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# Inner ear biomechanics reveals a Late Triassic origin for mammalian endothermy

Ricardo Araújo, Romain David, Julien Benoit, Jacqueline K. Lungmus, Alexander Stoessel, Paul M. Barrett, Jessica A. Maisano, Eric Ekdale, Maëva Orliac, Zhe-Xi Luo, Agustín G. Martinelli, Eva A. Hoffman, Christian A. Sidor, Rui M. S. Martins, Fred Spoor & Kenneth D. Angielczyk

## Abstract

Endothermy underpins the ecological dominance of mammals and birds in diverse environmental settings<sup>1,2</sup>. However, it is unclear when this crucial feature emerged during mammalian evolutionary history, as most of the fossil evidence is ambiguous<sup>3,4,5,6,7,8,9,10,11,12,13,14,15,16,17</sup>. Here we show that this key evolutionary transition can be investigated using the morphology of the endolymph-filled semicircular ducts of the inner ear, which monitor head rotations and are essential for motor coordination, navigation and spatial awareness<sup>18,19,20,21,22</sup>. Increased body temperatures during the ectotherm–endotherm transition of mammal ancestors would decrease endolymph viscosity, negatively affecting semicircular duct biomechanics<sup>23,24</sup>, while simultaneously increasing behavioural activity<sup>25,26</sup> probably required improved performance<sup>27</sup>. Morphological changes to the membranous ducts and enclosing bony canals would have been necessary to maintain optimal functionality during this transition. To track these morphofunctional changes in 56 extinct synapsid species, we developed the thermo-motility index, a proxy based on bony canal morphology. The results suggest that endothermy evolved abruptly during the Late Triassic period in Mammaliomorpha, correlated with a sharp increase in body temperature (5–9 °C) and an expansion of aerobic and anaerobic capacities. Contrary to previous suggestions<sup>3,4,5,6,7,8,9,10,11,12,13,14</sup>, all stem mammaliomorphs were most probably ectotherms. Endothermy, as a crucial physiological characteristic, joins other distinctive mammalian features that arose during this period of climatic instability<sup>28</sup>.

## Main

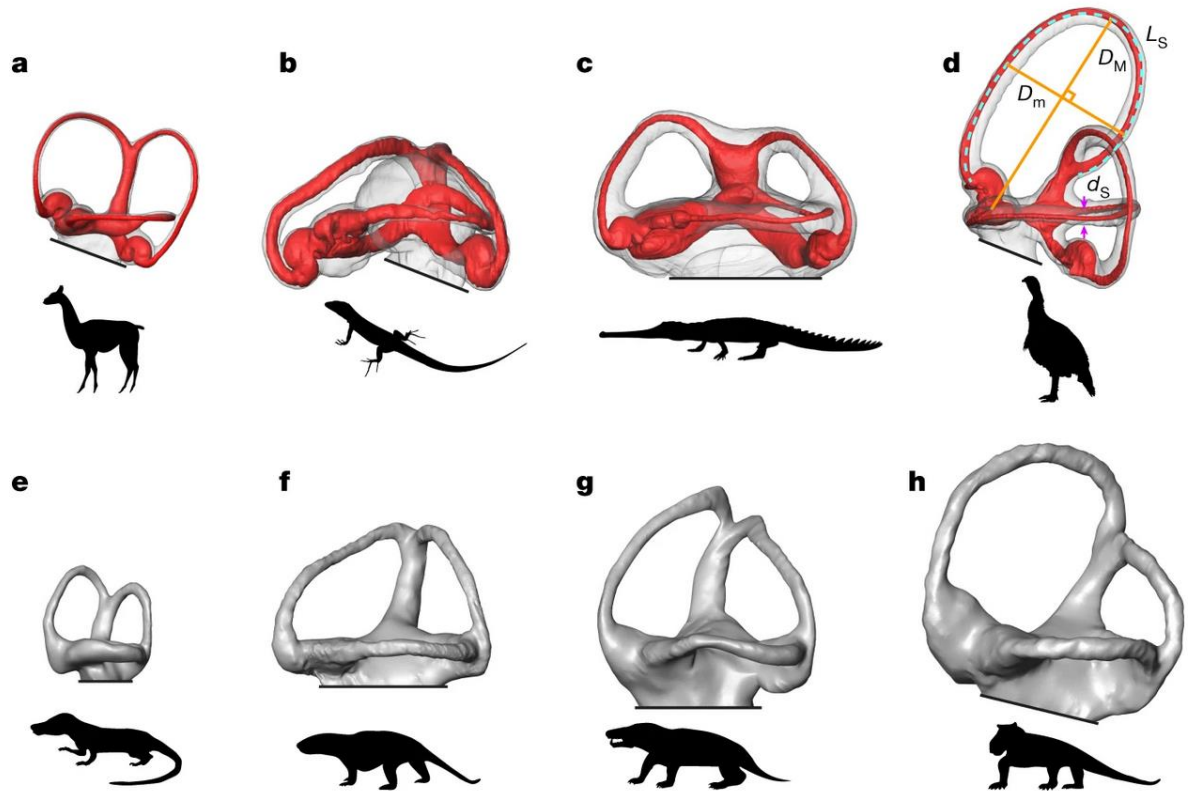
Excluding short and long-term torpor, endotherms (‘warm-blooded’ animals) can maintain high (31–45 °C) and nearly constant body temperature<sup>1,2</sup> ( $T_b$ ), mostly through metabolic heat production. By contrast, ectotherms (‘cold-blooded’ animals) depend on environmental temperatures, detrimentally affecting their lifestyle<sup>29</sup>, although some can elevate their  $T_b$  slightly above ambient levels through incipient heat production or active behavioural thermoregulation<sup>30,31,32</sup> (Supplementary Note 1). Compared with ectotherms, endotherms are more behaviourally active, show increased aerobic capacity, travel further and achieve higher locomotor speeds, at the expense of higher energy costs<sup>1,2,25,33,34</sup> (Supplementary Note 2 and Supplementary Data 1). Consequently, extant mammals and birds occupy a wide variety of ecological niches unrivalled by other vertebrates. Endothermy is a quintessentially mammalian feature, intimately related to other hallmarks such as sweat glands and fur<sup>1,2</sup>. However, its evolution remains one of the great unsolved mysteries of palaeontology<sup>1,2</sup>. Lines of evidence invoked to identify the emergence of mammalian endothermy rely mostly on skeletal

anatomical features, but also on ichnological, osteohistological and isotopic information, which have been used as correlates for aerobic capacity<sup>3,5</sup>, basal metabolic rate<sup>4,6,13,15</sup>, thermal insulation<sup>8,16</sup>, parental care<sup>9</sup>, nocturnality<sup>12</sup> and body temperature<sup>7,10</sup>. Most of these features are poorly sampled in the fossil record, have not been linked directly to  $T_b$ , and often cannot be interpreted as unambiguous markers for endothermy. In addition, the origins of many of these features were not concomitant<sup>1,2,3,4,5,6,7,8,9,10,11,12,13,14,15,16,17</sup>, making it difficult to pinpoint at which node of the evolutionary tree mammalian ancestors could be considered endotherms.

