is the rate determining step under classical molecular regimes.<sup>149-151</sup>

Solvents exhibiting low solubility profiles for  $O_2$  or heterogeneized systems capable of precluding Pd oxidation under aerobic conditions might enable selective and sustainable Suzuki-Miyaura cross-couplings precluding homo-coupling reaction manifolds. The results of Ma and co-workers<sup>120</sup>, Wang and co-workers<sup>130</sup>, Ye and co-workers<sup>112</sup> provide nice examples of selective nanocatalysts systems operating in air, but further studies the operating catalytic reaction via precluding molecular homo-coupling reaction manifolds.

Suzuki carbonylative coupling reaction is one of the most facile and effective routes for direct synthesis of biaryl ketones from carbon monoxide, aryl halides and arylboronic acids, with various functionalities. Recently, Hajra et *al.* reported the past decades developments in the carbonylative Suzuki-Miyaura carbonylative coupling reactions using modern methods based on transition-metal catalysts with special emphasis to Pd.<sup>152</sup> Also, Vessally and coworkers reviewed recent progress in the application of NPs for carbonylative Suzuki coupling.<sup>153</sup> Among different systems, the immobilization of Pd species on inorganic nanoparticles present interesting catalytic activities for the studied reaction. Thus, different works reported the preparation of functionalized nano-objects to stabilize Pd(II)-complexes or PdNPs. By varying arylboronic acids and aryl iodides groups, the reaction mechanisms were proposed in literature for Fe<sub>3</sub>O<sub>4</sub>/L-Pd<sup>n</sup> catalysts (where L is stabilizing agent, as ligands or

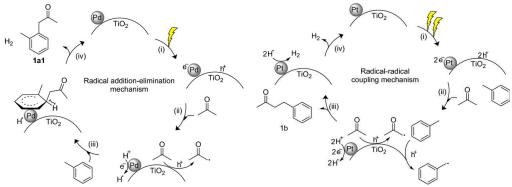
polymers, n = 0, 2).<sup>154,155</sup> Moreover, Ma and coworkers demonstrated that Fe<sub>3</sub>O<sub>4</sub>/L-Pd(0) (L = dopamine) system exhibits much inferior catalytic performance than Fe<sub>3</sub>O<sub>4</sub>/L-Pd(II). Such catalytic behavior could be ascribed to the fact that PdNPs are prone to agglomeration reaction. To prove this conjecture, TEM analyses for Fe<sub>3</sub>O<sub>4</sub>/L-Pd<sup>0</sup> and Fe<sub>3</sub>O<sub>4</sub>/L-Pd<sup>II</sup> after Suzuki carbonylative cross-coupling reaction have been performed. The agglomeration was obviously observed by TEM analyses of the catalyst after one reaction. After 5 cycles, very strong aggregation of PdNPs allowed to conclude that the nanosystem based on supported Pd<sup>II</sup> species is more stable than zero-valent PdNPs.

The research maturity of Pd nanocatalysts reached thus far has fostered the research on selective Suzuki-Miyaura catalysts of other transition metals at the nanometric scale such as PtNPs,<sup>105,156</sup>, Au NPs<sup>157-160</sup> and Ru NPs<sup>107,161</sup> and bimetallic systems thereof<sup>107,157,158,162</sup> with light-tunable surface plasmon reactivity.<sup>157</sup> However the impact of abundant first-row transition metals as key enablers towards new sustainable chemical paradigms is foreseen in the years to come. Notably, catalyst nancomposites featuring Ni NPs<sup>163</sup>, Ni<sub>x</sub>Oy NPs,<sup>164</sup> Co NPs<sup>165</sup> or Co(II) complexes,<sup>166</sup>, or bimetallic Co-Cu systems have recently been described.<sup>167</sup>

#### 3.3. Dehydrogenative cross-couplings

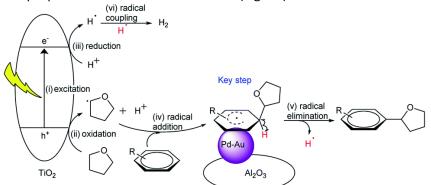
Dehydrogenative cross-coupling (DCC) offers a unique way of C–C or C–X (X = heteroatom) bond formation reactions. Numerous reviews have been published on such reactivity catalyzed by transition metal complexes, metal nanoparticles, and metal-free systems.<sup>168,169</sup> The application of nanocatalysts in cross-dehydrogenative coupling reactions has been recently reviewed by Vessally and co-workers.<sup>170</sup> A photocatalytic DCC reaction mechanism was proposed for hybrid materials combining noble metal NPs (*e.g.* Pt, Pd or Au-Pd bimetallic NPs) and semiconductor metal oxides (*e.g.* TiO<sub>2</sub>, CeO<sub>2</sub>).<sup>49,171,172</sup> The proposed mechanism takes place as follows: (*i*) semiconductor metal oxide is excited by photo-irradiation to generate the hole and electron; (*ii*) then transferred to metal NPs; (*ii*) hole would activate the organic molecules (*e.g.* C-H bond) to generate radical and a proton; (*iii*) the organic radicals combine to give a final product; (*iv*) the protons are reduced by the electrons migrated from semiconductor to MNPs to form H<sub>2</sub>.