## The thermo-motility index is a proxy for $T_b$

Here we show that the functional morphology of the semicircular duct system (SDS) of the inner ear provides new, independent insights that help to solve this problem. Semicircular ducts monitor head rotation and are filled with endolymph, a fluid whose viscosity affects function and depends on  $T_b$ . Perception of head motion is essential for navigation<sup>22</sup>, balance<sup>21</sup>, vision<sup>20</sup>, motor coordination<sup>21</sup> and spatial awareness<sup>21</sup>. Therefore, SDS function is expected to attune to the spectrum of head rotations (that is, angular velocities and ordinary frequencies) experienced by an organism<sup>27</sup>. In this context, endotherms not only need to compensate for the decreased response speed (that is, decreased upper corner frequency) induced by the lower endolymph viscosity<sup>23,24</sup> associated with elevated  $T_b$ <sup>23,24</sup>, but may also require a more efficient SDS than ectotherms ([Supplementary Methods](#)) because they are more active on average<sup>1,2,25,26,33,34</sup>. Optimal SDS function can be achieved by modifying endolymph chemistry to increase its viscosity and/or altering SDS morphology.

To track these adaptations in the fossil record, we developed the thermo-motility index (TMI), a biomechanically informed proxy derived primarily from functionally relevant morphometric parameters of the SDS and corrected for allometry ([Supplementary Methods](#)). The TMI is defined so that for a given body size, relevant SDS function = TMI + a temperature term (inversely related to  $T_b$ ).  $T_b$  does not contribute to the calculation of the TMI. Nevertheless, measuring the TMI should enable prediction of  $T_b$ , because assuming that SDS function is attuned to natural head motion, an increase in  $T_b$  (reducing the temperature term) should be proportionally compensated by an increase in the TMI. Similarly, because the TMI is positively related to maximum angular head motion ([Methods](#) and [Supplementary Methods](#)), when behavioural activity increases, the TMI may have to increase to improve SDS function. As the SDS does not fossilize, we assembled a new dataset of 50 membranous labyrinths of various vertebrates to establish morphofunctional correlations between semicircular ducts and bony canals ([Supplementary Data 2](#) and [Supplementary Note 2](#)). Subsequently, we computed the TMI of 362 specimens, including 68 extinct synapsids, from bony labyrinths visualized through microtomography ([Fig. 1](#), [Extended Data Fig. 1](#) and [2](#) and [Supplementary Data 2](#) and [3](#)).

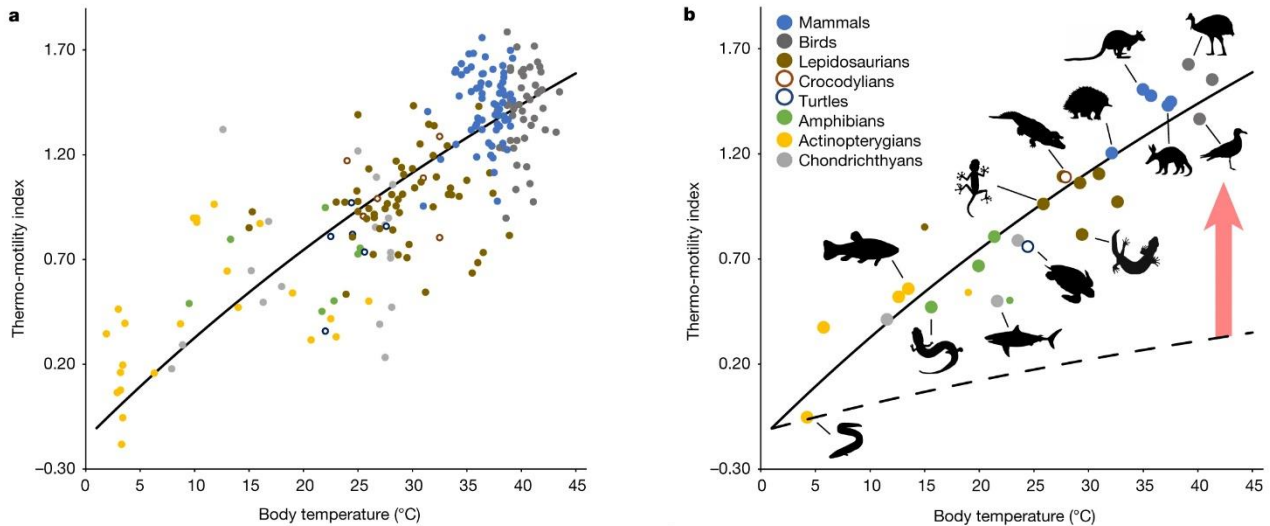


**FIGURE 1:** a–d, Lateral views of the upper part of the bony labyrinth (grey) and the membranous semicircular duct system (red) of an alpaca (a; CEB 130038), a Sicilian wall lizard (b; CEB 130040), a false gharial (c; CEB140070) and a domestic turkey (d; CEB 130069). d, Illustration of measurements of major ( $D_M$ ) and minor ( $D_m$ ) axes of the semicircular canal torus, cross-sectional thickness ( $d_s$ ) and length ( $L_s$ ) of the slender portion. e–h, Lateral views of the upper part of the bony labyrinth of *Tritylodon* (e; BP/1/4778), *Trirachodon* (f; BP/1/4658), *Microgomphodon* (g; SAM-PK-10160) and *Lemurosaurus* (h; BP/1/816). All labyrinths have been scaled relative to body size, according to the average relative size of the groups. Animal silhouettes were either created by R.A. (e,f,h) or are available at Phylopic (<http://phylopic.org/>) under a Public Domain license (a–d,g).

## Empirical testing of TMI accuracy

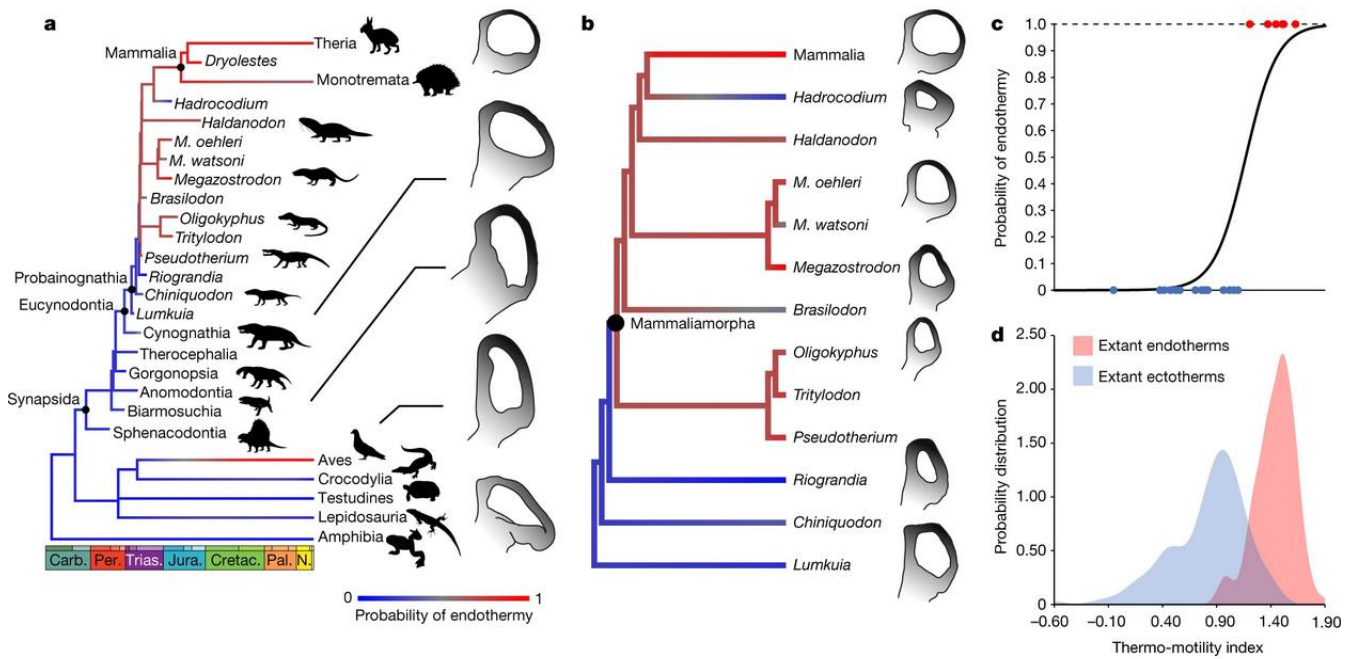
We demonstrated empirically that the TMI is explained by and positively correlated to  $T_b$  (Fig. 2, solid curve; phylogenetic generalized least-squares regression (PGLS), relative likelihood against null model = 1.00; Supplementary Note 2). Among the semicircular canals, the TMI of the anterior canal, which shows the highest correlation with  $T_b$ , is better explained by  $T_b$  for clades than species (species:  $n = 230$ , adjusted  $R^2 = 0.07$ ; clades:  $n = 28$ , adjusted  $R^2 = 0.86$ ). This suggests that other explanatory variables affecting head motion and/or SDS performance (for example, behavioural ecology<sup>35</sup>, bauplan<sup>27</sup> and visual acuity<sup>36</sup>) drive morphological variation at the species level and blur the correlation (Supplementary Note 2). Consequently, reversing the relationship to predict  $T_b$  from the TMI gives more accurate results for clades than for species (species:  $n = 230$ , adjusted  $R^2 = 0.01$ ; clades:  $n = 28$ , adjusted  $R^2 = 0.86$ ; Supplementary Note 2). Notably, the relationship between the TMI and  $T_b$  shows a steeper increase than would be theoretically expected from compensation only for temperature-induced changes in viscosity (Fig. 2b, dashed curve). This steeper-than-expected increase probably reflects a positive correlation between overall head motion and  $T_b$  (Supplementary Note 2). This

corollary is congruent with the aerobic capacity model<sup>34</sup> in that elevated  $T_b$  in endotherms may not have evolved in isolation, but in tandem with increased aerobic capacity, translating into increased behavioural activity. At high-ranking taxonomic levels,  $T_b$  may explain the size differences observed among the SDS of vertebrates<sup>27</sup> when body size is accounted for.



**FIGURE 2: a,b**, Scatter plot for individual species (a) and when combined in major groups of vertebrates (b). The dashed curve represents the theoretical relationship when  $T_b$  affects only endolymph viscosity and not behavioural activity. The solid curve represents the empirical relationship for the major groups of vertebrates, taking phylogeny into account. The difference between the two curves (red arrow) suggests that, in addition to the TMI,  $T_b$  is also positively correlated with head motion, further increasing the TMI. Animal silhouettes were either created by R.A. (eel and gecko) or are available at Phylopic (<http://phylopic.org/>) under a Public Domain license. Source data

The TMI of extant endotherms is significantly higher than that of extant ectotherms (PGLS ANOVA, endotherms:  $n = 143$ , ectotherms:  $n = 127$ , adjusted  $R^2 = 0.06$ , Glass'  $\Delta = 1.77$  with 95% confidence interval [1.48–2.06],  $P = 2.57 \cdot 10^{-5}$ ; Supplementary Note 2). Fishes, amphibians and turtles show the lowest  $T_b$ , which are reflected by their low TMI values (Fig. 2). Conversely, birds and mammals show the highest TMIs, in agreement with their higher  $T_b$ . Crocodylians and lepidosaurs are intermediate between these extremes. In tetrapods, the TMI shows a significant negative correlation with canal cross-sectional thickness relative to the radius of curvature and the radius of curvature relative to body mass (Supplementary Note 2). Among extant species, mammals show the lowest values for relative cross-sectional thickness and relative radius of curvature (Extended Data Fig. 3), leading to high TMI values. However, birds have semicircular canals with large relative radii of curvature and relative cross-sectional thicknesses that are average for amniotes (Extended Data Fig. 3). In this context, to attain their high TMI values, birds responded differently to increased  $T_b$  by modifying aspects of their membranous labyrinth and the physicochemical properties of their endolymph<sup>37</sup> (Extended Data Fig. 4) while maintaining plesiomorphic bony labyrinth dimensions. The TMI distributions of ectotherms and endotherms show little overlap (Fig. 3), indicating that this metric could be useful for assessing the thermoregulatory regime of extinct organisms.



**FIGURE 3: a,b,** Optimization of the TMI onto a time-calibrated tree of major tetrapod clades (**a**), with expanded detail on the cladogram of probainognathians (**b**). Anterior semicircular canals of example specimens are depicted and scaled to body size. Branch colours reflect the likelihood of being endothermic according to the probability colour scale. *M. oehleri*, *Morganucodon oehleri*; *M. watsoni*, *Morganucodon watsoni*. **c**, Probability of endothermy calculated from a phylogenetic logistic regression computed from the TMI of extant amniotes. Major groups of extant vertebrates are shown as dots. **d**, Distribution of the TMI for extant endotherms in red and ectotherms in blue. Animal silhouettes were either created by R.A. (*Chiniquodon*, *Megazostrodon*, *Morganucodon*, *Oligokyphus*, *Pseudotherium*, *Anomodontia*, *Cynognathia* and *Sphenacodontia*) or are available at Phylopic (<http://phylopic.org/>) under a Public Domain license. Carb., Carboniferous; Cretac., Cretaceous; Jura., Jurassic; N., Neogene; Pal., Palaeogene; Per., Permian; Trias., Triassic.