Yoshida *et al.* studied the DCC reaction between toluene and acetone catalyzed by MNPs@TiO<sub>2</sub> (where M = Pd, Pt), carrying out different experiments with the aim of understanding the process, including EPR analyses (identification of radicals), kinetic experiments, and temperature-controlled photocatalytic tests.<sup>171</sup> Based on these observations, two catalytic mechanisms were proposed (Fig. 19) showing the importance of the metal NPs cocatalyst nature on the selectivity of the DCC products. Thus, the high activation energy for 1-(o-tolyl)propan-2-one determined from pseudo-Arrhenius plots of the temperature-controlled photocatalytic tests, suggests the involvement of a certain non-photocatalytic process for PdNPs@TiO<sub>2</sub> system. The authors proposed that PdNPs promote the DCC between toluene and acetone *via* radical addition-elimination mechanism. On the other hand, the weak activation energy determined for PtNPs@TiO<sub>2</sub> indicates that PtNPs contribute as an electron receiver and promote the DCC reaction between the methyl group of toluene and acetone through a radical-radical coupling.



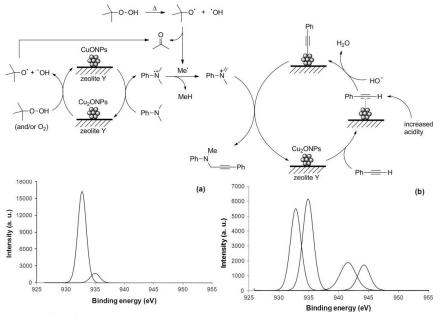
**Fig. 19** Proposed mechanisms for the photocatalytic DCC between toluene and acetone catalyzed by PdNPs@TiO<sub>2</sub> (left) or PtNPs@TiO<sub>2</sub> (right). Reprinted from <sup>171</sup> with permission from Springer Science Business Media, LLC, part of Springer Nature 2019.

To avoid the possible alternation of the metal co-catalyst part on the TiO<sub>2</sub> surface, a design of blended catalyst has been proposed by the same group, consisting in a physical mixture of a TiO<sub>2</sub> photocatalyst and MNPs supported on Al<sub>2</sub>O<sub>3</sub>.<sup>49</sup> The advantage of this system is the possibility to finely tune the catalytic properties of two catalysts to achieve high activity. Firstly, by examining the DCC of benzene and THF with different catalysts (*i.e.* Pd@TiO<sub>2</sub>, Pd@Al<sub>2</sub>O<sub>3</sub>, TiO<sub>2</sub>, TiO<sub>2</sub> + Pd@Al<sub>2</sub>O<sub>3</sub>), the authors showed that PdNPs can work more efficiently if they are not directly supported on TiO<sub>2</sub>. However, the coexistence TiO<sub>2</sub> component is essential to promote the DCC reaction. Thus, it was demonstrated that modification of one of the catalytic components, such as the insertion of small amount of Au to Pd@Al<sub>2</sub>O<sub>3</sub> system, increased the blended catalyst activity and selectivity for the photocatalytic direct DCC between arenes and THF. The observed behavior was related to the electron deficient Pd species in Pd<sup>δ+</sup>-Au<sup>δ-</sup> nanoparticles, suggested by Au L<sub>III</sub>-edge XANES analyses, which can withdraw the electron density from an adsorbed arene to accelerate the reaction with a THF radical. This step is crucial for the proposed DCC reaction mechanism (Fig. 20).



**Fig. 20** Proposed mechanism for the photocatalytic DCC between arenes and THF by the blended catalyst consisting of  $TiO_2$  photocatalyst and Pd-AuNPs@Al<sub>2</sub>O<sub>3</sub>. Reproduced from <sup>49</sup> with permission from the Royal Society of Chemistry.

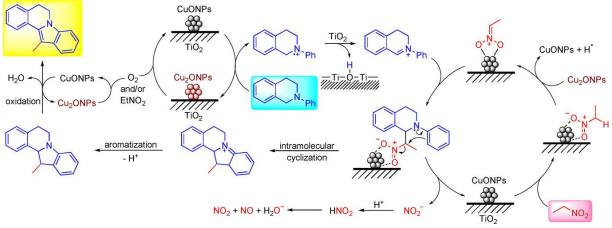
Alonso's group studied CuNPs on zeolite Y to catalyze DCC of tertiary amines with terminal alkynes in the presence of tert-butylhydroperoxide (TBHP) as oxidant to yield propargylamines.<sup>173</sup> The authors showed that the mechanism involves radical species and the couple Cu(I)/Cu(II) (Fig. 21 Top). To demonstrate this, several experiments were carried out, one of them using a radical scavenger that inhibited the propargylamine formation (especially with TEMPO). Thus, the formation of acetone from TBHP was observed by adding 2,4-dinitrophenylhydrazine to obtain the corresponding hydrazone under the reaction conditions (70 °C, 5 h, solvent-free) even without catalyst. Concerning the catalytic material, the authors performed XPS analysis for CuNPs after catalysis Cu( $2p_{3/2}$ ) peaks (Fig. 21 Bottom). CuNPs showed 4 peaks corresponding to Cu<sub>2</sub>O, CuO and two other satellite peaks corresponding to Cu<sup>2+</sup> species. After catalysis, Cu(II) species disappeared and the sample was mainly constituted of Cu<sub>2</sub>O. After oxidative treatment with TBHP, the starting CuNPs were regenerated, showing that the Cu(II) species play a crucial role in DCC.



**Fig. 21** (Top) DCC reaction mechanism between *N*,*N*-dimethylaniline and phenylacetylene catalyzed by CuNPs@ZY in the presence of TBHP. (Bottom) XPS spectra of CuNPs@ZY at the Cu  $2p_{3/2}$  level at the end of the DCC (a) and after reoxidation (b). Reproduced from <sup>173</sup>. Copyright 2015 Wiley-VCH Verlag GmbH & Co. KGaA, Weinheim.