## Phylogenetic origin of mammalian endothermy

To understand the thermophysiology of fossil synapsids, we computed body temperature distributions from the TMI of fossil specimens, using Brownian motion simulations calibrated with extant data (Supplementary Note 2), and calculated the probabilities of endothermy for each fossil taxon and node by fitting a phylogenetic logistic regression to the TMI distribution of extant species (Fig. 3, Table 1, Extended Data Figs. 5 and 6 and Extended Data Tables 1–3). We obtained a cross-validated error rate of 5% by using this regression to classify the thermoregulatory regime of extant species and clades, when regarding probabilities for species ( $P > 0.70$ ) and for clades ( $P > 0.53$ ) indicating endothermy, and for species ( $P < 0.30$ ) and clades ( $P < 0.47$ ) implying ectothermy, as well as intermediate probabilities as expressing uncertainty. In this framework, maximum-likelihood ancestral state reconstruction shows that the clade threshold for endothermy is crossed at the Mammaliamorpha node ( $P = 0.70$ ), and non-mammalian mammaliamorphs are the only non-mammalian synapsids classified as endotherms (Extended Data Tables 1–3). This clade includes all descendants of the last common ancestor of tritylodontids and mammals<sup>38</sup> and its origin is currently calibrated at approximately 233 million years ago (Ma), during the Carnian pluvial episode. The TMI of

non-mammalian mammaliomorphs ( $1.29 \pm 0.18$  ( $\pm$  s.d.), non-mammalian mammaliomorphs:  $n = 9$ ) is intermediate between the TMI measured in extant *Ornithorhynchus* (1.25) and that of *Tachyglossus* (1.41). These monotremes are capable of producing their own body heat while inactive but show low basal metabolic rates, relatively low  $T_b$  (31–34 °C) and periodic torpor<sup>33,39</sup>. Mammaliomorphs were probably characterized by this thermoregulatory strategy, defined as basoendothermy<sup>1</sup>, with a predicted  $T_b$  of approximately 34 °C (95% confidence interval [31.3–36.6]; Table 1 and Extended Data Fig. 5), and behavioural activity that was probably intermediate between extant ectotherms and endotherms (Extended Data Fig. 7). Conversely, all non-mammaliomorph synapsid groups classify as ectotherms, with probabilities below the clade threshold for ectothermy ( $P = [0.13–0.36]$ ), and predicted  $T_b$  between 24–29 °C (95% confidence interval [21.9–31.6 °C]; Table 1 and Extended Data Table 3), a range that overlaps with extant turtles, lepidosaurs and crocodylians.

Group	Species, $n$	Specimens, $n$	$T_b$ (°C)	$P(T_b \geq 31 \text{ °C})$	$P(T_b \geq \text{Mammaliomorph } T_b)$
Non-mammalian mammaliomorphs	9	11	33.9 [31.3–36.6]	0.87	1.00
Non-mammaliomorph probainognathians	3	3	25.1 [22.4–27.9]	<b>0.02</b>	<b>0.00</b>
Cynognathians	5	5	28.9 [26.3–31.6]	0.21	<b>0.04</b>
Non-eucynodont cynodonts	4	6	27.8 [25.3–30.4]	0.11	<b>0.01</b>
Therocephalians	6	7	24.2 [21.9–26.6]	<b>0.00</b>	<b>0.00</b>
Gorgonopsians	5	5	26.1 [23.7–28.6]	<b>0.03</b>	<b>0.00</b>
Anomodonts	16	19	26.0 [23.9–28.1]	<b>0.01</b>	<b>0.00</b>
Non-neotherapsid therapsids	5	6	26.6 [24.2–29.0]	<b>0.04</b>	<b>0.01</b>
Non-therapsid synapsids	2	2	25.7 [22.4–29.2]	0.07	<b>0.02</b>

**Table 1:** Predicted body temperatures of groups of non-mammalian synapsids. Numbers in square brackets represent the confidence intervals of predicted  $T_b$ . Numbers in bold represent probabilities significantly excluding  $T_b$  higher than or equal to 31 °C, or higher than or equal to  $T_b$  of non-mammalian mammaliomorphs obtained during the same simulations.

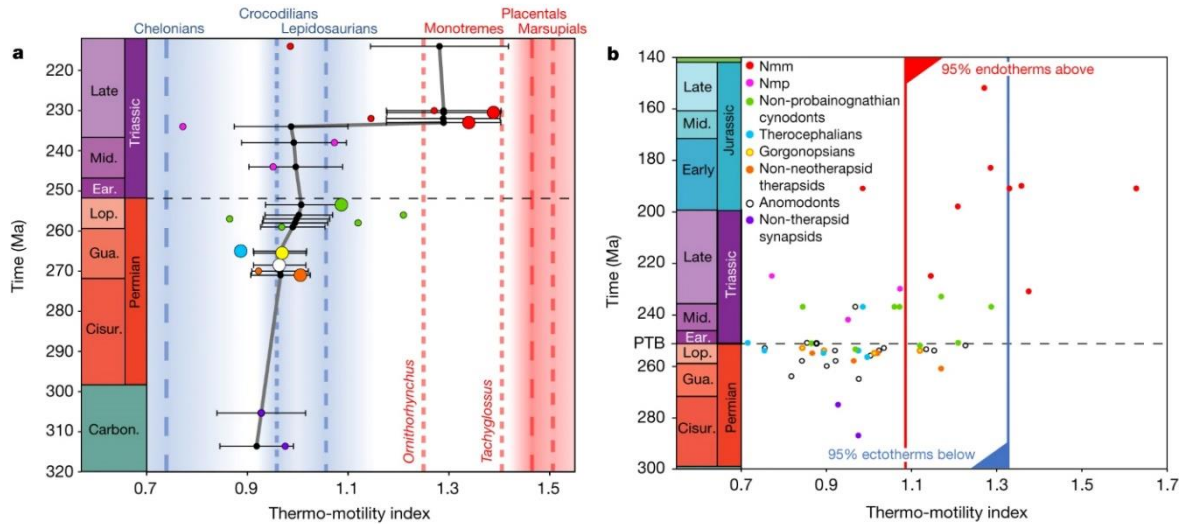
## Caveats and literature comparisons

Predictions of body temperature and thermoregulatory regime are subject to uncontrolled parameters affecting the TMI (that is, endolymph chemistry and residual variation of bony/membranous correlations). However, these parameters remained stable on average along the mammalian lineage, between the nodes of Amniota and Mammalia (Extended Data Fig. 4 and Supplementary Note 2). This suggests that thermoregulatory regimes estimated at different nodes within the mammalian lineage are accurately predicted by the bony labyrinth of fossil synapsids. However, we cannot exclude that outbranching synapsid clades (for example, bidentalian dicynodonts<sup>6,10</sup>) could have independently evolved much thinner membranous semicircular ducts than expected from their bony canals (for example, Phocidae<sup>40</sup>) and/or modified endolymph chemistry toward increased viscosity (for example, European plaice<sup>23</sup>) to maintain SDS function. Similarly, we cannot exclude that these organisms could have been much less active than is typical for their size (for example, *Zaglossus*<sup>41</sup>). Each of these scenarios would have resulted in  $T_b$  at the upper tail of distributions predicted for these clades (Extended Data Fig. 5), generally below 31 °C and significantly lower than predicted for Mammaliomorpha (Table 1).

Our sample includes some taxa analysed in previous studies using stable oxygen isotopes<sup>10</sup> and osteohistologically inferred metabolic rates<sup>6</sup>. Our evidence is largely consistent with these studies in interpreting sphenacodontians, biarmosuchians, dinocephalians, basal dicynodonts and therocephalians as ectotherms<sup>6,10</sup>, and in predicting increased  $T_b$  in cynognathians<sup>10</sup> (28.9 °C, 95% confidence interval [26.3–31.6 °C]). As mentioned previously, interpreting results from the TMI of individual fossil taxa rather than clades can be misleading. For example, although the clade Cynognathia is clearly interpreted as ectothermic in our analysis ( $P = 0.36$ ), probabilities of individual species do not enable unambiguous classification (Extended Data Tables 1 and 3).

## Tempo and mode in evolution of endothermy

To investigate evolutionary tempo, we fitted different evolutionary models to the TMI of synapsids (for example, Brownian motion, continuous trend, early burst; Supplementary Note 2). The best-supported model corresponds to partial Brownian motion (that is, Pagel's  $\lambda = 0.5$ ), with a major shift in evolutionary rate in the branch leading to Mammaliaomorpha (Fig. 4). This shift is interpreted as the transition to endothermy, with a change in  $T_b$  of +5–9 °C (Table 1) compared with non-mammaliaomorph eucynodonts. Notably, all models incorporating gradual evolution of the TMI are rejected, as is a change of evolutionary rate after the end-Permian mass extinction, despite other major effects of this extinction<sup>42</sup>. The acquisition of endothermy in Mammaliaomorpha seems to be temporally and phylogenetically consistent with a pulse in brain enlargement<sup>17</sup>, the development of respiratory turbinates<sup>7</sup>, the evolution of infraorbital canals (related to the presence of vibrissae<sup>16</sup>) and functional differentiation of the vertebral column<sup>43</sup>, and occurred during the miniaturization process that started in probainognathians<sup>1,44</sup>. However, the acquisitions of parasagittal posture<sup>3</sup>, the diaphragm<sup>5</sup>, microvascularization<sup>4</sup>, fibrolamellar bone<sup>13</sup> and parental care<sup>9</sup> might all have predated the onset of endothermy. These features probably mark gradual stochastic increases in  $T_b$  (up to +3–4 °C in eucynodonts, similar to the difference between crocodiles and geckos) and behavioural activity during the evolution of non-probainognathian synapsids. Although our sample is small ( $n = 3$ ), our simulations suggest that this gradual stochastic increase stopped in non-mammaliaomorph probainognathians (Supplementary Note 2), which probably reverted to plesiomorphic synapsid body temperatures (Table 1). This may be explained by the onset of miniaturization<sup>44</sup>, coupled with exploration of low-temperature niches (for example, nocturnality or mesic environments). Combining our results on TMI evolution with physiological and experimental information from extant analogues, we posit that endothermy emerged from the combination of heat production through sarcolipin-mediated thermogenesis and thermal insulation via pelage, which seem essential in mammals to reach and maintain high  $T_b$  when exposed to cold<sup>31,45,46</sup>. The *sln* gene, which controls sarcolipin-mediated thermogenesis, is synapomorphic for vertebrates but has been found to be expressed in mammals, the opah and is suspected to be expressed in birds<sup>46</sup>. Fossil evidence from early Late Jurassic docodonts (for example, *Castorocauda*) and haramiyids (for example, *Maiopatagium*) suggests that pelage could have originated much earlier. Thus, although any of these features could have had an earlier origin, endothermy may have emerged from their combination in Mammaliaomorpha<sup>1,47</sup>, providing them a selective advantage to cope with a progressively cooler Triassic climate<sup>28</sup> in the context of reduced thermal inertia from miniaturization<sup>44</sup>. Despite being spatiotemporally biased<sup>48</sup>, the fossil record indeed seems to suggest that local mammaliaomorph species richness increased during the Rhaetian cool interval<sup>49</sup>, the coldest time during the Triassic period.



**FIGURE 4: a,b**, Scatter plots of geological time against TMI for nodes along the mammalian lineage and clades branching out (**a**) and for individual species (**b**). Geological age corresponds to the node origin for clades branching out (**a**) and last appearance datum for species (**b**). The black dashed line represents the Permo–Triassic boundary. **a**, The grey line represents the evolution of the TMI along the mammalian lineage. Black dots represent the most likely ancestral state of the TMI at the nodes with 95% confidence intervals (error bars), both computed from a phylogeny containing  $n = 67$  tips (Supplementary Note 2 and Supplementary Fig. 53). Large and small dots represent means of major clades (tritylodontids:  $n = 3$ , morganucodontids:  $n = 3$ , cynognathians:  $n = 5$ , therocephalians:  $n = 6$ , gorgonopsians:  $n = 5$ , anomodonts:  $n = 16$ , biarmosuchians:  $n = 4$ ) and individual species, respectively. Dashed lines and shaded areas represent the mean and s.e.m., respectively, of the TMI of example extant endotherm (red) and ectotherm (blue) clades (chelonians:  $n = 6$ , crocodilians:  $n = 9$ , lepidosaurians:  $n = 61$ , placentals:  $n = 67$ , marsupials:  $n = 5$ ) and the TMI value for monotreme species, excluding specimens outside the 95% confidence interval of these thermoregulatory regimes. **b**, Solid lines represent 95% thresholds of the distribution of the TMI of extant endotherms (red,  $n = 145$ ) and ectotherms (blue,  $n = 132$ ) analysed in this study. Carbon, Carboniferous; Cisur., Cisuralian; Ear., early; Gua., Guadalupian; Lop., Lopingian; Mid., middle; PTB, Permo–Triassic Boundary; Nmm, non-mammal mammaliamorphs; Nmp, non-mammal probainognathians. [Source data](#)

## Paleobiology of ectothermic synapsids

Extinct ectotherms depended on environmental temperatures and adopted a wide array of strategies to attain their preferred  $T_b$  under varying climatic conditions throughout the year and across latitudes. Despite major palaeoclimatic fluctuations from the middle Permian to the Late Triassic period<sup>28</sup>, the average preferred  $T_b$  (and thus TMI) of non-mammaliamorph synapsids remained relatively stable (Fig. 4 and Table 1), suggesting they used different thermoregulatory strategies depending on their local climates (Supplementary Data 4). Considering their palaeoequatorial distribution, sampled non-therapsid synapsids living in tropical climates probably adopted a nocturnal<sup>12</sup> passive thermoconformer lifestyle (for example, geckos<sup>32</sup>). Conversely, most sampled middle to late Permian therapsids, living at high palaeolatitudes in the African Karoo, were probably diurnal, using behavioural thermoregulatory strategies to some extent. Furthermore, they must have resorted to long-term torpor during unfavourable periods of the cold season, congruent with histological evidence of cyclical growth<sup>13</sup> and

burrowing<sup>50</sup>. Similarly, during the exceptionally high temperatures of the Early Triassic period, sampled non-eucynodont therapsids were probably forced to aestivate<sup>50</sup> and/or to become nocturnal.

## Conclusions

The thermal budget of vertebrates results from a complex interplay between internally generated heat and heat gained or lost at the body surface. Although inferring the thermoregulatory regime of extinct species can be complicated for these reasons, we use the TMI to demonstrate that a sharp shift to endothermy most probably occurred at the base of Mammalia, accompanied by expanded aerobic and anaerobic capacities and increased body temperatures. The Late Triassic emergence of the physiology and bauplan characterizing mammals was a decisive step that facilitated their evolutionary radiation during the Mesozoic Era and subsequent major ecological expansion during the Cenozoic Era.