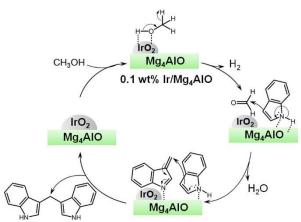
Based on these results, the following mechanism was proposed: (*i*) the Cu(I) phenylacetylenide complex is formed from the Cu<sub>2</sub>ONPS and phenylacetylene; (*ii*) in parallel, the decomposition of TBHP occurs, leading to terbutoxyl and hydroxyl radicals, and further to methyl radicals and acetone; (*iii*) the obtained molecules oxidize Cu<sub>2</sub>ONPs into CuONPs and then reduced with the concomitant oxidation the amine in its radical cation; (*iv*) H-transfer between the methyl radical and the radical cation amine give an iminium; (*v*) when iminium is added to the copper phenylacetylenide complex, generation of the propargylamine is observed.

In a similar way, a radical process for DCC reaction between tetrahydroisoquinolines and nitroalkanes was also proposed for Cu-oxide based NPs supported on  $TiO_2$  (Fig. 22).<sup>174</sup> Radical traps and catalyst poisons were used to study the plausible DCC inhibition. XPS studies were performed to demonstrate the strong interaction of CuNPs with the nitro group of nitroalkanes, presumably, promoted by CuONPs.



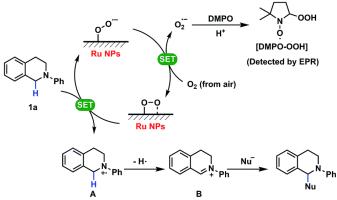
**Fig. 22** DCC reaction mechanism between tetrahydroisoquinolines and nitroalkanes catalyzed by  $Cu_xONPs@TiO_2$  (x = 1, 2) Reproduced from <sup>174</sup>. Copyright 2018 Wiley-VCH Verlag GmbH & Co. KGaA, Weinheim.

The involvement of metal oxide on the MNPs surface was observed for other catalytic systems. Thus, Loh et coworkers showed that IrO<sub>2</sub> species on the 0.1 wt% Ir@Mg<sub>4</sub>AlO catalyst promote the kinetically important step in the DCC of indole and methanol.<sup>175</sup> XRD measurements of the obtained material showed neither IrO₂ and not metallic iridium diffraction pattern in 0.1 wt % Ir@Mg₄AlO. Similarly, XPS studies showed oxidized Ir species detected despite very weak binding-energy peak due to low Ir content. Then, to investigate the catalytic mechanism, time-dependent product evolution for indolemethanol coupling reaction was studied by GC. Different separate experiments showed that the condensations of indole with paraformaldehyde gave the 3,3'-bis(indolyl)methane (3,3'-BIM), suggesting the formaldehyde could be the intermediates in indole-methanol coupling to 3,3'-BIM transformation. It should be note that the selectivity for 3,3'-BIM produced by indole and formaldehyde by adding paraformaldehyde gradually was much higher than by adding paraformaldehyde at one time, indicating the in situ formed formaldehyde on 0.1 wt % Ir@Mg₄AlO with low concentration is one of the crucial factors to allow the reaction proceeding in a selective manner. Moreover, isotope labeling study demonstrated the expected deuterium incorporation at the carbon of bridging methylene group, but with low reaction rate. This observation indicates that the dissociation of a C–H and/or O–H in methanol in the first step catalyzed by 0.1 wt % Ir@Mg₄AlO is involved as a kinetically important step (Fig. 23).



**Fig. 23** Schematic representation of DCC reaction mechanism between indole and methanol catalyzed by 0.1 wt % Ir@Mg<sub>4</sub>AlO. Reprinted with permission from <sup>175</sup>. Copyright 2019 American Chemical Society.

Characterization and a systematic study of the influence of RuNPs with different oxidation on their catalytic activity in DCC reaction between tetrahydroisoquinolines and nucleophiles (*e.g.* indole or nitromethane) *via* single-electron transfer were performed by Yan and coworkers (Fig. 24).<sup>176</sup> EPR analyses of RuNPs with different content of Ru(III) species were carried out. Samples with a higher content of Ru(III) present the higher catalytic activity due to the generation of superoxo- or peroxo-like species ( $*O_2^{--}$ ). The content of Ru(III), as well as the oxidation states of Ru and RuO<sub>2</sub> NPs have been investigated by XAFS and XPS. The obtained data showed that Ru(0) was present in RuNPs centers, and in the interface, the oxidized Ru triggered the DCC reaction. Based on these data, a heterogeneous catalytic mechanism was proposed, as well as volcano shaped correlation between the oxidation state of the NPs surface and their catalytic activity was established.



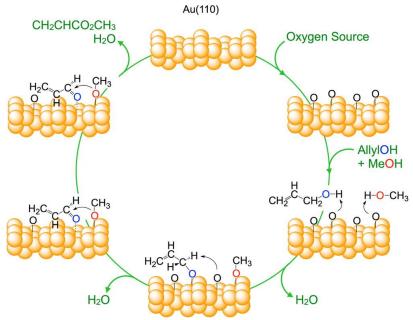
**Fig. 24** DCC mechanism between tetrahydroisoquinolines and nucleophiles catalyzed by RuNPs. Reproduced from <sup>176</sup> with permission from the Royal Society of Chemistry.