## Methods

### Comparative inner ear sample

Three inner ear datasets were analysed for 341 species: 3D bony, 3D membranous, and 2D membranous (Supplementary Methods, ‘Sampling rationale’). The 3D bony sample consists of 3D endocasts of bony semicircular canals (SC) of 234 extant species (7 amphibians, 67 lepidosaurs (69 specimens), 6 turtles, 9 crocodylians, 72 birds and 73 mammals), and 64 extinct species (1 diadectomorph, 3 non-saurian reptiles, 1 archosauromorph, 2 non-therapsid synapsids, 5 non-neotherapsid therapsids (6 specimens), 16 anomodonts (19 specimens), 5 gorgonopsians, 6 therocephalians (7 specimens), 9 non-probainognathian cynodonts (11 specimens), 3 non-mammalian probainognathians, 9 non-mammalian mammalian morphs (11 specimens) and 4 mammals). We used non-synapsid fossils to test the method outside the clade of interest at deep divergence time points. The 3D membranous sample consists of 3D endocasts of membranous semicircular ducts (SD) of 50 extant species (1 crocodylian, 9 birds, 3 lepidosaurs, 1 turtle<sup>51</sup>, 30 mammals, 3 amphibians<sup>52</sup> and 3 fishes<sup>53,54,55</sup>). Except for fishes, the corresponding bony endocasts of these specimens are part of the 3D bony sample. The 2D membranous sample consists of published photographs<sup>56,57,58,59,60,61</sup> and measurements<sup>27</sup> of membranous labyrinths of 40 extant fish species (19 chondrichthyans and 21 actinopterygians). The lists of specimens and measurements are provided in Supplementary Data 2.

### Data acquisition and processing

The SC endocasts in the 3D bony sample were downloaded from <https://www.morphosource.org/> or segmented using Amira 5.3.3 (Visage Imaging and Konrad-Zuse-Zentrum) from existing and new computed tomography (CT) images obtained with  $\mu$ CT, or propagation phase-contrast synchrotron microtomography (Extended Data Fig. 1). Segmentation was based on the contrast between bone and air for extant specimens, but was conducted manually for fossils. A wax endocast model of *Dimetrodon* sp. (FMNH PR 4976) was digitized using photogrammetry (Supplementary Note 3). The endocasts of SDs and SCs in the 3D membranous sample were segmented from  $\mu$ CT scans of the ear region of extant specimens, acquired from autopsies or museum collections and prepared as described previously. Membranous labyrinths of 40 extant fish. Segmentation and additional processing<sup>19</sup> was done in Avizo 7.1 (Visualization Science Group) and Geomagic Studio 12 (Raindrop

Geomagic). Further information about the specimens and scans are given in Supplementary Data 3.

### Measurements of 3D specimens

Four linear measurements were taken per SC for all specimens in the 3D bony and membranous samples: two-dimensional length of the slender portion of membranous labyrinths of 40 extant fish, cross-sectional diameter and major and minor axes of each SC (Extended Data Fig. 2). These functionally relevant measurements mirror SD metrics and were designed for ease of measurement. The length of the slender portion is taken on the SD midline, which is closer to the outermost wall of the SC<sup>19,62,63</sup>, from the ampullar junction of the SD to the common crus or vestibule (Extended Data Fig. 2). The distal part of the slender portion of the lateral canal is often fused with the vestibule, especially in ectothermic taxa, and ends at the common crus wall. The major and minor axes are taken perpendicular to each other, following the ellipse that best fits the SD torus. They do not follow anatomical landmarks but their endpoints are placed on the SD midline (Extended Data Fig. 2). The radius of curvature and eccentricity of each SC torus was calculated from the semi-minor and semi-major axes, respectively taken as half the measured minor and major axes (Supplementary Methods, 'Biomechanics'). Measurements were taken five times and averaged to reduce error. Measurements of major and minor axes of the SC were taken using the 'straight line' tool of ImageJ<sup>64</sup>, on scaled screenshots from Amira or Avizo, where the screen plane was aligned to the plane of each SC. Measurements of lengths of slender portions<sup>19</sup> were taken on the same screenshots, using the 'segmented line' tool of ImageJ. SC planes were approximated visually before taking screenshots. A comparison with a more accurate method, which uses landmarks placed along each canal to find the best fitting SC planes, was made in XLSTAT 2018.1.1<sup>65</sup>, no significant differences were found (paired Student *t*-test,  $n = 6$ ,  $P = [0.054-0.93]$ , two-tailed). The cross-sectional diameter of each SC was measured in Amira, using the SurfaceThickness tool, by selecting five distant points along the slender portion of the SC perpendicular to its plane and averaging their values (Extended Data Fig. 2). Cross-sectional diameters of problematic fossil specimens (for example, showing obvious segmentation artefacts) were measured using the 'straight line' tool of ImageJ on scaled screenshots where the plane of the SC was placed perpendicular to the screen plane. Seven fossil specimens (FMNH UR 161, BPI/1/375, MCZ 1161, GPIT/RE/7119, NHCC LB631, NHCC LB178 and NHCC LB387) had incomplete SCs. We estimated missing measurements of these specimens in R v.4.0.3<sup>66</sup> with pls 2.7-3<sup>67</sup>, using cross-validated partial least-square regressions on a set of 281 species with all measurements (Supplementary Data 2). Reproducibility was assessed in XLSTAT 2018.1.1 by comparing measurements taken by R.A. and R.D. on a subsample of 77 specimens. All 12 variables are left-skewed, and a two-tailed Kolmogorov–Smirnov test showed no significant difference ( $P = [0.404-1.000]$ ). Repeatability was tested by comparing measurements taken by R.A. and showed no significant intraobserver variation (repeatability scoring between 2.14 to 8.67%; signal to noise ratio minimum of 16.254 where a value of greater than 5 is considered adequate, Supplementary Data 5).

Morphological and functional analyses of the 3D membranous sample were performed using Ariadne Toolbox<sup>19</sup>. Input data included surfaces and linesets representing individual components of the duct system (for example, slender parts and ampullae, and modelled cupulae<sup>19</sup>). The average height of each cilia area was measured on the  $\mu$ CT scans. Endolymph density was taken as  $1,000 \text{ kg m}^{-3}$ , Poisson ratio as 0.48 and cupula shear modulus as  $1.44 \text{ Pa}$ <sup>19</sup>. Output parameters included, among others, wall shape factor, 3D length and cross-sectional area of the slender portion of each SD, enclosed area of the projection of each SD torus on its maximal response plane, and a transfer factor linking endolymph volume displacement to cilia

deflection (hereafter called cilia deflection factor). The cilia deflection factor corresponds to the ratio between average deflection of the cupula at the level of the height of the underlying cilia to the average endolymph volume displacement for a given angular head rotation. For specimens for which we have membranous labyrinths, the deflection of the cupula at the level of the height of the underlying cilia has been simulated through finite element analysis<sup>19</sup>. The cupula, generally not visible, has been modelled as an extrusion of the crista ampullaris towards the roof of the cupula. Such modelling of cupula anatomy has been confirmed to closely match the actual morphology of the cupula in *Saimiri sciureus* (see Ariadne Manual Data Preparation<sup>19</sup>, pages 31–58). Cilia deflection factors of published specimens<sup>51,52,53,54,55</sup> were estimated from average cupula thickness and cross-sectional area of the ampulla above the crista, following allometric regressions (Supplementary Note 2, models 1–6).