#### 4 C-heteroatom cross-couplings

Carbon-oxygen and carbon-nitrogen bonds are present in a wide variety of compounds, particularly in biologically active molecules.<sup>177-179</sup> These organic compounds are often synthesized through efficient and low-cost catalytic coupling reactions by the formation of C-X bonds.<sup>180,181</sup> The reactivity comprehension permits the design of selective catalysts and thus leads to sustainable processes.<sup>182</sup>

#### 4.1. C-O bond formation reactions

Friend's group studied the synthesis of esters by vapor-phase cross-coupling of methanol with primary alcohols (such as ethanol and n-butanol) catalyzed by unsupported AuNPs (mean diameter <2 nm).<sup>183</sup> The esterification occurred through activation of alcohols by adsorbed oxygen atoms present on AuNPs by a mechanism involving alkoxy and hemiacetal intermediates. Au(111) surface was oxidized with ozone prior to the reaction with the corresponding alcohols. The slower rate of  $\beta$ -C-H dissociation for methoxy group than that for ethoxy or butoxy groups led to a high selectivity for cross-couplings, efficiently competing with homo-couplings. The mechanism of the coupling reaction of ethanol with methanol was proposed based on different experimental data (Fig. 25): product distribution analysis, isotopic labelling studies showing that the  $\beta$ -hydride elimination occurs from the ethoxy (and not from methoxy), high resolution electron energy loss (HREEL) analyses showing the vibrational mode of methoxy-d<sub>0</sub> and ethoxy-d<sub>5</sub> onto O/Au(111) surface, and temperature programmed heating studies. A similar mechanism was proposed for the coupling of aldehydes with methanol.<sup>184</sup>



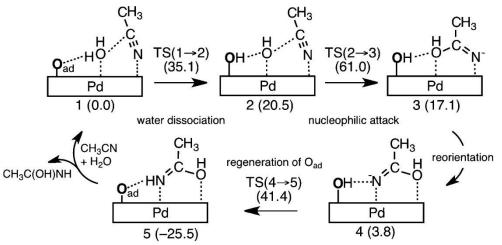
**Fig. 25** Mechanism of Allyl Alcohol Coupling with Methanol on oxygen-activated Au(110) surface. Reprinted with permission from <sup>185</sup>. Copyright 2016 American Chemical Society

This mechanism was also studied for the oxidative cross-coupling between methanol and unsaturated alcohols (such as methallyl alcohol or allyl alcohol) on oxygen-activated Au(110) surface to give the corresponding methacrylate derivatives.<sup>185</sup> Authors evidenced that the presence of C=C bond increases the binding efficiency and the methyl group triggers an additional van der Waals interaction at the metal surface.

*N*-doped CoNPs (2.55 nm) supported on active carbon were applied to cross-couplings between substituted benzaldehydes and methanol under oxygen flow.<sup>186</sup> CoNPs were prepared by pyrolysis under N<sub>2</sub>, from Co(NO<sub>3</sub>)<sub>2</sub> adsorbed on active carbon with a melamine-formaldehyde resin, followed by  $H_2SO_4$  treatment. XPS analyses evidenced a Co-*N*-pyridine interaction based on the positive shift of the N1s binding energy in relation to the metal-free support (398.1 eV vs 398.9 eV, respectively). Therefore, authors suggested that the activation of oxygen was promoted by the Co-*N* coordination sites; the pyridinic nitrogen atoms act as base promoting the deprotonation of methanol. Kinetic studies showed that the presence of hindered substituents on the aldehyde in *ortho*-position affects the reaction rate more than electronic effects, proving that the nucleophilic attack of the methoxy group to the carbonyl is the rate limiting step.

Shimizu and coworkers reported the synthesis of amides through hydration of nitriles, catalyzed by PdNPs@carbon.<sup>187</sup> The catalytic material (PdNPs exhibiting a mean size of 6.5 nm) was prepared by exposure of commercial Pd/C under H<sub>2</sub> at 500 °C followed by cooling to room temperature under He atmosphere. PXRD and EXAFS analyses showed that PdNPs were constituted of Pd(0). However, XPS analysis revealed also the presence of Pd(II) species at the surface of PdNPs. This as-prepared catalytic material was used for the hydration of nitriles in water. The presence of adsorbed oxygen atoms (acting as Bronsted base for the dissociation of water *via* hydrogen bond) and Pd(0) sites (acting as Lewis acid activating the nitrile moiety) led to a high catalytic activity (Fig. 26). The carbon-based support also showed a better adsorption ability in comparison to inorganic oxides (such as alumina or zircona) of methylacetates due to its higher hydrophobic nature. The kinetic isotope effect (KIE) of the acetonitrile hydration in H<sub>2</sub>O and D<sub>2</sub>O was relatively low (KIE = 1.2), indicating that the dissociation of water has an influence on the reaction rate but is not the determining step. The Hammet parameter was determined for *para*-substituted benzonitriles; the positive slope value indicated a negative charged transition

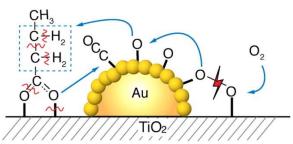
state for the rate determining step corresponding to the nucleophilic addition of OH<sup>-</sup> species to the carbon of the nitrile group. DTF studies corroborated these experimental data.



**Fig. 26** Reaction mechanism hydration of acetonitrile (computed relative energies in kJ mol<sup>-1</sup>) on the Pd(111) surface covered with the surface oxygen atom. Reprinted with permission from <sup>187</sup>. Copyright 2012 American Chemical Society

Ullmann-type couplings between haloarenes and phenol derivatives catalyzed by bimetallic spinelnano-materials, in particular M-based ferrites (MFe<sub>2</sub>O<sub>4</sub>, where M = Cu,<sup>188</sup> Ni,<sup>189,190</sup>, Co<sup>190</sup>), were highly active and selective towards the formation of aromatic ethers, being recyclable catalytic systems. Control tests evidenced that the coupling process proceeded through oxidative addition of the haloarene facilitated by the high surface of NPs and their easier electron transfer, discarding a nucleophilic substitution; furthermore, these couplings were not promoted by radical intermediates. A synergetic effect between M and iron in these ferrites seems likely by electronic transfer between M(II) and Fe(III).