### Measurements of 2D images

The 2D membranous sample consists of published photographs<sup>56,57,58,59,60,61</sup> and measurements<sup>27</sup> of membranous labyrinths. We used ImageJ to process photographs and measure areas enclosed by SD tori, major and minor axes, lengths of slender portions, and inner duct radii (Supplementary Data 2). Photographs were generally taken in lateral view and show the planes of the anterior and posterior SDs at an angle, projected onto the sagittal plane. The resulting distortion can be corrected by multiplying the horizontal axis of the image by  $1/\cosine$  of the angle of a specific duct, providing a good representation of undistorted size and shape. Where available, the angles of the planes of the anterior and posterior ducts to the sagittal plane were measured in 'dorsal' view. For specimens without a 'dorsal' view, we generally used an angle of  $45^\circ$  to undistort the views (Supplementary Data 2). The undistorted views of the anterior and posterior ducts were used to measure corresponding SDs. When a 'dorsal' view was available, measurements for the lateral SD were done on this view. For specimens without a 'dorsal' view, the maximum distance measured between any points on the lateral semicircular duct in lateral view was taken as its major axis. Missing measurements were estimated in R, using cross-validated partial least-square regressions on a set with all measurements (Supplementary Data 2).

### Body temperature, body size and phylogeny

Body temperatures of extant species were obtained from the literature (Supplementary Data 2), supplemented by Traitbank (<https://eol.org/traitbank>). For ectothermic taxa, preferred  $T_b$  were chosen. When both activity and preferred ranges of  $T_b$  were provided, we took the average of the latter. In a few instances (11 ectotherm species) we used online pet care information to estimate preferred  $T_b$ . Values for fish species were obtained from FishBase (<http://www.fishbase.org>). Three body size variables were used: condylobasal length, condylo-anteroorbital length and body mass. Condylobasal length (posteriormost border of the occipital condyle(s) to the anteriormost tip of the snout, projected onto the sagittal plane) was used to represent cranial size and could be measured for most specimens (Supplementary Data 2). To avoid bias introduced by snout lengths that are either exceptionally long (for example, gharials) or short (for example, anomodonts), we defined the condylo-anteroorbital length as the linear distance from the posteriormost border of the occipital condyle(s) to the anteriormost border of the orbit, projected onto the sagittal plane (see measurements in Supplementary Data 2). Body mass was measured whenever possible (Supplementary Data 2). We used typical adult values rather than extremes, and male and female values were averaged for sexually dimorphic species.

For fossils and some specimens of the 3D bony sample, body mass was predicted using an allometric multiple regression of body mass and condylobasal and condylo-anteroorbital lengths (Supplementary Note 2, model 7). Predictions were refined by computing phylogenetically informed body mass residuals. The phylogeny used in this study (Supplementary Data 6) was built in Mesquite 3.5<sup>68</sup>, using relationships and divergence dates between extant taxa provided by TimeTree<sup>69</sup> (<http://www.timetree.org>) as a backbone to which extinct clades and species were connected. Relationships at the level of Neoaves were modified from TimeTree to fit assumptions of other published accounts<sup>70,71</sup>. Relationships and divergence dates of actinopterygians and chondrichthyans that were not covered by TimeTree were obtained from the literature<sup>72,73,74</sup>. The reasoning behind phylogenetic relationships, divergence dates, and last occurrence data is detailed in Supplementary Note 4 and follows best practices<sup>75</sup>.

### Defining the thermo-motility index

SDs are filled with a fluid (endolymph), whose inertia leads to the deflection of the cupula during head rotation. This deflection generates a signal that is integrated in the brain and is key to essential body functions<sup>18,19,20,21,22</sup>. SDs are angular velocity transducers that are most effective when the signal is unsaturated<sup>76</sup> and in phase with angular velocity of head motion<sup>18</sup>. This only happens for a specific detection range of angular velocities and a specific frequency bandwidth of rotations<sup>18</sup>, each bracketed by lower and upper limits. Consequently, the angular velocity range and frequency spectrum of naturally occurring angular head motion is expected to fall within the detection range and frequency bandwidth of SDs. Here we particularly focus on the maxima of angular head motion and semicircular duct function (that is, upper corner frequency and saturating angular velocity, Supplementary Methods, ‘Biomechanics’), because it is likely that accurately capturing high angular head motion during the most intense locomotory behaviours is most important for selective fitness of the organism. Body temperature directly affects the viscosity of the endolymph, such that it decreases when  $T_b$  increases<sup>23,24</sup>. For an organism with a given endolymph composition and SDS morphology, an increase in  $T_b$  will result in a reduction of the upper corner frequency and saturating angular velocity. If the SDS morphology does not change or endolymph composition remains stable, the upper limits of angular head motion frequency or velocity will likely fall outside the range of effective SDS function, affecting adversely the selective fitness of the organism. Alternatively, the organism could adapt to more sluggish behaviours, but empirical evidence suggests that, if anything, increased  $T_b$  correlates to increased locomotor activity<sup>25,26,34</sup> (Supplementary Data 1 and Supplementary Note 2). Thus, analysing the maximum SDS function of extinct species should allow us to track changes in  $T_b$ , and the acquisition of endothermy. Therefore, we derive the TMI from SDS morphology and endolymph composition, using equations governing the saturating angular velocity and the upper corner frequency, but excluding  $T_b$  (Supplementary Methods, ‘Biomechanics’). Consequently, when  $T_b$  increases, compensatory changes in the TMI, traceable in fossils, are required to keep semicircular duct function constant. In practice, as fossils do not preserve the membranous labyrinth, we need to predict the morphology of their SDS. To do so, we first used a dataset of 50 species (Supplementary Data 2), for which we had bony and membranous labyrinths, to regress morphometrical parameters of the ducts (including their cross-sectional area) against morphometric parameters of the bony canals (Supplementary Note 2, models 8–19). Next, we used these regressions to obtain fitted values of these duct parameters using morphometric parameters of the bony canals of fossil specimens. Finally, we estimated the residual parameters of the SDs for the fossil specimens through ancestral state estimation, using the phylogeny mentioned above. Similarly, direct information on endolymph composition is lacking in fossils.

Data on endolymph viscosity at a given temperature has been published for only eight species (one bird, two mammals, five euteleosts)<sup>23,37,77,78,79,80,81,82</sup>. Using published data, we calculated the physicochemical component of the TMI of these species (Supplementary Methods, ‘Biomechanics’) and found that, except for *Columba livia* and *Pleuronectes platessa*, they have endolymph with low viscosity, close to that of water (Extended Data Fig. 4). The phylogenetic distribution of the physicochemical component of the TMI in available species indicates that low-viscosity endolymph was the basal condition for Euarchontoglires and Euteleostei, thus parsimoniously the basal condition for Osteichthyes as well. This phylogenetic context allows us to assume a priori that the physicochemical component of the TMI of synapsid species did not differ from the basal condition. Following these observations, a low-viscosity endolymph was chosen for all species analysed in this study, except birds and pleuronectids. For non-avian diapsids, amphibians, and chondrichthyans, theoretical and empirical relationships between the TMI and  $T_b$  are consistent suggesting, *a posteriori*, the retention of low-viscosity endolymph in these taxa (Fig. 2 and Supplementary Methods). Finally, because the TMI scales allometrically, it needs to be corrected for body size when comparing between species (Supplementary Note 2, models 26–31). A more comprehensive introduction to the biomechanics of the TMI and its complete mathematical derivations are available in Supplementary Methods, ‘Biomechanics’. Moreover, a step-by-step protocol describing how to obtain the TMI from bony or membranous morphology is also provided in the Supplementary Methods. A complete description of the statistics related to the computation of the TMI and related analyses is available in Supplementary Note 2.