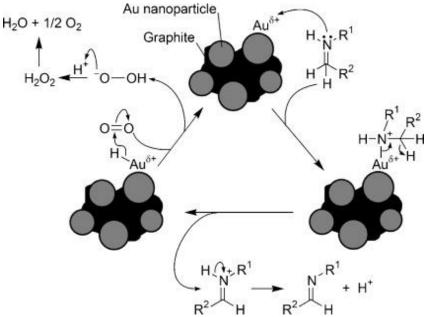
Decarboxylation processes of fatty acids to give long-chain alkenes or alkanes exhibit a great interest to substitute non-renewable fossil fuel resources.<sup>191,192</sup> Both experimental and theoretical studies on carboxylic acids indicate that the decarboxylation occurs through an initial activation and dehydrogenation of C-H bonds in 2 and 3 positions (related to COOH function) before producing the C-O or C-C bond scission. In particular, AuNPs have proven their efficiency in many oxidation reactions.<sup>193-195</sup> From a mechanistic point of view, Yates and coworkers studied the oxidative dehydrogenation of acetic, propionic and butyric acids promoted by AuNPs (ca. 3 nm) supported on TiO<sub>2</sub> to form Au<sub>2</sub>C=C=O ketenylidene species (Fig. 27).<sup>196</sup> In-situ FT-IR analysis confirmed that carboxylates were adsorbed on TiO<sub>2</sub> surface after deprotonation of the COOH function. Then  $O_2$ dissociates at the dual Au-Ti(IV) sites giving O ad atoms on Au surface, which act as Lewis base for the further C-H bond activation. FT-IR analysis also proved the formation of Au<sub>2</sub>C=C=O. Experiments run under <sup>18</sup>O<sub>2</sub> (no Au<sub>2</sub>C=C=<sup>18</sup>O species was observed) or under vacuum (no formation of Au<sub>2</sub>C=C=O in the absence of oxygen) indicate that gold ketenylidene species are formed firstly by activation of the C-H bonds followed by the C-O bond activation. The absence of kinetic isotopic effects with deuterated propionic and butyric acids reveals that the C-H bond cleavage is not the rate determining step and that the dehydrogenation step occurs before the C-O (rate determining step) and the C-C bond cleavage.



**Fig. 27** Partial oxidation of acetic, propionic, and butyric carboxylic acids on Au/TiO<sub>2</sub> catalysts. Reprinted with permission from <sup>196</sup>. Copyright 2014 American Chemical Society

#### 4.2. C-N bond formation reactions

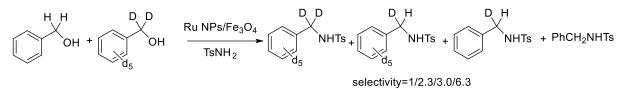
Che and coworkers applied AuNPs (*ca.* 14.5 nm) supported on graphite in the oxidation of different organic compounds under oxygen, in particular the formation of *N*-(benzylidene)benzylamines benzylamines from benzylamines.<sup>197</sup> In contrast to Ru-based catalysts which preferentially lead to the formation of nitriles,<sup>198</sup> AuNPs@graphite gave selectively *N*-(benzylidene)benzylamines. Based on several studies, such as radical trap tests, Hammet plot and kinetics experiments, authors proposed a mechanism including a hydrogen-transfer process from the benzylamine to the metal and an oxidation step involving metal hydrides at the AuNPs surface (Fig. 28).



**Fig. 28** Mechanism of Aerobic Oxidation of Benzylic Amines to Imines involving AuNPs@graphite as catalyst. Reprinted from <sup>197</sup>. Copyright 2009 Wiley-VCH Verlag GmbH & Co. KGaA, Weinheim

Thus, the first step corresponds to the amine coordination at  $Au^{\delta+}$  surface sites. The participation of positively-charged intermediates was inferred from the negative slope of the Hammett plot ( $\rho$  parameter) for the oxidation of para-substituted benzylamines. Then, C-H bond cleavage takes place at the benzylic position, being the rate determining step deduced from kinetic isotopic effects. A  $\beta$ -hydride shift occurs at the AuNPs surface and then O<sub>2</sub> acts as an H acceptor giving H<sub>2</sub>O<sub>2</sub> (not detected due to the high temperature of the reaction, 110 °C). This role of H-acceptor of oxygen was confirmed by the control reaction (oxidation of dibenzylamine to *N*-(benzylidene)benzylamine in presence of H<sub>2</sub>O<sub>2</sub> under Ar atmosphere, but exhibiting a lower yield). The presence 2,6-di-*tert*-butyl-4-methylphenol as radical scavenger did not perturb the reaction.

Beller and coworkers described the synthesis of sulfonamides catalyzed by RuNPs supported on magnetic Fe<sub>3</sub>O<sub>4</sub>, through an eco-friendly approach consisting of direct coupling between unfunctionalized sulfonamides and alcohols.<sup>199</sup> A dehydrogenation-condensation-hydrogenation tandem process took place. Kinetic isotopic effect was observed for the competitive reactions of benzylic alcohol-*d*<sub>7</sub> and benzylic alcohol with *p*-toluensulfonamide, indicating that the alcohol dehydrogenation was the rate determining step (k<sub>H</sub>/k<sub>D</sub> = 2.86 for the benzyl alcohol dehydrogenation and k<sub>H</sub>/k<sub>D</sub> = 0.74 for the hydrogenation of *N*-benzylidene-*p*-toluenesulfonamide) (Scheme 1).



Scheme 1 dehydrogenation-condensation-hydrogenation tandem process catalyzed by RuNPs supported on magnetic  $Fe_3O_4$ .

Garcia and coworkers reported iron and cobalt based nanocatalysts, supported on a graphitic carbon matrix, including FeCo alloys (sizes in the range 10.9 – 26.5 nm), to be applied in C-N oxidative coupling of aromatic N-H and amide compounds.<sup>200</sup> FeNPs@C (mainly constituted of Fe(0) (XRD analysis) with the presence of Fe(II) and Fe(III) at the metallic surface proven by XPS) appeared as the most active and recyclable system, for which some mechanistic insights were carried out, mainly focused on the characterization of the organic intermediates. These studies showed that the amide radical is the key intermediate of the process, while FeNPs are the responsible to form the first radicals by TBHP degradation (Fig. 29).

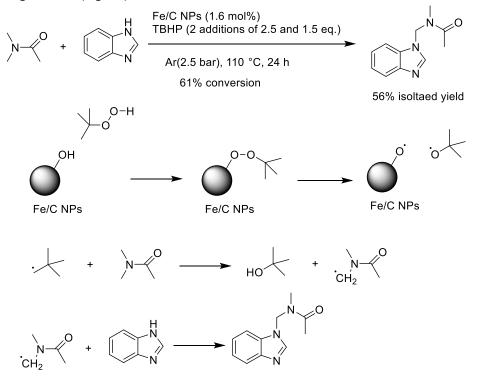


Fig. 29. C-N oxidative coupling of aromatic N-H and amide compounds catalyzed by FeNPs@C.

#### 4.3. A<sup>3</sup> coupling

Three components tandem reaction of Aldehyde, Alkyne and Amine, commonly called A<sup>3</sup> coupling, has received a lot of attention in recent years.<sup>201-203</sup> Indeed, it leads to the synthesis of propargylamines and their derivatives, useful precursors in the development of different organic substrates, natural products, and drugs.<sup>204</sup>

Over the last decades, different catalytic systems have been investigated for convenient preparation of propargylamines under green conditions. Thus, homogeneous catalytic systems based on transitionmetal salts have been reported, presenting high reactivity and selectivity.<sup>201</sup> In the heterogeneous catalyzed methodology, a large number of  $A^3$  couplings catalyzed by MNPs (e.g. Cu, Au, Ag, Ni, Fe, Co and Zn) have been reported, however the research of their catalytic mechanism remains limited.<sup>205,206</sup> Generally, mechanisms based on the formation of well-known  $\pi$  complexes between metals and alkynes (R-C=C-M) are proposed, which then react with the iminium ion formed by condensantion between the aldehyde and the amine, resulting in the production of the corresponding propargylamine and regeneration of the metal catalyst. However, when CuNPs synthesized in glycerol were used, the mechanism appeared to be different, as evidenced by spectroscopic means (Fig. 30).<sup>207</sup> Actually, FT-IR studies proved a weak  $\sigma-\pi$  bonding interaction between phenylacetylene and the metal surface [broad band showing a  $\Delta v \sim -30$  cm<sup>-1</sup> in relation to free phenylacetylene: 2108 (free Ph-C=C-Ph) vs 2070 (capping Ph-C=C-Ph) cm<sup>-1</sup>; for phenylethynylcopper(I), the C=C stretching appeared as a narrow absorption band at 1927 cm<sup>-1</sup>), in accordance with that observed for RuNPs.<sup>208</sup> Moreover, UV-vis analyses showed that the surface plasmon resonance absorption band of CuNPs was not affected by the presence of phenylacetylene (578 nm), being larger most likely due to the capping effect triggerend by the absoprption of reagents at the metal surfaced; after addition of morpholine, an extra absorption band appeared, attributed to a M-L charge transfer transition. This behavior is in clear contrast with that observed with phenylethynylcopper(I) where two absorption bands were observed due to a M-L charge transfer band and an intramolecular  $\pi - \pi^*$  ligand band; in the presence of morpholine, free phenylacetylene was released and Cu(II) species were formed. These spectroscopic data point out a surface-like reactivity, proving the absence of molecular copper species.

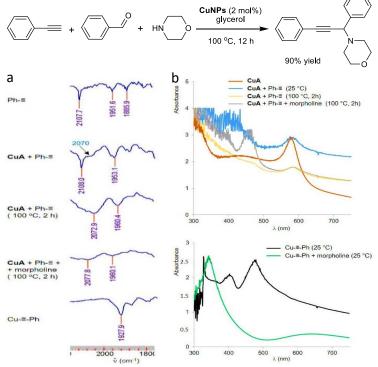
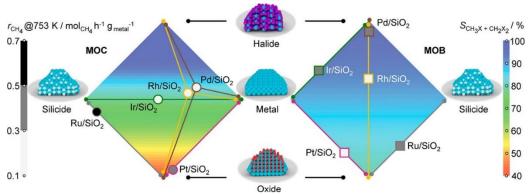


Fig. 30 (Top) Synthesis of propargylamine catalyzed by CuNPs in glycerol. (Bottom) FT-IR (a) and UV-vis (b) spectra corresponding to the experiments of CuNPs, phenylacetylene and morpholine in glycerol. Reproduced from <sup>207</sup>. Copyright 2017 Wiley-VCH Verlag GmbH & Co. KGaA, Weinheim.