## Statistical analyses

Computation of the TMIs and statistical analyses were done in R using the packages phytools 0.7–70<sup>83</sup>, caper 1.0.1<sup>84</sup>, geiger 2.0.7<sup>85</sup>, phangorn 2.5.5<sup>86</sup>, Rfast 2.0.1<sup>87</sup>, phylogram 2.1.0<sup>88</sup>, ape 5.5<sup>89</sup>, effectsize 0.5<sup>90</sup>, outliers 0.14<sup>91</sup>, motmot 2.1.3<sup>92</sup> and dplyr 0.7.6<sup>93</sup>. *P*-values were corrected by controlling the false discovery rate (fdr method). Results of tested assumptions and related statistics are reported for all models (Supplementary Note 2). To determine the morphological parameters of the bony anterior SC (for example, cross-sectional radius and length of the slender portion, radius of curvature and eccentricity) that carry the most information about the transition toward endothermy in the synapsid lineage, we regressed size-corrected morphological parameters on the TMI using PGLS regressions (Supplementary Note 2, models 49–56). To assess which model best fit the evolution of the TMI, we fitted nine evolutionary models on the TMI (for example, Brownian motion, early burst) and compared their corrected Akaike information criteria (Supplementary Note 2, models 58–66). Results suggest that an evolutionary model with Brownian motion along the proposed phylogenetic tree, with branch lengths scaled using a Pagel’s  $\lambda$  of 0.50, and a major shift in the rate of evolution along the branch directly leading to Mammalia, is best for explaining the evolution of the TMI (Supplementary Note 2, model 66). Phylogenetic logistic regressions were fitted to predict the thermoregulatory regime of extant amniote specimens from their TMI (Supplementary Note 2, model 57). The resulting phylogenetic logistic regression was used to predict probabilities of endothermy for each node of the tree and each fossil species, using the corresponding TMI (Fig. 3, Extended Data Fig. 6 and Extended Data Tables 1 and 2). The TMI for each node of the phylogenetic tree was obtained by maximum-likelihood reconstruction of ancestral states under Brownian motion, using TMIs of all tips and the phylogenetic tree reflecting the best evolutionary model. Accuracy of predictions was assessed 100 times by randomly selecting 151 extant species, fitting a phylogenetic logistic regression to predict their thermoregulatory regime from their TMI, using the fitted phylogenetic logistic regression to predict the thermoregulatory regime of 75 extant species that were not used to produce the phylogenetic

logistic regression, and comparing outcomes with real thermoregulatory regimes. The thresholds for endothermy and ectothermy were determined by using the probabilities that gave an error rate of 5%. Multiple additional tests were conducted to assess whether the TMI is free from body size information (Supplementary Note 2, models 38–39) and robust (Supplementary Note 2, models 43–47). Probability distributions of predicted  $T_b$  of fossil species and groups were obtained (1) by using the PGLS regression between  $T_b$  (via the temperature ratio) and the TMI for extant clades (Supplementary Note 2, model 41), and (2) by simulating 15,000 times the evolution of residuals of the temperature ratio using Brownian motion on a phylogenetic tree reflecting the best evolutionary model for these residuals (Supplementary Note 2, section 24). To assess whether our small non-mammalian probainognathian sample could have affected our conclusions on the presence of a shift to endothermy at the root of Mammalianomorpha, we computed the likelihood of obtaining the mean TMI we report for this group by chance alone, when randomly sampling and averaging three specimens from distributions of higher TMI means (for example, cynognathian-like mean), using standard deviations observed in well-sampled clades (for example, mammals). This experiment has been replicated 10,000 times to obtain likelihood distributions (Supplementary Note 2, section 26).

### Post hoc analyses

We investigated whether high/maximum stride and impact frequencies (as a proxy for behaviourally induced angular head motion<sup>94</sup>) were positively correlated to  $T_b$ , and if they explained part of the variance of the TMI left unexplained by  $T_b$ . To do so, we performed PGLS regressions (Supplementary Note 2, models 84–89), using stride frequency, impact frequency, body mass, and  $T_b$ , sampled for 106 taxa. Impact frequencies were obtained from stride frequencies, using multiplying factors estimated from the analysis of gait types (Supplementary Data 1). Similarly, we assessed if maximum anaerobic speed (as a proxy for behavioural activity) is higher in endotherms than ectotherms, and if it is positively correlated to  $T_b$ . To do so, we performed PGLS ANOVA and PGLS regressions (Supplementary Note 2, models 76–80), using maximum anaerobic speeds, body mass, and  $T_b$ , sampled in 428 taxa. Data collection followed the same protocols as above and references are provided in Supplementary Data 1. We explored which thermoregulatory strategies (for example, aestivation) could have been adopted by fossil synapsids predicted as ectotherms, by comparing their predicted  $T_b$  to the palaeoclimate of their palaeolocation (Supplementary Data 4). To do so, we used the temperature curve of Scotese et al.<sup>28</sup> to obtain minimum and maximum global average temperatures (GAT) corresponding to the age range of each fossil specimen. These GATs were corrected for the palaeolatitude of each specimen (obtained from the Paleobiology Database: <https://paleobiodb.org/>), using Fig. 7 of Scotese et al.<sup>28</sup>, to obtain the latitudinal average temperature (LAT). In addition, we reviewed the literature to compile climate types that have been proposed for the palaeolocation of each sampled specimen<sup>95,96,97,98,99,100,101</sup>. Furthermore, we collected temperature data (for example, summer maximum temperature) for 150 locations and 16 different Köppen climates from <https://weatherspark.com/>. Climate type and LAT were used in R to predict seasonal temperatures at the palaeolocation of each fossil specimen, with a range of uncertainty. Palaeolocation temperature and predicted body temperature (Supplementary Note 2) were randomly sampled from corresponding ranges of uncertainty, and compared 1,000 times to address specific questions about thermoregulatory strategies (for example, whether predicted body temperature is higher than the maximum local night temperature—if true the specimen is probably obligatory diurnal). Results from these tests allowed us to compute probabilities for given thermoregulatory strategies to occur.

## Reporting summary

Further information on research design is available in the [Nature Research Reporting Summary](#) linked to this paper.

## Data availability

The raw datasets used in this study are available in the [Supplementary Dataset](#). Links for CT scan datasets and bony labyrinth 3D meshes obtained from <https://www.morphosource.org/> can be found in Supplementary Data 3. Some bird skull measurements and fish lengths were obtained from <https://skullsite.com/> and [fishbase.org](https://www.fishbase.org), respectively (Supplementary Data 2). Time calibrations between most extant species were obtained from [timetree.org](https://www.timetree.org). Body mass and  $T_b$  of some extant species were obtained from <https://eol.org/traitbank> (Supplementary Data 2). [Source data](#) are provided with this paper.

## Code availability

The R scripts used in this study are available in the [Supplementary Dataset](#).

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