#### 4.4. Oxyhalogenation reactions

Catalytic methane oxyhalogenation is the process involving the C-H bond activation of methane with hydrogen halide and oxygen, leading to the generation of hydroalkanes and water. It permits the methane functionalization with HX recovery.<sup>209,210</sup> This methodology appears particularly interesting for the selective transformation of methane and light hydrocarbons (C2-C4), which represents an important current challenge. Ideally, the catalyst must simultaneously activate the three reagents, precluding alkyl halide oxidation and further halogenation. Pérez-Ramirez and co-workers have recently reported the methane oxychlorination and oxybromination catalyzed by noble nanoparticles supported on SiO<sub>2</sub> (mean diameter of MNPs in the range of 6-18 nm), such as Ru-, Pt-, Ir-, Rh- and Pd-based NPs, being RuO<sub>2</sub> NPs the most active catalytic system (Fig. 31).<sup>211</sup> Authors evidenced the transformation of the metal oxide nanoparticles into metal silicide, metal, and halogenated phases during the reaction (PXRD and Raman analyses), proving the high reactivity shown by the catalyst surfaces. Operando photoelectron photoion coincidence spectroscopy (PEPICO) analyses carried out over Pd-based NPs@SiO<sub>2</sub>, proved that the catalyst promotes the formation of radical halide species, which are the responsible of the methane activation in the gas phase; activation at the catalyst surface cannot be ruled out.



**Fig. 31** SiO<sub>2</sub>-supported catalysts structure–performance relationships for methane oxychlorination (MOC left) and oxybromination (MOB right). The left scale indicates catalyst reactivity: low (light gray), medium (gray), high (black) for methane oxyhalogenation at 753 K. The right scale indicates the total selectivity to halomethanes at 753 K and at ca. 20% methane conversion, corresponding to the mark on diamond map. The lines arising from the symbols point to the phases identified by X-ray diffraction and Raman analysis which vary from metal halides (top), to metallic (center), metal silicides (left and right), and metal oxides (bottom). Reprinted with permission from <sup>211</sup>. Copyright 2019 American Chemical Society

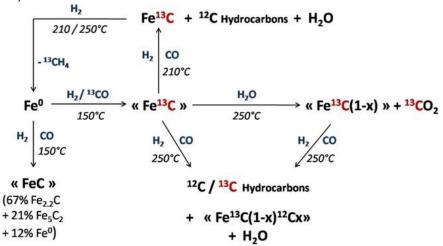
#### 5. Fischer-Tropsch reaction

Fischer-Tropsch reaction (FT) is a process which converts syngas, a mixture of  $H_2$  and CO, into hydrocarbons mainly for fuel applications but also for the synthesis of valuable chemicals.<sup>212-214</sup> Fe, Co, Ni and Ru based NPs are commonly applied for this transformation.

Regarding FT mechanism, it is accepted that the process follows a step-wise carbon chain growth, after an initial activation of both  $H_2$  and CO at the metal surface of the nanocatalyst. Numerous research groups studied the chain growth processes. In the scope of this review, the interaction between reactants and MNPs surface is highlighted.

The reaction mechanism for FT synthesis with iron catalysts has been reported by B. Devis.<sup>215</sup> One of the difficulties to study this mechanism is related to the ability of metals to transform into their corresponding oxides or carbides. The role of carbides and their performance in FT is still not totally clear and represent a goal of current studies.<sup>216,217</sup> In 2016, Chaudret and coworkers proposed an elegant approach using labelled <sup>13</sup>CO to monitor the reaction by gas-phase NMR spectroscopy and mass spectrometry.<sup>218</sup> It was demonstrated that iron carbide NPs can be labeled with <sup>13</sup>C to study their

role in the CO hydrogenation. Heating of the as-prepared nanomaterial at 250 °C under  $H_2$  led to the carbon desorption and exclusive formation of methane. When the reaction was performed at 210 °C, a mixture of unlabeled hydrocarbons was observed, suggesting the absence of exchange between carbide C atoms at the metal surface and CO in the gas phase. Contrarily, the presence of  $^{13}CH_4$  was demonstrated at 250 °C, confirming that FT process goes through a surface carbide mechanism (Fig. 32).

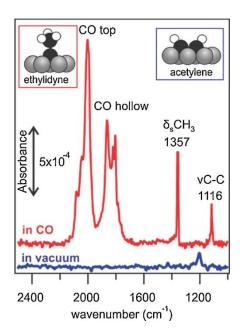


**Fig. 32** Reactions investigated for iron carbide NPs. Reproduced from <sup>218</sup>. Copyright 2016 Wiley-VCH Verlag GmbH & Co. KGaA, Weinheim.

These observations are in agreement with computational works proving the formation of  $CH_X(O)$  and  $C_1$  products ( $CH_4$ ,  $CH_2O$ , and  $CH_3OH$ ) from iron carbide surface with different carbon content.<sup>219</sup>

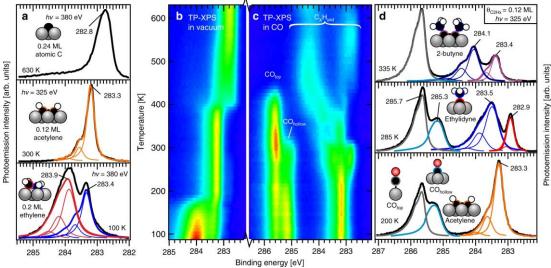
DFT calculations have also been used to investigate the FT reaction mechanism for oxide-modified nickel catalysts (NiO<sub>X</sub>/Ni).<sup>220</sup> The authors compared the potential-energy profiles for syngas conversion on NiNPs and NiO<sub>X</sub>/Ni, as well as for C-C coupling reactions. It was proposed that the production of hydrocarbons was favored on the NiO<sub>X</sub>/Ni surface, in agreement with experimental data.

Regarding CoNPs, Weststrate *et al.* proposed a chain growth mechanism, in which CO is adsorbed on the NPs surface and alkylidyne reacts as the chain initiator.<sup>221</sup> Their investigations were based on experimental techniques, such as IR spectroscopy (Fig. 33), as well as DFT calculations.



**Fig. 33** FT-IR spectra of an adsorbed acetylene + 2 H layer on CoNPs at 250 K in vacuum (blue) and in the presence of  $1 \times 10^{-6}$  mbar CO (red). Reprinted from <sup>221</sup> with permission from Elsevier.

The same group also performed synchrotron-based high-resolution XPS to determine both the nature and concentration of the  $C_xH_y$  surface intermediates on *fcc*-CoNPs surface.<sup>221</sup> The experiments showed that CO promotes the transformation of  $C_2H_x$  adsorbates into ethylidyne and its further dimerization (Fig. 34)



**Fig. 34** (a) High resolution XPS spectra after heating ethylene-saturated Co(0001)NPs under vacuum conditions at the indicated temperatures; (b) Heat map of C1s XPS spectra carried out during the heating of ethylene-covered CoNPs under vacuum conditions; (c) Heat map of C1s XPS spectra carried out during the heating of  $C_2H_{2ad}/2H_{ad}$ -covered surface in the presence of CO (1×10<sup>-7</sup> mbar); (d) High resolution XPS spectra after heating  $C_2H_{2ad}/2H_{ad}$  in CO at the indicated temperatures. *Note: for b and c, hv = 380 eV at 7 K for each spectrum; blue means low intensity; red, high intensity (heating rate of 0.2K s<sup>-1</sup>)*. Reprinted from <sup>222</sup> with permission from Springer Nature.

Martínez and coworkers investigated the influence of Ru concentration in bimetallic CoRuNPs@TiO<sub>2</sub> (10 wt% of Co and 0.1-1.2 wt% of Ru) on FT synthesis.<sup>223</sup> The FT activity in terms of metal-time-yield and initial turnover frequency was analyzed for both Ru-free and Ru-doped catalytic materials. It was observed that both catalytic parameters were maximized for catalysts with 0.1-0.2 wt% Ru. To understand this behavior, in situ characterizations were performed. FT-IR experiments under flowing syngas at 220 °C and 1 bar showed the CoNPs surface reconstruction, creating more defected Co(0) with the highest Ru content (1.2 wt % of Ru). Unfortunately, the lack of surface reconstruction was observed for Ru free Co@TiO<sub>2</sub> system, for which linear CO-Co<sup>0</sup> IR vibration band stays unchanged at 220 °C. Thus, the lower amount of Co(0) sites in Co@TiO₂ system could be at the origin of its lower FT activity in comparison to CoRu@TiO<sub>2</sub>. Furthermore, in situ XPS analysis revealed that the CoNPs surface remained zero-valent during FT reaction when it was catalyzed by CoRu@TiO<sub>2</sub> with low Ru loading (0.1-0.2 wt%), while oxide-like cobalt nanoparticles  $(CoO_x)$  were detected for samples with Ru content higher than 0.2 wt%. Such effect could arise from a partial electron charge transfer from Co(0) to the more electronegative Ru(0), favoring the adsorption of O atoms formed upon CO dissociation on positively charged  $Co^{\delta^+}$  at high Ru content (Fig. 35). In the absence of Ru, Auger spectrum of  $Co@TiO_2$ showed the shoulder at 779.5 eV which was attributed to the formation of cobalt carbide species during the FT reaction.

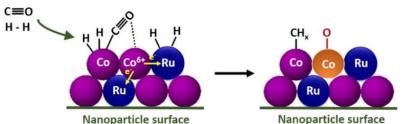
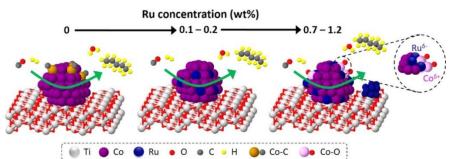


Fig. 35 Schematic representation of the formation of Co–O species on positively charged surface Co atoms in CoRu@TiO<sub>2</sub> with Ru content was higher than 0.2 wt%. Reprinted with permission from <sup>223</sup>. Copyright 2020 American Chemical Society.

Based on these experimental results, authors concluded that the FT activity for CoRu@TiO<sub>2</sub> catalytic materials is limited by the formation of  $CoC_X$  (in the absence of Ru promoter) and the presence of  $CoO_X$  (at Ru loading above 0.2 wt%) (Fig. 36).



**Fig. 36** Schematic representation of the influence of Ru content on the nature of surface cobalt species in Co-Ru@TiO<sub>2</sub> under FT conditions, showing the formation of Co–C in the absence of Ru and of Co–O at high Ru concentration >0.2 wt %. Reprinted with permission from <sup>223</sup>. Copyright 2020 American Chemical Society.

#### 6. Conclusions and perspectives

This review focused on coupling reactions catalyzed by preformed (un)supported metal-based nanoparticles, including mechanistic studies in particular those that highlight substrate-metal interactions at the surface of the nanocatalysts. These transformations often work under complex conditions, involving several reagents, solvents and/or additives, making mechanistic studies difficult both at experimental and computational level. Design of appropriate experiments together with analysis by cutting-edge techniques permit to evidence specific metal-reagent interactions at the surface of nanoparticles.

The surface activation pathways via synergistic effects between nanoparticles and supports, taking into account reaction kinetics are key to the development of orthogonal transformations. Thus far, Glaser-Hay heterocouplings for the preparation of unsymmetrical 1,3-diynes has been achieved with nanocatalyst composites of small Cu/Cu<sub>2</sub>O NPs on covalent organic frameworks.<sup>224</sup> In addition, the exploitation of novel activation modes by means of surface plasmon resonance effects, magnetic properties, and light harvesting capabilities, among others, brings forth new opportunities for tailor-made catalytic nanomaterials. From a mechanistic point of view, the advances on characterization tools, including operando techniques in order to allow reaction monitoring, signify a major challenge in this field.

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